

29

30 **Summary**

31 This research communication reports the evaluation of cathelicidin in dairy goat milk for its
32 relationship with the somatic cell count (**SCC**) and microbial culture results. Considering the limited
33 performances of SCC for mastitis monitoring in goats, there is interest in evaluating alternative
34 diagnostic tools. Cathelicidin is an antimicrobial protein involved in innate immunity of the mammary
35 gland. In this work, half-udder milk was sampled bimonthly from a herd of 37 Alpine goats along an
36 entire lactation and tested with the cathelicidin ELISA together with SCC and bacterial culture.
37 Cathelicidin and SCC showed a strong correlation ($r = 0.72$; $n = 360$ milk samples). This was highest
38 in mid-lactation ($r = 0.83$) and lowest in late lactation ($r = 0.61$), and was higher in primiparous (0.80,
39 $n = 130$) than in multiparous goats (0.71, $n = 230$). Both markers increased with time of lactation, but
40 cathelicidin increased significantly less than SCC. In addition, peak level in late lactation was lower
41 for cathelicidin (5.05-fold increase) than for SCC (7.64-fold increase). Twenty-one (5.8%) samples
42 were positive to bacteriological culture, 20 for coagulase-negative staphylococci and one for
43 *Streptococcus* spp.; 18 of them were positive to the cathelicidin ELISA (85.71% sensitivity).
44 Sensitivity of SCC > 500,000 and of SCC > 1,000,000 cells/mL was lower (71.43% and 23.81%,
45 respectively). Therefore, the high correlation of cathelicidin with SCC during the entire lactation,
46 along with its lower increase in late lactation and good sensitivity in detecting intramammary
47 infection (**IMI**), indicate a potential for monitoring subclinical mastitis in dairy goats. However,
48 based on this preliminary assessment, specificity should be improved (40.41% for cathelicidin vs
49 54.57% and 67.85% for SCC > 500,000 and > 1,000,000 cells/mL, respectively). Therefore, the
50 application of cathelicidin for detecting goat IMI will require further investigation and optimization,
51 especially concerning the definition of diagnostic thresholds.

52

53 Keywords: cathelicidin, ELISA, dairy goats, subclinical mastitis, somatic cell counts.

54 Subclinical mastitis (**SCM**) causes significant economic losses in dairy goat farming due to
55 its detrimental effects on milk production, hygienic status and processing properties. In fact, a great
56 part of intramammary infections (**IMI**) in this dairy species do not produce clinical signs of disease,
57 making the implementation of sensitive and specific SCM detection strategies a priority (Stuhr &
58 Aulrich 2010). Currently, the gold standard is the microbial culture of milk, but the detection of
59 inflammation parameters rather than bacteria provides a more rapid SCM screening test. The somatic
60 cell count (**SCC**) is the standard parameter for monitoring mammary gland inflammation in cows.
61 However, its reliability for SCM detection in goats is strongly limited by the influence of
62 physiological factors and management variables including among others breed, parity, lactation stage,
63 estrus, milking frequency and machine or hand milking (Stuhr & Aulrich 2010). SCC does also
64 increase considerably in late lactation and some uncertainties remain in the exact dynamics,
65 physiology and timing, as well as the changes in the milk cell relative ratios (Souza *et al.* 2012).
66 Considering these factors, further efforts are needed to improve SCM monitoring and diagnosis
67 strategies.

68 Inflammation-related protein biomarkers can represent a valuable alternative with advantages
69 in terms of diagnostic and outcome performance (Viguier *et al.* 2009). Cathelicidins are a family of
70 small proteins involved in the innate immune response of epithelial and mucosal tissues, often
71 referred together as cathelicidin. These proteins exhibit both direct anti-microbial activity as well as
72 chemotactic and regulatory functions and are believed to play a relevant role in immunity of dairy
73 ruminants, as indicated by the unusually high number of genes present in their genomes. For instance,
74 cows have 10 known cathelicidin genes, sheep have 7 genes and goats have at least 5 genes, while
75 humans and mice have only one gene (Zanetti 2005). Although produced by mammary epithelial cells
76 also upon exposure to a microbial pathogen (Cubeddu *et al.* 2017), cathelicidins are mainly associated
77 to polymorphonuclear neutrophils (**PMNs**) in which they are stored pre-formed within intracellular
78 granules (Borregaard *et al.* 2007). Following an infective stimulus, PMNs are recalled into the
79 mammary gland and release massive amounts of cathelicidin both by degranulation and by formation
80 of neutrophil extracellular traps (**NETs**) (Pisanu *et al.* 2015). As a result, cathelicidin concentration
81 increases significantly in the milk of animals with IMI caused by many different etiologic agents
82 (Addis *et al.* 2017; Cubeddu *et al.* 2017). Due to the specific and consistent release in association
83 with inflammation, several authors have proposed its use for mastitis diagnosis. A cathelicidin ELISA
84 was recently developed and validated in ewes and in cows (Addis *et al.* 2016a, 2016b), showing
85 promising diagnostic performances and a strong correlation with SCC. In this study, we evaluated
86 cathelicidin ELISA in goat milk and assessed its correlation with SCC and microbial culture by
87 monitoring a whole herd for an entire lactation length.

88

89 **Materials & Methods**

90

91 *Herd description*

92 The herd was composed of 37 Alpine goats (24 multiparous, 13 primiparous) and was certified
93 free of brucellosis, tuberculosis, mycoplasmosis and caprine arthritis-encephalitis. The farm was
94 located in Lombardy, Italy, on the Orobic Alps foothills (Latitude 45° 50' 18" and Longitude 9° 43'
95 59"). Housed animals were fed hay and feed concentrate with ad-lib water. From June to September,
96 animals grazed freely during the day. The farm practiced seasonal milking and kidding occurred
97 between February and March 2016. Kids nursed from their mothers. One day post conducting the
98 Dairy Herd Improvement test in March, May, July, September, and November months, goats were
99 clinically examined, and milk samples were collected from both half-udders for a total of 360 samples
100 (10 were missed). The mean SCC for the bulk tank milk in the year was 1,027,000 cells/mL, with a
101 mean daily production per animal of 1.6 Kg.

102

103 *Milk sampling for bacteriological analyses and determination of somatic cell counts*

104 Milk sampling and bacteriological analyses were performed as recommended by the National
105 Mastitis Council (National Mastitis Council 2017). The SCC was determined for each milk sample
106 on an automated somatic-cell counter (Bentley Somacount 150, Bentley Instrument, USA).

107

108 *Milk cathelicidin ELISA*

109 Milk cathelicidin ELISA was carried out as described previously (Addis *et al.* 2016a, 2016b).
110 For absorbance normalization, six culture-negative goat milk samples with less than 50,000 cells/mL
111 were included in all ELISA plates. All OD450 values were then subtracted of the average
112 OD450+3SD of the six internal normalization samples for obtaining the normalized OD450 values
113 (**NOD450**). Intra-assay and inter-assay CV were <11.5% and <15.1%, respectively.

114

115 *Statistics*

116 Statistical analysis was carried out using GraphPad Prism version 5.03 for Windows
117 (GraphPad Software, La Jolla, CA, USA) for descriptive statistics and column statistics; MedCalc
118 Statistical Software version 16.2.1 (MedCalc Software bvba, Ostend, Belgium) for receiver-operator
119 characteristics (ROC), area under the curve (AUC), sensitivity (Se) and specificity (Sp) evaluations;
120 and IBM SPSS software for Windows 25.0 (IBM SPSS Software, Armonk, NY, USA) for multi
121 factorial analysis. Transformation of somatic cell counts into Linear Score values (LS) with the

122 formula \log_2 (SCC/100,000) + 3 (Kirk et al. 1984) did not lead to normalization of the data. The
123 correlation coefficient (r) was calculated as NOD450/LS and plotted with Microsoft Excel (Microsoft
124 Corp., Richmond, VA). Since data had a repeated measurement nature, the influence of sampling
125 time and parity (Fixed effects) on SCC and normalized cathelicidin levels (outcome variables) was
126 also assessed using a GEE (Generalized Estimating Equation). To enable analysis of negative values,
127 NOD450 was adjusted by adding 0.1 to each measurement (Addis et al. 2017). The threshold for
128 statistical significance was $p < 0.05$. The diagnostic performance of SCC and cathelicidin ELISA in
129 identifying culture-positive and culture-negative samples was assessed with a 2x2 diagnostic table.
130 The selected thresholds were 0.014 NOD450 for cathelicidin ELISA (Addis et al., 2016a) and
131 500,000 cells/mL plus 1,000,000 cells/mL for SCC (Souza et al., 2012).

132

133 Results

134

135 Relationship between SCC and cathelicidin

136 SCC and cathelicidin were measured in all 360 samples, and their correlation coefficients (r)
137 were calculated both for the entire lactation as well as separately for each sampling time. The r for
138 all milk samples collected along the study was 0.72 ($n = 360$), showing a good general agreement
139 between the two markers. The r value was 0.80 for primiparous ($n = 130$) and 0.71 for multiparous
140 goats ($n = 230$). Fig. 1 represents the r values calculated separately for each sampling time. The
141 highest correlation was observed in July ($r = 0.83$), in mid-lactation, and the lowest correlation was
142 observed in November, at the end of lactation ($r = 0.61$).

143

144 Somatic cell counts and cathelicidin trends along the lactation

145 Trends of milk cathelicidin and SCC levels along the lactation length are represented in Fig.
146 2A, while median, interquartile range (IQR), mean and standard deviation values for all time points
147 and animal groups are provided in Supplementary Table 1. SCC and cathelicidin levels increased
148 constantly from March to September and peaked in November. However, the increase at the end of
149 lactation was less pronounced for cathelicidin than for SCC. Specifically, the September to November
150 increase in the median value was 7.64-fold for SCC vs 5.03-fold for cathelicidin. Primiparous goats
151 (Fig. 2B) showed lower levels of both markers than multiparous goats (Fig. 2C) for all sampling
152 points. The influence of sampling time and parity on SCC and cathelicidin levels was statistically
153 significant ($p < 0.05$) along the lactation. Concerning the differences among samplings (March, May,
154 July, September, and November) for each marker, cathelicidin levels were similar only between July

155 and September, while SCC levels were similar only between March and May (Supplementary Table
156 2).

157

158 *Microbiologic culture results and cathelicidin*

159 All the enrolled animals remained clinically healthy during the study period. Out of 360
160 samples, only 21 (5.8%) were bacteriologically positive (colony forming units-CFU/mL \geq 500), 20
161 for coagulase-negative staphylococci (CNS) and 1 for *Streptococcus* spp. Even in positive samples,
162 bacterial load was generally low: the median value was 900 CFU/mL. Keeping in mind these
163 limitations, a 2x2 diagnostic table based on bacterial culture as the gold standard was elaborated to
164 preliminarily assess the ability of cathelicidin vs SCC in detecting goat mammary glands positive to
165 minor pathogens. Details are reported in Supplementary Table 3. According to ROC analysis, the
166 diagnostic performance of cathelicidin was comparable to SCC > 500,000 cells/mL in terms of AUC
167 (0.631 vs 0.630, respectively) although with different Se and Sp characteristics. For cathelicidin
168 ELISA at 0.014 NOD450, Se was 85.71% and Sp was 40.41%. For SCC > 500,000 cells/mL, Se was
169 71.43% and Sp was 54.57%. For SCC > 1,000,000, Se was 23.81% and Sp was 67.85%. Nevertheless,
170 the very low IMI prevalence and bacterial load in the study herd, combined with the limited sensitivity
171 of the gold standard bacterial culture, may have negatively influenced Sp estimates.

172

173 Discussion

174 In view of its important role in innate immunity of the mammary gland, the antimicrobial and
175 chemotactic protein cathelicidin possesses a significant potential as a sensitive and specific mastitis
176 marker. Recently, an ELISA was developed for its detection in sheep milk and in cow milk (Addis *et*
177 *al.* 2016a, 2016b). In these dairy species, the test showed a higher sensitivity of IMI detection relative
178 to SCC and microbial culture while maintaining high specificity. Here, the cathelicidin ELISA was
179 demonstrated to detect also goat milk cathelicidins, opening interesting perspectives for its
180 application in goat herd screening.

181 In goats, the influence of factors other than IMI on the milk SCC is well-known (Stuhr & Aulrich
182 2010; Souza *et al.*, 2012), and the availability of an alternative inflammation marker with a dedicated
183 assay might enable a more reliable and robust goat herd screening (Bagnicka *et al.*, 2011). A pre-
184 requisite, however, would be for this alternative marker to be less influenced by the same factors
185 affecting SCC. Here, a strong correlation was observed between goat milk cathelicidin levels and
186 SCC. Median and IQR of both parameters increased gradually along lactation, especially in
187 multiparous goats. The increase in SCC along lactation, its peaking in late lactation, and the higher
188 SCC in multiparous vs primiparous goats is well-known and it has been described by several authors

189 in different goat breeds (Stuhr & Aulrich, 2010; Souza *et al.*, 2011). Apparently, cathelicidin levels
190 followed similar trends; however, cathelicidin increased less than SCC along lactation, and its late
191 lactation peaking was less intense. Among other potential causes, this may originate from changes in
192 cell type abundance ratios (Goncalves *et al.*, 2017). PMN are the main cell type in goat milk in non-
193 infectious conditions but undergo a further increase upon infection (Haenlein 2002). On the other
194 hand, milk cell types different than PMN including macrophages and desquamated epithelial cells
195 increase in physiological conditions, such as in late lactation (Paape *et al.* 2007). Being mainly
196 associated with neutrophils, where it is abundantly stored inside cytoplasmic granules (Borregaard *et*
197 *al.* 2007), cathelicidin might reflect PMN increase better than total SCC and therefore act as a more
198 reliable inflammation marker, especially at the end of lactation.

199 The preliminary comparison of cathelicidin with SCC in terms of diagnostic performances was
200 promising in terms of improved sensitivity, while specificity was not satisfactory. However, signs of
201 clinical mastitis were never observed in the study herd, and the prevalence of bacteriologically
202 positive milk samples was very low. In addition, only minor pathogens were detected, with generally
203 low CFU values. Therefore, further studies with a higher number of bacteriologically-positive
204 samples will be needed to confirm the exact role/indication of increased cathelicidin levels for goat
205 udder health. Thresholds and diagnostic algorithms will have to be defined for a reliable
206 implementation of the cathelicidin ELISA in the dairy goat production systems.

207 The successful application of a cathelicidin ELISA might provide a convenient alternative to SCC
208 and to differential cell counting also for its outcome performance in terms of cost and ease of use
209 (Flatland *et al.* 2014). One advantage is the reduced cost and widespread diffusion of ELISA readers
210 and other related instrumentation and devices as opposed to the investment required for the
211 acquisition and maintenance of differential cell counting instrumentation. The availability of a
212 reliable ELISA would also enable frozen storage of small volumes of milk samples for later testing
213 in batch or for assay repetitions, instead of the short-term refrigerated storage of larger milk aliquots
214 as required for somatic cell counting.

215 In conclusion, the milk cathelicidin ELISA developed for cows and ewes showed good detection
216 performances in goats. The cathelicidin levels measured were strongly correlated to SCC but
217 underwent a lower increase along lactation with less intense peak values in late lactation. Most of the
218 bacterial culture-positive samples were also positive to the cathelicidin ELISA. Considering the
219 practical advantages of an ELISA compared to cell counting, cathelicidin might hold potential for
220 udder health monitoring in dairy goats. However, further investigations will be required to
221 comparatively assess its diagnostic performance over SCC in detecting goat mastitis, especially for
222 what concerns specificity.

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267 **Figure Legends**

268

269 **Figure 1:**

270 Correlation coefficients (r) observed for milk SCC and cathelicidin in the different sampling months.

271

272 **Figure 2:**

273 SCC and cathelicidin levels in milk along lactation. A, all goat samples ($n = 360$); B, primiparous
274 goat samples ($n = 130$); C, multiparous goat samples ($n = 230$). Boxes indicate values within the 25th
275 and 75th percentiles, and the central line indicates the median value. Whiskers indicate values within
276 the 2.5th and 97.5th percentiles, and individual dots represent values outside the whiskers.

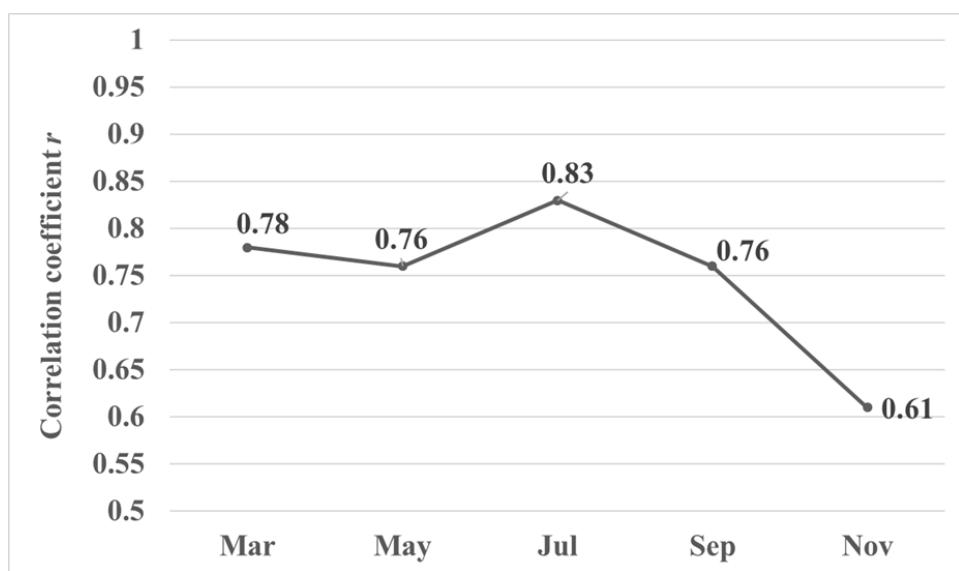
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278 **Figure 1:**

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282 **Figure 2:**
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