

The Cerebellum

The CHOPIn study: A multicenter study on Cerebellar Hemorrhage and Outcome in Preterm Infants

--Manuscript Draft--

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Abstract:	<p>Introduction</p> <p>Cerebellar hemorrhage (CBH) is a frequent complication of preterm birth and may play an important and under-recognized role in neurodevelopment outcome. Association between CBH size, location and neurodevelopment is still unknown. The main objective of this study was to investigate neurodevelopmental outcome at two years of age in a large number of infants with different patterns of CBH.</p> <p>Methods</p> <p>Of preterm infants (≤ 34 weeks) with known CBH, perinatal factors, neuro-imaging findings and follow-up at 2 years of age were retrospectively collected. MRI scans were reassessed to determine the exact size, number and location of CBH. CBH was divided into three groups: punctate (≤ 4 mm), limited (> 4 mm but $< 1/3$ of the cerebellar hemisphere) or massive ($\geq 1/3$ of the cerebellar hemisphere). Associations between pattern of CBH, perinatal factors and (composite) neurodevelopmental outcome were assessed.</p>

	<p>Results</p> <p>Data of 218 preterm infants with CBH were analyzed. Of 177 infants the composite outcome score could be obtained. Forty-eight out of 119 infants (40%) with punctate CBH, 18 out of 35 infants (51%) with limited CBH and 18 out of 23 infants (78%) with massive CBH had an abnormal composite outcome score. No significant differences were found for the composite outcome between punctate and limited CBH ($p = 0.42$).</p> <p>Conclusion</p> <p>The risk of an abnormal outcome increased with increasing size of CBH. Infants with limited CBH have a more favorable outcome than infants with massive CBH. It is therefore important to distinguish between limited and massive CBH.</p>
<p>Response to Reviewers:</p>	<p>Unfortunately we omitted to include Dr. L. Ramenghi to the list of coauthors and sincerely hope that you will still allow us to add him to the list of co-authors at this late stage. Dr. L. Ramenghi made a substantial contribution to the conception and acquisition of the CHOPIn study. He revised the final version of our manuscript critically and added important intellectual content.</p> <p>All co-authors accepted and are aware of the change in the author list.</p>

Dear Dr Habas,

We would like to thank you for your message and the reviewer for his/her valuable comments to our manuscript *The CHOPIn study: A multicenter study on Cerebellar Hemorrhage and Outcome in Preterm Infants* Ms. No. CERE-D-19-00021.

We have adapted the manuscript accordingly; the changes have been marked throughout the manuscript. Below, we provide the answers to the reviewer's comments.

Additionally, we made minor adjustments to table 5 and table 6. In table 5 the p-value for postnatal steroids was 0.00, which is statistically impossible. This p-value is recalculated and has been changed to <0.001. Furthermore, in table 6 we added the separate p-values for each neurodevelopmental test using Fischer's exact test, to provide a more accurate statistical overview.

Furthermore unfortunately we omitted to include Dr. L. Ramenghi to the list of coauthors and sincerely hope that you will still allow us to add him to the list of co-authors at this late stage. Dr. L. Ramenghi made a substantial contribution to the conception and acquisition of the CHOPIn study. He revised the final version of our manuscript critically and added important intellectual content. We would also like to get permission to add S. Uccella to the acknowledgements. S. Uccella has contributed to the acquisition of follow-up data. The name of the University Hospital, Milan has been adapted, according to the newest regulations of this hospital.

We hope the manuscript has now been satisfactorily adapted and is suitable for publication in *The Cerebellum*.

Thank you again and yours sincerely, also on behalf of the other authors.

Vivian Boswinkel, research-physician

Answers

Reviewer #1: This is a retrospective study in a large multicentric cohort of very preterm infants examining the associations between 3 different patterns of cerebellar hemorrhage (CBH) diagnosed on MRI and neurodevelopmental outcome evaluated by different tests at 2 years of age. The study also explores the associations between CBH and perinatal factors, CBH and cerebellar atrophy, and location of CBH and neurodevelopmental outcome. This is a well conducted study, relevant for clinical practice, especially in parental counselling. It provides useful information for future prospective studies.

We thank the reviewer for his/her positive comments.

However, I would suggest minor revisions.

Introduction

- The introduction is well structured. I would yet suggest introducing shortly the notion of cerebellar atrophy, as it is one of the factors that will be studied in association with the different patterns of CBH.

This has now been throughout the introduction.

Patients and methods:

- The study design should appear clearly at the beginning of the section.

We have added the study design (first sentence of Patients & Methods section).

- In the paragraph about follow-up (page 8, line 12), I am wondering why the language scale of the BSID-III is not included in the assessment. Language development is often very informative in combination with the other scales, and the reason should be explained.

Validation of the language scale of the BSID-III was not yet available during the entire study period in the Netherlands. We have explained this in the paragraph on Follow-up of the Patients and Methods section and have also added this as a limitation of the study.

We hope to report on school-age outcome of these same subjects in the future and will then include language to the evaluation.

Results:

- Page 10, line 20. It would be interesting to consider adding severe supratentorial lesions (IVH and WMI injury) as perinatal variables per pattern of CBH. We can calculate from table 4 and 6, how many infants per CBH pattern have or have not supratentorial lesions. However, as mentioned in the discussion (page 13, line 42), IVH has been associated with CBH in previous publications. It would be then informative to know how the different patterns of CBH are associated with IVH and WMI injury.

We have now added the incidences of IVH and WMI to table 1. There were no significant differences in incidences of severe IVH, WMI or VD between the three groups. This has been added to the Patients paragraph of the Results section.

Discussion:

- Page 12, line 59. I would suggest mitigating the conclusion regarding the "favorable" or "much better" prognosis of limited CBH, also in the conclusion of the paper and abstract. Although limited CBH has a better prognosis compared to massive CBH, with which I agree, this pattern still carries a risk of 51% of abnormal composite score, which may represent significant impairment for the survivors.

We agree with the reviewer that the risk of abnormal outcome of infants with limited CBH is still considerable. We have adapted a few sentences throughout the manuscript.

- While I understand the need for a composite outcome, could you comment on the weight of each element in the composite score? For instance, would an abnormal CBCL score weight the same as having a CP?

We have now added this as a limitation of the study.

- Limitations: Regarding the MRI protocol which differs between centers, can you comment on the slice thickness (max 3mm) allowing detection of small punctate lesions especially without SWI sequence? How did the protocol evolve over the study period?

In the discussion a paragraph is dedicated to the (different) MRI protocols and the availability of the SWI. In addition, in the Neuro-imaging paragraph of the Patients and Methods section we have now added a sentence on the availability of the SWI sequence.

The CHOPIn study: *A multicenter study on Cerebellar Hemorrhage and Outcome in Preterm Infants*

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Keywords: Cerebellar hemorrhage, preterm infant, Magnetic Resonance Imaging, risk factors, outcome
assessment.

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1
2 **Abstract**

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4 **Introduction** Cerebellar hemorrhage (CBH) is a frequent complication of preterm birth and may play an
5 important and under-recognized role in neurodevelopment outcome. Association between CBH size, location
6 and neurodevelopment is still unknown. The main objective of this study was to investigate neurodevelopmental
7 outcome at two years of age in a large number of infants with different patterns of CBH.
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11 **Methods** Of preterm infants (≤ 34 weeks) with known CBH, perinatal factors, neuro-imaging findings and
12 follow-up at 2 years of age were retrospectively collected. MRI scans were reassessed to determine the exact
13 size, number and location of CBH. CBH was divided into three groups: punctate (≤ 4 mm), limited (> 4 mm but
14 $< 1/3$ of the cerebellar hemisphere) or massive ($\geq 1/3$ of the cerebellar hemisphere). Associations between pattern
15 of CBH, perinatal factors and (composite) neurodevelopmental outcome were assessed.
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21 **Results:** Data of 218 preterm infants with CBH were analyzed. Of 177 infants the composite outcome score
22 could be obtained. Forty-eight out of 119 infants (40%) with punctate CBH, 18 out of 35 infants (51%) with
23 limited CBH and 18 out of 23 infants (78%) with massive CBH had an abnormal composite outcome score. No
24 significant differences were found for the composite outcome between punctate and limited CBH ($p = 0.42$).
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30 **Conclusion** The risk of an abnormal outcome increased with increasing size of CBH. Infants with limited CBH
31 have a **more favorable** outcome than infants with massive CBH. It is therefore important to distinguish between
32 limited and massive CBH.
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Introduction

1 Cerebellar hemorrhage (CBH) is a common complication in very low birthweight infants. Reported incidences in
2 infants born below 32 weeks gestation, and/or weighing less than 1500 grams at birth, range from 2.2 to 19% (1-
3 3), depending on the population studied and the imaging techniques used. The etiology of CBH is multifactorial.
4 Potential risk factors are traumatic delivery and circulatory events related to prematurity, such as impaired
5 cerebrovascular autoregulation, large patent ductus arteriosus, and other parameters of a compromised cerebral
6 circulation (4-7).

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16 Until recently it was thought that the cerebellum was mainly involved in motor system functions (8). However,
17 in a retrospective, case-control design study, Limperopoulos *et al.* found cerebellar hemorrhagic injury in
18 preterm infants to be associated with a high prevalence of long-term pervasive neurodevelopmental disabilities
19 (2). Other studies have shown that injury to the cerebellum not only affects motor functions, but also non-motor
20 functions, including cognition, learning, and behavioral abilities (9,10). CBH may thus play an important and
21 under-recognized role in the cognitive, learning, and behavioral problems known to affect survivors of extremely
22 preterm birth (6,11,12).

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32 In preterm infants with CBH the size of the lesion may be of importance with respect to neurodevelopmental
33 outcome. Three patterns of preterm CBH have been described (13,14); the first being massive CBH, mainly seen
34 in the sickest and smallest infants (<28 weeks gestation and/or <750 grams). These massive bleeds carry a high
35 morbidity and mortality and are easily diagnosed with cranial ultrasonography (CUS), especially when the
36 mastoid window is used (4,15). In surviving infants, massive CBH **leads to severe cerebellar destruction and**
37 **subsequent atrophy, and** is associated with long term and severe neurodevelopmental disabilities, such as
38 cerebral palsy (CP) and motor, language and/or cognitive delays (1,2,11). Associated supratentorial injury and/or
39 cerebellar diaschisis may **also** play a role in this unfavorable outcome (5,6). Another pattern is small or punctate
40 hemorrhages. These are small (≤ 4 mm) lesions that are beyond the scope of CUS but are frequently encountered
41 as a chance finding on magnetic resonance imaging (MRI), which is often performed in very preterm infants
42 around term equivalent age (TEA) (14). These small CBH **do not seem to lead to cerebellar atrophy and** are
43 associated with a more favorable prognosis. Tam *et al.* (16) found preterm infants with small CBH to have a 5-
44 fold higher incidence of abnormal neurological examination at 3 - 6 years of age than those without CBH, but
45 there was no significant difference in cognitive impairment. Steggerda *et al.* found no association between small
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1 CBH and neurodevelopmental outcome at 2 years of age (17). The third, so far only rarely described pattern
2 concerns “medium-sized” or limited hemorrhages that involve less than one third of the cerebellar hemisphere.
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4 These limited hemorrhages are mostly located at the convexity of one of the cerebellar hemispheres and may be
5 diagnosed with CUS, especially if the mastoid fontanel is used as an additional acoustic window (13). They
6 occur in very preterm neonates, do not seem to cause acute clinical symptoms and their possible influence on
7 outcome is not yet known, **in addition, it is not known whether these limited hemorrhages may lead to cerebellar**
8 **atrophy** (14,18). As different parts of the cerebellum seem to be involved in different executive, affective, limbic
9 and sensorimotor functions, the relationship between location of the lesion(s) and neurodevelopmental and
10 behavioral outcome is also worth investigating (11,19-22).
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20 Despite the increasing number of papers reporting about neonatal CBH, the number of included infants with
21 CBH in these studies is limited. Therefore, drawing conclusions from associations between CBH size and
22 location, and neurodevelopment is still a challenge. The main objective of this study was therefore to investigate
23 and compare neurodevelopmental outcome at two years of age in a large number of infants with punctate,
24 limited and massive CBH. Other objectives were to investigate associations between:
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- 30 • location of CBH and neurodevelopmental outcome;
 - 31 • pattern of CBH and cerebellar atrophy;
 - 32 • pattern of CBH and perinatal factors.
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38 **Patients and methods**

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40 **In this retrospective, multi-center study,** data from preterm infants < 34 weeks gestation with MRI diagnosed
41 CBH who were admitted to one of 6 tertiary neonatal centers (4 Dutch and 2 Italian) were collected and
42 analyzed. The participating centers were selected based on their neonatal neuro-imaging protocols with special
43 attention for cerebellar injury. Infants were born between 2003 and 2016 and included if at least one neonatal
44 MRI examination had been performed. Exclusion criteria were: (suspected) brain malformation, dysmorphic
45 features or congenital anomaly suggestive of a genetic syndrome, metabolic disorder, chromosomal abnormality
46 and/or proven central nervous system infection.
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54 The participating centers were Isala Women and Children’s hospital (IVKC), Zwolle, the Netherlands; Leiden
55 University Medical Center (LUMC), Leiden, the Netherlands; University Medical Center Utrecht (UMCU),
56 Utrecht, the Netherlands; Erasmus Medical Center (Erasmus MC), Rotterdam, the Netherlands; **Fondazione**
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IRCCS Ca' Granda Ospedale Maggiore Policlinico, Milan, Italy; and Istituto Giannina Gaslini, Genova, Italy.

Infants were selected from an institutional MRI register and from existing databases of infants known to have cerebellar abnormalities.

As the study did not fall under the Medical Research Involving Human Subjects Act and clinically obtained anonymized data were used, the medical ethical committees of the participating centers waived an informed consent and ethical review procedure.

Patient characteristics

Maternal, perinatal and neonatal factors were retrieved from the medical records. Maternal factors included age, presence of pre-eclampsia and use of antenatal steroids. Perinatal factors included gender, gestational age (GA), birth weight (BW), Z-score for BW according to Hofstiezer *et al.* (23), multiple birth, mode of delivery (i.e. breech extraction, instrumental delivery, caesarean section), umbilical cord pH and Apgar score at 5 minutes. Neonatal factors were mechanical ventilation within the first postnatal week, high frequency oscillation (HFO) ventilation within the first postnatal week, surfactant replacement therapy, hypotension (defined as need for inotropic support) within the first postnatal week, severe thrombocytopenia (defined as platelet count $< 50 \times 10^9/L$) within the first postnatal week, and in-hospital mortality.

Neuro-imaging

At IVKC, LUMC, UMCU, Fondazione IRCCS Ca' Granda and Gaslini, MRI was performed around term equivalent age (TEA) using a 1.5-T or 3.0-T MR system (Ingenia (IVKC), Achieva (LUMC, UMCU, Fondazione IRCCS Ca' Granda and Gaslini), Philips Medical Systems, Best, The Netherlands). At Erasmus MC MRI was obtained around the postmenstrual age (PMA) of 30 weeks, or, in infants born at GA > 30 weeks, as soon as possible after birth, using a 1.5-T GE EchoSpeed scanner (General Electrics Healthcare Technologies, Waukesha, WI). In UMCU MRI was routinely done in all infants < 28 weeks gestation and in Fondazione IRCCS Ca' Granda in all infants < 32 weeks gestation, while in the other hospitals MRI was performed if there was an indication, according to the local guidelines. T1-weighted, T2-weighted images (slice thickness 1.2 mm at Erasmus MC; 2 mm at IVKC, LUMC, UMCU and Fondazione IRCCS Ca' Granda; 3 mm at Gaslini) and, if available, susceptibility weighted images (SWI) were used for detection and scoring CBH. The SWI sequence was not available during the first years of the study and was only used since 2006 in some centers and since 2014 in all. Due to slice thickness of 3 mm for the T2-weighted images in Gaslini and therefore the limited ability to

1 detect punctate CBH, especially before the SWI-era, only infants with limited or massive CBH were selected
2 from this center.

3 All available MRI examinations were screened for the presence of CBH by one of the
4 investigators (V.B.). Subsequently, all MRI examinations with CBH were reviewed by 2 investigators: V.B.
5 along with a neonatologist of each center (G.M.; S.J.S.; L.S.V.; J.D.; A.P. or M.F.). These neonatologists are
6 experts with at least 10 years of experience in neonatal neuro-imaging. In case of disagreement an expert from
7 one of the other centers was consulted.
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14 **Pattern of CBH:** The classification was modified from the CUS classification by Meijler and
15 Steggerda (18) and previous work by others (13,24) (Figure 1).
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18 1. Punctate CBH: one or more lesions ≤ 4 mm;
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20 Infants with punctate CBH were subdivided into two groups: ≤ 6 lesions or > 6 lesions.
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- 22 2. Limited CBH: the lesion(s) being > 4 mm, but involving $< 1/3$ of the cerebellar hemisphere;
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- 24 3. Massive CBH: the lesion involving $\geq 1/3$ of the cerebellar hemisphere.
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26 The pattern of CBH was determined based on the T2-W images (transverse planes). Foci of signal loss on T2-W
27 images and/or SWI were considered to be hemosiderin deposits and thus (punctate) hemorrhages if there was no
28 continuity with a vessel, suggesting a vascular structure.
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30 For the MRI scans performed around TEA (PMA 38 - 44 weeks), the transcerebellar diameter (TCD) was
31 measured on a transverse T2-W plane, at the largest cerebellar diameter. Because the TCD increases with
32 increasing PMA at MRI, we corrected the measured value according to the equation developed by Kidokoro et al
33 (25). Cerebellar atrophy was considered mild to moderate if the corrected TCD was < 50 mm, but ≥ 44 mm and
34 severe if the corrected TCD was < 44 mm.
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42 **Location of CBH:** We also categorized CBH according to location. Figure 2 shows a map of structural-
43 function corresponding regions of the cerebellum on transverse and coronal planes. Region A. comprises the
44 convexity (lateral-posterior-inferior) hemispheric zones; region B. the anterior/medial hemispheric zones; and
45 region C. the vermis. This map is based on previous work (19-21,26).
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50 **Supratentorial injury:** Intraventricular hemorrhage (IVH) (classified according to Volpe (27)) was
51 recorded based on neonatal ultrasound reports. White matter injury was graded according to Kidokoro (3): Grade
52 1: punctate lesions ≤ 3 mm in the periventricular white matter on one or both sides; Grade 2: punctate lesions
53 present within the corticospinal tract on both sides, or ≥ 3 lesions per hemisphere; Grade 3: extensive lesions
54 with high signal on T1-weighted images along the wall of the lateral ventricles; Grade 4: cystic lesions in the
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periventricular white matter (this could be either cystic degeneration of periventricular hemorrhagic infarction or cystic periventricular leukomalacia). Ventricular dilatation (VD) was assessed on axial T2-weighted images at midventricular level. Bilateral $\geq 7,5$ mm or one-sided ≥ 10 mm VD were considered severe. Based on these criteria, infants were categorized into presence or absence of severe supratentorial injury (i.e. IVH grade 3 and 4, WMI grade 3 and 4, and/or severe VD).

Follow-up

Results of the neurodevelopmental tests and neurological examinations performed between 18 to 36 months were retrieved from the medical files. In the four Dutch centers a standard neurological examination and the Bayley scales of infant development (BSID-III American or Dutch edition) (28) were performed. **As validation of the language scale of the BSID-III was not yet available during the entire study period in the Netherlands, only the cognitive and motor scales were tested.** In addition, the Child Behavior Checklist (CBCL) – a questionnaire filled out by the parents - was used as a parameter of neurodevelopment (29). Italian infants were examined and tested by means of a standard neurological examination and the Griffiths Mental Development Scales Revised (GMDS) (30). The GMDS test comprises 5 subscales: Locomotor, Personal-Social, Hearing and Speech, Eye and Hand Coordination and Performance. The results of the neurological examination were considered abnormal when infants had cerebral palsy (hemi-, di- or tetraplegia) and/or neurosensory hearing loss. Cerebral palsy was scored according to the Gross Motor Function Classification System (GMFCS) (31), a score ≥ 1 was considered abnormal. Information on cerebral visual impairment could not be consistently retrieved from the medical records and was therefore not included. A score of < -1 SD for one of the subscales of the BSID-III or GMDS was considered abnormal, while a CBCL score above 60 was considered to be in the clinical range (29,32,33) and thus abnormal. If at least one of the outcome parameters (neurological examination, BSID-III or GMDS, CBCL) was abnormal, this was considered an abnormal composite outcome.

Firstly, each outcome parameter was compared between infants with punctate, limited and massive CBH.

Secondly, the composite outcome was compared between infants with punctate, limited and massive CBH.

1 **Statistical analysis**

2 Statistical analysis was performed using SPSS software (version 23.0, SPSS Inc, Chicago, IL, USA).
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4 Distribution of continuous variables was objectively assessed by means of the Shapiro-Wilk test. Continuous
5 data are presented as median (range). Categorical variables are presented using frequency counts and
6 percentages. Differences between variables were tested for significance using the Mann-Whitney-U test when
7 comparing two continuous variables, and the Kruskal-Wallis test when comparing three variables. For
8 categorical variables the Chi-square test or Fisher's exact test was used. The contribution of maternal, perinatal
9 and neonatal variables on the pattern of CBH was analyzed using logistic regression. Neurodevelopmental
10 outcome was related to size of CBH using logistic regression, adjustments were made for GA, gender and
11 presence of severe supratentorial injury. Significance and odds ratio (OR) with 95% confidence interval (CI)
12 were calculated and a P-value <0.05 was considered significant. Infants with missing data were not included in
13 analyses.
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Results

Patients

Data of 218 infants with CBH were collected. Median GA at birth was 27.2 weeks (23.0 – 34.0 weeks) and median BW 958 grams (400 - 2665 grams). Median PMA at MRI was 41.3 weeks (27.7 - 64.1 weeks). One hundred forty-seven (67%) infants had punctate, 40 (18%) limited and 31 (14%) massive CBH. Severe supratentorial injury was seen in 52% of the infants with punctate, 60% of the infants with limited and 52% of the infants with massive CBH. There were no significant differences in incidences of severe IVH, WMI or VD between the three groups (see table 1). Ten infants died: two due to massive CBH, three due to severe supratentorial injury. The five other infants died due to systemic complications (i.e. sepsis, multi-organ failure, respiratory complications).

Perinatal factors and pattern of CBH

Table 1 shows perinatal variables for infants with punctate, limited and massive CBH. Due to missing data p-values for mode of delivery and umbilical cord pH were not representative and are therefore not shown. Infants with limited and massive CBH had a lower GA than infants with punctate CBH. They also experienced more respiratory difficulties: more infants with limited and massive CBH needed mechanical ventilation and surfactant replacement therapy. Lower 5 min Apgar score was more often seen in infants with massive CBH than in the other two groups. Infants with massive CBH more often needed high frequency ventilation, had lower BW, and more often severe thrombocytopenia than infants with punctate CBH. Analyzing the contribution of these variables by logistic regression revealed GA, Apgar score and severe thrombocytopenia as independent contributors to massive CBH, while mechanical ventilation remained an independent factor for limited CBH.

Cerebellar atrophy

Severe atrophy (TCD < 44 mm) at MRI around TEA (N = 141) was seen in 1% (1/102) of infants with punctate CBH, 26% (6/23) of infants with limited CBH and in 75% (12/16) of infants with massive CBH. Mild to moderate cerebellar atrophy (TCD < 50 mm and \geq 44 mm) was seen in 28%, 48% and in 19% of infants with respectively punctate, limited and massive CBH. TCD was lower with increasingly larger CBH ($p < 0.01$; see table 2).

Pattern of CBH and neurodevelopmental outcome

1 Follow up was available for 177/208 (85%) of surviving infants (table 3). The median corrected age at follow-up
2 was 24.0 months (range: 18.0 - 36.0 months). Infants with massive CBH had the highest percentage of abnormal
3 results for neurological examination, BSID-III, GMDS and CBCL (see table 4). For all outcome parameters,
4 except the BSID-III, there was a trend towards higher percentages of abnormal results with an increase in the
5 size of CBH. As there was a striking difference between the test results of the GMDS and the Bayley-III, we
6 analyzed the difference between Italian and Dutch children. Italian infants were significantly younger and had
7 more respiratory difficulties, more often culture proven late onset sepsis and more surgery before TEA than
8 Dutch infants (see table 5).
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20 A sub-analysis, performed in infants without severe supratentorial injury (N = 87), showed a trend towards
21 higher percentages of abnormal results with increasingly larger CBH for an abnormal neurological examination
22 and CBCL (table 6). Overall, 33% of infants without severe supratentorial injury had an abnormal composite
23 outcome. The composite outcome differed significantly between the three groups ($p < 0.01$) and again the
24 percentages of infants with abnormal outcome increased with larger hemorrhages. Differences between the three
25 groups remained significant ($p = 0.02$) in infants without severe supratentorial injury.
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34 Comparing massive and punctate CBH, logistic regression analysis demonstrated an increased risk of an
35 abnormal composite outcome in infants with massive CBH, with an adjusted OR of 5.52 (95%CI 1.75 – 17.43; p
36 < 0.01). Comparing massive with limited CBH, the adjusted OR was 4.09 (95%CI 1.09 – 15.28; $p = 0.04$). No
37 significant differences were found for the composite outcome between punctate and limited CBH ($p = 0.42$).
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44 In a sub-analysis of infants with punctate CBH, we analyzed the neurodevelopmental outcome of infants with \leq
45 6 punctate lesions as compared to infants with > 6 punctate lesions. Thirty-nine infants (40%) with ≤ 6 punctate
46 lesions had an abnormal composite outcome score compared to eight infants (36%) of infants with more than six
47 punctate lesions ($p = 0.79$).
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Location of CBH and neurodevelopmental outcome

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55 Location A and location B were most frequently seen in infants with punctate and limited CBH. A combination
56 of location A and B, and of location A, B and C was most often seen in infants with massive CBH. Most infants
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(N = 119) had unilateral CBH. Although not significant, 67% of infants with bilateral limited CBH and 100% of infants with bilateral massive CBH had an abnormal composite outcome compared to respectively 48% and 74% of infants with unilateral limited and massive CBH (see table 7). In none of the infants with limited CBH, location C (the vermis) was involved. For infants with punctate CBH, outcome did not differ between infants with and without vermis involvement. All infants with massive CBH and vermis involvement had an abnormal composite outcome (see table 8). Due to the restricted number of infants per specific location, the relation between location and neurodevelopmental outcome could not be further investigated.

Discussion

We analyzed associations between perinatal factors, cerebellar atrophy, neurodevelopmental outcome and pattern of CBH determined according to a newly defined MRI classification of CBH that includes punctate, limited and massive CBH. Several studies have demonstrated an increased risk of an impaired neurodevelopmental outcome for infants with CBH (2,10,16,34). However, to the best of our knowledge, this is the first large sample study taking size of CBH into account. We demonstrated a higher risk of abnormal composite outcome with increasing size of CBH, infants with massive CBH having the highest chance of an unfavorable outcome. Even without severe supratentorial injury they still had a very high risk of an abnormal composite outcome. This is in accordance with the results of Limperopoulos *et al.* (2) and with data shown in the review of Hortensius *et al.* (12) who both demonstrated that cognitive, language and behavior sequelae occur frequently in infants with isolated CBH. However, in the systematic review of Hortensius *et al.* (12) an incidence of 43 to 75% for severe neurodevelopmental outcome in infants with isolated CBH was reported, which is much higher than the 38% found in this study. This may be explained by the number of infants with punctate CBH included in the analyses. In the systematic review 15/126 infants with isolated punctate CBH were included, while in our study 60/177 infants were included.

While the composite outcome did not differ significantly between infants with punctate and limited CBH, we found a significant difference in composite outcome between limited and massive CBH. These are important findings. So far, the outcome of infants with limited CBH has not been reported and previous studies, reporting on the neurodevelopmental outcome of infants with CBH, did not distinguish between limited and massive CBH. Combining these two patterns of CBH may have influenced the results in previous studies and may erroneously have suggested that limited CBH carries a similar high risk of an unfavorable prognosis as massive CBH. While

1 we found an abnormal composite outcome in 40% of the infants with limited CBH, this percentage was lower
2 than in infants with massive CBH (67%).
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5 Severe cerebellar atrophy, using the corrected TCD, was seen in 75% of infants with massive CBH and in a
6 minority of infants with limited or punctate CBH. Due to major growth and development during the second half
7 of gestation, the cerebellum is particularly vulnerable to developmental disruption (4). Also without evident
8 cerebellar injury, mild atrophy may develop in preterm born children. Cerebellar development may be disrupted
9 by various factors i.e. hemorrhage, toxic effects of hemosiderin deposition or supratentorial injury (5,6,35). Not
10 all infants with CBH developed cerebellar atrophy. It may be interesting and clinically relevant to investigate the
11 pathogenesis of cerebellar atrophy in infants with CBH and the possible influence of timing of the CBH in a
12 next, prospective study.
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24 The location of CBH may be of clinical importance in infants with limited or massive CBH. Two studies
25 described a negative effect of vermis involvement in global developmental outcome (2,9), but did not distinguish
26 between limited and massive CBH. While in our study all infants with massive CBH and vermis involvement
27 had an abnormal composite score, in none of the infants with limited CBH the vermis was involved. In contrast
28 to Hortensius et al (12), we found a difference in composite outcome between infants with uni- or bilateral CBH,
29 with a more favorable outcome in those with a unilateral CBH. In that study and ours the number of infants with
30 bilateral CBH was small, therefore no conclusions can be drawn on the relation between laterality and outcome.
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32 In infants with punctate CBH, neither the number of lesions nor the location (uni- or bilateral or vermis
33 involvement) had an influence on the composite score.
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44 Several factors have been associated with CBH, such as low GA, low BW, HFO ventilation, inotropic support
45 and severe IVH (5,6,17,34). We tried to identify factors that contributed to limited or massive CBH, when
46 compared to punctate CBH. Mechanical ventilation was independently associated with limited CBH, while lower
47 GA, lower Apgar score and severe thrombocytopenia were independently associated with massive CBH.
48 Comparing limited and massive CBH, most factors were not significantly different between the two groups,
49 suggesting that these may have a more similar pathogenesis.
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1 We acknowledge several limitations of our study. Firstly, we compared infants with different patterns of CBH,
2 but we did not compare them with a control group without CBH. Secondly, we retrospectively collected the data
3 of infants with known CBH on MRI. Therefore, this is a selected group of preterm neonates: except for the
4 infants in Utrecht and Milan, MRI was performed when clinically indicated. Infants without or with only minor
5 infra- and supratentorial lesions are therefore underrepresented. However, we have reached our primary aim to
6 compare infants with small, limited and massive CBH.

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11 Thirdly, the participating centers used different scan protocols. At Erasmus MC infants were scanned around
12 PMA 30 weeks, while at the other centers infants were scanned around TEA. This may have influenced the
13 detection rate of small lesions: punctate white matter lesions often fade over time (36), the same may be true for
14 punctate cerebellar lesions. However, we do not think this has influenced our results since in the majority of
15 infants (68%) SWI was performed, enabling the detection of even tiny (remnants of) bleeds after a long period of
16 time and we were still able to compare the different patterns of CBH. Furthermore, we examined cerebellar
17 atrophy around TEA, while this may still develop after this age. Additionally, we only measured TCD and did
18 not measure cerebellar volume and may thus have missed small alterations in cerebellar volumes.

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20 Finally, different neurodevelopmental tests were used. In Italy this was GMDS, while the Dutch centers used
21 BSID-III. Although Picciolini *et al.* (37) reported that the BSID-III had a higher agreement with GMDS than the
22 BSID-II, there are still differences between the two tests. Italian infants were significantly younger and suffered
23 more neonatal morbidity than Dutch infants, this may partially explain the less favorable outcome in the Italian
24 infants. Moreover, the maternal education level could not be found for more than half of the included infants.

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26 This may have influenced test results. In the Dutch centers the language scale of the BSID was not yet validated
27 and could therefore not be used. It is however well known that language may be affected by cerebellar injury (2).

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29 This may be another explanation for the less favorable outcome of the Italian infants. All components of the
30 composite outcome were allocated the same weight, but one could argue that for instance CP may impose a
31 larger burden on the infant and its family than an abnormal CBCL score.

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33 Although we collected a large population of infants, distributed over 6 centers, the number of infants was still
34 too small to investigate the relation between the specific location of CBH and neurodevelopmental outcome.

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Limited and massive CBH are associated with the same perinatal factors. The risk of an abnormal composite
outcome increases with increasing size of CBH. Infants with limited CBH have a more favorable outcome than

infants with massive CBH. It is therefore important to distinguish between limited and massive CBH. Future studies should focus on the relation between location of CBH and neurodevelopmental outcome, and between size of CBH and neurodevelopmental outcome at school age. In addition, the influence of perinatal factors and timing of CBH on subsequent cerebellar atrophy should be evaluated.

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TABLES

Table 1 Maternal, perinatal and postnatal variables in relation to infants with punctate, limited and massive CBH.

	Punctate CBH N = 147	Limited CBH N = 40	Massive CBH N = 31	Punctate vs. Limited P-value	Punctate vs Massive P-value	Limited vs. Massive P-value
GA (weeks), median (range)	27.7 (23.7 – 34.0)	26.7 (24.0 – 32.4)	26.1 (23.0 – 33.0)	<0.05	<0.05	NS
BW in grams, median (range)	980 (530 – 2665)	980 (400 – 2350) ^a	760 (440 – 2168)	NS	<0.05	NS
Z-score BW, median (range)	-0.59 (-5.78 – 2.86)	0.03 (-3.90 – 2.85) ^a	-0.98 (-3.98 – 1.94)	NS	NS	NS
Male, n (%)	85 (58%)	24 (60%)	21 (68%)	NS	NS	NS
Age mother - years, median (range)	31 (18 – 45)	29 (19 – 41)	32 (23 – 46)	NS	NS	NS
Preeclampsia, n (%)	18 (13%) ^d	3 (8%) ^b	5 (18%) ^b	NS	NS	NS
Full course of antenatal steroids, n (%)	77 (56%) ^h	21 (54%) ^a	16 (53%) ^a	NS	NS	NS
C-section, n (%)	66 (45%)	22 (55%)	18 (58%)	NS	NS	NS
Multiple birth, n (%)	43 (29%)	10 (25%)	13 (42%)	NS	NS	NS
5 min Apgar, median (range)	8 (1 – 10)	8 (0 – 9)	7 (2 – 9)	NS	<0.05	<0.05
Severe thrombocytopenia (<50) within 5 days, n (%)	15 (10%) ⁱ	5 (13%) ^f	8 (27%) ^e	NS	<0.05	NS
Need for mechanical ventilation in first week, n (%)	110 (75%)	37 (97%) ^b	29 (94%)	<0.05	<0.05	NS
HFO, n (%)	53 (37%) ^b	20 (51%) ^a	17 (57%) ^b	NS	<0.05	NS
Surfactant, n (%)	88 (60%)	34 (87%) ^a	26 (84%)	<0.05	<0.05	NS
Inotropic support, n (%)	62 (43%) ^b	21 (54%) ^a	18 (60%) ^a	NS	NS	NS
Severe supratentorial injury, total, n (%)	77 (52%)	24 (60%)	16 (52%)	NS	NS	NS
- Severe IVH, n (%)	36 (24%)	10 (25%)	8 (26%)	NS	NS	NS
- Severe WML, n (%)	17 (12%)	5 (13%)	3 (10%)	NS	NS	NS
- Severe VD, n (%)	59 (40%)	22 (55%)	14 (45%)	NS	NS	NS

BW = birth weight; GA = gestational age; HFO = high frequency oscillation; Severe IVH = Intraventricular hemorrhage grade 3 – 4; Severe WML = white matter injury grade 3 – 4; Severe VD = severe ventricular dilatation; a = 1 missing; b = 2 missing; c = 3 missing; d = 4 missing; e = 6 missing; f = 7 missing; g = 8 missing; h = 9 missing; i = 10 missing

Table 2 Mean transcerebellar diameter (TCD) around TEA (38 – 44 weeks) per pattern of CBH.

CBH pattern	TCD (mm), median (range)
Punctate (N = 102)	51.9 (40.9 – 58.1)
Limited (N = 23)	46.5 (40.9 – 56.8)
Massive (N = 16)	38.1 (22.3 – 57.5)

Table 3 Follow- up: number of cases per type of test.

	Outcome parameter	Cases (N)	Mean age at test in months, (range)
Dutch infants N = 173	Died	8	
	No follow-up	29	
	Follow-up present	136	
	<i>Type of follow-up</i>		
	Neurological examination	132	25.3 (18.1 – 34.9)
	BSID III	114	25.5 (20.3 - 34.9)
	CBCL	110	25.4 (20.3 – 34.9)
Italian infants N = 45	Died	2	
	No follow-up	2	
	Follow-up present	41	
	<i>Type of follow-up</i>		
	Neurological examination	41	24.0 (18.0 – 36.0)
	GMDS	40	24.0 (18.0 – 36.0)

Table 4 Abnormal test results of infants with punctate, limited and massive CBH and infants.

Type of test	Punctate CBH		Limited CBH		Massive CBH		P-value*
	Total infants tested, N	% abnormal test result	Total infants tested, N	% abnormal test result	Total infants tested, N	% abnormal test result	
Neurological examination	116	15%	35	26%	22	36%	0.04
BSID-III	91	21%	15	20%	8	50%	0.16
GMDS	13	54%	16	69%	11	91%	0.14
CBCL	88	17%	14	21%	8	25%	0.81
Composite score**	119	40%	35	51%	23	78%	<0.01

* X²-test.

** If at least one of the separate tests was abnormal.

Table 5 Maternal, perinatal and postnatal variables of Dutch and Italian infants

	Dutch infants N = 173	Italian infants N = 45	P-value
GA (weeks), <i>median (range)</i>	27.4 (24.0 – 34.0)	26.5 (23.0 – 32.4)	0.02
BW (g), <i>median (range)</i>	1000 (400 – 2665)	1087 (440 – 2350) ^a	0.03
Z-score for BW, <i>median (range)</i>	-0.48 (-5.78 – 2.86)	-0.78 (-3.75 – 2.85) ^a	0.62
Male, <i>n (%)</i>	101 (58%)	29 (64%)	0.46
Age mother - years, <i>median (range)</i>	31 (18 – 41)	32 (29 – 46)	0.01
Preeclampsia, <i>n (%)</i>	23 (14%) ^c	3 (8%) ^c	0.32
Full course of antenatal steroids <i>n(%)</i>	93 (56%) ^f	21 (51%) ^d	0.58
C-section, <i>n (%)</i>	74 (43%)	32 (71%)	< 0.01
Multiple birth, <i>n (%)</i>	48 (28%)	18 (40%)	0.11
5 min Apgar, <i>median (range)</i>	8 (1 – 10)	8 (0 - 10)	0.77
Severe thrombocytopenia (<50) within 5 days, <i>n (%)</i>	25 (16%) ^j	3 (8%) ^g	0.23
Need for mechanical ventilation in first week, <i>n (%)</i>	139 (80%)	37 (86%)	0.39
HFO, <i>n (%)</i>	67 (39%) ^a	23 (56%) ^d	0.05
Surfactant, <i>n (%)</i>	116 (67%)	32 (73%) ^a	0.47
Postnatal steroids, <i>n (%)</i>	44 (27%) ^a	23 (55%) ^c	<0.001
Inotropic support, <i>n (%)</i>	78 (45%) ^a	23 (55%) ^c	0.27
Severe Supratentorial Injury Total	97 (56%)	20 (44%)	0.16
Punctate, <i>n (%)</i>	71 (54%)	6 (40%)	0.88
Limited, <i>n (%)</i>	16 (73%)	8 (44%)	0.07
Massive, <i>n (%)</i>	10 (53%)	6 (50%)	0.31
Surgery before TEA, <i>n (%)</i>	45 (26%)	19 (42%)	0.03

BW = birth weight; **GA** = gestational age; **HFO** = high frequency oscillation; **TEA** = term equivalent age; a = 1 missing; b = 2 missing; c = 3 missing; d = 4 missing; e = 6 missing; f = 7 missing; g = 8 missing; h = 9 missing; i = 10 missing j = 15 missing

Table 6 Abnormal test results of infants with punctate, limited and massive CBH without severe supratentorial injury.

Type of test	Punctate CBH		Limited CBH		Massive CBH		P-value*
	Total infants tested, N	% abnormal test result	Total infants tested, N	% abnormal test result	Total infants tested, N	% abnormal test result	
Neurological examination	59	5%	15	13%	11	18%	0.14
BSID-III	49	10%	4	0%	4	50%	0.14
GMDS	7	71%	9	55%	6	83%	0.63
CBCL	45	11%	4	25%	4	25%	0.28
Composite score**	60	25%	15	40%	12	67%	0.02

** If at least one of the separate tests was abnormal.

Table 7 Abnormal composite test results of infants with unilateral or bilateral CBH.

Composite score	Punctate CBH		Limited CBH		Massive CBH	
	Unilateral	Bilateral	Unilateral	Bilateral	Unilateral	Bilateral
Normal	40 (62%)	30 (64%)	15 (52%)	2 (33%)	5 (26%)	0 (0%)
Abnormal	24 (38%)	17 (36%)	14 (48%)	4 (67%)	14 (74%)	4 (100%)

Table 8 Abnormal composite test results of infants with or without vermis involvement

Composite score	Punctate CBH		Limited CBH		Massive CBH	
	No vermis involvement	Vermis involvement	No vermis involvement	Vermis involvement	No vermis involvement	Vermis involvement
Normal	60 (61%)	12 (60%)	17 (49%)	0 (0%)	5 (33%)	0 (0%)
Abnormal	39 (39%)	8 (40%)	18 (51%)	0 (0%)	10 (67%)	8 (100%)

TEXT FIGURES

Figure 1. MR images performed around TEA. A) T2-weighted MR image and SWI of a punctate CBH located in the right cerebellar hemisphere (Arrow). B) Limited CBH at the convexity of the left cerebellar hemisphere. C) Massive CBH located in the left cerebellar hemisphere, also leading to destruction and atrophy of that hemisphere.

Figure 2. Map of structural-function corresponding regions on transverse (1) and coronal (2) planes. A. Convexity (lateral-posterior-inferior) hemispheric zones; B. Anterior/medial hemispheric zones; C. Vermis.



