Disease awareness in patients with COPD: measurement and extent

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Background: Patient awareness of COPD refers to knowledge and acceptance of the disease and its treatment. Although it is relevant to management and outcomes, the disease awareness of patients is poorly investigated, and no validated questionnaires are currently available. We aimed to develop the novel Disease Awareness in COPD Questionnaire (DACQ), which was validated in relation to demographic and clinical features, in patients participating in the SATisfaction and Adherence to COPD Treatment (SAT) study.

Methods: DACQ was developed according to a list of items regarding the patient’s knowledge, perception, and acceptance of COPD as well as treatment needs. The questionnaire was validated by assessing internal structure and consistency, correlations with other patient-reported outcomes, and stability over time. Furthermore, the extent of disease awareness of patients enrolled in the SAT study was assessed by using DACQ, and correlations with demographic and clinical features were evaluated.

Results: DACQ was composed of four domains. Overall reliability and stability over time were adequate; correlations between DACQ and other tools measuring different constructs (ie, treatment satisfaction, illness perception, impact of COPD symptoms on daily life, and dyspnea severity) were, as expected, more limited. In the enrolled patient sample, a suboptimal level of disease awareness (≤70%) was detected, especially in terms of disease acceptance and perception. Disease knowledge was positively associated with COPD severity, while the impact of symptoms on daily life was negatively associated with disease awareness, acceptance of treatment needs, and overall awareness.

Conclusion: DACQ proved to be a reliable tool to assess awareness in COPD patients. Awareness and COPD patients need to be improved.

Clinical trial registration: ClinicalTrials.gov ID# NCT02689492.

Keywords: COPD, awareness, questionnaire, patient satisfaction

Plain language summary

The more patients with COPD are aware of the disease, treatment, and management, the more likely is their engagement, resulting in better COPD care. Although relevant to disease management and outcome, disease awareness is poorly investigated, and no validated questionnaires considering all its domains are currently available to measure its extent.

We developed the Disease Awareness in COPD Questionnaire (DACQ), which was validated in the patients with COPD enrolled in the observational, multicentre, prospective “SATisfaction and Adherence to COPD Treatment” (SAT) study.

The validated DACQ proved to be a reliable patient-reported outcome tool for measuring the complex and multifaceted disease awareness of patients with COPD.

A well-known problem in COPD management is the low rate of adherence to long-term treatment. Assessment and reinforcement of awareness may be helpful for improving this issue.
**Introduction**

COPD is the third leading cause of death worldwide\(^1\) and a cause of patients’ disability.\(^2,3\) Disease awareness has been defined as understanding the disease and being conscious of it, its prognosis, and associated life expectations, as originated from the personal rework of information with which the patient has been provided.\(^4\) While patient education is essential for a successful management of a disorder, the concept of awareness can be extended beyond mere information and knowledge on the diagnosis and features of the disease. It should include all domains establishing a full awareness of the disease, its consequences, and the burden of the condition, as well as the understanding of the need for a therapeutic regimen. Programs mainly aimed at updating knowledge, according to the GOLD,\(^5\) and at enhancing the consciousness of COPD, its management, and importance as a public health problem and are being implemented in several countries.\(^6\) The National Heart, Lung, and Blood Institute has taken several initiatives since 2007 to raise the awareness of patients and their families in relation to specific health topics, including asthma\(^2\) and COPD\(^7\) in cooperation with leading professional societies, health organizations, and advocacy groups.\(^8,9\) Unsatisfying adherence to treatment is a well-known problem in COPD management, despite the huge disease burden. Indeed, at least half of patients receiving long-term pharmacotherapy do not adhere to treatment.\(^10-13\)

The more patients are aware of the disease, treatment, and management, the more likely is their active collaboration, resulting in better COPD care. Therefore, further efforts are needed to investigate all components of disease awareness and to develop targeted training and suitable educational initiatives.

Literature data on awareness of COPD are still poor and limited only to some components of the paradigm, such as patient’s information, beliefs, perceptions, and perspectives on treatments.\(^14\) The level of knowledge and understanding about the disease have been explored in the general population,\(^15,17\) in current smokers,\(^18,19\) and in COPD patients\(^9\) by means of surveys or unvalidated questionnaires. Unfortunately, no validated tools are currently available for measuring different dimensions of patients’ COPD awareness.

The aim of this study was to develop and validate the novel Disease Awareness in COPD Questionnaire (DACQ) in patients participating in the SATisfaction and adherence to COPD treatment (SAT) study (SAT; ClinicalTrials.gov ID# NCT02689492). The purpose was to obtain a short, simple, and user-friendly tool that can be used both in research and in clinical practice. The extent of patients’ COPD awareness was assessed by using the DACQ tool, and its correlations with disease features and patients’ outcomes were examined.

**Methods**

**SAT study design**

The SAT study patients were required to be ≥40 years of age, receiving stable pharmacological COPD treatment, and have had no exacerbations for at least 3 months. They were enrolled in 20 Italian pulmonological centers and followed up to 12 months. Follow-up visits occurred every 6 months (with 1 month of tolerance). The SAT observational study was conducted in accordance with the amended Declaration of Helsinki. The University of Genoa Ethic Committee (Protocol N°: 343REG2015) approved the study protocol, and written informed consent was obtained from all patients. The institutional ethics committees involved in the study are listed in Table S1. No individual data will be shared. Further data will be published with main clinical trial results and other subanalysis (eg, pharmacoeconomic data); the data will be shared through open access manuscripts.

At the enrollment visit and at 6-month follow-up, patients were administered the list of items approved by the Steering Committee along with the Brief-Illness Perception Questionnaire (B-IPQ),\(^16\) the COPD Assessment Test (CAT),\(^17\) the Treatment Satisfaction Questionnaire for Medication (TSQM-9),\(^18,19\) and the modified Medical Research Council (mMRC) dyspnea scale.\(^20\)

**DACQ development and validation**

A list of 38 items exploring patient’s knowledge, acceptance, and perception of COPD and awareness of treatment needs was generated by physicians and experts in health psychology, based on patients’ input and literature search. Applying two rounds of the Delphi method,\(^21\) the SAT study Steering Committee members identified the most relevant items to characterize COPD awareness, suggested additional items, and rephrased the existing ones, if appropriate.

At study inclusion, SAT study patients were asked to complete the questionnaire with the selected items. The DACQ total and domain scores were computed as the sum of nonmissing items, after having assigned the appropriate score to each item in such a way that higher scores reflected a higher grade of awareness. Scores were normalized to a scale ranging from 0 to 100.

An exploratory factor analysis was conducted to identify potential domains, while Rasch models for polytomous items\(^22-26\) were used (according to the Item Response Theory...
approach) to evaluate the scalability of items and to test whether patients used the items response scale correctly. 27,28 For the Rasch analysis, information-weighted (INFIT) and outlier-sensitive (OUTFIT) indices were considered. Moreover, item-person maps, which provide both the person measures and the item measures on the same linear (logit) scale, were plotted to determine how items were suited to the patient’s ability. Items with absolute factor loadings <0.3 in the factor analysis, or not fitting the Rasch model, were removed.

The scale homogeneity was evaluated by means of the Cronbach’s coefficient $\alpha$. Less correlated items were removed, and the analysis was repeated until an acceptable level of reliability ($\alpha$=0.70) was achieved. 29,30

Internal consistency, construct validity, and stability after 6 months were evaluated as psychometric characteristics of the final DACQ by means of Cronbach’s $\alpha$, Spearman correlation coefficient $\rho_s$ with other questionnaires and scales used in the study and intraclass correlation coefficient, respectively.

**Disease awareness extent**

The levels of awareness, as well as the distribution of the DACQ total and domain scores with respect to the demographic and clinical characteristics, were evaluated at study entry.

Two-sample $t$-test and ANOVA (or respective analogous nonparametric tests, if appropriate) were used to evaluate the statistical significance of differences in scores among different groups of patients. Moreover, the associations between awareness and patient age, gender, COPD severity (based on the GOLD 2017 risk categories), 3 and impact of symptoms (by means of the CAT score) were investigated with a multivariable linear regression analysis.

Analyses were performed using SAS 9.4, SPSS v. 20.0, and WINSTEPS 3.72.3. Statistical analyses, project management, and quality control were performed by MediNeos Observational Research (Modena, Italy).

**Results**

**DACQ development and validation**

Starting from the initial list of items, the Delphi method led to a provisional questionnaire of 27 items. Patients enrolled in the SAT study were administered the 27-item questionnaire; subject demographic and clinical characteristics are reported in Table 1. At the enrollment visit, the frequency of missing answers to each item was <3%, which is below the 5% recommended threshold. 32 Therefore, the issue of missing data was not further dealt with.

| Table 1 Demographic and clinical characteristics of patients at the enrollment visit |
|-----------------------------------------------------|-------------|
| Total number of patients | 401 |
| Age (years); mean ± SD | 71.7±7.6 |
| Gender, N (%) | 299 (74.6) |
| Males | 102 (25.4) |
| Females | |
| Smoking habits, N (%) | 21 (5.2) |
| Nonsmokers | 282 (70.3) |
| Former smokers | 98 (24.5) |
| Current smokers | |
| Highest education level, N (%) | 6 (1.9) |
| None | 138 (42.7) |
| Primary school | 105 (32.5) |
| Middle school | 51 (15.8) |
| High school | 23 (7.1) |
| Academic degree | |
| COPD duration (years); median (25th–75th percentile) (N=401) | 4.9 (2.1–9.2) |
| CAT score; mean ± SD (N=401) | 15.7±7.8 |
| CAT score group, N (%) | 96 (23.9) |
| CAT <10 | 305 (76.1) |
| CAT ≥10 | |
| COPD GOLD 2017 grade, N (%) | 70 (19.1) |
| Grade A | 254 (69.4) |
| Grade B | 2 (0.6) |
| Grade C | 40 (10.9) |
| FEV1, predicted (%); mean ± SD (N=369) | 64.7±28.7 |

**Note:** Patients with missing information were not considered.

**Abbreviations:** CAT, COPD Assessment Test; FEV1, forced expiratory volume in one second.

Factor and Rasch analyses were conducted on the 347 structured questionnaires that were completed by patients at study entry. Four distinct latent factors with eigenvalues >1 were extracted: items 7, 15, 16, 22, 24, and 25 were allocated to the first factor; items 3, 4, 9, 12, 14, 17, 18, and 23 to the second one; items 1, 2, 19, and 26 to the third one; and items 5, 8, 10, 11, 13, 20, 21, and 27 to the fourth one (Table S2). On the basis of the question text of the items that such factors were composed of, it was deduced that these four domains assess the patients’ Disease acceptance, Awareness of treatment needs, Disease knowledge, and Disease perception, respectively. Therefore, COPD awareness was conceptualized as a composite, aggregate construct of these four domains, meaning that such domains combine to produce the latent construct. Moreover, on the basis of the factor analysis results (Table S2), only item 20 (assigned to the fourth factor) might have been allocated to other domains. We did not identify evidence of other possible relevant overlap among the four extracted factors.
This also supports the aggregate structure of the DACQ latent construct.

The item location and the fit statistics resulting from the Rasch analysis are reported in Table S3; all the INFIT and OUTFIT values were satisfactory, with the only exceptions being items 6 and 25.

According to the findings of factor and Rasch analyses, and based on the clinical interpretation of preliminary results as well, five items (3, 6, 11, 16, and 17) were removed, resulting in a 22-item version of the questionnaire.

To evaluate the shortened scale homogeneity, 339 patients at enrollment also completed the B-IPQ, mMRC, TSQM-9, and CAT questionnaires and scales and were considered. At first, the overall evaluation of the 22-item questionnaire resulted in a Cronbach’s α coefficient of 0.69. The removal of the least correlated items (ie, items 13 and 27, which also showed a nonsatisfactory performance in the factor and Rasch model analyses) led to an overall α=0.72.

No further selection of the items was deemed to be necessary, leading to a final pool of 20 items (Table 2). The English translation of selected items (not linguistically validated) is reported in Table 2 only for informative purposes.

Both the global DACQ and its four domains showed an acceptable-to-good internal consistency (Cronbach’s α coefficients were 0.81 for disease acceptance, 0.73 for awareness of treatment needs, 0.70 for disease knowledge, and 0.56 for disease perception). Regarding construct validity, the correlation coefficients between the DACQ score and the TSQM-9 global satisfaction score (ρ_s=0.40), B-IPQ (ρ_s=−0.40), CAT (ρ_s=−0.29), and mMRC (ρ_s=−0.19) were calculated (all P≤0.0001). All correlations were in the expected direction, but the magnitude of association was limited, meaning that, as expected, the DACQ construct is correlated with but different from those measured by the other considered patient-reported outcomes (PROs; ie, treatment satisfaction, illness perception, impact of COPD symptoms on daily life, and dyspnea grade).

The stability over time of the DACQ was evaluated after 6 months on 79 patients with stable disease (CAT score variation ≤1 point). Almost all items showed a moderate-to-good stability,31 with intraclass correlation coefficient values ranging from 0.33 to 0.64 (Table S4). The stability of the DACQ total and domains scores was satisfactory (ρ_s=0.65 for disease acceptance, ρ_s=0.60 for awareness of

Table 2 Structure of the Disease Awareness in COPD Questionnaire (DACQ)

<table>
<thead>
<tr>
<th>Item #</th>
<th>Item text</th>
<th>Domain</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>I need to be treated with inhaled drugs forever</td>
<td>Disease knowledge</td>
</tr>
<tr>
<td>02</td>
<td>I believe that COPD tends to worsen over time</td>
<td>Disease knowledge</td>
</tr>
<tr>
<td>04</td>
<td>A regular administration of drugs allows me to move with less effort</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>05</td>
<td>I think that COPD is a mild disease since it is treated with inhaled drugs</td>
<td>Disease perception</td>
</tr>
<tr>
<td>07</td>
<td>I cannot easily accept to have COPD</td>
<td>Disease acceptance</td>
</tr>
<tr>
<td>08</td>
<td>Inhaled drugs are less effective than pills, tablets, or other oral medications</td>
<td>Disease perception</td>
</tr>
<tr>
<td>09</td>
<td>Treatments for COPD must be taken regularly</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>10</td>
<td>I prefer to ignore COPD symptoms</td>
<td>Disease perception</td>
</tr>
<tr>
<td>12</td>
<td>A regular therapy reduces the risk of sudden worsening</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>14</td>
<td>Inhaled drugs help improving the fatigue in breathing</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>15</td>
<td>I am worried about my COPD</td>
<td>Disease acceptance</td>
</tr>
<tr>
<td>18</td>
<td>Spirometry is a fundamental examination for the COPD diagnosis</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>19</td>
<td>I will never heal from my COPD</td>
<td>Disease knowledge</td>
</tr>
<tr>
<td>20</td>
<td>I think I will never heal from my COPD because there is lack of effective drugs</td>
<td>Disease perception</td>
</tr>
<tr>
<td>21</td>
<td>I prefer not to think about my COPD</td>
<td>Disease perception</td>
</tr>
<tr>
<td>22</td>
<td>I am angry because of my COPD</td>
<td>Disease acceptance</td>
</tr>
<tr>
<td>23</td>
<td>Drugs for COPD improve my symptoms</td>
<td>Awareness of treatment needs</td>
</tr>
<tr>
<td>24</td>
<td>I am having difficulty living with COPD symptoms</td>
<td>Disease acceptance</td>
</tr>
<tr>
<td>25</td>
<td>I wonder why COPD happened to me</td>
<td>Disease acceptance</td>
</tr>
<tr>
<td>26</td>
<td>COPD is a chronic disease and it is not possible to heal from it</td>
<td>Disease knowledge</td>
</tr>
</tbody>
</table>

Notes: Final list of the 20 selected items and of the respective domains. Seven items that were removed during the selection process are not shown. The questionnaire with the indicated items was administered to patients in their original, Italian version. The English translation provided in the table was not linguistically validated, and it is shown only for informative purpose. Items #03, #06, #11, #13, #16, #17, and #27 were removed from the final DACQ, based on the results of the validation statistical tests performed.
Disease awareness extent

The following results were obtained considering the patients’ answers to the 20 items of the DACQ.

The mean level of awareness (normalized DACQ total score) was 69 (SD=11, N=367; Figure 1). No significant differences in DACQ total or domain scores were found between younger and older patients or between males and females (Tables S5 and S6). On the contrary, significant differences were identified among COPD severity groups in terms of disease acceptance (P<0.0001), disease knowledge (P=0.0007), and DACQ total score (P=0.0084; see Table S7 and Figure 2). Notably, significant differences were also found between patients with CAT ≥10 vs CAT <10 for disease acceptance (P<0.0001), awareness of treatment needs (P=0.0453), disease knowledge (P=0.0005), and DACQ total score (P=0.0004; see Table S8 and Figure 3).

The association between patients’ awareness and their main demographic and clinical characteristics was investigated (Table 3). Consistent with the above-reported results, the CAT score negatively affected disease acceptance (β=−1.2944, P<0.0001), awareness of treatment needs (β=−0.4539, P=0.0003), and DACQ total score (β=−0.4242, P<0.0001). However, there was no association with disease knowledge.

Discussion

The extent of patients’ COPD awareness has been poorly investigated thus far. Despite the ongoing gainful efforts to increase COPD awareness, further actions are definitely needed to evaluate all domains involved and to launch appropriately tailored interventions.

During the SAT observational study, the DACQ was generated and tested following well-established procedures. The DACQ provides both a total score (indicating the global level of awareness) and specific scores about: 1) disease knowledge (the mastery of a correct knowledge on COPD characteristics), 2) awareness of treatment needs (the level of subjective view on necessity and role of COPD therapy), 3) disease perception (how the patient perceives COPD in terms of features and consequences), and 4) disease acceptance (the individual acceptance of thoughts and feelings related to COPD).

DACQ proved to be easily understandable by patients and showed good reliability in terms of internal consistency, adequate construct validity, and moderate-to-good stability over time, making it suitable for the application. In the absence of a “gold standard” to evaluate awareness, we assessed the level of convergence of DACQ with the PROs. Convergent validity is generally considered adequate if the correlations with an instrument measuring the same construct is >0.50. However, as recently proposed, if comparator constructs are only vaguely related to the construct under study, more flexibility in interpreting the correlation magnitude is allowed. The TSQM-9 and B-IPQ (evaluating treatment satisfaction...
and threatening views of diseases, respectively) were moderately correlated with awareness (DACQ total score), since they do not assess the same construct of DACQ. The correlation with CAT and mMRC was even lower, confirming the divergent validity. Good reliability in terms of internal consistency was achieved for all the domains, except for disease perception, which showed a Cronbach’s $\alpha$ slightly below the acceptable threshold. This is probably due to the fact that the “disease perception” domain comprised the items showing lower factor loadings in the factor analysis. However, we decided not to remove them because we believed that they reinforce the overall assessment of the DAC construct.

In the patients referring to pulmonology centers and enrolled in the SAT study, the level of COPD awareness was

Figure 2  Patients’ COPD awareness according to GOLD 2017 disease severity grades.

Notes: Normalized DACQ scores (range 0–100) for: (A) disease acceptance, (B) awareness of treatment needs, (C) disease knowledge, and (D) disease perception; median values (numbers), bottom and top of each box are the 25th and the 75th percentiles, respectively; bottom end and top end of the whiskers are minimum and maximum of all data, respectively. (E) Normalized DACQ total score; mean values (bars), 1 SD (whiskers). Higher scores represent higher levels of awareness. Asterisk (*) indicates a statistically significant difference ($P < 0.05$); the number of patients (N) in each GOLD 2017 grade group is indicated. Only two patients were classified as GOLD 2017 C grade; therefore, they were excluded from the analysis because of the insufficient sample size.

Abbreviation: DACQ, Disease Awareness in COPD Questionnaire.
Evaluation of COPD awareness

Disease acceptance was particularly poor on average, while awareness of treatment needs and disease knowledge were higher. COPD awareness was not associated with either patients’ age or gender. Disease awareness was lower in patients with a higher extent of symptoms in daily life. However, patients with a higher CAT score also had lower acceptance and consciousness of treatment needs, both of which are key components of awareness. This may explain why they had a limited global awareness compared with patients with lower CAT score. Therefore, the higher is the impact of symptoms, the lower is the acceptance of the disease and the greater necessity of therapy. This aspect could be due to patients’ doubts about treatment efficacy since the pharmacological treatments for COPD neither cure nor provide immediate relief.

Patients with more severe COPD (GOLD grade D) are more likely to experience worsening COPD. This implies

Figure 3 Patients’ COPD awareness according to baseline CAT score.
Notes: Normalized DACQ scores (range 0–100) for: (A) disease acceptance, (B) awareness of treatment needs, (C) disease knowledge, and (D) disease perception; median values (numbers), bottom and top of each box are the 25th and the 75th percentiles, respectively; bottom end and top end of the whiskers are minimum and maximum of all data, respectively. (E) Normalized DACQ total score; mean values (bars), 1 SD (whiskers). Higher scores represent higher levels of awareness. Statistically significant differences: *P<0.05; **P<0.01; the number of patients (N) in each CAT group is indicated.
Abbreviations: CAT, COPD Assessment Test; DACQ, Disease Awareness in COPD Questionnaire.
that such patients should be more aware of their chronic condition and their need for life-lasting COPD treatments. Consistently, we found that disease knowledge was positively associated with COPD severity. In particular, grade D patients showed stronger background knowledge of their condition compared with grade A patients. Hence, the more severe the disease, the more informed are the patients. The possibility of evaluating the different components of awareness allows for the detection of the specific needs of each group of patients and for planning personalized strategies and interventions.

These study findings highlight that the patients’ knowledge about COPD is quite satisfactory, while their acceptance and perception of the disease need to be enhanced. Targeted education programs, as well as a more effective communication between health care providers and patients, are desirable to improve patients’ understanding and attitude to cope with their condition.

Our study was conducted in a real-life setting using the COPD severity staging according to GOLD 2017 recommendations, which is based on symptoms and history of exacerbations exclusively in which a large variability of severity staging at the individual patient level is proved. Most patients were classified as grade B (ie, with a lower risk of exacerbations but with a higher impact of COPD on their lives). Grade A, C, and D patients accounted for only ~31% of the sample. Similarly, subjects with CAT <10 (ie, lower impact of symptoms) were approximately one-fourth of the sample. However, in this observational study, no selection methods were implemented to examine more balanced groups of patients according to the investigated clinical characteristics because our aim was to survey a representative sample of the patients managed in the real-life specialist respiratory setting. Nevertheless, further studies in other settings, and with a different distribution of patients’ severity and symptoms, would be useful to further explore our study findings.

Previous studies developed different kinds of surveys somehow related to awareness in patients with COPD by means of tools that did not undergo a proper validation process. A population-based questionnaire with 85% sensitivity and 45% specificity has been proposed as a screening tool for persons unaware of risk factors for COPD. An Internet-based survey focused on the perception of the disease and its exacerbations in a real-world population of patients with COPD, resulting in most patients being worried about long-term health and premature death. A national public survey with a structured questionnaire evaluated the perception of a large Italian population with obstructive lung diseases, highlighting the need for interventions to improve the awareness of these disorders and of COPD in particular. In the clinical setting, an Indian survey used different COPD awareness questionnaires and obtained similar results, indicating the urgent need for educating the public to increase the awareness of patient with COPD for the improvement of their self-management and quality of life.

Beyond these interesting results, the DACQ tool presented here is unique because it analyses different domains composing COPD awareness that may allow the patients to reach both knowledge and acceptance of the disease and its treatment. Therefore, the results of this study indicate that DACQ may represent a valid tool for further insights on DACQ.

**Conclusion**

The validated DACQ proved to be a reliable PRO instrument for measuring a complex, multifaceted construct such as...
disease awareness of COPD patients and it is easy to complete and score. This tool was developed during the SAT observational study in a real-life clinical setting. The results of this study showed an incomplete awareness of patients cared for in a specialist setting and provided the basic data for developing strategies to improve the diseases awareness in COPD patients.

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Author contributions
All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure
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