3	This is the final peer-reviewed accepted manuscript of:
4	Giancarlo Avallone, Roberta Rasotto, James K. Chambers, Andrew D. Miller, Erica
5	Behling-Kelly, Paola Monti, Davide Berlato, Paola Valenti, Paola Roccabianca.
6	Review of histological grading systems in veterinary medicine Vet Path. $2021$
7	Mar;18(1):3-8.]
8	The final published version is available online at: [10.1177/0300985821999831]
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13	Behling-Kelly, Paola Monti, Davide Berlato, Paola Valenti, Paola Roccabianca.
14	
15	Affiliations
16	Department of Veterinary Medical Sciences (DIMEVET), University of Bologna, Ozzano
17	dell'Emilia, Italy (GA)
18	Histopathology consultant, Verona, Italy (RR).
19	Laboratory of Veterinary Pathology, the University of Tokyo, Tokyo, Japan (JKC)
20	Dick White Referrals, Six Mile Bottom, Cambridgeshire, United Kingdom (PM)
21	AniCura Animal Oncology and Imaging Center, Hünenberg, 6331, Switzerland. (DB)
22	Department of Biomedical Sciences, Section of Anatomic Pathology, Cornell University
23	College of Veterinary Medicine, Ithaca, NY, USA (ADM)
24	Department of Population Medicine and Diagnostic Sciences, Section of Clinical
25	Pathology, Cornell University College of Veterinary Medicine, Ithaca, NY, USA (EBK)
26	Clinica Veterinaria Malpensa, Samarate (VA), Italy (PV)
27	Department of Veterinary Medicine (DIMEVET), University of Milano, Lodi (LO), Italy (PR).

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- 29
- 30 Corresponding author:
- 31 Giancarlo Avallone
- 32 e-mail: giancarlo.avallone@unibo.it
- 33 Tel: +39 051302965
- 34 Address: Department of Veterinary Medical Sciences (DIMEVET), University of Bologna,
- 35 Via Tolara di Sopra 50, 40064 Ozzano dell'Emilia (BO), Italy.

37 Abstract

38 Tumor grading is a method to quantify the putative clinical aggressiveness of a neoplasm 39 based on specific histological features. A good grading system should be simple, easy to 40 use, reproducible, and accurately segregate tumors into those with low versus high risk. 41 The aim of this review is to summarize the histological, and when available cytological, 42 grading systems applied in veterinary pathology, providing information regarding their 43 prognostic impact, reproducibility, usefulness, and shortcomings. Most of the grading 44 schemes used in veterinary medicine are developed for common tumor entities. Grading 45 systems exist for soft tissue sarcoma, osteosarcoma, multilobular tumor of bone, mast cell 46 tumor, lymphoma, mammary carcinoma, pulmonary carcinoma, urothelial carcinoma, renal 47 cell carcinoma, prostatic carcinoma, and central nervous system tumors. The prognostic 48 relevance of many grading schemes has been demonstrated, but for some tumor types the 49 usefulness of grading remains controversial. Furthermore, validation studies are available 50 only for a minority of the grading systems. Contrasting data on the prognostic power of 51 some grading systems, lack of detailed instructions in the materials and methods in some 52 studies and lack of data on reproducibility and validation studies are discussed for the 53 relevant grading systems. Awareness of the limitations of grading is necessary for 54 pathologists and oncologists to use these systems appropriately and to drive initiatives for 55 their improvement.

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Keywords: carcinoma, cats, dogs, grading, histopathology, lymphoma, mast cell tumor,
prognosis, review, sarcoma, standardization, tumor

Tumor grading refers to the microscopic assessment and quantification of parameters that correlate with the putative clinical aggressiveness of a neoplasm based on the tumor's histomorphology. Histological grading should not be confused with staging, which refers to the extension of the disease based on tumor size and degree of local invasion, lymph node involvement, and presence of distant metastases. Staging performed by the clinician and grading performed by the pathologist provide different but interrelated information that affect oncological patient management.<sup>25</sup>

67 Tumor grading assessment varies according to tumor type and in some instances 68 more than one grading system is available for some tumors. Two-, three-, or four-tier 69 grading systems are used. Most grading systems applied to canine and feline neoplasms 70 are derived from the human counterparts, and with few exceptions are based on the 71 assessment of cellular differentiation (evaluating architectural features and cell 72 morphology) and proliferative activity. Ideally, a good grading system should be simple, 73 easy to use, reproducible (good intra- and inter-observer agreement), and able to 74 accurately segregate categories of tumors with different biological behavior.<sup>25,29</sup>

75 The aim of this review is to summarize grading systems available in veterinary 76 pathology, provide information about their prognostic impact and reproducibility, indicate 77 which systems have been validated by subsequent studies, and discuss the critical issues 78 and shortcomings. Tumors for which prognostic parameters but not a grading system are 79 currently available, such as melanoma, have not been included in this review. In order to 80 avoid confusion and for consistency the term *mitotic count* (MC) will refer to the absolute 81 number of mitoses counted in a specified number of fields or in a specified area, the term 82 mitotic index (MI) will refer to the number of cells undergoing mitosis divided by the number of cells not undergoing mitosis,73 and the term *mitotic activity* will be used as a 83 84 generic term. Only a few of the grading systems described define the standard area of 85 view for the assessment of the mitotic activity,<sup>76,92,107</sup> while the majority refers to HPF.

86 which is an inconsistent unit of measure.<sup>73</sup> The reader should be aware that comparison

87 between HPF and mm<sup>2</sup> is not possible unless the area of the HPF is defined.

#### 88 Canine soft tissue sarcomas

The grading system of canine soft tissue sarcoma (STS) is based on the so-called French grading system that is widely applied for human sarcomas.<sup>27,60,128</sup> In human medicine, soft tissues are defined as the extraskeletal connective tissues of the dermis, subcutis and fascia, striated and smooth muscle, vessels, serosal and synovial linings, and nerve sheaths.<sup>45</sup> STSs are therefore defined as malignant tumors that resemble, arise in or have their origin from soft tissues, and the grading system is applied to malignant tumors only.<sup>45</sup>

96 In veterinary medicine the term canine STS is used inconsistently to indicate 97 spindle cell tumors of subcutis, usually including fibrosarcoma, nerve sheath tumors, 98 perivascular wall tumors, and undifferentiated pleomorphic sarcoma (previously known as 99 malignant fibrous histiocytoma).<sup>10,27,60,69</sup> Entities such as liposarcoma and soft tissue 100 leiomyosarcoma are inconsistently excluded from this group thus leading to 101 heterogeneous data in the literature.<sup>10,27,69</sup> These inconsistencies, the lack of specific 102 diagnoses in some studies,<sup>69,137</sup> and the inclusion of benign entities in others,<sup>10,19,60</sup> may 103 have led to the application of the grading system also to benign canine spindle cell tumors 104 in the daily diagnostic routine, thus creating a significant difference from the approach 105 used in human pathology.

The French grading system was first applied by Kuntz and coauthors to canine STS with a change in the score assigned to tumor necrosis.<sup>60</sup> This change has subsequently been abandoned and the original table of the French system is now consistently used.<sup>27</sup> Since the change in the necrosis score was associated with an adaptation of the cut-offs of total score to assign the grade, it did not impact the final result and the two grading schemes (French grading system and Kuntz-adapted version) represent the same system. Attention should be paid to use the appropriate cut-offs depending on the score used for necrosis. In the dog, the STS grading scheme does not apply to histiocytic sarcomas (being a leukocytic neoplasm) and is not validated in canine hemangiosarcoma or in other animal species.<sup>27</sup>

The system divides STSs into three grades based on a total score obtained by the sum of individual scores estimating histologic differentiation, MC in 10 contiguous HPFs in the region with the greatest cellularity, and percentage of necrosis (Table 1).<sup>22,60,128</sup> The grade of canine STS was associated with overall survival in univariate analysis in two retrospective studies including 350 and 75 cases respectively.<sup>10,60</sup> The grade was associated with local recurrence in two papers,<sup>10,69</sup> while consistent studies on the impact of grade on the risk of metastasis are lacking.

123 Regarding local recurrence, one study analyzing the recurrence-free time in 85 124 cases and comprising a small proportion of high grade STSs (4 cases). This study 125 identified a correlation between grade and local recurrence.<sup>69</sup> This correlation was 126 conditional to histological margins being less than 1 mm or infiltrated with neoplastic cells, 127 whereas for those cases with a tumor-free margin greater than 1 mm, tumor grade was 128 not associated with recurrence.<sup>69</sup> A second study of 350 cases (22 of which were high 129 grade) also identified a correlation between grade and local recurrence, but the 130 histological status of surgical margins was not available.<sup>10</sup> A third study, on 56 canine 131 perivascular wall tumors (4 of which were high grade), failed to identify a correlation 132 between grade and recurrence; in this study, recurrence was associated with other 133 parameters including the status of surgical margins.<sup>2</sup> Prediction of local recurrence based 134 solely on grade is therefore discouraged, and the histological status of margins should be considered the main prognostic factor for local recurrence.<sup>2,27,60,69</sup> 135

For canine non-angiomatous visceral sarcomas, a significant association of grade
with survival time was found in a series of 31 cases (5 grade I, 11 grade II, and 15 grade

III) mainly located in the spleen and gastrointestinal tract.<sup>62</sup> Grade was also associated with metastatic rate, which was 20% for grade I, 27% for grade II, and 60% or grade III tumors.<sup>62</sup> Nevertheless, since these results are based on a small number of cases, for which the grade was determined reviewing pathology reports rather than slides,<sup>62</sup> is seems premature, in our opinion, to apply this grading system to visceral sarcomas until further studies confirming its prognostic impact will be available.

For canine oral fibrosarcoma, tumor grade should be weighed with caution because in this site up to 50% of low-grade tumors have an aggressive behavior characterized by rapid growth and progression, with short time to recurrence that is independent of grade (so-called histologically low-grade, biologically high-grade fibrosarcoma).<sup>20,42,43</sup>

148 Critical issues regarding grading of canine STS are related to its reproducibility, 149 having a high intra-observer but only moderate inter-observer agreement.<sup>137</sup> The most 150 subjective criterion is the differentiation parameter as it is defined, which may lead to 151 disagreement among pathologists or to a bias in the evaluation of this parameter for some 152 specific entities (e.g. perivascular wall tumors are difficult to compare with normal vascular 153 mural cells,<sup>3,86</sup> so it is difficult to assign a differentiation score). For the same reason, in 154 human medicine, a predetermined differentiation score is assigned to a specific STS.<sup>22</sup> 155 Furthermore, STS grading of pre-surgical biopsies has demonstrated low accuracy, often 156 being discordant with the grade of the subsequently excised mass in 41% of the cases.<sup>93</sup> 157 These discrepancies are mainly represented by underestimation of the grade on the presurgical sample. The discrepancies are independent of biopsy technique, 93 and interpreted 158 159 to reflect sampling of non-representative tumor areas.

160 It has to be considered that the prognostic value of grading canine STS has been 161 assessed by studies that are mostly retrospective and include a mixture of different tumor 162 types, and the proportions often vary among studies or are not specified. Furthermore, 163 imprecise diagnostic criteria may have led to the inclusion of benign tumors (e.g. schwannomas or benign nerve sheath tumors) in such studies. Therefore, papers on
canine STS are often difficult to compare, and the validity of the results should be
weighted based on study design, number of cases, and outcome assessment.

Evaluation of STS grade has been attempted without success on cytological specimens. In one cytomorphological study of mesenchymal cell proliferations, the nuclear parameters of sarcoma cells did not differ between histological grade, MI, or necrosis score.<sup>70</sup> Furthermore, in cytological specimens, the nuclei from reactive proliferations were overall larger and displayed greater anisocytosis and pleomorphism than in STSs.<sup>70</sup>

All considered, grading of canine STS is a useful prognostic tool especially in conjunction with status of resected margins, although prognostic studies with better defined criteria would be beneficial to improve its role in daily diagnostic activity.

### 175 Canine splenic hemangiosarcoma

176 Since canine hemangiosarcoma generally carries a poor prognosis, it is generally 177 not graded since most tumors fall into the highest category. Nevertheless, a grading 178 system was applied in two studies on 46 and 30 cases of canine splenic 179 hemangiosarcoma.<sup>80,85</sup> This grading system incorporates tumor differentiation, nuclear 180 pleomorphism, tumor necrosis, and mitoses in 10 HPFs (Table 2).<sup>80,85</sup> In another study of 181 dogs that were treated with doxorubicin, some elements of the histologic grading scheme 182 (higher MC, increased nuclear pleomorphism and tumor differentiation) were suggested as 183 potential prognostic indicators.<sup>85</sup> However, in one of the two studies, the association of this 184 grading scheme with survival was demonstrated on univariate but not on multivariate 185 analysis.<sup>80</sup> Thus, lacking evidence of prognostic significance, this grading system has not 186 been widely applied.

# 187 Feline injection site sarcoma

Feline injection site sarcoma (FISS) is the most frequent soft tissue sarcoma
 described in cats<sup>50</sup> and a specific grading system for FISS has not been developed. The

190 canine STS grading system is often used to predict FISS behavior based on a single study 191 demonstrating an association with distant metastasis.<sup>105</sup> Nevertheless, subsequent studies 192 failed to replicate this result or to demonstrate a prognostic impact.<sup>44,94,101</sup> A recent paper 193 proposed a variation of the STS grading system for feline STS, maintaining the parameters 194 of mitotic count and necrosis, and replacing the parameter of differentiation with the 195 amount of inflammation.<sup>32</sup> Unfortunately, it is not clear how many cases included in the 196 study were FISS and how many were STS not related to injection.<sup>32</sup> The lack of 197 information on the proportion of these two groups, and lack of information on the status of 198 surgical margins in the majority of cases, makes it difficult to assess the real prognostic 199 impact of this scheme.<sup>32</sup> Thus, until larger prospective studies are performed, the 200 application of grading in FISS is discouraged.

### 201 Canine osteosarcoma

202 Two distinct grading systems are reported for osteosarcoma in dogs. One system 203 divides osteosarcoma into three grades based on a total score obtained by summing 204 individual scores evaluating degree of nuclear pleomorphism, MC in 10 random HPFs, and 205 percentage of necrosis (Table 3). This system was initially developed for mandibular 206 osteosarcoma and was associated with one-year survival rate.<sup>122</sup> Subsequently, the same 207 system was applied to 140 cases of appendicular and axial osteosarcoma (Loukopoulos 208 system) and found to be significantly associated with development of distant metastases.<sup>64</sup> 209 Finally, it was applied to a series of canine osteosarcomas arising from flat and irregular 210 bones and carried no prognostic value.<sup>59</sup>

A second grading system (Kirpensteijn system) was proposed and applied to appendicular and axial osteosarcoma. It is a 3-tier system defining grade by a predetermined histologic score which assesses nuclear pleomorphism, MC in 3 random HPFs, amount of tumor matrix, cellularity, and percentage of necrosis (Table 4). All the cases with lymphovascular invasion or lymph node metastases were classified as grade III 216 independently from any of the other parameters.<sup>55</sup> In the original study, performed on 166 217 appendicular osteosarcomas, the grade was significantly associated with disease-free 218 interval and survival time.<sup>55</sup> Unfortunately, since the grade is assessed by a predetermined 219 classification scheme and not by cumulative score, it can be difficult to assign a grade in 220 cases characterized by histologic features that in the grading scheme are associated with 221 different grades.<sup>55</sup> This issue was addressed more recently, in a study comparing the 222 performances of both grading systems on 85 appendicular osteosarcomas and in which 223 the inter-pathologist agreement was low in the Kirpensteijn and fair in the Loukopoulos 224 systems.<sup>112</sup> Despite the standardization of the area evaluated for the MC in the 225 comparison study, lack of specific guidelines for the choice of the random HPF may be 226 one of the factors contributing to the low agreement. Furthermore, neither one of the 227 grading systems was associated with prognosis.<sup>112</sup>

228 The discrepancies regarding the prognostic significance of these grading systems 229 might reflect differences in number of cases included in each study, site of the tumors 230 (axial, appendicular, or both), and variable chemotherapy protocols applied, thus 231 generating potential bias.<sup>55,59,64,112</sup> The suboptimal inter-pathologist agreement and the 232 contradictory prognostic impact reported for both grading systems<sup>55,64,112</sup> warrant caution 233 in their application and interpretation. Furthermore, studies aimed to better define the 234 criteria and procedures used to assess the value of grading systems for osteosarcoma are 235 needed in order to clarify differences between the competing grading systems.

236 Feline osteosarcoma

An adapted version of the Kirpensteijn grading system was developed for feline osteosarcoma and tested on a case series of 62 appendicular, axial, and extraskeletal tumors (Table 5). The histological grade score was associated with survival time, diseasefree interval, and recurrence-free interval.<sup>31</sup> In this grading system, the final grade was calculated by adding the individual score of each histological variable. Nevertheless, cut offs for categorization and the number of cases classified as low, intermediate and high
 grade were not provided, making the use of this system unfeasible.<sup>31</sup>

#### 244 **Canine multilobular tumor of bone**

245 A 3-tier grading system for multilobular tumor of bone in dogs includes assessment 246 of the following criteria: borders of the tumors, size of the lobules, architectural 247 organization, MC in 10 HPFs, cellular pleomorphism, and presence of necrosis (Table 248 6).<sup>121</sup> The prognostic impact of this grading system was assessed in a single study of 39 249 dogs (13 grade I, 17 grade II, and 9 grade III), and higher grade was associated with 250 decreased time to local recurrence (>1332, 782, and 288 days for grade I, II and III 251 respectively), time to metastasis (>820, 405, and 321 days for grade I, II and III 252 respectively), and survival time (>897, 520, and 405 days for grade I, II and III 253 respectively).<sup>28</sup>

Unfortunately, some of the criteria used to calculate the grade (borders, size of lobules, organization, cellular pleomorphism, and area selected for the MC) are not well specified and may be subjective. Studies assessing the reproducibility of this grading system are lacking. Further studies on larger caseloads would be beneficial to better understand the prognostic impact and reproducibility of this grading.

# 259 Canine mast cell tumors

Mast cell tumors (MCTs) are common neoplasms in dogs,<sup>8,47</sup> the majority developing in the skin with possible secondary involvement of the subcutis.<sup>127</sup> Canine cutaneous MCTs have variable potential for local recurrence and metastasis,<sup>53,120,124</sup> and accurate prediction of the clinical outcome is critical.<sup>8,53</sup> Histological grade is the most widely used parameter for prognosticating and directing adjuvant treatment in dogs with cutaneous MCTs.<sup>51,56,115</sup>

266 This section will focus on the different histological and cytological grading systems 267 available in veterinary literature, methods for their application, and their shortcomings. For 268 more specific guidelines and information on their prognostic impact, refer to the consensus 269 paper on this topic in this issue.<sup>7</sup> Histological grading of canine MCTs has been developed 270 and validated for cutaneous MCTs and it is not to be applied in primarily subcutaneous, 271 mucosal, or visceral MCTs.<sup>36,127</sup> In addition, most studies assessing the grade of canine 272 cutaneous MCTs included primary tumors removed surgically as primary therapeutic 273 intervention,<sup>56,89,115,120</sup> and the prognostic relevance of grading in recurrent MCTs remains 274 unknown. Grading on small pre-treatment incisional biopsies is considered appealing, but 275 has led to underestimation of the histological grade in a minority of cases.<sup>115</sup>

276 The first grading system for canine cutaneous and subcutaneous MCTs was 277 published in 1973 by Bostock,<sup>9</sup> followed in 1984 by Patnaik and colleagues,<sup>89</sup> which is still 278 widely used. The Patnaik system (Table 7) is a 3-tier scheme based on assessment of 279 tumoral architecture (tissue extension, cellularity, stromal reaction, edema, and necrosis) 280 and cellular morphology (cell shape, cytoplasmic granularity, nuclear characteristics, and mitotic activity).<sup>89</sup> Despite its longevity and wide application, the Patnaik system has been 281 282 associated with interobserver variability: while there is usually good agreement in 283 diagnosing grade III MCTs, there is moderate disagreement in the diagnosis of grade I and 284 II MCTs,<sup>56,83,134</sup> putatively ascribed to the subjective assessment of tissue extension: 285 superficial dermis/interfollicular spaces (grade I) vs lower dermis/subcutis/muscle (grade 286 II).<sup>56,83,134</sup> Another issue contributing to the interobserver variability may be similar to the 287 Kirpensteijn canine osteosarcoma grading system; i.e., some tumors don't quite fit into any 288 of the three classifications because of differences in one or more criteria. The majority of 289 Patnaik grade I MCTs are associated with an excellent prognosis and are usually cured by 290 complete surgical excision.<sup>53,81,106</sup> Reports of metastasis of grade I MCTs exist, but they 291 are rare<sup>4,97,120</sup> and, in view of the possible subjectivity in differentiating grade I and grade II 292 MCTs, studies incorporating inter-pathologist agreement on grade I tumors would further 293 clarify their prognostic significance. Patnaik grade III MCTs have been documented to be

294 more aggressive than grade I MCTs with higher rates of local recurrence, metastasis, and 295 tumor-related death and often requiring adjunctive therapy.<sup>8,53,120</sup> On the contrary, the 296 biological behavior of Patnaik grade II MCTs is more difficult to predict and unfortunately 297 the majority of canine cutaneous MCTs seem to fall in this category.<sup>56</sup> For grade II MCTs 298 there is considerable variation among studies with regard to the rates of local recurrence, 299 metastasis, and tumor-related death.<sup>81,106,111,136</sup> Although the interobserver variability likely 300 has some impact on the disparate clinical outcomes reported in the literature for grade II 301 MCTs, it is also clear that this category encompasses a heterogeneous group of MCTs 302 with different biological behaviours.<sup>53</sup>

303 In an attempt to address the limitations posed by the Patnaik system, in 2011 Kiupel 304 and colleagues<sup>56</sup> proposed a 2-tier grading scheme (Table 8) to classify canine cutaneous 305 MCTs as either low grade or high grade based only on cellular morphology (MC, 306 karyomegaly, multinucleated cells and bizarre nuclei). Comparing the cellular morphologic 307 criteria included in the Kiupel system and Patnaik system, there are similarities (size and 308 shape of the nuclei and mitotic activity), but also some differences (the Patnaik system 309 considers the morphology of the cytoplasmic granules and the presence/absence of 310 binucleated cells, which are not included in the Kiupel system). For the features included in 311 both systems, the two-tiered grading provides a more standardized approach (e.g. for cells 312 with at least 3 nuclei a specific cut-off that separates low- and high-grade MCTs is given). 313 According to the Kiupel grading system, the majority of canine cutaneous MCTs are 314 included in the low-grade category, even if the proportion of low-grade MCT is variable (59.6-89.5%).34,53,56,91,104,106,120,124,134 315

Various studies have tested the performance of the Kiupel grading system alone and in relation to the Patnaik system. The Kiupel grade is an independent prognostic factor in dogs with cutaneous MCTs,<sup>34,56,106</sup> with low-grade MCTs having a lower rate of recurrence, metastasis, and tumor-related death than high-grade MCTs.<sup>34,56,106,134</sup> By removing the architectural tumor features from the grading and providing more details on
how to judge the cellular morphological features, the Kiupel system improves the
concordance among pathologists.<sup>56,124</sup> When applying the Kiupel and Patnaik systems to
the same cohort of MCTs, grade I tumors are always assigned to the low-grade category
and grade III tumors to the high-grade category and, consistently among the studies, most
Patnaik grade II MCTs are classified as Kiupel low-grade and a smaller subset as highgrade, the latter demonstrating a worse long-term prognosis.<sup>6,34,56,106,134</sup>

Nevertheless, one study has suggested that among the Kiupel high-grade MCTs there is a difference between Patnaik grade II and grade III MCTs, with the former having longer survival times,<sup>106</sup> and because the Patnaik system is the one oncologists and clinicians are more familiar with, it has not been completely abandoned. For this reason, both systems are frequently used in routine diagnostic and clinical practice and are included in the most recent publications on the epidemiology, prognosis, and treatment of canine cutaneous MCTs.<sup>66,91,104</sup>

334 Relevantly, studies on MCT grading system should avoid mixing cutaneous MCTs 335 and primarily subcutaneous MCTs. Subcutaneous MCTs are less common than their 336 cutaneous counterparts with less information in the literature regarding their histologic 337 diagnosis and biologic behavior, although some authors have suggested a favorable 338 clinical outcome when arising in the subcutaneous tissue.<sup>127</sup> Nevertheless, robust 339 distinction between cutaneous and subcutaneous mast cell tumors in terms of behavior is 340 still lacking and urgently needed. However, a specific grading system for subcutaneous 341 MCTs has not been validated yet.<sup>127</sup> Finally, it should be remembered that apart from the 342 grade there are other prognostic indicators in dogs with cutaneous MCTs such as the 343 clinical stage and, when available, these should be taken into consideration to better 344 predict the MCT behavior.8,79

Given the widespread use of cytology for diagnosing MCTs, grading of MCTs on cytological specimens in order to provide prognostic information prior to surgery has also been attempted.<sup>12,51,110</sup> The main limitation of cytological grading is the inability to differentiate between cutaneous and subcutaneous MCT. Indeed, current grading schemes apply only to cutaneous tumors;<sup>12,51,110</sup> thus, this is a clinically significant limitation. The development of a common grading system for cutaneous and subcutaneous MCT would be useful to overcome this limitation.

Three cytological grading schemes for MCTs have been proposed in the last 10 years. Of these, only one study correlated the performance of the proposed cytological grading system to survival time of patients.<sup>12</sup> The Camus system<sup>12</sup> is the only cytological grading scheme that added granularity (as assessed on slides stained with a modified Wright's stain) and presence of binucleated cells. The other two studies only investigated the performance of the Kiupel grading system when applied to cytology, with or without changes to the cut-off values used on histopathology.<sup>51,110</sup>

359 The Camus cytologic grade<sup>12</sup> was obtained by evaluating 100 intact cells in a single 360 smear (modified Wright's stained). Tumors were classified as high-grade if cells were poorly 361 granulated or two of the following were found: presence of mitoses, anisokaryosis (defined 362 as a variation of the nuclear size greater than 50%), bi/multinucleation, and nuclear 363 pleomorphism (Table 9). This grading was found to be predictive for survival time and 364 correlated well with the Kiupel grading system (specificity of 94.8% and sensitivity of 88.2%). 365 A weakness of this system is the overestimation of high-grade cases potentially leading to 366 a more aggressive course of treatment. The total intra-observer agreement was 75.5% 367 (73.6% and 81.8% for low- and high-grade MCTs respectively), while inter-slide variability 368 and inter-laboratory agreement was not investigated.<sup>12</sup>

369 Scarpa and coauthors<sup>110</sup> proposed a cytologic grading system assessed on 370 approximately 1000 intact cells, stained with May Grünwald-Giemsa. The areas with the 371 most cellular monolayer or greater pleomorphism are selected for the grading. By applying 372 the same technique and cut-off values used in the Kiupel grading, this cytologic grading 373 showed a specificity of 97%, sensitivity of 85%, and accuracy of 94% in predicting the Kiupel 374 histological grading. The sensitivity was increased to 92% by changing the cut-off value for 375 mitoses to equal or greater than 1. The higher cell numbers required to use this scheme 376 may be a limitation.<sup>110</sup>

377 Similarly, Hergt and coauthors<sup>51</sup> used the Kiupel grading system as gold standard 378 with overall accuracy of 94.3%, and the specificity and sensitivity were 86.8% and 97.1% 379 respectively in predicting the Kiupel histological grading. By changing the cut-off values for 380 each parameter to 1 in 10 HPF, the performance of the cytologic grading did not improve 381 significantly.<sup>51</sup> Neither Scarpa nor Hergt provided information on interobserver 382 agreement.<sup>51,110</sup> In a morphometric study, the mean nuclear area correlated with survival, 383 and based on this parameter the Patnaik grade II MCTs could be subdivided in two groups 384 with different behavior. This study did not evaluate interobserver variability.<sup>123</sup> Grading of 385 MCTs on cytology seems therefore promising, despite the often-cited limitation that the site 386 of tumor development cannot be identified. However, the lack of information regarding 387 interobserver agreement<sup>51,110</sup> and the risk of overestimation of the grade<sup>12</sup> suggest further 388 validation before their wider application. All considered, application of the two histologic 389 grading systems, especially in conjunction, provides useful information to predict the 390 behavior of canine cutaneous MCTs.

## **Feline mast cell tumors**

A two-tier histologic grading system has been proposed for feline cutaneous MCTs.<sup>107</sup> Cases with multiple tumor nodules can also be assessed by this grading system if all the nodules are surgically removed. MCT is classified as high-grade when MC is higher than five and when at least two of the following three findings are present: tumor diameter > 1.5 cm, nuclear pleomorphism (irregular nuclear shape), and nucleolar 397 prominence/chromatin clusters. Tumors that do not meet the above criteria are classified 398 as low-grade (Table 10). For MC, areas of high mitotic activity on a slide should be 399 selected for evaluation. MC is assessed in a 2.37 mm<sup>2</sup> area.<sup>73,107</sup> Nuclear shape variation 400 such as angular, markedly indented, or multilobulated nuclei are included under nuclear 401 pleomorphism. If the majority of tumor cells have round to oval nuclei, nuclear 402 pleomorphism is considered absent. Nucleolar prominence/chromatin clusters are 403 considered present if more than 50% of tumor cells exhibit nuclei with more than one large 404 nucleolus or coarsely stippled chromatin. The tumor diameter needs to be provided in the 405 submission form by the clinician.<sup>107</sup> 406 In this study, enrolling 63 cats with cutaneous mast cell tumors, the median overall survival 407 was significantly reduced in high-grade cases compared to low-grade cases.<sup>107</sup> This 408 system should be further validated in a different population of cats including a larger 409

410 Lymphoma

number of atypical MCTs.

411 The grading of lymphoma in small animals is by definition based on histological 412 tumor features assessed in sections of lymph nodes (fully excised or examined via Tru-cut 413 biopsies). Except in few cases (follicular lymphomas) the mitotic activity is the cornerstone 414 of the histological grading.<sup>15,100,129,130,132,133,135</sup>

415 Oncologists rely on diagnosis, phenotype, and grade of lymphoma to guide 416 therapeutic decisions and prognostic judgments in small animals. Different types of lymphoma are recognized to differ in their biological behavior.<sup>38,40,100,130,132,133</sup> This has 417 418 greatly advanced the clinical management of the disease, but at the same time it has also 419 led to confusion and lack of distinction between the classification (giving a name to the 420 specific lymphoma) and the histological grading. In particular, the term "grade" is 421 commonly and incorrectly applied to indicate the expected clinical course of the untreated

422 disease (e.g. high-grade B-cell lymphoma), but this is different from the grade that is
423 based on the assessment of specific histologic features.

424 A further consequence of this misunderstanding is that, since the cytologic 425 evaluation of lymph node aspirates allows for the diagnosis of many lymphoma types,<sup>15</sup> 426 cytopathologic reports often extend the diagnosis to this "clinical grading" concept, which 427 has an unknown relationship to the histological grade. As an example, the most common 428 type of lymphoma in the dog, diffuse large B cell lymphoma (DLBCL), is often cytologically 429 recognizable and has been associated with an aggressive clinical course (improperly 430 referred as high-grade). Thus, cytological diagnosis of DLBCL is often extended 431 conceptually to provide a cytological grade to the lesion. This extension, while practically 432 useful, further adds to the confusion between classification and grade.

433 In order to avoid this misunderstanding and to put an end to misuse of terminology 434 that adds confusion, it is advisable that oncologists, clinical pathologists and anatomical 435 pathologists make a clear distinction and separate clinical behavior, classification of the 436 type of lymphoma and histological grade. Thus, it is highly recommended to use the terms 437 indolent, intermediate (if applicable) and aggressive behavior to stratify lymphomas by 438 their predicted clinical course and to stratify histological grade into low-, medium- and high-439 grade categories. It is also advisable to avoid the term "grade" in cytological reports. 440 Therefore, in this paragraph, the main focus will be the histological grading of lymphoma according to mitotic activity.<sup>15,100,129,130,132,133,135</sup> 441

Various studies, mainly in dogs, have analyzed the prognostic significance in lymphomas of the MC alone or in conjunction with other proliferation parameters, such as Ki67 labeling index.<sup>5,23,131,30,38,40,57,90,95,99,126</sup> Unfortunately, MC has often been evaluated with different magnifications and in a different number of fields, without indicating the area of view, thus leading to a lack of standardization and consistency (Supplemental Table S1). Also, the association of mitotic activity and tumor behavior has often been evaluated by grouping different types of lymphomas.<sup>30,38,95,131,133</sup> This lack of uniformity in the
methods likely contributed to the variable results reported in the literature and summarized
below, regarding the prognostic significance of the MC and the histologic grading of
lymphomas.

452 The most commonly used grading scheme for lymphomas in veterinary medicine is 453 the WHO grading scheme,<sup>129</sup> which has been applied on two separate large cohorts of 454 dogs with nodal lymphoma.<sup>131-132</sup> The WHO grading scheme defines the grade based on 455 the MC in one 400X field (Table 12). Despite the fact that the exact method of counting 456 mitoses was not clearly specified in the Material and Methods section of these 457 publications, the mitoses were counted in 10 fields at 400X and the average was 458 determined (personal observations by two authors involved in one of these two works: W. 459 Vernau and P. Roccabianca).

In the same two studies the lymphomas have also been classified on the basis of
other features such as immunophenotype, maturity of cells, growth pattern (nodular versus
diffuse), and nuclear size determined as small (<1.5 the size of a red blood cell),</li>

463 intermediate (1.5–2 the size of a red blood cell), or large (>2 the size of a red blood cell).

However, it is important to stress that these additional microscopic features were not usedin any way to determine the grade.

In one of these 2 studies the MC correlated with the diagnosis of clinically indolent and aggressive tumors but, when divided in the three cut offs used for grading, it did not correlate with overall survival. Nevertheless, when the cut off was set into two categories, below 20 mitotic figures (353 cases) and above 21 mitoses (26 cases) per 400X field, good agreement with overall survival was obtained. However, analysis of survival was performed retrospectively on groups of heterogeneous lymphoma types and not for each lymphoma type introducing a bias on survival curves.<sup>131</sup> 473 More specific information regarding the prognostic significance of MC and grade is 474 available for a subset of lymphomas called nodular lymphomas (marginal zone, mantle 475 zone, follicular and T-zone lymphomas). These types of lymphoma have been identified 476 according to cell size and specific growth patterns and they have been found associated 477 with a low grade (because of the low MC), an indolent clinical course and a prolonged 478 survival.<sup>38,39,100,113,132,133,138</sup> Nevertheless, in two different studies, MC stratification did not 479 impact survival times for nodular lymphomas,<sup>38,133</sup> thus suggesting that the WHO grading 480 system does not add significant information to predict the clinical course of these entities. 481 In one of the two reports however, statistical analysis was performed grouping marginal 482 and T-zone lymphomas, thus introducing a bias in the statistical evaluation.<sup>38</sup> 483 A separate histological grading system has been proposed for follicular 484 lymphomas<sup>129</sup> counting the number of centroblasts in 10 neoplastic follicles and then 485 stating the average per single 400X field (Table 11). In humans, follicular lymphomas are frequent and this grading system has demonstrated clinical relevance.<sup>71</sup> However, 486 follicular lymphomas are rare in dogs and cats,<sup>38,39,131–133</sup> and information on the utility of 487 488 their grading is lacking.<sup>100,129,130,132,133</sup> 489 Specific guidelines for histological grading of animal lymphomas that are located in 490 anatomic sites other than the lymph nodes (e.g. alimentary tract, respiratory tract, skin) 491 have not been established yet and there is no current evidence that grading lymphomas in

493 is some evidence that feline and canine small cell lymphomas with low MC tend to be have

these locations has a prognostic relevance. However, at least in the alimentary tract there

494 a better prognosis<sup>23,90</sup> than large cell lymphomas with high MC.<sup>5</sup>

492

In the few cytopathological studies that have investigated and stratify the mitotic activity (total number of mitoses identified in 5 fields at 500X: 0 to 1; 2 to 4;  $\geq$  5) of canine lymphomas, no correlation with the clinical outcome was identified.<sup>39,40</sup> The concern regarding cytology will always be whether the mitotic count in the sample is representativeof the tumor mitotic activity.

500 In conclusion, the specific role of histological grade for prognostication of 501 lymphomas in animals remains unclear until more studies with a standardized 502 methodology and follow-up data on large numbers of each lymphoma type become 503 available.

## 504 Canine splenic fibrohistiocytic nodules

505 Histological grading of canine splenic fibrohistiocytic nodules was traditionally 506 based on the percentage of lymphoid cells relative to fibrous and histiocytic cells estimated 507 subjectively at 5X magnification.<sup>119</sup> Nevertheless, fibrohistiocytic nodules have been 508 reclassified as they represent a heterogenous group of diseases comprising nodular 509 hyperplasia, lymphomas, stromal sarcomas, and histiocytic sarcomas with the latter having 510 the worst prognosis.<sup>78</sup> Thus, it is highly recommended to discontinue the term 511 "fibrohistiocytic nodule" for splenic lesions and to reevaluate prognostic parameters, 512 including grading, according to the specific diseases previously encompassed by that 513 term. 514 **Canine mammary carcinomas** 515 Canine mammary tumors are among the most frequent neoplasm in female dogs, 516 half of which have malignant histologic features, but come with a broad range of clinical 517 outcomes.<sup>13,46</sup> The principles of the human Nottingham Histological Grade (NHG) for 518 breast cancer have been applied to canine mammary carcinomas (CMCs) removed surgically as the primary therapeutic intervention.<sup>13,21,37,54,82,92,102,103,109</sup> The NHG is based 519 520 on the assessment of tubule formation, nuclear pleomorphism, and MC. Each parameter is

521 scored from 1 to 3 and the cumulative score determines the grade.<sup>37</sup> The NHG system is

522 designed for epithelial neoplasms and is not applicable to non-epithelial tumors such as

523 mammary sarcomas.<sup>92</sup> However, mammary sarcomas are not common in dogs;<sup>1</sup> hence
524 the NHG system can be used in most instances.

525 The diversity of cellular populations involved in CMCs, which often includes luminal 526 epithelium and myoepithelium, and the great heterogeneity of their histological patterns, 527 led Peña and co-authors to provide guidelines on how to grade CMCs with myoepithelial 528 component using a 3-tier grading system derived from the NHG (Table 13).<sup>92</sup> Since its 529 publication, the Peña system has been widely adopted in the veterinary literature with numerous publications.<sup>13,16,82,103,109,118</sup> Further detail on its application in specific histotypes 530 531 are available in Volume 2 (Mammary Tumors) of the series Surgical Pathology of 532 Domestic Animals of the CL Davis Foundation.<sup>141</sup>

533 Currently, there is no agreement regarding the incidence of the Peña grading 534 categories in CMCs. This is likely caused by intrinsic differences in the study populations 535 investigated.<sup>13,82,92,103,109,118</sup> Different criteria to distinguish adenomas from carcinomas 536 may also impact the incidence of grade I CMCs in the literature.<sup>13,82,103</sup> The ability of the 537 Peña system to predict the clinical outcome in dogs with mammary carcinoma has been 538 demonstrated in retrospective<sup>82,109</sup> and prospective studies,<sup>13,16,92,103</sup> with some authors 539 identifying the histological grade as an independent predictor of patients' survival.<sup>16,82,92</sup> 540 Consistently among the studies, grade I and grade III tumors show the longest and 541 shortest survivals, respectively.<sup>13,82,92,109</sup> This is likely because grade I tumors have a lower 542 tendency to metastasize to distant organs and recur compared to grade III tumors 543 (metastatic rate: 19% for grade I vs 87% for grade III; recurrence rate: 12% for grade I vs 544 32% for grade III).<sup>92,103,118</sup> Most studies have failed to demonstrate a significant difference 545 in survival between grade I and grade II CMCs, opening the debate as to whether the Peña system should be converted into a 2-tier grading scheme.<sup>103,109</sup> However, there is 546 547 some evidence that grade II tumors have the ability to spread to regional lymph nodes and 548 this represents an intermediate risk between grade I and grade III forms.<sup>102</sup> Moreover, one

549 study showed that the 3-tier system works better than a 2-tier system for the prognosis of 550 CMCs (low- and high-grades were determined by the final score of the Peña system).<sup>109</sup> 551 Further investigations on the clinical significance of the grade II category are needed. 552 Taking into account the increasing use of the Peña system and that, at the moment, 553 there is no available information on the inter-observer agreement in its application, future 554 research should explore the concordance between pathologists when applying this 555 system, as recently done for histological grading schemes of other canine 556 neoplasm.<sup>56,134,137</sup> Finally, it is important to stress that the histological grade is only one of 557 the recognized prognostic factors in dogs with CMCs. Other factors include tumor size, 558 clinical stage, histological subtype, and histological evidence of infiltrative tumor growth 559 and lymphovascular invasion.<sup>49,92,102,109</sup> Grade III CMCs more commonly undergo 560 lymphatic invasion than grade II and grade I CMCs.<sup>102</sup> However, further research is 561 needed to clarify how the various histologic and clinical parameters impact the prognosis 562 of individual patients with CMCs, potentially following the lead of a recent study that has 563 incorporated the grade into a complex bio-scoring system to assess the metastatic risk.<sup>118</sup> 564 There are no studies directly comparing cytological features of CMCs with 565 histological grading, but a few studies evaluated the utility of morphometric analysis in 566 increasing the diagnostic sensitivity of cytology in determining the malignancy of a lesion.<sup>33</sup> 567 In the last 15 years, an attempt to better define cytological criteria of malignancy in cytological samples of canine mammary lesions has been made.<sup>33,96,116</sup> A single study 568 569 evaluated cellular morphometry on cytological samples for correlation with histological 570 grade and clinical outcome and applied the Robinson's cytological grading system for 571 invasive ductal carcinoma in women.<sup>33</sup> The cytomorphologic criteria were scored 1 to 3 572 and included: pleomorphism, hypercellularity, anisokaryosis, increased nuclear to 573 cytoplasmic ratio, prominent or multiple nucleoli, nuclear molding, chromatin clearing and 574 clumping, abnormal multinucleated cells, and mitotic activity.<sup>33</sup> The authors found a higher

575 risk of metastasis and shorter survival for dogs with Robinson grade II or III tumors.

576 Concerning is the relatively high rate of false negatives, which might lead to

<sup>577</sup> undertreatment if cytology were to be used in the initial planning.<sup>33</sup> Unfortunately, the case

578 selection was based on cytology and only CMCs showing evident cytological criteria of

579 malignancy were included, potentially leading to the exclusion of histological grade I

580 CMCs. This might have introduced a bias, since the performance of the Robinson's

581 cytological grading system might not have been studied across the full spectrum of

582 CMCs.<sup>33</sup> Further studies are needed to clarify the utility and reliability of cytological grading

583 of CMCs in the routine diagnostic setting.

584 Summarizing, the Peña grading system is a useful tool in the prognostication of

585 CMCs, especially when considered together with other prognostic variables.

# 586 **Feline mammary carcinomas**

Feline mammary tumors are less common and more uniform in terms of
histomorphology and biological behavior compared to those of dogs. Most feline mammary
tumors (80-90%) are carcinomas (FMC) and have a moderate to high propensity for
lymphatic spread.<sup>46</sup> However, survival times vary remarkably and there is a small subset of
FMCs that are considerably less aggressive. Therefore, accurate prognostication has
important clinical implications.<sup>76,139,140</sup>

593 The NHG developed for human breast cancer has been applied to FMCs for more

594 than two decades similarly to those of dogs.<sup>11,18,37,74,102,114,125</sup> The principles of the NHG

595 system work well in cats: as most FMCs are composed solely of epithelial cells, no

adaptations to assess the myoepithelial component are considered

597 necessary.<sup>11,18,74,102,114,125</sup> Over the years only small differences in the evaluation of the

598 MC and nuclear pleomorphism have been proposed and most studies agree in classifying

599 the majority of malignant FMCs as grade II or III carcinomas.<sup>11,18,74,102,114,125</sup> The value of

600 the NHG method to predict survival in cats with mammary carcinomas has been

demonstrated in various retrospective and prospective studies and in one multivariate
analysis in which the most favorable and worst outcomes have been shown for grade I
tumors and grade III tumors (0% and 90-100% 1-year tumor-related death rate,
respectively).<sup>11,18,74,102,114,125</sup> The prognostic significance of the grade II category is less
certain since, as in dogs, some studies have found a similar survival in grade I and II
FMCs and others in grade II and III.<sup>18,24,76,114</sup> Data on recurrence rates and metastatic
rates for the different grades of FMCs are currently lacking.

608 Recently, modification to the MC cut-offs of the NHG scheme has been proposed to 609 better fit with the wide range and high numbers of mitoses in FMCs (Table 14), leading to 610 a better performance in predicting their behavior.<sup>24,76</sup> In addition, in 2015, a new 3-tier 611 grading scheme for FMCs was developed (Mills system), based on histological criteria 612 found to be independent prognostic factors: lymphovascular invasion, MC, and nuclear 613 shape (Table 15). With the Mills system, statistically significant differences have been 614 found between all grades in terms of median overall survival (31, 14 and 8 months for 615 grade I, II and III respectively).<sup>76</sup> This system should be further validated in other studies 616 along with the effect of the size of the area evaluated on the assessment of 617 lymphovascular invasion. Currently, there is no consensus on which grading scheme 618 should be used for FMCs. Given that the NHG method has been successfully used for 619 many years, it is opinion of the authors that this method should not be abandoned and 620 may be used together with the Mills system. The interobserver variability associated with 621 these two grading schemes should be explored.

622 Canine pulmonary carcinoma

Grading of canine pulmonary carcinomas employs a scoring system including overall differentiation, nuclear pleomorphism, MC, nucleolar size, tumor necrosis, tumor fibrosis, and demarcation. The total histological score is divided into three grades (Table 16).<sup>61,68</sup> In a study on 67 canine pulmonary carcinomas, dogs with grade 1 tumors had significantly longer median survival time and disease-free interval than those with grade 2
or grade 3 tumors.<sup>68</sup> Further studies should be performed to confirm the prognostic
significance of this grading.

# 630 Feline pulmonary carcinoma

631 Feline pulmonary carcinomas are classified into three grades according to their 632 degree of differentiation (Table 17).<sup>48</sup> In one study, cats with grade 2 tumors had a 633 significantly longer median survival time compared to those with grade 3 tumors.<sup>48</sup> In a 634 more recent study, cats with grade 1 tumors had a significantly longer median survival time 635 compared to cats with grade 2 and grade 3 tumors.<sup>67</sup> The median survival time of grade 2 636 tumors was considerably different between the two studies (698<sup>48</sup> and 3 days<sup>67</sup>), which 637 may be attributed to subjective interpretation of the grading criteria and to the small 638 sample size (21 and 20 cases respectively). Another difference between the two studies 639 was completeness of resection of the tumor, which was accomplished in all cases in the 640 initial study, whereas the more recent study did not report the completeness of resection 641 and included several patients with advanced disease.<sup>48,67</sup> Lastly, a third study on 28 cats 642 applied the same grading system, and found a significantly longer survival time in grade 1 643 and 2 tumors (730 days) compared to grade 3 (105 days).<sup>84</sup> Overall, the three papers 644 agreed in indicating a progressive reduction of survival time with increased grade. 645 Nevertheless, because of discrepancies in median survival times for the different 646 categories and the small sample size of the studies, the prognostic value of this grading 647 system should be taken with caution until larger studies are performed.

648 **Canine urothelial carcinoma** 

Urothelial carcinoma (transitional cell carcinoma) of the urinary bladder and urethra
is most common in the dog.<sup>117</sup> The grading scheme, especially for the dog, has been
based largely on the WHO histological criteria for human urothelial carcinomas. However,
since the range of urothelial proliferative lesions is greater in humans than in domestic

653 animals, it is unclear how useful the human grading system is in domestic animals.<sup>72</sup> As 654 such, newly proposed grading criteria for urothelial tumors in domestic animals simplifies 655 the histological classification scheme by assigning two tumor types: urothelial papilloma 656 and urothelial carcinoma; the latter is divided into low- and high-grade variants. High-grade 657 urothelial carcinomas are defined by features of malignancy including atypia, cellular and 658 nuclear pleomorphism, mitotic activity, deeper invasion, and lymphovascular invasion.<sup>72</sup> 659 Specific cut-offs for the MC are not available, and studies assessing its prognostic 660 relevance are lacking. Thus, prospective studies determining the relationship between low-661 and high-grade features and patient outcome represent the next logical step before the 662 application of this grading in a diagnostic setting.

### 663 Canine renal cell carcinoma

664 The Fuhrman grading system for renal cell carcinoma is based solely on nuclear 665 and nucleolar morphology (Table 18).<sup>17,35</sup> It has been applied to the dog in two studies, 666 including 64 and 70 cases. These studies obtained contradictory results of its prognostic 667 value, perhaps because the studies included cases undergoing adjuvant therapies with 668 different protocols.<sup>17,35</sup> Both studies reported an association of MC with survival, but MC 669 was nevertheless not a part of the grading system.<sup>17,35</sup> MC was assessed in 10 670 consecutive HPFs in the areas of highest mitotic activity, equating the 2.37mm<sup>2</sup> standard 671 area, and were categorized in three groups: <10, 10-30, >30.17 Cases with a MC higher 672 than 30 had a lower survival time (120 days) compared to cases with MC <10 or 10-30 673 (545 and 532 days respectively).<sup>17</sup> A variation of the Fuhrman grading system has been 674 proposed in human medicine, but it has never been validated in veterinary medicine.<sup>26</sup> 675 Until studies on cases treated with homogeneous protocols are performed, the 676 assessment of MC seems to be the more reliable prognostic parameter for renal cell 677 carcinoma in the dog.

### 678 **Canine prostatic carcinoma**

679 A modified Gleason grading system of human prostatic carcinoma has been proposed for canine prostatic carcinoma.<sup>88</sup> Tissue samples collected during necropsy, 680 681 prostatectomy, and biopsy were examined. Architectural patterns observed on HE-stained 682 sections at low magnification are scored from 1 to 5 (Table 19). Scores of the two most 683 prevalently observed histological patterns are added to obtain a total Gleason score. If a 684 histological pattern with higher score than the primary and secondary scores are observed, 685 the higher score is assigned as the secondary score. For example, if score 4 is the most 686 prevalent pattern and a minor component of score 5 is observed, regardless of the second 687 most prevalent pattern (1, 2, or 3), the total Gleason score is 9 (4 + 5). If the tumor tissue 688 is composed of only one histological pattern, the score is doubled to obtain the total 689 Gleason score. Various histologic growth patterns in canine prostatic carcinoma can be 690 evaluated using this scoring system (i.e. solid, cribriform, and papillary).

691 In humans, higher Gleason scores are associated with more aggressive behavior of 692 the tumor and worse prognosis. In animals, there is no information about the correlation 693 between this histological grade and prognosis. In humans, it is not recommended to grade 694 urothelial carcinoma of the prostate. In dogs, urothelial carcinoma and mixed urothelial 695 and adenocarcinoma of the prostate are common, and differentiating them from true 696 prostate adenocarcinoma is often difficult.<sup>87</sup> Thus, inclusion criteria (i.e., which tumor type 697 should be graded) are necessary in order to use the grading system in routine veterinary 698 practice.

## 699 Canine cortisol-secreting adrenocortical tumors

A scoring system, named the Utrecht score, was recently developed in a retrospective study of 50 canine cortisol-secreting adrenocortical tumors.<sup>108</sup> This system includes Ki67 labeling index, necrosis, and vacuolation of the cytoplasm. Ki67 labeling index was assessed as percentage of Ki67-positive neoplastic cells on the total of counted cells, counting a minimum of 1000 cells in areas of highest mitotic activity.<sup>108</sup> The Utrecht score is obtained by adding the Ki67 labeling index, plus 3 points when necrosis is
present, and 4 points when at least 33% of neoplastic cells have a clear or vacuolated
cytoplasm.<sup>108</sup> Stratifying the cases in three groups based on specific cut offs (<6; 6-10;</li>
>10), the Utrecht score is associated with overall survival (>60, 51.5 and 14.4 months,
respectively).<sup>108</sup> Prospective studies on the prognostic value of the Utrecht score and on
its reproducibility are lacking.

## 711 Canine gliomas

712 In humans, the diagnosis and prognosis of meningioma and glioma are closely tied 713 to tumor grade, often augmented by molecular data. A grading scheme was proposed for 714 canine glioma that simplified and codified the histological characteristics (Table 20).<sup>58</sup> This 715 canine glioma grading scheme allows for three distinct diagnoses—astrocytoma, 716 oligodendroglioma, and undefined glioma—that are defined based on the predominant cell 717 pattern or, in the case of undefined glioma, an undefined cell pattern or a similar 718 distribution of oligodendroglial and astrocytic morphology. These are further divided into 719 low- and high-grade tumors. High-grade gliomas in the dog are diagnosed by the presence 720 of at least one of the following: geographical areas of necrosis with or without 721 pseudopalisading, increased mitotic activity, microvascular proliferation, or features of 722 malignancy (anisocytosis, anisokaryosis, or atypia).<sup>58</sup> Importantly, the degree of invasion 723 does not determine if a tumor is low- or high-grade. The lack of a cut-off for the assessment 724 of mitotic activity may limit the use of this grading system. This grading scheme was 725 determined using predominately necropsy samples<sup>58</sup> so there is no information about the 726 correlation between histological grade and prognosis. Therefore, application to biopsies 727 that can be studied prospectively is of utmost importance in determining if the grade is 728 correlated with outcome.

729 Canine meningiomas

730 Canine meningiomas exhibit various histological patterns that are similar to human 731 meningiomas.<sup>75</sup> Application of the WHO grading of human meningiomas has been 732 proposed for grading canine meningiomas.<sup>14,52</sup> In the WHO grading system, tumors are 733 graded according to their predominant histological subtype (Table 21).<sup>63</sup> except that 734 regardless of histological subtype, atypical meningioma (grade 2) is assigned if any of the 735 following features are found: brain invasion, MC of 4-19 per 10 HPF (400x), or at least 3 of 736 the 5 following histological features: necrosis, sheeting (loss of whirling or fascicular 737 architecture), prominent nucleoli, high cellularity, and small cells (tumor clusters with high 738 nuclear/cytoplasmic ratio). Anaplastic meningioma (grade 3) is assigned to meningioma 739 with overt malignant features (resembling carcinoma, melanoma, or sarcoma) or MC of 20 740 or more in 10 HPF (400x).

To date, correlation between WHO grading and tumor behavior has not been
validated in canine meningioma. One study demonstrated that canine papillary
meningioma has aggressive behavior with high recurrence rate, analogous to human
papillary meningioma (WHO grade 3).<sup>65</sup> Criteria of atypical meningioma, such as MC and
necrosis, may need to be reconsidered to fit the biological behavior of canine meningioma.
Future studies are needed to overcome these problems and develop a consistent grading
system for canine meningiomas that provides relevant prognostic value.

748 Conclusion

Tumor grading schemes in animals remain inextricably linked to the histopathologic findings because these are the basis of what anatomic pathologists do and are the sample that we are most used to assessing. However, histologic assessment is fraught with subjective challenges including inter-pathologist variation in MC, degrees of atypia, and pleomorphism. The lack of a detailed description of the methods used to assess some of the histopathological parameters included in the grading systems limits the possibility of applying some of them in diagnostic routine activity. Additional challenges are faced with 756 small sample size or variations in how a sample is trimmed for histologic analysis. This can 757 impact, for example, the estimation of necrosis. Necrosis seems to be assessed mainly at 758 the microscopic level, even if in many studies it is not clearly stated if a gross estimation 759 was performed. A major weakness of the veterinary literature is the accuracy of outcome 760 data (including the impact of euthanasia on the assessment of survival time), mainly 761 because prospective studies in animals are more difficult than in humans. Finally, most 762 tumor grading systems for animals have not been validated by replicating the studies using 763 an independent caseload. Some grading schemes are reported in more than one paper, 764 but studies applying the same method and specifically designed to validating the grading 765 system (Supplemental Table S2) are rare.<sup>112</sup> Furthermore, there are several papers 766 applying the same grading but too difficult to compare because of slight differences (such 767 as endpoint chosen or type of statistical analysis used) that makes comparison 768 complicated or non-feasible

These issues represent some of the challenges in veterinary oncological pathology,
considering that any grading scheme that is not correlated to accurately assessed
outcome has little to no clinical usefulness.

772 In human pathology, histologic grading schemes are being augmented and in some 773 cases supplanted by molecular diagnostics that often guide treatment and ultimately 774 prognosis. In the future, veterinary pathology will likely be able to incorporate molecular 775 data with histologic assessment to yield detailed and accurate information regarding the 776 biology of tumors. However, this can only be done through concerted and effective 777 collaborative, multi-center studies that standardize tumor collection and assessment and 778 produce large datasets that serve to guide future research paths. The dog and the cat are 779 effective natural models for many malignancies that afflict human and animals alike and 780 through radical and transformative collaborative research, veterinary pathologists will be at 781 the forefront of the coming molecular wave.

Less emphasis has been paid to developing cytological grading schemes that are predictive of tumor behavior. Given the minimally invasive nature, rapid turn-around time, and lower cost of an FNA compared to a tissue biopsy, this area warrants further investigation. Those histological grading schemes that heavily weigh features that can only be assessed in tissue sections—such as area of necrosis, blood vessel density, areas of fibrosis, or vascular invasion—could be anticipated to have poor correlation to cytology.

788 Tumor grading is a powerful and widely used tool to predict tumor behavior and it 789 should be considered in conjunction with other prognostic variables, rather than as a 790 single prognostic parameter. It is also pivotal, for both pathologists and oncologists, to be 791 aware of the weaknesses of some of these systems, such as the tumors for which the 792 grade has no or little impact, the controversial data on the prognostic power of some 793 systems, as well as lack of data on methods and reproducibility in in some grading 794 schemes. Furthermore, some grading systems (urothelial carcinoma, prostatic 795 carcinomas, gliomas, and meningiomas) currently have unknown significance regarding 796 the clinical outcome. In the authors' opinion, future studies should focus on addressing the 797 above-mentioned controversies and limitations, fill the gaps in knowledge, and try to 798 overcome common limitations such as the retrospective nature and the lack of uniformity 799 in study design, reporting outcomes, and treatment in order to improve the use and value 800 of tumor grading systems for animals.

801

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