

REGULAR RESEARCH ARTICLE

Hyponatremia Following Antipsychotic Treatment: In Silico Pharmacodynamics Analysis of Spontaneous Reports From the US Food and Drug Administration Adverse Event Reporting System Database and an Updated Systematic Review

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Abstract

Background: Hyponatremia associated with antipsychotic drugs is a rare but potentially life-threatening adverse drug reaction; the underlying pharmacological mechanism has not yet been explained.

Methods: We investigated the relationship between pharmacological targets of antipsychotic drugs and the occurrence of hyponatremia by conducting a nested case-control study using the Food and Drug Administration Adverse Event Reporting System database. Multiple logistic regression was used to determine the associations between antipsychotics receptor occupancy and hyponatremia. We also performed a systematic review of clinical studies on this association.

Results: Of 139 816 reports involving at least 1 antipsychotic, 1.1% reported hyponatremia. Olanzapine was the most frequently suspected drug (27%). A significant positive association was found between dopamine D₃, D₄, and hyponatremia, while adrenergic α_1 , serotonin 5-HT_{1A}, and 5-HT_{2A} receptor occupancies were negatively associated. A multivariable stepwise regression model showed that dopamine D₃ (adj. odds ratio = 1.21; 95% CI = 1.09–1.34; $P < .05$) predicted the risk for hyponatremia ($P < .05$), while serotonin 5-HT_{2A} occupancy (Adj. odds ratio = 0.78; 95% CI = 0.68–0.90; $P < .01$) exhibited a protective effect against hyponatremia. Among the 11 studies included in the systematic review, incidence rates of hyponatremia diverged between 0.003% and 86%, whereas the odds of developing hyponatremia from effect studies ranged between 0.83 and 3.47.

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Significance Statement

Hyponatremia is known to occur as a rare but clinically important adverse event to treatment with various antipsychotic drugs; however, the mechanisms of this adverse reaction are unknown. Several hypotheses have been described regarding the pathophysiological mechanisms, such as a prolonged blockade of dopamine D_2 and a stimulation of serotonin 5-HT_{1C} receptors by antipsychotic drugs. Taking advantage of one of the largest spontaneous reporting systems, we studied the association between the receptor binding profile of antipsychotics and the occurrence of hyponatremia in the Food and Drug Administration Adverse Event Reporting System. Results showed a disruption of the fine balance between dopaminergic (D_3) and serotonergic (5-HT_{2A}) transmission induced by antipsychotic drugs. Higher levels of D_3 receptor occupancy were associated with increased risk for hyponatremia. This report also summarizes the current evidence from clinical studies on hyponatremia after the antipsychotic therapy. Given the considerable variations and inconsistencies in available evidence, prospective data should be generated more systematically in well-defined and larger-scale populations.

Conclusions: Antipsychotic drugs having a combined modest occupancy for D_3 and 5-HT_{2A} receptors and higher levels of D_3 receptor occupancy correspond to different degrees of risk for hyponatremia. Based on the few, relatively large-scale available studies, atypical antipsychotics have a more attenuated risk profile for hyponatremia.

Key Words: Antipsychotics, pharmacodynamics, pharmacovigilance

Introduction

The syndrome of inappropriate antidiuretic hormone (SIADH) occurs when there is persistent stimulation of antidiuretic hormone (ADH) resulting in hyponatremia. SIADH commonly presents as euvolemic hyponatremia, and it should be suspected in any patient with hyponatremia, hyposmolality, and a urine osmolality >100 mOsmol/kg (Verbalis et al., 2016). Hyponatremia (serum sodium concentration <136 mEq/L) is a prevalent and potentially dangerous medical comorbidity in psychiatric patients (Siegel, 2008). It has been reported to be associated with an increased risk of mortality of 55% and substantial costs for health systems (Wald et al., 2010; Hoorn and Zietse, 2013).

The cause of hyponatremia/SIADH among psychiatric patients is still unclear, and there are 2 conflicting possibilities. Because any CNS abnormality, including mental illness and psychosis, can enhance ADH-release from the pituitary gland, one possibility is that hyponatremia is associated with the exacerbation of the underlying psychiatric conditions such as psychosis-intermittent hyponatremia-polydipsia syndrome and compulsive water drinking/psychogenic polydipsia (Yasir and Mechanic, 2020; Ahmadi and Goldman, 2020). A second possibility is that hyponatremia/SIADH has an iatrogenic cause; a number of drugs are indeed associated with SIADH by enhancing or affecting the release of ADH. The list of the most common drugs includes carbamazepine, oxcarbazepine, chlorpropamide, cyclophosphamide, and selective serotonin reuptake inhibitors (Yasir and Mechanic, 2020); however, hyponatremia/SIADH has also been reported with the use of both typical and atypical antipsychotics (Mannesse et al, 2010; Ali and Bazzano, 2018). The clear association between antipsychotic drug use in a clinical setting and the occurrence of these abnormalities has not been established.

Early observational studies that examined the relationship between hyponatremia and antipsychotic drugs reported higher incidence with typical antipsychotics than atypical antipsychotics, particularly phenothiazines (Kimelman and Albert, 1984; Canuso and Goldman, 1996; Spigset and Hedenmalm, 1996; Mannesse et al, 2010). To date, there has been only 1 comprehensive systematic review addressing this topic, which was conducted in 2010 (Meulendijks et al., 2010). More recently, a systematic review of case reports on hyponatremia induced

only by atypical antipsychotics in patients with schizophrenia was published (Ali and Bazzano, 2018).

Hyponatremia/SIADH in atypical antipsychotics may be mediated by the action of serotonin, both by the release of ADH induced by the stimulation of central serotonin 5-HT₂ and 5-HT_{1c} receptors and by the increase in the effects of ADH at the renal medullary level (Anderson et al., 1992; Jørgensen et al., 2003). Prolonged blockade of dopamine D_2 receptors and subsequent stimulation of the release of ADH and increase in its peripheral response (Hirayama et al., 2001; Milella et al., 2010; Fabrizio et al., 2019) have also been proposed, limited to typical antipsychotic drugs. Yet, stratified disproportionality analyses of antipsychotics based on chemical structure and receptor affinity profiles of the dopamine D_2 receptor and serotonin 5-HT_{2A} have not shown a variation regarding the risk of hyponatremia in VigiBase (Mannesse et al., 2010), leaving unanswered the question of how antipsychotic drugs may lead to hyponatremia.

Given the widespread use of antipsychotics in different neuropsychiatric disorders and in light of the poorly understood pharmacological mechanism of action, it is of clinical relevance to elucidate the plausible pharmacodynamic relationship between hyponatremia and antipsychotics. Moreover, in the past decade, several studies have been carried out that describe the development of hyponatremia in association with antipsychotic drug treatment (Bun et al., 2011; Manu et al., 2012; Yang and Cheng, 2017; Falhammar et al., 2019; Yamamoto et al., 2019); however, to our knowledge, no review has been conducted to summarize findings.

We thus conducted a case-control study by using the US Food and Drug Administration Adverse Event Reporting System (FAERS) database aimed at quantifying the association between antipsychotics and the occurrence of hyponatremia/SIADH. To then clarify whether hyponatremia induction by antipsychotic drugs is driven by their receptor occupancy characteristics (dopaminergic D_1 , D_2 , D_3 , D_4 , histamine H_1 , muscarinic M_1 , M_2 , M_3 , central adrenergic α_{1a} , α_{2a} , serotonin 5-HT_{1A}, 5-HT_{2A}, 5-HT_{2C}), we carried out a combined pharmacovigilance–pharmacodynamic (PV–PD) analysis. This method has been previously used to study the pharmacological mechanisms of adverse reactions to a variety of drug

classes (Montastruc et al., 2015; Carnovale et al., 2019; Mazhar et al., 2019). It can be used to establish an association between pharmacological targets of drugs and their corresponding reporting risks for adverse drug reactions (ADRs) of interest observed in a large pharmacovigilance database. Finally, we performed an updated systematic review of the current literature to include all additional data on antipsychotic-associated hyponatremia.

Experimental Procedures

In Silico Pharmacodynamic Analysis

Setting and Study Design—Data were obtained from the FAERS, one of the largest spontaneous reporting system databases. The FAERS receives approximately 1.5 million adverse events (AEs), product complaints, and user error reports from healthcare practitioners, consumers, companies, and other sources concerning drugs, vaccines, and medical devices for human use (Harpaz et al., 2016). The database is updated quarterly and designed in accordance with the international safety reporting guidance issued by the International Conference on Harmonization (ICH 2000). AEs are recorded in the FAERS using the Medical Dictionary for Regulatory Activities (MedDRA) preferred terms. The number of safety reports sent to the FDA annually is continuously expanding due to increases in the type and number of products the agency regulates, awareness of the importance of these reports, ease of submitting reports (i.e., digitally), and population size (Duggirala et al., 2016).

This study was designed as a nested case-control study. The base cohort consisted of all ADRs involving any antipsychotic drug (Anatomical Therapeutic Chemical [ATC] code N05A, excluding lithium [ATC N05AN]) as suspected, interacting, or concomitant drug and for which information on binding affinities were available. The study period covered the first quarter of 2004 (representing the beginning of freely available FAERS data) through to the third quarter of 2019.

Data Acquisition and Data Processing—AEs data recorded in the FAERS were downloaded from the FDA website (<http://www.fda.gov/Drugs/InformationOnDrugs/ucm135151.htm>). The database consists of 7 datasets, namely patient demographic and administrative information (file descriptor DEMO), drug and biologic information (DRUG), adverse events (REAC), patient outcomes (OUTC), report sources (RPSR), start and end dates of drug therapy (THER), and indications for use/diagnosis (INDI). These 7 datasets were joined by unique identification numbers for each FAERS report and a relational database was built. Data extraction was restricted to reports without missing values for age and sex. We analyzed only reports concerning adults (≥ 18 years).

Because FAERS may sporadically contain duplicate reports, in case of reports submitted by both the consumer and the sponsor or intentional multiple reporting, data were scrutinized further manually based on similarities in patients, ADRs, and medicinal product data. Duplicate records were detected and deleted accordingly. We further standardized our dataset for possible misspelt or variants of drug names. Drug name text-mapping was accomplished by normalizing multiple drug names into a single generic name by automated matching processes through SQL-database schema. Subsequently, an open-source program, OpenRefine (Ham, 2013), was used to standardize drug name variants in the dataset to make them consistent with

the international nonproprietary nomenclature defined by the World Health Organization ATC classification.

Definition of Cases and Controls—Cases were defined as all Individual Case Safety Reports (ICSRs) where at least 1 MedDRA lower-level term from the standardized MedDRA query for “hyponatremia /SIADH (narrow)” (released in March 2014 with MedDRA Version 15.0) has been coded in the adverse reaction section (outcome of interest) in relation to antipsychotic drug(s). Noncases (controls) were all other ADRs reported in the database during the same period of time (i.e., all ADRs reports without the outcome of interest).

Potential Confounding Factors—Potential confounding factors retrieved from the case reports included age and sex of the patient, exposure to concomitant medication associated with hyponatremia, reporting year, year since marketing, characteristics of the reporter (physician; pharmacist; other caregivers; pharmaceutical company, indirectly obtained from a healthcare professional; and patient/consumer). Concomitant use of medication associated with hyponatremia/SIADH was defined as one of the drugs summarized in [Supplementary Table 1](#) reported as a concomitant or interacting drug for an ADR.

Pharmacodynamic Data Sources and Methods for Calculation of Antipsychotics Receptor Occupancy—For each antipsychotic drug studied, we quantified degrees of occupancy at dopaminergic D_1 , D_2 , D_3 , and D_4 ; histamine H_1 ; muscarinic M_1 , M_2 , and M_3 ; central adrenergic α_{1a} and α_{2a} ; and serotonin $5-HT_{1A}$, $5-HT_{2A}$, and $5-HT_{2C}$ receptors ([Supplementary Table 2](#)). Degree of receptor occupancy was calculated according to an equation derived from the pharmacological receptor theory's model (Yamada et al., 2002; Kenakin, 2004). By using this approach, receptor-mediated pharmacological actions of drugs can be estimated quantitatively with reasonable accuracy (Matsui-Sakata et al., 2005). The receptor occupancy is expressed by the following equation:

$$\text{Receptor Occupancy (\%)} = \frac{[Cu]}{(K_i + [Cu])} \times 100$$

where [Cr] represents the concentration of unbound antipsychotic (nmol/L) and constant K_i (nM) is the equilibrium dissociation constant of a ligand determined in inhibition studies. [Cr] were estimated according the “therapeutic reference ranges” reported in the AGNP Consensus Guidelines for Therapeutic Drug Monitoring in Psychiatry (Hiemke et al., 2018) and data on plasma protein binding reported in DrugBank, Integrated Database of ADMET, and Adverse effects of Predictive Modeling (Legehar et al., 2016) databases and individual monographs or regulatory documents.

For a given drug-receptor pair, the constant K_i is reflective of the binding affinity of the drug to a receptor. For each studied antipsychotic drug, values of binding affinities (K_i) at 12 different receptors potentially involved in iatrogenic hyponatremia were searched in the European Bioinformatics Institute-ChEMBL (ChEMBL, 2020), International Union of Basic and Clinical Pharmacology (IUPHAR, 2020), Psychoactive Drug Screening Program (PDSP, 2020), and BindingDB (Gilson et al., 2016). Only K_i obtained from human species and subsets on data for ligand-receptor combinations studied in CNS tissue or cloned receptors were selected. In case of multiple K_i values for the same receptor-ligand pair, we computed the dispersion, discarded

values at the tails of the distribution, and reported the resulting average.

Statistical Analyses—We first developed descriptive statistics for cases and noncases. The normality of data was also verified by means of the Kolmogorov test, and appropriate parametric and nonparametric analyses were conducted. Descriptive analysis was performed for cases and noncases, in terms of age, female sex, and use of concomitant medication associated with hyponatremia. The Student's *t* test was used to assess whether age was distributed differently between cases and noncases, whereas Pearson's chi-square test was used to assess whether categorical variables (sex and the presence of concomitant medications associated with hyponatremia) were differently distributed between cases and noncases. Tests were 2-tailed, with significance set at a *P* value of .05.

We then performed univariate and multivariate logistic regression to study the association between receptors' occupancy and the occurrence of hyponatremia with antipsychotic drugs. Quantitative values of occupancy were converted into a categorical variable by grouping values into 2 categories (low level of occupancy [$<50\%$], and high level of occupancy [$\geq 50\%$]) and were included in the regression models. In case of several antipsychotics with different receptor occupancies on the same receptor, the highest degree of occupancy was selected.

Logistic regressions used hyponatremia cases as the dependent variable. Potential explanatory variables included categorized values of occupancies ([“high level of occupancy” vs “low level of occupancy” [reference group]]) of involved antipsychotic drugs at 12 different receptors investigated along with the confounding variables discussed in section 2.1.4.

First, univariate analyses (model 1) were carried out to calculate the unadjusted association between receptor occupancy and outcome separately for each receptor. Levels of receptor occupancies that were significantly associated with the outcome in the univariate analyses were simultaneously entered in the multivariate model (model 2). A stepwise descending procedure (model 3) was conducted to select the main variables related to hyponatremia. In this modelling, the *P* value to enter the model was $\leq .05$, and the *P* value to leave the model was $> .10$. The validity of the models was checked using the Hosmer and Lemeshow Goodness-of-Fit Test. The association between receptor occupancy and hyponatremia was estimated as crude and adjusted odds ratios with their corresponding 95% confidence interval (95% CI).

To identify antipsychotics that were reported more frequently than expected in FAERS, we performed a case/noncase comparison among the 19 drugs to measure the disproportionality of drug-associated hyponatremia reporting for each antipsychotic. This method allows the comparison of hyponatremia with other cases of ADRs regarding the exposure to one antipsychotic compared with other antipsychotics (Montastruc et al., 2011), quantified as reporting odds ratio (ROR) and its corresponding 95% CI (Rothman et al., 2004). The ROR for each antipsychotic-ADR combination was defined as the ratio between proportions of cases containing the suspected antipsychotic in the “case” (number of cases with 1 antipsychotic as the suspected drug divided by the number of cases with other antipsychotics) and in the “noncase” (number of noncases with the same antipsychotic as the suspected drug divided by the number of noncases with other antipsychotics) group. We only included antipsychotic drugs that had been reported 3 or more times to be a suspected cause of hyponatremia. A signal of disproportionate reporting was defined when the lower limit of the 95% 2-sided CI for the ROR exceeded the threshold value of 1.

All analyses were performed using counts of unique cases. Data reading, filtering, and processing were conducted through RStudio. All statistical analyses were using STATA (StataCorp, College Station, TX).

Systematic Review

We conducted a systematic review of the published literature in accordance with Preferred Reporting Items for Systemic Reviews and Meta-Analyses guidelines (Liberati et al., 2009) for the evidence of hyponatremia following antipsychotic (excluding lithium) treatment as reported in clinical trials and cohort and case-control studies. Outcome measures were incidence rates and the odds or hazard ratios (OR/HR) of hyponatremia in both inpatients and outpatients treated with antipsychotic drugs.

Search Strategy—A search was conducted in the MEDLINE, PsychINFO, and EMBASE databases between April 2009 and December 6, 2020, to be current with the most recent literature since the last most comprehensive review by Meulendijks et al. (2010) on antipsychotic-associated hyponatremia studies available in the literature. For the search, which was based on keywords from the systematic catalog or alphabetic index, the following terms were used: “antipsychotic agents,” “neuroleptic agent,” “hyponatremia,” “inappropriate ADH syndrome,” “sodium blood level,” “sodium deficiency,” “sodium depletion,” and “water-electrolyte balance.” The only limit on the search was time. The complete search strategy is available in [Supplementary Material 1](#).

Study Selection, Data Abstraction, and Quality Assessment—After duplicate removal, the search results were then screened by title and abstract. All potentially relevant publications were retrieved in full text and evaluated in detail. Bibliographies of retrieved articles were examined for further relevant publications. Studies were eligible if they included descriptions of study design and population(s) and case definitions of hyponatremia and if the results on the outcome for participants exposed to antipsychotics were separately identified. We excluded studies that reported polydipsia but not hyponatremia/SIADH or explicitly reported polydipsia. Our study eligibility criteria also excluded lithium. Any disagreements about study selection were resolved by consensus with 2 researchers (F.M. and V.B.).

For each included study, we extracted the following information: study design (study type, study duration, sample size, serum sodium cutoff values used for definition of hyponatremia); patient characteristics (in/out patients and source, age, sex, and number exposed to antipsychotics); and outcomes on antipsychotic-associated hyponatremia. Because of our broad inclusion criteria, we anticipated considerable heterogeneity, which is why we assessed and compared effect studies for study design, sample size, patient characteristics, cutoff values, and definition of hyponatremia.

The quality of the individual studies was assessed by 1 reviewer (F.M.) and independently checked by a second (V.B.); disagreements were resolved by consensus. Cohort studies and case-control studies were assessed with the designated Newcastle-Ottawa Scale (NOS) (Wells et al., 2016). The NOS for cohort studies was adapted for use with cross-sectional studies in a similar manner to previous research (Hermont et al., 2014). The NOS, which was adapted for cross-sectional studies, uses the same star system in the main scale only. The difference is that on this scale, there are 5 stars for the selection dimension, 2 stars for the comparability dimension, and 3

stars for the outcomes dimension, which indicates the quality of the study. Each item is scored 1 or 2 and summed for a total indicating overall study quality as either high (7–9), moderate (5–6), or low (0–4).

Results

In Silico Pharmacodynamic Analysis

Study Population—From the FAERS we identified 138 194 ICSRs involving at least 1 of the 19 antipsychotics of interest with information on age and sex. Of 138 194 ICSRs, 1520 (1.1%) were related to hyponatremia (cases). Univariate analyses of demographics and characteristics of nested cases and noncases population are presented in Table 1. Compared with noncases, prevalence of hyponatremia cases was higher in female individuals (56% vs 53%; $P < .05$) and most frequently reported by physicians and patients. The mean age of cases was significantly higher than that of noncases (55.88 vs 47.05 years; $P < .0001$). Concomitant medication associated with hyponatremia was used in 69% of the cases and in 66% of the noncases ($P < .05$).

Pharmacovigilance—Pharmacodynamic Analysis for the Association Between Receptor Occupancies and Antipsychotic-Associated Hyponatremia—Univariate logistic regression analysis showed a significant and positive association between hyponatremia reports and dopamine D_3 (OR=1.20; 95% CI=1.09–1.31) and D_4 (OR=1.17; 95% CI=1.06–1.28) receptor occupancies, whereas significant negative association was found with histamine H_1 (OR=0.72; 95% CI=0.65–0.79) and serotonin $5HT_{1A}$ (OR=0.52; 95% CI=0.47–0.58) and $5HT_{2A}$ (OR=0.53; 95% CI=0.48–0.60) receptor occupancies (Table 2).

Using multivariate logistic regression analysis, higher receptor occupancies for dopamine D_3 (adjusted [adj.] OR=1.43; 95% CI=1.13–1.56), D_4 (adj. OR=1.12; 95% CI=1.02–1.23), α_1 adrenergic (adj. OR=0.70; 95% CI=0.64–0.77), and serotonin $5HT_{1A}$ (adj. OR=0.53; 95% CI=0.47–0.58) and $5HT_{2A}$ (adj. OR=0.59; 95%

CI=0.53–0.67) were significant. No other significant association were found for the remaining 6 targets.

Receptor targets significantly associated (D_3 , D_4 , α_1 , $5HT_{1A}$, and $5HT_{2A}$) with hyponatremia occurrence were then entered into a stepwise regression model while adjusting for all potential confounders. In the stepwise multivariable analysis, only dopamine D_3 (adj. OR=1.21; 95% CI=1.09–1.34) receptor occupancy was found to be associated with significantly increased risk for hyponatremia. In contrast, the occurrence of hyponatremia decreased substantially with higher serotonin $5HT_{2A}$ receptor (adj. OR=0.78; 95% CI=0.68–0.90) occupancy.

Case/Noncase Analysis

Results of disproportionality analysis for each antipsychotic drug are shown in Figure 1. The highest RORs were found for flupentixol (n=13; ROR=2.70; 95% CI=1.73–3.88), amisulpride (n=36; ROR=2.17; 95% CI=1.55–3.02), prochlorperazine (n=93; ROR=2.12; 95% CI=1.67–2.58), and fluphenazine (n=24; ROR=2.12; 95% CI=0.79–3.44). In contrast, no signal of disproportionate reporting was found for lurasidone, asenapine, clozapine, quetiapine, ziprasidone, loxapine, chlorpromazine, aripiprazole, pipamperone, and paliperidone.

Supplementary Figures 1 and 2 show the degrees of $5-HT_{2A}$ and D_3 receptor occupancies by antipsychotic drugs plotted against ROR, together with a line describing the logistic model.

Systematic Review of the Literature

Figure 2 shows the flow of the systematic literature search. The search resulted in 1343 unique titles. All titles were screened, after which 48 full-text articles were assessed for eligibility. Ultimately, 11 studies were identified which satisfied the eligibility criteria.

Characteristics of the Reviewed Studies—No randomized controlled trials reporting hyponatremia following antipsychotic drugs use were found. Eleven observational studies were eligible for the inclusion in our systematic review (Table 3); of these,

Table 1. Characteristics of the Nested Cases/Noncases Population in the FAERS (n=138 192)

Characteristic	Cases (n=1520)	Noncases (n=136 674)	P value
Patient age, mean (SD), y	55.88 ± 16.65	47.05 ± 17.14	<.0001 ^a
Sex, females	849 (56)	72 366 (53)	.024 ^b
Concomitant use of medication associated with hyponatremia	1049 (69)	90 205 (66)	.0460 ^b
Reporter type			
Physician	502 (33)	50 569 (37)	.024 ^b
Pharmacist	304 (20)	17 768 (13)	
Other caregivers	182 (12)	13 667 (10)	
Patient/consumer	426 (28)	41 002 (30)	
Unknown	106 (7)	13 667 (10)	
Reporting year			
2004–2012	684 (45)	43 736 (32)	.074 ^b
2013–2019	836 (55)	92 938 (68)	
Years since marketing			
<10	532 (35)	56 036 (41)	.023 ^b
10–15	289 (19)	38 269 (28)	
15–20	426 (28)	9 567 (7)	
>20	274 (18)	32 802 (24)	

All data except patient age are shown as number (%).

^aat test.

^bChi-square test.

Table 2. The Association Between Antipsychotic Receptor Occupancies and the Occurrence of Hyponatremia, Using Logistic Regression Analyses

Receptor	Cases (hyponatremia)		Noncases (other AEs)		Crude OR (95% CI)	AOR (95% CI) ^a	
	n	%	n	%		Model 1 (univariate)	Model 2 (multivariate)
D ₂	1255	66.0	113 892	66.8	0.96 (0.87–1.06)	0.94 (0.85–1.03)	—
D ₃	765	40.2	61 177	35.9	1.20 (1.09–1.31)	1.43 (1.13–1.56)	1.21 (1.09–1.34)
D ₄	706	37.1	57 128	33.5	1.17 (1.06–1.28)	1.12 (1.03–1.23)	—
H ₁	979	51.5	101 463	59.5	0.72 (0.65–0.79)	0.81 (0.65–1.08)	—
M ₁	909	47.8	93 675	55.0	0.75 (0.68–0.82)	1.02 (0.86–1.29)	—
M ₂	143	7.5	22 732	13.3	0.93 (0.44–1.43)	1.21 (0.95–1.31)	—
α ₁	937	49.3	97 469	57.2	0.72 (0.66–0.79)	0.70 (0.64–0.77)	—
α ₂	88	4.6	8672	5.1	0.90 (0.73–1.12)	1.05 (0.84–1.30)	—
5-HT _{1A}	488	25.7	67 774	39.8	0.52 (0.47–0.58)	0.53 (0.47–0.58)	—
5-HT _{2A}	1563	82.2	152 651	89.6	0.53 (0.48–0.60)	0.59 (0.53–0.67)	0.78 (0.68–0.90)
5-HT _{2C}	1416	74.5	135 657	79.6	0.84 (0.67–1.03)	0.95 (0.81–1.18)	—

Abbreviations: AOR, adjusted odds ratio; CI, confidence interval; OR, odds ratio.
 For each receptor: high receptor occupancy (≥50%) vs low receptor occupancy (<50%) set as the baseline level. D1 receptor not included as none of the antipsychotic possess high occupancy.
 Significant values are reported in bold; lower bound of 95% CI >1 meaning an increase of the risk of ADR reporting; upper bound of 95% CI <1 meaning a decrease of the risk of ADR reporting.
^aAdjusted for age, gender, concomitant use of medication associated with hyponatremia, type of reporter, and years since marketing.

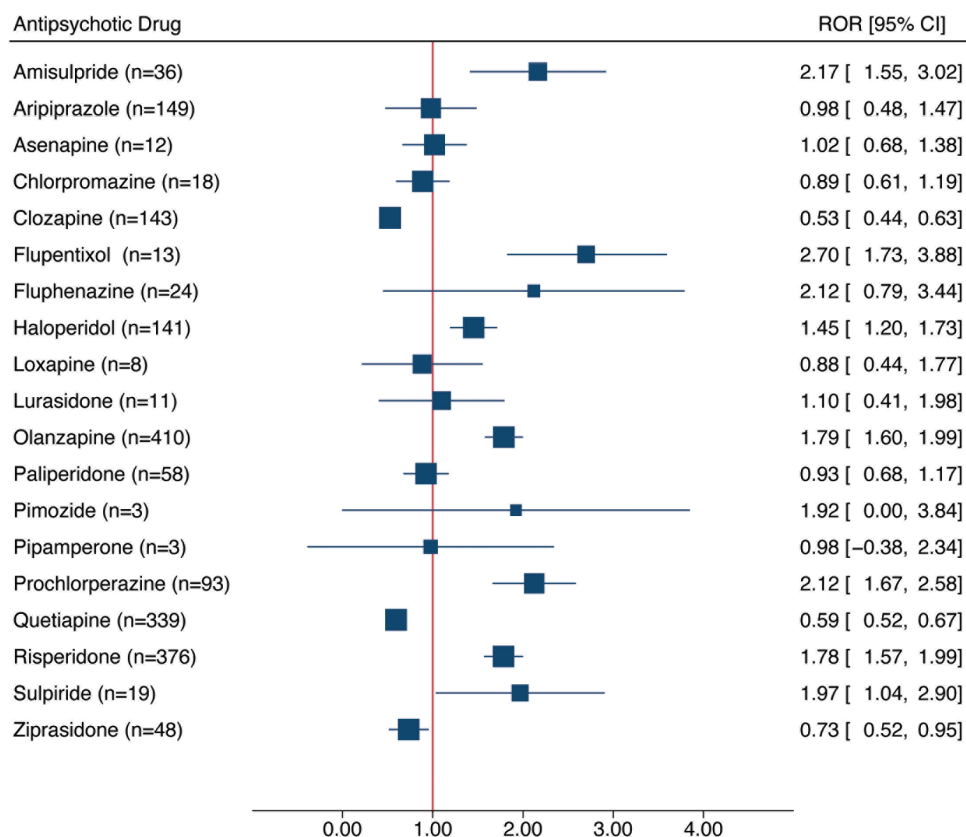


Figure 1. Case/noncase analysis for the association between antipsychotic exposure and the occurrence of hyponatremia in the US Food and Drug Administration Adverse Event Reporting System. Antipsychotics with less than 3 reports of diabetes are not presented (i.e., promazine, melperone, zuclopenthixol). Abbreviations: 95% CI, 95% confidence interval; ROR, reporting odds ratio.

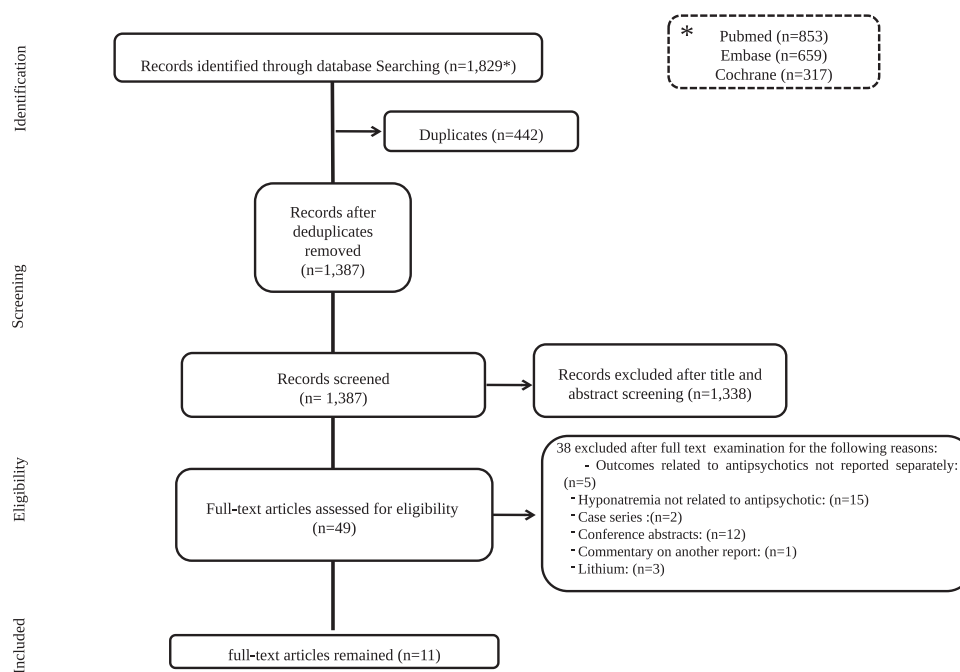


Figure 2. Preferred Reporting Items for Systematic Reviews and Meta-analyses flow diagram of process of study selection.

6 were case-control studies comparing hyponatremia cases with controls (normonatremia) for their relative exposure to antipsychotic drugs (Bun et al., 2011; Manu et al., 2012; Yang and

Cheng, 2017; Falhammar et al., 2019; Yamamoto et al., 2019; Jun et al., 2020), 2 were retrospective cohort studies that included control group as patients not exposed to antipsychotic drugs

Table 3. Studies on the Risk or Incidence of Hyponatremia With the Use of Antipsychotics

Author, y	Study design				Patient characteristics				Outcome					
	Duration (y)	Sample size (n)	SNr ^a : active Cutoff SNr ^a monitoring (mEq/L)	In/Out	Disease	Age (mean±SD)	F (%)	Exposed to AP (n)	Cases of hyponatremia / no. exposed or no. of controls	Type of AP used	Type of measure	Overall	TAs	ATY AFs
Case-control studies (hyponatremia vs normonatremia)														
Jun et al., 2020	5 (with ≥30 d follow-up for recurrent hyponatremia)	19173	No	<135	Out	Recurrent hyponatremia	>65 (range: 65–75)	52.1	1535	79/1456 ^b	Any	OR 0.83 [95% CI 0.64–1.09]	—	—
Falhammar et al., 2019	9	71741	No	<135	In	Ad	Median 76 (range: 18–103)	72	317 (newly initiated)	148/169 ^b	Any	OR 1.80 [95% CI 1.38–2.34]	2.94 [2.09–4.13]	1.05 [0.75–1.47]
Yamamoto et al., 2019	12	7442	Yes	<130	In/out	Epilepsy	36.2±14.4	46.7	504	17/487 ^b	Any + anticonvulsant (except CBZ)	Incidence (%) 3.40	—	—
Yang and Cheng, 2017	15	2051	No	<135	In/out	Psychiatric illness	54.7±13.9	43.8	1069	92/977 ^b	Any	OR 3.47 [95% CI 2.03–5.95]	10.66	10.05
Mann et al., 2012	1	924	No	<136	In	Ad	45.15±19.6	46.8	642	37/605 ^b	Any	HR 3.13 [95% CI 1.83–5.34]	11.84	5.29
Bun et al., 2011	1	248	Yes	<130	In	Psychiatric illness	46.45±17.0	38.3	248	91/157 ^b	Any	OR 1.79 [95% CI 1.04–3.10]	—	—
Cohort Studies (antipsychotic users vs nonantipsychotic users)														
Gandhi et al., 2016	9	116016	No	≤132	Out	Ad	81±7.7	66.8	58008	86/58008	ATYAPs	Incidence (%) 0.15	—	0.15
Lange-Asschenfeldt et al., 2013	3	7113	Yes	<135	In	Psychiatric illness	Median 67 (range: 21–101)	NR	4976	199/4976	Any	RR 1.62 [95% CI 1.15–2.29]	—	1.62 [1.15–2.29]
Cross-sectional Shephselovich et al., 2017	6	198	No	<135	In	SIADH	66.6±17.3	55.5	22	19/22	Any	Incidence (%) 86	—	—
Serrano et al., 2014	4	219	No	<135	In/Out	Psychiatric illness	44.2±15.7	52.5	183	13/183	Any	Incidence (%) 7	26	Clozapine = 3; others = 5
Observational multidrug surveillance program/adverse drug reaction monitoring														
Lermaier et al., 2012	14	263864	No	<130	In	Psychiatric illness	60.7±15.9	55.7	189462	5/189462	Any	Incidence (%) 0.003	Perazine = 0.015; haloperidol = 0.007	Risperidone = 0.004

Abbreviations (patient characteristics and outcome): Ad, hospital admission for hyponatremia; AP, antipsychotic; ATYAPs, atypical antipsychotics; CBZ, carbamazepine; F, females; HR, hazard ratio; In, inpatient; NR, not reported; OR, odds ratio (where provided we report only adjusted ratio); Out, outpatient; RR, relative risk ratio; SIADH, syndrome of inappropriate antidiuretic hormone secretion; TAs, typical antipsychotics.

^a Cases and control were drawn from the population in a fully enumerated cohort

^b Number of patients without hyponatremia (controls)

^c Values related only to patients who experienced hyponatremia.

(Lange-Asschenfeldt et al., 2013; Gandhi et al., 2016), 2 were cross-sectional (Serrano et al., 2014; Shepshelovich et al., 2017), and 1 report from drug-surveillance programs relying on a system of enhanced monitoring of drug-related adverse events (Letmaier et al., 2012). Shepshelovich et al. (2017) exclusively looked for the medication-induced SIADH.

All included studies were retrospective, of which 3 (Bun et al., 2011; Lange-Asschenfeldt et al., 2013; Yamamoto et al., 2019) explicitly mentioned a systematic monitoring of serum sodium levels, defined as “active monitoring” in this review.

Four large-scale studies reporting hyponatremia following antipsychotic treatment were identified: 1 population-based cohort study (Gandhi et al., 2016) and 2 population-based case-control studies ((Yang and Cheng, 2017; Falhammar et al., 2019) using health administrative databases and 1 in a drug-surveillance program of psychiatric inpatients (Letmaier et al., 2012). The RR, HR, or OR of hyponatremia associated with antipsychotic drugs was calculated in 7 studies: 5 case-control studies (Bun et al., 2011; Yang and Cheng, 2017; Falhammar et al., 2019; Yamamoto et al., 2019; Jun et al., 2020), 1 cohort study (Gandhi et al., 2016), and 1 cross-sectional study (Serrano et al., 2014).

The quality of the included studies was moderate (mean NOS 6.9, SD = 2.3) (Supplementary Table 3). Four studies (1 for cross-sectional and cohort and 3 for case-control studies) were classified as high quality, and the 3 case-control studies were categorized as moderate quality. Three studies (2 for cross-sectional and 1 for cohort studies) were rated as low quality. In general, most studies with low to moderate quality had no score for the comparability section and outcome assessment was unsatisfactory.

Risk or Incidence Rate of Hyponatremia—Due to considerable heterogeneity in study designs and characteristics of the studied populations and serum sodium (S_{Na^+}) threshold values ($S_{Na^+} \leq 130$ vs ≤ 135 mmol/L), incidence rates varied greatly, with the larger-scale studies or without active monitoring of serum sodium levels resulting in more modest rates. Studies with a S_{Na^+} cutoff ≤ 135 or ≤ 136 mmol/L resulted in incidence figures between 3.99% and 86%, whereas in studies using stringent a case definition of $S_{Na^+} \leq 130$ or ≤ 132 mmol/L or severe hyponatremia, incidences between 0.003% and 3.40% were reported.

The HR, OR, or RR of hyponatremia for pooled antipsychotic drugs was determined in 4 studies. The lowest risk was found by Bun et al. (2011) (adj. OR=1.79; 95% CI=1.04–3.40), whereas the higher risk was reported in adult epilepsy patients treated with antipsychotics in addition to antiepileptic drugs (carbamazepine excluded) (adj. OR=3.47; 95% CI=2.03–5.95) (Yamamoto et al., 2019). One population-based cohort study reported atypical antipsychotic use compared with nonuse was associated with an increased risk of hospitalization with hyponatremia within 30 days (RR=1.62; 95% CI=1.15–2.29) (Gandhi et al., 2016). Jun et al. (2020) found no association between the use of antipsychotics and recurrence of symptomatic or severe hyponatremia in older patients (adj. OR=0.83; 95% CI=0.64–1.09).

Several studies exclusively reported the occurrence of hyponatremia in users of atypical or typical antipsychotic users. Use of typical antipsychotic was consistently reported for increased risk of hyponatremia compared with atypical antipsychotic. The Swedish register-based case-control study reported users of typical antipsychotics were more likely to experience severe hyponatremia (adj. OR=2.94; 95% CI=2.09–4.13) than those on atypical antipsychotics (adj. OR=1.05; 95% CI=0.75–1.47) (Falhammar et al., 2019). Similarly,

a population-level case-control study using Taiwan’s claim database reported an elevated risk of hyponatremia with typical antipsychotics (adj. HR= 3.13 [1.83–5.34]) vs atypical (adj. HR= 2.09 [1.36–3.23]) (Yang and Cheng, 2017).

The same distribution was found in the Arzneimittelsicherheit in der Psychiatrie study, with a higher incidence of hyponatremia with the typical antipsychotic perazine (0.015%) and haloperidol (0.007%), and lower with risperidone (0.004%) (Letmaier et al., 2012). In a case-control study by Manu et al. (2012), hyponatremia was reported to occur less with atypical antipsychotics (5.29%; n=9/76) than with typical antipsychotics (11.84%; n=28/529); however, no statistically significant difference was found.

Discussion

To date, the mechanism by which antipsychotics induce hyponatremia is not well understood. This is the first study, to our knowledge, aimed at assessing whether the degrees of antipsychotics receptor occupancy explain the occurrence of hyponatremia by using one of the largest spontaneous reporting system databases, that is, FAERS. We found a statistically significant positive association with dopamine D_3 receptor degrees of occupancy and hyponatremia occurrences and a negative one with serotonin 5-HT_{2A} degree. These associations persisted after adjustment for potential confounding factors such as sex, age, concomitant medications, and type of reporter.

The evidence for the relationship between neuroendocrine abnormalities and D_3 receptors in the literature is scarce. Dopamine D_3 is a member of the D_2 -like receptor family that can couple to effector mechanisms similar to the D_2 receptor subtype (Ilani et al., 2001; Le Foll et al., 2009). Dopamine D_3 receptors are unique among the D_2 -like receptors, exhibiting sustained high affinity for dopamine (>20-fold higher than D_2 receptors), suggesting that D_3 receptors, in vivo, are occupied by endogenous dopamine for extended periods, leading to high spontaneous activation of D_3 receptors (Vanhauwe et al., 2000; Richtand et al., 2001). Accordingly, small changes in the number or function of D_3 receptors may lead to dramatic effects on synaptic transmission, suggesting that D_3 receptors could be critical modulators of normal dopaminergic function. Due to the lack of selective D_3 receptor antagonists available on the market, direct evidence of the effects of selective dopamine D_3 receptor antagonists on neuroendocrine abnormalities is lacking.

Many atypical antipsychotic drugs and some typical antipsychotic ones have high affinities for both D_2 and D_3 receptors (Girgis et al., 2011); the high affinity of endogenous dopamine for D_3 receptors has been postulated to result in only minimal or no D_3 receptor occupancy by antipsychotic drugs in dopamine-rich areas (Graff-Guerrero et al., 2009; Mizrahi et al., 2011; Gross and Drescher, 2012; Mugnaini et al., 2013).

The involvement of D_2 receptors in the regulation of neuroendocrine abnormalities has been described in several animal models (Meltzer and Stahl, 1976; Hirayama et al., 2001; Milella et al., 2010). It is generally thought that antipsychotics may stimulate ADH release in the brain by supersensitivity of D_2 receptors. Therefore, neuroendocrine dysfunction might be explained, at least in part, by inhibition of the dopamine D_3 receptor. Our FAERS analysis does not support this possibility as we did not retrieve any case where cariprazine, a D_3 -preferring antipsychotic, was suspected in the occurrence of hyponatremia, consistent with the fact that only 1 case of hyponatremia associated with the use of cariprazine leading to drug discontinuation has been reported to date (Kane et al., 2015). It must be acknowledged, however, that cariprazine has only been recently

commercialized, and we need to wait for additional observational studies using real-world data on larger-scale samples to reach a definite conclusion.

Some early animal studies showed secretion of ADH through serotonin-mediated effects on central 5-HT₂ and 5-HT_{1c} receptors (Brownfield et al., 1988; Anderson et al., 1992; Jørgensen et al., 2003). It has been speculated that the effect of various psychotropic drugs on serotonergic transmission contribute to excess ADH secretion. However, a study we recently performed using the FAERS database (Mazhar et al., 2019) showed that serotonin-mediated neurotransmission may not be involved in the hyponatremia associated with antidepressant drugs. The results of the present analysis, and our previous results, suggest that the emergence of hyponatremia with antipsychotic is linked when D₃ receptor occupancy exceeds a certain threshold, whereas high 5-HT_{2A} occupancy provides relative protection from hyponatremia.

Our multivariable model showed that both blockades of dopamine D₃ and serotonin 5-HT_{2A} receptors independently explain the risk of hyponatremia. The case/noncase study we conducted thus supports the hypothesis that hyponatremia induced by antipsychotic drugs results from a disruption of the fine balance between dopaminergic- and serotonergic-mediated transmission. The unbalanced inhibition of dopamine D₃ and serotonin 5-HT_{2A} receptors can explain why antipsychotics that have high D₃ and low 5-HT_{2A} occupancies, such as amisulpride, sulpiride, and prochlorperazine, were the ones we found most associated with hyponatremia. Consistently, antipsychotics with nearly balanced antagonistic activities at D₃ and 5-HT_{2A} (pipamperone, risperidone, chlorpromazine, asenapine, paliperidone, aripiprazole, and quetiapine) or a high 5-HT_{2A} antagonist property were the ones least associated with hyponatremia.

In the systematic review we conducted, we observed a considerable heterogeneity across studies, with incidence rates of hyponatremia following any antipsychotic use diverging between 0.003% and 86%, whereas the odds developing hyponatremia from effect studies range between 0.83 and 3.47. Regarding the classes of antipsychotic drugs, ORs for typical antipsychotics (2.9–31.3) were consistently higher than for atypical antipsychotics (1.1–2.9). The risks associated with individual antipsychotics drugs between 2 classes could not be established due to insufficient information.

Despite this limitation, our review clearly shows that the risk of hyponatremia is higher with typical antipsychotics but not confined to these agents several novel aspects. It also reveals that study methodologies greatly affected outcomes, particularly, the choice (or lack) of nonexposed groups or the presence/absence of active monitoring for hyponatremia. Timing and clinical indication for sodium level checks varied and often were unclearly reported. The commonly accepted definition of hyponatremia is a serum sodium level lower than the arbitrarily defined threshold of 135 mEq/L; however, some studies selected a 130-mEq/L threshold, which may, in fact, be more relevant to the severity or clinical practice, considering that symptoms seldom occur at higher values. Additionally, inconsistencies in hyponatremia case definitions compromised comparison. Studies also differed with respect to confounding factors possibly causing or contributing to hyponatremia; some studies chose to exclude such cases (Yamamoto et al., 2019), whereas others included them but performed multivariate regressions for statistical correction (Gandhi et al., 2016; Yang and Cheng, 2017).

Limitations and Strengths

The use of a spontaneous reporting system database has some important implicit limitations, because reporting is influenced by factors such as the notoriety bias, selection bias, and underreporting (Wiggins et al., 2015). The PV-PD analysis based on pharmacological receptors theory has its own limitations (Pariente et al., 2007); for instance, receptor affinity does not directly reflect the intrinsic activity of a drug. Also, receptor occupancy does not necessarily mean antagonism, and the interaction at the receptor level of antipsychotics is complex and only partially characterized. Moreover, some antipsychotics have high occupancy but fast dissociation, which is sufficient to elicit a biological therapeutic response. Nevertheless, PV-PD analysis provides reliable estimates of putative relationship and in recent past years, studies validated pharmacological preclinical studies in humans by combining real-world data with pharmacodynamic data. We have used unbound therapeutic plasma concentrations to estimate receptor occupancies, whereas a brain concentration would be the ideal approach. However, monitoring of the human brain concentration of unbound drug is experimentally not feasible.

Unlike typical case-control studies, cases and noncases are drawn from different populations; thus, this method cannot be a substitute for the classical case-control but gives relatively reliable results if the database contains several thousand different drug-event combinations. RORs are influenced by potential confounders such as selective underreporting, follow-up period bias, and exposure misclassification bias. Neither the prevalence nor the incidence rate of ADRs can be computed from the analysis of the FAERS dataset because the primary goal of such system is to signal the existence of a possible relationship between a drug or drug class and an ADR, and it does not prove causality.

As a primary limitation, our systematic review included a small number of studies, which may influence the quality and the strength of the results. Moreover, heterogeneity in study design (case-control, cohort, cross-sectional) precluded us from pooling the estimates.

Despite these limitations, the present work has several strengths. The study was conducted using one of the largest pharmacovigilance databases, which includes more than 10 million reports. Furthermore, our base cohort contains a considerably higher number of reports, strengthening the statistical power of the analyses. Furthermore, to contribute in closing the knowledge gap on this issue, we used a relatively innovative approach in the field of pharmacovigilance, and, unlike previous studies that applied a similar approach to investigate pharmacological mechanisms of ADR using 1 pharmacological target in the linear regression model, we considered all relevant targets in our multivariable logistic regression analyses.

CONCLUSION

This is the first study, to our knowledge, aimed at evaluating the potential association between degrees of antipsychotics receptor occupancy and the occurrence of hyponatremia by using one of the largest spontaneous reporting system databases: FAERS. Of importance, our PV-PD analysis showed that both blockades of dopamine D₃ and serotonin 5-HT_{2A} receptors independently explain the risk of hyponatremia. The unbalanced inhibition of dopamine D₃ and serotonin 5-HT_{2A} receptors can explain why antipsychotics that have high D₃ and low 5-HT_{2A}

occupancies (amisulpride, sulpiride, and prochlorperazine) were the ones we found most associated with hyponatremia. Consistently, antipsychotics with nearly balanced antagonistic activities at D_3 and 5-HT_{2A} (piperamperone, risperidone, chlorpromazine, asenapine, paliperidone, aripiprazole, and quetiapine) or high 5-HT_{2A} antagonist property were the ones least associated with hyponatremia. Given the fact that antipsychotics have high affinities for multiple receptors and receptor subtypes, the potential for specific adverse events varies for each different antipsychotic. Therefore, drug-specific side-effect profiles should be considered together with patient-specific risk factors when deciding on the optimal treatment for individual patients.

Our review of the current literature, based on the few, nonetheless relatively large-scale studies published to date shows that typical antipsychotics have a more attenuated risk profile for hyponatremia. However, hyponatremia can also occur with these agents, albeit less frequently. We were unable to draw conclusions regarding the risks associated with individual antipsychotic owing to insufficient information. Prospective controlled studies are needed that assess the risk of hyponatremia more systematically in well-defined, larger-scale populations.

Supplementary Materials

Supplementary data are available at *International Journal of Neuropsychopharmacology (IJNPPY)* online.

Supplementary Table S1. Drugs associated with the occurrence of hyponatremia.

Supplementary Table S2. Receptor occupancies for the 19 antipsychotics of interest.

Supplementary Table S3. The Newcastle Ottawa scale for the studies included in the review.

Supplementary Material S1. The search string.

Supplementary Figure S1. The relationship between 5-HT_{2A} receptor occupancy by antipsychotics and the occurrence of hyponatremia in a nested case/noncase population studied in the FAERS.

Supplementary Figure S2. The relationship between the D_3 receptor occupancy by antipsychotics and the occurrence of hyponatremia in a nested case/noncase population studied in the FAERS.

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Statement of Interest

All authors have no conflict of interest to declare.

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