



## 23 Abstract

24 *Purpose* This study investigated root distribution and estimated root reinforcement by field and laboratory  
25 measurements and modelling methods, as a function of species, diameter at breast height (DBH), slope position,  
26 altitude, and vertical and horizontal distances from tree in Hyrcanian temperate ecoregion of Iran.

27 *Method* 1080 profile trenches with a maximum 1 m depth were excavated on upslope and downslope from trunks of  
28 *Carpinus betulus* and *Fagus orientalis* with DBH ranges of 7.5-32.5, 32.5-57.5, and 57.5-82.5 cm at three altitudes  
29 (400, 950, and 1300 m a.s.l.).

30 *Results* Root distribution results indicated that: (i) frequency of small roots (2-5 mm of diameter) of *C. betulus* and  
31 fine roots (0-2 mm) of *F. orientalis* are the highest, whereas the frequency of large roots (>10 mm) of both species is  
32 the lowest, (ii) the Root Area Ratio (RAR) of *C. betulus* is always higher than *F. orientalis*, (iii) the trees with larger  
33 DBH have a larger RAR than those with a smaller DBH, (iv) the RAR of *F. orientalis* is higher in upslope positions  
34 than in downslope ones; however, the RAR of *C. betulus* are similar in both positions, (v) RAR at 1300 m altitude is  
35 highest, and (vi) the RAR decreases with increasing distance from tree trunk and soil depth. Furthermore, it is shown  
36 that: (i) root reinforcement of *C. betulus* is higher than *F. orientalis*, (ii) altitude has a significant effect on root  
37 reinforcement of *C. betulus*, (iii) root reinforcement of large trees is the highest, and (iv) root reinforcement decreases  
38 with increasing distance from tree trunks.

39 *Conclusion* *C. betulus* is preferable to *F. orientalis* for increasing slope stability. Forest managers should consider this  
40 outcome when developing strategies for silvicultural treatment and reforestation projects in mountainous areas.

41 **Keywords:** Hillslope stabilization, Root mechanical properties, Root Bundle Model Weibull, Root distribution, Root  
42 reinforcement, Iran.

## 43 1. Introduction

44 Forests play a significant role in preventing and mitigating hydrogeomorphic hazards such as shallow landslides,  
45 rockfalls, and avalanches. Trees generally provide more protective functions than shrubs and herbs via higher rates of  
46 rainfall interception and transpiration (Sadeghi et al. 2020; Lin et al. 2020), greater soil coverage that reduces rain  
47 splash erosion potential (Lin et al. 2020; Williams et al. 2020), increased buttressing and arching (Gray and Sotir 1996),  
48 and greater soil reinforcement by roots (Morgan and Rickson 1995). Trees regulate catchment water balances through  
49 intercepting rainfall (Sadeghi et al. 2015; Panahandeh et al. 2022), altering hydraulic conductivity through the  
50 development of root conduits, and increasing transpiration rates (Stokes et al. 2014; Vergani et al. 2017a; Farahnak et  
51 al. 2019). Roots also physically reinforce the soil by adding tensile and compressive strength to the soil mantle. The  
52 additional strength reduces the potential for shallow landsliding as root penetrate the soil mantle and cross the failure  
53 planes (Norris et al. 2008). On hillslopes, thick roots can act as piles to reinforce the soil, while fine roots act in tension  
54 to strengthen the soil that acts as an apparent cohesion (Abdi et al. 2010a). Quantifying the mechanical effects of  
55 vegetation on hillslope stabilization remains an unsolved issue and has been investigated since 1960s (e.g., Endo and  
56 Tsuruta 1969; O'Loughlin 1974; Genet et al. 2008). Root systems stabilize the shallower soils along all of the edges

57 of potential failures that are often separated into reinforcement of the basal surface (which in shallow landslide systems  
58 is often the soil-bedrock interface), the sides of the failure via increasing the shear strength, and at the toe of the  
59 landslide via stiffening and buttressing under compression (Giadrossich et al. 2019). Lateral root reinforcement is the  
60 most effective mechanism in stabilizing landslide-prone slopes, with the contribution declining with increasing the  
61 landslide size (Milledge et al. 2014). Finally, the contribution of roots under compression consists in mobilizing an  
62 additional resistance across the shear plane, which leads to a complex bending-tensioning of rooted-soil (Schwarz et  
63 al. 2015).

64 The magnitude of root reinforcement depends on the root density (i.e. the number of roots into the soil), distribution  
65 with depth into the soil, root diameter, and root biomechanical properties (i.e., tensile resistance, elasticity, etc.) (Mao  
66 2022). Within a forest setting, root reinforcement varies spatially as forest stand characteristics (such as tree spatial  
67 distribution), diameter at the breast height (DBH), tree age, tree species composition, distance from the trunk,  
68 topography, growth conditions (including soil temperature, soil depth, nutrient), and moisture content all vary (Genet  
69 et al. 2010; Hales and Miniati 2017; Cislighi et al. 2021). It is the complexity of the spatial variability in root properties  
70 at a stand scale that makes modelling the lateral root distribution very challenging (Vergani et al. 2017a). In this regard,  
71 Root Area Ratio (RAR) is proposed as a robust index that can be derived from 2D surface observations for quantifying  
72 the presence of roots inside the soil (Bischetti et al. 2009; Mao et al. 2012; Arnone et al. 2016). However, even  
73 measuring this parameter requires significant field investigation via excavation pits. Without high spatial coverage,  
74 most excavation pits are dominated by fine roots (<2 mm) hence we have less information on coarse roots, especially  
75 roots larger than 10 mm (Giadrossich et al. 2020). Few studies measure root distributions as a function of DBH and  
76 distance from the tree trunk (Schwarz et al. 2010; Cislighi et al. 2021).

77 Accurate slope stability analyses require observations of biomechanical properties and root distributions at  
78 different spatial scales and for different species (Ekanayake and Phillips 1999). Root reinforcement is usually applied  
79 as an apparent cohesion term in slope stability analyses. The magnitude of root reinforcement was first calculated using  
80 the pioneering Wu and Waldron (W&W) method based on the assumption that roots are elastic fibers extending  
81 perpendicular to a shear surface, moreover all roots break at the same time (Wu, 1976; Waldron, 1977). Pollen and  
82 Simon (2005) developed the Fiber Bundle Model (FBM) to address the apparent overestimation of root reinforcement  
83 by the W&W model. The FBM assumes a parallel root system with roots having similar elastic properties. When each  
84 root breaks, the load is continuously redistributed over the remaining roots until the entire bundle is broken. The Root  
85 Bundle Model Weibull (RBMw) estimates root reinforcement by calculating root distributions as a function of the  
86 observed root distribution at hillslope scales (Schwarz et al. 2013). RBMw has been used in different environments  
87 across the world, including temperate forests of Norway spruce (*Picea abies* (L.) H. Karst.; Schwarz et al. 2013, 2015;  
88 Vergani et al. 2014; Moos et al. 2016; Cohen and Schwarz 2017; Cislighi et al. 2021), Jolcham oak (*Quercus serrate*  
89 Murray; Yamase et al. 2021), Monterey pine (*Pinus radiata* D. Don; Giadrossich et al. 2020), black locust (*Robinia*  
90 *pseudoacacia* L.; Zydroń et al. 2019; Zydroń and Gruchot 2021), black poplar (*Populus nigra* L.; Zydroń et al. 2019),  
91 common hornbeam (*Carpinus betulus* L.; Zydroń and Gruchot 2021), green alder (*Alnus viridis* (Chaix) D.C.), red  
92 willow (*Salix purpurea* L.), goat willow (*Salix caprea* L.), hazel (*Corylus avellana* L.), European ash (*Fraxinus*  
93 *excelsior* L.), and European larch (*Larix decidua* Mill.; Bischetti et al. 2007), silver birch (*Betula pendula* Roth.),

94 small-leaved lime (*Tilia cordata* Mill.), English oak (*Quercus robur* L.), and sweet cherry (*Prunus avium* L.; Zydrón  
95 and Gruchot 2021), sweet chestnut (*Castanea sativa* Mill.; Dazio et al. 2018; Cislighi et al. 2021), European beech  
96 (*Fagus sylvatica* L.; Gehring et al. 2019; Cislighi et al. 2021), Scots pine (*Pinus sylvestris* L.; Vergani et al. 2017b),  
97 and subtropical forest for the white mangrove (*Avicennia marina* (Forssk.) Vierh.; Karimi et al. 2022).

98 Given the complexity of estimating subsurface root parameters and the level of parameterisation required to  
99 develop effective root reinforcement models, we do not have methods for estimating root reinforcement at the hillslope  
100 scale. Biome-level estimates of root reinforcement can constrain root reinforcement to an order of magnitude (Hales  
101 2018). Hillslope scale analysis of root reinforcement, such as mapping diameter at breast height (Roering et al. 2003),  
102 still requires high levels of field validation. Field validation is particularly important in landslide prone but data poor  
103 locations such as the Hyrcanian temperate forests. In these forests, few studies provided useful advances for  
104 implementing nature-based solutions such as the forests, to reduce the negative impacts of shallow landslides. Abdi et  
105 al. (2010a) observed that RAR of oriental beech (*Fagus orientalis* Lipsky.), Persian ironwood (*Parrotia persica* (DC.)  
106 C.A.Mey.) and *Carpinus betulus* trees decreased with the depth and the maximum RAR values in the upper soil layers.  
107 For three pioneer species, Caucasian alder (*Alnus subcordata* C.A.Mey), velvet maple (*Acer velutinum* Boiss.),  
108 and Persian ironwood, Abdi and Deljouei (2019) showed that RAR was higher in shallower depths of the soil and in  
109 profiles nearer trees, they showed significantly higher RAR than in far trenches. Deljouei et al. (2020) explored the  
110 most important parameters that affect fine roots resistance of two common temperate species (*F. orientalis* and *C.*  
111 *betulus*) in the Hyrcanian forest, finding that tree species and DBH make a significant difference in fine roots  
112 resistance. The complexity of the subsurface pattern of soil profiles, tree DBH, altitude, and slope positions and their  
113 effects on the spatial variability of roots and root reinforcement is still underexplored (Hales et al. 2009; Moos et al.  
114 2016; Hales and Miniati 2017; Cislighi et al. 2021). For these reasons, the present study focuses on spatial and  
115 mechanical characteristics of the root systems of the dominant species (*F. orientalis* and *C. betulus*) in the Hyrcanian  
116 forest. In such environment, *F. orientalis* forests account for approximately 30% of the standing volume and 23.6% of  
117 the stem number at altitudes from 300 to 2000 m a.s.l (Sagheb-Talebi et al. 2014). Meanwhile, *C. betulus* species  
118 accounts for 30.5% of the standing volume and 30% of the stem number and can be found at altitudes from 100 to  
119 1500 m a.s.l (Sagheb-Talebi et al. 2014). Both species have extensive ranges in the mountains of Europe and Western  
120 Asia and occupy a wide elevation range that means they often occur on mountain slopes where the protection function  
121 of forests is important to reduce landslide risk to life and infrastructure.

122 Forestry decision-making is increasingly recognising the role of trees as a nature-based solution for protection, as well  
123 as the more traditionally recognised ecological and economic benefits. While a lot of work on root reinforcement has  
124 occurred in traditional European and North American forestry species (e.g. Douglas fir and Sitka spruce), a systematic  
125 understanding of the variability in root reinforcement of common non-forestry species is rarer in the literature. Hence  
126 we sought to understand how different environmental and topographic controls in two common species, *C. betulus* and  
127 *F. orientalis*. The main aims of the study were: (i) investigating the spatial (root distribution) and mechanical variability  
128 of root systems, and (ii) modelling root reinforcement by Root Bundle Model Weibull (RBMw) of two hardwood  
129 species in the Hyrcanian forest; (iii) providing a simplified framework for evaluating the effects of trees in terms of  
130 slope stability. In addition, the study conducted a statistical analysis of the similarities and differences between two

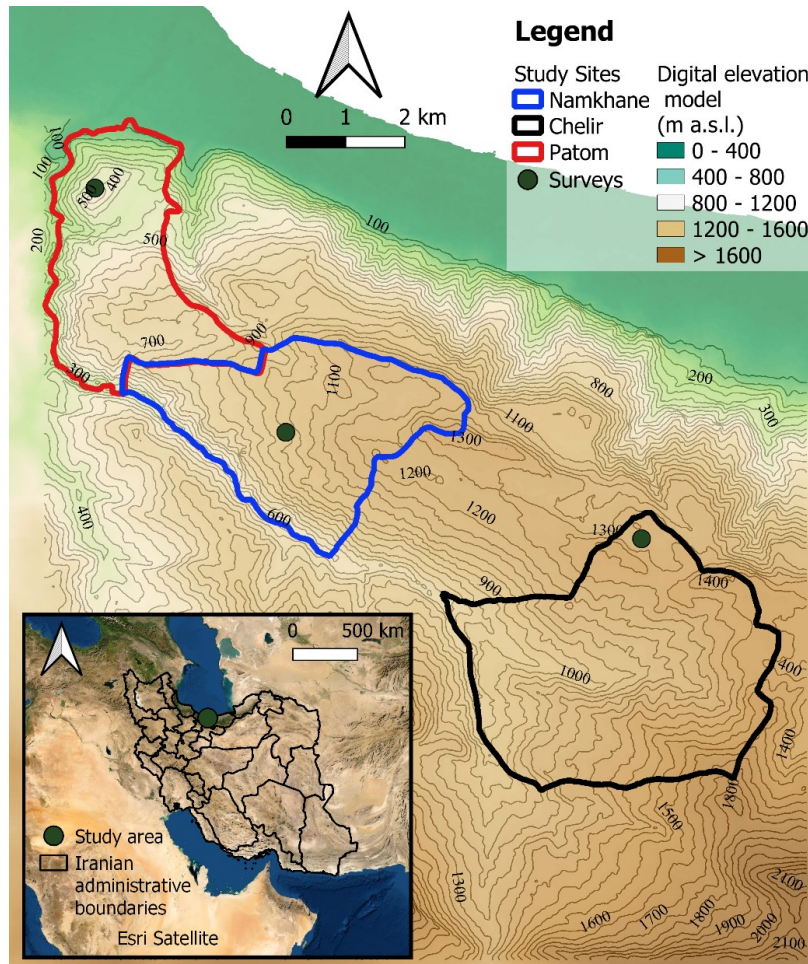
131 main species of Hyrcanian temperate forests and several dendrometric characteristics, altitude, and spatial position on  
132 root reinforcement, which forest managers must consider to mitigate shallow landslides.

## 133 2. Material and Methods

### 134 2.1. Study site

135 Iran a landslide-prone country due to its specific geologic, morphologic, climatic, and tectonic conditions.  
136 Approximately 2600 landslides occurred in the year 2000 in the country, which caused 162 deaths, destruction of 176  
137 houses, and damages to 170 roads, with most landslides are concentrated on the rim of the Alborz Mountains in the  
138 Hyrcanian ecoregion (Abbaszadeh Shahri and Maghsoudi 2021). Hyrcanian forests are classified as hilly and  
139 mountainous temperate forests, forming a green belt over the northern slopes of the Alborz Mountain, with landslides  
140 often triggered where these areas have been cleared to construct roads. The forests cover ~1.9 million hectares of the  
141 southern coast of the Caspian Sea. The area is abundant in hardwood species, including approximately 50 trees and 80  
142 shrub species (Fathizadeh et al. 2020; Rahbarisisakht et al. 2021). Dominant species are the *Carpinus betulus*, *Fagus*  
143 *orientalis*, *Parrotia persica*, Cappadocian maple (*Acer cappadocicum* Gled.), *Acer velutinum*, common alder (*Alnus*  
144 *glutinosa* (L.) Gaertn), Wych elm (*Ulmus glabra* Huds.), and the chestnut-leaved oak (*Quercus castaneifolia*  
145 C.A.Mey.). Hyrcanian forests are used for wood production, tourism, environmental protection and supportive  
146 services, such as soil conservation and maintenance of water resources (Heshmatol Vaezin et al. 2022; Panahandeh et  
147 al. 2022). One of the severe problems in Hyrcanian forests is slope failure and shallow landslides, specifically where  
148 the trees have been clear-cut to make space for forest roads (Abdi et al. 2010a). In this ecoregion, landslides often  
149 cause economic losses, property damages and high maintenance costs, as well as injuries or mortality (Pourghasemi et  
150 al. 2012).

151 Kheyroud Forest, which covers an area of ~8000 ha, was selected as the study location (Fig. 1). The climate of the area  
152 is humid, and the temperature fluctuations are relatively limited. The average annual precipitation is 1300 mm, falling  
153 mainly as rain. The mean summer and winter temperatures are estimated to be 25.1 and 7.1 °C, respectively  
154 (Haghshenas et al. 2016). Field sampling was carried out in three districts of the Kheyroud Forest (Fig. 1), namely  
155 Patom (latitudes 36° 35' 59" to 36° 36' 9" N and longitudes 51° 33' 43" to 51° 33' 55" E), Namkhane (latitudes 36° 33'  
156 45" to 36° 33' 55" N and longitudes 51° 35' 51" to 51° 36' 4" E), and Chelir (latitudes 36° 32' 45" to 36° 32' 55" N and  
157 longitudes 51° 39' 53" to 51° 40' 5" E). Altitude of the study sites ranges from 400 m a.s.l. in Patom, with the highest  
158 mean temperature and lowest annual precipitation, to 950 m a.s.l. in Namkhane, and 1300 m a.s.l. in Chelir, the latter  
159 exhibiting the lowest mean temperature and the highest yearly precipitation. According to the unified soil classification  
160 system, the soils on the three study sites were clays with high plasticity (i.e., CH). The mean values ( $\pm$  SD) of the  
161 Atterberg limits of the soils (soil liquid limit (Casagrande cup method), soil plastic limit (rolling and thread method),  
162 and soil plasticity index) from the study sites were estimated at 65% ( $\pm$  6.2%), 26.4% ( $\pm$  3.1%), 38.6% ( $\pm$  3.8%) in  
163 Patom district, 88.5% ( $\pm$  7.4%), 38.3% ( $\pm$  4.9%), 50.2% ( $\pm$  4.6%) in Namkhane district, and 85.7% ( $\pm$  6.9%), 37.7%  
164 ( $\pm$  3.7%), 48.0% ( $\pm$  5.0%) in Chelir district, respectively.

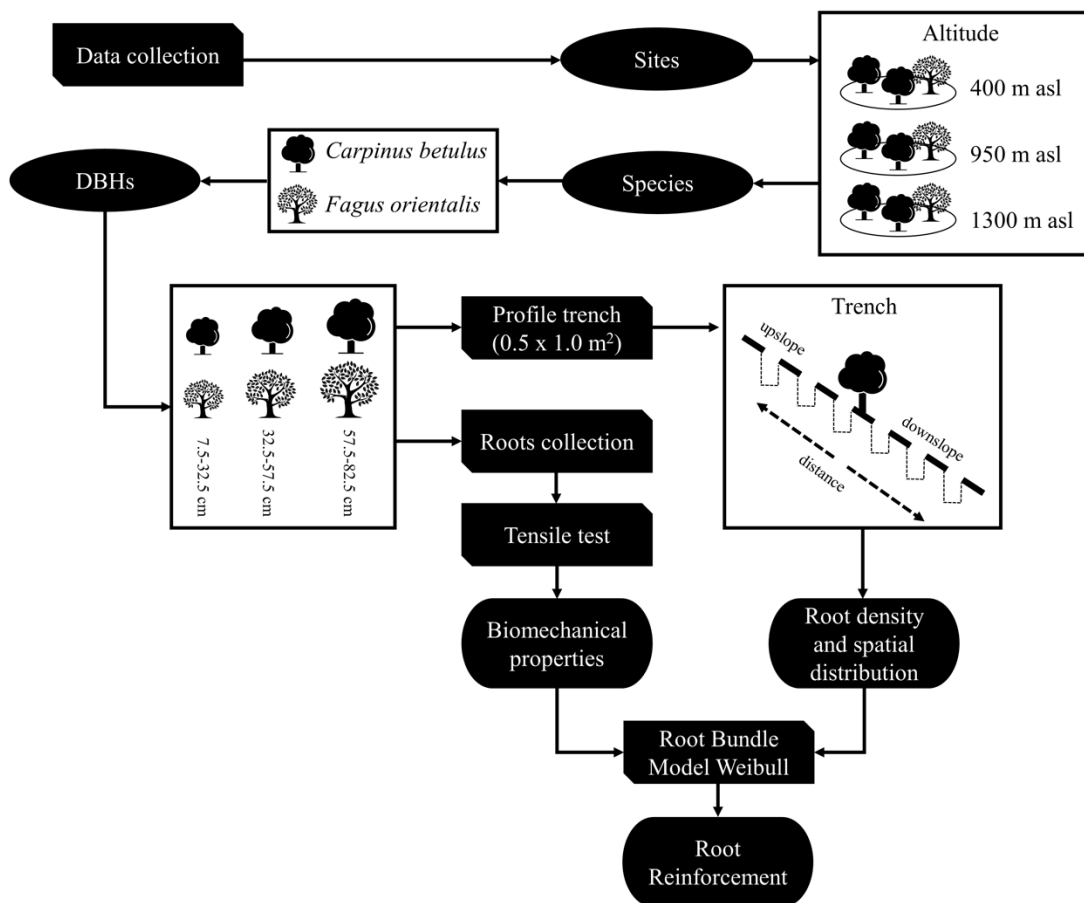


165  
 166 **Fig. 1** Study location: map of Iran showing the general location of the study and districts taken into study

167 **2.2. Measuring root distribution**

168 Root distribution was measured for 5 sample trees of each of the two investigated species (*C. betulus* and *F. orientalis*),  
 169 for each study site (Patom, Namkhane, and Chelir) at an altitude of 400, 950, and 1300 m a.s.l., respectively, and for  
 170 each DBH class (small = 7.5-32.5 cm, medium = 32.5-57.5 cm, and large = 57.5-82.5 cm). Hence, 90 trees were  
 171 randomly selected (3 altitudes × 3 DBH classes × 2 species × 5 trees) and used as a sampling reference in this study.  
 172 Six trenches with a width of 0.5 m and a length of 1 m were excavated manually to the maximum rooting depth (1 m  
 173 soil depth); located on the downslope and upslope at distances of 1.0 m, 1.5 m, 2.0 m, 2.5 m, 3.5 m, and 4.0 m from  
 174 the tree (Fig. S1). The profile trenching method was used to characterize the root distribution (Böhm 1979; Fig. S1).  
 175 Layers of 10 cm were marked on the vertical profile walls using pins and string (Fig. S1). The number of roots,  
 176 diameter, and maximum depth were measured in both downslope and upslope trenches. The diameters of roots  
 177 intersecting the soil profile were measured with a digital calliper. Based on their diameter, the roots were included in  
 178 four classes, namely fine roots (0-2 mm), small roots (2-5 mm), medium roots (5-10 mm), and large roots (>10 mm).

179 The field measurements were conducted between August and October 2016. All the steps of collecting data are shown  
 180 in Fig. 2.



181  
 182 **Fig. 2** Flowchart describing the steps for quantifying root reinforcement: selecting study sites at different altitudes (400  
 183 m a.s.l., 950 m a.s.l., 1300 m a.s.l.), selecting *Carpinus betulus* and *Fagus orientalis* samples with different DBH  
 184 classes (small: 7.5-32.5 cm, medium: 32.5-57.5 cm, large: 57.5-82.5 cm), evaluating root density and spatial  
 185 distribution at downslope and upslope at six distances from a tree trunk (1, 1.5, 2, 2.5, 3.5, and 4 m), investigating  
 186 roots biomechanical properties and quantifying root reinforcement by Root Bundle Model Weibull

### 187 2.3. Measuring biomechanical properties

188 Root samples were collected from downslope and upslope at a depth of about 30 cm from the surface (Mao et al. 2012).  
 189 To prevent mould and microbial degradation, a 15% alcohol solution was sprayed on the roots, then the treated roots  
 190 were placed into plastic bags and refrigerated (4 °C) until tested (time between sampling and testing in the laboratory  
 191 was of about 48 h) (Vergani et al. 2012). Roots with a length of 15 cm were placed in the clamps of the Universal  
 192 Testing Machine (SANTAM Co./SMT-5, Tehran, Iran), and mechanical tests were conducted at a speed of 10 mm  
 193 min<sup>-1</sup> until rupture occurred. Only specimens that broke near the middle of the root segment were considered. Then,  
 194 the relationships between the root diameter and biomechanical properties (i.e., maximum tensile force, Young's  
 195 modulus, maximum elongation) were calculated using the following equations,

$$F_{\max}(\phi_i) = F_0 \left( \frac{\phi_i}{\phi_0} \right)^\xi \quad (1)$$

$$E(\phi_i) = E_0 \left( \frac{\phi_i}{\phi_0} \right)^\beta \quad (2)$$

$$L(\phi_i) = L_0 \left( \frac{\phi_i}{\phi_0} \right)^\alpha \quad (3)$$

196 where  $\phi_i$  is root diameter (in mm),  $\phi_0$  is the reference root diameter (1 mm),  $F_{\max}$  is maximum tensile force (in N),  $E$  is  
 197 root elasticity (MPa), and  $L$  is root elongation (in mm).  $F_0$ ,  $E_0$ , and  $L_0$  are multiplicative coefficients (in N, MPa, and  
 198 mm, respectively),  $\xi$ ,  $\beta$ , and  $\alpha$  are exponential parameters (unitless).

#### 199 2.4. Root Bundle Model Weibull (RBMw)

200 Root reinforcement was calculated using the Root Bundle Model Weibull (RBMw; Schwarz et al. 2013). RBMw is a  
 201 strain-step fiber bundle model, developed to include the failure probability of roots due to variability in root mechanical  
 202 properties. RBMw calculates force-displacement behaviour of a root bundle based on root distribution in diameter  
 203 classes and on a series of power-distributed relationships (Eqs. 1-3). Root reinforcement  $c_r$  (in kPa) is calculated by  
 204 summing up the force contributions  $F$  (in N) for each root per unit of area ( $\text{m}^2$ ) multiplied by the Weibull survival  
 205 function  $S$  (unitless), as follows:

$$c_r = \sum_{i=1}^N F(\phi_i, \Delta x) S(\Delta x^*) \quad (4)$$

206 Where  $\Delta x$  is the displacement unit in mm and  $S$  is a function of the normalized displacement  $\Delta x^*$  (unitless). The  
 207 following equation calculates the  $S(\Delta x^*)$ :

$$S(\Delta x^*) = \exp \left[ - \left( \frac{\Delta x^*}{\lambda} \right)^\omega \right] \quad (5)$$

208 In equation (5),  $\lambda$  is the scale Weibull parameter (unitless) and  $\omega$  is the shape Weibull parameter (unitless).

209 The ratio between the displacements is estimated by each single tensile tests and the corresponding displacement values  
 210 are calculated using fitted values of tensile forces.

$$F(\phi_i, \Delta x) = \frac{\pi E_0}{4 L_0} \phi_i^{2+\beta-\alpha} \quad F(\phi_i, \Delta x) < F_{\max}(\phi_i) \quad (6)$$

211 All input parameters ( $F_0$ ,  $E_0$ ,  $L_0$ ,  $\xi$ ,  $\beta$ , and  $\alpha$ ) were calculated from the tensile tests.



## 2.5. Evaluation of hillslope stability using a probabilistic multidimensional approach

For assessing the effects of the implications of different forest coverage on slope stability, the present study adopted the model PRIMULA (PRobabilistic MULTidimensional shallow Landslide Analysis), developed by Cislighi et al. (2017) and based on three pioneering model MD-STAB (Multidimensional Shallow Landslide Model) implemented by Milledge et al. (2014). The model consists in a combination between a 3D limit equilibrium model and a Monte Carlo Simulation (MCS). It is based on several assumptions: (i) the force balance is applied to the center of a potential parallelepiped landsliding block, (ii) groundwater level is steady and parallel to the slope surface, (iii) infiltration, suction and capillary rise are not taken into consideration, and (iv) the single block is divided into saturated and unsaturated zones. PRIMULA includes earth pressure lateral forces, soil cohesion and basal-lateral root reinforcement acting on potential landslide boundaries. The Factor of Safety ( $FoS$ ) can be estimated as the ratio between the resisting and the driving forces as follows:

$$FoS = \frac{F_{rb} + 2F_{rl} + F_{rd} + F_{ru} - F_{du}}{F_{dc}} \quad (7)$$

where  $F_{dc}$  is the downslope component of the central block weight,  $F_{du}$  is the active earth force acting on the central block from the upslope edge,  $F_{rd}$  is the passive earth force acting on the central block from the downslope edge,  $F_{rb}$  is the resisting basal force acting on the basal soil-bedrock boundary,  $F_{rl}$  is the resisting shear force acting on the two parallel slope sides of the block,  $F_{ru}$  is the lateral root reinforcement acting on the upslope side of the block. The unit of measure of all forces is N. A comprehensive description of all equations describing each component of Eq. 7 is reported in Appendix A, whereas more details are in Cislighi et al. (2017).

Including the MCS into a slope stability analysis is an effective method for dealing with the uncertainty/variability of each input parameter by sampling from independent and random sets of possible values for each one to determine the distribution of  $FoS$ . In the present study,  $\theta$  varies from  $20^\circ$  to  $60^\circ$ ,  $\gamma_s$  is normally distributed around  $13.5 \text{ kNm}^{-3}$  (Abdi and Deljouei 2019),  $\phi'$  is uniformly distributed between  $26^\circ$  and  $35^\circ$ ,  $C'_s$  is normally set around the average value of  $15.3 \text{ kPa}$  (Abdi and Deljouei 2019), and  $q_0$  is in function of DBH class:  $140 \text{ Nm}^{-2}$ ,  $220 \text{ Nm}^{-2}$ , and  $320 \text{ Nm}^{-2}$  for small, medium and large *C. betulus* trees, and  $100 \text{ Nm}^{-2}$ ,  $230 \text{ Nm}^{-2}$ , and  $400 \text{ Nm}^{-2}$  for *F. orientalis* (Chiaradia et al. 2016; Hayati et al. 2017). The root reinforcement values of  $C'_{rl}$  are obtained by RBMw-calculations in the function of the most critical conditions i.e., distance from tree at 4 m by sampling and upslope position.  $C'_{rb}$  is a percentage of  $C'_{rl}$  in the function of RAR and soil depth which depends on slip failure. The size of potential landslides is extracted by the statistical analysis conducted by Milledge et al. (2014) on six published worldwide landslide inventories. To summarize, the input parameters of PRIMULA are reported in Table 1. For this analysis, the procedure is replicated 1000 times; furthermore, to reduce the effects of random selection (Hammond et al. 1992).

**Table 1.** The parameters for PRIMULA in the function of species, altitude and DBH classes, considering the upslope position and the far distance from the trunk (i.e., 4 m). The parameters were specified using a range and the distribution function.

Parameter	Unit	Range	Distribution function	Reference
$l/w$	-	$\mu=1.42$ $\sigma=0.20$	Normal	Milledge et al. 2014
$D$	m	[0.5; 1.5]	Uniform	Hammond et al. 1992
$D_w/D$	-	$\mu=0.80$ $\sigma=0.05$	Normal	Schwarz et al. 2010
$\gamma_s$	kN m <sup>-3</sup>	$\mu=13.5$ $\sigma=1.00$	Normal	Abdi and Deljouei 2019
$\phi'$	°	[26; 35]	Uniform	Abdi and Deljouei 2019
$C'_s$	kPa	$\mu=15.3$ $\sigma=2.00$	Normal	Abdi and Deljouei 2019

## 244 2.6. Statistical analysis

245 Statistical analysis was used to check the differences in root distribution and root reinforcement by considering the  
246 species, DBH, slope position, altitude, and distance from the trees. The Shapiro–Wilk and Levene’s tests were used to  
247 check the normality and homogeneity of the data, respectively. Since the datasets were found to violate the normality  
248 and homogeneity assumptions, a nonparametric Kruskal-Wallis test (H) was used to compare the RAR and soil  
249 reinforcement of different root diameter classes within DBH classes, slope positions, and study sites for *C.*  
250 *betulus* and *F. orientalis* trees (Tables S1-S5). When the residuals were normal and variance was heterogeneous,  
251 parametric Welch t-tests were used to compare between two independent groups. Finally, when the residuals were  
252 normal and variance was homogenous, One-way ANOVA (analysis of variance) was used to compare the means of  
253 two or more groups for one dependent variable. All statistical analyses were implemented using the R software  
254 (<https://www.r-project.org>). Confidence intervals were set for a probability level of 0.05.

## 255 3. Results

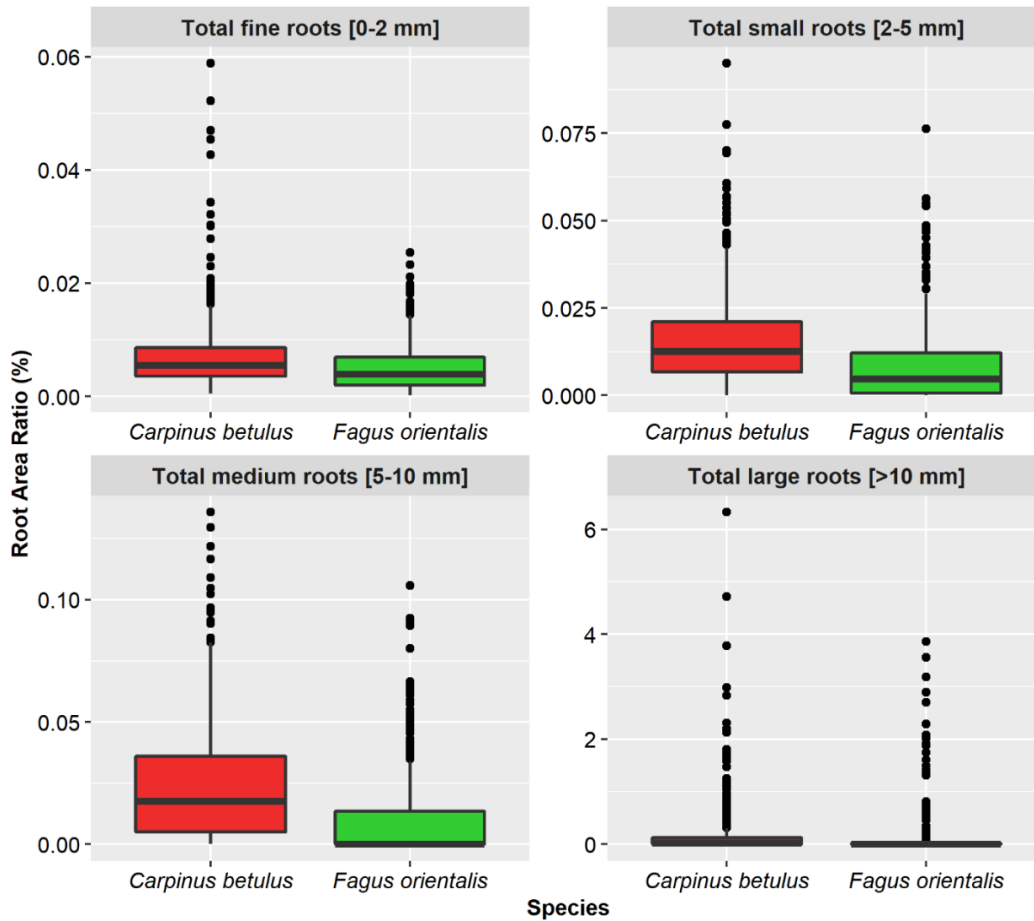
### 256 3.1. Variability of root distribution

#### 257 3.1.1. Root distribution as a function of species

258 RAR was measured by considering a number of 1080 profile trenches. Figure 3 showed the total RAR for fine (0-2  
259 mm), small (2-5 mm), medium (5-10 mm), and large roots (>10 mm) as a function of species. Root distributions were  
260 remarkably different in regard to the diameter classes. As a fact, for *C. betulus*, small roots had the highest RAR value  
261 whereas the value of large roots was the lowest among all the root diameter classes (Fig. 3). Furthermore, fine roots of  
262 *F. orientalis* had a higher frequency compared with that from the rest of diameter classes (Fig. 3). The Kruskal-Wallis  
263 test indicated that RAR values of *C. betulus* was significantly higher than those of *F. orientalis* ( $H_1 = 65.13$ ,  $H_1 =$   
264  $140.65$ ,  $H_1 = 177.01$ ,  $H_1 = 117.44$ ;  $p < 2.2e-16$  to  $p < 1e-15$ ; for fine, small, medium, and large roots, respectively; Fig.  
265 3 and Table S2). The total RAR ( $H_1 = 191.37$ ,  $p < 2.2e-16$ ; Fig. 4 and Table S2) and total roots per unit area ( $H_1 =$   
266  $99.60$ ,  $p < 2.2e-16$ ; Fig. 4 and Table S2) of *C. betulus* and *F. orientalis* demonstrated a significant difference, in which  
267 *F. orientalis* had fewer roots. The minimum, maximum and mean values of RAR for *C. betulus* were 0.0010%,  
268 0.0040%, and 0.0020%, respectively, while for *F. orientalis* the same statistics accounted for 0.0005%, 0.0020%, and

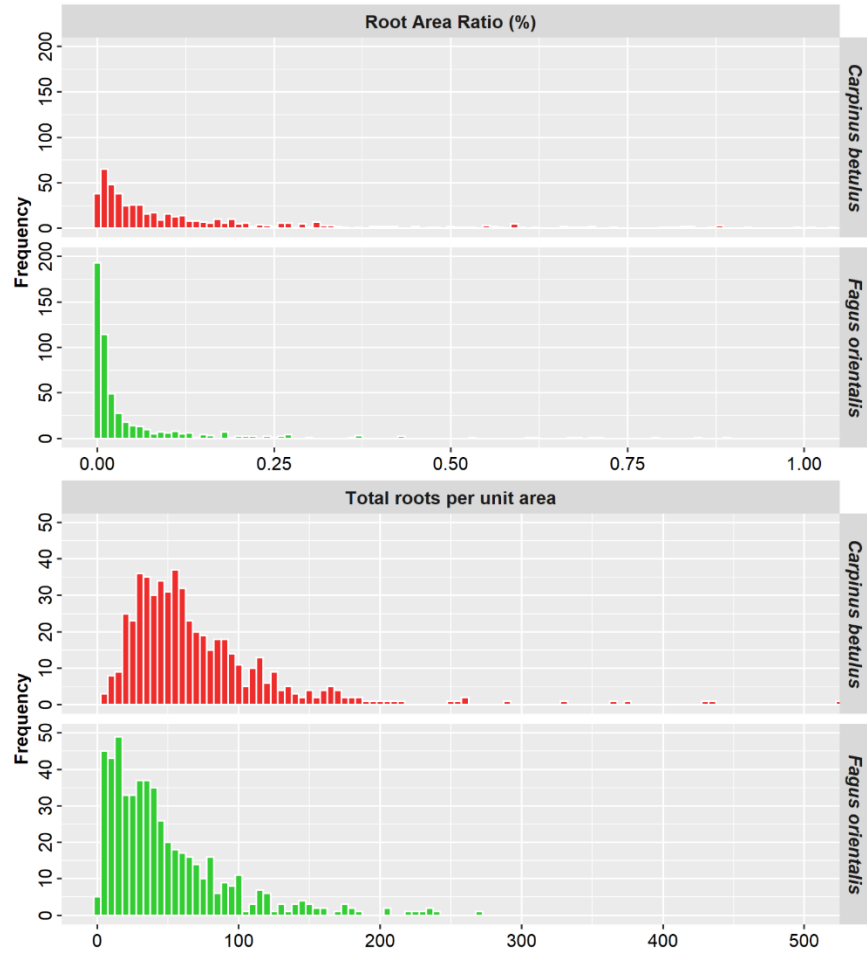
269 0.0010%, respectively. The results showed that *C. betulus* had more roots in all root diameter classes, in which the  
270 total number of roots per unit area for *C. betulus* was 38987 and for *F. orientalis* was 26079 (Fig. 4).

271



272

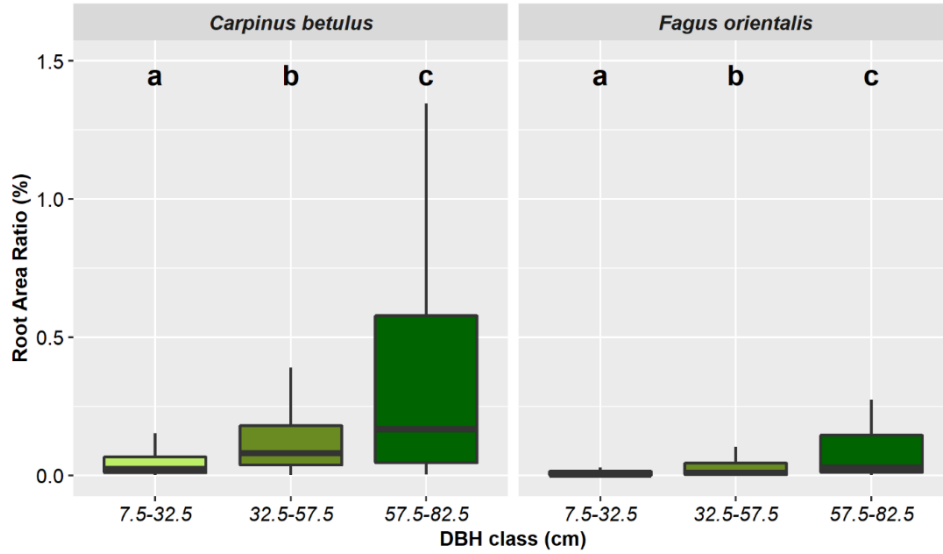
273 **Fig. 3** Root Area Ratio (RAR, in %) of fine roots (0-2 mm), small roots (2-5 mm), medium roots (5-10 mm), and  
274 large roots (> 10 mm) as a function of species: *Carpinus betulus* and *Fagus orientalis*



275  
 276 **Fig. 4** Total Root Area Ratio (RAR, in %) and total roots per unit area as a function of tree species: *Carpinus betulus*  
 277 and *Fagus orientalis*

278 **3.1.2. Root distribution as a function of DBH**

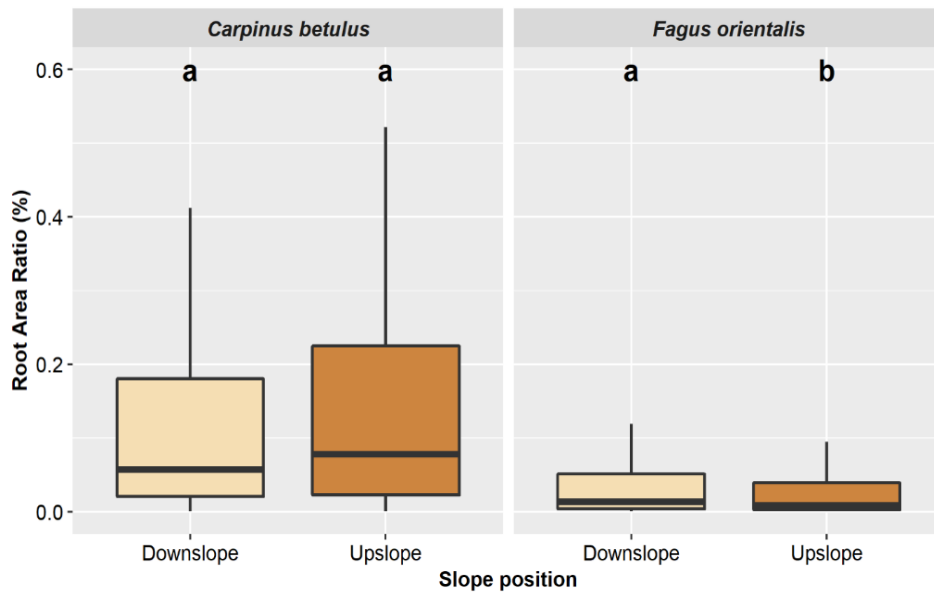
279 Kruskal-Wallis test was conducted to verify the differences in RAR as a function of DBH for *C. betulus* ( $H_2 = 111.01$ )  
 280 and *F. orientalis* ( $H_2 = 112.80$ ), in which significant differences were found to be caused by DBH classes of both  
 281 species ( $p < 2.2e-16$ ; Table S3). On average, the largest DBH tree class of both species had the highest RAR value  
 282 compared to the other DBH classes (Fig. 5). The mean values of RAR for large trees was 0.0050% and 0.0030%, for  
 283 *C. betulus* and *F. orientalis*, respectively (Fig. 5). Mean values of RAR for medium and small trees of *C. betulus* and  
 284 *F. orientalis* were of 0.0010% and 0.0004%, 0.0006% and 0.0001%, respectively (Fig. 5).



285  
 286 **Fig. 5** Root Area Ratio (RAR in %) for *Carpinus betulus* and *Fagus orientalis* as a function of DBH class: Small (7.5-  
 287 32.5 cm), Medium (32.5-57.5 cm), and Large (57.5-82.5 cm). Boxes with the similar lowercase letters are not  
 288 significantly different

289 **3.1.3. Root distribution as a function of slope position**

290 A synthesis of the RAR as a function of slope position is shown in Figure 5. RAR values of downslope and upslope  
 291 were not significantly different for *C. betulus* ( $H_1 = 2.86$ ,  $p = 0.09$ ; Table S4). However, while the RAR values of *F.*  
 292 *orientalis* were significantly higher for downslope ( $H_1 = 7.01$ ,  $p = 0.01$ ; Table S4). The mean value of RAR for  
 293 downslope and upslope of *C. betulus* was estimated to be 0.0020%, while the mean value of RAR for *F. orientalis* was  
 294 of 0.0020% and 0.0007% for downslope and upslope, respectively (Fig. 6).

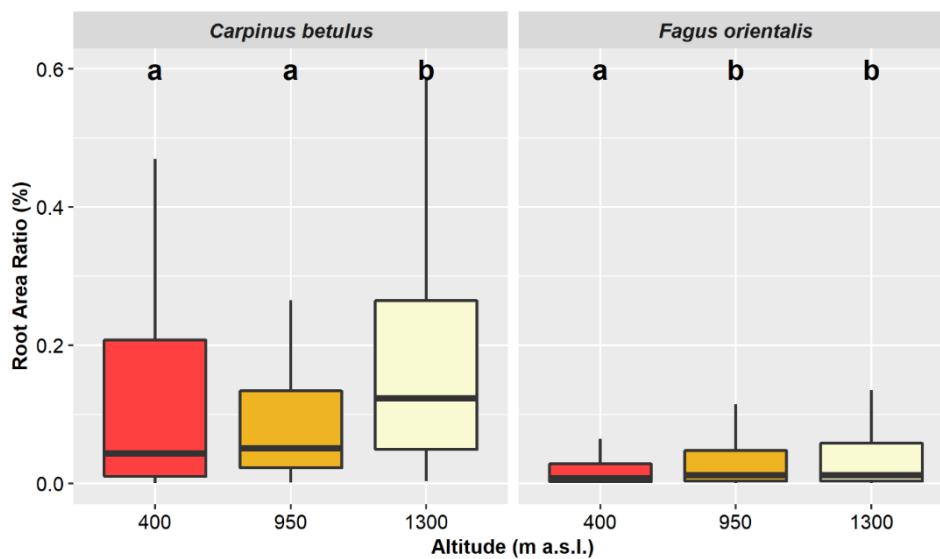


295  
 13

296 **Fig. 6** Root Area Ratio (RAR in %) for *Carpinus betulus* and *Fagus orientalis* in function of slope position: Downslope  
 297 and Upslope. Boxes with the similar lowercase letters are not significantly different

298 **3.1.4. Root distribution as a function of altitude**

299 Before proceeding with the statistical analysis on root distribution, we verified the assumption that the soil properties  
 300 (and as consequence the root growth condition) of the three study sites (Patom, Namkhane, and Chelir at an altitude  
 301 of 400, 950, and 1300 m a.s.l., respectively) were similar. Applying ANOVA, the soil samples showed no significant  
 302 differences among the study sites (liquid limit:  $F= 4.13$ ,  $p> 0.01$ ; plastic limit:  $F= 4.76$ ,  $p> 0.01$ ; and plasticity index:  
 303  $F= 1.91$ ,  $p> 0.01$ ). Concerning RAR, the values varied among altitudes: 400, 950, and 1300 m of *C. betulus* ( $H_2=$   
 304  $32.73$ ,  $p<1e-7$ ; Table S5) and *F. orientalis* ( $H_2= 6.46$ ,  $p=0.04$ ; Table S5). Figure 7 showed the statistical differences  
 305 brought by the altitude on the RAR of the two species. For *C. betulus*, the highest mean value of RAR was found in  
 306 1300 m (0.0020%) and it was followed by 950 m (0.0010%) and 400 m (0.0030%). For *F. orientalis*, RAR values in  
 307 1300 and 950 m were larger than in 400 m (Fig. 7). According to the altitude, mean RAR for *F. orientalis* was reported  
 308 as 0.0010% for 1300 m and 950 m; furthermore, it was recorded as 0.0009% for 400 m (Fig. 7).

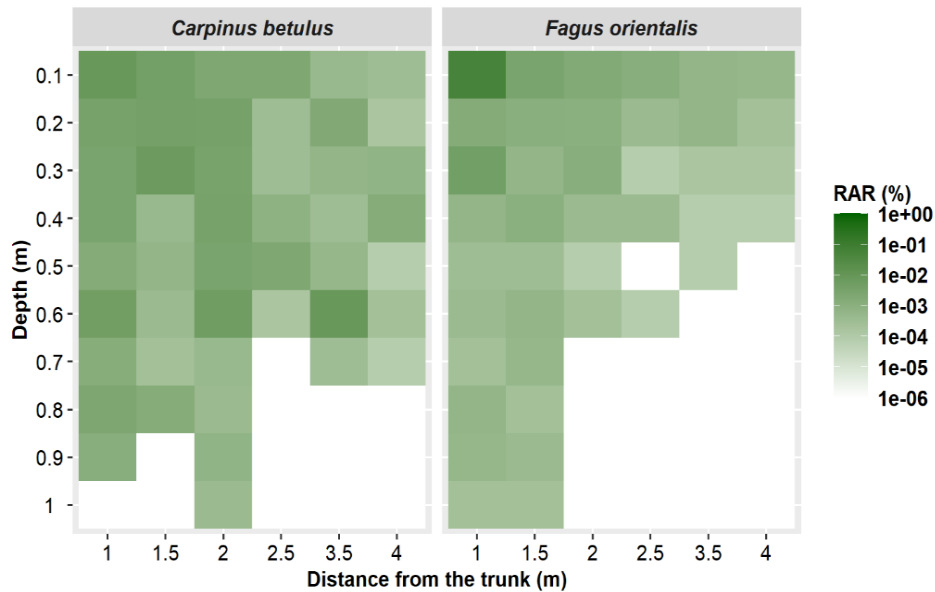


309 **Fig. 7** Root Area Ratio (RAR in %) for *Carpinus betulus* and *Fagus orientalis* as a function of altitude: 400, 950, and  
 310 1300 m a.s.l. Boxes with the similar lowercase letters are not significantly different  
 311

312 **3.1.5. Root distribution as a function of vertical and horizontal directions**

313 In the case of both species, the predominant number of roots was found in the subsurface soil layers to a depth of up  
 314 to 30 cm after which the root frequency decreased (Fig. 8). For both *C. betulus* and *F. orientalis*, RAR decreased  
 315 monotonically with distance to tree beyond a 2 m (Fig. 8). The highest RAR values were found closest to the tree trunk  
 316 with greater concentrations at 1-1.5 m from the tree trunk for both species (Fig. 8). The mean RAR at 1 m distance  
 317 was calculated 0.07% and 0.06% for *C. betulus* and *F. orientalis*, respectively. It was recorded that mean RAR at 1.5

318 m distance was 0.03% for *C. betulus* and 0.01% for *F. orientalis* (Fig. 8). Indeed, roots were detected for the 49.21%  
 319 at the 1 m distance for *C. betulus* and 82.93% for *F. orientalis*. Only 20.80% and 10.76% of roots were distributed at  
 320 1.5 m distance for *C. betulus* and *F. orientalis*. It was noted that mean values of RAR at the 0-10 cm soil depth were  
 321 0.11 and 0.08% for *C. betulus* and *F. orientalis*, respectively (Fig. 8). In the case of 10-20 cm soil depth, it was  
 322 estimated 0.06% for *C. betulus* and 0.02% for *F. orientalis* (Fig. 8). Approximately, in the case of *C. betulus*, 50% of  
 323 roots distributed at the 0-10 cm soil depth and 69% for *F. orientalis*. Furthermore, at a depth of 10-20 cm, root  
 324 distribution was reported 25.55% and 19.77% for *C. betulus* and *F. orientalis*, respectively. Overall, root distribution  
 325 decreases with increasing soil depth and distance from the tree trunk. Moreover, root distribution was found higher on  
 326 *C. betulus* than *F. orientalis*.

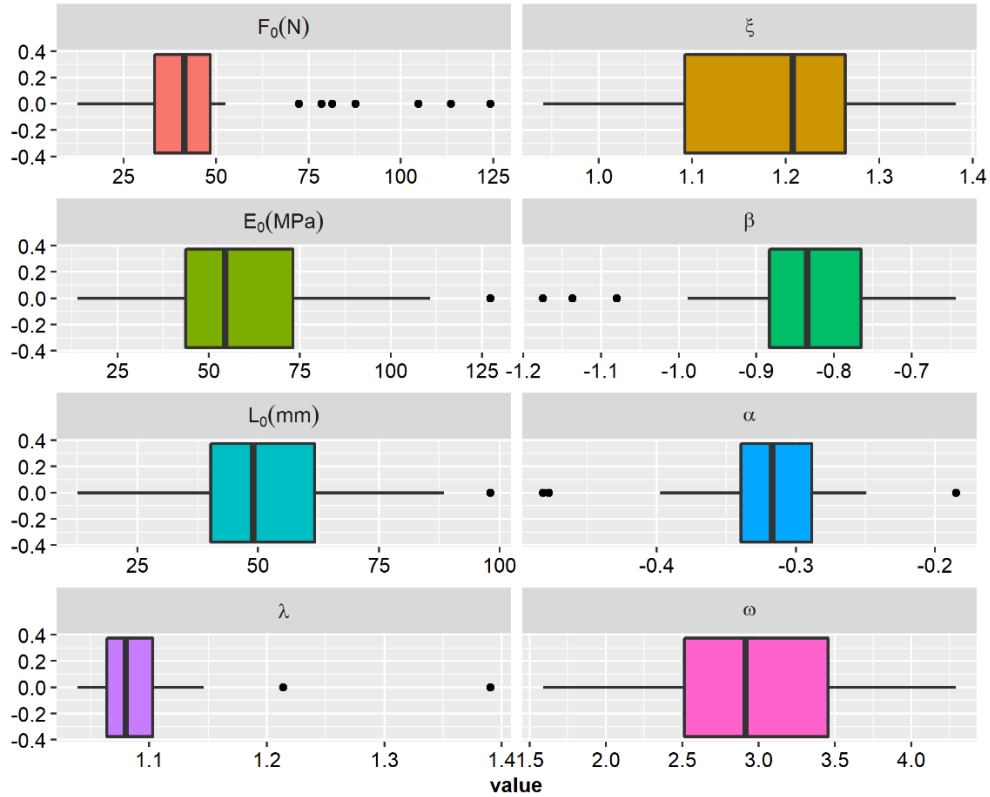


327  
 328 **Fig. 8** Spatial distribution of Root Area Ratio (RAR in %) as a function of vertical and horizontal direction of *Carpinus*  
 329 *betulus* and *Fagus orientalis*

330 **3.2. Variability of the mechanical properties of roots**

331 **3.2.1. Variability of RBMw parameters**

332 The main input parameters, including the relationship between root tensile force and root diameter, the regression  
 333 coefficients for Young's modulus, root elongation, and the Weibull survival function are shown in Figures S2, S3, S4,  
 334 S5, S6, S7, and Table S7. The results of the root tensile tests indicated strong relations between the mechanical and  
 335 geometrical characteristics of the roots (root diameter) by power-law regression (Figs. S2-S7). Variability of Root  
 336 Bundle Model Weibull (RBMw) parameters, including coefficients  $F_0$ ,  $E_0$ ,  $L_0$  and exponents  $\zeta$ ,  $\beta$ ,  $\alpha$ ,  $\lambda$ ,  $\omega$  were shown  
 337 in Fig. 9.  $F_0$  ranged between 12.41 and 124.18 N,  $E_0$  ranged between 13.93 and 127.34 MPa, and  $L_0$  ranged between  
 338 12.56 and 98.07 mm (Fig. 9). The minimum values of  $\zeta$ ,  $\beta$ ,  $\alpha$ ,  $\lambda$ ,  $\omega$  were 0.94, -1.17, -0.48, 1.04, and 1.59, respectively.  
 339 The maximum values of these exponents were 1.38, -0.64, -0.18, 1.39, and 4.29, respectively (Fig. 9).

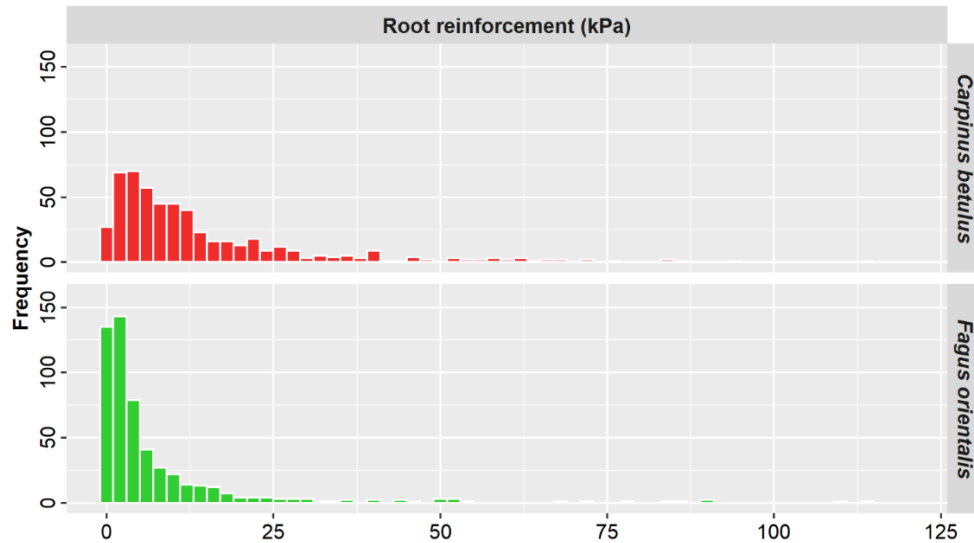


340  
 341 **Fig. 9** Variability of Root Bundle Model Weibull (RBMw) parameters: constant coefficients  $F_0$ ,  $E_0$ ,  $L_0$  and exponents  
 342  $\xi$ ,  $\beta$ ,  $\alpha$ ,  $\lambda$ ,  $\omega$  coefficients (unitless)

343 **3.2.2. Species-specific root reinforcement using RBMw model**

344 The mean values of root reinforcement for *C. betulus* and *F. orientalis* were of 16.08 kPa and 7.69 kPa, respectively.  
 345 Figure 10 showed that root reinforcement of *C. betulus* is higher at shallow depths than *F. orientalis*, whereas the  
 346 Kruskal-Wallis test showed a statistically significant difference in root reinforcement between the species ( $H_1 = 168.22$ ,  
 347  $p < 2.2e-16$ ; Table S6). The minimum and maximum values of root reinforcement for *C. betulus* were calculated as  
 348 0.23 kPa and 216.95 kPa, respectively which is concentrated in shallower soil layers and nearer to the tree trunk. Root  
 349 reinforcement for *F. orientalis* varied from 0.07 to 145.39 kPa.



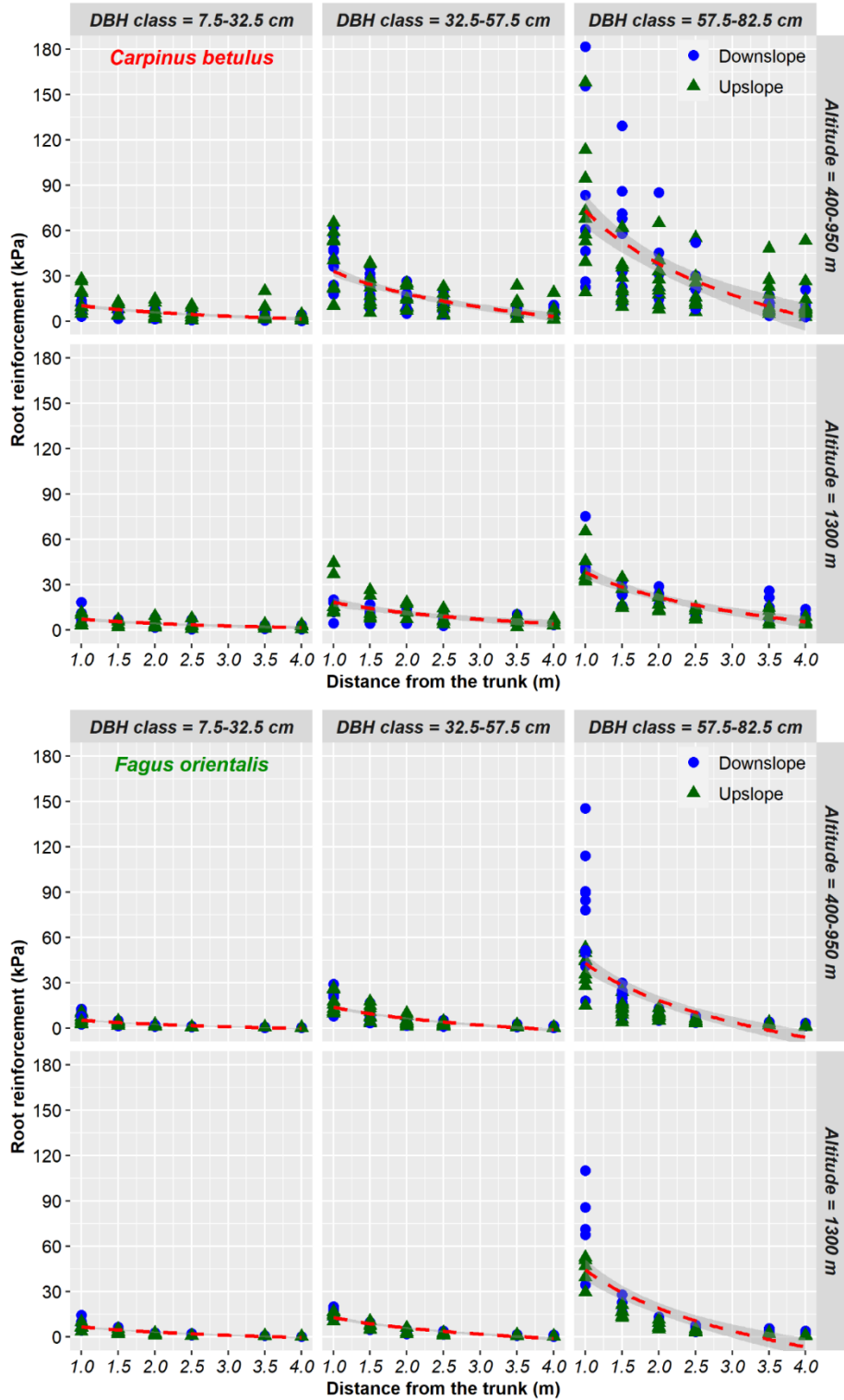


350

351 **Fig. 10** Root reinforcement in function of species (*Carpinus betulus* and *Fagus orientalis*)

352 **3.2.3. Impacts of environmental factors on RBMw-calculated root reinforcement**

353 Applying RBMw, the root reinforcement of *C. betulus* was the highest in 400 m and 950 m compared with 1300 m.  
 354 The mean values of  $c_r$  showed larger variation, including 23.89, 12.95, and 11.41 kPa at 400, 950, and 1300 m,  
 355 respectively. Conversely, the mean value of  $c_r$  for *F. orientalis* reported 5.65, 9.58, and 7.85 kPa at the same altitudes,  
 356 respectively. The results showed that  $c_r$  declined by decreasing DBH for both species (Fig. 11). Mean values of  $c_r$   
 357 reached 29.12, 14.29, and 4.82 kPa for large, medium and small trees of *C. betulus*, respectively; however, for the  
 358 same DBH classes, the mean values of  $c_r$  for *F. orientalis* were of 15.52, 5.30, and 2.26 kPa, respectively. Furthermore,  
 359 the  $c_r$  decreased with increasing distance from the tree trunk (Fig. 11). The highest mean value of  $c_r$  for *C. betulus* was  
 360 of 38.55 kPa at 1 m distance from the tree, whereas it was of 26.99 kPa for *F. orientalis* at the same distance (Fig. 11).  
 361 The lowest mean values of  $c_r$  were of 5.85 and 0.78 kPa, and they were found at distances of 4 m for *C. betulus* and *F.*  
 362 *orientalis*, respectively (Fig. 11).

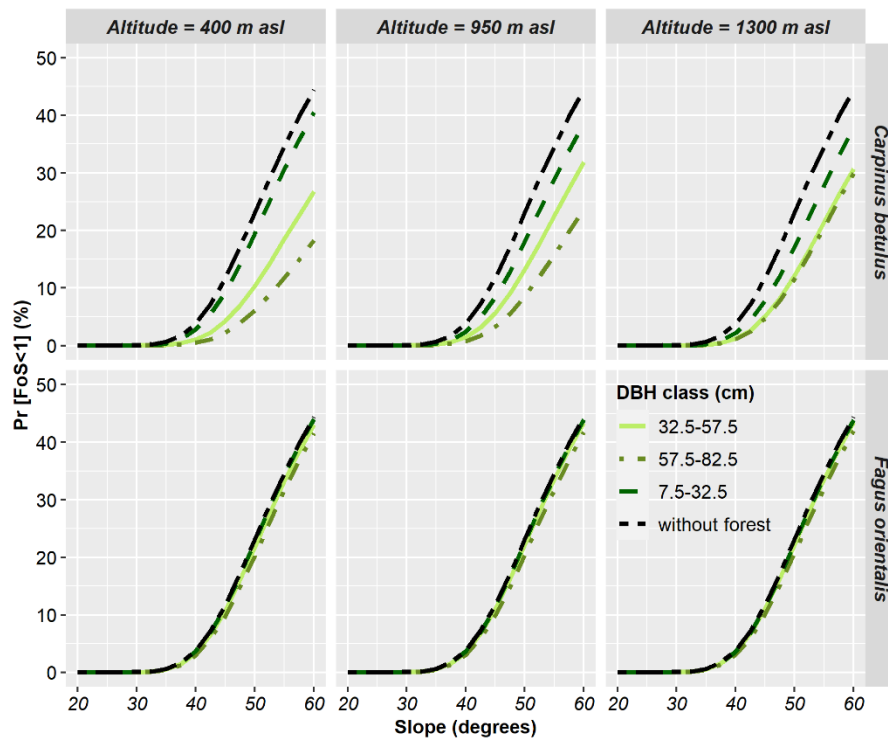


363

364 **Fig. 11** Root reinforcement of *Carpinus betulus* and *Fagus orientalis* as a function of DBH class (small: 7.5-32.5 cm,  
 365 medium: 32.5-57.5 cm, and large: 57.5-82.5 cm), altitudes (400, 950, and 1300 m a.s.l), and distance from tree (1, 1.5,  
 366 2, 2.5, 3.5, and 4 m)

### 367 3.3. Slope stability analysis

368 Including both basal and lateral reinforcement, the results of slope stability analysis (Eq. 7) suggested that the most  
369 stabilizing species is *C. betulus* in a mature growth (DBH= 57.5-82.5 cm) at the distance of 4 m. In fact, at 400, 950,  
370 and 1300 m a.s.l trees of *C. betulus* maintained an instability probability near 18.3%, 23.6%, and 29.9% for very steep  
371 conditions, respectively (Fig. 12). Instability probability for small trees at same altitudes varied from 37.8% to 40.6%.  
372 These values for medium trees of *C. betulus* were reported between 26.8% and 31.9% (Fig. 12). In contrast, the  
373 instability probabilities of the *F. orientalis* was higher, reaching up to approximately 44.0% for all DBH classes at 400,  
374 950, and 1300 m a.s.l (Fig. 12). The instability probability was less than 10% until 40° for *F. orientalis* at a distance  
375 of 4 m (Fig. 12).



376  
377 **Fig. 12** Factor of safety (*FoS*), probability of failure ( $\text{Pr}(\text{FoS} < 1)$ ), in function of species (*Carpinus betulus* and *Fagus*  
378 *orientalis*), altitude (400, 950, and 1300 m a.s.l.), and DBH classes (small: 7.5-32.5 cm, medium: 32.5-57.5 cm, and  
379 large: 57.5-82.5 cm) considering the upslope position and the far distance from the trunk (i.e. 4 m). In all the cases, the  
380 contribution to slope stability by the vegetation is evaluated as the sum of both basal and lateral root reinforcement  
381 values

## 382 4. Discussion

### 383 4.1. Root distribution

384 The scientific literature often used RAR to quantify, first, root distribution into the soil and, second, root reinforcement.  
385 The root density, measured as a total number of roots per unit area and RAR, was significantly different between the  
386 two investigated species. Root density of *C. betulus* was higher than that of *F. orientalis*. This observation is consistent  
387 with previous literature, showed that root density is different amongst tree species growing under the same  
388 environmental conditions, suggesting a genetic control on rooting densities (Bischetti et al. 2007; Phillips et al. 2014;  
389 Vergani et al. 2017a; Moresi et al. 2019; Gholami-Derami et al. 2021). For example, Bischetti et al. (2007) found  
390 differences among European alpine species, including *Alnus viridis*, *Fagus sylvatica*, *Salix purpurea*, *Salix caprea*,  
391 *Corylus avellana*, *Fraxinus excelsior*, *Picea abies*, and *Larix decidua* in which root density varies significantly for the  
392 same species within the same locality. Gholami-Derami et al. (2021) compared the RAR of *C. betulus* with that of  
393 *Alnus subcordata* and among two non-native tree species (*Pinus sylvestris* and *Robinia pseudoacacia*) with similar  
394 habitat conditions in Northern Iran. They found that the RAR value in exotic species is higher than in native species.  
395 A study in New Zealand reported that willow roots were more numerous than poplar (Phillips et al. 2014). Stokes et  
396 al. (2008) found that this variability was related to the interactions of genetic and environmental factors. Hence it is  
397 likely that these genetic effects are compounded by heterogeneity in environmental factors (Burylo et al. 2011), such  
398 as soil bulk density (Goodman and Ennos 1999), and soil moisture and fertility (Taub and Goldberg 1996; Hodge  
399 2004).

400 Older trees with larger DBH, have a greater number of roots than younger trees with smaller diameters (Bischetti et  
401 al. 2009; Schwarz et al. 2010; Mehtab et al. 2021). Abdi et al. (2010b) showed a significant effect of DBH on total  
402 RAR in three hardwood species in Hyrcanian forests (*F. orientalis*, *C. betulus*, and *Parrotia persica*). In accordance,  
403 John et al. (2001), comparing three stands of 6, 15, and 23 years old, showed that root distribution increases by tree  
404 age. Additionally, it was reported that in the older stands, fine roots (0-2 mm) declined, which they interpreted as a  
405 conversion to coarse roots (>10 mm) to provide structural support (John et al. 2001). McQueen (1968) reported that  
406 fine roots peak at the early ages of the stands and are relatively maintained constant after that. Our findings are  
407 consistent with these observations that larger trees maintain their anchorage, with increasing root numbers and RAR  
408 values. In fact, nutrient availability increases lateral root extension as well as causes changes in lateral roots anatomy  
409 by supplying nutrients (Goss et al. 1993). Also, Ford and Deans (1977) reported a higher concentration of fine roots  
410 because of increased nutrient availability. Past research suggested that greater root biomass was allocated to areas of  
411 high soil moisture content (Sivandran and Bras 2013; Hales and Miniati 2017). Furthermore, in this study, soil moisture  
412 content can be considered as a plausible factor in the distribution of roots.

413 So, greater root mass could be attributed to the higher nutrient content and better aeration of the surface soil (Ford and  
414 Deans 1977). Overall, root growth can be affected by a variety of environmental factors such as soil texture, soil  
415 structure, aeration, moisture, temperature, and competition with other plants (Kramer and Boyer 1995).

416 The position of the roots with respect to the orientation of the tree on the slope influences root distribution with the  
417 increased role of root upslope of the stem in assisting soil anchorage (Vergani et al. 2017a), as observed in this study  
418 for *F. orientalis* species. RAR distribution of *F. orientalis* in downslope is greater than upslope; however, in the case  
419 of *C. betulus*, the RAR values of both slopes were similar. The difference in the mechanical function of the root system  
420 in downslope and upslope orientations depends on the specific type of root system architecture (i.e., asymmetry of the  
421 cross-sectional area; Chiatante et al. 2003). Higher mechanical stresses being applied to roots may explain the large

422 cross-sectional areas (Di Iorio et al. 2005), therefore, the higher RAR value of *F. orientalis* in downslope is a kind of  
423 adaptability in response to the environment. In the case of *C. betulus*, RAR values of downslope and upslope were not  
424 significantly different, probably implying that trees are thickening the roots instead of increasing the number in the  
425 reaction of the mechanical stresses (Abdi et al. 2010a).

426 Our results highlighted that RAR values fluctuate among different altitudes, and RAR in the 1300 m is higher than 950  
427 and 400 m. One possible reason is the variation among the meteorological parameter and altitude above sea level.  
428 These altitudes range from low altitude (i.e., 400 m a.s.l.) with the highest mean temperature and lowest annual  
429 precipitation to mid- altitude (i.e., 950 m a.s.l.) to the highest altitude (i.e., 1300 m a.s.l.) having the lowest mean air  
430 temperature and greatest annual precipitation with the most proportion of snowfall (Azaryan et al. 2015; Deljouei et  
431 al. 2020). Bischetti et al. (2009) showed that for *F. sylvatica*, RAR distributions were statistically more in a site with  
432 the highest altitude than the lowest altitude (altitude ranges from 1100 to 1454 m a.s.l.). Mao et al. (2012) found similar  
433 results for *P. abies*, *Abies alba*, and *F. sylvatica* growing at 1400 m a.s.l. and 1700 m a.s.l. The use of altitude gradients  
434 is considered an excellent way to examine vegetation responses to environmental change (Sundqvist et al. 2013;  
435 Weemstra et al. 2021). Higher altitudes in temperate regions typically have longer growing seasons and more  
436 seasonality, and their vegetation is adapted to the extreme variations in climate they can experience (Körner 1999;  
437 Sundqvist et al. 2013). In most cases, high-altitude soils are more heterogeneous in terms of soil nutrient availability  
438 (Holtmeier and Broll, 2005) and less fertile (Sveinbjörnsson et al. 1995), since the cooler temperatures slow down  
439 microbial activity (Loomis et al. 2006; Mayor et al. 2017), leaf and root litter decomposition rates (Moore 1986;  
440 Loomis et al. 2006; See et al. 2019), and mineralization rates (Sveinbjörnsson et al. 1995). This means that changes in  
441 climate and soil properties along an altitude gradient can profoundly influence intraspecific root trait variation  
442 (Weemstra et al. 2021).

443 Another significant factor is the distance from the trunk. In fact, root distribution decreases with increasing distance  
444 from tree trunk and soil depth for both species. Seventy percent of *C. betulus* roots and 94% *F. orientalis* roots were  
445 distributed at a 1.5 m distance from the tree trunk. Furthermore, the maximum RAR values (50% of *C. betulus* roots  
446 and 69% of *F. orientalis* roots) were situated in the surface soil, i.e. 1-10 cm of soil depth and approximately were  
447 smaller in deeper soil. Species or genetics, climate characteristics determined root distribution throughout the soil  
448 profiles, and soil type (Bischetti et al. 2005); for instance, changes in nutrient content, water availability and aeration  
449 will affect root distribution, whereas the most available nutrients are detected in the topsoil and cause a reduction in  
450 vertical root distribution (Bischetti et al. 2005; Abdi et al. 2010a; Mao et al. 2012; Bordoni et al. 2019; Moresi et al.  
451 2019). It was pointed out that root development might depend mainly on the quantity of organic matter in the soil  
452 (Bordoni et al. 2019). In addition, deeper layers due to compacted soil layers and bedrock caused the roots to grow  
453 horizontally (Coppin and Richards 1990; Zydroń et al. 2019). The decreasing pattern of RAR values in this study is  
454 similar to the values reported by other researchers for various species and in other locations such as the Mediterranean  
455 (Moresi et al. 2019), subtropical (Genet et al. 2008), temperate (Bischetti et al. 2005; Abdi et al. 2010a; Abdi and  
456 Deljouei 2019), arid (Abdi et al. 2019) climate zones.

#### 457 **4.2. Root reinforcement**

458 Root reinforcement is fundamentally determined by combining root distribution and mechanical properties (Schwarz  
459 et al. 2013). Mechanical properties of roots are well-known by root maximum tensile force and stiffness. Several  
460 researchers indicated that different parameters affect root resistance, including species (Abdi and Deljouei 2019), root  
461 size and age (Loades et al. 2013; Gilardelli et al. 2017; Boldrin et al. 2017), tree age (Genet et al. 2008), root length  
462 (Zhang et al. 2012), DBH (Deljouei et al. 2020), cellulose and lignin content (Hales et al. 2009; Abdi et al. 2014), root  
463 moisture content (Hales & Miniati et al. 2017; Moresi et al. 2019), root dehydration (Ekeoma et al. 2021), season  
464 (Makarova et al. 1998; Abdi and Deljouei 2019), living or decaying roots (Vergani et al. 2014), altitude (Genet et al.  
465 2011), slope position (Stokes 2002; Abdi et al. 2010a), soil moisture content (Tsige et al. 2020), and elastic modulus  
466 as a root reinforcement input parameter (Cislaghi 2021).

467 The mechanical properties of roots may vary according to environmental conditions and tree location, where roots  
468 resistance will be varied by different altitudes or topographic positions (Genet et al. 2011; Hales et al. 2009). Altitude  
469 may cause differences in root cellulose content that alter root resistance. Systematic changes in environmental  
470 conditions (particularly altitude) might then result in systematic changes to root reinforcement that can be incorporated  
471 into deriving better root models. Root reinforcement of *C. betulus* at 400 m a.s.l. is higher than 1300 m a.s.l, i.e. root  
472 reinforcement decreased significantly with increasing altitude, which means it required more force for root failure.  
473 These findings are similar to other studies performed on root reinforcement of different altitudes (Genet et al. 2011;  
474 Vergani et al. 2012). It was investigated that the differences in site interactions for various species result from several  
475 conditions of growing sites and environment (Vergani et al. 2012). It is clarified that soil's chemical and physical  
476 properties are a consequence of changes in root resistance and root reinforcement with increasing altitude (Genet et al.  
477 2011).

478 The quantity of root reinforcement assessed by the contribution of different species might indicate the impact of roots  
479 in reducing shallow landslides and slope instabilities. Root numbers of *C. betulus* were more numerous than *F.*  
480 *orientalis*, so root reinforcement of *C. betulus* is higher than *F. orientalis*. Also, root resistance has differed between  
481 altitudes, and roots of *C. betulus* have shown higher resistance in terms of force than *F. orientalis* (see Deljouei et al.  
482 2020).

483 Root reinforcement of large trees is the highest among all the tree DBH classes, concurs with Cohen and Schwarz  
484 (2017). The roots of the oldest trees were the most resistant in tension compared to the middle age and young trees  
485 (Genet et al. 2006). It could be defined by the differences in the root structure of trees in the early growth stage and  
486 older trees, as large DBH trees may possess a higher amount of cellulose (Genet et al. 2006). As a result, investigating  
487 cellulose content in roots of large, medium, and small trees would be interesting in future research.

488 Root reinforcement decreases with increasing distance from tree trunks and varies considerably, even at the same  
489 distance from trees, and similar results were found in past research (Moos et al. 2016). Therefore, we highlighted that  
490 considering uniform cohesion value for vegetation in landslide models may not be appropriate to represent the effect  
491 of trees on slope stability.

### 492 **4.3. The implications on slope stability and possible countermeasures of forest management**

493 Our work shows that there are systematic differences in root reinforcement based on DBH, distance from the tree  
494 trunk, and altitude, with tree species and slope position adding random variability. Hence, when defining the magnitude  
495 of cohesion term for vegetation in physically-based landslide models (e.g., CHASM model (Wilkinson et al. 2002),  
496 TRIGRS model (Baum et al. 2008), SOSlope model (Cohen and Schwarz 2017), PRIMULA model (Cislaghi et al.  
497 2018), and SLIP model (Montrasio and Valentino 2008)) systematic accounting of this variability has yet to be formally  
498 attempted. Our results show that root reinforcement approximately halved across 1000 m of altitude, however this  
499 effect may not be linear. DBH had a greater effect, with close to an order of magnitude reduction in root reinforcement  
500 between large and small trees. Similarly, there is close to an order of magnitude reduction in root reinforcement  
501 between 1 and 4 m from the trunk. In contrast, there was no systematically observed differences in root reinforcement  
502 between species or in upslope and downslope positions. The distance from trunk showed a significant effect on the  
503 estimation of root reinforcement. For practical application, we conducted the analysis on the hillslope stability  
504 considering the most conservative quantification of root reinforcement, i.e., at 4 m of distance. This choice can simulate  
505 a forest disturbance as a gap-opening due to gap-oriented forestry operation, uprooting trees by windstorm, snags, or  
506 dead trees hit by bark beetle attack. This work outlines how a simple model of root reinforcement could be built that  
507 better incorporates environmental controls and crown or trunk properties. Given the large uncertainties present in the  
508 root reinforcement term (Hales 2018), our work shows that for raster-based estimates of slope stability, incorporation  
509 of an altitude term will better constrain the cohesion values. However, higher resolution slope stability modelling may  
510 benefit from more sophisticated root cohesion applications based on the relationship between DBH and root crown  
511 shape and depth.

512 By comparing the performance of various species in terms of additional root reinforcement, the species most likely to  
513 increase slope stability were identified. Also, our result can be used for nature-based solutions targeting root  
514 reinforcement, like the effect of different forest stand structures on slope stability (Moos et al. 2016; Dazio et al. 2018),  
515 and forest management scenarios (Kumar et al. 2021). Over a long period, *C. betulus* is preferable to *F. orientalis* when  
516 promoting one species over another to increase (or maintain) slope stability. Forest managers should consider this  
517 outcome when developing strategies for large forests in mountainous areas. Certainly, factors including inter-and  
518 intraspecific competition affect the performance of species concerning slope stability (Chiaradia et al. 2016). As shown  
519 in this paper, this performance can be considered by using a large, widely distributed dataset and evaluating the  
520 probabilistic function used to describe the values in the field survey. By clarifying the higher prevention power's  
521 behaviour from large DBH *C. betulus* trees, it is possible to propose forest management that keep this tree species with  
522 a large diameter in landslide-prone areas. Using both basal and lateral reinforcement, the results suggest that the most  
523 stabilizing species is *C. betulus*. Importantly, our findings imply tree diameter and species may be appropriate for  
524 assessing FoS by common tree species in Hyrcanian temperate forests. Future studies can be conducted on the available  
525 landslide inventory data with back analysis.

#### 526 **4.4. Research limitations**

527 As a first limitation, we could not conduct our study on other parts of Hyrcanian temperate forests due to financial  
528 limitations; However, to overcome this limitation, 540 profile trenches were dug for each species (compared to 10

529 (Abdi et al. 2010a, b), 24 (Vergani et al. 2017 b; Abdi and Deljouei 2019), 33 (Vergani et al. 2014) profile trenches  
 530 for each species in previous studies in the temperate region). Also, ground-truthing of many parameters (e.g.,  
 531 dimensions of slope failures) is advisable for slope stability modelling. Many parameters were calculated based on  
 532 previous literature rather than measured (second limitation). Last but not least, it is worth commenting on the  
 533 computation time. Due to a lack of instrumentation or measurement difficulties, there are sometimes difficulties  
 534 accessing datasets (e.g., geotechnical, geomorphological, and forest structural inputs) for complex slope stabilization  
 535 models.

## 536 5. Conclusions

537 This study conducted a total of 1080 profile trenches for widely species in temperate forests of Iran and European  
 538 countries (i.e., *C. betulus* and *F. orientalis*) at various altitudes (i.e., 400, 940, and 1350 m a.s.l.). Root distribution and  
 539 mechanical properties of trees with 7.5-32.5, 32.5-57.5, and 57.5-82.5 cm DBH were used as input parameters of  
 540 RBMw. This study highlighted quantitative information about the impact of roots mechanical properties and  
 541 distribution in DBH classes, slope position, altitude, and vertical and horizontal distance from tree trunk of *C.*  
 542 *betulus* and *F. orientalis* on root reinforcement and slope stability is the practical conjunction for forest management.  
 543 Our results reported that altitude, DBH classes, and slope position significantly affect root reinforcement in Hyrcanian  
 544 temperate forests. Slope stabilization is decreased in further distances (more than 1 m) from the tree trunk; furthermore,  
 545 it is entirely different for both species in which *C. betulus* shows better root reinforcement than *F. orientalis*.  
 546 Furthermore, the most stabilizing species is mature *C. betulus* at all altitudes, which maintained an instability  
 547 probability near 29% for very steep conditions. Overall, we could highlight that vegetation's impact on soil slope  
 548 stability depends on various parameters, and considering the constant value of soil reinforcement via tree roots in soil  
 549 stabilization modelling is incorrect. As far as slope stabilization is concerned, there are no specific species suitable for  
 550 all ecological conditions since its suitability depends not only on its root reinforcement characteristics but also on the  
 551 species ability to grow and support healthy forest cover. Protecting slopes from long-term instability is dependent on  
 552 the forest's ability to withstand disturbances and its ability to recover after disturbances.

## 553 Appendix A

554 This appendix summarises the equations included in PRIMULA. The driving and resistance forces, reported in Eq. 7,  
 555 are described as follows.

- 556 • Soil weight and tree surcharge force acting downslope,  $F_{dc}$ :

$$F_{dc} = \{[\gamma_s(1 - m) + (\gamma_s + \gamma_w)m]D + q_0\} \cos \theta \sin \theta w l \quad (\text{A.1})$$

557 where  $\gamma_s$  is the unit weight of soil ( $\text{Nm}^{-3}$ ),  $\gamma_w$  is the unit weight of water ( $\text{Nm}^{-3}$ ),  $m$  is the saturation ratio (unitless), i.e.,  
 558 the ratio between the thickness of the saturated part of the sliding soil  $D_w$  (in m) and the soil depth of sliding surface  
 559  $D$  (in m),  $\theta$  is the surface slope (rad),  $q_0$  is the tree surcharge ( $\text{Nm}^{-2}$ ),  $w$  is the potential landslide width, and  $l$  is the  
 560 potential landslide length (m).

- 561 • Rooted-soil shear resistance on the two parallel cross-slope sides of the sliding block,  $F_{rl}$ :



$$F_{rl} = \frac{1}{2}K_0[(\gamma_s - \gamma_w m^2)z^2 + q_0] \cos \theta l \tan \varphi' + (C'_s + C'_{rl})lz \quad (\text{A.2})$$

562 where  $K_0$  is the at-rest earth coefficient,  $q_0$  is the forested soil surcharge at the lateral boundary,  $\varphi'$  is the internal friction  
563 angle of soil (rad),  $C'_s$  is the effective soil cohesion ( $\text{Nm}^{-2}$ ),  $C'_{rl}$  is the lateral root reinforcement ( $\text{Nm}^{-2}$ ), and  $z$  is failure  
564 depth.

$$K_0 = 1 - \sin \varphi' \quad (\text{A.3})$$

565 • Root tensile resistance on the upslope side of the sliding block,  $F_{ru}$ :

$$F_{ru} = C'_{rl}wz \cos \theta \quad (\text{A.4})$$

566 • Slope-parallel component of passive earth force,  $F_{rd}$ :

$$F_{rd} = \frac{1}{2}K_p[(\gamma_s - \gamma_w m^2)z^2 + q_0]w \cos(\delta - \theta) \quad (\text{A.5})$$

567 where  $K_p$  is the passive earth coefficient (unitless), and  $\delta$  is the friction angle along the failure surface (rad). In this  
568 case, we assumed  $\delta = \theta$ .

569 • Slope-parallel component of active earth force,  $F_{du}$ :

$$F_{du} = \frac{1}{2}K_a [(\gamma_s - \gamma_w m^2) z^2 + q_0 ] w \cos(\delta - \theta) \quad (\text{A.6})$$

570 where  $K_a$  is the active earth coefficient (unitless).  $K_p$  and  $K_a$  are evaluated according to the Rankine theory for cohesive  
571 soils proposed by Mazindrani and Ganjali (1997) and verified by Cislighi et al. (2019).

572 • Frictional resistance and rooted-soil cohesion acting on the sliding block base,  $F_{rb}$ :

$$F_{rb} = (C'_s + C'_{rb})wl + F_{nt} \tan \varphi' \quad (\text{A.7})$$

573 where  $C'_{rb}$  is the basal root reinforcement ( $\text{Nm}^{-2}$ ) and  $F_{nt}$  is the total effective normal force acting on the failure surface.

$$F_{nt} = \{[\gamma_s(1 - m) + \gamma_{sat}m]z + q_0 - \gamma_w mz\}wl \cos^2 \theta + \frac{1}{2}(K_a - K_p)[(\gamma_s - \gamma_w m^2)z^2 + q_0]w \cos(\delta - \theta) \quad (\text{A.8})$$

574 where  $\gamma_{sat}$  is the unit weight of soil ( $\text{Nm}^{-3}$ ) that is estimated by considering that all the voids are 40% of the total volume  
575 and are filled by water (Hammond et al. 1992; Chiaradia et al. 2016).

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