

# 1                   **The variability of *SMCHD1* gene in FSHD patients: evidence of new mutations**

## 2 3   **Introduction**

4   The application of molecular genetics strategies into the clinical practice highlighted the existence of  
5   a large gap between the genotype and phenotype in many human disorders [1-2]. This is particularly  
6   true for neuromuscular disorders which consist of a heterogenous group of pathologies characterized  
7   by progressive weakness and wasting of proximal and/or distal muscles [3-4]. The phenotypic  
8   overlap, the limited availability of muscle biopsies and the multi-systemic events occurring in  
9   neuromuscular disorders raised the need for a multidisciplinary approach to provide an accurate  
10   diagnosis and allow targeted interventions according to the profile of each patient [3-4]. Our group is  
11   one of the two Italian Reference Centers for the genetic characterization of Facioscapulohumeral  
12   muscular Dystrophy (FSHD, OMIM #158900). FSHD affects approximately 1 in 8300 individuals  
13   [5-7]. The disease is characterized by clinical variability and incomplete penetrance, ranging from  
14   asymptomatic to wheelchair-dependent individuals [2]. Patients experience a progressive weakness  
15   of scapular girdle, facial and humeral muscles in the initial stage of FSHD. Later, the weakness can  
16   extend to the muscles of trunk and of lower-extremities, leading thereby to loss of ambulation in 20%  
17   of cases [8-9]. Two forms of FSHD are known, namely FSHD1 and FSHD2, which are characterized  
18   by identical clinical features but different genetic signatures.

19   FSHD1 accounts for approximately 95% of cases and it is associated with a contraction of a  
20   microsatellite repeat array on 4q35 chromosome [2]. This region is 3.3 kb long and is referred to as  
21   *D4Z4* region. In healthy individuals, the repeat consists of 11 to 100 Repeated Units (RU), whereas  
22   it is found to be 1-10 RU in FSHD1 patients. The array contraction results in the hypomethylation of  
23   *D4Z4* and, consequently, in the expression of *Double Homeobox Protein 4 (DUX4)* that is toxic for  
24   muscle cells [10-11]. However, it is important to remark that as many as 2% of the general population  
25   presents 8-10 RU without being affected [2]. These findings suggested that the etiopathogenesis of  
26   FSHD might not be due to the *D4Z4* contraction on 4q35 alone, but to a combination of specific  
27   genetic and epigenetic signatures, which create a permissive background for the development of  
28   disease.

29   Approximately 5% of patients show clinical symptoms typical of FSHD, without carrying a short  
30   allele on *D4Z4* repeat array. This form is clinically identical to FSHD1 but genetically distinct and it  
31   is termed FSHD2 (OMIM #158901) [12]. FSHD2 has been associated with mutations in *Structural*  
32   *Maintenance of Chromosomes flexible Hinge Domain containing 1 (SMCHD1)*, 18p11.32, OMIM  
33   #614982) gene. *SMCHD1* consists of 48 exons and encodes the homonymous protein belonging to  
34   the highly conserved SMC protein family, although it is also regarded as a member of the human

35 Microrchidia (MORC) family. Both these groups of proteins are involved in the epigenetic regulation  
36 of the chromatin status [13-14]. In fact, *SMCHDI* is mainly involved in the regulation of high-order  
37 chromosome structures, in the inactivation of X chromosome and generally in the epigenetic  
38 regulation of chromatin repression [14-15]. In particular, *SMCHDI* contributes to the somatic  
39 repression of *DUX4* by directly binding to *D4Z4* repeat array [16]. SMCHD1 protein harbors a N-  
40 terminal *GHKL-ATPase* domain and a non-canonical C-terminal *SMC hinge* domain, both flanked by  
41 coiled-coil regions and uncharacterized domains. These functional domains are involved in the  
42 homodimerization of the protein, which is regarded as a fundamental mechanism for its activity [15].  
43 The mutational spectrum of *SMCHDI* includes small deletions, splice site mutations and missense  
44 mutations [17]. These mutations decrease the binding activity of SMCHD1, resulting in *D4Z4*  
45 hypomethylation and incomplete repression of *DUX4*, which is thereby expressed in muscle tissue  
46 [16]. In addition, mutations of *SMCHDI* have also been shown to act as disease modifiers in FSHD  
47 patients carrying short or borderline *D4Z4* fragments [2,18]. However, the lack of a precise genotype-  
48 phenotype correlation in many cases explain the need for a more comprehensive genetic analysis of  
49 both *D4Z4* alleles and *SMCHDI*. In our experience, we observed that the majority of patients with a  
50 clinical suspect of FSHD carry a borderline (8-10RU) or normal (>11RU) sized *D4Z4* fragment, in  
51 contrast to patients carrying a short fragment of 1-7RU. In this work, we report the sequence analysis  
52 of *SMCHDI* in a cohort of clinically defined FSHD patients in order to assess the distribution of  
53 *SMCHDI* variants, considering the *D4Z4* size (short fragment, borderline fragment and normal  
54 fragment).

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56

## 57 **Results**

58 NGS and traditional methodologies proved to be useful to characterize *D4Z4* fragment, 4qA and  
59 *SMCHDI* sequence in the patient's cohort. We selected a cohort of patients representative the three  
60 categories of patients in terms of fragment size: a number of 30 patients (40%) presenting a normal  
61 range (>11RU), 13 subjects (17%) with borderline (8-10RU) and 33 (43%) patients with short  
62 fragment (1-7RU) (Fig. 1). All the patients resulted to be 4qA-positive. Successively, the sequence  
63 analysis of *SMCHDI* was performed in all patients. The extensive analysis of *SMCHDI* sequence  
64 revealed the presence of 88 variants scattered throughout the introns, exons and 3'UTR regions of  
65 the gene. The evaluation of frequency distribution and bioinformatics analysis indicated that 8 exonic  
66 variants were described as benign or likely benign, whereas 6 exonic variants were classified as  
67 Variants of Uncertain Significance (VUS) which need to be further investigated (Suppl. Table 1). In  
68 addition, 61 intronic variants were detected, which resulted to have no impact on splicing activity

69 (Suppl. Table 2). The frequency distribution of the above-described variants are consistent with the  
70 frequency distribution observed in the general population and are not correlated with any of the  
71 fragment size category.

72 Moreover, the analysis of *SMCHD1* sequence pointed out the attention on 7 pathogenic and likely  
73 pathogenic variants in 7 FSHD patients carrying a borderline or normal sized *D4Z4* fragment, namely  
74 c.182\_183dupGT, c.2129dupC, c.3469 G>T, c.5150\_5151delAA and c.1131+2\_1131+5delTAAG,  
75 c.3010A>T, c.853G>C (Table 1).

#### 76 ***SMCHD1*:c.182\_183dupGT**

77 The insertion variant c.182\_183dupGT (p.Q62Vfs\*48) is localized in the exon 1 of *SMCHD1* and  
78 has been found in one individual at the heterozygous state. This variant was not present in none of  
79 the annotation databases and has been predicted as disease-causing by Mutation Taster. In fact, the  
80 c.182\_183dupGT may create a Premature Termination Codon (PTC), causing the termination of the  
81 amino acid sequence at the 109<sup>th</sup> amino acid (instead of the canonical 2006<sup>th</sup> codon), leading thereby  
82 to the activation of Nonsense-mediated mRNA Decay (NMD). The analysis of this variant by  
83 SMART prediction tool revealed that the truncated protein may result in the loss of its essential  
84 functional domains, namely the *GHKL-ATPase* and the *SMC hinge* domains. This alteration was also  
85 visible by the comparison of the wild-type (Fig. 2A) and variant 3D model simulated by Phyre2.  
86 Figure 2B illustrates the strong alteration of the protein secondary structures and of the subsequent  
87 conformation of the variant protein compared to the wild-type structure. The analysis on HSF  
88 indicated that the variant may impact the splicing as well, cause the disruption of a donor splice site,  
89 the creation of an Exonic Silencer Site (ESS) or the activation of a cryptic exonic donor site.  
90 According to ACMG, the c.182\_183dupGT has been classified as a likely-pathogenic variant  
91 (Table2). As a matter of fact, the variant is a null variant potentially causing Loss Of Function (LOF)  
92 of *SMCHD1* (PVS1) and it is absent in ExAc, GnomAD and 1000Genome Browser (PM2).  
93 Concerning *D4Z4* region, the patient showed a 10RU contracted fragment. From a clinical point of  
94 view, the patient reported weakness of the scapular girdle muscles with difficulties to rise both the  
95 arms, in combination with an increased level of CPK enzyme (3 times higher than expected).  
96 Therefore, the *D4Z4* and *SMCHD1* findings (borderline fragment + LOF variant in *SMCHD1*)  
97 together with the observed clinical features may confirm the clinical suspect of FSHD.

#### 98 ***SMCHD1*:c.2129dupC**

99 The insertion variant c.2129dupC (p.A711Cfs\*11) has been found in the exon 16 of *SMCHD1* in a  
100 single individual at the heterozygous state. This variant has not been annotated in any of the online  
101 databases. MutationTaster prediction described the c.2129dupC as a disease-causing variant, since it  
102 may create a frameshift and, consequently, a PTC at the 721<sup>th</sup> aminoacid and NMD. The analysis of

103 the variant effect by SMART tool suggested that the truncated protein may lose the C-terminal *SMC*  
104 *hinge* domain. Consistently with this data, Phyre2 showed that the 3D model predicted for the variant  
105 protein appeared to have a more compressed conformation (Fig. 2C) with respect to the wild-type  
106 structure (Fig. 2A). The HSF analysis did not reveal a potential alteration of splicing. According to  
107 ACMG, the c.2129dupC can be classified as a pathogenic variant (Table2), since it is a null variant  
108 leading to a LOF of *SMCHD1* (PVS1); it is absent in ExAc, GnomAD and 1000Genome Browser  
109 (PM2) and there is computational evidence supporting a deleterious effect on the gene product  
110 without benign-supporting predictions (PP3). *D4Z4* sizing revealed a borderline fragment (9RU) in  
111 this patient, while the clinical assessment reported a mild weakness of pelvic and proximal leg  
112 muscles, although the patient was still able to stand up from a chair without support. These data and  
113 the presence of a LOF variant in *SMCHD1* support therefore the FSHD-associated clinical  
114 symptomatology.

#### 115 ***SMCHD1*:c.3469 G>T**

116 The variant c.3469 G>T (p.G1157\*) is situated within the exon 27 of *SMCHD1* and has been  
117 identified in a single patient at the heterozygous state. This variant has not been reported on the online  
118 annotation databases and has been predicted to have a damaging effect by Mutation Taster. In fact,  
119 the c.3469 G>T creates a frameshift, generating a PTC at the 1157<sup>th</sup> aminoacid and probably  
120 triggering the NMD process. In addition, the analysis performed by SMART and Phyre2 reported  
121 that the truncated protein may result in the loss of the *SMC hinge* domain and, consequently, in the  
122 disruption of secondary structure and a partial relaxation of the tridimensional conformation of  
123 *SMCHD1* (Fig. 2D). This variant has also been investigated by HSF, showing that it can affect  
124 splicing through the alteration of an Exonic Splicing Enhancer (ESE) site. Following ACMG criteria,  
125 the c.3469 G>T can be described as a pathogenic variant (Table2), since it is a null variant (PVS1),  
126 it is absent on ExAc, GnomAD and 1000Genome Browser (PM2) and has been predicted to be  
127 damaging for the gene or the gene product (PP3). The analysis of *D4Z4* reported a borderline fragment  
128 (8RU) in this patient, who experienced a weakness of axial, facial, scapular, lower limbs muscles,  
129 although he maintained the ability to walk on tips. These data and the detection a truncating variant  
130 in *SMCHD1* may confirm the supposed FSHD pathology.

#### 131 ***SMCHD1*:c.5150\_5151delAA**

132 The c.5150\_5151delAA (p.K1717Rfs\*16) has been detected in the exon 41, in a single case at the  
133 heterozygous state. This variant was predicted to have a pathogenic effect, leading to NMD and  
134 causing loss of the C-terminal *SMC hinge* domain. Moreover, the 3D model obtained by Phyre2  
135 highlighted a maintenance of the central coiled-coil domain conformation in the truncated protein  
136 (Fig. 2E). This variant has been described as a pathogenic variant (Table2) in our previous work, in

137 which we described an accurate genotype-phenotype correlation within the proband and his family  
138 [19]. However, we decided to include the sample even in the present study because we performed the  
139 3D simulation of the variant protein and we evaluated the 3'UTR region of *SMCHD1*.

#### 140 ***SMCHD1:c.1131+2\_1131+5delTAAG***

141 The intronic c.1131+2\_1131+5delTAAG variant has been found downstream the exon 9 in one  
142 patient at the heterozygous state. This variant is novel and has been predicted to affect splicing and  
143 lead to NMD. In addition, the c.1131+2\_1131+5delTAAG was not found in the annotation databases  
144 and has been reported as a disease-causing variant on MutationTaster. However, the prediction of the  
145 effect on the protein domains could not be performed because it is not possible to predict how the  
146 sequence and the reading frame may be altered following this variant, although it is likely to affect  
147 splicing. According to ACMG guidelines, the variant has been classified as likely-pathogenic  
148 (Table2), considering that it is a null variant (PVS1) and it is not been reported in ExAc, GnomAD  
149 and 1000Genome Browser (PM2). Interestingly, *D4Z4* sizing in the patient revealed a fragment  
150 >11RU, which is normally considered as non-pathogenic for FSHD. However, the patient  
151 experienced a severe weakness of pelvic muscles, needing thereby a double support to stand up from  
152 a chair but retaining the ability to walk independently. In this case, the FSHD clinical phenotype may  
153 be explained by the presence of a likely pathogenic variant in *SMCHD1*.

#### 154 ***SMCHD1:c.3010A>T***

155 The c.3010A>T (p.K1004\*) has been localized in the exon 24 of *SMCHD1* in a single patient at the  
156 heterozygous state. This variant has not been reported on the online annotation databases and has  
157 been predicted to have a damaging effect by Mutation Taster. In fact, the variant has been predicted  
158 to generate a PTC at the 1004<sup>th</sup> aminoacid, probably triggering the NMD process. The interrogation  
159 of HSF indicated that the variant may affect the splicing as well, causing the creation of an ESS or  
160 the alteration of ESE site. In addition, the analysis performed by SMART and Phyre2 reported that  
161 the truncated protein may result in the loss of the *SMC hinge* domain and, consequently, in the  
162 disruption of the secondary structure and the tridimensional conformation of SMCHD1 (Fig.2F). The  
163 ACMG classification of c.3010A>T described it as a pathogenic variant (Table2), since it is a null  
164 variant (PVS1), it is absent on ExAc, GnomAD and 1000Genome Browser (PM2) and has been  
165 predicted to be damaging for the gene or the gene product (PP3). The patients carrying this variant  
166 reported a fragment >11RU and experienced a mild weakness of pelvic and proximal leg muscles,  
167 although he was still able to stand up from a chair without support. Considering the genotype and  
168 clinical picture of the patient, the FSHD symptomology could be explained by the presence of a LOF  
169 variant in *SMCHD1*, although he reported a normal size fragment.

#### 170 ***SMCHD1:c.853G>C***

171 The variant c.853G>C (p.G285R) has been detected in the exon 7, in a single patient at the  
172 heterozygous state. It is a missense variant, which has been described as disease-causing by Mutation  
173 Taster. In fact, the variant produces an aminoacid change in the *GHKL-ATPase* protein domain of  
174 SMCHD1. The HSF did not reveal a potential alteration of splicing. However, the predictive analysis  
175 performed on VarSite reported that the aminoacid substitution may be highly negative in terms of  
176 conserved aminoacid properties because of the change from a neutral (G) to a charged residue (R).  
177 Supporting this finding, interrogation of Missense3D tool revealed a damaging effect on the protein  
178 structure resulting from the steric hindrance, the introduction of a buried charge and the substitution  
179 of a buried Glycine residue, which, in turn, impair the bending of the polypeptide chain (Fig.3A-B).  
180 According to ACMG guidelines, the c.853G>C could be likely-pathogenic (Table2), considering that  
181 it is located in a mutational hotspot within a functional domain of the protein (PM1); it is absent on  
182 ExAc, GnomAD and 1000Genome Browser (PM2); it has been found in other affected family  
183 members (PP1) and has been predicted to be damaging for the gene or the gene product (PP3). The  
184 analysis of *D4Z4* sizing in the patient revealed a borderline fragment (9RU). Moreover, the patient  
185 had difficulties in the shoulders abduction and reported muscular hypotrophy proximal to legs. Given  
186 these features, the genetic profile of the patient is consistent with FSHD clinical phenotype.

### 187 **Analysis of the 3'UTR region**

188 The analysis of the 3' UTR region of *SMCHD1* revealed different variants in our patient's cohort.  
189 However, our attention was focused on c.\*1376A>C (rs7238459); c.\*1579G>A (rs559994);  
190 c.\*1397A>G (rs150573037); c.\*1631C>T (rs193227855); c.\*1889G>C (rs149259359), considering  
191 that the variant alleles may disrupt an existing binding site or create a novel binding site for different  
192 miRNAs (Table 3). The rs7238459 reported a MAF= 0.263 in our patient's cohort; which overlaps  
193 the frequency observed in the general population (MAF= 0.257). According to PolymiRTS, the  
194 variant allele (C) of rs7238459 is able to disrupt a binding site for MIR7850 as well as to create a site  
195 for MIR6740.

196 The rs559994 had a MAF= 0.263 in our cases, resulting to be lower compared to the general  
197 population (MAF= 0.438). PolymiRTS interrogation revealed that the variant allele (A) may create a  
198 new binding site for MIR548AT.

199 The rs150573037 has only been detected in two patients (MAF= 0.013). Interestingly, frequency data  
200 for this Single Nucleotide Variation (SNV) are only available for the African population (MAF=  
201 0.008) whereas it has not been observed in the European population up to date. Prediction analysis  
202 indicated that the variant allele (G) of rs150573037 may generate new binding sites for MIR515,  
203 MIR519, MIR519E and MIR5695.

204 The rs193227855 has been found in two patients (MAF= 0.013) in contrast to the lower frequency  
205 (MAF= 0.006) observed only in the control population of American Ancestry. Based on the  
206 PolymiRTS prediction analysis, this variant may disrupt the binding site for MIR548E and create new  
207 binding sites for MIR495 and MIR548-family members.

208 Finally, the rs149259359 has been reported in a single patient of the cohort and has been observed  
209 with a low frequency in the general population (MAF= 0.014). Interestingly, this is the patient  
210 carrying the *SMCHDI*\_c.5150\_5151delAA and already reported in our previous work [19]. The  
211 segregation analysis on his family members reported the heterozygous presence of the rs149259359  
212 in both the affected mother and maternal uncle. The analysis performed by PolymiRTS revealed that  
213 the variant allele (C) of rs149259359 may disrupt binding sites for MIR3942, MIR4503, MIR4703,  
214 MIR6792 and MIR95, whereas it may create novel binding sites for MIR4477B, MIR651 and  
215 MIR7856.

216

## 217 **Discussion**

218 FSHD is one of the most difficult disease to deal with, because of the complex genetic and epigenetic  
219 background underlying its etiopathogenesis. In fact, the variable penetrance and expressivity  
220 (observed either in related or unrelated patients) does not allow an accurate diagnosis, which is further  
221 complicated by the lack of a precise genotype-phenotype correlation. Although the shortest fragments  
222 have been found in severe patients, most of the mild and moderate cases showed borderline (8-10RU)  
223 or normal-sized (>11RU) fragments (Fig.4). In these cases, the *D4Z4* analysis was not enough to  
224 explain the clinical symptomatology. We therefore decided to extend our study to the analysis of  
225 *SMCHDI* sequence, which can be helpful for genotype-phenotype correlation in FSHD patients. On  
226 this subject, our previous work described the case of a patient presenting severe FSHD symptoms, in  
227 which preliminary genetic analysis did not clarify the phenotype [19]. In fact, a contracted *D4Z4*  
228 fragment was detected both in the affected proband and the healthy father, without explaining thereby  
229 the severe symptomatology of the proband and highlighting a reduced penetrance of disease within  
230 the family. The subsequent analysis of *SMCHDI* revealed the presence of a novel pathogenic variant  
231 in the proband, which was also detected in the mother and the maternal uncle who were both affected  
232 by mild FSHD symptoms without carrying a short *D4Z4* fragment. The severe phenotype of the  
233 proband may therefore be explained by the digenic inheritance of a contracted fragment and a  
234 *SMCHDI* variant [19]. In the present study, the analysis of *SMCHDI* sequence reported 88 variants  
235 which were localized throughout the introns, exons and 3'UTR regions of the genes. Of them, 69  
236 were classified as polymorphisms with a frequency distribution overlapping those observed in the  
237 general population. These variants are probably not related with FSHD neither with the *D4Z4*

238 fragment size, suggesting that they are not involved in disease etiopathogenesis. Moreover, 5 non-  
239 described VUS were also detected, but they need to be re-evaluated as more information and/or  
240 literature data will be collected concerning their potential clinical relevance in FSHD. In addition,  
241 none of them are correlated with a specific class of *D4Z4* fragment size.

242 Interestingly, 7 pathogenic and likely pathogenic variants were identified by *SMCHD1* sequencing,  
243 namely c.182\_183dupGT, c.2129dupC, c.3469 G>T, c.1131+2\_1131+5delTAAG,  
244 c.5150\_5151delAA, c.3010A>T and c.853G>C. All of them were found to strongly impact the  
245 protein structure. In fact, these variants were predicted to disrupt the structure and conformation of  
246 *SMCHD1* and, in most cases, alter splicing or create PTC and truncated protein products. The  
247 resulting protein have been predicted to cause the loss of *GHKL-ATPase* and *SMC hinge* domains,  
248 which are essential for *SMCHD1* to maintain a repressive chromatin structure in muscle cells. These  
249 results are in line with the FSHD etiopathogenetic mechanism, which supports a toxic expression of  
250 *DUX4* as a consequence of LOF mutations in *SMCHD1*. However, functional assays are necessary to  
251 validate the real effect of the identified variants on the protein structure and function. Interestingly,  
252 gain-of function mutations localized in *GHKL-ATPase* domain of *SMCHD1* have been shown to  
253 cause severe malformations of the human nose, olfactory tract and eyes (namely, Bosma arhinia  
254 microphthalmia syndrome; BAMS), whereas LOF or dominant-negative pathogenic *SMCHD1*  
255 mutations have been found throughout the sequence of the gene [20]. Why mutations of *SMCHD1*  
256 lead to the development of FSHD rather than BAMS is still a matter of investigation. However, these  
257 data emphasize the importance of considering the genetic background of patients to clarify the clinical  
258 variability of such disorders. The present study showed that the analysis of *D4Z4* fragment and  
259 *SMCHD1* sequence were crucial to confirm the suspected clinical phenotype and accomplish a  
260 reliable genotype-phenotype correlation. Our data are consistent with Sacconi et al., 2019 who  
261 suggested that a borderline *D4Z4* fragment might be considered as a risk factor or a phenotype  
262 modifier of FSHD in patients carrying *SMCHD1* causative mutations [21]. On the other hand, patients  
263 with borderline *D4Z4* fragment who were negative to *SMCHD1* analysis, could not receive a clear  
264 molecular diagnosis, although they appeared phenotypically affected. This data highlights the fact  
265 that probably one or more unknown genes contribute to determine the permissive background for  
266 FSHD. On this subject, a recent study identified a potentially damaging mutation in the *DNA*  
267 *Methyltransferase 3 Beta* (*DNMT3B*, 20q11.21, #602900) gene, which is a *D4Z4*-chromatin modifier  
268 and, therefore, it represent a good candidate gene for FSHD [2,18,23]. In this context, we developed  
269 an NGS panel, including a set of candidate genes involved in the epigenetic regulation of the *D4Z4*  
270 region and genes targeted by *DUX4* (*data in progress*). Moreover, the analysis of *SMCHD1* sequence  
271 revealed the presence of variants in the 3' UTR region of the gene, which may affect the binding of

272 specific miRNAs or their interaction with target mRNAs. In this perspective, the rs149259359 (G/C)  
273 appeared to be the most interesting among the identified 3' UTR variants. In fact, the variant allele  
274 (C) of the SNP was predicted to disrupt the binding sites of different miRNAs, including MIR95,  
275 which is known to be overexpressed during myogenic differentiation [24]. A disruption of its binding  
276 site, may thereby affect the expression of MIR95 and its modulatory effect in myogenic cells,  
277 suggesting a potential role as a disease modifier in FSHD. Given the fact that the variant has been  
278 identified in the patient carrying the c.5150\_5151delAA, we performed segregation analysis on his  
279 family members. Interestingly, the rs149259359 was detected in both the affected mother and  
280 maternal-uncle, supporting its potential implication in FSHD etiopathogenesis or severity. These  
281 findings support the role of epigenetics as hallmark and/or phenotype modifier of disease [22].  
282 Altogether, the present study highlight how NGS platforms can be helpful to disclose *SMCHD1* as  
283 well as other candidate genes effect in FSHD pathogenesis. However, NGS still needs to be always  
284 combined with labor-intensive, outdated genetic methodologies (such as southern blotting) to better  
285 characterize the complex etiopathogenetic background of FSHD. On this subject, the recent  
286 development of alternative molecular approaches, including molecular combing and optical mapping  
287 platforms, proved to be the most feasible alternatives for FSHD molecular diagnosis and investigation  
288 [2]. Moreover, a deeper characterization of the leading mechanisms underlying the disease can be  
289 critical for undertaking the most suitable molecular assays and enable an accurate genotype-  
290 phenotype correlation [25-26]. In this perspective, integrating molecular findings and clinical data is  
291 essential to develop precision medicine protocols for FSHD patients.

292

## 293 **Methods**

### 294 **Description of patients' cohort**

295 The study involved 76 Italian individuals with a clinical suspect of FSHD enrolled at the Gemelli  
296 University Hospital Foundation of Rome and the University of Campania "Luigi Vanvitelli".  
297 Recruited patients had an average age of 50 years and a 47:53 male/female ratio. The clinical  
298 evaluation of patients was performed by specialized physicians following the dedicated guidelines  
299 [26-27]. All participants provided signed informed consent for research and publication at the time  
300 of recruitment. The study was approved by the ethics committee of Santa Lucia Foundation and  
301 complied with Declaration of Helsinki.

302

### 303 **DNA extraction and *D4Z4* analysis**

304 The DNA was initially extracted from lymphocytes according to standard procedures. Successively,  
305 the extracted DNA was digested on agarose plugs by EcoRI, EcoRI/BlnI and XapI restriction

306 enzymes and subsequently separated by Pulsed-Field Gel Electrophoresis (PFGE) as previously  
307 described [19]. The *D4Z4* RU were evaluated by southern blotting and hybridization with p13E-11  
308 probe according to standard procedures. Linear Gel Electrophoresis (LGE) was utilized to confirm  
309 the results. In addition, 4qA and 4qB alleles were subjected to digestion with HindIII and EcoRI,  
310 PFGE and southern blot hybridization with radio-labeled 4qB and 4qA probes, according to standard  
311 procedures [19].

### 312 ***SMCHD1* sequence analysis**

313 *SMCHD1* gene was extensively investigated by Next Generation Sequencing (NGS) and direct  
314 sequencing, searching for putative variants located within the intronic, exonic and 3'UTR regions.  
315 To this purpose, the DNA was re-extracted from 400 µl of peripheral blood using MagPurix Blood  
316 DNA Extraction Kit and MagPurix Automatic Extraction System (Resnova) according to the  
317 manufacturer's instructions. *SMCHD1* gene was sequenced using Ion Torrent S5 and Ion Ampliseq  
318 Customized Panel, designed by Ion Ampliseq Designer (Thermo Fisher Scientific). The panel is  
319 expected to screen approximately 99.72% of target sequences, considering a minimum coverage of  
320 20X. The construction of the library was performed by Ion AmpliSeq™ Library Kits Plus and  
321 utilizing approximately 10 ng/µl of starting DNA for multiplex PCR reactions. Two purification steps  
322 (using AMPure XP, Beckman Coulter) were performed to remove unwanted contaminants, followed  
323 by a final PCR according to manufacturer's instruction. The quality of library was evaluated by Qubit  
324 R 2.0 Fluorometer (Thermo Fisher Scientific). The enrichment procedures were performed by Ion  
325 Chef System (Thermo Fisher Scientific). Ion 510™ & Ion 520™ & Ion 530™ Kit-Chef (Thermo  
326 Fisher Scientific) were utilized for template amplification, enrichment and sequencing. Samples were  
327 run on Ion 520™ Chip (850 flows required) and Ion Torrent S5 (Thermo Fisher Scientific). The  
328 results were analyzed using Ion Reporter 5.6 (Thermo Fisher Scientific), Integrated Genome Viewer  
329 (IGV), taking the hg19 as reference genome building and NM\_015295.2 as reference sequence for  
330 *SMCHD1*. The putative variants and *SMCHD1* sequence regions uncovered by NGS were analyzed  
331 by direct sequencing. To this purpose, 100 ng/µl of genomic DNA was amplified using the AmpliTaq  
332 Gold DNA Polymerase (Applied Biosystems) and PCR reagents in a total volume of 25 µL, following  
333 manufacturer's instructions. The amplified samples were sequenced using Big Dye Terminator v3.1  
334 Cycle Sequencing Kit (ThermoFisher Scientific) and run on ABI3130xl (Applied Biosystems).  
335 Electropherograms were finally analyzed with Sequencing Analysis Software v.6 (Applied  
336 Biosystems).

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## 340 **Interpretation of variants**

341 The identified variants were firstly investigated by looking at frequencies and data reported on  
342 publicly available database (1000Genome browser, ExAC, Clinvar, HGMD, GnomAD). UniProt  
343 annotation database [29] was used to obtain the aminoacid sequence and the protein domains of wild-  
344 type SMCHD1. The functional effect of the detected variants was evaluated by bioinformatic  
345 predictive tools, including Mutation Taster, Varsome, SMART, Human Splicing Finder (HSF),  
346 Phyre2, VarSite, Missense3D. In particular, MutationTaster evaluates the potential pathogenic effect  
347 of DNA sequence alterations by predicting the functional consequences of amino acid substitutions,  
348 intronic and synonymous alterations, short insertions and/or deletions (indels) and variants spanning  
349 intron-exon borders affecting splicing activity [30]. Varsome is a powerful annotation tool and search  
350 engine for human genomic variants, allowing the classification of variants according to ACMG  
351 criteria [31]. SMART, VarSite, Missense3D and Phyre2 enable the prediction of the effect of the  
352 variants on the protein structure (Kelley et al., 2015; Letunic et al., 2015; Letunic et al., 2018) [32-  
353 36]. In particular, SMART performs the analysis of the architecture of protein domains whereas  
354 Phyre2, VarSite and Missense3D are able to analyze the effect of aminoacid changes on protein  
355 structure, providing a 3D model of the predicted results. HSF predicts the effects of variants on the  
356 splicing mechanisms [37]. PolymiRTs Database 3.0 was used to analyze the variants detected within  
357 the 3' untranslated region (3'UTR) of *SMCHD1*. It allows the evaluation of the functional impact of  
358 genetic variants located in microRNA (miRNAs) seed regions and miRNAs target sites, predicting  
359 the effect on the miRNA-mRNA binding (Bhattacharya et al., 2014) [38].

360 Taking into account frequency and predictive results, the variants of *SMCHD1* have been classified  
361 according to the American College of Medical Genetics (ACMG) Standards and Guidelines, which  
362 help providing clinical interpretation of variants, by discriminating among benign, likely benign,  
363 uncertain significance, likely pathogenic and pathogenic variants (Richards 2015) [39].

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470 [bin/DisaStr/GetPage.pl?uniprot\\_acc=n/a&template=home.html](https://www.ebi.ac.uk/thornton-srv/databases/cgi-bin/DisaStr/GetPage.pl?uniprot_acc=n/a&template=home.html).
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486 **Tables**

487 **Table 1:** Genetic characterization of the *SMCHD1* mutations identified in 7 FSHD patients.

Patient ID	<i>D4Z4</i> size	4qA	<i>SMCHD1</i> _variant position	<i>SMCHD1</i> _HGVS nomenclature
I	10RU	+	18:2656257_2656258	c.182_183dupGT
II	9RU	+	18:2707627_2707628	c.2129dupC
III	8RU	+	18:2739473	c.3469 G>T
IV	>11RU	+	18:2697122_2697125	c.1131+2_1131+5delTAAG
V	8RU	+	18:2772345_2772346	c.5150_5151delAA
VI	>11RU	+	18:2729369	c.3010A>T
VII	9RU	+	18:2688725	c.853G>C

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506 **Table 2:** Prediction analysis and ACMG classification of the 7 *SMCHD1* mutations.  
 507 ESS: Exonic Silencer Site; ESE: Exonic Splicing Enhancer; WT: wild-type.

<i>SMCHD1</i> mutations	MutationTaster	SMART	Human Splicing Finder	ACMG
c.182_183dupGT	Disease causing	Loss of <i>GHL-ATPase</i> domain and <i>SMC hinge</i> domain	Disruption of a donor splice site; activation of an exonic cryptic donor site or creation of an ESS	Likely pathogenic
c.2129dupC	Disease causing	Loss of <i>SMC hinge</i> domain	No significant splicing motif alteration detected	Pathogenic
c.3469 G>T	Disease causing	Loss of <i>SMC hinge</i> domain	Alteration of an ESE	Pathogenic
c.5150_5151delAA	Disease causing	Loss of <i>SMC hinge</i> domain	Creation of an ESS or alteration of an ESE	Pathogenic
c.1131+2_1131+5delTAAG	Disease causing	NA	Alteration of the WT donor site	Likely Pathogenic
c.853G>C	Disease causing	No significant alteration of domain organization	No significant splicing motif alteration detected	Likely Pathogenic
c.3010A>T	Disease causing	Loss of <i>SMC hinge</i> domain	Creation of an ESS or alteration of an ESE	Pathogenic

508 **Table 3:** Bioinformatic prediction of 3'UTR variants altering the match to the miRNA seed region.  
 509 MAF: Minor Allele Frequency. \*Calculated on 76 patients, # Referred to 1000Genomes allele frequencies  
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Genomic position	SNP	MAF FSHD*	MAF EUR#	Effect of the variant allele	Targeted miRNA
18:2803926	rs7238459 (A/C)	C: 0.263	C: 0.257	Disruption of a conserved miRNA site	MIR-7850
				Creation of a new miRNA site	MIR-6740
18:2804129	rs559994 (G/A)	A: 0.263	A: 0.438	Creation of a new miRNA site	MIR-548AT
18:2804439	rs149259359 (G/C)	C: 0.006	C: 0.014	Disruption of a conserved miRNA site	MIR-3942
					MIR-4503
					MIR-4703
					MIR-6792
				Creation of a new miRNA site	MIR-95
					MIR-4477B
					MIR-651
18:2803947	rs150573037 (A/G)	G: 0.013	G: 0.000	Creation of a new miRNA site	MIR-7856
					MIR-515
					MIR-519D
					MIR-519E
18:2804181	rs193227855 (C/T)	T: 0.013	T:0.000	Disruption of a conserved miRNA site	MIR-5695
					MIR-548E
				Creation of a new miRNA site	MIR-495
					MIR-548AC
					MIR-548AE
					MIR-548AH

					MIR-548AJ
					MIR-548AM
					MIR-548AQ
					MIR-548D
					MIR-548H
					MIR-548J
					MIR-548X
					MIR-548Z
					MIR-5688

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519 **Figure Legends**

520 **Fig. 1:** distribution of the *D4Z4* fragment size which have been subdivided in order to distinguish  
521 short (1-7RU), borderline (8-10RU) and normal sized (>11RU) fragments. RU: Repeated Units.

522 **Fig. 2: A.** Predicted conformation of the three wild-type domains of SMCHD1, based on the domain  
523 organization released by Uniprot (Entry: A6NHR9). In particular, the N-terminal region (1-702 AA)  
524 harboring the *GHKL-ATPase* domain (111-702 AA) is based on the template c5ix1A (PDB header:  
525 transcription; PDB Molecule: morc family cw-type zinc finger protein 3; PDBTitle: crystal structure  
526 of mouse morc3 atpase-cw cassette in complex with2 amppnp and h3k4me3 peptide). The central  
527 coiled-coil domain (703-1719 AA) is based on the template c4e9IA (PDB header: cell adhesion; PDB  
528 Molecule: attaching and effacing protein, pathogenesis factor; PDBTitle: fdec, a novel broadly  
529 conserved escherichia coli adhesin eliciting 2 protection against urinary tract infections). The C-  
530 terminal region (1720-2005 AA) harboring the *SMC hinge* domain (1720-1847AA) is based on  
531 c2wd5A (PDB header: cell cycle Chain: A: PDB Molecule: structural maintenance of chromosomes  
532 protein 1a; PDBTitle: smc hinge heterodimer (mouse). **B-F:** 3D model predicted by Phyre 2 tool. The  
533 structure resulting from the presence of the c.182\_183dupGT (**B**) is based on the template d1e9ya1  
534 (Fold: beta-clip Superfamily: Urease, beta-subunit). The structures resulting from the presence of the  
535 c.2129dupC and c.3469G>T (**C** and **D**, respectively) are based on the template c5ix1A (PDB header:  
536 transcription. PDB Molecule: morc family cw-type zinc finger protein 3). The structure resulting  
537 from the c.5150\_5151delAA (**E**) is based on the template c4e9IA (PDB header: cell adhesion; PDB  
538 Molecule: attaching and effacing protein, pathogenesis factor). The structure referred to the

539 c.3010A>T (**F**) is based on the template c5ix1A (PDB header: transcription. PDB Molecule: more  
540 family cw-type zinc finger protein). The 3D model simulation of the  
541 *SMCHD1*\_c.1131+2\_1131+5delTAAG is not available because the aminoacid sequence alteration  
542 following this variant cannot be predicted.

543 **Fig. 3:** predicted structure of the N-terminal region structure of SMCHD1 showing the aminoacid  
544 change resulting from the c.853G>C. The predicted models are based on the on the template c5ix1A  
545 (PDB header: transcription; PDB Molecule: more family cw-type zinc finger protein 3; PDBTitle:  
546 crystal structure of mouse more3 atpase-cw cassette in complex with2 amppnp and h3k4me3 peptide).  
547 **A.** SMCHD1 structure showing the wild-type residue (G). **B.** SMCHD1 structure showing the variant  
548 residue (R).

549 **Fig. 4:** histogram reporting the non-linear correlation between the *D4Z4* fragment size and the  
550 severity of disease in the patient's cohort analyzed in the present study. RU: Repeated Units.