

1 **Herpes Zoster and Simplex reactivation following COVID-19 vaccination: new insights from a Vaccine**
2 **Adverse Event Reporting System (VAERS) database analysis**

3
4 **Abstract**

5 **Background:** A few cases of Herpes Zoster and Simplex reactivation following COVID-19 immunization
6 have been recently described but the real extent of this suspect adverse event has not been elucidated yet.

7 **Methods:** We performed a nested case/control study by using the U.S. Vaccine Adverse Event Reporting
8 System database. We carried out a case-level clinical review of all Herpes reactivation cases following the
9 administration of COVID-19 vaccines. For cases and controls significance was set at $P = 0.05$, differential risk
10 of reporting was assessed for each vaccine as reporting odds ratio and incidence was estimated based on the
11 total number of vaccine doses administered.

12 **Results:** Of 6,195 cases included in the analysis (5,934 and 273 reporting Herpes Zoster and Herpes Simplex,
13 respectively) over 90% were non-serious. We found a slightly higher risk of reporting both for Zoster (ROR =
14 1.49) and Simplex (ROR = 1.51) infections following the Pfizer-BioNTech vaccine. The estimated incidence
15 was approximately 0.7/100,000 and 0.03/100,000 for Zoster and Simplex, respectively.

16 **Conclusions:** The paucity of cases (almost all of non-serious nature) makes the potential occurrence of this
17 adverse effect negligible from clinical standpoints, thus supporting the good safety profile of the COVID-19
18 vaccination, which remains strongly recommended.

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21 **Keywords:** COVID-19, herpes, pharmacovigilance, real-world evidence, vaccines.

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24 **1. Introduction**

25 The family of Herpesviridae comprises nine DNA virus types extensively widespread among humans that
26 typically cause cutaneous lesions (i.e., HHV-1, HHV-2, HHV-3) or more serious diseases, such as
27 mononucleosis (HHV-4) and Kaposi's sarcoma (HHV-8). Specifically, at the first acute infections, HHV-1
28 and HHV-2 (Herpes Simplex viruses, HSV) rapidly replicate within dermal keratinocytes, leading to oral,
29 perioral, skin and mucous lesions, including genital and ocular infections (e.g., herpetic keratitis) [1].

30 Conversely, HHV-3 (Varicella Zoster virus, VZV) manifests as a painful vesicular eruption along a
31 dermatomal distribution, known as Herpes Zoster; its most frequent complication is post-herpetic neuralgia
32 and in children (< 12 years old) it is the causative agent of chickenpox 2 [2–4]. After the primary infection,
33 both HSV and VZV stay latent by persisting in sensory neurons (trigeminal or sacral ganglia for HSV; mainly
34 dorsal root, enteric or trigeminal ganglia for VZV). The reactivation is mainly triggered by a secondary

35 immunodeficiency state, either age-related or iatrogenic (drugs, physical or emotional stress, tissue damage
36 and exposure to UV light) factors, or due to concomitant diseases (e.g., HIV, cancer) [5–10].

37 Interestingly, several cases of Herpes reactivation have been recently described in patients affected by
38 coronavirus disease 2019 (COVID-19). This newly emerged multisystemic disease is primarily characterized
39 by respiratory-related symptoms, but may also involve other districts, including the nervous system.
40 Furthermore, preliminary evidence has identified a range of potential dermatologic manifestations of COVID-
41 19, such as diffuse erythematous rash and widespread or localized urticaria, as well as chickenpox-like
42 vesicles, indicating a VZV reactivation, likely associated with the unbalanced immune status of the host [11–
43 21]. In line with these findings, COVID-19 has been showing the ability to reactivate other viruses, including
44 HHV-4, HHV-6, and HHV-7 [22]. Furthermore, some reports have speculated that the presence of an Herpes
45 Zoster infection may be a sign for a recent subclinical COVID-19 diagnosis in younger age groups
46 [15,19,20,23].

47 It is worth of mentioning that some of the above-mentioned cutaneous manifestations may also appear after
48 immunization with vaccines expressing the SARS-CoV-2 spike (S) glycoprotein.

49 Currently, the U.S. Food and Drug Administration (FDA) amended the emergency use authorization for the
50 following vaccines: BNT162b2 (Pfizer-BioNTech), mRNA-1273 (Moderna), both lipid-nanoparticle
51 encapsulated mRNA vaccines expressing the prefusion-stabilized S-protein, and Ad26.COV2.S (Janssen), a
52 viral vector encoding the S-protein [24]. These state-of-the-art biotechnological tools have demonstrated more
53 than 90% efficacy against symptomatic disease and severe outcomes for wild-type variants. As regards to the
54 safety, the most commonly reported adverse events (AEs) following COVID vaccines are injection site pain,
55 fever, headache, nausea, and vomiting, supporting an overall favorable safety profile [25–30]. Herpes
56 reactivation has not been commonly associated with immunization; indeed, apart from VZV vaccination [31],
57 only a few cases of Herpes Zoster following vaccination have been described to date: hepatitis A, rabies, and
58 influenza have each been reported once [32], as well as a case of brachial plexus zoster after yellow fever
59 vaccination [33] and an acute retinal necrosis, as complication of VZV infection after vaccination with the
60 2009 H1N1 influenza vaccine [34]. As for HSV, only two cases have been published in scientific literature so
61 far, reporting an HSV-1 and an HSV-2 reactivation after influenza vaccination [35,36]. Accordingly, among
62 the wide spectrum of cutaneous AEs induced by COVID-19 vaccines, neither HSV nor VZV reactivation are
63 listed in the Summary of Product Characteristics (SPC) of any COVID-19 vaccine.

64 Evidence on this correlation is very limited; to date, approximately 60 cases of VZV reactivation following
65 COVID-19 vaccination have been recently reported in the literature: symptoms emerged on otherwise healthy
66 and immunocompetent patients and AEs resolved within a few days spontaneously or via oral antiviral therapy
67 [37–57]. To date, three cases of COVID-19-induced HSV keratitis have been reported [58,59]. Moreover, an
68 American registry-based study on 414 cutaneous AEs after Moderna vaccine administration retrieved 4 cases
69 of HSV reactivation, along with 10 of VZV reactivation [60]. Similarly, a cross-sectional Spanish study of 405
70 cases reported 15 HSV and 41 VZV reactivations after COVID-19 vaccination [61].

71 Despite the well-known intrinsic limitations (such as under/over reporting), spontaneous reporting systems
72 represent a valuable source to obtain real-world data on rare and unexpected AEs, to compare therapeutic
73 options, to gain new insights on potential mechanisms of adverse drug reactions (ADR), thus contributing to
74 better characterize the safety/effectiveness profile of drugs and vaccines used in the daily clinical practice
75 [62,63].

76 We carried out a case/non-case analysis based on the Vaccine Adverse Event Reporting System-VAERS (a
77 comprehensive database collecting all vaccine-related AE reports regarding vaccines approved by FDA),
78 aimed at investigating the occurrence of Herpes reactivation following COVID-19 vaccination, estimating the
79 risk for reporting our AE of interest according to the vaccine administered [64,65]. Since the public accessible
80 version of the VAERS includes the case narrative, we were able to perform a case-level clinical review among
81 a wide cohort of patients to further examine the correlation between Herpes reactivation and COVID-19
82 vaccinations. Most importantly, we were able to provide an estimate of the reporting rate of HSV and VZV
83 reactivation based on the total number of vaccine doses administered in the USA.

84 **2. Methods**

85 **2.1 Data source**

86 VAERS is an early warning system co-managed by the Centers for Disease Control and Prevention (CDC) and
87 the FDA and has a key role in detecting potential safety issues concerning FDA-approved vaccines. Since
88 1990, over 1,225,000 records have been filed by healthcare professionals and manufacturers, who are required
89 to report any suspect AE that occur following vaccination, and also consumers. Each AE report is filed in the
90 VAERS in the form of Individual Case Safety Report (ICSR), which provides the whole case narrative,
91 accessible on the public version of the VAERS and comprehensive of administrative (e.g., Country and State
92 of occurrence, qualification of the reporter) and demographic (e.g., patient sex, age, weight, previous AEs,
93 chronic conditions) characteristics, AE (e.g., symptoms, seriousness, outcome, medical tests and laboratory
94 results) and suspect vaccine (e.g., name, administration date, time to onset, route) details, along with
95 information concerning any potential drug or vaccine administered at the time of AE but not held responsible
96 for its occurrence by the reporter, referred to as “concomitant medication”. VAERS also performs a process of
97 selection of higher quality cases, since it may request additional information from reporters and, whether
98 multiple reports of a single AE are received, only the most updated version is included in the publicly
99 accessible dataset.

100 While very important in monitoring vaccine safety, VAERS reports alone cannot be used to determine a causal
101 relation between vaccine and event and should be interpreted in the context of other scientific information:
102 since VAERS collects data on any AE occurring after vaccination, the report of an AE to VAERS does not
103 prove that a vaccine truly caused the event described, but it just confirms that the reported event occurred after
104 vaccine was given. Nevertheless, as part of CDC and FDA’s multi-system approach to post-marketing vaccine
105 safety monitoring, VAERS is designed to rapidly detect potential unusual or unexpected patterns of AE reports,

106 also known as “safety signals”, providing valuable information that must be further evaluated in order to assess
107 a possible safety concern [66–70].

108 **2.2. Data processing**

109 All ICSRs submitted to the VAERS within the USA that reported at least one vaccine approved for COVID-
110 19 immunization (Pfizer-BioNTech, Moderna, or Janssen) were retrieved (data updated to 12/03/2021).

111 Since completeness of data may vary from case to case through a process of systematic and manual cleaning,
112 cases without information on patients age and sex, were detected and excluded, in order to select only higher
113 quality data for our analysis. We then excluded all ICSRs describing an AE occurring after the exposure to
114 more than one vaccine (either for COVID-19 immunization or other agents), as well as those that didn’t specify
115 which COVID-19 vaccine was administered (reported as “unknown COVID-19 vaccine” in the dataset).

116 **2.3. Definition of cases and controls**

117 In our nested case/control study, cases of interest were all ICSRs reporting one of the three COVID-19 vaccines
118 and the occurrence of VZV or HSV infection. AEs are coded by using the Medical Dictionary for Regulatory
119 Activities (MedDRA); all Preferred Term (PTs) used to identify cases of interest are listed in Supplementary
120 Table 1. We excluded from our “cases” both non-specific Herpes infection cases and ICSRs that described a
121 concomitant diagnosis of COVID-19 infection, since it also can lead to Herpes reactivation, as previously
122 mentioned. ICSRs reporting COVID-19 infection were identified through the research of the following PTs in
123 the “adverse event” section: “COVID-19”, “SARS-CoV-2 test positive”. We also excluded all ICSRs that
124 explicitly reported an active Herpes infection at the time of administration in the section “case description”.

125 Non-cases (controls) were all the remaining ICSRs included in our cohort, i.e., COVID-19 vaccine-induced
126 AEs other than Herpes reactivation.

127 **2.4. Descriptive analysis**

128 Information on patient age and sex, AE onset interval, clinical outcome, PT used to identify Herpes
129 reactivation, concomitant medication at time of AE onset, illness at time of vaccination, chronic conditions,
130 prior vaccination AEs, prior COVID-19 infection, and allergies were searched for each case of interest and
131 separately analyzed for HSV and VZV reactivation.

132 AE seriousness was not provided in our data source; however, in accordance with the International Council on
133 Harmonization E2D guidelines an AE was defined as “serious” if it was fatal, threatened the life of the patient,
134 required or prolonged hospitalization, resulted in persistent or significant disability/incapacity, determined a
135 congenital anomaly/birth defect, or resulted in some other clinically important conditions [71]. Therefore, in
136 order to estimate the seriousness of the AEs retrieved, we labeled each report as serious or non-serious based
137 on these criteria.

138 The AE onset interval was calculated for all cases that reported both the date of administration and the date of
139 AE onset.

140 Finally, to investigate the potential role of other drugs in causing or exacerbating Herpes reactivation, for each
141 case we investigated the presence of immunosuppressive agents in the section “concomitant medication”. We
142 searched all drugs that exert their pharmacological action or their primary toxicity via immunosuppression.
143 Among investigated immunosuppressants, we included also glucocorticoids, except when administered
144 topically (all drugs included are listed in Supplementary Table 2).

145 In order to estimate the incidence of the AE of interest, we considered the most recent data provided by the
146 CDC, from which we extracted the total number of doses administered in the USA up to 12/03/2021 [72].

147 **2.5. Statistical analyses**

148 Normality of data was checked for continuous variables and appropriate parametric and non-parametric
149 analyses were performed for cases and non-cases, in terms of age, female sex, AE seriousness, exposure to
150 concomitant medication and prior vaccination AEs. Student’s t-test was used to assess whether age was
151 distributed differently between cases and non-cases, whereas Pearson's χ^2 test was used to assess whether
152 categorical variables were differently distributed between cases and non-cases. Tests were 2-tailed, with
153 significance set at a P value of 0.05.

154 In order to investigate the different reporting risk for VZV and HSV reactivation, we carried out a
155 disproportionality analysis through the estimation of a Reporting Odds Ratio (ROR) and its 95% Confidence
156 Interval (95% CI) for each COVID-19 vaccine. This statistical measure potentially allows the detection of an
157 AE that is reported more frequently than expected using a 2x2 contingency table, which allows the estimation
158 of the reporting rate of an AE of interest after the exposure to the tested drug or vaccine (A) compared to other
159 AEs induced by the same agent (B) and to the same AE caused by other agents (C) and to all other reports in
160 the cohort (D), by applying the following equation: $ROR = (A/B)/(C/D)$ [73–75]. A signal of disproportionate
161 reporting (SDR) arises when the lower bound of the ROR 95% CI is greater than the threshold value of 1 and
162 general consensus agrees that at least three cases for each vaccine must be provided.

163 In order to estimate the incidence of the AE of interest, we divided the number of reports of the AE of interest
164 by the number of doses administered in the USA up to 12/03/2021 and then multiplied it by 100,000.

165 The ROR for each vaccine/AE combination and the incidence were estimated for HSV and VZV reactivation
166 separately.

167 Data tidying and statistical analyses were carried out using R (R Development Core Team, 2019).

168 **3. Results**

169 **3.1. Study population**

170 We identified 703,611 domestic ICSRs submitted to VAERS reporting at least one COVID-19 vaccine among
171 suspected agents in the occurrence of an AE. Through our screening procedure, we selected 588,323 reports
172 that fulfilled our inclusion criteria and represented our study cohort (Figure 1). Among these, we observed
173 6,195 cases of HSV or VZV reactivation following COVID-19 vaccination and without concomitant COVID-

174 19 diagnosis or concomitant active Herpes infection at time of AE onset, corresponding to 1.05% of all ICSRs
175 of our cohort. Univariate analyses of demographics and characteristics of nested cases and non-cases
176 populations are presented in Table 1.

177 3.1.1. VZV reactivation cases

178 We retrieved a total of 5,934 cases that reported VZV reactivation among AEs. Patients experiencing this AE
179 had a mean age of 54 ± 16 years, which showed to be significantly higher than that of non-cases ($P < 0.001$),
180 and a female prevalence of 69.67%, which instead did not significantly differ from non-cases ($P > 0.05$). A
181 total of 4,137 (69.72%) patients suffered from chronic medical conditions, 78 (1.31%) patients had been
182 previously infected by SARS-CoV-2 and 2,188 (36.87%) suffered from allergies to food, drugs or
183 environmental allergens. Prior vaccination AEs were observed in 207 cases and were less frequently reported
184 compared to non-cases (3.49% vs. 5.50%; $P < 0.001$): 6 of them reported shingles outbreak following the 2010
185 H1N1 vaccine ($n = 1$), a measles/mumps/rubella vaccine ($n = 1$), a diphtheria/tetanus/pertussis vaccine ($n = 1$),
186 an unspecified flu vaccine ($n = 3$), and the first dose of Moderna ($n = 1$) and Pfizer ($n = 4$).

187 VZV reactivation was described with the following PTs: “Herpes Zoster” ($n = 5,819$; 98.06%), “ophthalmic
188 Herpes Zoster” ($n = 60$; 1.01%), “Herpes Zoster oticus” ($n = 51$; 0.86%), “Varicella Zoster virus infection” (n
189 $= 25$; 0.42%), “Herpes Zoster reactivation” ($n = 17$; 0.29%), “disseminated Varicella Zoster virus infection”
190 ($n = 9$; 0.15%), “Herpes Zoster meningitis” ($n = 4$, 0.07%), “Herpes Zoster cutaneous disseminated” ($n = 3$,
191 0.05%), “genital Herpes Zoster” ($n = 1$; 0.02%), “Herpes Zoster infection neurological” ($n = 1$; 0.02%), and
192 “Herpes Zoster meningoencephalitis” ($n = 1$; 0.02%). Overall, the most common PTs listed alongside VZV
193 reactivation were: “rash” ($n = 1,550$; 26.12%), “pain” ($n = 843$; 14.21%), “headache” ($n = 506$; 8.53%),
194 “pruritus” ($n = 441$; 7.43%), “blister” ($n = 429$; 7.23%), “fatigue” ($n = 425$; 7.16%), “pain in extremity” ($n =$
195 368 ; 6.20%), “pyrexia” ($n = 315$; 5.31%), “neuralgia” ($n = 278$; 4.68%), “back pain” ($n = 258$; 4.35%).

196 Serious cases represented only 4.16 % ($n = 247$) of all Herpes Zoster cases and were significantly less frequent
197 than serious non-cases ($P < 0.001$). Upon AE development, 1139 (19.19%) patients visited the emergency
198 room and a total of 2,182 (36.77%) cases resolved at the time of reporting. We were able to retrieve the time
199 frame between vaccination and AE onset for 5,616 cases: median time to VZV reactivation was 8 days (IQR:
200 2-19).

201 Ranked by the absolute number of reports, the highest number of VZV reactivations was reported for Pfizer-
202 BioNTech ($n = 3,263$; 54.99% of total cases), while Moderna and Janssen were reported in 2,348 cases
203 (39.57%) and 323 cases (5.44%), respectively. Cases that reported concomitant pharmacological treatment at
204 time of AE onset were 3,688. Though this parameter revealed a higher prevalence among cases compared to
205 non-cases (62.15% vs. 49.79%; $P < 0.001$), only 430 (7.25% of total VZV cases) patients were exposed to
206 drugs that could potentially concur in the occurrence of VZV reactivation: 194 of them were undergoing
207 immunotherapy and 257 were taking oral, parenteral, or inhaled glucocorticoids.

208 Based on the number of COVID-19 vaccine doses administered in the USA between 12/13/2020 and
209 12/03/2021 (Pfizer: 548,578,240; Moderna: 361,897,609; Janssen: 33,849,124), we estimated the following
210 VZV reactivation incidence: 0.59 cases per 100,000 doses for Pfizer-BioNTech, 0.65 cases per 100,000 doses
211 for Moderna and 0.95 cases per 100,000 doses for Janssen.

212 **3.1.2. HSV reactivation cases**

213 With regard to the HSV reactivation, we retrieved 273 cases: patients had a mean age of 49 ± 15 years and
214 females represented 83.15% of cases, which were more prevalent than non-cases ($P < 0.001$). Chronic
215 conditions affected 224 (82.05%) patients, while prior SARS-CoV-2 infection occurred in 8 (2.93%) cases,
216 115 (42.12%) patients reported at least an allergy, and prior vaccination AEs in 12 (4.40%) cases, but never a
217 previous HSV reactivation.

218 PTs used to report HSV reactivation were: “Herpes Simplex” ($n = 223$; 81.68%), “Herpes Simplex
219 reactivation” ($n = 21$; 7.69%), “Herpes Simplex test positive” ($n = 25$; 9.16 %), “genital Herpes Simplex” (n
220 $= 6$; 2.20%), “ophthalmic Herpes Simplex” ($n = 6$; 2.20%), “Herpes Simplex encephalitis” ($n = 4$; 1.46%),
221 “Herpes Simplex gastritis” ($n = 1$; 0.37%), “Herpes Simplex meningoencephalitis” ($n = 1$; 0.37%), and “Herpes
222 Simplex pharyngitis” ($n = 1$; 0.37%). The other most commonly recorded PTs were the following: “condition
223 aggravated” ($n = 67$; 24.54%), “fatigue” ($n = 39$; 14.29%), “pyrexia” ($n = 39$; 14.29%), “headache” ($n = 35$;
224 12.82%), “pain” ($n = 31$; 11.36%), “chills” ($n = 25$; 9.16%), “pain in extremity” ($n = 16$; 5.86%), “blister” (n
225 $= 12$; 4.40%), “arthralgia” ($n = 11$; 4.03%), “lymphadenopathy” ($n = 11$; 4.03%).

226 Serious HSV reactivations represented 6.59% ($n = 18$) of total HSV cases and 10.62% ($n = 29$) patients visited
227 the emergency room after AE occurrence; however, 42.12% ($n = 115$) of them recovered at time of reporting.
228 Time to onset for HSV reactivation was available for 263 cases and showed a median time to HS infection
229 occurrence of 3 days (IQR: 1-12) after COVID-19 vaccination.

230 Pfizer-BioNTech was held responsible for HSV reactivation in 151 cases (55.31% of total cases), followed by
231 Moderna in 107 cases (39.19%) and Janssen in 15 cases (5.49%). Concomitant medication was administered
232 in 197 cases and was more frequently reported among cases compared to non-cases (72.16% vs. 49.90%; $P <$
233 0.001): 17 of them (6.23% of total HSV cases) could potentially exacerbate or facilitate HSV reactivation, as
234 6 patients were taking immunosuppressive agents and 11 anti-inflammatory steroids.

235 The reporting rate estimated for HSV reactivation was of 0.03 cases per 100,000 doses for Pfizer-BioNTech,
236 0.03 cases per 100,000 doses for Moderna and 0.04 cases per 100,000 doses for Janssen.

237 **3.2. Case/non-case analysis**

238 Results of disproportionality analysis for each vaccine are shown in Table 2.

239 Pfizer-BioNTech revealed to be significantly correlated to VZV reactivation reporting (ROR = 1.51; 95% CI
240 = 1.19-1.92), though its increased reporting frequency compared to the others vaccines was very small, while

241 an ROR < 1 was found for Moderna (ROR = 0.74; 95% CI = 0.58-0.94) and Janssen (ROR = 0.65; 95% CI =
242 0.38-1.09).

243 The estimated ROR for HSV reactivation reporting was found significant for the Pfizer-BioNTech vaccine
244 (ROR = 1.52; 95% CI = 1.20-1.93), whereas no SDR was found for Moderna (ROR = 0.73; 95% CI = 0.57-
245 0.93) or Janssen (ROR = 0.64; 95% CI = 0.38-1.08). The limited number of cases was liable for the wider CIs,
246 compared to VZV RORs.

247 **4. Discussion**

248 In the setting of post-marketing surveillance, the investigation of spontaneous reporting system databases is a
249 valuable tool that has a critical role in detecting rare or unexpected patterns of AE unlikely to be recognized
250 in pre-marketing clinical trials. In this context, our investigation of the VAERS revealed that Herpes Zoster or
251 Simplex reactivations in COVID-19 vaccines recipients have been reported over 6,000 times. However, the
252 number of cases retrieved amounts to approximately 1% of total COVID-19 vaccines AE reports. As compared
253 to VZV reactivation cases, the generally lower number of HSV reactivations may be due to the fact that these
254 patients do not usually seek medical care, therefore precluding reporting by healthcare professionals [61].
255 Estimated incidence was close to 0.1 case per 100,000 doses but should be interpreted taking into account the
256 latest evidence on Herpes prevalence, according to which Herpes Zoster is experienced by approximately 30%
257 of US citizens during their lifetime and more than 1.2 million individuals annually, while HSV-1 has an
258 estimated incidence of 1.1% and 2 of 1.6% [76–78]. These latter findings are mainly based on a global and
259 regional HSV incidence estimation for 2016 in people aged 15-49 and another study investigating HSV
260 prevalence in US citizens aged 14-49 in 2015–2016: both these studies found that prevalence increased with
261 age and was higher in females. These data highlight the wide diffusion of these infections; therefore, the
262 preliminary evidence emerged from our study does not suggest a safety concern attributable to COVID-19
263 vaccines at this time.

264 On that note, an Israeli study compared rates of Herpes Zoster among individuals vaccinated with the Pfizer-
265 BioNTech vaccine to unvaccinated individuals through an historical-cohort study conducted in a huge health
266 maintenance organization. No association was found (151 cases versus 141 cases; RR = 1.07; 95% CI: 0.85-
267 1.35), thus providing further reassuring data regarding the safety of COVID-19 vaccines [79].

268 No robust evidence has yet emerged on Herpes new onset following COVID-19 vaccination and, generally,
269 vaccine-induced Herpes reactivation is quite rare. Psychogiou et al. retrieved a total of 1,653 reports of VZV-
270 related complications in the VAERS recorded from July 1990 to March 2021, after exclusion of COVID-19
271 and varicella vaccines [44]. This issue highlights the fact that Herpes reactivation trigger is probably inherent
272 in the peculiar activation of the immune system induced by COVID-19, which is mimicked by its vaccines to
273 a certain extent. Patients affected by COVID-19 often experience a cytokine storm, with the considerable
274 release of many proinflammatory cytokines, including interleukin 6 (IL-6), interleukin 12 (IL-12) and tumor
275 necrosis factor-alfa (TNF- α) [80]. Meanwhile, SARS-CoV-2 has also the tendency to induce

276 immunosuppression characterized by a functional impairment of CD4+ lymphocytes T, and a quantitative
277 decrease in monocytes, eosinophils, and particularly CD3+ and CD8+ T cells [15,81–86]. This imbalance in
278 the immune system may represent the rationale for the increased susceptibility to Herpes reactivation in
279 COVID-19 patients, since the disease-induced lymphopenia may allow VZV and HSV to evade cellular-
280 mediated immunity and reactivate [87]. This hypothesis is corroborated by available evidence on the increase
281 of VZV incidence among HIV-positive patients with as CD4+ T cell counts decrease, stressing the relevance
282 of T-cell immunity in maintaining Herpes viruses latency [88,89]. Furthermore, Yu et al. via bioinformatic
283 analysis of COVID-19- and VZV-associated genes observed that both infections are associated with the
284 differentiation of naive CD4+ T cells into T helper 17 (Th17) cells. These lymphocytes secrete IL-17A, IL-21,
285 and IL-22, thus promoting inflammatory processes with neutrophils recruitment [90]. Accordingly,
286 lymphocytes isolated from COVID-19 and VZV patients have been demonstrated to secrete more IL-17 [91,92].
287 These findings suggest that COVID-19 might induce excessive Th17 differentiation, which increases the
288 circulating level of IL-17A, which in turn triggers VZV reactivation and the subsequent risk of Herpes zoster.
289 Conversely, although vaccinated individuals do not manifestly suffer from lymphopenia or massive cytokine
290 release, the likely mechanism behind Herpes reactivation following SARS-CoV-2 vaccination could be linked
291 to the vaccine-induced polarization of the immune system towards a T cell response.

292 Sahin et al. have observed that vaccination with mRNA vaccines induces a humoral and cellular adaptive
293 immunity through an extensive cellular response with S-specific CD8+ T cell and CD4+ T cells, leading to a
294 significant production of interferon- γ [93]. This strong CD8+/CD4+ T cell responses both correlate positively
295 with S1-binding IgG and have also been detected in pre-clinical studies [94]. It is thus postulated that, as a
296 consequence of a massive shifting of naive CD8+ cells, VZV- and HSV- specific CD8+ cells temporarily fail
297 to maintain control of the infection.

298 Our findings stress the relevance of AE reporting, since only a few case reports have been published on the
299 matter so far and the wide cohort of cases of interest retrieved allowed us to gain precious insight on the
300 characteristics of all patients who were reported to manifest these AEs. In fact, current evidence has suggested
301 no overall prevalence of older patients in COVID-19 vaccine-induced VZV reactivation [37–50], by contrast
302 we observed a higher mean age compared to non-cases. This latter finding is ultimately expected since age is
303 a major risk factor for VZV reactivation in 90% of cases and the higher prevalence of older patients may
304 signify that these vaccines could be a contributing risk factor but not a sufficient cause for VZV reactivation
305 [95]. Concerning HSV reactivation, we did not find a significant difference in age distribution between cases
306 and non-cases. The fairly low mean age we obtained for our cases (49 ± 15 years old), although partially
307 unexpected, is consistent with the mean age (44 ± 14.6) emerged from the cross-sectional Spanish study
308 investigating cutaneous AEs following mRNA-based COVID-19 vaccines [61]; and with two out of the three
309 case-reports describing HSV reactivation following Comirnaty inoculation, which were aged 42 and 29,
310 respectively [59].

311 The currently available evidence on this specific vaccine/AE combination found a median of 5 days for the
312 occurrence of symptoms, in line with our findings. However, while patients described in the literature
313 developed symptoms following either the first or the second dose, we detected five cases of VZV reactivation
314 after both doses of Pfizer and Moderna vaccines (none of these patients was currently taking
315 immunosuppressive agents). Overall, a small portion of our cases of interest was exposed to other medication
316 that could act as a physiologic stressor on the patients' immune system, along with the immunomodulation
317 induced by the vaccine, and therefore cell-mediated immunity towards VZV and HSV may have fallen below
318 the threshold of containment and allowed the viruses reactivation.

319 Consistently with the fact that the majority of cases reported in the literature were related to mRNA-based
320 vaccines, we retrieved a lower number of Janssen-induced Herpes reactivations. This could be due to the lower
321 number of administered doses, as shown by the CDC data. Furthermore, when analyzing the relative reporting
322 risk for each of the three FDA-approved vaccines, they were all found to have a similar SDR, though Pfizer
323 resulted slightly more significant. In this regard, it has been speculated that the responsible for this AE
324 occurrence is to be searched among vaccine components, but mRNA- and DNA-based vaccines share few
325 ingredients and rely on different technologies to lead to SARS-CoV-2 S-protein production by the cell. Hence,
326 the only shared feature is the expression of viral S-protein, which may have pleotropic effects in the host, as it
327 has shown to favor syncytium-mediated lymphocyte elimination and conversion of lymphocytes B to
328 macrophage-like cells with poor phagocytosis capability [96]. These effects could be responsible for
329 misbalancing the immune response that keeps VZV dormant, but conclusive evidence is still lacking and
330 emerging data on other DNA-based vaccines, such as the AstraZeneca/Oxford vaccine, will be pivotal in
331 shedding light on the pharmacological mechanism behind this AE [97].

332 **4.1. Strengths and limitations**

333 AE reporting in large databases including the VAERS is limited by the heterogeneous data quality, the absence
334 of defined diagnostic criteria, and the lack of a denominator, which hinders the estimation of absolute risk.
335 These intrinsic limitations of VAERS were partly bypassed by selecting higher quality cases and by estimating
336 the AE incidence through the retrieval of vaccine administration rates.

337 Moreover, disproportionality analysis is a statistical method that does not represent conclusive safety
338 information for a vaccine and needs further clinical validation, since the potential association found does not
339 imply causality.

340 Despite these limitations, the major strength of our analysis is the great number of reports retrieved and the
341 subsequent possibility to corroborate current evidence regarding demographic characteristics of patients as
342 well as AEs features, such as outcome, seriousness and time to onset through a case-level clinical review aimed
343 at thoroughly examining the correlation between Herpes reactivation and COVID-19 vaccination. The wide
344 cohort analyzed also allowed us to estimate the differential reporting risk based on the vaccine administered.

345 **4.2. Conclusions**

346 This is the first study aimed at investigating the potential association between COVID-19 vaccines and Herpes
347 reactivation by using one of the largest spontaneous reporting system databases.

348 Almost all cases of interest we retrieved were of non-serious nature; moreover, the estimated incidence of
349 Herpes reactivation following COVID-19 immunization was non-significant compared to the total number of
350 U.S. citizens who usually suffer from these conditions. Furthermore, it is impossible to rule out the role of
351 concurring factors, as well as the physical and emotional stress associated with acute illness and vaccination,
352 being notorious Herpes reactivation triggers.

353 In conclusion, the paucity of cases we found, in view of the high number of COVID-19 vaccine doses
354 administered, and the prevalence of Herpes reactivation among the general population, makes the potential
355 occurrence of this adverse effect negligible from clinical and epidemiological standpoints, by supporting the
356 good safety profile of the COVID-19 vaccination.

357 With the rapid and widespread distribution of COVID-19 vaccines, it is crucial that clinicians continue to
358 monitor and report all suspect AEs in order to help to better characterize the safety profile of vaccines, which
359 remain strongly recommended.

360

361 **Author contributions**

362 MG conceptualized and designed the study, interpreted the data drafted the manuscript, revised and approved
363 the final manuscript as submitted. VB, GC, GM, GG, CL, and MP participated in the conceptualization and
364 design of the study, participated in the analysis and interpretation of the data, revised the article, and approved
365 the final article as submitted. SR and EC participated in the conceptualization and design of the study,
366 participated in the analysis and interpretation of the data, coordinated and supervised data collection, critically
367 reviewed the manuscript and approved the final manuscript as submitted. CC conceptualized and designed the
368 study, interpreted the data, coordinated and supervised data collection, critically reviewed the manuscript and
369 approved the final manuscript as submitted.

370

371 Each figure and table have been originally created for the purpose of this manuscript and has not been published
372 previously.

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588 Papers of special note have been highlighted as:

589 * of interest

590 ** of considerable interest