

1 **Invited editorial for the European Journal of Preventive Cardiology**

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3 **Article:** Saito et al. Prognostic Benefit of Early Diagnosis with Exercise Stress Testing in Heart  
4 Failure with Preserved Ejection Fraction

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6 **Title:** Unmasking early heart failure with preserved ejection fraction: the role of exercise stress  
7 testing

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1 Heart failure (HF) with preserved ejection fraction (HFpEF) is a complex heterogeneous systemic  
2 syndrome which accounts for approximately half of patients with HF. Increased recognition,  
3 together with ageing of population and growing burden of comorbidities, especially diabetes,  
4 hypertension, and obesity are among the main reasons for the rising prevalence of this condition.(1)  
5 Initially the term diastolic HF was used to address this disorder,(2, 3) drawing the attention to  
6 diastolic dysfunction as the necessary and sufficient abnormality to cause this syndrome. HFpEF is  
7 a broader term, which basically encompasses what is not (even mildly) reduced ejection fraction  
8 and includes a heterogeneous population that, besides and beyond diastolic dysfunction, may  
9 present subclinical systolic dysfunction, pericardial disease, valve diseases, right heart  
10 abnormalities, endothelial and vascular dysfunction,(4) but also specific treatable “masqueraders”  
11 that require specialized diagnostic pathways often based on multi-modality imaging.(5)  
12 Comorbidities and associated conditions, frequently present and variably combined in each patient,  
13 may be both confounders and contributing pathogenetic determinants, challenging phenotyping and  
14 classification of patients in homogeneous phenogroups.(1)  
15 Poor understanding of the pathophysiology and, until recently, perceived dearth of treatments able  
16 to modify outcomes in HFpEF, have contributed to the scarce interest in early HFpEF diagnosis in  
17 daily clinical practice. As a result, the disease is often detected when already progressed to an  
18 advanced stage, when irreversible derangements have occurred. HFpEF diagnosis requires the  
19 presence of signs or symptoms of HF, an ejection fraction  $\geq 50\%$ , and documentation of increased  
20 cardiac filling pressures. While the diagnosis of HFpEF is unequivocal in the presence of overt  
21 congestion and in advanced stages, it is challenging if filling pressures are normal at rest but  
22 become pathologically elevated only during exertion. This implies the need to examine the patient  
23 with a dynamic test.

1 Invasive heart catheterization at rest or during stress testing allows adequate measurement of left  
2 ventricular filling pressures and is considered the gold standard for the diagnosis of HFpEF(6) and  
3 has shown prognostic utility in dyspneic patients with HFpEF.(7) However, catheterization is not  
4 universally available nor cost-effective especially considering the extensive and rising prevalence of  
5 HFpEF in the community, furthermore it lacks standardization across laboratories and carries the  
6 potential risks of an invasive exam.(8)

7 For these reasons, there is growing interest to accurately diagnose HFpEF by non-invasive methods  
8 in the largest possible number of patients in order to leave invasive catheterization for few selected  
9 equivocal cases. In the absence of universally accepted diagnostic criteria, multiparametric  
10 algorithms based on clinical, laboratory and echocardiography data, have been proposed by current  
11 guidelines to estimate the probability of HFpEF in patients with unexplained dyspnea.(9, 10)

12 In this issue of *EJPC* Saito et al.(11) conducted a retrospective study on 368 patients with exertional  
13 dyspnea and 182 were diagnosed with HFpEF applying the Heart Failure Association Pretest  
14 Assessment, Echocardiographic and Natriuretic Peptide Score, Functional Testing in Case of  
15 Uncertainty, and Final Aetiology (HFA-PEFF) algorithm. The HFA-PEFF algorithm proposed by  
16 European Society of Cardiology (ESC) consists of a stepwise approach which starts with  
17 assessment of the pre-test likelihood based on history, a second morpho-functional step, a third step  
18 to search for concealed increased filling pressures by diastolic exercise echocardiography or  
19 catheterization, and finally etiology characterization.(9)

20 The present study has the merit of the application of Step 3 (diastolic exercise stress  
21 echocardiography or exercise right heart catheterization), which is omitted in most studies and  
22 underused in clinical practice, and which allowed identification of 60 patients (33%) with HFpEF  
23 that would have been missed without provocative test. Authors demonstrated that patients  
24 diagnosed with HFpEF had higher rates of all-cause death and decompensated HF requiring

1 hospitalization or iv diuretics compared with those without confirmed diagnosis. The stress testing  
2 was combined with expired gas analysis which did not add useful information.

3 It is well known that when HFpEF is symptomatic for congestion and requires hospitalization, the  
4 prognosis is poor,(12) at least as bad as HFrEF.(1) Of note, in the present study only 5.6% of  
5 patients with confirmed HFpEF had a history of previous HF hospitalization. This study  
6 demonstrates that early detection of HFpEF, before it becomes clinically manifest, is possible using  
7 exercise stress testing and it is important because these patients are at high risk of adverse  
8 outcomes. Stress echocardiogram was performed in all patients regardless of Step 2 score results,  
9 conversely catheterization was reserved to patients with equivocal non-invasive tests, which may  
10 reflect clinical practice. This study was not aimed to validate the algorithm.

11 Interestingly there was not a gradient of risk between patients who were diagnosed with HFpEF at  
12 Step 2 (with echocardiographic and biomarker data) versus patients who qualified as HFpEF only  
13 after applying Step 3 (only after provocative echocardiogram or catheterization). This indicates that  
14 these patients likely have concealed diastolic dysfunction at rest, but a similar poor prognosis and  
15 thus should receive appropriate treatment for their HFpEF.

16 It is conceivable that awareness of HFpEF status might have changed patient management,  
17 including but not limited to intensification of medical therapy, similarly to recently observed in a  
18 dedicated HFpEF clinic.(13) Authors concluded that the early therapy initiation improved the  
19 prognosis in these HFpEF patients. However, caution should be posed in deriving this conclusion as  
20 this is not a randomized controlled trial and therapy was initiated by referring physicians only in  
21 half of patients after the HFpEF diagnosis with no information on other non-pharmacological  
22 intervention nor if changes occurred in the control group.

23 The authors acknowledged that the sample size and number of events were limited, as shown by the  
24 wide confidence intervals, but there was also imbalance between groups, with HFpEF subjects

1 being older. Finally, as far as external validity, the study was conducted at a tertiary referral center  
2 and involved patients of Asian origin, a population in whom cardiometabolic abnormalities are  
3 frequent determinants of HFpEF and can occur with normal weight and in whom sodium glucose  
4 cotransporter-2 (SGLT-2) inhibitors, the current only class IIa recommended HFpEF therapy, seem  
5 to be more beneficial than in other populations.(14) Well-designed randomized trials are needed to  
6 determine if (and which) therapy is associated with improved clinical outcomes in patients with  
7 early-stage HFpEF, yet the study by Saito et al. interestingly highlights that the focus of HFpEF  
8 research should move to the early stages of the disease.

9 The study by Saito et al. is notable because it underscores the importance of dynamic assessment in  
10 HFpEF and it corroborates the clinical usefulness of the ESC proposed HFA-PEFF score  
11 comprehensive of exercise testing as a diagnostic algorithm, but especially as a risk-stratification  
12 tool.

#### 14 **Conflicts of interest**

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