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The structure of research questions in randomized-controlled trials in rehabilitation field: a methodological study --Manuscript Draft--

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Abstract:	<p>Objective : The aim of this study is to assess whether and how PICO format is described to frame research questions in randomized controlled trials (RCTs) looking at effectiveness of rehabilitation interventions.</p> <p>Design : A methodological study was conducted. RCTs in the rehabilitation field, published between July 1st, 2019 and December 31st, 2019 were included. The framing of the primary research question (RQ) from each trial was evaluated.</p> <p>Results: Ninety-seven RCTs were included in the analysis. The most frequent framing of the primary RQ was as an “objective” statement (55%) and in 33% of the articles this was stated as an “objective” together with a “hypothesis” description. All PICO elements were present in 55% of RQ, but only 49% have used the statement suggested by Cochrane. The results showed that the most frequent framing of primary RQ was “objective” using all PICO elements, but few articles followed the statement suggested by Cochrane to describe them.</p> <p>Conclusion : our findings suggest that a specific item about the “ research question ” and the rationale that drove to the proposed design following the form suggested by Cochrane is included in the RCTTRACK checklist.</p>

1 **Title:** The structure of research questions in randomized-controlled trials in rehabilitation field: a
2 methodological study

3

4 **Running title:** Rehabilitation trials research question structure

5

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23

24 **Abstract**

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26 research questions in randomized controlled trials (RCTs) looking at effectiveness of rehabilitation
27 interventions.

28 **Design:** A methodological study was conducted. RCTs in the rehabilitation field, published
29 between July 1st, 2019 and December 31st, 2019 were included. The framing of the primary
30 research question (RQ) from each trial was evaluated.

31 **Results:** Ninety-seven RCTs were included in the analysis. The most frequent framing of the
32 primary RQ was as an “objective” statement (55%) and in 33% of the articles this was stated as an
33 “objective” together with a “hypothesis” description. All PICO elements were present in 55% of
34 RQ, but only 49% have used the statement suggested by Cochrane. The results showed that the
35 most frequent framing of primary RQ was “objective” using all PICO elements, but few articles
36 followed the statement suggested by Cochrane to describe them.

37 **Conclusion:** our findings suggest that a specific item about the “*research question*” and the
38 rationale that drove to the proposed design following the form suggested by Cochrane is included in
39 the RCTRACK checklist.

40 **Keywords:** rehabilitation, framing research question, PICO format

41

42 **What is known**

- 43 • A structured research question could be associated with better methodological quality;
- 44 • A structured RQ could guide the development of a research study to evaluates the
- 45 effectiveness/efficacy of an intervention

46 **What is new**

- 47 • Currently the primary research question of published RCTs is most often framed as an
- 48 “objective”;
- 49 • Currently few published RCTs describe the PICO elements as recommended by Cochrane;
- 50 • The findings suggest that a specific item about the “*research question*” and the rationale
- 51 that drove to the proposed design following the form suggested by Cochrane is included in
- 52 the RCTTRACK checklist

53

54

55 **Introduction**

56 Randomized controlled clinical trials (RCTs) are considered the gold standard study design to
57 evaluate the effectiveness/efficacy of interventions in biomedical research¹. The choice of an
58 appropriate study design is informed by a clear research question (RQ)²⁻⁵. The RQ represents the
59 starting point for research studies to evaluate the effectiveness/efficacy of interventions because it
60 guides the definition of the population, interventions and outcomes; consequently, this influences
61 the development of the right study design to answer the question of interest.

62 Clinical epidemiologists have proposed the use of a structured RQ to guide the development of a
63 research study that evaluates the effectiveness/efficacy of interventions⁶. The RQ should contain the
64 following four elements: Population, Intervention, Comparator and Outcomes. These elements are
65 commonly referred to by the acronym PICOs. A well-structured RQ increases the likelihood of
66 finding a solution to the problem, informs selection of the study design, guides analysis decisions
67 and the interpretation of results². The explicit statement of the four PICOs elements prompts the
68 researcher to think about the design to use and to consider the balance between RQ and the
69 feasibility to answer it. Some studies show that a structured research question could be associated
70 with better methodological quality, but more research is needed to confirm this finding²⁻⁵.

71 In rehabilitation research, a scoping review by Arienti et al.,⁷ reported a lack of clarity in the RQs
72 and that RCTs in the rehabilitation field rarely use the PICOs format to define key terms. Several
73 authors have argued that RCTs in rehabilitation frequently use inadequate designs for answering
74 RQs related to rehabilitation and this could depend on how the RQ is formulated. The detailed
75 specification of the RQ requires consideration of several key components which can be
76 encapsulated by the 'PICO' element that practicing clinicians, healthcare professionals, researchers,
77 policy makers, and patients deal with⁸. The accuracy of RQ framing is one of the main
78 methodological issues described in rehabilitation research.

79 In recognition of this problem, Cochrane Rehabilitation highlighted the need to develop a specific
80 checklist to guide the design, conducting, and reporting of trials in the rehabilitation field⁹. During
81 the second Cochrane Rehabilitation Methodological Meeting held in Kobe, Japan, in 2019, the RCT
82 Rehabilitation Checklist (RCTRACK) project was launched to produce a reporting guideline for
83 rehabilitation RCTs. During the kick-off meeting, 8 topics were identified for the RCTRACK
84 Technical Working Groups (TWGs): one of these was the “research question”.

85 Therefore, the aim of this study is to assess whether and how PICO format is described to frame
86 research questions in RCTs about efficacy/effectiveness of rehabilitation interventions and if it is an
87 important element that should be put and described in RCTRACK checklist.

88

89 **Methods**

90 **Study design and search strategy**

91 A methodological study, described as a study for the assessing research methods and summarizing
92 methodological issues in the conduct, analysis, and reporting of health research^{10,11}, was conducted
93 by “Research Question” TWG, on RCTs in the rehabilitation field published between July 1st, 2019
94 and December 31st, 2019 in journals suggested by the European Society of Physical Rehabilitation
95 and Medicine (PRM) were included. This study conforms to all PRISMA guidelines and reports the
96 required information accordingly (see **Supplementary Checklist**). Specific criteria¹²⁻¹⁴ for
97 inclusion of these journals were: 1) belong to the first quartile (Q1) according to the Journal Impact
98 Factor (JIF) from the Web of Science Journal Citation Reports and 2) they were journals dealing
99 with “Rehabilitation” medicine and related disciplines specifically. The eligible journals were:
100 *Annals of Physical and Rehabilitation Medicine (JIF=4.196)*, *Archives of Physical Medicine and*
101 *Rehabilitation (JIF= 2.697)*, *Clinical Rehabilitation (JIF= 2.738)*, *Disability and Rehabilitation*
102 *(JIF= 2.054)*, *European Journal of Cancer Care (JIF= 2.421)*, *IEEE Transactions on Neural*
103 *Systems and Rehabilitation Engineering (JIF= 3.478)*, *Journal of Fluency Disorders (JIF= 2.349)*,

104 *Journal of Head Trauma Rehabilitation* (JIF= 2.667), *Journal of NeuroEngineering and*
105 *Rehabilitation* (JIF= 3.582), *Journal of Neurologic Physical Therapy* (JIF= 2.614), *Journal of*
106 *Orthopaedic & Sports Physical Therapy* (IF= 3.058), *Journal of Physiotherapy* (JIF= 5.551),
107 *Manual Therapy* (JIF= 2.622), *Neurorehabilitation and Neural Repair* (JIF= 3.757), *Physical*
108 *Therapy* (JIF= 3.043), *Physiotherapy* (JIF= 2.534), *PM&R - The journal of injury, function and*
109 *rehabilitation* (JIF= 1.902), *Supportive Care in Cancer* (JIF= 2.754), *the American Journal of*
110 *Physical Medicine & Rehabilitation* (JIF= 1.908), *the European Journal of Physical and*
111 *Rehabilitation Medicine* (JIF= 2.101), *the International Journal of Rehabilitation Research* (JIF=
112 1.378) and *the Journal of Rehabilitation Medicine* (JIF= 1.907). The search for eligible RCTs
113 published in those journals was conducted on PubMed on May 12th, 2020 and performed by an
114 author (SGL) as general search. See **Supplementary Table 1** for the full search strategy.

115

116 **Eligibility and screening**

117 We included all RCTs addressing a RQ regarding effectiveness/efficacy of interventions in the field
118 of rehabilitation published in print or ahead of print in the targeted period. Cross-over and non-
119 randomized clinical trials (NRCTs), secondary analysis of RCTs data, preliminary results, pilot
120 studies, protocols, RCTs in which the randomization process was stratified by any factor and
121 articles addressing not-rehabilitation interventions were excluded.

122 The selection process was performed in duplicate by two independent authors (SGL and MP)
123 during: a) title and abstract and b) full text screening phases. A third author (CA) resolved the
124 discrepancies.

125

126 **Rating the framing of the research question**

127 We used the methodology proposed by Rios (2010) to analyze how the research question was
128 described². In brief, the framing of the primary RQ of each study was evaluated firstly based on the
129 introduction and secondly from the title and methodology sections. This evaluation was performed
130 regardless of whether the RQ was formulated as a question, objective or hypothesis. Each reviewer
131 identified a paragraph/ or sections where the RQ was discussed and then identified whether the four
132 elements of PICO were present in those sections. We used a “PICO score” with a possible score
133 between 0 and 4, as a measure of the completeness of the description of the primary research
134 question, study objective or research hypothesis. A score of 4 confirmed that all PICO elements
135 were described (Complete PICO). Reports that did not describe these 4 elements (Incomplete PICO)
136 did not qualify as providing a structured RQ. Next, the adequacy of question formulation was
137 evaluated based on the structure recommended by Cochrane. Cochrane proposes that the statement
138 of a RQ should begin with a precise statement of the primary objective, ideally in a single sentence.
139 The recommended sentence style and order is as follows: *«to assess the effects of [intervention or
140 comparison] for [health problem] in [types of people, disease or problem and setting if
141 specified]»*¹⁵. This specific order helps to clarify the aim of an RCTs, enhancing a reader’s
142 understanding of the goal of a study of the effectiveness/efficacy of an interventions,. For the
143 purpose of this study, this specific statement was defined as the "PICO structure" and scored score
144 of 1 was assigned if it was used, and a score of 0 if it was not used.

145

146 **Assessment of the quality of reporting in included studies**

147 The included studies were assessed for reporting using the CONSORT Statement for Randomized
148 Trials of Nonpharmacologic Treatments checklist (CONSORT-NPTs checklist) to assess the quality
149 of reporting in nonpharmacologic trials. This is an extension of the CONSORT checklist, developed
150 to improve the reporting of RCTs investigating nonpharmacological treatments^{16,17}.

151 The CONSORT-NPT checklist includes 45 items and each of them was scored 1 if it was reported
152 and 0 if it was not clearly stated or definitely not stated. Item 4a) “Eligibility criteria for
153 participants; When applicable, eligibility criteria for centers and for care providers” has been split to
154 address both topics independently. Therefore, an overall quality score (OQS) was defined with
155 possible value between 0 (no adherence) and 45 (complete adherence) points to measure the
156 completeness of the reporting, i.e. adherence with the CONSORT-NPT checklist. A pre-training
157 quality of reporting assessment was performed by the reviewers (SGL and MP) to define the
158 evaluation criteria for the reporting quality. After the reporting evaluation, any disagreements were
159 resolved involving a third reviewer (CA).

160

161 **Data extraction**

162 We used a standardized data abstraction form to extract data from each article. We collected the
163 following article characteristics: first author, year, title, the RQ description and type (question,
164 objective or hypothesis format) firstly described in introduction section and secondly in title and
165 methods section, outcome measures from each trial, rehabilitation interventions and PICO format.
166 Two reviewers blinded to each other’s ratings extracted data independently and rated the framing of
167 the RQ, they resolved any disagreement through consensus.

168

169 **Statistical analysis**

170 We calculated the percentage of trials that clearly stated each PICO element and associated 95%
171 confidence interval (95% CI). We reported descriptive statistics on categorical data as frequencies
172 and percentages. We reported scores (i.e., PICO score and OQS) as median and interquartile range
173 (IQR). Considering, the not normally distribution of the data (Shapiro e Wilk’s test), we evaluated if
174 high PICO score was associated with high reporting quality by conducting linear regression analysis

175 with PICO score and OQS as variables using Spearman's correlation coefficient (Spearman's rho
176 r_s). Variables were considered to be statistically significant at $\alpha = 0.05$. We conducted all
177 analyses using STATA V.14.0 (StataCorp LP, College Station, TX, USA).

178 **Results**

179 After removal of duplicates, 227 records were screened; of these, 97 RCTs met the inclusion criteria
180 and were included in the analysis. The characteristics of the included studies are reported in
181 **Supplementary Table 2**. The reasons for exclusion and the number of articles excluded, at title-
182 abstract and at full text screening stage, are listed in the PRISMA flow diagram (**Figure 1**). Twenty
183 seven percent ($n=26$) of articles were published in the journal Clinical Rehabilitation, 14% ($n=14$)
184 in Archives of Physical Medicine & Rehabilitation, 13% ($n=13$) in the American Journal of
185 Physical Medicine & Rehabilitation and 10% ($n=10$) in European Journal of Physical and
186 Rehabilitation Medicine (see **Table 1 for details of the search strategy**). The most frequent
187 framing of the primary RQ was as an "objective" statement (55%) and in 33% of the articles this
188 was as "objective" together with a "hypothesis" description. The frequency of each PICO element
189 reported in all included articles is provided in **Table 2**. Patients, interventions and outcomes were
190 often adequately described, whilst in 36% of the articles, the comparison interventions were not
191 described. All PICO elements were present in 55% of RQ, but only 49% have used the statement
192 suggested by Cochrane. Of these, 85% had the completeness of PICO (PICO score median of 4 (2-
193 4)).

194 The CONSORT-NPT Checklist assessment revealed that the articles described 80% (36) of all
195 checklist items, with median OQS of 36 (26-41). Items for which the lowest adherence to the
196 checklist was found were: the adherence of care providers (2%) and participants (35%) to
197 interventions, blinding description (16%) and the description of any attempts to limit the blinding as
198 bias (5%), the period of recruitment and follow-up description (23%), the presentation of both
199 absolute and relative effect size in binary outcomes (15%) and the description of generalizability of

200 the trial findings according to the intervention, comparators, patients, care providers and centers
201 involved in the trial (44%). The highest adherence to the checklist was observed in the description
202 of title and abstract (91%), background and aim (100%), trial design (93%), participants (100%),
203 interventions (86%), outcomes (100%), sample size (80%), statistical methods (99%) and
204 interpretation of results. Eighty six percent of the RCTs were registered in a trial registration
205 database (see **Supplementary Table 3**). The Spearman's correlation coefficient between the
206 completeness of PICO and the overall reporting quality was $r_s=-0.051$.

207

208 **Discussion**

209 This study evaluated whether and how PICO format is described to frame research questions in
210 RCTs addressing effectiveness/efficacy of rehabilitation interventions published in the highest-
211 ranking rehabilitation journals during the second half of the year 2019.

212 The results showed that the most frequent framing of primary RQ was in a form of a statement
213 about study objective using all PICO elements, but few articles followed the statement suggested by
214 the Cochrane (PICO structure) to describe them. The comparison intervention was the least
215 frequently described element when compared to the other elements (i.e. population, intervention
216 and outcome). The lack of comparison intervention description is quite frequent in rehabilitation
217 context in which establishing the control treatment is difficult because: 1. this type of intervention is
218 rarely a single specific item with a high level of heterogeneity in terms of name used for defined it
219 and of protocol ingredients, leading to a non-linear causal-effect relationships¹⁸. 2. The
220 rehabilitation setting, where the control intervention is delivered, usually represents a complex
221 clinical situation that could affect the clinical replicability of interventions¹⁴. Therefore, our study
222 showed that the overall reporting quality, evaluated with CONSORT-NPT checklist, was
223 satisfactory, with 80% of reporting completeness and the best items described were those more
224 related to PICO elements, but it was not directly related to the completeness of PICO. This could be

225 explained by the characteristics of CONSORT-NPT checklist that is an extension of CONSORT
226 and includes 20 more items regarding more details on the description of the experimental treatment,
227 comparator, care providers expertise, centers, blinding status, adherence to the protocol and the
228 treatment, statistical methods and the generalizability of the trial findings according to the
229 intervention, comparators, patients, care providers and centers involved in the trial^{16,17}. These
230 specific items represent the main methodological issues found in rehabilitation research⁷. Most of
231 them are related to the methodological quality rather than reporting quality, in particular to the
232 conduct of the study that include elements such as allocation concealment (selection bias), method
233 of blinding (performance and detection bias), incomplete outcome data (attrition bias), protocol
234 availability (reporting bias) and compliance related biases¹⁹. All these biases could affect the
235 treatment estimates of RCTs and consequently the effectiveness/efficacy of rehabilitation
236 interventions²⁰. Therefore, a structured RQ could be associated with better methodological quality
237 and could facilitate and make the research question more understandable to guide clinicians and
238 researchers in the literature search, in the protocol development and in the conduct of a study² in
239 rehabilitation research. The incompleteness and unclearness of RQ have been also found in other
240 fields in biomedical research, such as endocrinology²¹, urology²², venous ulcer disease³, surgery²³
241 and anesthesia⁵ literature. These studies highlighted a significant association between the
242 completeness of the RQ description and quality of reporting and this could involve the overall
243 quality of methodology of the studies⁶. Since the risk of bias assessment, one of methodology
244 quality element, is closely linked to quality of reporting, further research should include the
245 evaluation of both reporting and methodological quality²⁴. A structured RQ might be considered as
246 a systematic way to construct the RQ and to conduct a study with the aim to give information for
247 the clinical decision-making⁶ in rehabilitation research. These considerations highlight the need to
248 develop a specific checklist for the rehabilitation field, like RCTRACK, which includes a specific
249 item on the framing of RQ to guide the development of future RCT studies.

250 The limitations were: firstly, the PICO score and OQS are not validated and have not been
251 rigorously tested for validity and reliability. Secondly, the inter-rater agreements were not
252 calculated; however, the reviewers performed a pre-training reporting quality assessment to define
253 the evaluation criteria and the disagreements were always resolved by consensus with the third
254 reviewer.

255

256 **Conclusion**

257 The lack of well-designed and reported clinical trials reduces confidence in RCT results. Asking a
258 clearly defined RQ is the first step in conducting a well-designed study. Consequently, the key
259 implication of this study is that trialists in the rehabilitation field should pay attention to the proper
260 framing of the research question using a structured approach, such as the PICO format. This should
261 comprise a precise statement of the primary objective, ideally in a single sentence as suggested by
262 Cochrane. This clearly defined RQ should inform how the study is designed, conducted and
263 reported. Consequently, our “Research Question” TWG have now recommended that the
264 RCTTRACK checklist includes the following specific item about the “*research question*”:
265 “definition of the research question and rationale of the chosen design to answer to the research
266 question described according to the PICO format”.

267 .

268

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271

272 **References**

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344

345

346 **Figure Legends**

347 **Fig 1.** PRISMA flow diagram

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- Currently few published RCTs describe the PICO elements as recommended by Cochrane;
- 35
- The findings suggest that a specific item about the “*research question*” and the rationale
- 36
- that drove to the proposed design following the form suggested by Cochrane is included in
- 37
- the RCTRACK checklist
- 38
- 39

40 **Introduction**

41 Randomized controlled clinical trials (RCTs) are considered the gold standard study design to
42 evaluate the effectiveness/efficacy of interventions in biomedical research¹. The choice of an
43 appropriate study design is informed by a clear research question (RQ)²⁻⁵. The RQ represents the
44 starting point for research studies to evaluate the effectiveness/efficacy of interventions because it
45 guides the definition of the population, interventions and outcomes; consequently, this influences
46 the development of the right study design to answer the question of interest.

47 Clinical epidemiologists have proposed the use of a structured RQ to guide the development of a
48 research study that evaluates the effectiveness/efficacy of interventions⁶. The RQ should contain the
49 following four elements: Population, Intervention, Comparator and Outcomes. These elements are
50 commonly referred to by the acronym PICOs. A well-structured RQ increases the likelihood of
51 finding a solution to the problem, informs selection of the study design, guides analysis decisions
52 and the interpretation of results². The explicit statement of the four PICOs elements prompts the
53 researcher to think about the design to use and to consider the balance between RQ and the
54 feasibility to answer it. Some studies show that a structured research question could be associated
55 with better methodological quality, but more research is needed to confirm this finding²⁻⁵.

56 In rehabilitation research, a scoping review by [Arienti-xxx et al.](#),⁷ reported a lack of clarity in the
57 RQs and that RCTs in the rehabilitation field rarely use the PICOs format to define key terms.
58 Several authors have argued that RCTs in rehabilitation frequently use inadequate designs for
59 answering RQs related to rehabilitation and this could depend on how the RQ is formulated. The
60 detailed specification of the RQ requires consideration of several key components which can be
61 encapsulated by the 'PICO' element that practicing clinicians, healthcare professionals, researchers,
62 policy makers, and patients deal with⁸. The accuracy of RQ framing is one of the main
63 methodological issues described in rehabilitation research.

64 In recognition of this problem, Cochrane Rehabilitation highlighted the need to develop a specific
65 checklist to guide the design, conducting, and reporting of trials in the rehabilitation field⁹. During
66 the second Cochrane Rehabilitation Methodological Meeting held in Kobe, Japan, in 2019, the RCT
67 Rehabilitation Checklist (RCTRACK) project was launched to produce a reporting guideline for
68 rehabilitation RCTs. During the kick-off meeting, 8 topics were identified for the RCTRACK
69 Technical Working Groups (TWGs): one of these was the “research question”.

70 Therefore, the aim of this study is to assess whether and how PICO format is described to frame
71 research questions in RCTs about efficacy/effectiveness of rehabilitation interventions and if it is an
72 important element that should be put and described in RCTRACK checklist.

73

74 **Methods**

75 **Study design and search strategy**

76 A methodological study, [described as a study for the assessing research methods and summarizing](#)
77 [methodological issues in the conduct, analysis, and reporting of health research^{10,11}](#), was conducted
78 by “Research Question” TWG, on RCTs in the rehabilitation field published between July 1st, 2019
79 and December 31st, 2019 in journals suggested by the European Society of Physical Rehabilitation
80 and Medicine (PRM) were included. This study conforms to all PRISMA guidelines and reports the
81 required information accordingly (see **Supplementary Checklist**). Specific criteria¹²⁻¹⁴ for
82 inclusion of these journals were: 1) belong to the first quartile (Q1) according to the Journal Impact
83 Factor (JIF) from the Web of Science Journal Citation Reports and 2) they were journals dealing
84 with “Rehabilitation” medicine and related disciplines specifically. The eligible journals were:
85 *Annals of Physical and Rehabilitation Medicine* (JIF=4.196), *Archives of Physical Medicine and*
86 *Rehabilitation* (JIF= 2.697), *Clinical Rehabilitation* (JIF= 2.738), *Disability and Rehabilitation*
87 *(JIF= 2.054)*, *European Journal of Cancer Care* (JIF= 2.421), *IEEE Transactions on Neural*
88 *Systems and Rehabilitation Engineering* (JIF= 3.478), *Journal of Fluency Disorders* (JIF= 2.349),

89 *Journal of Head Trauma Rehabilitation* (JIF= 2.667), *Journal of NeuroEngineering and*
90 *Rehabilitation* (JIF= 3.582), *Journal of Neurologic Physical Therapy* (JIF= 2.614), *Journal of*
91 *Orthopaedic & Sports Physical Therapy* (IF= 3.058), *Journal of Physiotherapy* (JIF= 5.551),
92 *Manual Therapy* (JIF= 2.622), *Neurorehabilitation and Neural Repair* (JIF= 3.757), *Physical*
93 *Therapy* (JIF= 3.043), *Physiotherapy* (JIF= 2.534), *PM&R - The journal of injury, function and*
94 *rehabilitation* (JIF= 1.902), *Supportive Care in Cancer* (JIF= 2.754), *the American Journal of*
95 *Physical Medicine & Rehabilitation* (JIF= 1.908), *the European Journal of Physical and*
96 *Rehabilitation Medicine* (JIF= 2.101), *the International Journal of Rehabilitation Research* (JIF=
97 1.378) and *the Journal of Rehabilitation Medicine* (JIF= 1.907). The search for eligible RCTs
98 published in those journals was conducted on PubMed on May 12th, 2020 and performed by an
99 author as general search. See **Supplementary Table 1** for the full search strategy.

100

101 **Eligibility and screening**

102 We included all RCTs addressing a RQ regarding effectiveness/efficacy of interventions in the field
103 of rehabilitation published in print or ahead of print in the targeted period. Cross-over and non-
104 randomized clinical trials (NRCTs), secondary analysis of RCTs data, preliminary results, pilot
105 studies, protocols, RCTs in which the randomization process was stratified by any factor and
106 articles addressing not-rehabilitation interventions were excluded.

107 The selection process was performed in duplicate by two independent authors_ during: a) title and
108 abstract and b) full text screening phases. A third author resolved the discrepancies.

109

110 **Rating the framing of the research question**

111 We used the methodology proposed by Rios (2010) to analyze how the research question was
112 described². In brief, the framing of the primary RQ of each study was evaluated firstly based on the

113 introduction and secondly from the title and methodology sections. This evaluation was performed
114 regardless of whether the RQ was formulated as a question, objective or hypothesis. Each reviewer
115 identified a paragraph/ or sections where the RQ was discussed and then identified whether the four
116 elements of PICO were present in those sections. We used a “PICO score” with a possible score
117 between 0 and 4, as a measure of the completeness of the description of the primary research
118 question, study objective or research hypothesis. A score of 4 confirmed that all PICO elements
119 were described (Complete PICO). Reports that did not describe these 4 elements (Incomplete PICO)
120 did not qualify as providing a structured RQ. Next, the adequacy of question formulation was
121 evaluated based on the structure recommended by Cochrane. Cochrane proposes that the statement
122 of a RQ should begin with a precise statement of the primary objective, ideally in a single sentence.
123 The recommended sentence style and order is as follows: *«to assess the effects of [intervention or
124 comparison] for [health problem] in [types of people, disease or problem and setting if
125 specified]»*¹⁵. This specific order helps to clarify the aim of an RCTs, enhancing a reader’s
126 understanding of the goal of a study of the effectiveness/efficacy of an interventions,. For the
127 purpose of this study, this specific statement was defined as the "PICO structure" and scored score
128 of 1 was assigned if it was used, and a score of 0 if it was not used.

129

130 **Assessment of the quality of reporting in included studies**

131 The included studies were assessed for reporting using the CONSORT Statement for Randomized
132 Trials of Nonpharmacologic Treatments checklist (CONSORT-NPTs checklist) to assess the quality
133 of reporting in nonpharmacologic trials. This is an extension of the CONSORT checklist, developed
134 to improve the reporting of RCTs investigating nonpharmacological treatments^{16,17}.

135 The CONSORT-NPT checklist includes 45 items and each of them was scored 1 if it was reported
136 and 0 if it was not clearly stated or definitely not stated. Item 4a) “Eligibility criteria for
137 participants; When applicable, eligibility criteria for centers and for care providers” has been split to

138 address both topics independently. Therefore, an overall quality score (OQS) was defined with
139 possible value between 0 (no adherence) and 45 (complete adherence) points to measure the
140 completeness of the reporting, i.e. adherence with the CONSORT-NPT checklist. A pre-training
141 quality of reporting assessment was performed by the reviewers to define the evaluation criteria for
142 the reporting quality. After the reporting evaluation, any disagreements were resolved involving a
143 third reviewer.

144

145 **Data extraction**

146 We used a standardized data abstraction form to extract data from each article. We collected the
147 following article characteristics: first author, year, title, the RQ description and type (question,
148 objective or hypothesis format) firstly described in introduction section and secondly in title and
149 methods section, outcome measures from each trial, rehabilitation interventions and PICO format.
150 Two reviewers blinded to each other's ratings extracted data independently and rated the framing of
151 the RQ, they resolved any disagreement through consensus.

152

153 **Statistical analysis**

154 We calculated the percentage of trials that clearly stated each PICO element and associated 95%
155 confidence interval (95% CI). We reported descriptive statistics on categorical data as frequencies
156 and percentages. We reported scores (i.e., PICO score and OQS) as median and interquartile range
157 (IQR). ~~Considering, the not normally distribution of the data (Shapiro e Wilk's test),~~ ~~We~~
158 evaluated if high PICO score was associated with high reporting quality by conducting linear
159 regression analysis with PICO score and OQS as variables using ~~Pearson-Spearman's~~ correlation
160 coefficient (~~Pearson's-Spearman's rho~~ r_s). Variables were considered to be statistically significant at

161 alpha = 0.05. We conducted all analyses using STATA V.14.0 (StataCorp LP, College Station, TX,
162 USA).

163

164 **Results**

165 After removal of duplicates, 227 records were screened; of these, 97 RCTs met the inclusion criteria
166 and were included in the analysis. The characteristics of the included studies are reported in
167 **Supplementary Table 2**. The reasons for exclusion and the number of articles excluded, at title-
168 abstract and at full text screening stage, are listed in the PRISMA flow diagram (**Figure 1**). Twenty
169 seven percent (n=~~xx~~26) of articles were published in the journal Clinical Rehabilitation, 14%
170 (n=14) in Archives of Physical Medicine & Rehabilitation, 13% (n=~~xx~~13) in the American Journal
171 of Physical Medicine & Rehabilitation and 10% (n=10) in European Journal of Physical and
172 Rehabilitation Medicine (see **Table 1 for details of the search strategy**). The most frequent
173 framing of the primary RQ was as an “objective” statement (55%) and in ~~the~~33% of the articles this
174 was as “objective” together with a “hypothesis” description. The frequency of each PICO element
175 reported in all of included ~~articles that reported the description of each PICO element is~~
176 provided in **Table 2**. Patients, interventions and outcomes were often adequately described, whilst
177 in 36% of the articles, the comparison interventions were not described. All PICO elements were
178 present in 55% of RQ, but only 49% have used the statement suggested by Cochrane. Of these, 85%
179 had the completeness of PICO (PICO score median of 4 (2-4)).

180 The CONSORT-NPT Checklist assessment revealed that the articles described 80% (36) of all
181 checklist items, with median OQS of 36 (26-41). Items for which the lowest adherence to the
182 checklist was found were: the adherence of care providers (2%) and participants (35%) to
183 interventions, blinding description (16%) and the description of any attempts to limit the blinding as
184 bias (5%), the period of recruitment and follow-up description (23%), the presentation of both
185 absolute and relative effect size in binary outcomes (15%) and the description of generalizability of

186 the trial findings according to the intervention, comparators, patients, care providers and centers
187 involved in the trial (44%). The highest adherence to the checklist was observed in the description
188 of title and abstract (91%), background and aim (100%), trial design (93%), participants (100%),
189 interventions (86%), outcomes (100%), sample size (80%), statistical methods (99%) and
190 interpretation of results. Eighty six percent of the RCTs were registered in a trial registration
191 database (see **Supplementary Table 3**). The Pearson-Spearman's correlation coefficient between
192 the completeness of PICO and the overall reporting quality was $r_s = -0.022051$.

193

194 **Discussion**

195 This study evaluated whether and how PICO format is described to frame research questions in
196 RCTs addressing effectiveness/efficacy of rehabilitation interventions published in the highest-
197 ranking rehabilitation journals during the second half of the year 2019.

198 The results showed that the most frequent framing of primary RQ was in a form of a statement
199 about study objective using all PICO elements, but few articles followed the statement suggested by
200 the Cochrane (PICO structure) to describe them. The comparison intervention was the least
201 frequently described element when compared to the other elements (i.e. population, intervention
202 and outcome). The lack of comparison intervention description is quite frequent in
203 rehabilitation context in which establishing the control treatment is difficult because: 1. this type of
204 intervention is rarely a single specific item with a high level of heterogeneity in terms of name used
205 for defined it and of protocol ingredients, leading to a non-linear causal-effect relationships¹⁸. 2.
206 The rehabilitation setting, where and it the control intervention is delivered, usually represents in a
207 complex clinical situation where causal relationships are also often non-linear¹⁶ and that could
208 affect the clinical replicability of interventions¹⁴. Therefore, our study showed that

209 The overall reporting quality, evaluated with CONSORT-NPT checklist, was satisfactory, with
210 80% of reporting completeness and the best items described were those more related to PICO

211 elements, but it was not directly related to the completeness of PICO. This could be explained by
212 the characteristics of CONSORT-NPT checklist that is an extension of CONSORT and includes 20
213 more items regarding more details on the description of the experimental treatment, comparator,
214 care providers expertise, centers, blinding status, adherence to the protocol and the treatment,
215 statistical methods and the generalizability of the trial findings according to the intervention,
216 comparators, patients, care providers and centers involved in the trial^{16,17}. These specific items
217 represent the main methodological issues found in rehabilitation research⁷. Most of them are related
218 to the methodological quality rather than reporting quality, in particular to the conduct of the study
219 that include elements such as allocation concealment (selection bias), method of blinding
220 (performance and detection bias), incomplete outcome data (attrition bias), protocol availability
221 (reporting bias) and compliance related biases¹⁹. All these biases could affect the treatment
222 estimates of RCTs and consequently the effectiveness/efficacy of rehabilitation interventions²⁰.

223 Therefore, a structured RQ could be associated with better methodological quality and could
224 facilitate and make the research question more understandable to, ~~because it should~~ guide clinicians
225 and researchers in the literature search, in the protocol development and in the conduct of a study²
226 in rehabilitation research. The incompleteness and unclearness of RQ have been also found in other
227 fields in biomedical research, such as endocrinology²¹⁺⁹, urology²²⁺⁹, venous ulcer disease³,
228 surgery²³⁺⁴ and anesthesia⁵ literature. These studies highlighted a significant association between
229 the completeness of the RQ description and quality of reporting and this could involve the overall
230 quality of methodology of the studies⁶. Since the risk of bias assessment, one of methodology
231 quality element, is closely linked to quality of reporting, further research should include the
232 evaluation of both reporting and methodological quality²⁴⁺²². A structured RQ might be considered
233 as a systematic way to construct the RQ and to conduct a study with the aim to give information for
234 the clinical decision-making⁶ in rehabilitation research. These considerations highlight the need to
235 develop a specific checklist for the rehabilitation field, like RCTRACK, which includes a specific
236 item on the framing of RQ to guide the development of future RCT studies.

Field Code Changed

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237 ~~These findings are similar to other fields in biomedical research, such as endocrinology¹⁹, urology²⁰,~~
238 ~~venous ulcer disease³, surgery²¹ and anesthesia⁵ literature, in which the framing of the RQ was often~~
239 ~~incomplete and unclear. Further, a significant association between the completeness of the RQ~~
240 ~~description and quality of reporting was found in these studies. They also suggested that a~~
241 ~~structured RQ might be considered as a systematic way to construct the RQ and to conduct a study~~
242 ~~with the aim to give information for the clinical decision making⁶. This concept is related to the~~
243 ~~quality of methodology and since risk of bias assessment is closely linked to quality of reporting,~~
244 ~~further research should include the evaluation of both reporting and methodological quality in~~
245 ~~rehabilitation research²².~~

246 The limitations were: firstly, the PICO score and OQS are not validated and have not been
247 rigorously tested for validity and reliability. Secondly, the inter-rater agreements were not
248 calculated; however, the reviewers performed a pre-training reporting quality assessment to define
249 the evaluation criteria and the disagreements were always resolved by consensus with the third
250 reviewer.

252 Conclusion

253 The lack of well-designed and reported clinical trials reduces confidence in RCT results. Asking a
254 clearly defined RQ is the first step in conducting a well-designed study. Consequently, the key
255 implication of this study is that trialists in the rehabilitation field should pay attention to the proper
256 framing of the research question using a structured approach, such as the PICO format. This should
257 comprise a precise statement of the primary objective, ideally in a single sentence as suggested by
258 Cochrane. This clearly defined RQ should inform how the study is designed, conducted and
259 reported. Consequently, our “Research Question” TWG have now recommended that the
260 RCTRACK checklist includes the following specific item about the “research question”—:

261 “definition of the research question and rationale of the chosen design to answer to the research
262 question described according to the PICO format”.

263 ~~The suggestion that comes out by “Research Question” TWG is to include in the RCTTRACK~~
264 ~~checklist a specific item about the “research question” and the rationale that drove to the proposed~~
265 ~~design following the form suggested by Cochrane.~~

266

267 **Funding**

268 No funding.

269

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344 **Figure Legends**

345 **Fig 1.** PRISMA flow diagram

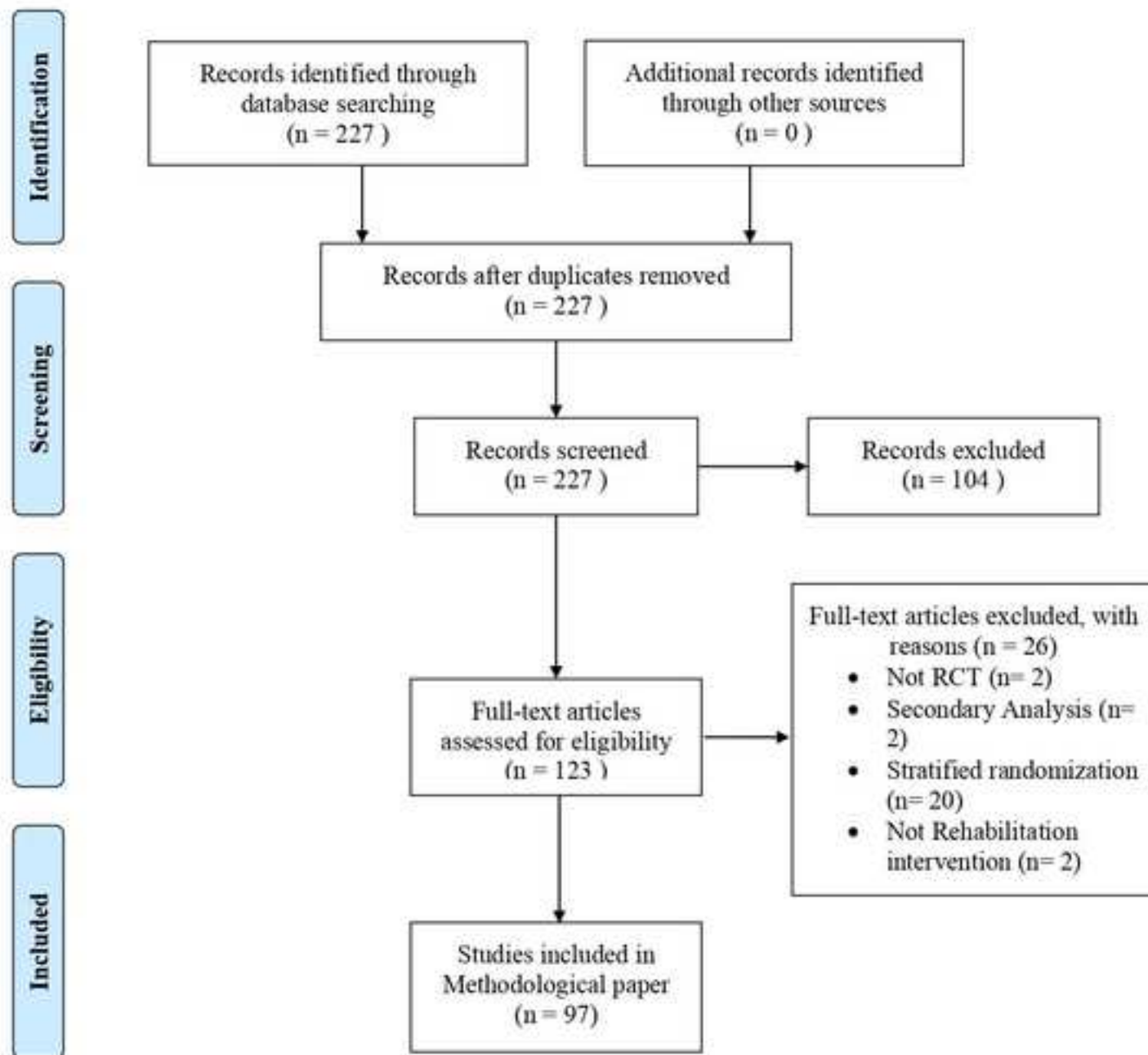
Journals	All articles (97)	
	n	%
Clinical Rehabilitation	26	27%
Archives of Physical Medicine & Rehabilitation	14	14%
American Journal of Physical Medicine & Rehabilitation	13	13%
European Journal of Physical and Rehabilitation Medicine	10	10%
Disability and Rehabilitation	6	6%
Journal of Rehabilitation Medicine	6	6%
Supportive Care in Cancer	6	6%
Neurorehabilitation and Neural Repair	4	4%
Annals of Physical and Rehabilitation Medicine	2	2%
European Journal of Cancer Care	2	2%
International Journal of Rehabilitation Research	2	2%
Journal of Physiotherapy	2	2%
Physiotherapy	2	2%
IEEE Transactions on Neural Systems and Rehabilitation Engineering	1	1%
Physical Therapy	1	1%

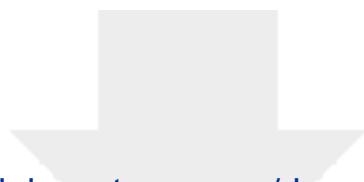
Table 1. Distribution of included studies among Journals

Research Question	All articles (97)	
	n	%
P	95	98%
I	97	100%
C	62	64%
O	81	84%
Complete PICO	53	55%
Structured PICO (Cochrane suggestion)	48	49%

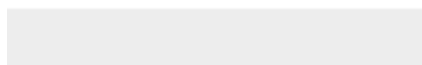
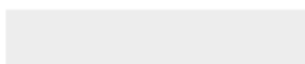
Table 2. Frequency of each PICO element

Abbreviations: RCT, randomized controlled trial.





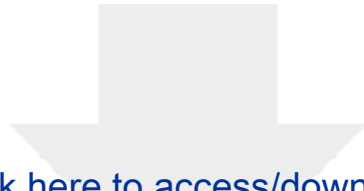
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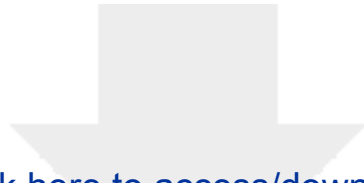
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