

1 **Variable fault tip propagation rates affected by near-surface lithology and implications**  
2 **for fault displacement hazard assessment.**

3  
4 **F.A. Livio<sup>1</sup>, M.F. Ferrario<sup>1</sup>, C. Frigerio<sup>1</sup>, A. Zerboni<sup>2</sup>, A.M. Michetti<sup>1</sup>.**

5 <sup>1</sup> Università degli Studi dell'Insubria, Dipartimento di Scienza ed Alta Tecnologia, Via Valleggio, 11 – 22100  
6 Como (Italy).

7 <sup>2</sup> Università degli Studi di Milano, Dipartimento di Scienze della Terra "A. Desio", Via Mangiagalli 34 –  
8 Milano (Italy).

9 Corresponding Author: Franz Livio ([franz.livio@uninsubria.it](mailto:franz.livio@uninsubria.it))

## 10 **Abstract**

11 The fabric of reverse fault zones at surface is usually partitioned in between a narrow discrete  
12 rupture zone and a more distributed one, where folding is predominant. This makes quite  
13 challenging the adoption of proper setbacks in surface rupture hazard studies for critical facilities or  
14 microzoning. Some of the parameters controlling fault zone fabric are related to mechanics of near-  
15 surface geology, (lithology, overburden thickness, cohesion and water content) whose interaction is  
16 complex and only partially understood. Nevertheless, these can be hardly measured or derived.  
17 Kinematic models, conversely, express such an interaction of complex variables as simple synthetic  
18 parameters, such as the amount of upward propagation of the fault tip for unit of slip, usually  
19 referred to as the P/S ratio (Propagation on Slip). Here, we discuss results on the trishear kinematic  
20 inverse modelling of a decametric – scale, contractional fault propagation fold at Monte Netto Hill  
21 (Capriano del Colle, N. Italy), observing a two-stage fault and fold growth evolution, marked by a  
22 significant shift in the P/S parameter. At this site, exceptional sequence of exposures due to ca. 10  
23 years of quarry excavations allowed to obtain a series of cross-sections across the fault zone. We  
24 use this detailed, high-resolution, example as a natural “analog” for more general, large-scale  
25 surface ruptures involving a thick alluvial cover, a very common setting for siting of critical  
26 facilities.

27 During the early stage of displacement, the fault cut through clast-supported fluvial gravels with a  
28 high propagation rate ( $P/S = 7$ ) and a discrete rupture width. Then, during the latest movements of  
29 the thrust, fault tip propagation slowed down to  $P/S \approx 2.9$ , as the fault started cutting through  
30 several stacked bodies of pedogenized aeolian silts and overbank deposits causing a pronounced  
31 folding of the layers over a wider deformation zone. These results strongly suggest that lithological  
32 changes in the underlying stratigraphy, common in an alluvial plain depositional setting, would  
33 significantly affect the potential for surface faulting across the same tectonic structure, with relevant  
34 implications in the fault displacement hazard assessment.

## 35 1. Introduction

36

37 Current models of Fault Displacement Hazard Assessment (FDHA; e.g., ANSI/ANS-2.30, 2015)  
38 consider the probability of ground rupture and the amount of expected average slip at surface as  
39 primarily controlled by the magnitude of the maximum expected earthquake. Nevertheless, surface  
40 faulting can result in a narrow and discrete rupture zone, rather than in a broader and more  
41 distributed one, where folding is predominant: the so-called fault-zone fabric (e.g., Teran et al.,  
42 2015). The mode of fault propagation toward the surface has been recently investigated through  
43 numerical and analogue approaches (Moss et al., 2013, 2018; Thebian et al., 2018) providing  
44 insights on some of the most important parameters affecting surface faulting. Some of these  
45 parameters that control fault zone fabric are related to the characteristics of near-surface geology  
46 (i.e., lithology, overburden thickness, and water content; see Teran et al., 2015 for a review). An  
47 appropriate weighting of these variables could significantly enhance the prediction power of the  
48 extant FDHA methods. Unfortunately, these values are rarely directly measured, especially in the  
49 subsurface, and are usually assumed or derived in modeling.

50 Kinematic models, conversely, express the complex interaction of so many variables as simple  
51 synthetic parameters, solely relying on geometric assumptions with the main advantage of not  
52 implying the characteristics of the faulted rocks/sediments as, conversely, continuum mechanics or  
53 numerical analysis do. In trishear modeling, for example, such a key parameter is the amount of  
54 upward propagation of the fault tip for unit of slip, usually referred to as the P/S ratio (Williams and  
55 Chapman, 1983). This is the most effective parameter in controlling the final geometry predicted by  
56 trishear modeling (Allmendinger and Shaw, 2000). A progressive section restoration, using trishear  
57 modeling, allows to inspect variations of P/S through time and, if applied to large scale and near-  
58 surface sectors, can potentially investigate the kinematics of propagating faults at shallow depths,  
59 an issue of primary interest for FDHA. Trishear modeling has been demonstrated to be scale-

60 invariant, being applied for diverse issues including large scale case studies: the analysis of shallow  
61 portions of crustal structures (Champion et al., 2001; Gold et al., 2006, Leon et al., 2007) and the  
62 restoration of paleoseismological trenches across emerging faults (Chen et al., 2007; Lin et al.,  
63 2007).

64 Here, we show results on the trishear kinematic inverse modeling of a contractional fault-  
65 propagation fold in the Italian Southern Alps (Monte Netto, Capriano del Colle, Northern Italy). At  
66 this site ca. 10 years of quarry excavations, exposed a series of cross-sections across the fault zone,  
67 that was finally recently exposed in its core sector whilst, previously, only fault-related folding was  
68 documented and dated in outcrop (Livio et al., 2014, Zerboni et al., 2014). This structure represents  
69 a well-documented case study of a break-through fault propagation fold, cutting through a thick  
70 Neogene sedimentary cover. Thanks to kinematic modeling we are able to derive the propagation  
71 history of this thrust at depth from the near surface deformation. We obtained a consistent  
72 restoration of the structure only supposing a two-step deformation process, marked by a  
73 considerable lowering of P/S values as the fault, propagating to the surface, cut through different  
74 lithologies. Our case study is focused on the analysis of a break-through fault propagation fold, over  
75 a time-window of ca.  $10^5$  years.

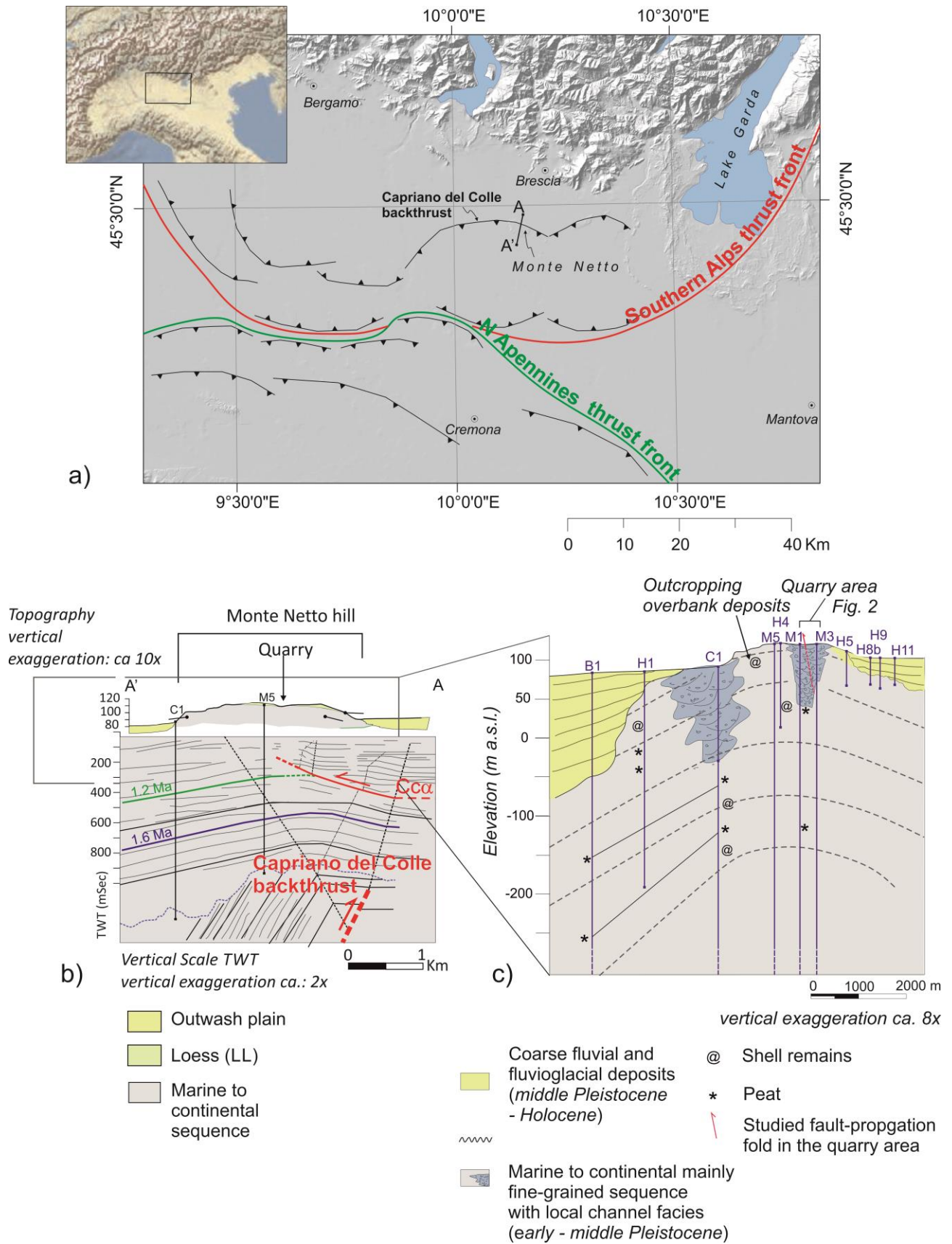
## 76 2. Geological framework

77

78 The study area is located at the northern margin of the Po Plain foredeep, along an array of E-W  
79 trending thin-skinned blind thrusts belonging to the western sector of Southern Alps (Castellarin et  
80 al., 2006; Castellarin & Cantelli, 2000). Deformation mainly involves, at depth, a syntectonic  
81 sequence of terrigenous units (Gonfolite Lombarda Gr. – Oligo-Miocene). Thrusts ramp up from a  
82 decollement located at the top of the underlying carbonates (Fantoni et al., 2004), deforming the  
83 overlying bedrock and the younger foredeep infilling of the Po Plain basin into fault-related folds.

84 The analyzed section exposes a decametric – scale secondary S-verging thrust and related fold,  
85 structurally linked at depth to a N-verging backthrust (i.e., the Capriano del Colle Backthrust; after  
86 Livio et al. 2009; 2014; Figure 1). The analysis of industrial seismic reflection data on highlighted  
87 the presence of growth strata dated from the Pliocene to Middle Pleistocene (Carcano & Piccin,  
88 2002) and allowed to constrain slip rates of the backthrust as slowing down from 2.5 mm/yr to 0.43  
89 mm/yr since the Pliocene (Livio et al., 2009). Capriano del Colle backthrust is associated to an  
90 overlying fault-related anticline, ca. N110 striking, eroded at both sides and folding early  
91 Pleistocene floodplain deposits (dated by biostratigraphy to 0.89 Ma (Carcano & Piccin, 2002;  
92 Scardia, 2006). Younger channel-belt and overbank deposits onlap the fold at both sides with a  
93 growth-strata architecture; older channels facies are occasionally present at the core of the hill  
94 (Figure 1). The structural culmination of this anticline is marked by the presence of a small isolated  
95 hill (i.e., the Monte Netto Hill) standing ca. 30 m above the surrounding alluvial plain. The plain  
96 was mainly built by fluvio-glacial meltwater channels during the Last Glacial Maximum (Marchetti,  
97 1996) and is presently incised by local drainage systems. Loess strata, interlayered by paleosols,  
98 cover the units at the top of the hill (Zerboni et al., 2014), progressively leveling subtle topographic  
99 depressions, including those due to coseismic tectonic deformation. The Monte Netto hill lies in the  
100 epicentral area of the Christmas 1222, Brescia earthquake ( $I_0 = IX-X$  MCS), one of the largest

101 seismic events in Northern Italy and earthquake-triggered soft-sediment deformations affecting  
102 fluvial units were observed and described at this site (Livio et al., 2009).



103

104 **Figure 1.** Geologic sections: a) structural setting; the study site is located on top of the Capriano del  
 105 Colle backthrust, belonging to the Southern Alps buried thrusts belt; b) interpreted cross-section

106 across the Monte Netto Hill (modified after Livio et al., 2014); c) detailed geological cross-section  
107 across the Monte Netto Hill highlighting lithological changes according to deep and shallow  
108 borehole logs (see Figure S1 for borehole location and Logs).

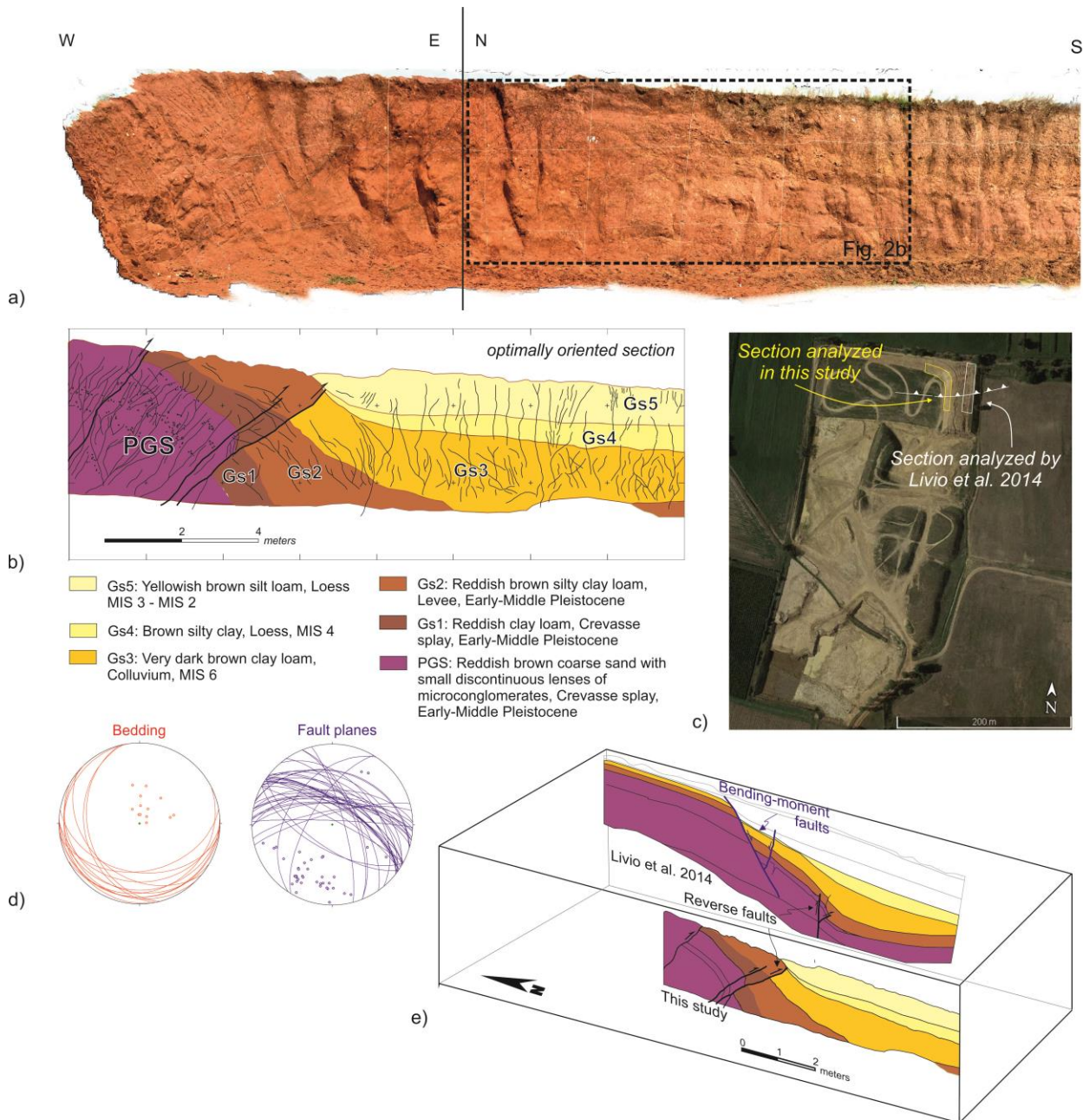
109 A folded sequence of fluvial units and an onlapping loess-paleosols sequence is exposed in a quarry  
110 area, located at the hilltop. The kinematic restoration of the outcropping anticline (Livio et al.,  
111 2014), interpreted as a fault-propagation fold, indicated the presence of a ca. 24° dipping thrust  
112 fault, with a tip line close to the surface (i.e., 10 m below the quarry floor) and a P/S value close to  
113 3. It is noteworthy that these values resulted from the kinematic inverse modeling of the folded  
114 sequence, but location of the fault tip was never observed in outcrop.

115 New excavations, performed in 2016, finally exposed the fault zone and offered the opportunity to  
116 constrain at shallow levels the kinematic relationship between faulting and related folding.

117 The deformed sequence, as it outcrops in the new section, is composed by fluvial pre-growth strata  
118 (PGS in Figure 2) and 5 stacked layers of syn-growth overbank and aeolian weathered deposits  
119 (GS1 to 5; Figure 2). Correlations with dated units exposed in previously analyzed sections (Livio  
120 et al., 2014) allowed to constraints the age of the deformed sequence to Early–Middle Pleistocene to  
121 present (see Figure S2)

122 Stratigraphic logs of water wells and deep boreholes, together with previously exposed outcrops,  
123 indicate that a ca. 50 m thick sequence of clast-supported channel-facies gravels (the lowermost unit  
124 described by Livio et al., 2014; i.e., their FG08 unit) are lying ca. 5 m below the quarry floor. The  
125 borehole locations and logs can be downloaded as Figure S1.





126

127 **Figure 2.** Field data from the outcropping sequence: a) ortho-photomosaic quarry wall exposed  
 128 during the 2016 excavations (see Figure S3 for a full resolution version of the image), the outline of  
 129 the logged section is indicated; b) section log: age constraints are derived from correlations with the  
 130 units described by Zerboni et al. (2014); c) location of this section and of that one previously  
 131 studied by Livio et al. (2014) (see Figure S2 for a comparison of the two logged sections); d)  
 132 structural data: bedding and fault planes and poles, measured on the exposed section; e) comparison  
 133 of the two sections in a 3D block-diagram.

### 134 3. Methods

135

136 We described the Monte Netto Hill section through a classical hand-drawing of a 1 m spaced grid  
137 logged at 1:10 scale and compared the geometries with a 3D model from a close-range  
138 photogrammetry (i.e., structure from motion) obtaining consistent results. For this purpose, we used  
139 a commercial version of the Agisoft Photoscan software. We then extracted an ortho-projection of  
140 the logged wall onto a plane trending normal to the fault strike. Final logging and restoration are  
141 based on this latter section. Structural data were acquired using the FieldMove Clino Android app,  
142 by Midland Valley, improving the productivity in field data acquisition; the same application allows  
143 collecting a great amount of statistically significant data.

144 We restored the section using a trishear numerical code (i.e., Fault Fold Code; Allmendinger, 1998;  
145 Zehnder & Allmendinger, 2000). This software performs a grid-search over the 6 parameters  
146 regulating trishear kinematic modeling (i.e., ramp angle, trishear angle, propagation on slip ratio,  
147 slip, X and Y of the fault tip position) attempting to restore to an original flat and horizontal  
148 geometry a reference horizon. The combination of best-fit parameters is that one minimizing the  
149 residual of the restored bed (i.e., chi-squared value). To minimize computing time, we performed  
150 our search in successive steps, firstly making evaluations over large regions of values and then  
151 focusing over smaller ranges, testing small increments in parameters value. Table 1 summarizes the  
152 parameters adopted during three successive steps of inverse grid search and best fit solutions, while  
153 statistics for all the tested models are reported in Dataset S3.

154 A detailed restoration of the section was then performed using the Move software by Midland  
155 Valley. We used a trishear 2D move-on-fault kinematic model to restore the section, assuming the  
156 best-fit parameters obtained by the grid-search analysis. Finally, we performed a step-by-step  
157 reconstruction of the fault and fold evolution with time, according to a fill-to-the-top architecture of  
158 syn-growth strata.

159 **4. Results**

160 Firstly, we performed a kinematic restoration of the top of PGS to a horizontal geometry. During  
 161 grid search 1 (see Table 1), we tested all the six parameters, considering a range close to the  
 162 observed one for ramp angle and final fault tip position. The best model correctly converges to the  
 163 observed values and indicates a moderately narrow trishear angle (20°) with a high P/S. Notably,  
 164 best fitting displacement is the upper bound of the considered range, thus opening the possibility  
 165 that more slip is needed to obtain best possible solutions.

166 Grid search 2 was then aimed at investigating higher displacements regions. Results indicate that a  
 167 stable value of P/S is obtained also for a wide range of possible maximum slip on fault. Residuals  
 168 are further decreasing as displacement increases, obtaining small increments in the model  
 169 performance up to the upper bound of the displacement search window. We explored additional  
 170 increments in slip on restoration, observing that further increments in slip resulted only in a slightly  
 171 better linear fitting. Nevertheless, a contemporary progressive increase in the dip of the restored  
 172 horizon appeared, leading to unlikely pre-growth geometries. We then constrained the most likely  
 173 restoration to a pre-growth nearly horizontal geometry of PGS, by setting cumulative displacement  
 174 to 13 m.

175 Table 1

176 *Grid searches with tested parameters (minimum, maximum, increment and the best fit value).*

Grid search	Tested models	Best fit chi square	Ramp angle (R) - angle		Trishear angle (T) - angle		P/S		Displacement (D) - cm		Fault tip (x) - cm		Fault tip (y) - cm	
			min-max (incr.)	Best fit	min-max (incr.)	Best fit	min-max (incr.)	Best fit	min-max (incr.)	Best fit	min-max (incr.)	Best fit	min-max (incr.)	Best fit
1	12375	29671	34 - 38 (1)	35	10 - 90 (10)	20	0 - 10 (1)	8	0-1000 (20)	1000	658- (5)	658	430- (5)	440
2	27225	23673	34 - 38	37	10 - 90	20	0 - 10	8	0-2000	2000	658-	658	430-	450

			(1)	(10)		(1)	(50)		708		450			
									(5)		(5)			
<b>3</b>	13650	7870	-	36	-	20	0-20	7	0-1300	1300	-	658	-	440
							(1)		(2)					

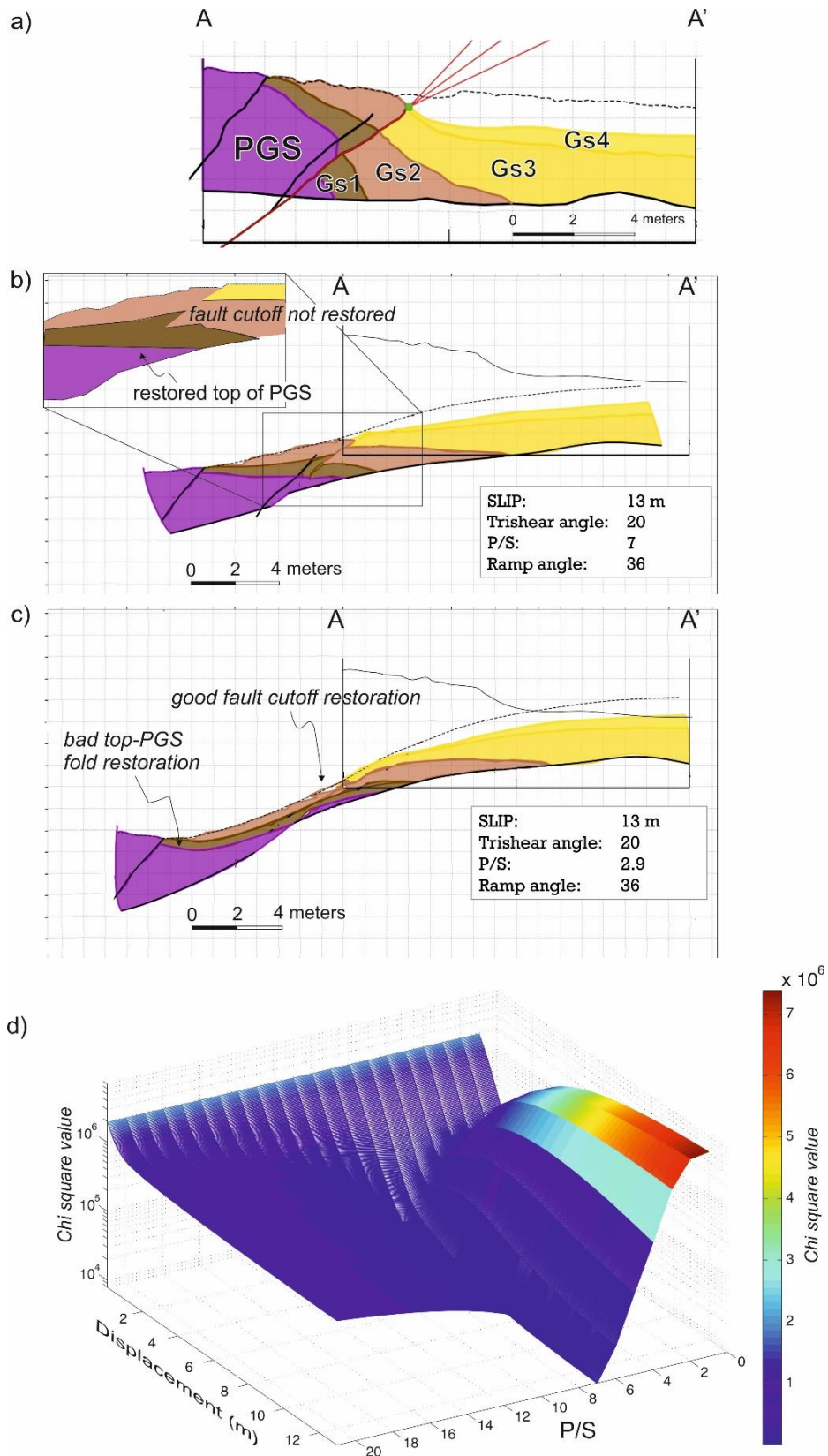
177

178 Finally, in grid search 3, we explored regions of higher P/S, since also values close to 10 (i.e., the  
179 upper bound range) were well performing in restoration during our first two attempts.  
180 Contemporary, we made the displacement to vary between 0 and 13 m. Figure 3b shows  
181 performance scores for the explored combinations of P/S and displacement in grid search 3. Our  
182 best-fit solution point to P/S = 7 with the smallest chi-squared for the maximum displacement  
183 allowed. Possible solutions with a lower slip (i.e., less than ca. 4 m) does not constraints P/S on a  
184 preferred value. Conversely, for larger displacement values, P/S close to 7 is the preferred solution,  
185 with smaller values unlikely.

186 Restoration of PGS to a sub-horizontal pre-growth geometry thus strongly suggests a relatively fast  
187 propagation of fault tip, in order to induce such a fault-propagation fold geometry. Nevertheless,  
188 such a solution does not appropriately restore the cutoffs of GS1 and GS2 layers since such a fast  
189 fault tip back-propagation with retrodeformation, still left some slip to be restored, as the fault tip  
190 has already migrated to deeper locations (Figure 3c). Preserved growth strata (i.e., GS1 and GS2)  
191 show a constant offset of ca. 1.6 meters, thus implying that strata deposition predated fault tip  
192 propagation across this sector. The offset of growth strata, across an along-fault distance of at least  
193 4.65 m, indicates a maximum P/S ratio for this stage of deformation close to 2.9. We tried to apply  
194 such a solution for restoration but such low P/S values, on the other hand, does not unfold PGS  
195 accurately, resulting in un-acceptable geometries (Figure 3d).

196 We might therefore suppose a two-stage fault and fold growth evolution, marked by a significant  
197 shift in the P/S value parameter during growth. Firstly, fast fault propagation triggered the  
198 formation, at surface, of a wide fault propagation fold, and then, during the latest movements of the

199 thrust, the fault tip propagation slowed down to  $P/S \approx 2.9$ . The latter resulted in marked asymmetric  
200 fold geometry, with a steep forelimb later faulted by the thrust itself (i.e., breakthrough thrusting).  
201 During these latest phases of deformation, several stacked bodies of aeolian sediments deposited,  
202 leveling the progressive structural relief cumulated by the thrust movements, and were cyclically  
203 weathered into a sequence of stacked paleosols.



204

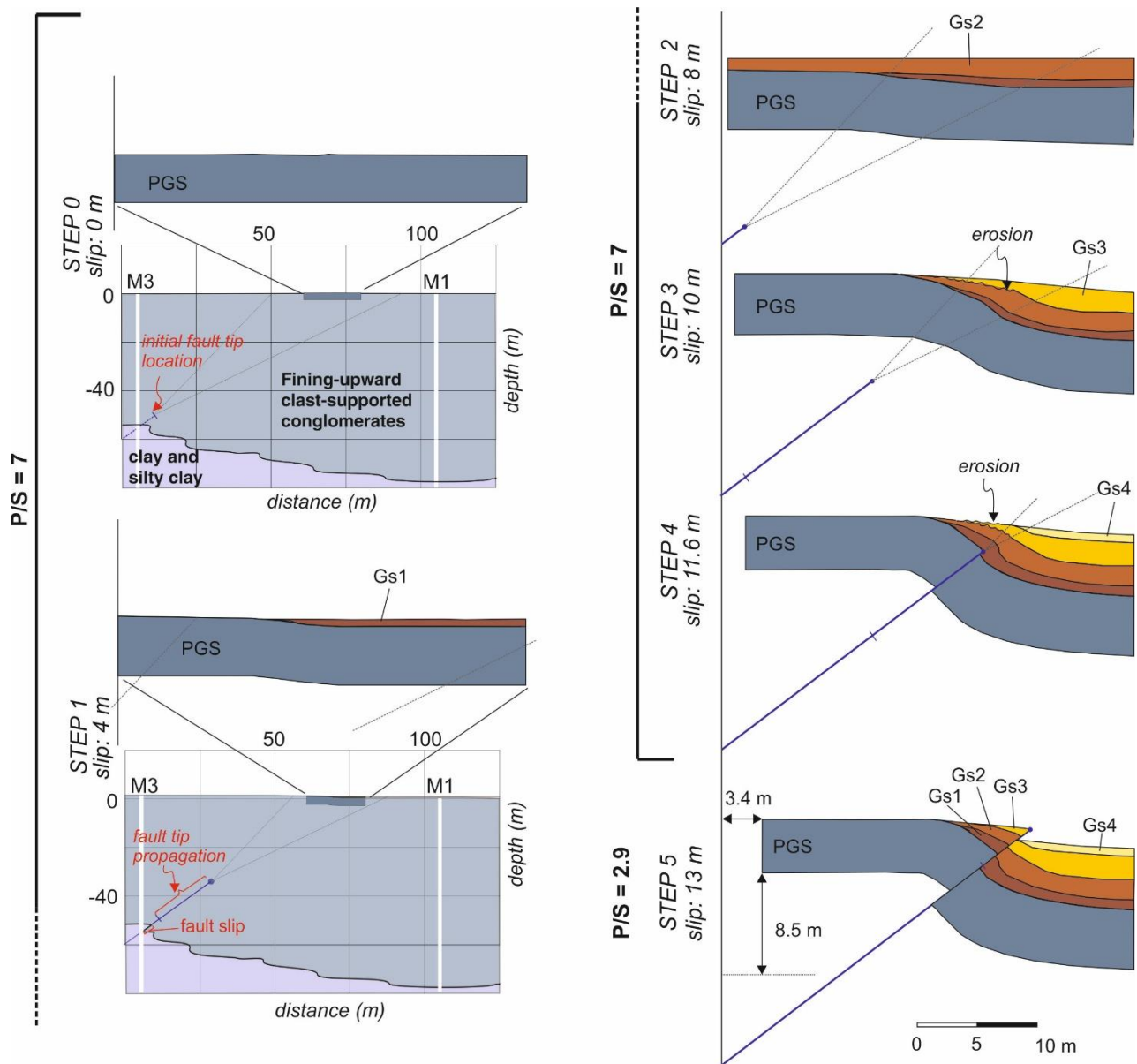
205 **Figure 3.** Schematic restoration through trishear kinematic modeling (see the text for further  
 206 details): a) deformed section to be restored, note the fault strand, in red, and the associated trishear  
 207 triangle at the fault tip; b) retrodeformation using the parameters obtained through the inverse grid

208 search approach: fault cutoff has not been properly restored; c) retrodeformation obtained using the  
209 P/S value measured at outcrop (i.e., 2.9): fold is not properly restored; d) chi-squared scores from  
210 grid search inversion n.3 (see Table 1) for variable P/S and displacement.

211

212 Finally, we performed a step-by-step restoration of the deformed sequence, considering constraints  
213 coming from kinematic modeling for fold restoration, field observations for cutoff  
214 retrodeformation, and progressive syn-growth sedimentation (Figure 4). We adopted straight fault  
215 line dipping  $36^\circ$ , lacking evidence for changes of dip with depth. Trishear angle is  $20^\circ$  and initial  
216 fault tip is assumed as derived from restoration analysis. Progressive fault slip is constrained by  
217 growth strata geometry, assuming that each sedimentation episode levels out any previous structural  
218 reliefs (i.e., fill-to-the-top approach) with a sub-horizontal top. P/S is set to 7 for almost the entire  
219 growth history, switching to 2.9 for the last step (i.e., step 5), as indicated by observations and  
220 discussion above.





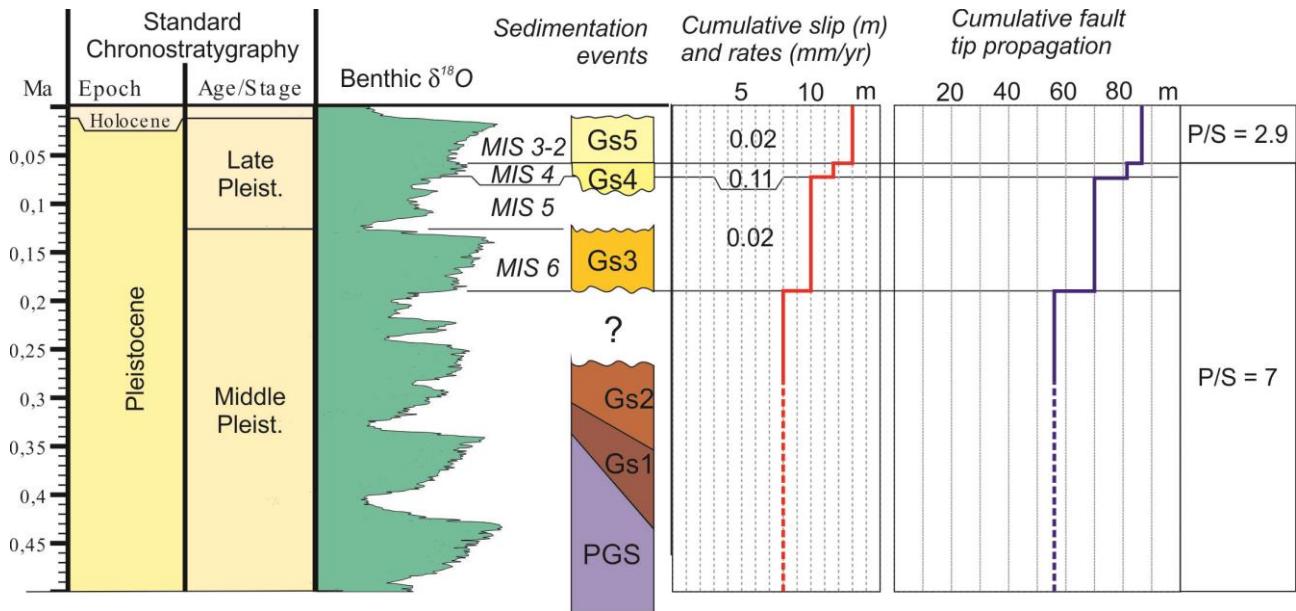
221

222 Figure 4. Forward modeling of the Monte Netto Hill thrust since Early-Middle Pleistocene to  
 223 present adopting a variable P/S model: deeper stratigraphy in steps 0 and 1 is constrained by  
 224 borehole logs (wells M1 and M3 as white lines; see also Figure S1).

225 These results are consistent with the stratigraphy of the hill beneath the site (Figure 1c and Figure  
 226 4), as observed in outcrops and reconstructed basing on borehole logs (see Figure S1). Here, from  
 227 the top, the loess-paleosols sequence unconformably lies over ca. 5-10 meters of weathered  
 228 overbank and colluvial deposits followed, downward, by a ca. 50 m thick sequence of channel-  
 229 facies clast-supported gravels in a sandy matrix. It is noteworthy that, as the fault tip approaches the  
 230 surface, we record a high P/S for the entire thickness of the clast-supported conglomerates, while  
 231 the shifting to lower values corresponds to the crosscutting of fine-grained overbank, colluvial and



232 aeolian deposits. Also, the initial fault tip position (step 0 in Figure 4), as constrained by trishear  
 233 restoration, lies close to the bottom of a level of clast-supported conglomerates unconformably  
 234 overlying fine-grained overbank deposits. Likely, this latter lithologic discontinuity promoted the  
 235 development of a ramp in the thrust.



236

237 **Figure 5.** Cumulative slip and propagation of the fault tip as constrained by dated deposits: rates are  
 238 also reported for every time window but the oldest one whose lower age constraint is lacking  
 239 (dashed lines).

240

241 If we consider the temporal evolution of cumulative slip and fault tip propagation (Figure 5), as  
 242 constrained by the age of the growth strata (i.e., Gs1 to Gs5), we observe that slip rates show  
 243 limited changes through time except for the time window represented by Gs4, when we record 0.11  
 244 mm/yr. Such a high value could be an artifact due to a disconformity at the base of Gs4, and as  
 245 suggested by the fact that the overall slip rate since Gs3 to present can be calculated in ca. 0.03  
 246 mm/yr, consistently with previous calculations by Livio et al. (2014). No considerable lowering of  
 247 slip rates is recorded over a time window of  $10^5$  yrs., thus confirming that the latest switch of

248 system to a slow propagating kinematic, is not partially due to a sensible decrease of deformation  
249 rates.

## 250 **5. Discussion: the influence of lithology on fault propagation**

251 The kinematic restoration of the analyzed fault-propagation fold indicates that a single solution for  
252 structure retrodeformation is unsatisfactory, either for cutoff restoration or for unfolding. We thus  
253 assumed that upward fault tip propagation rate experienced a significant shift: the proposed two-  
254 stage model fits well with the stratigraphy, implying that this behavior is strictly dependent on the  
255 geo-mechanical properties of the cut lithologies and on the underlying stratigraphy. The temporal  
256 analysis of fault growth history (Figure 5) reveals that fault propagation rates are not correlated with  
257 the slip rates neither with the overburden through time. As discussed in Livio et al. (2014), in fact,  
258 the Monte Netto Hill started emerging from the surrounding plain around Marine Isotope Stage 6  
259 (MIS 6; ca. 191-125 Kya), locally resulting in lack of sedimentation, only limited to intermittent  
260 aeolian deposition, and soil development. The zeroing of local accumulation rates, should have  
261 conversely resulted in an increased P/S ratio, as observed in analogue and numerical models (e.g.,  
262 Lin et al., 2006): we argue that the vertical lithological discontinuities are the main drivers in  
263 determining the observed and modeled changes of fault propagation rates. Lithological  
264 discontinuities include here changes in the grain-size distribution of particles as well as weathering  
265 and soil development.

266 Mechanical properties of the ruptured materials strongly influence strain localization and amount of  
267 slip at surface, as observed following large earthquakes (Tchalenko & Ambraseys, 1970; Irvine &  
268 Hill, 1993; Lazarte et al., 1994; Johnson et al., 1997; Bray & Kelson, 2006; Fletcher et al., 2014;  
269 Teran et al., 2015; Floyd et al., 2016; Livio et al., 2016) or resulting from numerical and analogue  
270 modeling (Cole & Lade, 1984; Bray et al., 1994; Johnson & Johnson, 2002a; Cardozo et al., 2003;  
271 Moss et al., 2018). In particular, fault propagation rate is dependent on axial strain failure of soils  
272 (Bray et al., 1994), Young's modulus and dilation angle (Lin et al., 2006) or on viscosity  
273 coefficient, if material is approximated according to a viscous folding theory (Johnson & Johnson,  
274 2002a). Overburden thickness, as well, strongly influences fault zone width and fabric at surface,  
275 resulting in different amount of slip on single fault strands (Tchalenko, 1970; Horsfield, 1977; Bray

276 et al., 1994; Schlische et al., 2002; Quigley et al., 2012; Zinke et al., 2014; Teran et al., 2015; Floyd  
277 et al., 2016). For a recent review of the literature on this issue the reader is referred Moss et al.  
278 (2018).

279 Our results, derived from a purely kinematic approach, confirm that: i) if geologically constrained,  
280 trishear kinematic models can be considered good analogues for mechanical models, with the  
281 advantage of being independent from geotechnical parameters (e.g., Cardozo et al., 2003); ii) P/S is  
282 the most affecting parameter, driving the output of a trishear model (Allmendinger and Shaw,  
283 2000), which can be considered as the resultant of a combination of several mechanical and  
284 geotechnical characteristics of the cut lithologies (i.e., cohesion, water content, grain-size  
285 distribution etc.). The P/S values measured on several analogue models and using loose to dense  
286 sand, range from 5 to 50 (Cole & Lade, 1984; Bray et al., 1994; Anastosopoulos et al., 2007;  
287 Bransby et al., 2008; Moss et al., 2018), thus suggesting that these experimental materials are not  
288 perfectly scaled for large scale experiments of a near surface faulting prototype. Finite element  
289 modeling of reverse faulting through loose to stiff soils (Moss et al. 2018), obtained P/S values  
290 between 0.4 and 2. Recently, also Moss et al. (2013) came to a similar result, considering a  
291 geophysically-derived parameter for soil shear stiffness, as a synthetic descriptor for these  
292 characteristics. They made use of binomial regressions on a database of surface ruptures and found  
293 that the  $V_{s30}$  shear wave velocity was the best predictor for the tendency of faults to propagate  
294 through a medium, at least for reverse faulting. An additional considerable advantage of the  
295 kinematic approach is that a single parameter, resuming the rheological behavior of deformed  
296 material, can be easily incorporated into restoration process through a grid-search approach, thus  
297 minimizing the number of assumed parameters.

298 Our case study highlights a strong correlation of P/S with stratigraphy and with vertical lithologic  
299 changes. Thebian et al. (2018) discussed a similar dependency in the case of mechanical numerical  
300 modeling of fault propagation folds through soils: the adoption of depth-dependent soil parameters

301 is necessary for the correct simulation of natural analogues. Similarly, numerical models  
302 investigating the enucleation and propagation of restricted faults through a multi-layered bedrock  
303 (e.g., Roering et al., 1997; Roche et al., 2013) identified some medium-dependent parameters for  
304 predicting the fault tendency to propagate (i.e., stiffness and strength contrasts) apart from strictly  
305 deformation characteristics (i.e., amount of flexural slip and fault aspect ratio). Trishear numerical  
306 modeling, if correctly set in the P/S and trishear angle parameters, well resembles results from more  
307 complex mechanical approaches as those described above, and over a wide range of scales. In fact,  
308 similar geometries are usually observed in outcropping examples or, at crustal scale, in thin-skinned  
309 tectonics. Nevertheless, several examples are reported also for basement-involved thick-skinned  
310 contractional tectonics (e.g., Horsfield, 1977; Chester et al., 1991; Narr & Suppe, 1994; Mitra &  
311 Mount, 1998; Johnson & Johnson, 2002b), where upward fault propagation from basement to cover  
312 is inhibited by the strong rheological contrast of the two crustal levels and typically results in drape-  
313 folding and distributed deformation.

314 **6. Conclusions**

315 In this study, we performed the numerical restoration of a break-through fault-propagation fold  
316 using a trishear kinematic model. Our results point out the following major conclusions:

317 - solution derived from kinematic restoration must be carefully checked in their geological  
318 likelihood. As already pointed out by Allmendinger & Shaw (2000), trishear inverse modeling does  
319 not provide unique solutions and the possibility that best-fit solution does not correspond to a  
320 geologically sounding restoration, should be considered;

321 - our results indicate a two-stage fault deformation history, with a significant shift in the value of  
322 P/S parameter. The assumption that faults evolve with constant kinematic parameters can be an  
323 oversimplification of natural behavior;

324 - in our case study, (litho-)stratigraphy plays a significant role in determining P/S value changes  
325 with coarse and clast-supported channel-facies sediments promoting a fast fault tip propagation to  
326 the surface;

327 - the deduced lithological control on fault tip propagation velocity has significant consequences on  
328 the FDHA, implying a potential differential hazard for adjacent areas, depending on the underlying  
329 stratigraphy, rather than solely on fault parameters.

330 As for FDHA, we underline that these results imply that (litho-)stratigraphy should be considered in  
331 hazard assessment procedures both as lithological changes in the vertical column and as lateral  
332 changes, implying, in the latter case, a spatial dependency of ground break probability. The  
333 assessment of potential for surface faulting is gaining increasing interest for society, due to recent  
334 strong earthquakes that caused widespread and well-documented primary and secondary ruptures of  
335 ground surface (the 2010 Mw 7.2 Baja California eq., the 2010 Mw 7.1 Darfield and the 2016 Mw  
336 7.8 Kaikoura earthquakes in New Zealand, the 2014 Mw 6 South Napa eq., the 2016 Mw 7  
337 Kumamoto earthquakes in Japan; and the 2016 Mw 5.9 - 6.6 Central Italy earthquake sequence are

338 clear examples (Elliott et al., 2012; Fletcher & Spelz, 2009; Floyd et al., 2016; Hamling et al., 2017;  
339 Livio et al., 2016; Pucci et al., 2017; Quigley et al., 2016; Shirahama et al., 2016). Probabilistic  
340 FDHA is considered both in microzonation regulations, that recently included in Italy the mapping  
341 of capable faults (e.g. SM Working Group, 2015; Technical Commission for Seismic  
342 Microzonation, 2015) and for the siting of high-risk plants.

343 Moreover, these results have significant implications also for the assessment of the epistemic  
344 uncertainty in paleoseismological investigations. Since the latter is mainly based on the analysis of  
345 the on-fault effects and displacement at surface and considering that slow propagating faults can  
346 preferably accommodate deformation at surface as broad folding rather than discrete faulting, it is  
347 implicitly possible that some paleoevents could be missed, if a careful analysis of horizon's  
348 geometry is lacking during paleoseismological trenching. It is therefore recommended that an  
349 integrated approach must be provided, including high-resolution topographic surveys, nowadays  
350 easily available and cost-effective, such as, terrestrial and airborne LiDAR, close-range  
351 photogrammetry, total station topographic data.

352 Finally, the recognition of a P/S variable fault history opens the possibility that future kinematic  
353 models, integrating an inverse grid search approach, would make use of variable fault parameters  
354 with deformation, to optimize restoration processes.

355 **Acknowledgement**

356 We thank the municipality of Capriano del Colle, Brescia Province administration, and Fornaci  
357 Laterizi Danesi S.p.A. for permission to site access and trenching. An Academic License of  
358 MOVE® suite software was provided by Midland Valley and was used for fault/fold restoration.

359 **References**

- 360 Allmendinger, R. W., 1998. Inverse and forward numerical modeling of trishear fault-propagation  
361 folds. *Tectonics*, 17(4), 640-656.
- 362 Anastasopoulos, I., Gazetas, G., Bransby, M., Davies, M., El Nahas, A., 2007. Fault rupture  
363 propagation through sand: Finite-element analysis and validation through centrifuge experiments. *J.*  
364 *Geotech. Geoenviron. Eng.* 133(8), 943–958.
- 365 ANSI/ANS-2.30, 2015. Criteria for Assessing Tectonic Surface Fault Rupture and Deformation at  
366 Nuclear Facilities. Published by the American Nuclear Society.
- 367 Bransby, M. F., Davies, M. C. R., El Nahas A., Nagaoka, S., 2008. Centrifuge modeling of reverse  
368 fault-foundation interaction. *Bull. Earthq. Eng.* 6(4), 607–628.
- 369 Bray, J. D., Seed, R. B., Cluff, L. S., Seed, H. B., 1994. Earthquake fault rupture propagation  
370 through soil. *Journal of Geotechnical Engineering*, v. 120, p. 543–561, doi:10.1061/(ASCE)0733 -  
371 9410 (1994)120:3(543).
- 372 Bray, J. D., Kelson, K. I., 2006. Observations of surface fault rupture from the 1906 earthquake in  
373 the context of current practice. *Earthquake Spectra*, 22(S2), 69-89.
- 374 Carcano, C., Piccin, A., 2002. *Geologia Degli Acquiferi Padani della Regione Lombardia*. SELCA,  
375 Firenze.
- 376 Cardozo, N., Bhalla, K., Zehnder, A. T., Allmendinger, R. W., 2003. Mechanical models of fault  
377 propagation folds and comparison to the trishear kinematic model. *Journal of Structural*  
378 *Geology*, 25(1), 1-18.



379 Castellarin, A., Cantelli, L., 2000. Neo-Alpine evolution of the Southern Eastern Alps. *Journal of*  
380 *Geodynamics*, 30, 251–274.

381 Castellarin, A., Vai, G., Cantelli, L., 2006. The Alpine evolution of the Southern Alps around the  
382 Giudicarie faults: A Late Cretaceous to early Eocene transfer zone. *Tectonophysics*, 414, 203–223.

383 Champion, J., Mueller, K., Tate, A., Guccione, M., 2001. Geometry, numerical models and revised  
384 slip rate for the Reelfoot fault and trishear fault-propagation fold, New Madrid seismic zone.  
385 *Engineering Geology*, 62(1-3), 31-49.

386 Chen, W. S., Lee, K. J., Lee, L. S., Streig, A. R., Rubin, C. M., Chen, Y. G., Yang, H. C., Chang, H.  
387 C., Lin, C. W. (2007). Paleoseismic evidence for coseismic growth-fold in the 1999 Chichi  
388 earthquake and earlier earthquakes, central Taiwan. *Journal of Asian Earth Sciences*, 31(3), 204-  
389 213.

390 Chester, J. S., Logan, J. M., Spang, J. H., 1991. Influence of layering and boundary conditions of  
391 fault-bend and fault-propagation folding. *Geological Society of America Bulletin*, 103, 1059-1072.

392 Cole Jr., D. A., Lade, P. V., 1984. Influence zones in alluvium over dip-slip faults. *Journal of*  
393 *Geotechnical Engineering*, 110(5), 599-615.

394 Collins, T. K., 1990. New faulting and the attenuation of fault displacement. *Bulletin of the*  
395 *Association of Engineering Geologists*, 27(1), 11-22.

396 Elliott, J. R., Nissen, E. K., England, P. C., Jackson, J. A., Lamb, S., Li, Z., Oehlers, M., Parsons,  
397 B., 2012. Slip in the 2010–2011 Canterbury earthquakes, New Zealand. *J. of Geoph. Res.: Solid*  
398 *Earth*, 117(B3).

399 Fantoni, R., Bersezio, R., Forcella, F., 2004. Alpine structure and deformation chronology at the  
400 Southern Alps-Po Plain border in Lombardy. *Boll. Soc. Geol. Ital.*, 123(3), 463–476.

401 Ferrario, M. F., Livio, F., 2018. Characterizing the distributed faulting during the 30 October 2016,  
402 Central Italy earthquake: A reference for fault displacement hazard assessment. *Tectonics*, 37.  
403 <https://doi.org/10.1029/2017TC004935>.

404 Fletcher, J. M., Spelz, R. M., 2009. Patterns of Quaternary deformation and rupture propagation  
405 associated with an active low-angle normal fault, Laguna Salada, Mexico: Evidence of a rolling  
406 hinge? *Geosphere*, v. 5, p. 385–407, doi:10.1130/GES00206.1.

407 Fletcher, J. M., Teran, O. J., Rockwell, T. K., Oskin, M. E., Hudnut K. W., Mueller K. J., Spelz, R.  
408 M., Akciz, S. O., Masana, E., Faneros, G., Fielding, E. J., Leprince, S., Morelan, A. E., Stock, J.,  
409 Lynch, D. K., Elliott, J., Gold, P., Liu-zeng, J., Gonzalez-Ortega, A., Hinojosa-Corona, A.,  
410 Gonzalez-Garcia, J., 2014. Assembly of a large earthquake from a complex fault system: Surface  
411 rupture kinematics of the April 4, 2010 El Mayor–Cucapah Mw7.2 earthquake. *Geosphere*, 10,  
412 797–827, doi:10.1130/GES00933.1.

413 Floyd, M., Walters, R., Elliot, J., Funning, G., Svarc, J. L., Murray, J. R., Hooper, A. J., Larsen, Y.,  
414 Marinkovic, P., Burgmann, R., Johanson, I. A., Wright, T. J., 2016. Spatial variations in fault  
415 friction related to lithology from rupture and afterslip of the 2014 South Napa, California,  
416 earthquake. *Geop Res Lett*, 43(13), 6808-6816.

417 Gold, R. D., Cowgill, E., Wang, X. F., Chen, X. H., 2006. Application of trishear fault-propagation  
418 folding to active reverse faults: examples from the Dalong Fault, Gansu Province, NW China.  
419 *Journal of Structural Geology*, 28(2), 200-219.

420 Hamling, I. J., Hreinsdóttir, S., Clark, K., Elliott, J., Liang, C., Fielding, E., Litchfield, N., Villamor,  
421 P., Wallace, L., Wright, T.J., D'Anastasio, E., Bannister, S., Burbidge, D., Denys, P., Gentle, P.,  
422 Howarth, J., Mueller, C., Palmer, N., Pearson, C., Power, W., Barnes, P., Barrell, D.J., Van Dissen,  
423 R., Langridge, R., Little, T., Nicol, A., Pettinga, J., Rowland, J., Stirling, M., 2017. Complex  
424 multifault rupture during the 2016 Mw 7.8 Kaikōura earthquake, New Zealand. *Science*, 356(6334),  
425 eaam7194.

426 Horsfield, W.T., 1977. An experimental approach to basement-controlled faulting. *Geologie en*  
427 *Mijnbouw*, 56, 363–370.

428 Irvine, P. J., Hill, R. L., 1993. Surface rupture along a portion of the Emerson fault, Landers  
429 earthquake of June 28, 1992, California. *Geology*, 46, 23–26.

430 Johnson, A.M., Fleming, R.W., Cruikshank, K. M., Martosudarmo, S. Y., Johnson, N. A., Johnson  
431 K. M., Wei, W., 1997. *Analecta of structures formed during the 28 June 1992 Landers-Big Bear,*  
432 *California, earthquake sequence. U.S., Geological Survey Open-File Report 97–94, 59 p.*

433 Johnson, K. M., Johnson, A. M., 2002a. Mechanical models of trishear-like folds. *Journal of*  
434 *Structural Geology*, 24(2), 277-287.

435 Johnson, K. M., Johnson, A.M., 2002b. Mechanical analysis of the geometry of forced-folds.  
436 *Journal of Structural Geology*, 24(2), 401-410.

437 Kelson, K. I., Bray, J., Cluff, L., Harder, L., Kieffer, S., Lettis, W., Page, W., Perkins, W., Rix, G.,  
438 Roblee, C., Sitar, N., Wells, D., Wright, R., Yashinsky, M., 2001. Fault-related surface  
439 deformation. *Earthquake Spectra*, 17(S1), 19-36.

440 Lazarte, C. A., Bray, J. D., Johnson, A. M., Lemmer, R. E., 1994. Surface breakage of the 1992  
441 Landers earthquake and its effects on structures. *Seismological Society of America Bulletin*, 84,  
442 547–561.

443 Leon, L. A., Christofferson, S. A., Dolan, J. F., Shaw, J. H., Pratt, T. L., 2007. Earthquake - by -  
444 earthquake fold growth above the Puente Hills blind thrust fault, Los Angeles, California:  
445 Implications for fold kinematics and seismic hazard. *Journal of Geophysical Research: Solid Earth*,  
446 112(B3).

447 Lin, M. L., Wang, C. P., Chen, W. S., Yang, C. N., Jeng, F.S., 2007. Inference of trishear-faulting  
448 processes from deformed pregrowth and growth strata. *Journal of Structural Geology*, 29(7), 1267-  
449 1280.

450 Lin, M. L., Chung, C. F., Jeng, F. S., 2006. Deformation of overburden soil induced by thrust fault  
451 slip. *Engineering Geology*, 88(1-2), 70-89.

452 Livio, F. A., Michetti, A. M., Sileo, G., Zerboni, A., Trombino, L., Cremaschi, M., Mueller, K.,  
453 Vittori, E., Carcano, C., Rogledi, S., 2009. Active fault-related folding in the epicentral area of the  
454 December 25, 1222 (Io= IX MCS) Brescia earthquake (Northern Italy): seismotectonic  
455 implications. *Tectonophysics*, 476(1), 320-335.

456 Livio, F. A., Berlusconi A., Zerboni A., Trombino L., Sileo, G. Michetti, A. M., Rodnight, H.,  
457 Spötl, C. 2014. Progressive offset and surface deformation along a seismogenic blind thrust in the  
458 Po Plain foredeep (Southern Alps, Northern Italy). *Journal of Geophysical Research: Solid Earth*,  
459 119(10), 7701-7721.

460 Livio, F., Michetti, A. M., Vittori, E., Gregory, L., Wedmore, L., Piccardi, L., Tondi, E., Roberts,  
461 G., Blumetti, A.M., Bonadeo, L., Brunamonte, F., Comerci, V., Di Manna, P., Ferrario, M.F., Faure  
462 Walker, J., Frigerio, C., Fumanti, F., Guerrieri, L., Iezzi, F., Leoni, G., McCaffrey, K., Mildon, Z.,  
463 Phillips, R., Rhodes, E., Walters, R.J., Wilkinson, M., 2016. Surface faulting during the August 24,  
464 2016, central Italy earthquake (Mw 6.0): preliminary results. *Annals of Geophysics*, 59 (Fast Track  
465 5), 1-8.

466 Marchetti, M., 1996. Variazioni idrodinamiche dei corsi d'acqua della Pianura Padana centrale  
467 connesse con la deglaciazione. *Il Quaternario*, 9, 465–472.

468 Mitra, S., Mount, V. S., 1998. Foreland basement-involved structures. *American Association of*  
469 *Petroleum Geologists Bulletin*, 82, 70-109.

470 Moss, R. E. S., Buelna, M., Stanton, K.V., 2018. Physical, Analytical, and Numerical Modeling of  
471 Reverse Fault Displacement through Near Surface Soils. *Bulletin of the Seismological Society of*  
472 *America*, 108(6), 3149-3159.

473 Moss, R. E. S., Ross, Z. E., 2011. Probabilistic fault displacement hazard analysis for reverse  
474 faults. *Bulletin of the Seismological Society of America*, 101(4), 1542-1553.

475 Moss, R. E. S., Stanton, K.V., Buelna, M.I., 2013. The impact of material stiffness on the likelihood  
476 of fault rupture propagating to the ground surface. *Seismological Research Letters*, 84(3), 485-488.

477 Narr, W., Suppe, J., 1994. Kinematics of basement-involved compressive structures. *American*  
478 *Journal of Science*, 294, 802-860.

479 Pucci, S., De Martini, P. M., Civico, R., Villani, F., Nappi, R., Ricci, T., Azzaro, R., Brunori, C.A.,  
480 Caciagli, M., Cinti, F. R., Sapia, V., De Ritis, R., Mazzarini, F., Tarquini, S., Gaudiosi, G., Nave,  
481 R., Alessio, G., Smedile, A., Alfonsi, L., Cucci, L., Pantosti, D., 2017. Coseismic ruptures of the 24  
482 August 2016, Mw 6.0 Amatrice earthquake (central Italy). *Geophysical Research Letters*, 44(5),  
483 2138-2147.

484 Quigley, M. C., Hughes, M. W., Bradley, B. A., van Ballegooy, S., Reid, C., Morgenroth, J.,  
485 Horton, T., Duffy, B., Pettinga, J. R., 2016. The 2010–2011 Canterbury earthquake sequence:  
486 Environmental effects, seismic triggering thresholds and geologic legacy. *Tectonophysics*, 672,  
487 228-274.

488 Quigley, M., Van Dissen, R., Litchfield, N., Villamor, P., Duffy, B., Barrell, D., Furlong, K., Stahl,  
489 T., Bilderback, E., Noble, D., 2012. Surface rupture during the 2010 Mw 7.1 Darfield (Canterbury)  
490 earthquake: Implications for fault rupture dynamics and seismic-hazard analysis. *Geology*, 40, 55–  
491 58, doi:10.1130/G32528.1.

492 Roche, V., Homberg, C., Rocher, M., 2013. Fault nucleation, restriction, and aspect ratio in layered  
493 sections: quantification of the strength and stiffness roles using numerical modeling. *Journal of*  
494 *Geophysical Research: Solid Earth*, 118(8), 4446-4460.

495 Roering, J. J., Cooke, M. L., Pollard, D.D., 1997. Why blind thrust faults do not propagate to the  
496 Earth's surface: Numerical modeling of coseismic deformation associated with thrust - related  
497 anticlines. *Journal of Geophysical Research: Solid Earth*, 102(B6), 11901-11912.

498 Schlische, R. W., Withjack, M. O., Eisenstadt, G., 2002. An experimental study of the secondary  
499 deformation produced by oblique-slip normal faulting. *American Association of Petroleum*  
500 *Geologists Bulletin*, 86, 885–906.

501 Shirahama, Y., Yoshimi, M., Awata, Y., Maruyama, T., Azuma, T., Miyashita, Y., Mori, H.,  
502 Imanishi, K., Takeda, N., Ochi, T., Otsubo, M., Asahina, D., Miyakawa, A., 2016. Characteristics  
503 of the surface ruptures associated with the 2016 Kumamoto earthquake sequence, central Kyushu,  
504 Japan. *Earth, Planets and Space*, 68(1), 191.

505 SM Working Group, 2015. Guidelines for Seismic Microzonation, Conference of Regions and  
506 Autonomous Provinces of Italy, Civil Protection Department, English edition of: Gruppo di lavoro  
507 MS, Indirizzi e criteri per la microzonazione sismica, Conferenza delle Regioni e delle Province  
508 autonome – Dipartimento della protezione civile, Roma, 2008, 3 vol. e Dvd, 2015. Available online  
509 at [http://www.protezionecivile.gov.it/httpdocs/cms/attach\\_extra/GuidelinesForSeismicMicrozonation.pdf](http://www.protezionecivile.gov.it/httpdocs/cms/attach_extra/GuidelinesForSeismicMicrozonation.pdf)

510 Teran, O. J., Fletcher, J. M., Oskin, M. E., Rockwell, T. K., Hudnut, K. W., Spelz, R. M., Akciz, S.,  
511 Hernandez-Flores, A.P., Morelan, A., 2015. Geologic and structural controls on rupture zone fabric:  
512 A field-based study of the 2010 Mw 7.2 El Mayor–Cucapah earthquake surface  
513 rupture. *Geosphere*, 11(3), 899-920.

514 Technical Commission for Seismic Microzonation, 2015. Linee guida per la gestione del territorio  
515 in aree interessate da Faglie Attive e Capaci (FAC), versione 1.0. Conferenza delle Regioni e delle  
516 Province Autonome – Dipartimento della Protezione Civile, 55 pp., Roma, 2015 (In Italian).

517 Tchalenko, J. S., 1970. Similarities between shear zones of different magnitudes. Geological  
518 Society of America Bulletin, 81, 1625–1640, doi:10.1130/0016-7606(1970)81[1625:SBSZOD ]2.0  
519 .CO;2.

520 Tchalenko, J. S., Ambraseys, N. N., 1970. Structural analysis of the Dasht-e Bayaz (Iran)  
521 earthquake fractures. Geological Society of America Bulletin, 81, 41–60, doi:10.1130/0016-  
522 7606(1970)81[41:SAOTDB]2.0.CO;2.

523 Thebian, L., Najjar, S., Sadek, S., Mabsout, M., 2018. Numerical investigation of dip-slip fault  
524 propagation effects on offshore seabed sediments. Engineering Geology, 237, 149-167.

525 Treiman, J. A., 2010. Fault Rupture and Surface Deformation: Defining the Hazard. Environmental  
526 & Engineering Geoscience, 16(1), 19-30.

527 Williams, G., Chapman, T., 1983. Strains developed in the hangingwalls of thrusts due to their  
528 slip/propagation rate; a dislocation model. Journal of Structural Geology, 5(6), 563-571.

529 Youngs, R. R., Arabasz, W. J., Anderson, R. E., Ramelli, A. E., Ake, J. P., Slemmons, D. B.,  
530 McCalpin, J., Doser, D. I., Fridrich, C. J., Swan, F. H., Rogers, A. M., Yount, J. C., Anderson, L.  
531 W., Smith, K. D., Bruhn, R. L., Knuepfer, P.L.K., Smith, R. B., dePolo, C. M., O’Leary, D. W.,  
532 Coppersmith, K.J., Pezzopane, S.K., Schwartz, D.P., Whitney, W., Olig, S.S., Toro, G.R., 2003. A  
533 methodology for probabilistic fault displacement hazard analysis (PFDHA). Earth Spectra 19, 191–  
534 219.

535 Zehnder, A. T., Allmendinger, R. W., 2000. Velocity field for the trishear model. Journal of  
536 Structural Geology, 22(8), 1009-1014.

537 Zerboni, A., Trombino L., Frigerio, C., Livio, F., Berlusconi, A., Michetti, A. M., Rodnight, H.,  
538 Spötl, C., 2015. The loess-paleosol sequence at Monte Netto: a record of climate change in the  
539 Upper Pleistocene of the central Po Plain, northern Italy. Journal of Soils and Sediments, 15(6),  
540 1329-1350.

541 Zinke, R., Hollingsworth, J., Dolan, J. F., 2014. Surface slip and off-fault deformation patterns in  
542 the 2013 Mw 7.7 Balochistan, Pakistan earthquake: Implications for controls on the distribution of  
543 near-surface coseismic slip. *Geochemistry, Geophysics, Geosystems*, 15(12), 5034-5050.