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# Omalizumab may protect allergic patients against COVID-19: A systematic review

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# ABSTRACT

Omalizumab, which downregulates the immunoglobulin E (IgE) receptor site on plasmacytoid dendritic cells and thereby increases interferon- $\alpha$  (INF- $\alpha$ ) production, may shorten the duration of viral infections by enhancing the antiviral immunity.

A systematic review was conducted to investigate whether previous anti-IgE treatment with omalizumab could protect against SARS-CoV-2 disease ("COVID-19") (infection, disease duration, and severity), and whether IFN-α upregulation could be involved. The research included articles published from March 2020 to January 2022. An accurate search was performed on bibliographic biomedical database (MEDLINE - Pubmed, SCOPUS, EMBASE, BIOMED CENTRAL, Google scholar, COCHRANE LIBRARY, ClinicalTrial.gov) including cohorts, case reports and reviews. Different methods were used, based on the study design, to assess the quality of eligible studies. Several authors link omalizumab to a possible protection against viruses, but they often refer to studies carried out before the pandemic and with viruses other than SARS-CoV-2 (severe acute respiratory syndrome coronavirus 2) (eg, rhinoviruses -RV). Few cases of COVID-19 patients treated with omalizumab have been recorded, and, in most of them, no increased susceptibility to severe disease was observed. According to these data, the current indication is to continue omalizumab therapy during the pandemic.

Moreover, although omalizumab may enhance the antiviral immune response even for SARS-CoV-2, further studies are needed to confirm this hypothesis. It would be helpful to establish a registry of omalizumab-treated (or in treatment) patients who have developed COVID-19. Finally, randomized controlled trials could be able to demonstrate the effect of omalizumab in protecting against severe SARS-CoV-2, through IFN- $\alpha$  upregulation or other immunological pathways.

Keywords: COVID-19, Interferon, IFN-Alpha, Omalizumab, SARS-CoV-2

# INTRODUCTION

COVID-19 spread rapidly around the world causing numerous deaths. The immune response

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against SARS-CoV-2 follow several stages. During the early stages of the disease, type I interferon (including IFN- $\alpha$ ) and adaptive immunity preclude

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the disease progression.<sup>1-7</sup> When this immune response is impaired, high virus loads lead to pathological inflammation, massive organ dysfunction, and acute respiratory distress syndrome (ARDS).<sup>9</sup> This hyperinflammatory immune response, evoked by immune cells and various cytokines,<sup>6</sup> causes an exaggerated immune response (called "cytokine storm") with multi-organ damage, leading to high mortality.<sup>1,10</sup>

Type I interferon is a family of potent antiviral cytokines that restricts viral replication and improves antiviral immunity.<sup>3</sup> Each cell can produce IFN (type I), but only plasmacytoid cells can produce it rapidly in high quantities.<sup>4</sup> In humans, the IFN type I multigene cytokine family includes 13 subtypes of IFN- $\alpha$ , only one IFN- $\beta$ , a single (poorly defined) IFN- $\epsilon$ , IFN- $\kappa$ , and IFN- $\omega$  subtypes.<sup>11</sup> IFN- $\alpha$  acts at cellular and intercellular level with autocrine and paracrine activities, hindering the spread of the virus and above all eliminating virus-infected cells.<sup>12</sup>

Omalizumab is actually approved to treat severe asthma and also chronic spontaneous urticaria.<sup>13</sup> IgEs are a very important part of hypersensitivity; they also play a role in susceptibility to respiratory infections and they can activate mast cells or inhibit inflammatory cells such as neutrophils.<sup>2</sup> Furthermore, after omalizumab treatment, interleukin 33 levels decrease. It's

important to notice that IL-33 is involved in production of inflammatory cytokines (such as IL-6, IL-1  $\beta$ , tumor necrosis factor and prostaglandin D2).<sup>14</sup> Some studies showed a synergistic effect between omalizumab and immunotherapy to induce the development of regulatory T cells.<sup>15</sup> Anti-IgE antibody seems also able to upregulate the production of IFNs (with a complex mechanism that prevent IgE-FceRI cross-linking on dendritic cells).<sup>2</sup> Between IgE levels and IFN-a levels a negative correlation has been demonstrated.<sup>2,16</sup> Toll-like receptor-9 expression and TI R-7 signaling usually enhance interferon production from pDCs, but they are reduced by IqE crosslinking (Fig. 1).

Some authors noticed that omalizumab could stabilize effector cells and make innate immunity much more efficient at fighting some respiratory viral infections.<sup>2,3</sup> It appears to improve antiviral immunity mainly by downregulating IgE receptor on plasmacytoid dendritic cells.<sup>17</sup>

No increased susceptibility for severe COVID-19 was observed in some cohorts of omalizumabtreated patients who contracted the disease.<sup>17-19</sup> The PROSE study showed that anti-IgE antibody (omalizumab) reduces respiratory virus-associated asthma exacerbations in patients with severe asthma.<sup>20</sup> We can suppose a potential

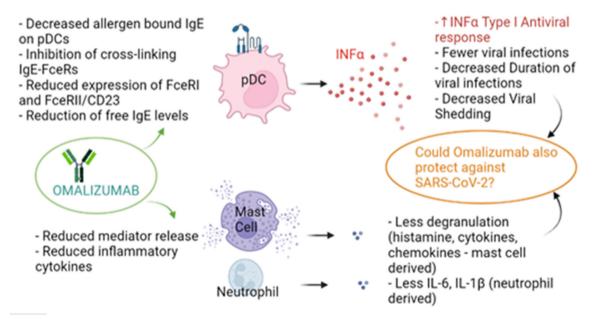


Fig. 1 Multiple effects of omalizumab. (IgE immunoglobulin E; pDCs plasmacytoid dendritic cells; FceRs Fc epsilon receptor, type I and II; INF  $\alpha$  interferon alpha; IL-6 interleukin 6; IL1 beta interleukin 1 beta)

N	Study	Year	Type of study	Scale/ score	Population/ comparator	"Intervention"	Outcome	Founding
Om	alizumab for a b	etter a	ntiviral response (	increasing	IFN-α production)	(e.g. against rhii	novirus, influenzavi	rus, etc.)
1	Farmani A.R.	2021	Review	AMSTAR low	/	/	/	no
2	Menzella F.	2021	Review	AMSTAR low	/	/	/	no
3	Costanzo G.	2021	Review	AMSTAR low	/	/	/	no
4	Greene T.T.	2021	Review	AMSTAR low	/	/	/	YES, NIH grants AI145314, AI081923, AI113923 and AI1132122
5	Padayachee Y.	2021	Narrative Review	SANRA 1-0-0-2- 1-1	/	/	/	no
6	Bencze D.	2021	Review	AMSTAR low	/	/	/	YES, NKFIH FK 128294 to K.P., NKFIH PD 135193;, also supported by GINOP-2.3.2-15- 2016-00050 project
7	Galati D.	2021	Narrative Review	SANRA 2-2-0-2- 2-2	/	/	/	no
8	Kumar K.	2020	Narrative Review	SANRA 2-2-0-2- 2-2	/	/	/	YES, Novartis
9	Criado P.R.	2020	Review	SANRA 2-2-0-2- 2-1	/	/	/	YES, authors are speaker of Novartis

(continued)

N	Study	Year	Type of study	Scale/ score	Population/ comparator	"Intervention"	Outcome	Founding
10	Novak N.	2020	Narrative Review	SANRA 2-2-1-2- 2-2	/	/	/	YES, grant number: 2019-T1/ BIO-12690; PI20/ 00351
11	Visentin A.H.	2020	Review	AMSTAR low	/	/	/	no
12	Ding M.	2021	Narrative Review	SANRA 2-2-0-2- 2-1	/	/	/	no
13	Sarioglu N.	2020	Narrative Review	SANRA 1-2-0-2- 1-2	/	/	/	no
14	Assaf S.M.	2020	Narrative Review	SANRA 1-2-0-2- 2-2	/	/	/	no
15	Liu S.	2020	Narrative Review	SANRA 2-2-1-2- 2-2	/	/	/	no
16	Morais-Almeida M.	2020	Narrative Review	SANRA 2-2-0-2- 2-2	/	/	/	no
17	Wang CJ.	2021	Review	AMSTAR low	/	/	/	no
18	Ramakrishnan, R.K.	2021	Narrative Review	SANRA 1-0-0-1- 1-2	/	/	/	no
19	Ayobami T.	2020	Narrative Review	SANRA 1-0-0-2- 1-1	/	/	/	no
20	Lin Y.	2021	Narrative Review	SANRA 2-1-0-2- 1-2	/	/	/	no

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21	Klimek L.	2020	Position Paper	AMSTAR	/	/	/	YES
22	Pfaar O.	2021	Position Paper	low AMSTAR	/	/		YES
22		2021	i osition i aper	low	,	,	,	123
23	Vultaggio A.	2020	Position Paper	AMSTAR low	/	/	/	YES
24	Paladini E.	2021	Pediatric case report	JBI-case report high	1 patient in Omalizumab	COVID-19	mild disease	YES, Novartis
No	ncreased risk of	COVII	D-19 (and severe (	COVID-19)	in patients treated	d with Omalizum	ab	
2	Menzella F.	2021	Review	AMSTAR low	/	/	/	no
3	Costanzo G.	2021	Review	AMSTAR low	/	/	/	no
9	Criado P.R.	2020	Review	SANRA 2-2-0-2- 2-1	/	/	/	YES, authors are speaker of Novartis
12	Ding M.	2021	Narrative Review	SANRA 2-2-0-2- 2-1	/	/	/	no
13	Sarioglu N.	2020	Narrative Review	SANRA 1-2-0-2- 1-2	/	/	/	no
14	Assaf S.M.	2020	Narrative Review	SANRA 1-2-0-2- 2-2	/	/	/	no
23	Vultaggio A.	2020	Position Paper	AMSTAR low	/	/	/	YES
25	Lombardi C.	2021	Narrative Review	SANRA 2-2-0-2- 2-1	/	/	/	no
26	Ortega J.	2020	Observational cohort study	STROBE low	46 patients in Omalizumab	5 COVID-19	0 ICUª (intensive care unit)	no

N	Study	Year	Type of study	Scale/ score	Population/ comparator	"Intervention"	Outcome	Founding
27	lzquierdo J.L.	2021	Observational cohort study	STROBE high	641 patients in Omalizumab	9 COVID-19	0 ICU <sup>a</sup>	YES
28	Adir Y.	2021	Observational cohort study	STROBE high	8242 asthmatic COVID-19 vs 72.360 asthmatic without COVID- 19	24 in Omalizumab vs 200 in Omalizumab	0 ICU <sup>a</sup>	YES
29	Rial M.J.	2020	Observational cohort study	STROBE low	263 patients in Omalizumab	14 COVID-19	1 ICU <sup>a</sup>	YES
30	Matucci A.	2021	Observational cohort study	STROBE low	145 patients in Omalizumab	2 COVID-19	1 ICU <sup>a</sup>	no
31	Hanon S.	2021	Observational cohort study	STROBE low	129 patients in Omalizumab	4 igG COVID-19	0 admitted in Hospital/0 ICU <sup>a</sup>	YES
32	Antonicelli L.	2021	Observational cohort study	STROBE low	558 asthmatic patients (NOT KNOWN how many in omalizumab)	3 COVID-19	1 admitted in Hospital/0 ICU <sup>a</sup>	no
33	Garcıa-Menaya G.M.	2020	Observational cohort study	STROBE medium	112 COVID-19 patients	1 Omalizumab treatment	good outcome	YES, Grants RETICS RD16/ 0006/0004 (ARADyAL), PI15/ 00303, and PI18/ 00540
34	Nassim D.	2020	Letter to the editor	SANRA 1-1-0-1- 1-1	/	/	/	no
35	Licari A.	2020	Observational cohort study	STROBE medium	308 patients in biologics/286 in omalizumab	3 patient had COVID-19 in omalizumab	paucisymptomatic disease	no

Omalizumab may enhance an antiviral immune response against SARS-CoV-2 (throught interferon)

1	Farmani A.R.	2021	Review	AMSTAR	/	/	/	no
				low				

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17	Wang CJ.	2021	Review	AMSTAR low	/	/	/	no
18	Ramakrishnan, R.K.	2021	Narrative Review	SANRA 1-0-0-1- 1-2	/	/	/	no
27	lzquierdo J.L.	2021	Observational cohort study	STROBE high	641 patients in Omalizumab	9 COVID-19	0 ICU <sup>a</sup>	YES
36	Yalcin A.D.	2021	Narrative Review	SANRA 2-2-0-2- 2-2	/	/	/	no
37	Wisnu Wardana V. A.	2021	Review	AMSTAR low	/	/	/	no
38	Tan C.	2021	Observational cohort study	STROBE high	/	/	/	no
39	Manti S.	2020	Narrative Review	SANRA 1-1-0-2- 2-2	/	/	/	no
40	Marques J.G.	2022	Narrative Review	SANRA 1-2-2-2- 1-2	/	/	/	no
41	Abdelmaksoud A.	2020	Letter to the editor	JBI-case report low	/	/	/	no
42	Wang J-Y.	2021	Letter to the editor	JBI-case report low	/	/	/	no
43	Lommatzsch M.	2020	Case report (1 pt)	JBI-case report high	1 allergic asmatic patient with COVID-19	1 patient continued Omalizumab	good outcome	no
44	Lanoue D.	2021	Case report (1 pt)	JBI-case report very low	1 chronic spontaneous urticaria (CSU) patient with COVID-19	2 patient continued Omalizumab	good outcome	no

(continued)

N	Study	Year	Type of study	Scale/ score	Population/ comparator	"Intervention"	Outcome	Founding
50	Mantlo E.	2021	Experimental study	JADAD scale low	Vero cells infected by SARS- CoV-2	tested the antiviral efficacy of IFN-α and IFN-β	significant reduction of viral titer	no
No	sufficient data or	r clinic	al evidence about	Omalizum	ab and reduced ri	sk of SARS-CoV-2	infection/duration	/severity
3	Costanzo G.	2021	Review	AMSTAR low	/	/	/	no
14	Assaf S.M.	2020	Narrative Review	SANRA 1-2-0-2- 2-2	/	/	/	no
15	Liu S.	2020	Narrative Review	SANRA 2-2-1-2- 2-2	/	/	/	no
16	Morais-Almeida M.	2020	Narrative Review	SANRA 2-2-0-2- 2-2	/	/	/	no
22	Pfaar O.	2021	Position Paper	AMSTAR low	/	/	/	YES
24	Paladini E.	2021	Pediatric case report	JBI-case report high	16 years old female in Omalizumab	COVID-19	mild disease	YES, Novartis
25	Lombardi C.	2021	Narrative Review	SANRA 2-2-0-2- 2-1	/	/	/	no
28	Adir Y.	2021	Observational cohort study	STROBE high	8242 asthmatic COVID-19 vs 72.360 asthmatic without COVID- 19	24 in Omalizumab vs 200 in Omalizumab	0 ICUª	YES
45	Schön M.P.	2020	Narrative Review	SANRA 2-1-0-2- 1-2	/	/	/	no

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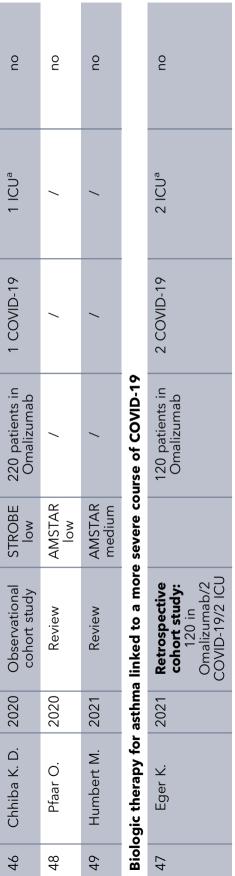


Table 1. (Continued) Results of the literature review. (<sup>a</sup>ICU: intensive care unit)

omalizumab-induced effect on antiviral responses also against SARS-CoV-2.

To investigate whether omalizumab treatment could protect against severe COVID-19 and whether type I IFN (especially IFN- $\alpha$ ) upregulation could be involved a systematic review was performed. We analyzed available evidence to understand whether concurrent or previous use of omalizumab could have a protective effects on SARS-CoV-2 infection and COVID-19 severity and/or duration.

# MATERIALS AND METHODS

An extensive literature search using validated keyword and filters to select articles related to omalizumab as a potential IFN I inducer to prevent and/or protect against SARS-CoV-2 infection was conducted.

We considered articles published from March 2020 to January 2022, a selective search was performed on bibliographic biomedical databases (MEDLINE (PubMed): https://pubmed.ncbi.nlm.nih. gov/, EMBASE: https://www.embase.com/#search/, SCOPUS: https://www.scopus.com/search/form.uri? display=basic#basic - accessed on 20 January 2021, Google Scholar: https://scholar.google.com/, BIO-MED CENTRAL https://www.biomedcentral.com/, COCHRANE LIBRARY https://www.cochrane library.com/, ClinicalTrial.gov).

Following the PICO process we developed a literature search strategies including patients treated (or in treatment) with omalizumab who had COVID-19 (P -population), investigating a possible positive effect of omalizumab mediated by increase virus-induced IFN- $\alpha$  responses (I- Intervention), comparing with COVID-19 patients without omalizumab treatment (C - Comparison), in order to clarify if a protective effects on COVID-19 could be present, or an effect on his duration or severity (O - Outcome). We wrote a protocol including review questions, research strategy, inclusion/ exclusion criteria, and eventual study bias.

At first, titles and abstracts were screened to choose eligible studies, then full texts were selected for the final evaluation. Systematic reviews, research papers, letters to the editors, abstracts, case reports and series were included, considering the limited time window of pandemic. **10** Ghiglioni et al. World Allergy Organization Journal (2023) 16:100741 http://doi.org/10.1016/j.waojou.2023.100741

Inclusion criteria were:

- 1) full text availability
- 2) English language
- presence of the keywords "omalizumab"AND"COVID-19"AND"interferon". The following Mesh term were used: "omalizumab"; "COVID-19"; "interferon".

Exclusion criteria were:

- articles with themes or topics not related to the present review
- duplicate articles (when one article appeared in different literature sources, we only counted it one time)
- outcomes far away from our purposes

# **Primary outcome**

1) to evaluate if omalizumab is a potential IFN I inducer, thus preventing COVID-19 and/or limiting COVID-19 severity.

# Secondary outcomes

- 1) to analyze omalizumab adverse events in patients with previous or concomitant SARS CoV-2 infection
- 2) to evaluate the continuation of biological therapy with omalizumab during the illness
- 3) to dissect possible bias and assess the quality of evidence

Most authoritative documents were considered on the basis of chronological parameters, in particular date of publication, to set up a definite research path and a coherent development of the argument supporting conclusions.

Two reviewers independently agreed on the selection of studies. The characteristics of included studies were clarified and unified in a graphical way in Table 1.

Different methods were used, based on the study design, to assess the quality of eligible studies. The Amstar Checklist for Systematic Reviews, The SANRA scale for Narrative Reviews, The

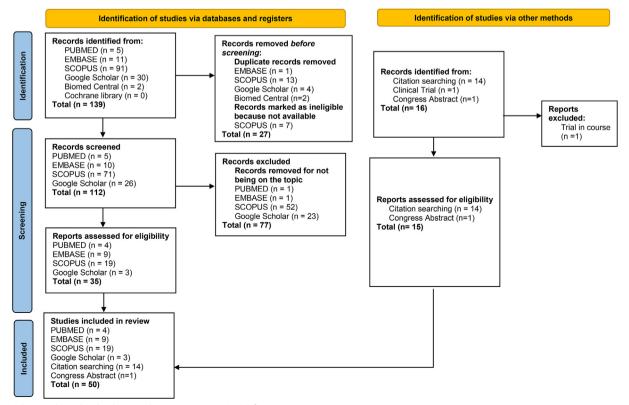


Fig. 2 The studies checked according to PRISMA 2020 for systematic reviews

STUDY	VIRUS	OUTCOME
Busse (2011)	influenza virus, rhinovirus	"Omalizumab significantly reduced the proportion of participants who had one or more exacerbations"
Durrani SR (2012)	rhinovirus, RV-16	"Innate immune responses reduced by the high-affinity IgE receptor in allergic asthmatic children; after FccRI cross-linking, allergic asthmatic children had significantly lower HRV-induced IFN responses"
Teach SJ (2015)	rhinovirus RV-16	"Omalizumab improved IFN- $\alpha$ responses to rhinovirus, and within the omalizumab group, greater IFN- $\alpha$ increases were associated with fewer exacerbations" (PROSE STUDY)
Saini SS (2015)	upper airway infections	"Targeting IgE with Omalizumab in asthma or chronic spontaneous urticaria patients did not increase upper airway infections"
Kantor DB (2016)	rhinovirus	"Omalizumab reduces acute severity of rhinovirus-triggered asthma exacerbation"
Esquivel A (2017)	rhinovirus	"Direct evidence that blocking IgE decreases susceptibility to RV infections and related-illness"
Edwards MR (2017)	viral infections	"Anti-T2 biologics are particularly successful in controlling asthma exacerbations"
Gill MA (2018)	rhinovirus- and influenza	"Omalizumab enhanced plasmacytoid dendritic cell antiviral responses"
Jartti T (2019)	rhinovirus	"Pre-seasonal treatment with Omalizumab has been shown to eliminate the seasonal peaks in asthma exacerbations, most of which are associated with RV infection"
Heymann PW (2020)	rhinovirus, RV-16	"The effect of administering Omalizumab on the response to rhinovirus was most pronounced during the early/innate phase of the infection"
Altman MC (2020)	viral infection	"There are emerging therapeutic options to decrease severity of wheezing exacerbations caused by respiratory viral infections"

Table 2. The most cited studies about Omalizumab and a better antiviral response (increasing IFN- α production)

Jadad score for Randomized Clinical Trials (RCT), The Strobe Checklist for the Observational Studies, Joanna Briggs Institute Critical Appraisal Checklist for Case Reports and Joanna Briggs Institute Critical Appraisal Checklist for Case Series. Information regarding study design, date of publication, setting, characteristics of the population sample, objective of the study and outcome measure were considered.

The source of funding for each article included was reported in Table 1. The authors of the present review had no competing interests.

# RESULTS

According to inclusion and exclusion criteria 155 studies were retrieved.

The overall results of literature search were displayed in Fig. 2.

The studies included were 50 from different databases and other sources: PUBMED (N = 4); EMBASE (N = 9); SCOPUS (N = 19), Google Scholar (n = 3), Citation searching (n = 14), Congress Abstract (n = 1).

Studies can be divided into 5 groups (Table 1):

- **12** Ghiglioni et al. World Allergy Organization Journal (2023) 16:100741 http://doi.org/10.1016/j.waojou.2023.100741
- studies suggesting a link between omalizumab and a better antiviral response (increasing IFN-α production), but referring to other viruses besides SARS-CoV-2 (eg, rhinovirus, influenza virus);
- studies that do not identify an increased risk of COVID-19 and severe disease in omalizumabtreated patients;
- 3) studies hypothesizing that omalizumab may potentiate antiviral responses also against SARS-CoV-2:
- studies highlighting the lack of sufficient data or clinical evidence that omalizumab may be an advantage in COVID-19;
- 5) a single study suggesting that omalizumab therapy for asthma may be the cause of a more severe COVID-19 (course and duration).

We reported the first author, publication year, and type of study and assessed their quality, which was not as high as scoring scale shows (see Table 1).

The population treated (or in treatment) with omalizumab was recorded with precise numbers (that were relatively high), investigating possible consequences of SARS-CoV-2 infections (comparing with general population) in order to clarify outcomes. A possible protective effect against SARS-CoV-2 duration and severity were supposed by some authors because of the low rate of infection and, above all, the low rate of patients in intensive care units.

We also reported eventual funding above all by Novartis (when explicated) to clarify the eventual financial bound that could have conditionate the results (see Table 1).

# DISCUSSION

# Evidence supporting the effect of omalizumab in enhancing the antiviral response to viruses other than SARS-CoV-2

Several studies link omalizumab to an enhanced antiviral response (via dendritic cells and IFN- $\alpha$ ). However, the studies were carried out before the pandemic and with viruses other than SARS-CoV-2.<sup>21</sup> During immune responses to viruses the

activation of innate immunity and the production of type I and II are essential to control viral spread.<sup>3</sup>

Atopic patients often show a reduction in IFN production by pDCs and epithelial cells with delayed and inefficient antiviral defenses.<sup>2,22</sup> Serum IgE levels are inversely related to the intensity of INF- $\alpha$  response: antiviral responses of pDCs can be suppressed in atopic patients.<sup>3</sup> The great susceptibility to respiratory viral infections in asthmatics often results in possible triggers for exacerbations.

Omalizumab seems to reduce virus-induced seasonal exacerbations in both adults and children.<sup>3,23</sup> The most common causes of viral asthma exacerbations are human rhinoviruses (RV), respiratory syncytial virus (RSV), influenza viruses, parainfluenza viruses, coronaviruses, adenoviruses, and human metapneumovirus.<sup>24</sup> Omalizumab reduced the duration of several infections: RSV,<sup>2</sup> flu virus,<sup>3</sup> RV (above all RV16).<sup>3,12,20,21,25,26</sup> In fact, viral shedding and risk of virus-related disease are lower compared with guideline-driven care alone. Omalizumab decreases the expression of the pDC FceRla protein and in this way seems to increase IFN- $\alpha$  responses to RV and influenza virus.<sup>27-29</sup> Type I IFNs other than IFN- $\alpha$  such as IFN- $\beta$ 1 or IFN- $\gamma$  (usually low in allergic asthmatic patients) could also be upregulated and responsible for the increased viral clearance seen in patients treated with omalizumab Cardet and Casale as hypothesized.<sup>30</sup> Of note, all these studies analyzing the cellular and molecular mechanisms that link omalizumab therapy and IFN- $\alpha$ production refer to viruses different than SARS-CoV-2 (Table 2). Moreover, restoration of IFN-a during omalizumab treatment involved ex vivo experiments on peripheral blood and not interferon generation by airway cells. Consequently, the association between blood cell IFN-a production and clinical improvement may be only considered surrogate markers for in vivo events in the lung. Regarding the IFN production in the lung, some authors demonstrated that type III IFN (IFN- $\lambda$ 1-4) play a crucial role in limiting SARS-CoV-2 infection in the airways during the early phase of the disease. Overall, these data highlight the complexity of the

IFN biology and the importance of assessing the IFN effect in the different anatomical sites.

Is it logical to think that IgE blockade can reduce susceptibility also to COVID-19 infection? We must notice that there are remarkable (or evident or striking) differences in the cell receptor for rhinovirus and coronavirus, can the observations on rhinovirus be extended to SARS-CoV-2? Rhinovirus and influenza virus are among the most common viruses involved in the development of asthma exacerbations, but also coronavirus are involved, in a weaker way.<sup>23,24,35</sup>

The cell receptors are indeed very different between three viruses: receptor of major group serotype of rhinovirus is ICAM 1 (intercellular adhesion molecule 1), sialic acid is the one for influenza virus and ACE-2 the one for SARS-CoV-2.<sup>24</sup> But as some valid observation for rhinovirus have been proven and then extended to the influenza virus, we can hypothesize that it could also happen for SARS-CoV-2. All are singlestranded RNA viruses, both influenza virus and coronaviruses have the envelope. However, the beneficial effect of omalizumab in treating virusinduced exacerbations may be due to its effect on IFN- $\alpha$  production (and not about the receptor involved).<sup>38</sup> In adults and children omalizumab 6 months) increased treatment (for IFN production against influenza virus A (in a statistically significant way), also a positive trend versus RV and an improvement in control of asthma symptoms were observed.<sup>3,21</sup> It has also been supposed for SARS-CoV-2 (even if there are not solid studies about this hypothesis).

# Evidence showing no increased risk of COVID-19 (and severe COVID-19) in patients treated with omalizumab

In the first period of pandemic, one of allergists' first questions was whether patients they were treating with biologics would be at increased risk of infection. Patients with severe asthma treated with biologics don't have an increased risk of SARS-CoV-2 infection or progression to severe forms than the age- and geography-matched non-asthmatic population.<sup>3,17</sup>

It is recommended to continue biologics in allergic patients.<sup>3,10,31-37</sup> Discontinuation of

biologics may lead to an increased risk of asthma exacerbations, oral corticosteroid use and a greater likelihood of access to the emergency room and hospitalization which represent risk factors for SARS-CoV-2 infection.

Biological treatment for asthma or dermatologic allergic disease do not seem to increase the risk of COVID-19 as several cohorts show.<sup>38,39</sup> In Belgian Severe Asthma Registry and Italian Severe Asthma Network biologic therapy was not associated with increased risk of infection of disease severity. <sup>38,40-42</sup> In a multivariate analyze, Adir et al demonstrated that biologic use was not associated with increased risk of COVID-19 severity or mortality (adjusted odds ratio 0.99; 95% CI 0.73-1.33).<sup>38,41</sup> Extrapolating data from a large Spanish database Izquierdo et al reported that COVID-19 related hospital admission and mortality was very low among asthmatic patients treated with biologics. 38,41,43 No increased risk for COVID-19 was found also by Matucci after a cross-sectional telephone-based survey including 473 patients on biological therapy.<sup>44</sup> In Ortega's cohort, 5 patients out of 46 in omalizumab treatment had COVID-19 infection, and none was admitted to the hospital: the use of biologics for severe asthma seemed not have an impact on poorer clinical outcomes due to SARS-CoV-2 respiratory infection as the authors suggested.<sup>45</sup> The same results (no increased risk of infection or disease severity or mortality) were found in another Spanish study that included 545 asthmatic patients treated with biologics.<sup>46</sup> A Turkiye study enrolled 75 asthmatic patients treated with omalizumab, comparing patient who continued biologic treatment and patients who interrupted it. Only 12 patients interrupted the treatment (because they were afraid of pandemic and refused to return to the hospital): the risk of infection and severe disease was higher in these second group (relative risk of 2.71; 95% CI, 1.21-6.06).<sup>1,47</sup> Between 13 Turkish patients with severe asthma (treated with omalizumab or mepolizumab) that contracted SARS-CoV-2, five (38.5%) had mild COVID-19, eight (61.5%) had moderate disease, none required mechanical ventilation or intensive care, all patients fully recovered.<sup>1,47</sup> In the Garcia-Menaya cohort, only 1 patient of 113 with COVID-19 had taken omalizumab before hospital admission.48

# Evidence supporting that omalizumab may enhance the antiviral immune response even against SARS-CoV-2

Several authors suggest that omalizumab treatment could protect against severe forms of COVID-19. Might misfortune (allergic disease and biologic treatment) be a blessing in disguise (pandemic)?<sup>1,48</sup>

The antiviral and anti-inflammatory effects against virus-exacerbated asthma that omalizumab showed in previous studies may be relevant to the treatment of COVID-19.2 Anti-IgE drugs could reduce the severity and duration of COVID-19, the risks of severe outcomes, and perhaps pneumonia SARS-CoV-2 infection.<sup>3,17</sup> A letter durina published in the journal Allergy details an asthma patient who developed a COVID-19 infection while receiving ongoing treatment with omalizumab.<sup>17</sup> Because the patient's COVID 19 illness did not progress into a more severe illness, the authors of this paper raised the possibility that omalizumab may have attenuated the seriousness of the infection. This interpretation extends beyond the available data and does not necessarily support the hypothesis under review, however, numerous cohorts show relevant data about the low severity of COVID-19 in omalizumab-treated patients compared to the incidence data of the general population (Table I). When acute respiratory distress syndrome (ARDS) develops in the severe phase of COVID-19 omalizumab perhaps could contribute to a better respiratory function.<sup>8</sup> A patient with chronic spontaneous urticaria succeeded in relieve symptom of exacerbated urticaria and angioedema due to COVID-19 with omalizumab.<sup>18</sup> Criado described the first favorable outcome of omalizumab in Chronic Spontaneous Urticaria in a patient with COVID-19, without deterioration of clinical status and excellent response.<sup>19</sup> Thus, the IgE blockade (enhancing IFN- $\alpha$ ) could act as immunotherapy in SARS-Cov-2 infection.49 of In fact. case omalizumab has also been included in the list of the possible drugs useful in treating SARS-CoV-2 infection.50,51 The same IFN-alpha was considered as a potential therapeutic strategy to treat COVID-19 in that early phase in which the immune system produces cytokine as first line defense against viral infection.<sup>4,12</sup> The key of the process seems to be that pDC-derived IFN-I crucial for the host to control SARS-CoV-2 infection.<sup>52</sup> This early activation of the innate immunity is intact in adult patients with mild disease and is enhanced in children that usually experience a benign disease course.<sup>53,54</sup> The same protective effect was also hypothesized in pediatric patients treated with omalizumab.<sup>55</sup> Among 308 Italian children and adolescents (mean age 12.8 years) treated with biologics, only 3 subjects (1%) who were treated with omalizumab experienced pauci-symptomatic COVID-19. As Licari et al showed their symptoms promptly resolved.<sup>56</sup>

# But could allergic phenotype (and not omalizumab) exert a protective effect against SARS CoV-2?<sup>17,47,51,57-59</sup>

Asthmatic phenotype was not included between risk factors for an increased severe SARS-CoV-2 infection.<sup>60</sup>

In these patients, the low expression of angiotensin-converting enzyme 2 (ACE2) in bronchial epithelial cells seemed to be a protection from COVID-19.<sup>18,61</sup> Asthma and allergic disorders are associated with a significant reduction of ACE-2 expression in nasal and bronchial cells, both in adults and children.<sup>21,61</sup> ACE-2 expression appears to be inversely related to type 2 cytokine levels,<sup>17,33</sup> and, as it has been well demonstrated, to be related to patient's age. The production of pro inflammatory cytokines can be inhibited by type 2 cytokines.<sup>3,62</sup>

The epithelium with his mucus lining is the first physical barrier that blocks the invasion of the virus. Then activated eosinophils with their ribonucleases are another defense promoting viral clearance. Early airway inflammation caused by virus infection is attenuated also by low-dose inhaled corticosteroids (part of maintenance therapy). Allergen immunotherapy induces regulatory T cells (Tregs) with consequent suppression of inflammation. Tregs also prevent tissue damage: in fact they downregulate the induction and proliferation of other T-cell subsets.<sup>15</sup>

A various prevalence of asthma was found among COVID-19 patients in different countries.

Some authors observed that the link between a history of asthma and COVID infection or mortality

was not statistically significant.<sup>21</sup> In one of the first China-nationwide analysis of comorbidities in COVID-19 patients, 1590 patients were screened and none of them reported a diagnosis of asthma.<sup>61,63</sup> A very low prevalence of asthmatic patient was also found in the cohort of Li and Zhang compared to the general population.<sup>64,65</sup>

It seems true also in pediatric allergic patients. Only one of 52 young patient hospitalized for COVID-19 had a diagnosis of asthma in Ciprandi's study (including patients from 2 big Italian hospitals of Liguria and Lombardy).<sup>58</sup> Other worldwide studies suggest a different interpretation. Some authors suppose that there was an underreporting of chronic respiratory disease. Others suggested that there is an epidemiologically relevant difference in the prevalence of comorbidities (such asthma) between countries and that this was the reason of low prevalence of asthma in COVID-19 patients in early Asian studies.<sup>66</sup>

In children the type-2 skewed immunity drives a less pathogen associated molecular patterns (PAMPs) activation resulting in less inflammation. This mechanism decreases host's protection against viruses, but also determine a hypoinflammatory state.

The key role of pDC in anti-viral immunity is underlined also by some interesting considerations about multisystem inflammatory syndrome in children (MIS-C). Circulating pDCs were severely decreased in MISC-C (comparing this condition to COVID-19 children). IFN-  $\alpha$  plasma levels and expression of type I IFNs inducible genes were higher in COVID-19 children than in MIS-C patients.<sup>21</sup>

# Most studies emphasize the lack of sufficient data or clinical evidence that omalizumab may be an advantage in SARS-CoV-2 infection

Most studies suggest insufficient data about COVID-19 incidence of severity in omalizumabtreated patients. It is not known if omalizumab reduces (or increase) SARS-CoV2 risk.<sup>41,57</sup> We agree that the evidence is too few because there are not double-blind placebo-controlled, randomized controlled trials, or open controlled trials to strongly link omalizumab to protection against severe SARS-CoV-2 (through IFN alpha or other ways).<sup>15</sup>

The number of omalizumab-treated patients with severe COVID-19 remains low, but the right sample size or study design to affirm causal relationships is lacking.<sup>67,68</sup>

There are ethical and temporal reasons why we do not have controlled trials. Further investigations and studies are actually needed to prove that the protective role of anti-IgE on rhinovirus clearance can be extrapolated and generalized to SARS-CoV-2.15,23 If omalizumab effectively reduces the risk of COVID-19 infections, the benefit may occur because interferon production generation is enhanced, but no data in the literature, either from the airway or peripheral blood cells, have indicated or assessed this possibility with SARS-CoV-2. This idea is almost like a syllogism. pDCs are involved in antiviral response in asthmatic patients treated with omalizumab, because they target the immune response with rapid and massive IFN I type production, enhanced by TLRs.<sup>3,24</sup> IFN-  $\alpha$  and IFN-  $\beta$  inhibit virus infection in a dose-dependent way. IFN-  $\alpha$  in a concentration as low as 5 IU/mL already has an anti-SARS-CoV-2 activity: viral titer result in a reduction of 1 log (p < 0.01). Increasing progressively IFN-  $\alpha$  concentrations virus titers decrease in a proportional way.<sup>4</sup> Is it possible to conclude that omalizumab enhancing INF decreases SARS-CoV-2 activity?

# Only 1 study suggests that biological therapy for asthma may be associated with a poorer COVID-19 outcome

Only 2 patients out of 120 omalizumab-treated developed COVID-19 and were both hospitalized in a retrospective study.<sup>69</sup> However, some confounding factors are present in the study: for example higher prevalence of comorbidities such as obesity in omalizumab-treated population. COVID-19 severity can be explained by this comorbidities independently from biological therapy.

A possible "IgE mediated protection" is suggested by a lower risk of COVID-19 in allergic patients with higher IgE values. Therefore, can we suppose that reducing IgE with omalizumab may enhance the risks of infection and be a complication from the same therapy? Probably this

hypothesis is not correct. In fact the apparent protective effects is not due to the higher IgE expression, but it is a marker of an higher activity of the higher humoral immune system, as Farmani showed in some asthmatic patients.<sup>2</sup> Moreover, omalizumab reduces only IgE and not also the other immunoglobulins. The allergic patient has other numerous protective pathway that does not involve "only" IgE mediated effect. Larsson in demonstrated a positive association 2021 between genetic predisposition to any allergic disease and lower risk of SARS-CoV-2 infection (with a mendelian randomization analysis of 136 uncorrelated single nucleotide polymorphisms related with a broad allergic disease phenotype). We must also notice that patients with more elevated IgE levels seems to be more prone to virus-induced asthma exacerbations: between IqE and IFN- $\alpha$  seems to be is a negative correlation. IL-4 and IL-13 can downregulate ACE2 expression and are not very influenced by omalizumab.

ACE2 also circle in a soluble form that is upregulated in asthma. It can limit the ability of SARS-CoV-2 to bind to airway cell membranes acting as a competitive soluble interceptor. The increase of eosinophils number in asthmatic patients could be another protective factor against excessive inflammatory responses in severe COVID-19. Omalizumab decreases their lung recruitment but not the total number, and a reduction in recruitment could also be linked with a minor risk of cytokine storm. Omalizumab has pleiotropic effects for example it not only reduces IgE, but also IL-33 and as a consequence, other inflammatory cytokines such as IL-6, TNF-  $\alpha$ , IL-1  $\beta$  and prostaglandin.<sup>70</sup> In this way it seems able to protect from severe COVID-19.

Levels of specific IgE are associated with COVID-19 progression and severity at admission in the hospital. Tan and al. in 2021 showed that anti SARS-CoV-2 spike S1-specific IgE (SP-IgE) and anti-SARS-CoV-2 nucleocapsid specific IgE (NP-IgE) were higher in worst cases. The total lung severity score (TLSS) was correlated with IgE level with a positive correlation, the PoO2/FiO2 ratio with a negative one.<sup>71</sup> Omalizumab reducing IgE response could be protective in these severe COVID-19 cases.

# WHAT ARE THE LIMITATIONS OF THE PRESENT REVIEW?

We performed a broad literature search and we found that there is little convincing evidence to indicate that omalizumab affects the course of a COVID-19 illness. Most of the evidence referred to is circumstantial or based on observational studies.

Some data show that a reduction of cell surface IgE enhances viral defense. Little data are presented to suggest that a reduction of cell surface bound IgE is beneficial with a COVID-19 illness. A counterregulatory mechanism was revealed between FccRI (Fc epsilon RI - high affinity receptor of IgE) and TLR 7.<sup>20,28</sup> Activation of TLR-7 or a component of the TLR-7 pathway may inhibit the expression of FccRI.<sup>20,28</sup> It is not very clear also the relative effect of bound IgE and free serum IgE.

In the different cohort it is not always well explained if omalizumab was administered before or concomitant COVID-19 and how many weeks before the infection in the first case. In fact, the modulation could be evanescent in time and to establish a correlation it is important to clarify temporal correlation.

# CONCLUSIONS

Omalizumab is an anti-IgE biologic therapy with proven antiviral activity. It reduces incidence and severity of upper respiratory tract infections and asthma exacerbations.<sup>69</sup> This is a very interesting quality in pandemic time: the hypothesis of a possible protection against severe COVID-19 is very fascinating, although currently there are not sufficient data on a possible positive effect of anti-IgE therapy on COVID-19.

An EAACI consensus paper states that "patients with SARS-CoV-2 infection, irrespective of the severity of the infection, should withhold the application of biologicals until recovered", while treatment of patients on biologicals should be maintained in noninfected individuals.<sup>10</sup>

An international registry of omalizumab-treated COVID-19 cases could be very useful to clarify the outstanding issues in this area. Moreover, randomized controlled trials are necessary to clearly demonstrate the effect of omalizumab in protecting against severe SARS-CoV-2, through IFN-α upregulation or other immunological pathways.

### Abbreviations

IgE, Immunoglobulin E; INF-α, interferon-α; COVID-9, Corona Virus Disease 19; SARS-CoV-2, Severe Acute Respiratory Syndrome Coronavirus 2; RV, rhinoviruses; ARDS, Acute Respiratory Distress Syndrome; IL, interleukin; TNF, Tumor Necrosis Factor; pDCs, plasmacytoid dendritic cells; FceRs, Fc epsilon receptor; RCT, Randomized Clinical Trials; JBI, Joanna Briggs Institute; ICU, intensive care unit; ICAM1, intercellular adhesion molecule 1; ACE2, angiotensin-converting enzyme 2; Tregs, regulatory T cells; PAMPs, pathogen-associated molecular patterns; MIS-C, multisystem inflammatory syndrome in children; SP-IgE, protein-specific IgE; NP-IgE, nucleocapsid protein-specific IgE.

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### Authorship

Ghiglioni Daniele Giovanni has made substantial contributions to conception and interpretation of data. Cozzi Laura has made substantial contributions to conception and design, or acquisition of data, analysis and interpretation of data.

Castagnoli Riccardo has been involved in drafting the manuscript or revising it critically for important intellectual content.

Maffeis Laura contributed with data collection.

Bruschi Gaia contributed with data collection.

Marchisio Paola Giovanna has been involved in drafting the manuscript or revising it critically for important intellectual content.

Marseglia Gian Luigi has been involved in drafting the manuscript or revising it critically for important intellectual content.

Licari Amelia has been involved in drafting the manuscript or revising it critically for important intellectual content. All the authors gave final approval of the version to be published and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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The authors report no competing interests.

### To note

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