

1 **Grapevine non-*vinifera* genetic diversity assessed by SSR markers as a starting-**  
2 **point for new rootstock breeding programs**

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19

20 **Abstract**

21 Microsatellite markers are valuable tool to facilitate management of germplasm  
22 collections and to access the genetic diversity. In this study, the genetic characterization  
23 of a large collection of 379 rootstocks and other non-*vinifera* accessions maintained at  
24 the University of Milan (Italy) has been reported. Accessions were genotyped with 22

25 highly polymorphic microsatellite markers (including the nine ‘international’ loci used  
26 for grapevine identification, three VMC, three VrZAG and seven VChr loci), but only  
27 17 loci were retained for cultivar identification, to investigate genetic diversity, for  
28 pedigree analysis, to infer population structure and to design a core collection. Two  
29 hundred thirty-two unique genotypes were identified. The allelic profiles of sixty-nine  
30 rootstocks were confirmed according to literature and databases, while the profiles of 44  
31 rootstocks were proposed for the first time. Pedigree analysis highlighted 77 parent-  
32 offspring (PO) trios and XX PO relationships, some of them already known and some  
33 other new. Analysis of the genetic structure showed a more likely number of three  
34 ancestral groups, with a high percentage of admixed samples. A structure based on the  
35 genetic background of genotypes was not observed. A core collection of seventy  
36 genotypes captured 100% of the entire number (373) of detected alleles. Most of these  
37 genotypes were unidentified or poorly characterized genotypes. The information  
38 provided in this paper could assist breeders in better addressing their efforts in the  
39 exploitation of still unexplored individuals useful for long-term breeding plans.

40

41 **Keywords:** core collection, North American *Vitis* species, VChr microsatellites,  
42 population structure, VVMD25 and VVMD32.

43

#### 44 **Introduction**

45 Until the mid-19<sup>th</sup> century, European vineyards consisted of own-rooted *Vitis vinifera*  
46 vines. The inadvertent introduction of phylloxera (*Daktulosphaira vitifoliae*; Fitch  
47 1855), a phytophage that attacks *V. vinifera* roots, by importing American vines, nearly

48 destroyed the European wine industry and modern viticulture became dependent on  
49 North American *Vitis* species (Snyder 1937, Granett et al. 2001). American grape  
50 species evolved in the presence of phylloxera, their leaves and roots are colonized by  
51 this insect but both organs tolerate its presence without harmful damage. The  
52 agronomical practice of grafting *V. vinifera* cultivars onto American species and their  
53 hybrids is used to avoid phylloxera heavy damage. Despite the grape industry relying on  
54 a very small number of well-characterized rootstocks (Zavaglia et al. 2016), there are no  
55 estimates for the exact number of rootstock cultivars existing today. Ampelography and  
56 ampelometry, based on morphological differences, are traditional methods for grapevine  
57 cultivar identification. Unfortunately, ampelographic criteria are not satisfactory to  
58 distinguish among rootstocks, because of their common parentage from *V. riparia*, *V.*  
59 *rupestris* and *V. berlandieri* (Poczai et al. 2013). Nowadays, identification could be  
60 assisted by Simple Sequence Repeats (SSR) or microsatellite markers (Maul et al.  
61 2012). In the last twenty years, microsatellites became the preferential and most reliable  
62 tool for grapevine cultivar identification. Much work has been done so far on wine and  
63 table varieties, whereas data about genotyping of rootstocks are poor (Lin and Walker  
64 1998, Sefc et al. 1998, Cseh et al. 2006, de Andrés et al. 2007, Dzhambazova et al.  
65 2007, Upadhyay et al. 2007, Crespan et al. 2009, Jahnke et al. 2011).

66 Rootstocks plays a role in the control of pests, such as phylloxera and nematodes, and in  
67 plant adaptation to the environment, such as affecting scion resistance to abiotic stresses  
68 (Vršič et al. 2015, Ollat et al. 2015). These aspects make choosing the right  
69 rootstock/scion combination very challenging. Nevertheless, the low information  
70 available for the non-*vinifera* sector makes this choice entrusted to a few elite

71 rootstocks. For this reason, an accurate genetic characterization of the non-*vinifera*  
72 germplasm is required.

73 In 2013, at the University of Milan a large collection of 379 rootstocks and other non-  
74 *vinifera* accessions was established. It is one of the largest collections in Italy, with  
75 accessions coming from other repositories in Italy, Spain and USA. Notably, this  
76 collection encompasses the progenies obtained so far from the University of Milan  
77 breeding programs and recorded as the “F series”. It was established to gather as wide  
78 as possible genetic variability of those *Vitis* species used for rootstock breeding, and to  
79 identify the most suitable germplasm for the new breeding programs to adapt viticulture  
80 to climate changes (such as drought; Bianchi et al. 2018).

81 The aim of this work was to shed light on the identity of non-*vinifera* germplasm  
82 included in the rootstock collection of University of Milan, indicating redundancies and  
83 uniqueness for a better management of the collection itself. The 379 accessions were  
84 phenotyped for the sex of flowers and genotyped by 22 SSR loci and allelic profiles of  
85 only 17 SSR markers were used for genotype identification, to investigate the genetic  
86 diversity and parentage, to infer the structure of this population and to set up a core  
87 collection able to capture the whole allelic variation.

88

## 89 **Materials and Methods**

### 90 **Plant material**

91 A total of 379 grapevine rootstock accessions were analyzed, including wild non-  
92 *vinifera Vitis* species. They belonged to the ampelographic collection of the University  
93 of Milan (Riccagioia, Torrazza Coste, Pavia, Italy), and were collected mainly from the

94 following donor institutes in Italy, Spain and USA: CREA of Conegliano (Council for  
95 Agricultural Research and Agricultural Economy Analysis - Research Centre for  
96 Viticulture and Enology, Conegliano, Italy), FEM (Edmund Mach Foundation, San  
97 Michele all'Adige, Trento, Italy), IMIDRA (Instituto Madrileño de Investigación y  
98 Desarrollo Rural, Agrario y Alimentario, El Encín, Alcalá de Henares, Madrid, Spain),  
99 CIFA (Centro de Investigación y Formación Agraria, Rancho de la Merced, Jerez de la  
100 Frontera, Cádiz, Spain), and USDA (United States Department of Agriculture -  
101 Agricultural Research Service, Davis, California) (Table S1). The collection is located  
102 in the Oltrepò Pavese viticultural area (longitude, E 9° 05'; latitude, N 44° 58'), 144 m  
103 above sea level. The training system is free bush and the rows are north–south oriented.  
104 The soil is clay textured. Plants were spaced at 2.5 m (inter-row) × 1 m (intra-row).

105

#### 106 Phenotyping

107 The sex of flowers of the accessions was ascertained in 2016 and 2017, in accordance  
108 with the character code 151 of the 2nd edition of the "OIV Descriptor list for grape  
109 varieties and *Vitis* species" (OIV 2009).

110

#### 111 DNA extraction

112 Fifty milligrams of freeze-dried young leaf tissue were ground with a Qiagen  
113 TissueLyser system (Qiagen, Hilden, Germany) and total genomic DNA was isolated  
114 using a DNeasy 96 Plant Kit (Qiagen), according to the manufacturer's protocol. DNA  
115 quality and concentration were checked spectrophotometrically and by electrophoresis

116 on agarose gel. DNA samples were suspended in TE buffer (pH = 8) and diluted to  
117 approximately 10 ng/μl for PCR.

118

119 SSR selection and amplification

120 The genotyping of the 379 accessions was performed using 22 SSR markers: i) the  
121 international set of nine SSR loci adopted by the OIV (VVS2 (Thomas and Scott 1993);  
122 VVMD5, VVMD7, VVMD25, VVMD27, VVMD28 and VVMD32 (Bowers et al.  
123 1996, 1999); ii) VrZAG62 and VrZAG79 (Sefc et al. 1999)); iii) VMC6E1 and  
124 VMC6G1 (Crespan 2003); iv) VMCNG4b9 (Welter et al. 2007); v) three VrZAG  
125 markers (VrZAG25, VrZAG64 and VrZAG83 (Sefc et al. 1999)); vi) seven VChr SSRs  
126 ((VChr1b, VChr3a, VChr4a, VChr7b, VChr10b, VChr13c and VChr19a (Cipriani et al.  
127 2008)). Seven VChr markers were selected from a set of 26 markers after analysis of  
128 polymorphism information content (PIC), clarity of allelic peaks and lower probability  
129 of null alleles with a set of 26 rootstocks (Table S1 and S2). This set of 22 SSR markers  
130 was selected because: i) the nine OIV loci are used worldwide for *V. vinifera* cultivar  
131 identification; ii) the three VMC loci are routinely use by the authors for *V. vinifera*  
132 cultivar identification (Migliaro et al. 2013); iii) the three VrZAG loci are obtained from  
133 *V. riparia* (Sefc et al. 1999); iv) the seven VChr loci are suggested for an easier  
134 genotyping in *V. vinifera* (Cipriani et al. 2008) and not yet tested on non-*vinifera*  
135 varieties.

136 PCR reactions were performed using forward primers labeled with fluorescent dyes (6-  
137 FAM, PET, VIC, or NED); three multiplex panels of fluorescent-labeled microsatellite  
138 loci were used. Simultaneous PCR amplifications were conducted in a final volume of

139 12.5  $\mu$ l containing 1 $\times$  PCR reaction buffer, 10 ng of genomic DNA, 0.2 mM of each  
140 dNTPs, 2 mM MgCl<sub>2</sub>, 1.5 U Taq DNA Polymerase (Qiagen). Depending on the locus,  
141 primer concentrations ranged from 0.11 to 0.48  $\mu$ M. Reactions were performed on a  
142 GeneAmp PCR System 9700 using the following profile: a hot start of 95 °C for 5 min,  
143 30 amplification cycles of 45 sec at 95 °C, 1 min at 55 °C, 30 sec at 72 °C, and a final  
144 extension step of 30 min at 72 °C. Presence of PCR products was assessed by  
145 electrophoresis in a 1.5% agarose gel and quantified by comparison with Mass ruler  
146 DNA ladder mix (Thermo Fischer Scientific, Waltham, MA). PCR products (0.5  $\mu$ l)  
147 were mixed with 9.35  $\mu$ l of formamide and 0.15  $\mu$ l of the GeneScan™ 500 LIZ Size  
148 Standard (Life Tech, Foster City, CA). Capillary electrophoresis was conducted in an  
149 ABI 3130xl Genetic Analyzer (Life Tech). Allele calling was performed with  
150 GeneMapper 4.0, using the 500 LIZ size standard as internal ladder and a homemade  
151 binset built with reference varieties. Allele sizes were recorded in bp and genotypes  
152 showing a single peak at a given locus were considered as homozygous.

153

154 Statistics on SSR data

155 Cervus 3.0 software (Kalinowski et al. 2007), and GenAlEx 6.5 software (Peakall and  
156 Smouse 2006) were used to determine the number of different alleles ( $N_o$ ), effective  
157 number of alleles ( $N_e$ ), observed ( $H_o$ ) and expected heterozygosity ( $H_e$ ), polymorphic  
158 information content (PIC), Hardy Weinberg equilibrium (HW), the probability of null  
159 alleles (F(null)), identity probability between two unrelated individuals and between  
160 two hypothetical full siblings ( $P_{ID}$  and  $P_{ID}SIBS$ ).

161

162 Genotype accumulation curve

163 An accumulation curve approach, obtained by rarefaction, was adopted to identify the  
164 minimum number of SSR loci to explain the observed diversity in our dataset. The  
165 function *genotype\_curve* of R (R Core Team 2014) package *poppr* (Kamvar et al. 2014)  
166 was used, randomly sampling  $x$  loci per  $r$  times and counting the number of observed  
167 different genotypes.  $r$  value was set to 1000. The results were plotted as barplot and a  
168 trend line was added using the *ggplot2* function *stat\_smooth* (Wickham 2009) in R.

169

170 Identification and pedigree relationships

171 True-to-types and misnomers genotypes were identified according to the information  
172 available in the literature and public database: i) the *Vitis* International Variety  
173 Catalogue (VIVC, <http://www.vivc.de>); ii) “Pl@ntGrape, le catalogue des vignes  
174 cultivées en France” (<http://plantgrape.plantnet-project.org/fr>); iii) the U.S. National  
175 Plant Germplasm System (NPGS, <https://npgsweb.ars-grin.gov/gringlobal/search.aspx>).  
176 Other identifications were made overlapping different information: i) SSR profile of  
177 accessions coming from different repositories and sharing the same name; ii) the  
178 declared parents; iii) the flower sex.

179 Possible PO (Parent-Offspring) relationships were obtained with GenAlEx 6.5 software.  
180 Putative GO (Genitors-Offspring) trios were produced with Cervus 3.0 (Kalinowski et  
181 al. 2007). Flower sex information supported the pedigree relationships proposed.

182

183 Population structure and core collection



184 The genetic structure of the germplasm collection was analyzed with STRUCTURE 2.1  
185 software (Pritchard et al. 2000) and with the R package *adegenet* (Jombart 2008) .  
186 STRUCTURE software follows a Bayesian approach to interpret the correlation among  
187 genotypes in terms of admixture between a defined number of ancestral populations.  
188 The burn-in period consisted of 500,000 iterations followed by 100,000 MCMC  
189 (Markov Chain Monte Carlo) repeats. The number of clusters ( $K$ , number of ancestral  
190 genetic groups) varied from 2 to 10 and ten replicate runs were conducted to quantify  
191 the variation of the likelihood for each  $K$ . The most likely  $K$  value was chosen  
192 according to the “Evanno’s method” (Evanno et al. 2005) implemented in the Structure  
193 Harvester software (Earl and vonHoldt 2012). *adegenet* performs Principal Coordinate  
194 Analysis (PCoA), based on standardized covariance of genetic distances calculated for  
195 codominant markers. The samples were arranged based on their membership to the  
196 STRUCTURE clusters. The genetic relationships among genotypes were displayed by a  
197 two-dimensional scatter plot.

198 In order to select a subsample of a large germplasm collection that contains the  
199 minimum number of individuals representing the whole genetic diversity of the original  
200 collection, a core collection was assessed (Brown 1995). To design the core collection,  
201 only the unique genotypes were included in the analysis. The allele coverage allocation  
202 strategy implemented in R package *corehunter* (Thachuk et al. 2009) was used to  
203 generate the genetic core collections, maximizing the proportion of observed alleles in  
204 the entire SSR dataset. Different core subsets were generated, changing the *size*  
205 parameter of the desired core collections. The sizes ranged from 0.1 to 0.9 of the entire  
206 dataset. Per each core collection, number of alleles, Nei’s genetic index (Nei 1987) and  
207  $H_o$  were determined by GenAIEx 6.5 software (Peakall and Smouse 2006).

208

## 209 **Results**

210 A total of 379 grapevine rootstocks and other non-*vinifera* accessions maintained in the  
211 University of Milan germplasm collection were characterized by phenotyping the sex of  
212 flowers and genotyping 22 SSR markers. Genotype identification was performed, as far  
213 as possible. A minimal set of SSR markers useful for non-*vinifera* grapevine varieties  
214 identification was computed. Genotype identification was assessed, as well as genetic  
215 diversity and population structure. A genetic core collection capturing the maximum  
216 allelic diversity was set up.

217

### 218 Phenotyping

219 Flower sex was checked on 219 out of 379 accessions, about 94% of the unique  
220 genotypes (see “Genetic diversity” section; Table S3). About 46% of genotypes were  
221 male and 44% female. The other less representative phenotypes were hermaphrodite  
222 (7.8%) and male flowers with reduced gynoecium (2.3%).

223

### 224 Selection of SSR loci for grapevine rootstock fingerprinting

225 Twenty-two SSR markers were used to genotype the entire collection. This set included  
226 nine OIV, three VMC, three VrZAG and seven VChr SSR loci. Five out of the initial  
227 22 SSR markers were subsequently discarded (VVMD32, VMC6G1, VChr1b,  
228 VChr13c, and VrZAG25), because problems were evidenced on the large-scale analysis  
229 of the whole set of accessions, such as no amplification in some samples (VChr1b),  
230 amplification of more than two alleles (VChr13c, VrZAG25) and very low signals for

231 particular alleles that could be omitted from allele calling (VVMD32 and VMC6G1).  
232 Some mono-locus in *vinifera* SSRs (VChr8a, VChr13c, VrZAG25 and VMCNG4b9),  
233 clearly amplified more than one locus in non-*vinifera* genotypes, showing more than  
234 two alleles per sample. More detailed technical comments are reported in the  
235 Supplemental text 1.

236 Finally, 17 SSRs were retained and used for statistical data processing. They included  
237 eight out of nine “international” (VVS2, VVMD5, VVMD7, VVMD25, VVMD27,  
238 VVMD28, VrZAG62 and VrZAG79), two VMC (VMC6E1 and VMCNG4b9), two  
239 VrZAG (VrZAG64 and VrZAG83) and five long-repeat VChr (VChr3a, VChr4a,  
240 VChr7b, VChr10b and VChr19a) microsatellite markers.

241

242 Genetic diversity

243 Genotyping of 379 accessions with 17 selected SSRs produced 232 different molecular  
244 profiles (Table S4). About 39% of accessions in the collection represented redundant  
245 germplasm. The accessions were grouped and ordered depending on the genotype and  
246 identification results are reported in Table S3.

247 Statistics on the selected 17 SSRs are shown in Table 1, while the lists of alleles  
248 per locus are reported in Table S5. Three hundred and seventy-three alleles were  
249 detected, with a mean of 21.94 per locus and a mean number of effective alleles of 8.52.  
250 The mean  $H_o$  and mean  $H_e$  were very similar (0.85 and 0.86, respectively). The  
251 probability of identity was  $2.33 \times 10^{-27}$ . Out of the 373 SSR alleles, 29.7% displayed a  
252 frequency higher than 5% and were classified as ‘common’ alleles, 34.3% showed  
253 frequencies between 1% and 5% and were ‘less common’ alleles, and 35.9% had a

254 frequency lower than 1% and were ‘rare alleles’, suggesting that this collection includes  
255 a broad biodiversity.

256

#### 257 Genotype accumulation curve

258 A genotype accumulation curve was drawn to detect the minimum number of loci able  
259 to capture the whole genetic diversity in the dataset of 232 grapevine genotypes. The  
260 results are plotted in Figure S1. 99.9% of genetic diversity was reached with seven SSR  
261 loci, indicating that additional markers are unnecessary to distinguish genotypes.

262

#### 263 The genotype identification

264 Obtaining the correct correspondence between molecular profile and variety name,  
265 when available, has proven to be very difficult, due to the poor information on many  
266 accessions. Even pedigree records, which could greatly support this exploration,  
267 evidenced many limits, mainly due to the following issues: a) generic information on  
268 the parents used for crossing, b) some rootstocks derived from open pollination, c) one  
269 or both parents of the bred variety were different from those declared. Sixty-nine  
270 genotypes were identified according to the information already available in the literature  
271 and databases. Another 44 genotypes were identified combining the available  
272 information, such as SSR profile of accessions coming from different repositories and  
273 sharing the same name (SSR profile ID 63 and others), the declared parents (SSR  
274 profile ID 150 and others) and the flower sex (SSR profile ID 193 and others)  
275 (References column in Table S3). For some genotypes, such as M1, M2, M3 and M4  
276 (bred at the University of Milan) and Cosmo 4, Cosmo 7 and Cosmo 9 (bred at the

277 CREA of Conegliano, Treviso), the SSR profiles were reported for the first time (Table  
278 S3, SSR profiles ID 64, 65, 66, 67 and 225, 226, 227, respectively). For the other  
279 genotypes, a progressive genotype number was proposed (such as genotype 1 for the  
280 SSR profile ID 68 in Table S3). For a detailed description of genotype identification,  
281 please refers to the Supplementary text 2.

282

283

284 Pedigree relationships

285 Putative genitors and offspring (GO trios) relationships were obtained using Cervus  
286 software. After discarding the GO trios showing obvious inconsistencies, such as sexual  
287 incompatibility of the proposed genitors or historical information on the chronological  
288 appearance of the varieties, 77 GO trios were proposed (Table S3). Some of them were  
289 confirmed pedigrees (SSR profile ID 5 and other), some others partially confirmed  
290 (SSR profile ID 25 and other), updated (SSR profile ID 7 and other), suggested (SSR  
291 profile ID 53 and other) and discarded (SSR profile ID 2 and other). For a detailed  
292 description of kinship results, please refers to Supplementary text 3.

293 XX parent-offspring (PO) relationships were identified (Table S3). These PO  
294 relationships were mainly collected for unidentified genotypes (SSR profile ID XX and  
295 other) or crosses obtained by the University of Milan (SSR profile ID XX and other).  
296 The results indicated that the parents recorded during the breeding activities do not  
297 always find a positive feedback (such as SSR profile ID 87 and other). These  
298 preliminary indications need further validation by increasing the number of markers.

299

300 Population structure and core collection

301 In order to ascertain the likely number of genetic groups ( $K$ ) within the data sample, a  
302 structure analysis was performed and ancestral values per genotype were recorded for  $K$   
303 ranging from 2 to 10. Based on Evanno's method (Evanno et al. 2005), the most likely  
304 number of populations was estimated at  $K = 3$ , showing the largest value in the  $\Delta K$   
305 (19.792). The three clusters are shown in the barplot in Figure 1. Setting at  $Q > 0.85$  the  
306 threshold for group assignment, 147 genotypes (around 63%) were univocally assigned  
307 to a cluster at  $K = 3$ . The proportion of admixed genotypes resulted around 37% (Table  
308 S6). The three refined groups accounted for 40, 31 and 29% of the genotypes univocally  
309 assigned to a population. Cluster 1 encompassed a highly heterogeneous mix of *Vitis*  
310 *rupestris* and *V. riparia* varieties. The genotypes of Cluster 2 were represented by the  
311 three main varieties used worldwide for breeding, i.e. Rességuier n. 2, Gloire de  
312 Montpellier, Rupestris du Lot and their progenies. Cluster 3 included mostly *V.*  
313 *labrusca* hybrids, such as Isabella and Jacquez, and hybrids with *V. vinifera*, such as 41  
314 B, Fercal, Golia, Blanchard 1 B, Berlandieri-Colombard 2. As expected, the largest  
315 percentage of individuals with a complex pedigree (where more than two *Vitis* genomes  
316 can be accounted for) was not successfully assigned to a specific ancestral population  
317 and were classified as admixed.

318 The results of PCoA are graphically reported in Figure 2. The genotypes are  
319 represented by four different colors, corresponding to the four groups (three ancestral  
320 populations plus one admixed group) recorded by structure analysis and listed in Table  
321 S6. The first two principal coordinates accounted for 15.66% of total variability, due to  
322 the high percentage of admixed genotypes and the use of "elite" varieties for breeding.  
323 Even though there was overlapping among the clusters (Cluster 3 and Cluster 4

324 remained in between Cluster 1 and Cluster 2), Cluster 1 and Cluster 2 were shown to be  
325 very well discriminated also by PCoA.

326         Nine different core collections were built up with a collection size ranging from  
327 90 to 10% of the entire dataset to identify the smallest set of genotypes as representative  
328 as possible of available genetic diversity (Table 2). Seventy genotypes (Core3) were  
329 enough to capture 100% of the 373 detected alleles, while decreasing the core collection  
330 size up to 0.1, the number of alleles captured were 299 (about 20% less than the total  
331 alleles detected). Nei's genetic index ranged from 0.531 (Core1) to 0.962 (Core9), with  
332 the Core3 reaching 0.733. The  $H_o$  values were quite similar to that detected for the  
333 entire collection (Core10 = 0.847), varying from 0.808 (Core1) to 0.864 (Core9). Core3  
334 showed the value 0.823. Table S7 lists the genotypes included in the nine different core  
335 collections. Referring to the refined clusters in Table S6, the varieties selected in Core3  
336 were: 37.1 % from the admixed group, 30.0 % from Cluster 3, having *labrusca* and  
337 *vinifera* parentage, 25.7 % from Cluster 1, which are non-*vinifera* varieties, and only  
338 7.1% from Cluster 2, the so-called "Berlandieri x Rupestris" and "Berlandieri x Riparia"  
339 varieties.

340

## 341 **Discussion**

342         Three hundred and seventy-nine accessions of the University of Milan rootstock  
343 collection were phenotyped for the sex of flowers and genotyped based on 22 SSR  
344 markers. Even excluding the most recent crosses, the difficulty in identification  
345 emerged from the notable number of genotypes remained anonymous and the  
346 misnomered accessions.

347 Phenotyping results showed the typical dioecious trait of non-*vinifera* grapevine  
348 germplasm, with about 90% of genotypes divided between male and female plants.  
349 Most of the hermaphrodite genotypes were shown to carry a *V. vinifera* parentage, such  
350 as Berlandieri-Colombard 2 (SSR profile ID 27), Golia (SSR profile ID 34), 1132  
351 Grimaldi (SSR profile ID 182). On the other hand, this trait can be explained in some  
352 unidentified genotypes by the possible PO relationship with rootstocks having *V.*  
353 *vinifera* parentage, like Ganzin 1.

354 Microsatellites are a valuable tool for the cultivar identification. They were largely used  
355 to successfully genotype the *vinifera* germplasm (Laucou et al. 2011, Cipriani et al.  
356 2008, Emanuelli et al. 2013, Riaz et al. 2018). For the *vinifera* compartment, a set of  
357 nine SSR loci has been proposed as universal set for cultivar identification (Maul et al.  
358 2012). Regarding non-*vinifera* compartment, a lot of information is still missing.  
359 Therefore, in this paper great attention was made to identify the most suitable set of  
360 SSR loci to genotype the non-*vinifera* individuals and to identify the minimum number  
361 of molecular markers to be used for cultivar identification. Up to 22 SSR loci were  
362 chosen to analyze the entire rootstock collection, but only 17 loci (eight OIV, two  
363 VMC, two VrZAG and five VChr loci) were suitable for genotyping. Recently, SSR  
364 loci with core repeats three to five nucleotides long were proposed for *vinifera* cultivar  
365 identification, because neighbor alleles are more easily distinguished from each other  
366 (Cipriani et al. 2008, 2010). After a preliminary selection of seven VChr markers out of  
367 the 26 initially tested, we were able to propose a set of five long core-repeat SSRs  
368 useful for non-*vinifera* variety genotyping. The genotype accumulation curve analysis  
369 showed that randomly sampling seven out of 17 loci analysed all the non-*vinifera*



370 genotype are distinguished. Discarding VVMD25 and VVMD32, the remaining seven  
371 "international" SSR loci can be used as the minimal set for rootstock identification.

372

373 s. .

374 .

375 Genotyping the rootstock collection with 17 SSRs, 232 molecular profiles were  
376 identified (Table S3 and Table S4), showing a rich genetic diversity. Three hundred and  
377 seventy-three alleles were recorded, having a high percentage of less common and rare  
378 alleles (70.2%). This result explained why only 7 random SSRs were enough to  
379 distinguish all 232 recorded genotypes. On average, we found 21.9 alleles per locus and  
380 a mean  $N_e$  of 8.52, high values despite the low number of genotypes. Our data matched  
381 the results obtained by Laucou et al. (2011) on 135 rootstocks and Emanuelli et al.  
382 (2013) on 127 rootstock genotypes analysed with 20 and 22 SSRs, respectively: they  
383 found 405 and 412 alleles in total, 20.25 and 18.7 alleles per locus on average.  
384 Emanuelli et al. (2013) computed a  $N_e$  mean value of 8.199, which is very similar to  
385 our result (8.52). Instead, we computed a higher  $H_o$  mean value, 0.84 *versus* 0.78 and  
386 0.73 computed by Laucou et al. (2011) and Emanuelli et al. (2013), respectively. Both  
387 groups of authors underlined that genetic diversity of non-*vinifera* varieties was higher  
388 than the domesticated sector, as can be expected by the heterogeneity of rootstock  
389 genotypes.

390 Literature and database allowed 29.7% of genotypes to be identified, and we were able  
391 to propose additional assignments (44) by combining different information. Cultivars  
392 represented here by single accessions could include mistakes and many genotypes

393 remained anonymous (34.4%, without taking into account recent, still unnamed  
394 crosses). Previous studies and the present one showed clear problems of correct  
395 identification in rootstock repositories. These problems are related to mislabeling,  
396 multiple naming of single cultivars, and incorrect denominations. Molecular analyses  
397 can support identification, but these results need to be integrated with ampelographic  
398 observations. In this study, the sex of flowers has been used as one useful  
399 ampelographic trait for this goal. In the past, many breeders worked hardly producing  
400 an enormous quantity of crosses, often with poorly controlled management. This left us  
401 a complex heritage and we now find it difficult to ascertain the correct identity of many  
402 materials. The declared pedigrees are not necessarily a reliable tool, either because they  
403 are often too generic (such as Riparia x Rupestris) or there is no match between the  
404 declared parents and the true ones. Even if 17 markers were not enough to draw out  
405 robust conclusions on first degree relationships, they were useful to support or question  
406 known information, and to suggest new possible parentages. The large amount of SSR  
407 profiles produced in this study contributed to advance the identification of rootstocks.  
408 This information can also be useful for future applications, such as the study of pedigree  
409 relationships to better address the choice of resistance donors for conventional breeding  
410 programs (Di Gaspero et al. 2012).

411 The large number of rootstocks available for viticulture is the result of many  
412 interspecific crosses among vines belonging mainly to *V. berlandieri*, *V. riparia* and *V.*  
413 *rupestris* species. The genetic structure of grapevine rootstocks has been strongly  
414 influenced by human selection during the post-phylloxera breeding programs. Structure  
415 analysis identified three significant genetic groups, without a clear discrimination based  
416 on the species. A large majority of genotypes remained admixed, evidencing the genetic

417 complexity of the plant material analyzed. PCoA clearly separated Gloire de  
418 Montpellier, Rességuier n. 2 and Rupestris du Lot, the three main founders of the  
419 currently most widespread rootstocks and related progenies, from all the other  
420 genotypes. These three founders were from three different *Vitis* species: *V. riparia*, *V.*  
421 *berlandieri* and *V. rupestris*. Nevertheless, being just three varieties, the genetic  
422 variability of the progenies, which had always reorganized the same genotypes, is low  
423 compared to the other genetic materials. This lower variability was underlined by  
424 establishing the core collection. The core collections represent the ability to capture the  
425 diversity of the entire dataset in a restricted set of genotypes that is feasible to handle.  
426 Different core collections have been developed for the *V. vinifera* cultivated sector, such  
427 as those including genotypes from the Vassal collection (Le Cunff et al. 2008), and  
428 from Italian collections (Cipriani et al. 2010, Emanuelli et al. 2013). In this study, we  
429 sorted out a first core collection related to grapevine rootstocks. Seventy out of 232  
430 genotypes were included in the most suitable core collection, capturing the entire allelic  
431 richness. Interestingly, the highest number of genotypes (26) was kept from those  
432 classified as admixed after structure analysis, then from Cluster 3 (21) and Cluster 1  
433 (18). Only five varieties were from Cluster 2, because this cluster combined the lowest  
434 genetic variability with the highest redundancy of over represented genotypes.

435 Among the 70 genotypes of Core3 only 29 were identified varieties. Ampelographic and  
436 agronomic characteristics of all the other genotypes are still lacking. Nevertheless, a few  
437 of 29 identified varieties were grapevine rootstocks commonly used in viticulture, and  
438 only Riparia Gloire de Montpellier was included from the three main progenitors. The  
439 purpose of building up a core collection was to selected entries to be further investigated  
440 for their use in breeding programs for a sustainable Mediterranean viticulture. Based on

441 our core collection, the new breeding programs could rely on genotypes with a  
442 phenotypic diversity not yet exploited. Additionally, this genetic core collection could  
443 be a valuable starting point for future phenotyping efforts and genome-wide association  
444 studies.

445 Despite the presence of large kin groups in the dataset, the number of genotypes  
446 required to sort out the core collection covering the totality of alleles found (373) was  
447 high (about 30%). This result can be related to the amount of varieties belonging to  
448 different *Vitis* species and the high genetic variability detected in our dataset (high mean  
449 number of alleles per locus in comparison to the low number of genotypes). In *V.*  
450 *vinifera* subsp. *sativa* core collections the same result was obtained, retaining 4% of  
451 genotypes in Le Cunff et al. (2008), 4.7% in Cipriani et al. (2010), and 15% in  
452 Emanuelli et al. (2013).

453 Reynolds and Wardle (2001) outlined seven major criteria for choosing rootstocks: i)  
454 phylloxera resistance; ii) nematode resistance; iii) adaptability to high pH soils; iv)  
455 adaptability to saline soils; v) adaptability to low pH soils; vi) adaptability to wet or  
456 poorly drained soils; vii) adaptability to drought. Other important requirements are  
457 rooting ability, grafting affinity and biomass production. Numerous reports have also  
458 proved that rootstocks affect vine growth, yield and fruit and wine quality (Boselli et al.  
459 1992, Walker et al. 1998, 2000, Gregory et al. 2013, Berdeja et al. 2015). These effects  
460 are direct and are the consequences of the interactions between environmental factors,  
461 scion physiology and rootstock cultivar used. Selecting the suitable rootstock for a  
462 vineyard is just as important as variety selection. The results presented in this paper  
463 confirm that the rootstocks used world-wide today mostly derive from a very narrow  
464 genetic base. Additional problems, including the appearance of new phylloxera

465 biotypes, are serious threats since present rootstocks may not provide the genetic  
466 diversity necessary to counteract evolving soil-borne pests (Pap et al. 2018). Future  
467 association studies and marker-assisted selection for resistance to phylloxera, drought,  
468 salt, and other traits, can gain advantage from the information provided in this paper,  
469 because it contributes to: i) share the number of different genotypes available in the  
470 repositories with the scientific community; ii) define their true-to-type; iii) ascertain  
471 their origin and genetic diversity; iv) correctly associate present and future phenotypes  
472 to the right genotypes. The new achievements will lengthen the shortlist of rootstocks  
473 used today to keep pace with the development of sustainable viticulture models.

474

## 475 **Conclusion**

476 This paper contributed to ascertain how many genotypes were growing in the large  
477 rootstock collection of the University of Milan, to identify them as a starting point for  
478 the exploitation of still unexplored genetic resources. The large number of unidentified  
479 genotypes, the population structure and the core collection show the potential of this  
480 repository to hold individuals useful for new breeding programs. Genotypes with a  
481 phenotypic diversity not exploited yet can become the starting germplasm to obtain new  
482 rootstocks able to cope with some factors crucial for developing sustainable viticulture  
483 in the Mediterranean area. A phylloxera risk limitation can be implemented by avoiding  
484 the use of rootstocks carrying *vinifera* background in their pedigree.

485 Since low information is available regarding the most suitable SSR molecular markers  
486 to be used for the genetic analysis of non-*vinifera* species, this work can be considered  
487 pioneer for this purpose.

488

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699

700 Tables

701

702 **Table 1** - Statistics on 17 SSR markers obtained from 232 grapevine rootstock  
703 genotypes and ordered by PIC value.

704 **Table 2** - SSR diversity within each sample of core collections compared with the entire  
705 dataset (Core10). The most suitable core collection is highlighted in grey.

706

707 **Table S1** - Preliminary results obtained using 22 VChr SSRs on 26 rootstock cultivars

708 **Table S2** - Statistics on twenty-two VChr SSRs ranked by descending PIC values

709 **Table S3** - List of 379 rootstock accessions genotyped with 17 SSR loci. The accessions  
710 are grouped based on their genotype. Ascertained misnomered accessions are written in  
711 italics. Flower sex and donor institutes of the accessions are reported, as well as variety  
712 name as resulted from genotyping, pedigree information from the literature and present  
713 molecular data.

714 **Table S4** - List of alleles found at each of the 17 SSR loci analyzed

715 **Table S5** - Allelic profiles at 17 SSR loci of 232 grapevine rootstock genotypes.  
716 Original questionable accession names are converted to "no name". In bold suggested  
717 names for uncertain varieties

718 **Table S6** - Genotypes subdivision depending on cluster membership ( $Q > 0,85$ ) and  
719 admixed ( $Q < 0,85$ ) according to Structure. Genotypes are in alphabetical order

720 **Table S7** - List of the genotypes included in nine different core collections, obtained  
721 from a dataset of 232 grapevine rootstock genotypes





723 Figure legends

724 **Figure 1.** Population structure as estimated using STRUCTURE with  $K = 3$ . Each  
725 genotype is represented by a vertical bar, showing assignment probabilities to each of  
726 the three ancestral groups.

727 **Figure 2.** Population structure based on PCoA analysis. The genotypes are arranged  
728 according to the ancestral clusters identified by STRUCTURE analysis, using a  $Q > 0.85$   
729 threshold for group assignment. Cluster 1 encompasses a mix of *Vitis rupestris* and *V.*  
730 *riparia* varieties; Cluster 2 groups the three main varieties used for breeding and their  
731 progenies; Cluster 3 includes mostly *V. labrusca* and *V. vinifera* hybrids; Cluster 4  
732 shows the admixed genotypes.

733

734 **Figure S1.** Genotype accumulation curve of 232 grapevine rootstock accessions,  
735 genotyped over 16 SSR loci. The red dashed line represents 100% of the total observed  
736 genotypes. Blue: trend line.

737