

1 **Cellulase as an “active” excipient in prolonged-release HPMC matrices: a novel**
2 **strategy towards zero-order release kinetics**

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25 **ABSTRACT**

26

27 Hydrophilic matrices are of utmost interest for oral prolonged release of drugs. However,
28 they show decreasing release rate over time, mainly due to lengthening of the diffusional
29 pathway across the gel formed upon glass-rubber transition of the polymer. Therefore,
30 achievement of zero-order release kinetics, which would reflect in constant drug plasma
31 levels, is still an open issue. With the aim of improving the release performance of
32 hydroxypropyl methylcellulose (HPMC) systems, the use of cellulolytic enzymes was
33 proposed to aid erosion of the swollen matrix, thereby counteracting the release rate
34 decrease particularly toward the end of the process. The effectiveness of this strategy was
35 evaluated by studying the mass loss and drug tracer release from tableted matrices
36 consisting of high-viscosity HPMC (Methocel®K4M), Acetaminophen and increasing
37 amounts (0.5-10% on HPMC) of a cellulolytic product (Sternzym®C13030). A faster erosion
38 and progressive shift to linearity of the overall release profiles were observed as a function
39 of the enzyme concentration. Release was markedly linear from matrices containing 5 and
40 10% Sternzym®C13030. In partially coated matrices with these cellulase concentrations, such
41 results were in agreement with data of erosion and swelling front movement, which
42 exhibited early and long-lasting synchronization.

43

44 **KEYWORDS**

45

46 Oral drug delivery systems, prolonged release, zero-order release kinetics, hydrophilic
47 matrices, hydroxypropyl methylcellulose, cellulase, swelling and erosion fronts.

48

49 1. INTRODUCTION

50

51 Glycosidic hydrolases have many industrial applications thanks to their multiple uses and
52 the ease with which they can be found, since they are produced by many microorganisms
53 and also from animal and plant sources (Shrivastava, 2020).

54 Cellulases, in particular, are enzymatic complexes that hydrolyze β 1-4 glycosidic bonds of
55 cellulose through three different catalytic activities: endo-(1,4)- β -D-glucanase, exo-(1,4)- β -
56 D-glucanase hydrolyzing cellooligosaccharides, and β -glucosidase having β -cellobiose as
57 substrate, from which glucose is ultimately released (Lee and Fan, 1980). Cellulases are
58 employed in a number of different fields where they have proved to be versatile and safe
59 both for human health and environment. Their use is rather consolidated in food, feed,
60 paper, textile, and biofuels. Each of these sectors has benefited from cellulase in terms of
61 reduction of production time and costs, higher yield and better quality of the final product
62 (Kuhad et al., 2011).

63 This type of enzymes has also found application in healthcare and medicine. There are
64 food supplements available on the market that contain cellulases along with other
65 enzymes, recommended to promote the digestion of macronutrients, especially for
66 subjects with an unbalanced vegetable diet, such as vegetarians and vegans (Jayasekara
67 and Ratnayake, 2019). Furthermore, their use in inhibiting biofilm formation on medical
68 devices and in the treatment of phytobezoars is described (Loiselle and Anderson, 2003;
69 Lee et al., 1977; Kramer and Pochapin, 2012;).

70 In the field of pharmaceutical formulation, the effect of cellulase on the release kinetics of
71 a time-controlled oral delivery system (Chronotopic™) was recently investigated, following
72 pioneering attempts to exploit glycosidases, namely pectinase, in the design of a capsular
73 device (Krögel and Bodmeier, 1999; Bussemer et al., 2001; Foppoli et al., 2020b;). The
74 Chronotopic™ system was based on a functional hydroxypropyl methylcellulose (HPMC)
75 layer intended to defer the onset of release through progressive dissolution/erosion
76 undergone in contact with aqueous fluids. (Maroni et al., 2016a, 2016b; Foppoli et al., 2019,
77 2020a; Melocchi et al., 2021). Cellulase, added to the HPMC coating, was shown able to

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78 prevent the undesired diffusional phase typically observed prior to the spike of delayed
79 drug release especially with high viscosity polymer grades and/or press-coatings.
80 Among non-conventional oral dosage forms, hydrophilic matrices have always been the
81 subject of great interest and study being one of the most common drug delivery systems
82 (DDSs) for prolonged release. These systems generally consist of a compressed tablet
83 obtained from a mixture of drug and swellable polymers (Gazzaniga et al., 1993; Ghori and
84 Conway, 2015). The release control mechanism is based on the combination of various,
85 often concomitant, processes such as diffusion, swelling and erosion (Siepmann et al.,
86 1999; Siepmann and Peppas, 2001; Maderuelo et al., 2011). The typical release profile of an
87 active ingredient from a hydrophilic matrix shows an initial "burst", caused by dissolution
88 of drug particles from the surface of the system. The burst phase is followed by a period of
89 almost constant release rate, and a final one with decreasing rate due to lengthening of
90 the diffusional pathway and progressive reduction of the area at the interface between
91 glassy and rubbery portions of the matrix. Thus, the resulting release profile fails to follow
92 the desired zero-order kinetics, the achievement of which would enable constant plasma
93 levels of drugs and therefore has always been pursued as a major goal in pharmaceutical

94 ~~Several approaches were proposed over the years to avoid the slowdown of drug release~~

95 Several approaches were proposed over the years to avoid the slowdown of drug release
96 in hydrophilic matrices. Such strategies may involve mechanical restriction of swelling,
97 application of partial coatings, non-uniform drug distribution and/or design of modified
98 geometries (Colombo et al., 1987, 1990; Gazzaniga et al., 1993; Grassi et al., 2004; Kim,
99 1995; Cerea et al., 2018, 2020a, 2020b; Lee, 1984; Sangalli et al., 1994, 2003).

100 In the present work, the effect of the addition of cellulase to hydrophilic matrices was
101 studied in view of the demonstrated ability of the enzyme to catalyze hydrolysis of HPMC
102 and promote erosion of gel barriers based on this polymer (Caceres et al., 2020; Foppoli et
103 al., 2020b). Indeed, the use of cellulase as an "active" excipient appeared a strategy of
104 potential interest to counteract the increase in the diffusional path length, thereby
105 preventing reduction of release rate over time. Cylindrical matrices consisting of HPMC and
106 a soluble drug tracer, with or without a commercial cellulolytic product (Sternzym®
107 C13030), have been prepared. The systems have undergone mass loss experiments and

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108 release testing to assess whether and how the enzyme could impact on the release
109 kinetics.

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111

112 **2. EXPERIMENTAL PART**

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114 2.1. MATERIALS

115 Acetaminophen (AMP, C.F.M., Italy; water solubility 14 g/L at 25°C), hydroxypropyl
116 methylcellulose 2208 USP (HPMC, Methocel® K4M, M_n=86000, Dow Italia, Italy),
117 Sternzym® C13030 (SternEnzym GmbH and Co. KG, Germany, a kind gift from-IMCD
118 Italia, Italy; 2500 u/g enzymatic activity, expressed as hemicellulase according to DNS
119 method at pH 6.0 as reported in the product technical data sheet), cellulose acetate
120 propionate (CAP 482-20, Eastman-Kodak, Tennessee, US)

121

122 2.2 METHODS

123 2.2.1. *Manufacturing of matrices*

124 Matrices of different size were prepared from AMP and HPMC in 1:1 weight ratio,
125 either as such or in admixture with Sternzym® C13030, according to the composition
126 reported in the Results and Discussion Section (Table I). The powders were blended
127 in mortar for 5 min and tableted by a rotary press (AM 8S, Officine Ronchi, Italy).
128 Cylindrical flat-faced (diameter 25 mm, height 3.15 mm, nominal weight 1.5 g) and
129 convex-faced units (diameter 11 mm, height 2.2 mm, nominal weight 0.24 g) were
130 obtained using 91 MPa and 207 MPa compression pressure, respectively. In both
131 cases, the resulting compacts had crushing strength in the 70-100 N range (crush
132 tester TBH30 Erweka, Germany; n=10).

133 2.2.2 *Testing of matrices*

134 While matrices of 11 mm in diameter were subjected to release studies only, those
135 of 25 mm underwent release, mass loss and swelling and erosion front studies.
136 Before testing, the latter matrices were provided with an impermeable film covering
137 their whole surface except for one base, which was manually applied by dipping into

138 a 15% w/v CAP solution in acetone. The resulting systems were ballasted by gluing
139 the coated base to a stainless-steel disk (diameter of 25 mm and weight of 6.2 g).

140 2.2.2.1. *Release studies*

141 Release testing was carried out in triplicate by a Eur. Ph. 10th Ed. dissolution
142 apparatus 2 (AT 7, Sotax, Switzerland), using distilled water thermostated at 37 ± 1
143 °C as the medium.

144 The rotation speed was set at 100 or 50 rpm, and the fluid volume was 500 or 900
145 ml for matrices of 25 and 11 mm, respectively. With the former systems, the paddle
146 height was adjusted so that the distance between the stirrer and the matrix surface
147 exposed to the fluid was 2.5 cm.

148 AMP was quantified spectrophotometrically at λ = 243 (Lambda 25, Perkin Elmer,
149 Italy).

150 Release data were processed according to exponential equations (1) or (2) (Ritger
151 and Peppas, 1987).

$$152 M_t = at^n \quad (1)$$

$$153 \frac{M_t}{M_\infty} = kt^n \quad (2)$$

154 where M_t is the amount of drug released at time t

155 M_∞ is the amount of drug released at infinite time

156 a and k and are constants related to the matrix characteristics

157 n is a release exponent

158 In both cases, n values were used in a merely descriptive way to evaluate the
159 tendency of the profiles to linearity.

160 The extent of linearity of release profiles was evaluated through the Durbin-
161 Watson statistics (Durbin and Watson, 1950; Van der Voet et al., 1983). The release
162 data were analyzed at time intervals of 30 min (90% c.i.). The extent of linearity of
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164 Watson, 1950; Van der Voet et al., 1983)(Durbin and Watson, 1950) (Van der Voet
165 et al., 1983). The release data were analyzed at time intervals of 30 min (90% c.i.).
166

167 2.2.2.2. *Mass loss studies*

168 At scheduled time points during the test, 50 ml of fluid was withdrawn, replaced
169 with fresh medium, and dried at 80 °C to constant weight (Foppoli et al., 2020b).
170 Mass loss was assessed as the amount of solids recovered after drying of each fluid
171 sample, and the relevant data were plotted against time to build cumulative
172 curves. The rate of mass loss was calculated as the slope of the regression lines.
173 Mass loss was also expressed net of tracer released (mass loss[#]), calculated by
174 subtracting the amount of AMP released at each time point from the overall dry
175 mass.

176 2.2.2.3. *Swelling and erosion front studies*

177 At scheduled time intervals during the test, matrices were recovered from the fluid
178 and placed under a 0.01 mm-calibrated penetrometer (Dial Thickness Gage 7305,
179 Mitutoyo, Japan) provided with a 0.9 mm diameter pin. The pin was carefully
180 lowered until contact with the outer surface of the gel layer (erosion front) and
181 inner glassy core of the matrix (swelling front), respectively.
182

3. RESULTS AND DISCUSSION

The present study was performed with tableted hydrophilic matrices based on high viscosity HPMC2208 (Methocel® K4M, the 2% w/v aqueous solution of which has 4000 cps viscosity at room temperature). The choice of the polymer was derived from previous findings highlighting the role of cellulase in pulsatile-release systems, where the enzyme promoted the dissolution/erosion of different grades of HPMC used as the main component of functional barriers (Foppoli et al., 2020b). Moreover, this polymer is the most widely employed for the production of oral hydrophilic matrices intended for prolonged release of drugs.

As previously described, the release profiles from hydrophilic matrices show an initial burst effect and a final decrease in the release rate, due to the increasing gel layer thickness and decreasing area at the boundary between swollen and non-swollen matrix, *i.e.* the area of drug particles exposed to the penetrating medium. From these matrices, it is thus not possible to achieve zero-order kinetics, and consequently constant drug concentrations *in vivo*, unless substantial changes are introduced into the formulation and/or geometry of the unit.

In this study, the role of cellulase was evaluated on cylindrical tableted matrices consisting of drug tracer and HPMC in a ratio of 1:1 w/w and Sternzym® C13030 at different concentrations, *i.e.* 0.5, 1, 5 and 10% w/w calculated on the polymer (Table I). Sternzym® C13030 is a powder product containing different enzymes having cellulolytic and other hydrolytic activities in admixture with maltodextrin. Its nominal activity is 2500 U/g, expressed as hemicellulolytic activity. For comparative purposes, binary matrices without the enzymatic product were also studied. The matrices were prepared in two different sizes, namely with 25 mm and 11 mm diameter. The systems having diameter of 25 mm were coated with an impermeable film on all the surface except for one base (code CM) and then subjected to mass loss and release tests. Mass loss determination, concomitant with spectrophotometric assay of drug tracer released, was carried out via gravimetric analysis by means of a simple and efficient method previously set up, which required that the employed matrices expose a relatively large area (4.91 cm²) (Ghori et al., 2014)(Ghori et

al., 2014; Foppoli et al., 2020b). On the other hand, the matrices having 11 mm diameter were evaluated as such (code UM) by release testing. ~~In this study, the role of cellulase was evaluated on cylindrical tableted matrices consisting of drug tracer and HPMC in a ratio of 1:1 w/w and Sternzym[®]-C13030 at different concentrations, i.e. 0.5, 1, 5 and 10% w/w calculated on the polymer (Table I). Sternzym[®]-C13030 is a powder product containing different enzymes having cellulolytic and other hydrolytic activities in admixture with maltodextrin. Its nominal activity is 2500 U/g, expressed as hemicellulolytic activity. For comparative purposes, binary matrices without the enzymatic product were also studied. The matrices were prepared in two different sizes, namely with 25 mm and 11 mm diameter. The systems having diameter of 25 mm were coated with an impermeable film on all the surface except for one base (code CM) and then subjected to mass loss and release tests. Mass loss determination, concomitant with spectrophotometric assay of drug tracer released, was carried out via gravimetric analysis by means of a simple and efficient method previously set up, which required that the employed matrices expose a relatively large area (4.91 cm²) (Merging Citations). On the other hand, the matrices having 11 mm diameter were evaluated as such (code UM) by release testing.~~

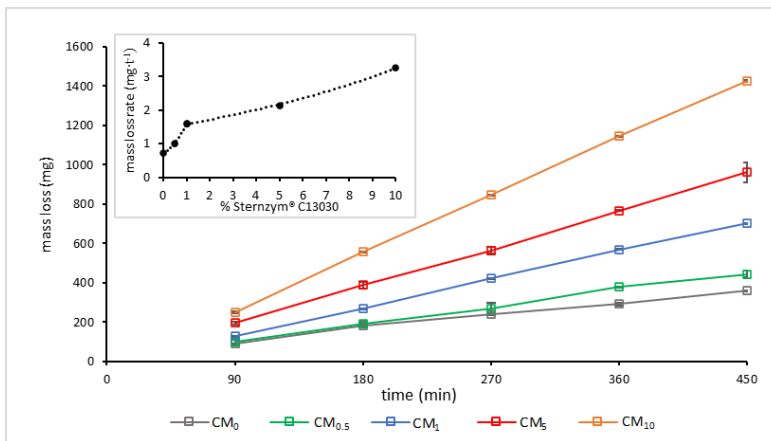
Table 1: composition (%) of the partially coated and uncoated matrices under investigation. Percentage of Sternzym[®] C13030 is also reported as calculated on HPMC.

Code		AMP	HPMC	Sternzym [®] C13030	Sternzym [®] C13030 with respect to HPMC
∅ 25 mm partially coated	∅ 11 mm uncoated				
CM ₀	UM ₀	50.00	50.00	-	-
CM _{0.5}	UM _{0.5}	49.88	49.88	0.25	0.5
CM ₁	UM ₁	49.75	49.75	0.50	1
CM ₅	UM ₅	48.78	48.78	2.44	5
CM ₁₀	UM ₁₀	47.62	47.62	4.76	10

234 Enzymatic cleavage of the polymer was expected to be crucial for the release mechanism
235 and kinetics, possibly leading to the formation of polymer chains having lower molecular
236 mass and, therefore, higher dissolution rate.

237 The time course of mass loss from CM₀ to CM₁₀ tested is reported in Figure 1.

238



239

240 Figure 1: profiles of mass loss from partially coated matrices of 25 mm in diameter
241 containing different amounts of Sternzym® C13030. Vertical bars indicate s.d.
242 ($n=3$). The relationship between mass loss rate and enzyme product
243 percentage is shown in the figure inset, where the dotted line represents fitting
244 according to two exponential equations, in the 0–1% ($R^2>0.99$) and 1–10%
245 ($R^2>0.99$) ranges, respectively.

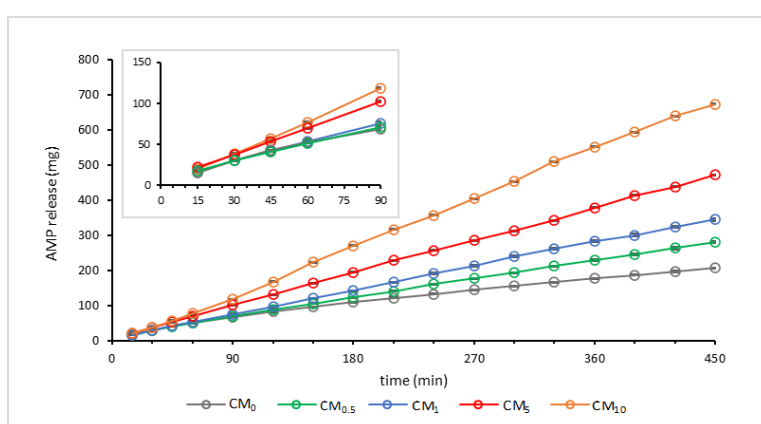
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247 The effect of the enzyme was clearly evident. The mass loss vs time profiles turned out to
248 be well fitted by linear regression ($R^2> 0.99$), thus enabling evaluation of data by simple
249 comparison of the slopes. The use of the enzymatic product at 1% (w/w on HPMC) led to
250 more than doubled rate of mass loss, which would indicate a marked impact of cellulase
251 even when present at relatively low concentrations. The relationship between mass loss
252 rate and Sternzym® C13030 concentration could be described by two different exponential
253 equations in the 0–1% and 1–10% concentration ranges, respectively (inset of Figure 1).

254 Figure 2 shows the release profiles from the matrices, which exhibited a similar trend as
255 mass loss, both being characterized by a clear increase in rate as a function of the
256 percentage of Sternzym® C13030 present in the formulation. In this respect, the gel layer

257 the tracer had to pass through would have been of lower thickness and higher
 258 permeability as compared with that formed from matrices without enzyme. These changes
 259 in the barrier properties of the swollen polymer layer may account for the observed
 260 increase in the release rate.

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 264 Figure 2: release profiles of tracer from partially coated matrices of 25 mm in diameter
 265 containing different amounts of Sternzym® C13030. Vertical bars indicate s.d.
 266 ($n=3$). The 0-90 min time interval is highlighted in the figure inset.
 267

268 Release data were processed according to exponential equation (1), here intended for merely
 269 descriptive purposes (Table II).

270

271 Table II: fitting parameters of release data according to equation (1).

Matrix code	k (mg·min ⁻¹)	n (\pm 95% confidence limit)	R^2
CM ₀	2.440	0.731 \pm 0.010	0.992
CM _{0.5}	1.876	0.813 \pm 0.015	0.998
CM ₁	1.379	0.901 \pm 0.067	0.999
CM ₅	1.676	0.918 \pm 0.016	0.999

CM ₁₀	1.041	1.064±0.018	0.999
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272

273 The values of n exponent rose from 0.73 in the case of the enzyme-free matrix to around 1
 274 in CM₁₀. This trend pointed out a remarkable and progressive shift to linearity of the release
 275 profiles –*i.e.* to release rates practically independent of time- as a function of the percentage
 276 of Sternzym® C13030 added.

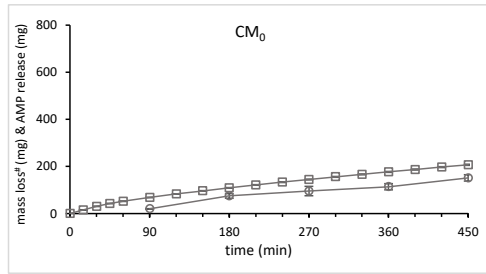
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278 In CM₅ and CM₁₀, the achievement of a nearly constant overall release rate, starting from the
 279 very beginning, was supported by the finding that release rate values after about 1 h were
 280 very similar to those relevant to the burst phase where, because of the time taken for glass-
 281 rubber transition of the polymer, release was not yet effectively controlled by the swollen
 282 matrix (inset in Figure 2). In other words, the effect of the enzyme speeding up release would
 283 lead to early alignment of portions of the release curves originally characterized by different
 284 rates.

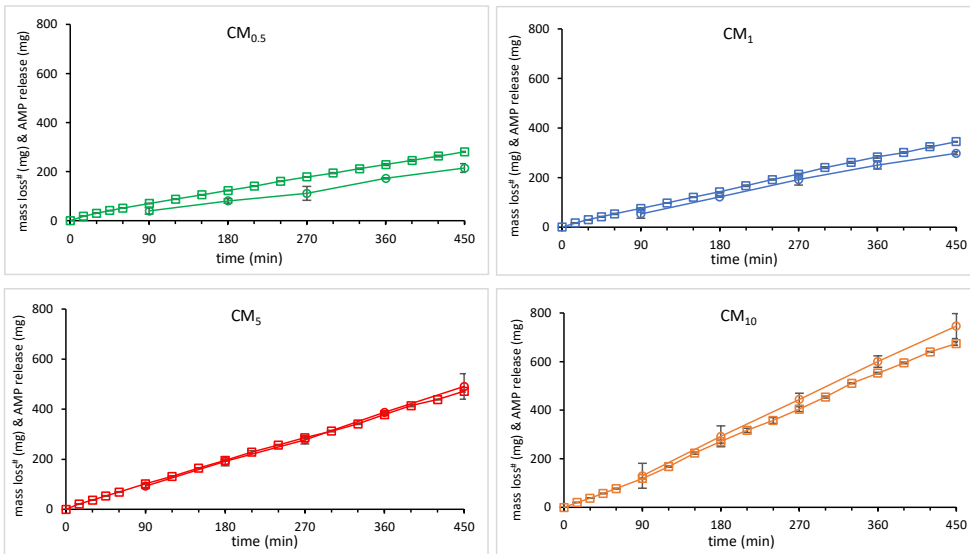
285 The release profiles of CM_{0.5} and CM₁ took longer to differentiate from that of CM₀, as
 286 compared with those containing higher amount of enzyme (CM₅ and CM₁₀). This may be due
 287 to the need for more time before the effect of the enzyme, present at lower percentages in
 288 these formulations, could take place and also be highlighted. The improved linearity of these
 289 release curves with respect to CM₀, however, could only be attributed to the enzymatic
 290 degradation of the polymer.

291 In order to better highlight the role the enzyme may play in promoting the matrix erosion,
 292 the mass loss profiles of CM₀-CM₁₀, net of the amount of tracer released (mass loss[#], *i.e.*
 293 amount of polymer and Sternzym® C13030), are presented in comparison with the
 294 corresponding release curves (Figure 3). The position of the erosion and swelling fronts in
 295 the matrix was also measured (Lee and Peppas, 1987). Profiles describing movements of
 296 these fronts over time are reported in Figure 4. In such profiles, point 0 on the y axis
 297 corresponds to the matrix surface before interaction with the fluid, which is depicted by the
 298 schematic aside.

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303 Figure 3: profiles of mass loss[#] (o) and tracer release (□) from partially coated matrices
304 of 25 mm in diameter, containing different amounts of Sternzym[®] C13030.
305 Vertical bars indicate s.d. (n=3). #mass loss data are calculated net of the amount
306 of tracer released
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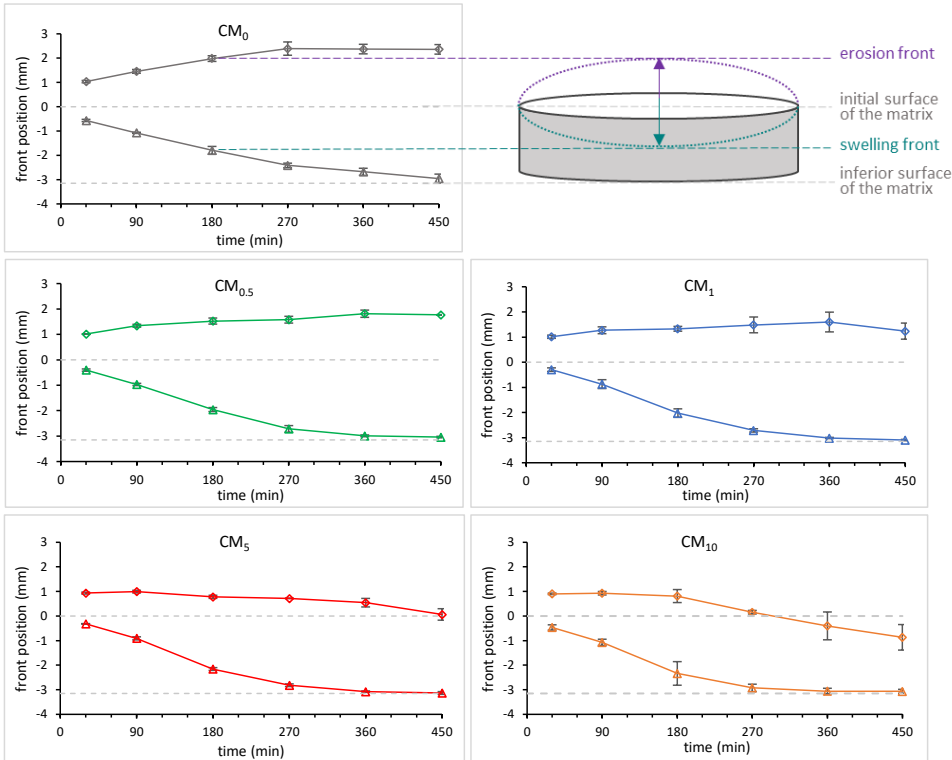
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Figure 4: swelling (◇) and erosion (Δ) front position vs time profiles of partially coated matrices of 25 mm in diameter containing different amounts of Sternzym® C13030. Front position=0 on the y axis corresponds to the initial surface of the matrix. The schematic aside indicates the position of the swelling and erosion fronts at t=180 min in M₀. Vertical bars indicate s.d. (n=3).

322 In the case of CM₀, the mass of tracer released was greater than that of polymer and
323 Sternzym® C13030 lost at all time points. Such a gap would suggest persistence of a gel
324 layer, formed upon interaction of the polymer with the aqueous fluid, which would act as a
325 diffusional barrier opposing release of the tracer. This would also be confirmed by the
326 relative swelling and erosion front movement observed. Inward progression of the swelling
327 front took approximately 450 min to reach the bottom face of the matrix, *i.e.* for its
328 quantitative hydration following glass-rubber transition of HPMC. In the same time interval,
329 because of swelling, the matrix increased in volume as pointed out by the outward
330 movement of the erosion front. After 270 min, such a front reached a *plateau*, thus implying
331 that expansion of the matrix was counterbalanced by the extent of dissolution/erosion. As a
332 result, a maximum gel layer thickness of approximately 5.5 mm was observed at 450 min.

333 When the swelling front was still progressing and glass-rubber transition of the polymer had
334 extensively occurred, at around 450 min, only a relatively small amount of the loaded tracer
335 was released (approximately 150 vs 750 mg, corresponding to 20%). It is therefore evident
336 that front synchronization did not occur in CM₀, thus hindering attainment of a constant gel
337 layer thickness that is required for zero-order release kinetics to be achieved (Harland et al.,
338 1988). The release rate, which had been characterized so far by a progressive decrease, would
339 be expected to maintain the same trend until complete tracer release, due to reduction over
340 time of the concentration gradient along the diffusional pathway.

341 The matrices containing 0.5% and 1% of Sternzym® C13030 (CM_{0.5} and CM₁) exhibited higher
342 mean rates both for tracer release and mass loss[#], as compared with CM₀ (Figure 3).

343 Upon exposure to the aqueous fluid, an initial expansion of CM_{0.5} and CM₁ was observed,
344 which reached a maximum at 180 and 90 min, respectively. Hydration of these matrices, as
345 indicated by progression of the swelling front, was completed slightly earlier than with CM₀,
346 and the gel thickness kept well below 5 mm for the whole test duration (Figure 4).

347 The release from both formulations tended to linearity, as confirmed by data processing
348 through the Durbin-Watson statistics indicating practically constant rate from 120 to 450
349 min. As a clear and enduring phase of front synchronization was not observed, the
350 achievement of a constant release rate at least in the considered time interval, could mainly

351 be ascribed to less effective barrier properties of the gel layer as a result of enzymatic
352 cleavage of the polymer into lower molecular weight chains.

353 Formulations CM₅ and CM₁₀ showed a progressive further increase in release and mass loss[#]
354 rates. Apart from the difference in rate, an analogous trend was observed for release and
355 mass loss[#], as also seen in the case of the corresponding swelling and erosion front
356 movement. Linearity of the release curves was evident in both cases. The increase in volume
357 of these matrices was relatively limited with respect to all other formulations, and also the
358 thickness of the relevant gel layers was lower.

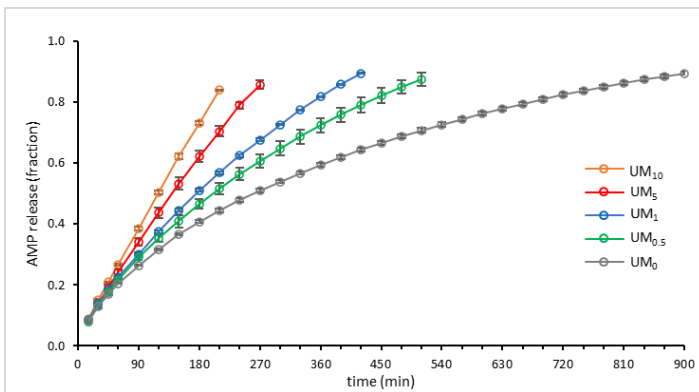
359 It can be noted, however, that front synchronization, and subsequent progressive reduction
360 of the gel layer, occurred earlier with CM₁₀ than CM₅. In either case, the swelling front
361 reached the bottom face of the unit within approximately 270 min. While movement of such
362 a front was reasonably comparable in all the matrix systems, including the enzyme-free one,
363 the same would not apply to the erosion front progression, which differed considerably
364 between CM₅ and CM₁₀ on the one hand, and CM₀, CM_{0.5} and CM₁ on the other.

365 It ensues that to play a decisive role in governing the release kinetics, rather than the rate of
366 glass-rubber transition, would be that of enzymatic degradation of the polymer. Thus, the
367 desired zero-order release kinetics would appear to be brought about by the enzyme that
368 could ultimately modulate both the tendency to erosion and the permeability of the swollen
369 matrix.

370 It is noteworthy that, unlike all other matrices, in the case of CM₁₀, wherein release was mainly
371 governed by erosion phenomena, the mass loss[#] data exceeded those of drug tracer
372 released. This is due to the fact that in the original formulation the cumulative amount of
373 polymer and Sternzym[®] C13030 (785 mg-47.62%) was greater than the amount of drug
374 tracer (714.3 mg-52.38%).

375 The results reported so far were obtained from partially coated matrices, where the area was
376 kept constant and only unidirectional movement was allowed both for the fluid penetrating
377 into the matrix and the drug tracer diffusing outwards. Therefore, the study was
378 subsequently broadened to include bare compacts wherein diffusion of the medium and of
379 the tracer could take place without any physical constraints. In particular, the impact of
380 cellulase was explored by release testing of uncoated matrices (UM) having cylindrical shape

381 with convex bases and diameter of 11 mm, which contained different amounts of Sternzym®
 382 C13030 (Table I). A binary matrix without enzyme (UM₀) was also tested as a reference.
 383 Overall, the release data obtained were consistent with those from the partially coated
 384 systems previously evaluated. As the enzyme concentration increased, the rate of release
 385 progressively increased in the same rank order of CM₀-CM₁₀ (Figure 5 and Table III).
 386



387
 388 Figure 5: profiles of tracer release from uncoated matrices of 11 mm in diameter,
 389 containing different amounts of Sternzym® C13030.
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391 Results from the enzyme-free matrix showed that switching from two-dimensional (planar)
 392 systems (CM₀) to three-dimensional ones (UM₀) was associated with an expected decrease
 393 in the *n* exponent, which shifted from 0.73 to 0.57 (Ritger and Peppas, 1987). The lower value
 394 obtained with the uncoated system is due to the already mentioned progressive reduction
 395 of the area at the interface between the rubbery and the glassy portions of the matrix.

396 The matrix without Sternzym®13030 gave rise to an evident burst effect, which was still
 397 present in the case of UM_{0.5} and UM₁. In the phase immediately after the burst, their release
 398 profiles differed from that of UM₀, exhibiting an incremental deviation over time.

399 In the case of systems containing 5 and 10% of Sternzym® C13030, the value of *n* exponent
 400 rose up to 0.82 and 0.86, respectively, thus highlighting a clear tendency to zero-order
 401 release kinetics. This was supported by data analysis through the Durbin-Watson statistics,
 402 which pointed out linearity of the curves in the range from 0.34 to 0.79 for UM₅ and 0.15 to
 403 0.84 for UM₁₀. The apparent linearity of the release profiles of these systems would result

404 from an accelerated release in the phase following the burst, the latter being masked
405 because of comparable release rates in the two portions of the curve. This would be an
406 artifact that, however, would strictly be dependent on the characteristics of formulation
407 components.

408

409 Table III: fitting parameters of the UM₀ – UM₁₀ release data according to equation (2).

Matrix code	a (min ⁻¹)	n (\pm 95% confidence limit)	R ²
UM ₀	0.020	0.573 \pm 0.002	0.992
UM _{0.5}	0.014	0.677 \pm 0.060	0.998
UM ₁	0.012	0.715 \pm 0.033	0.999
UM ₅	0.008	0.827 \pm 0.064	0.999
UM ₁₀	0.008	0.862 \pm 0.002	0.999

410

411

412 4. CONCLUSIONS

413

414 Constant drug levels *in vivo* may be advantageous to reduce the frequency of administration
415 and incidence of side effects, thus enhancing the therapeutic outcome and patient
416 compliance. To this end, DDSs able to provide zero-order release kinetics are pursued.

417 In the present work, the issue of release rate decreasing over time in hydrophilic matrices
418 for oral prolonged release, hindering achievement of zero-order kinetics, was addressed
419 through a novel formulation strategy. Particularly, a marketed product (Sternzym[®] C13030)
420 containing cellulolytic enzymes was incorporated into matrix systems based on a high-
421 viscosity grade of HPMC to aid erosion of the swollen polymer, through the relevant
422 cleavage into lower molecular weight chains. This was indeed expected to counteract the
423 increase in thickness of the gel layer formed upon matrix hydration and ultimately limit the
424 progressive lengthening of the diffusional path.

425 To verify whether such an approach could be effective in improving the release kinetics of
426 hydrophilic matrices, the mass loss, drug tracer release and front movement were studied in
427 HPMC compacts with or without Sternzym® C13030.

428 The results obtained from systems containing increasing weight percentages of cellulase
429 pointed out a clear increase in the rate of mass loss and tracer release, which supported the
430 starting hypothesis. Accordingly, the release profiles largely shifted to linearity.

431 While progression of the swelling front was found to be only poorly affected by the presence
432 of the enzyme, that of the erosion front was accelerated as a function of its amount.

433 Because in the case of matrices containing 0.5 % and 1 % of Sternzym® C13030 an evident
434 front synchronization was not achieved, the observed tendency to zero-order kinetics was
435 deemed to mainly be associated with a change in the gel permeability characteristics.

436 On the other hand, the swelling and erosion fronts turned out to move in a synchronized
437 mode for a relatively long time lapse with systems at 5 % and 10 % of enzymatic product.

438 The release curves of matrices containing these percentages of Sternzym® C13030, in both
439 partially coated and uncoated configurations, appeared linear from the beginning due to
440 masking of the initial burst effect. This was ascribed to acceleration of the release phase
441 where control was exerted by incipient gelation of the polymer, thus resulting in unforeseen
442 alignment of the two portions of the curves. It should be noted, however, that the extent of
443 burst masking would depend on the quali-quantitative composition of the system, being
444 affected by the solubility of the active pharmaceutical ingredient, type and amount of
445 functional polymer and enzymatic product used.

446 It should not be disregarded that the use of cellulase may have a negative impact on the
447 duration of release, considering the enzyme-free matrix as a reference. Nonetheless, proper
448 changes of relatively simple implementation may be introduced into the formulation in order
449 to restore the original time frame of release while preserving the attained linearity.

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455 **Funding**

456

457 This research did not receive any specific grant from funding agencies in the public,
458 commercial, or not-for-profit sectors.

459

460 **Disclosure of interest**

461

462 The authors report no conflict of interest.

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