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TANGENTIAL CAUCHY-RIEMANN EQUATIONS
ON QUADRATIC CR MANIFOLDS

ABSTRACT. — We study the tangential Cauchy-Riemann equations $\bar{\partial}_b u = \omega$ for $(0, q)$ -forms on quadratic CR manifolds. We discuss solvability for data ω in the Schwartz class and describe the range of the tangential Cauchy-Riemann operator in terms of the signatures of the scalar components of the Levi form.

KEY WORDS: Tangential Cauchy-Riemann complex; Kohn Laplacian; CR manifolds; Global solvability; Hypoellipticity.

1. INTRODUCTION

Let V be an n -dimensional complex vector space, W an m -dimensional real vector space, $W^{\mathbb{C}}$ the complexification of W , and

$$\Phi : V \times V \longrightarrow W^{\mathbb{C}}$$

a Hermitian map (i.e. $\Phi(z, z') = \overline{\Phi(z', z)}$ for every $z, z' \in V$, where complex conjugation in $W^{\mathbb{C}}$ is referred to the real form W).

We consider the associated *quadratic manifold*

$$(1) \quad S = \{(z, t + iu) \in V \times W^{\mathbb{C}} : u = \Phi(z, z)\}$$

in $n + m$ complex dimensions. Then S is a CR manifold of CR-dimension n and real codimension m .

We consider the $\bar{\partial}_b$ -complex on S , mapping $(0, q)$ -forms on S into $(0, q + 1)$ -forms, for $0 \leq q \leq n$.

We shall consistently use the parameters $(z, t) \in V \times W$ to denote the element $(z, t + i\Phi(z, z)) \in S$. A natural Lie group structure can be introduced on $V \times W$ (as described in Section 1); this group will be denoted by G_{Φ} .

The fiber of the vector bundle $\Lambda^{0,q}(T^*S)$ over each point of S can be identified in the trivial way with the exterior product $\Lambda_q = \Lambda^{0,q}(V^*)$. Through the identification of S with $V \times W = G_{\Phi}$, we then regard $(0, q)$ -forms on S as vector valued functions on G_{Φ} with values in Λ_q .

Depending on the integrability or regularity conditions imposed on the forms under consideration, we shall denote the different spaces of $(0, q)$ -forms as $L^2(G_{\Phi}) \otimes \Lambda_q$, $S(G_{\Phi}) \otimes \Lambda_q$, $S'(G_{\Phi}) \otimes \Lambda_q$, etc.

We shall also need other linear bundles over G_{Φ} , with fibers $\text{End}(\Lambda_q)$, $\text{Hom}(\Lambda_q, \Lambda_{q+1})$, etc. The corresponding spaces of sections will be denoted in a similar way.

We address the following problem: determine under which assumptions on the Hermitean form Φ , $q \geq 1$ and the $\bar{\partial}_b$ -closed form $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$, the equation $\bar{\partial}_b u = \omega$ has a solution $u \in \mathcal{S}'(G_\Phi) \otimes \Lambda_q$.

Our approach is based on the results in [7] concerning the Kohn Laplacian

$$\square_b^{(q)} = \bar{\partial}_b \bar{\partial}_b^* + \bar{\partial}_b^* \bar{\partial}_b$$

acting on $(0, q)$ -forms on S . The operator $\bar{\partial}_b^*$ is the adjoint of the L^2 -closure of $\bar{\partial}_b$ w.r. to the Haar measure $dz dt$ on G_Φ and to a fixed inner product on V .

The answer depends on the signatures of the scalar-valued forms

$$\Phi^\lambda(z, z') = \lambda(\Phi(z, z')) ,$$

depending on $\lambda \in W^*$.

In general, W^* decomposes as the union of an open regular set, consisting of those λ for which Φ^λ is non-degenerate, and its complement, the singular set. We further decompose the regular set as the union of the open sets Ω_q , defined by the condition that Φ^λ has q positive and $n - q$ negative eigenvalues. Some Ω_q may be empty, and it may well happen that all of them are empty, *i.e.* that Φ^λ is degenerate for every λ .

In general, we denote by $\Omega \subset W^*$ the set of those λ for which Φ^λ has maximum rank. If there are non-degenerate Φ^λ , then Ω is the regular set.

In [7] we proved the following theorem.

THEOREM 1.1. *The following are equivalent :*

- (i) Ω_q is non-empty;
- (ii) $\square_b^{(q)}$ is locally solvable, *i.e.* given any smooth $(0, q)$ -form ω , the equation $\square_b^{(q)} u = \omega$ has a solution in a fixed neighborhood of the origin;
- (iii) $\square_b^{(q)}$ has a tempered fundamental solution, *i.e.* $K_q \in \mathcal{S}'(G_\Phi) \otimes \text{End}(\Lambda_q)$ such that $\square_b^{(q)}(\omega * K_q) = \omega$ for every $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$;
- (iv) the L^2 -null-space of $\square_b^{(q)}$ is trivial.

If Ω_q is non-empty, then $\square_b^{(q)}$ has a relative fundamental solution $K_{q,rel} \in \mathcal{S}'(G_\Phi) \otimes \text{End}(\Lambda_q)$, *i.e.* such that $\square_b^{(q)}(\omega * K_{q,rel}) = (I - C_q)\omega$ for every $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$, where C_q is the orthogonal projection of $L^2(G_\Phi) \otimes \Lambda_q$ onto the null-space of $\square_b^{(q)}$.

The notation we have used is such that, if f and g are functions on G_Φ with values in Λ_q and in $\text{End}(\Lambda_q)$ respectively, then

$$f * g(z, t) = \int_{G_\Phi} g((w, u)^{-1}(z, t))f(w, u) dw du$$

takes values in Λ_q .

We derive from this the following result.

THEOREM 1.2. *Let $q \geq 1$. The equation $\bar{\partial}_b u = \omega$ has a solution $u \in \mathcal{S}'(G_\Phi) \otimes \Lambda_{q-1}$ for a given $\bar{\partial}_b$ -closed form $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$ if and only if $\mathcal{C}_q \omega = 0$. In particular, the equation has a solution $u \in \mathcal{S}'(G_\Phi) \otimes \Lambda_{q-1}$ for every $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$ such that $\bar{\partial}_b \omega = 0$ if and only if Ω_q is empty.*

The proof will require a precise description of the L^2 -null-space of $\square_b^{(q)}$. This will be done in Section 3.

The question of solvability for the Cauchy-Riemann complex has drawn a great deal of interest since Lewy’s celebrated example of a non-solvable differential operator [6]. Such question is also of interest for extension phenomena, such as Bochner’s theorem, see [1-5] for historical background and references.

The relations between solvability of the $\bar{\partial}_b$ -complex and signatures of the scalar components of the Levi form for general CR manifolds have been investigated by several authors [9-11].

In the case of a quadratic CR manifold S , Rossi and Vergne [8] showed that if Φ is non-degenerate, *i.e.* there exists $\lambda \in W^*$ such that Φ^λ is non-degenerate, then condition (i) in Theorem 1.1 is necessary and sufficient for the solvability of the $\bar{\partial}_b$ -equation. The degenerate case is not included in their analysis. On the other hand, it is as an immediate consequence of our Theorem 1.2 that if Φ is degenerate, then Ω_q is empty and the $\bar{\partial}_b$ -equation $\bar{\partial}_b u = \omega$ is solvable for all $\bar{\partial}_b$ -closed, $(0, q)$ -forms ω in the Schwartz class, for all $q \geq 1$.

2. THE LIE GROUP ASSOCIATED TO S AND ITS REPRESENTATIONS

We define the following product between two elements $(z, t), (z', t') \in V \times W$:

$$(z, t)(z', t') = (z + z', t + t' + 2\text{Im } \Phi(z, z')) ,$$

which induces a step-two nilpotent Lie group structure on $V \times W$. We call G_Φ this group and \mathfrak{g}_Φ its Lie algebra.

For $v \in V$, let $\partial_v f$ denote the directional derivative of a function f in the direction v . The left-invariant vector field X_v on G_Φ that coincides with ∂_v at the origin is given by

$$X_v f(z, t) = \partial_v f(z, t) + 2\text{Im } \Phi(z, v) \cdot \nabla_t f(z, t) .$$

If J denotes the complex structure on V , we define $Z_v, \bar{Z}_v \in \mathfrak{g}_\Phi^{\mathbb{C}}$ as

$$\begin{aligned} Z_v &= \frac{1}{2}(X_v - iX_{Jv}) = \frac{1}{2}(\partial_v - i\partial_{Jv}) + i\overline{\Phi(z, v)} \cdot \nabla_t , \\ \bar{Z}_v &= \frac{1}{2}(X_v + iX_{Jv}) = \frac{1}{2}(\partial_v + i\partial_{Jv}) - i\Phi(z, v) \cdot \nabla_t . \end{aligned}$$

The relevance of the group G_Φ in our context is justified by the fact that the operators \bar{Z}_v coincide with the tangential Cauchy-Riemann operators on S .

The following commutation rules hold:

$$(2) \quad \begin{aligned} [Z_v, Z_{v'}] &= [\bar{Z}_v, \bar{Z}_{v'}] = 0, \\ [Z_v, \bar{Z}_{v'}] &= -2i\Phi(v, v') \cdot \nabla_{t'}. \end{aligned}$$

Hence G_Φ is step-two nilpotent.

It can be shown that the Lie algebras that arise in this way can be characterized as follows.

PROPOSITION 2.1. *A real Lie algebra \mathfrak{g} is isomorphic to the Lie algebra \mathfrak{g}_Φ associated to a quadratic CR manifold if and only if $\mathfrak{g} = \mathfrak{v} \oplus \mathfrak{w}$, with $[\mathfrak{v}, \mathfrak{v}] \subseteq \mathfrak{w}$, $[\mathfrak{g}, \mathfrak{w}] = 0$ and there is a complex structure J on \mathfrak{v} such that $[Jv, Jv'] = [v, v']$ for every $v, v' \in \mathfrak{v}$.*

We summarize the description of the irreducible unitary representations of G_Φ .

For $\lambda \in W^*$, let Φ^λ be the scalar-valued form $\Phi^\lambda(v, v') = \lambda(\Phi(v, v'))$. Denote by V_0^λ the radical of Φ^λ , i.e. the subspace of the v such that $\Phi^\lambda(v, v') = 0$ for every $v' \in V$.

Let V_1^λ be the orthogonal complement of V_0^λ in V , w.r. to the fixed inner product. Let also V_r^λ be a real form of V_1^λ on which Φ^λ is real. For $z \in V$, we set $z = z' + z''$, with $z' \in V_1^\lambda$, $z'' \in V_0^\lambda$, and $z' = x' + iy'$ with $x', y' \in V_r^\lambda$.

LEMMA 2.2. *Let $\lambda \in W^*$, and let η be a linear functional on V_0^λ . Define the representation $\pi_{\lambda, \eta}$ of G_Φ on $L^2(V_r^\lambda)$ as*

$$(3) \quad (\pi_{\lambda, \eta}(z, t)\phi)(\xi) = e^{i(\lambda(t) + 2\text{Re } \eta(z''))} e^{-2i\Phi^\lambda(y', \xi + x')} \phi(\xi + 2x').$$

Then $\pi_{\lambda, \eta}$ is an irreducible unitary representation of G_Φ . Conversely, any irreducible unitary representation of G_Φ is equivalent to one and only one $\pi_{\lambda, \eta}$.

It is convenient to diagonalize Φ^λ with respect to an orthonormal basis $\{v_1^\lambda, \dots, v_n^\lambda\}$ of V , in such a way that $v_j^\lambda \in V_r^\lambda$ for $j \leq \nu(\lambda)$ and $v_j^\lambda \in V_0^\lambda$ for $j > \nu(\lambda)$, where $0 \leq \nu(\lambda) = \text{rank } \Phi^\lambda = \dim V_1^\lambda \leq n$. We set

$$(4) \quad \mu_j = \mu_j(\lambda) = \Phi^\lambda(v_j^\lambda, v_j^\lambda).$$

Calling

$$Z_j^\lambda = \frac{1}{2}(X_{v_j^\lambda} - iX_{Jv_j^\lambda}), \quad \bar{Z}_j^\lambda = \frac{1}{2}(X_{v_j^\lambda} + iX_{Jv_j^\lambda}),$$

a standard computation gives that

$$(5) \quad \begin{aligned} d\pi_{\lambda, \eta}(Z_k^\lambda) &= \begin{cases} \partial_{\xi_j} - \mu_k \xi_k & \text{if } k \leq \nu \\ i\bar{\eta}_{k-\nu(\lambda)} & \text{if } k > \nu \end{cases} \\ d\pi_{\lambda, \eta}(\bar{Z}_k^\lambda) &= \begin{cases} \partial_{\xi_j} + \mu_k \xi_k & \text{if } k \leq \nu \\ i\eta_{k-\nu(\lambda)} & \text{if } k > \nu, \end{cases} \end{aligned}$$

with $\eta_{k-\nu(\lambda)} = \eta(v_k^\lambda) \in \mathbb{C}$.

For a function f on G_Φ , we define

$$(6) \quad \pi_{\lambda, \eta}(f) = \int f(z, t) \pi_{\lambda, \eta}(z, t)^{-1} dz dt .$$

This definition has the effect that $\pi_{\lambda, \eta}(f * g) = \pi_{\lambda, \eta}(g) \pi_{\lambda, \eta}(f)$, and that

$$\pi_{\lambda, \eta}(\mathcal{L}f) = d\pi_{\lambda, \eta}(\mathcal{L})\pi_{\lambda, \eta}(f) ,$$

for any left-invariant differential operator \mathcal{L} .

We denote by h_j the j -th Hermite function on the real line:

$$(7) \quad h_j(t) = (2^j \sqrt{\pi} j!)^{-1/2} (-1)^j e^{t^2/2} \frac{d^j}{dt^j} e^{-t^2} ,$$

and, for a given a multi-index $m \in \mathbb{N}^{\nu(\lambda)}$, we set

$$(8) \quad h_m^\lambda(\xi) = \prod_{j=1}^{\nu(\lambda)} |\mu_j|^{1/4} h_{m_j}(|\mu_j|^{1/2} \xi_j) .$$

By (7) and (8) above we have that $(d_t - t)h_j = \sqrt{2(j+1)}h_{j+1}$ and $(d_t + t)h_j = -\sqrt{2j}h_{j-1}$. From these it follows that

$$(\partial_{\xi_k} + \mu_k \xi_k) h_m^\lambda = (-\text{sgn } \mu_k) ((2m_k + 1)|\mu_k| - \mu_k)^{1/2} h_{m - (\text{sgn } \mu_k) e_k}^\lambda ,$$

where $e_k = (0, \dots, 1, \dots, 0)$ denotes the k -th element of the standard basis. Hence, for any unitary irreducible representation $\pi_{\lambda, \eta}$ of G_Φ ,

$$d\pi_{\lambda, \eta}(\bar{Z}_k^\lambda) h_m^\lambda = \begin{cases} (-\text{sgn } \mu_k) ((2m_k + 1)|\mu_k| - \mu_k)^{1/2} h_{m - (\text{sgn } \mu_k) e_k}^\lambda & \text{if } k \leq \nu(\lambda) \\ i\eta_{k - \nu(\lambda)} h_m^\lambda & \text{if } k > \nu(\lambda) . \end{cases}$$

Analogously, one gets

$$d\pi_{\lambda, \eta}(Z_k^\lambda) h_m^\lambda = \begin{cases} \text{sgn } \mu_k ((2m_k + 1)|\mu_k| + \mu_k)^{1/2} h_{m + (\text{sgn } \mu_k) e_k}^\lambda & \text{if } k \leq \nu(\lambda) \\ i\bar{\eta}_{k - \nu(\lambda)} h_m^\lambda & \text{if } k > \nu(\lambda) . \end{cases}$$

The matrix coefficient $\langle \pi_{\lambda, \eta}(f) h_m^\lambda, h_{m'}^\lambda \rangle$ will be denoted as $\widehat{f}(\lambda, \eta; m, m')$. For a $(0, q)$ -form ω , the notation $\widehat{\omega}(\lambda, \eta; m, m') \in \Lambda_q$ will be used with the same meaning. If Φ^λ is non-degenerate, so that $V_0^\lambda = \{0\}$, we drop the parameter η .

Define

$$D(\lambda) = \prod_{j=1}^{\nu(\lambda)} |\mu_j| .$$

LEMMA 2.3. *The function $D(\lambda)$ is smooth on Ω , the subset of W^* where $\nu(\lambda)$ is maximum. The Plancherel formula for G_Φ is*

$$(9) \quad \|f\|_2^2 = \int_\Omega \int_{(V_0^\lambda)^*} \|\pi_{\lambda, \eta}(f)\|_{HS}^2 d\eta D(\lambda) d\lambda ,$$

where $d\lambda$ is an appropriately normalized Lebesgue measure on W^* and $d\eta$ is the volume element on $(V_0^\lambda)^*$ induced by the inner product on V .

Observe that the domain of integration in (9) has a natural differentiable structure, as it can be identified with $\Omega \times \mathbb{C}^{n-\nu}$, with $\nu = \max \nu(\lambda)$.

3. THE $\bar{\partial}_b$ -COMPLEX ON G_Φ AND THE NULL SPACE OF $\square_b^{(q)}$

Let $\{v_1, \dots, v_n\}$ be any orthonormal basis of V with respect to the given inner product. Let (z_1, \dots, z_n) denote the coordinates on V with respect to this basis. We denote by $d\bar{z}^I$ the $(0, q)$ -form $d\bar{z}_{i_1} \wedge \dots \wedge d\bar{z}_{i_q}$, where $I = (i_1, \dots, i_q)$ is a strictly increasing multi-index. Given a $(0, q)$ -form $\phi = \sum_{|I|=q} \phi_I d\bar{z}^I$ with smooth coefficients, we have

$$(10) \quad \bar{\partial}_b \phi = \sum_{|I|=q} \sum_{k=1}^n \bar{Z}_k(\phi_I) d\bar{z}_k \wedge d\bar{z}^I = \sum_{|I|=q+1} \sum_{k, |I|=q} \epsilon_{kl}^I \bar{Z}_k(\phi_I) d\bar{z}^I,$$

where

$$Z_j = \frac{1}{2}(X_{v_j} - iX_{Jv_j}), \quad \bar{Z}_j = \frac{1}{2}(X_{v_j} + iX_{Jv_j}), \quad j = 1, \dots, n,$$

and $\epsilon_{kl}^J = 0$ if $J \neq \{k\} \cup I$ as sets, and it equals the parity of the permutation that rearranges (k, i_1, \dots, i_q) in increasing order if $J = \{k\} \cup I$.

Then $\bar{\partial}_b^*$ can be easily computed to yield that

$$(11) \quad \bar{\partial}_b^* \left(\sum_{|I|=q} \phi_I d\bar{z}^I \right) = \sum_{|I|=q-1} \left(- \sum_{k, |I|=q} \epsilon_{kj}^I Z_k \phi_I \right) d\bar{z}^I.$$

The Kohn Laplacian is defined as $\square_b^{(q)} = \bar{\partial}_b \bar{\partial}_b^* + \bar{\partial}_b^* \bar{\partial}_b$. This is explicitly computed in [7, Proposition 2.1].

We assume now that Ω_q is non-empty. Then also $\Omega_{n-q} = -\Omega_q$ is non-empty and it contains a Zariski-open subset Ω'_{n-q} where the number of distinct eigenvalues of Φ^λ is maximum. It is shown in [7] that locally on Ω'_{n-q} the eigenvalues $\mu_j(\lambda)$ and the basis elements v_j^λ in (4) are well-defined real-analytic functions of λ .

We can therefore find a locally finite open covering $\{U_j\}$ of Ω'_{n-q} such that for each j there is an orthonormal coordinate system $(z_1^\lambda, \dots, z_n^\lambda)$ on V that varies smoothly with $\lambda \in U_j$ and diagonalizes Φ^λ as $\Phi^\lambda(z, z) = \sum_{k=1}^n \mu_k |z_k^\lambda|^2$.

For a multi-index L of length q with entries $\ell_1 < \ell_2 < \dots < \ell_q$, we denote by ω_L^λ the form $d\bar{z}_{\ell_1}^\lambda \wedge \dots \wedge d\bar{z}_{\ell_q}^\lambda$.

Let $\bar{L} = \bar{L}_j$ the multi-index of length q containing those k for which $\mu_k < 0$. Let also $\{\rho_j\}$ be a smooth partition of unity on Ω'_{n-q} subordinated to the given covering.

The following result is proven in [7, Lemma 5.1].

LEMMA 3.1. *Let $\omega \in L^2(G_{\mathbb{F}}) \otimes \Lambda_q$. The following are equivalent:*

- (i) ω is in the null space of $\square_b^{(q)}$;
- (ii) $\pi_\lambda(\omega) = 0$ a.e. outside of Ω_{n-q} and, a.e. on each U_j , $\pi_\lambda(\omega) = T^\lambda \otimes \omega_T^\lambda$, where T^λ is a Hilbert-Schmidt operator on $L^2(V_r^\lambda)$, with range in the linear span of b_0^λ .

COROLLARY 3.2. *The subspace $(\mathcal{S}(G_{\mathbb{F}}) \otimes \Lambda_q) \cap \ker \square_b^{(q)}$ is dense in $(L^2(G_{\mathbb{F}}) \otimes \Lambda_q) \cap \ker \square_b^{(q)}$ in the L^2 -topology. Moreover, if $\omega \in (L^2(G_{\mathbb{F}}) \otimes \Lambda_q) \cap \ker \square_b^{(q)}$ then $\bar{\partial}_b \omega = \bar{\partial}_b^* \omega = 0$ in the sense of distributions.*

PROOF. By Lemma 5.1 in [7] it follows that $\omega \in L^2(G_{\mathbb{F}}) \otimes \Lambda_q$ lies in $\ker \square_b^{(q)}$ if and only if $\widehat{\omega}(\lambda; k, \ell) \neq 0$ implies that $\lambda \in \Omega_{n-q}$, $\ell = 0$ and $\widehat{\omega}(\lambda; k, 0) = c(\lambda, k)\omega_T^\lambda$ is such that

$$\|\omega\|_{L^2}^2 = \int_{\Omega_{n-q}} \sum_k |c(\lambda, k)|^2 D(\lambda) d\lambda.$$

Therefore, for any $\varepsilon > 0$ it is possible to find a positive integer k_0 and Schwartz functions ψ_k with support in Ω_{n-q} , identically zero for $k > k_0$, and such that

$$\int_{\Omega_{n-q}} \sum_k |c(\lambda, k) - \psi_k(\lambda)|^2 D(\lambda) d\lambda < \varepsilon.$$

By Lemmas 3.1 and 5.2 in [7] there exists $\psi \in \mathcal{S}(G_{\mathbb{F}}) \otimes \Lambda_q$ such that $\widehat{\psi}(\lambda; k, \ell) = \delta_{0\ell} \psi_k(\lambda)\omega_T^\lambda$. Hence $\psi \in \ker \square_b^{(q)}$ and $\|\omega - \psi\| < \varepsilon$.

The second assertion is clear for Schwartz forms and follows from the density above for an L^2 -form. \square

4. PROOF OF THEOREM 1.2

The proof is based on the following lemma.

LEMMA 4.1. *There is a family $\{K_q\}_{0 \leq q \leq n}$, with $K_q \in \mathcal{S}'(G_{\mathbb{F}}) \otimes \text{End}(\Lambda_q)$, satisfying the following properties*

- (i) K_q is a fundamental (resp. a relative fundamental) solution of $\square_b^{(q)}$ if Ω_q is empty (resp. non-empty);
- (ii) the following identity holds

$$(12) \quad \bar{\partial}_b(\omega * K_q) = (\bar{\partial}_b \omega) * K_{q+1},$$

for all $\omega \in \mathcal{S}(G_{\mathbb{F}}) \otimes \Lambda_q$.

Assuming the validity of the lemma, we prove Theorem 1.2.

PROOF OF THEOREM 1.2. Given ω as in the statement, it suffices to define $u = \bar{\partial}_b^*(\omega * K_q)$. Since $\bar{\partial}_b \omega = 0$, we have

$$\bar{\partial}_b^* \bar{\partial}_b(\omega * K_q) = \bar{\partial}_b^*(\bar{\partial}_b \omega * K_{q+1}) = 0,$$

by (12). Then

$$\bar{\partial}_b u = \bar{\partial}_b \bar{\partial}_b^* (\omega * K_q) = \square_b^{(q)} (\omega * K_q) = (I - \mathcal{C}_q) \omega.$$

On the other hand, notice that if $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$ is such that $\mathcal{C}_q \omega \neq 0$, then the equation $\bar{\partial}_b u = \omega$ cannot be solved. Indeed, using Corollary 3.2, let $\omega_k \in (\mathcal{S}(G_\Phi) \otimes \Lambda_q) \cap \ker \square_b^{(q)}$ be a sequence converging to $\mathcal{C}_q \omega$ in $L^2(G_\Phi) \otimes \Lambda_q$. Then,

$$\|\mathcal{C}_q \omega\|_{L^2}^2 = \langle \omega, \mathcal{C}_q \omega \rangle = \lim_{k \rightarrow +\infty} \langle \bar{\partial}_b u, \omega_k \rangle = \lim_{k \rightarrow +\infty} \langle u, \bar{\partial}_b^* \omega_k \rangle = 0,$$

a contradiction. □

PROOF OF LEMMA 4.1. Given $\lambda \in \Omega$ and (in case $\nu = \text{rank } \Phi^\lambda < n$) $\eta \in (V_0^\lambda)^*$, define the operator $A_q^{\lambda, \eta}$ on $L^2(V_r^\lambda) \otimes \Lambda_q$ as

$$A_q^{\lambda, \eta} (b_m^\lambda \otimes \omega_L^\lambda) = \begin{cases} 0 & \text{if } \nu = n, \lambda \in \Omega_q \\ & m = 0 \text{ and } L = \bar{L} \\ \frac{1}{\alpha_L^\lambda + |\eta|^2 + \sum_{j=1}^n (2m_j + 1) |\mu_j|} (b_m^\lambda \otimes \omega_L^\lambda) & \text{otherwise.} \end{cases}$$

When $\nu = n$, we simply drop η from this formula altogether. Due to its diagonal form, it is easy to check that $A_q^{\lambda, \eta}$ is a bounded operator.

The (relative) fundamental solutions K_q constructed in [7] are such that for any pair of Schwartz $(0, q)$ -forms ω, σ on G_Φ ,

$$(13) \quad \langle \omega * K_q, \sigma \rangle = - \int_{\Omega} \int_{(V_0^\lambda)^*} \langle A_q^{\lambda, \eta} \pi_{\lambda, \eta}(\omega), \pi_{\lambda, \eta}(\sigma) \rangle d\eta D(\lambda) d\lambda.$$

The inner product $\langle \cdot, \cdot \rangle$ is the ordinary Hilbert-Schmidt inner product for operators on $L^2(V_r^\lambda) \otimes \Lambda_q$. If $\nu = n - 1$, the integral in $d\eta$ in (13) may not be absolutely convergent for certain values of λ , and it must be taken in a principal value sense.

We shall show below that

$$(14) \quad d\pi_{\lambda, \eta}(\bar{\partial}_b) \circ A_q^{\lambda, \eta} = A_{q+1}^{\lambda, \eta} \circ d\pi_{\lambda, \eta}(\bar{\partial}_b).$$

This implies that, if $\omega \in \mathcal{S}(G_\Phi) \otimes \Lambda_q$ and $\sigma \in \mathcal{S}(G_\Phi) \otimes \Lambda_{q+1}$, then

$$(15) \quad \langle A_q^{\lambda, \eta} \pi_{\lambda, \eta}(\omega), \pi_{\lambda, \eta}(\bar{\partial}_b^* \sigma) \rangle = \langle A_{q+1}^{\lambda, \eta} \pi_{\lambda, \eta}(\bar{\partial}_b \omega), \pi_{\lambda, \eta}(\sigma) \rangle.$$

Hence

$$(16) \quad \langle \omega * K_q, \bar{\partial}_b^* \sigma \rangle = \langle (\bar{\partial}_b \omega) * K_q, \sigma \rangle,$$

which implies (12). When $\nu = n - 1$, the derivation of (16) from (15) requires some more care, but we leave the details to the interested reader.

The proof of (14) is very easy when $\nu < n$ and $\eta \neq 0$, or when $\nu = n$ and $\lambda \notin \Omega_q \cup \Omega_{q+1}$. In both cases, in fact, $A_q^{\lambda, \eta} = -d\pi_{\lambda, \eta}(\square_b^{(q)})^{-1}$ and $A_{q+1}^{\lambda, \eta} = -d\pi_{\lambda, \eta}(\square_b^{(q+1)})^{-1}$. It is sufficient to apply $d\pi_{\lambda, \eta}$ to both sides of the identity $\bar{\partial}_b \square_b^{(q)} = \square_b^{(q+1)} \bar{\partial}_b$.

Assume now that $\nu = n$ and $\lambda \in \Omega_q$. We recall that

$$d\pi_\lambda(\bar{\partial}_b)(h_m^\lambda \otimes \omega_L^\lambda) = \sum_{|J|=q+1} \left(\sum_k \epsilon_{kL}^J d\pi_\lambda(\bar{Z}_k^\lambda) h_m^\lambda \right) \otimes \omega_J^\lambda,$$

and that

$$d\pi_{\lambda,\eta}(\bar{Z}_k^\lambda) = \sum_{|J|=q+1} \left(\sum_k \epsilon_{kL}^J (-\text{sgn } \mu_k) ((2m_k + 1)|\mu_k| - \mu_k)^{1/2} h_{m-(\text{sgn } \mu_k)e_k}^\lambda \right) \otimes \omega_J^\lambda.$$

Hence,

$$\begin{aligned} d\pi_\lambda(\bar{\partial}_b) \circ A_q^\lambda(h_m^\lambda \otimes \omega_L^\lambda) &= \\ (17) \quad &= \sum_{|J|=q+1} \sum_k \epsilon_{kL}^J \frac{(-\text{sgn } \mu_k)(|\mu_k|(2m_k + 1) - \mu_k)^{1/2}}{\alpha_L^\lambda + \sum_{j=1}^n (2m_j + 1)|\mu_j|} (h_{m-(\text{sgn } \mu_k)e_k}^\lambda \otimes \omega_J^\lambda) \end{aligned}$$

if $m \neq 0$ or $L \neq \bar{L}$ and $d\pi_\lambda(\bar{\partial}_b) \circ A_q^{\lambda,\eta}(h_m^\lambda \otimes \omega_L^\lambda) = 0$ otherwise.

On the other hand,

$$d\pi_\lambda(\bar{\partial}_b)(h_m^\lambda \otimes \omega_L^\lambda) = \sum_{|J|=q+1} \sum_k \epsilon_{kL}^J (-\text{sgn } \mu_k) (|\mu_k|(2m_k + 1) - \mu_k)^{1/2} (h_{m-(\text{sgn } \mu_k)e_k}^\lambda \otimes \omega_J^\lambda),$$

so that

$$\begin{aligned} A_{q+1}^{\lambda,\eta} \circ d\pi_\lambda(\bar{\partial}_b)(h_m^\lambda \otimes \omega_L^\lambda) &= \\ (18) \quad &= \sum_{|J|=q+1} \sum_k \epsilon_{kL}^J \frac{(-\text{sgn } \mu_k)(|\mu_k|(2m_k + 1) - \mu_k)^{1/2}}{\alpha_J^\lambda - 2\mu_k + \sum_{j=1}^n (2m_j + 1)|\mu_j|} (h_{m-(\text{sgn } \mu_k)e_k}^\lambda \otimes \omega_J^\lambda). \end{aligned}$$

Notice that $\lambda \in \Omega_q$ implies that $\lambda \notin \Omega_{q+1}$. If $J = \{k\} \cup L$ as sets, then it is easy to check that $\alpha_L^\lambda = \alpha_J^\lambda - 2\mu_k$. When $L = \bar{L}$ and $k \notin \bar{L}$ then $\mu_k > 0$ which implies that

$$d\pi_\lambda(K_{q+1}) \circ d\pi_\lambda(\bar{\partial}_b)(h_0^\lambda \otimes \omega_L^\lambda) = 0.$$

Thus, (17) and (18) prove equality (14) for $\lambda \in \Omega_q$.

The argument for $\lambda \in \Omega_{q+1}$ is similar to the case $\lambda \in \Omega_q$ and we omit it. \square

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