

# UNIVERSITÀ DELI STUDI DI MILANO

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# Keeping up Appearances: Colors and their Looks

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ii

They'll sell you thousands of greens. Veronese green and emerald green and cadmium green and any sort of green you like; but that particular green, never.

- Pablo Picasso

It's not easy being green.

— Kermit the Frog

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# **Contents**

Introduction	1
1 Color theories	5
1.1 Color Realism	7
1.2 Color irrealism	
1.3 Color Relationalism	15
2 The Complexity of Constant and Variable Colors	
2.1 Color constancy: invariantist and variantist approaches	
2.2 The discriminatory theories	
2.3 On the complexity of viewing scenarios	30
2.4 Represented surfaces, transparencies and illumination	
2.5 Towards the complex discriminatory view	
3 The Tricky Colors	
3.1 The trickiness of color experiences	
3.2 Experiences of reddish-greens and yellowish-blues	
3.3 The evidence of reddish-green experiences	54
3.4 The implications of the evidence	57
4 Color Appearances	70
4.1. Lessons from previous chapters	
4.2 Detection and Discrimination	72
4.3 The edibles, memory and object recognition	74
4.4 Appearance-reality distinction	76
4.5 Effortlessly chic: Appearances through rose-colored glasses	80
Literature	

# Table of Figures

3
16
31
33
39
40
48
50
52
53
54
56
79

## Introduction

Color is one of the most obvious yet tricky features of our experience of the world. On the one hand, colors seem to be stable and reliable features of the objects around us, and on the other, when we try to pinpoint their locations and describe them in scientific manner, they lose their vividness. This seemingly double-nature of color stands as a theoretical divide among different views on color. If colors are nothing but actual properties of the objects as we see them, then one might want to commit to a form of color realism. Contrary, if colors are nothing but products of neural activity of the viewer, one could commit to color irrealism. Far from simple as this, the present discussion stretches among complex interpretations on the nature of color and their appearances.

There are two intuitive ways to address the problem of color. One is to look at perceptual variation of experienced color and the other is to look at the relation between color appearances and their supposed physical counterparts. Perceptual variations generally come in three dimensions: inter-species variation, interpersonal variation, and intrapersonal variation. The inter-species variation concerns the differences between different kinds of visual systems among a variety of organisms. While normal human visual systems are trichromatic with two types of photoreceptors (rods and cons), pigeons and many other birds are tetrachromatic. Even more striking is the mantis shrimp with sixteen types of photoreceptors. There is no principled way to determine which organism perceives the world in its true colors<sup>1</sup>. The interpersonal variation concerns the differences in experienced color among organisms with the same visual system. The same kind of visual systems diverge in the number of photoreceptors and their peak

<sup>&</sup>lt;sup>1</sup> See Cohen (2009).

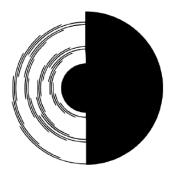
sensitivities, plus there are "anomalous" cases that do not count as deficiencies such as color blindness. So, also for this kind of variation there is no principled way to select what counts as a standard perceiver (concerning human color vision) beyond some statistical average.<sup>2</sup> The third type of variation concerns the variation in experienced color of a single stimulus within a subject. This could be change in perspective, background, filter (e.g. tinted sunglasses) or a consequence of aging.

The second way to address the problem of color is strictly connected to the first one in the following way. The complexity and entanglement of the variations I have described, makes us wonder whether the commonsensical intuition that object are bearers of color is correct, which brings us to the problem of color appearances. How is the way in which colors appear to us related to properties of the physical world? Given the delicate relationship between these properties on the one hand and color experiences on the other, it comes natural to wonder what is the status of color appearances is and what is the role of our perceptual system. This will be the central worry of the thesis.

Color appearances are commonly featured when philosophers of perception try to secure the place for possible perceptual errors and aim at finding a way for distinguishing between veridical and erroneous case of perception (this typically happens in epistemological discussions on skepticism). Concerning color, one way is to make a differentiation between apparent and objective color. Consider the example of the Benham's disk (Figure 1). When viewing the rotating disk the one experiences flickering colors on the otherwise black and white disk. In order to explain the phenomena, one can argue that this experience is a representation of a subjective or apparent color while these colors are not an objective property of the disk as,

<sup>&</sup>lt;sup>2</sup> See Chirimuuta (2015), Hardin (1988) and Cohen (2009).

for example, red is an objective color of a tomato.<sup>3</sup> As in the case of perceptual variation, there seems to be no principle way to pick out the objective color of the object.



*Figure 1*: The Benham disk – when rotating this black-and-white disk at a certain speed, the pattern appears to contain colored rings.

### **Synopsis**

In the first chapter, I briefly sketch the debate on the color theories to get an idea of what are the basic issues concerning color ontology. Although the aim of the thesis is not to give an ontological account on the nature of color, it is relevant to see what are the underlying motivations for understanding color appearances and whether it is a good idea to even draw ontological conclusions on the basis of color appearances. In the second chapter, I discuss the variability and invariability of color experiences. I consider the color constancy phenomenon as a prevalent feature of color experiences that has been mainly put forward as a premise in realist argumentation. Since color of an object appears to stay the same through various circumstances, the unchanging feature is the color that somehow resides in the object. I aim to challenge this line of thought by showing that color constancy is a much more complex

<sup>&</sup>lt;sup>3</sup> See Byrne and Hilbert (2003) on the analysis of the Benham disk.

phenomenon that has been traditionally assumed. The preferred view on color constancy should not only acknowledge the complexity, but should be neutral on whatever ontological status of color properties is. In the third chapter, I discuss the evidence of so-called impossible colors. These are experiences of opposite hues: reddish-green and yellowish-blue. This phenomenon has been considered as a counterexample to the realist account on color. I aim to demonstrate that this inference is mistaken. Moreover, I show that reddish-green experiences tell us more about the way our perceptual system work rather than what is the ontological status of color properties. In the last chapter, I address the issue on color appearances in general. The conclusions drawn from the previous chapters insinuate that color appearances do not give us direct information about the alleged externality or internality of colors. Following this line of thought, I propose to stay agnostic about the ontological status of color vision is to discriminate among rather than detect properties. I conclude by showing that color vision understood as nonprimal discriminatory capacity does nevertheless, has important roles in visual perception. Among others, its perquisites are effortlessness and usefulnessness.

### **1** Color theories

The positions on the nature of colors differentiate depending on what kind of relation supposedly is between color properties and color experiences. The standard view on positions in color ontology primarily differs between realism and irrealism about color. Briefly, realist position is that objects bear color properties, while irrealist maintains that colors are merely properties of our experience. Moreover, realism commonly divides into two subcategories: mentalism and externalism. According to the former account, bearers of colors are mental items (such as sense-datum); while for the latter view colors are exemplified by physical objects. There are three main branches of externalist view: (i) physicalism, which generally claims that instances of color are physical; (ii) dispositionalism, according to which colors are dispositions to affect perceivers; and finally (iii) primitivism, according to which colors are sui generis properties. On the irrealist side of the main division, the most known view called eliminativism holds that objects just seem to be colored because of the erroneous perceptional representations. In this sense, nothing in the actual world is colored. What is an adequate taxonomy of positions in color ontology is of course a subject to discussion. Alternatively, Cohen (2009) proposes a refined taxonomy that divides theories on whether they are relational or non-relational. For him, the standard taxonomy is problematic because, for example, irrealism is not incompatible with physicalism, dispositionalism and primitivism. Moreover, neither are these three accounts necessary committed to externalism. The main feature of Cohen's novel taxonomy is the distinction between relationalist and non-relationalist accounts. Non-relationalists in general deny that colors are constituted in terms of relations to the perceiving subjects. Moreover, they assume that molecular duplicates of colored things will be colored even in the worlds where there are no perceiving subjects. Such theories are for example Identity Theory and Primitivism. Cohen's relationalist branch is more elaborated since this is the view he generally defends.

Under relationalist accounts, Cohen categorizes dispositionalism, role functionalism, ecological relationalism etc. Briefly, speaking, ecological relationalism defined by Thompson (1995) and his colleagues (Thompson et al. 1992) argues against separateness of perception and action, namely the animal and the environment. Accordingly, colors are not properties in the world to be recovered but are rather properties that "result from animal-environment codetermination"<sup>4</sup>. The sensory classificationalism view (Matthen, 2005), for example, holds that stimuli of sensory perception are sorted into sensory classes: "things are not classified as red because they look red (under normal circumstances); instead, they look red because the visual system has determined that they are so"<sup>5</sup>.

In what follows, I will refer to taxonomy mainly adopted by Hilbert (1998). Accordingly, in the discussion will generally differentiate between realism, irrealism and relationalism about color.

According to realism, color properties exist and are being instantiated by objects in the actual world. On the other hand, color irrealist argue that color is not a property of an external world and therefore no object instantiates color properties. For this reason, irrealist accounts are often called eliminativist theories of color. Since color irrealism flies in the face of the ordinary conception of color, a typical assumption is that one becomes its proponent after eliminating all other theories on the basis of scientific facts. There is, however, a middle way to go: understanding colors as properties constituted in terms of relations between objects, visual systems, and viewing conditions. What the relationalist view has in common with realism is that colors are considered as properties of physical objects but are mind-dependent. Rosenthal (2001) called this similarity 'the assumption of univocality', according to which one uses the same color terms to refer to the properties of objects *and* to color experiences. In the case of realism, mental colors are reduced to representations of physical colors and in the case of

<sup>&</sup>lt;sup>4</sup> Thompson et al. 1992, p. 21

<sup>&</sup>lt;sup>5</sup> Matthen, 2005, p. 24

relationalism, physical colors are reduced to color experiences. Another alternative to the realism/irrealism division is the double property theory, according to which there are physical and mental colors but they do not stand in such reducible correspondent relation. For the terms used for physical colors, refer to different things than terms used for mental colors.<sup>6</sup>

#### **1.1 Color Realism**

For realists, color properties exist and are being instantiated by objects in the actual world. Realist generally agree on two claims about colors. First, colors are mind-independent properties. Second, colors are properties of objects. The motivation for realism is plain simple. Our experience of the world is such that colors do seem to be properties of the objects we perceive. What realist strives for is that there must be some kind of micro property of the object, which ensures the object looks that particular color. In this way, colors are mind-independent properties because they do not depend on a perceiver or being perceived. Since realism takes ordinary perception of the world as veridical, it faces a following puzzle:

"CS: (Ordinary) objects are colored.

CP: Ordinary objects are bundles of basic scientific objects.

PS: Basic scientific objects are not colored."7

The realist intuition splits into two kind of views. First is physicalism and second primitivism. There is, however, another stream of color realism according to which colors are properties of mental objects. This, so-called sense-data theory, is perhaps the least received view since many doubt the overall ontology of sense-data theory and its explanatory power in understanding

<sup>&</sup>lt;sup>6</sup> See Brown, 2006

<sup>&</sup>lt;sup>7</sup> Rubenstein, 2007.

perception. In what follows, I will focus on the two main forms of realism: physicalism and primitivism.

For physicalist, the chromatic properties we experience are identified with physical properties. For Byrne and Hilbert (1997), representatives of physicalism, colors are "to be identified with properties whose natures (a) are specifiable in ways that do not employ color concepts, and (b) are not constituted by relations to the psychological states of perceivers"<sup>8</sup>. There are different streams of defining physicalism, depending on what that physical property is supposed to be. Armstrong's (1968) proposal is that the experience of color is the visual representation of the wavelength of light. The problem with the wavelength theory is that there is no reliable correlation between perceived color and the wavelengths of light reflected from objects falling on the eye. For example, an object will be sending different light rays when viewed in one setting than in the other. On the most received physicalist view, colors are identified with spectral surface reflectance (SSR) of objects (Hilbert 1987). According to this reflectance realism, the spectral reflectance of an object is a fixed property and it is as such illuminationindependent. Since this is among the most received views, I will in what follows, mainly discuss the SSR-physicalist view or what is also called reductive physicalism.

Physicalists generally accept the dichotomy between what is a possible candidate for a physical color one the one side and the experience of color on the other. For them, what gives rise to a qualitative color experiences are the quantitative physical properties that themselves are to be named "colors". In this sense, Jackson (1998) argues that colors are complexes of physical properties that make objects appear the color they normally do. Boghossian and Velleman (1991) make a more refined distinction between kinds of physicalism: (i) identity-physicalism and (ii) realization-physicalism. According to the identity-physicalism, color is identical with

<sup>&</sup>lt;sup>8</sup> Byrne and Hilbert, 1997, xxii.

its microphysical basis and nothing more than that. On the other hand, realization-physicalism holds that color is realized by its physical basis: "red is envisioned as a higher-order property – the property of having some (lower-order) property satisfying particular conditions – and the microphysical configuration is envisioned as a lower-order property satisfying those conditions..."<sup>9</sup> Under this interpretation, microphysical basis is merely a way of being red.

There are several ways to object to physicalism. Among them, for example, is the question on whether colors can be microphysical properties of objects when microphysical properties are not observable (Boghossian and Velleman, 1991). The second issue concerns the difference between features of experienced colors and of those that are physical properties, e.g. spectral surface reflectance. There are different kinds of causes of colors, such as surface color, volume color, and aperture color. Accordingly, for each color there is a set of metameres and not one reflectance curve. Metameres are pairs of stimuli that are different in physical characteristics, but they match in appearance under a certain illumination. This means that two objects can appear same in color but have distinct reflectance, which is particularly troublesome for a SSRphysicalist. The third line of objections to realism concerns the structure of the experienced color space. Hardin (1988), for example, argues that perceived color relations should be compatible with any color theory. Meaning that if colors are surface spectral reflectance they should comply with the standard division between unique and binary colors. Color space categorizations stand as phenomenological representations of a trichromatic human perceptual similarity space of colors. Unfortunately, for a physicalist, there are no physical properties that correspond to these kinds of divisions or relations. The fourth possible trouble for physicalism is given by perceptual variation. Consider the case where the same chip looks greenish to me and bluish green to my neighbour in the library. According to physicalism, the chip of the color is a physical kind that is perceiver-independent and circumstance-independent. If so, then only

<sup>&</sup>lt;sup>9</sup> Boghossian and Velleman, 1991, p. 73

one of the two representations exemplifies the right physical kind. The question is, for what reason one is veridical and the other not.

Now, let's turn to a different version of color realism. For primitivist<sup>10</sup> colors are *sui generis* properties that are unanalyzable and irreducible to some other microphysical properties. Accordingly, colors are to be understood in an ordinary or simple way, this is, objects have the color they seem to have. However, what is called color cannot be reduced to some further properties like a physicalist or dispositionalist would have it. It is rather that colors are associated or correlated with some other properties that are, however, numerically distinct properties. As Cohen (2009) points out, primitivists build their view on what colors are not rather than what they are. For this reason, primitivism is often referred to as the last resort view. Apart from the conceptual and semantic thesis about colors being simple-as-perceived properties, primitivists account also for the metaphysical thesis, according to which objects actually do have colors they seem to have (Maund, 2012). What a primitivist is pressured to explain is how colors *are* connected with the physical properties, if they are not microphysical or dispositional properties themselves.

The common criticism of primitivism is that it is an *ad hoc* view. This is because it seems questionably easy to posit properties as *sui generis* when they are not otherwise understood (Cohen, 2009). Apart from being in a weak dialectical position as a last resort view, primitivism faces objections from interspecies variation. Byrne and Hilbert (2007) point out that the goldfish, for example, are sensitive to the wavelengths in the near ultraviolet zone, which is the area that falls out of the range to which human vision is sensitive. This is to say that two objects with different reflectance profile near the ultraviolet zone will look different in color for the goldfish but not for the human. Now, how can the primitivist deal with this case? Byrne and

<sup>&</sup>lt;sup>10</sup> Some representatives are: Campbell (1993), Westphal (1987), Gert (2008), Kalderon (2007).

Hilbert discuss four options, none of which seems to be a viable possibility for a primitivist account. First, one might say that there are some colors that humans cannot represent while goldfish can. This is a no-go for a primitivist since our experience reveals the essence of colors (the revelation thesis) in a sense that precludes experience of any color out of the color solid. Therefore, for the primitivist, the goldfish colors simply cannot exit since they fall out of the color solid range. The second way for the primitivist is to say that the differences the goldfish represents are not color differences but rather cases of systematic errors in color discrimination. Both, the human and the goldfish, are responding to the same range of colors but one is a subject to color illusion. This explanation is rather problematic because there is no principle way to single out one representation as right and the other as wrong. Moreover, the fact that the humans are not sensitive to particular differences does not entail that there are no such differences. So, accusing goldfish of such error does not seem motivated. The third option for primitivist is to say that only humans represent colors while goldfish represent color-like properties. These can be, for example, some idiosyncratic properties that are not fundamentally related to humancolors. This is a rather an ad-hoc answer since it seems hardly convincing that very similar physiological perceptual systems detect fundamentally unrelated properties in the environment. The answer also poses additional problems in explaining cases such as blindsight.

#### 1.2 Color irrealism

A general assumption of color irrealism is that colors are not properties of physical objects as we ordinarily take them to be. Since the experience of colors cannot be explained by properties residing in the object, the physical colors cannot exist. This is to say that nothing is actually colored even though the representation of the world is such that it looks like it is. For irrealists, these representations are simply erroneous. Palmer (1999) neatly illustrates the conflict between the scientific descriptions of color properties and the ordinary perception of color: "People universally believe that objects look colored because they are colored, just as we experience them. The sky looks blue because it is blue, grass looks green because it is green, and blood looks red because it is red. As surprising as it may seem, these beliefs are fundamentally mistaken. Neither objects nor lights are actually 'colored' in anything like the way we experience them. Rather, color is a psychological property of our visual experiences when we look at objects and lights, not a physical property of those objects or lights. The colors we see are based on physical properties of objects and lights that cause us to see them as colored, to be sure, but these physical properties are different in important ways from the colors we perceive."<sup>11</sup>

There are two main motivations for an irrealist<sup>12</sup> account. First, perceptually speaking, colors stand in certain kind of similarity relations. For example, orange is more similar to red than it is to green. Moreover, orange is perceptually considered as a binary hue, mix of red and yellow, while red or green are unique hues since they are not mixtures. However, these kind of similarity relations do not stand when considered in surface reflectance terms, this is, perception-independent terms. As Jakab (2001) illustrates, a surface that looks orange emits 590 nm light is no more a mixture of lights than it is a surface that looks unique yellow emitting 577 nm light. This is to say that there are no systematic differences when hues of surfaces are described in non-perceptual terms. Therefore, there are no parallels in perception-independent terms with the color relations as perceived. Second, the same surface can look different in color through different illumination (intra-species variation) or can look different to different observers in the same illumination (inter-species variation). Overall, this suggests that there is no one relevant surface property that corresponds to a specific color percept. Based on these two kinds of motivations, irrealists conclude that there are no object colors. Since objects do look colored,

<sup>&</sup>lt;sup>11</sup> Palmer, 1999, p. 95

<sup>&</sup>lt;sup>12</sup> Some of the irrealist views include works of Hardin, 1988; Boghossian and Velleman; 1997a; McGilvray, 1994.

irrealist theories typically turn out to be the error theories of the visual experience because our perception falsely attributes colors to external objects when they actually belong only to the visual experience. For this reason, color irrealism is often called illusion theory or eliminativism.

The obvious objection such irrealist account faces is that it is severely revisionary since it accuses the ordinary perception of colors as systematically erroneous. A quick way to reply to this objection is to argue that there is nothing so inappropriate with deep revisions if the alternatives come with greater costs. This is, however, a rather weak position to take since it categorizes the view as a last resort view. An example of an irrealist account is color projectivism. The basic idea of projectivism is that by color experience we 'project' the subjective, sensory qualities onto the physical objects around us. In this respect, the experience of color is similar to the experience of pain. Assumingly, when undergoing a toothache, the pain is being represented as being in the tooth. Similarly, colors are being represented as properties of the object. Averill (2011) posits the following projectivist's claims:

"P1: the property of being red is identical to the property of being p-red<sup>13</sup>, i.e., the qualitative, sensuous, and intrinsic property that paradigm red objects look like they have when viewed in normal circumstances. And similarly for other colors.

P2: color properties are not instantiated by objects around us."<sup>14</sup>

Following these two claims, our experience of color is systematically non-veridical. For this reason, projectivism is understood as an error theory of perception. Moreover, the systematic error is assigned as well to the usage of color attributions in ordinary language. Not just that all color-talk is erroneous, the projectivist is unable to distinguish between correct attribution of

<sup>&</sup>lt;sup>13</sup> 'p-red' meaning 'phenomenal red'.

<sup>&</sup>lt;sup>14</sup> Averill and Hazlett, 2011, p. 757.

color and an incorrect attribution in ordinary language. Averill (2011) suggests that this problem can be solved by appealing to cognitively, instead of visually, represented colors. He claims that ordinary color terms denote, so called, agreement colors instead of color properties: "necessarily, an object is a-red<sup>15</sup> if normal perceivers agree, or would agree, that the object looks red under normal conditions; and similarly for other colors."<sup>16</sup> Therefore, according to Averill, what is being instantiated by objects around us are a-color properties.

Now, if color projectivism holds that color properties are not being instantiated by objects around us, then the question is where else are they instantiated. Shoemaker (1994) defined terms 'literal' and 'figurative' projectivism. These views differ in respect to whether the projected properties are instantiated somewhere or not at all. According to figurative projectivism, our vision represents objects as colored but these color properties are not being instantiated anywhere. Color properties only seem to be instantiated due to the way perceiver is constituted. On the other hand, the literal projectivism holds that the content of visual experience represents external objects as possessing color properties that in fact belong only to visual field. One version of such view is, for instance, defended by Averill (2005 and 2011), and more famously by Boghossian and Velleman (1989). Despite the fact that according to projectivists, visual experience is ordinarily naively realistic, this view does not do injustice to the ordinary color concepts. Even if the color concepts are not being instantiated in some obvious way, our representations can nevertheless help us to understand why we form the color concepts as we do. This is because projectivism does not rule out cognitively represented color properties.<sup>17</sup>

<sup>&</sup>lt;sup>15</sup> Meaning 'agreement-red'.

<sup>&</sup>lt;sup>16</sup> Averill, 2011, p. 759.

<sup>&</sup>lt;sup>17</sup> See Averill, 2011, p. 759.

#### **1.3 Color Relationalism**

According to the taxonomy used in this chapter, color relationalism stands as a middle ground between the opposing views: realism and irrealism about color. Relationalist' colors are in this midway position because they are considered properties of physical objects but are at the same time essentially mind-dependent properties. As mentioned earlier, Cohen (2004) takes it that all color theories divide between either relationalist or non-relationalist views. In this sense, color relationalism cannot be seen as a middle way between the two alternatives. There are also other relationalist views that are connected to action-based theories of perception. These are for example two theories mentioned earlier: the ecological view of colors (Thompson, 1995) and Sensory classificationalism (Matthen, 2005).

Cohen (2004) claims that colors should be understood as relational properties. A typical relational property is 'being a sister' and a typical non-relational property is 'being cubical'. Cohen forms the following question in order to apply the relational/non-relational discussion to colors: "Suppose x is red; then, as we modify things other than x and thereby modify the relations x bears to other things, will x (necessarily) continue to be red?"<sup>18</sup> According to the relational theory x need not continue to be red. This is why for Cohen 'being colored' is more like 'being a sister' than like 'being cubical'. More precisely, he states that colors are constituted in terms of relations between objects, perceivers, and viewing conditions. Relationalist motivation for the defense of a relational account of color is based on the cases of perceptual variation that show the wide range of perceptual effects of a single stimulus. Here's his master argument:

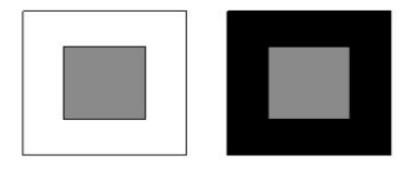
"(1) There are multiple, psychophysically distinguishable, perceptual effects (in respect of color) of a single color stimulus (Figure 1).

<sup>&</sup>lt;sup>18</sup> Cohen, 2004, p. 453.

(2) There is no independent and well-motivated reason for thinking that just one of the variants catalogued at step 1 is veridical (at the expense of the others).

(3) Given that there is no well-motivated reason for singling out any single variant as veridical (at the expense of the others), an ecumenical reconciliation of the variants is preferable to an unmotivated stipulation in favor of just one of them.

(4) The best way to implement such an ecumenical reconciliation between apparently incompatible variants is to view them as the result of relativizing colors to different values of certain parameters, which is just to admit that colors are relations between objects and those parameters."<sup>19</sup>



*Figure 2*: *The two center gray squares have equal reflectances, but the one against the lighter background appears darker than the one against the darker background. (Cohen, 2009, p. 24)* 

Cohen points out that his argument from perceptual variation is not intended as a "knock-down deductive argument", but rather as some kind of an inference to the best explanation and a way to avoid *ad hoc* stipulations usually implied by non-relationalists' answers to perceptual variation. According to Cohen, the desirable aim in consideration of perceptual variation is to treat all the viewers and circumstances as equally good instead of making unmotivated stipulations by favoring just one variation. This equal treatment is what Cohen calls ecumenical reconciliation of the variants.

Cohen's argument seems to get problematic already with its second premise. There he argues that the example of perceptual variation in the case of the simultaneous color contrast cannot

<sup>&</sup>lt;sup>19</sup> Cohen, 2009, p. 26

be explained in terms or non-relational properties because these kinds of properties do not depend on circumstances. However, it seems that one can find perceptual variation among nonrelational properties as well, for instance, length. Consider the Müller-Lyer illusion where lines appear different in length in two separate conditions (the directions of arrows) even though they are exactly the same in length. This is analogous to the case of simultaneous color contrast, where when the gray patches are put together one sees that they are the same shade. It seems that Cohen mistakes the property with the recognition of the property, because it does not follow that if a property *appears* different in different relations it actually is relational, as is shown in length analogy. In his third premise Cohen argues that if one claims that color is a non-relational property then one does not respect all variations in the presented case (Figure 1), because one has to pick out just a single variation as veridical. Again, this conditional is unconvincing. This is because it is not clear why being non-relational entails not being ecumenical. Let us turn again to the case of non-relational property – length. In the case of perceptual variation of length (Müller-Lyer illusion) one does not judge that at most one variant is veridical because it is hard to imagine the case when the line looks its *real* length. So, the fact that property is non-relational does not imply that in case of perceptual variation one would have to pick out one single veridical variant. Furthermore, it seems that the proposed conclusion, namely that the best alternative is to say that color are relational properties, does not clearly follow that from the claim that we do not have a well-motivated reason for singling out just one variant. It appears to me that people do agree on what color things are and usually that objects have one (conventional) color. For instance, people (more or less) uniformly judge that strawberries are red and lemons are yellow, even though they might seem different in certain conditions. As I tried to show with these short remarks, Cohen's so-called master argument seems to stand

on rather weak grounds.

There is another version of relationalism – dispositionalist view. Dispositionalism about color holds that *red* for a subject in certain circumstances is the disposition to look red to a normal perceiver in standard conditions (*mutatis mutandis* for the other colors)<sup>20</sup>. The subject is usually defined as a normal perceiver and circumstances as standard conditions. The motivation behind dispositionalism about colors is the idea that colors are similar to properties like *fragility* and *solubility*. This is, only when suitable circumstances obtain the characteristic manifestations of these properties occur. Some of the major defenders of dispositionalism have been Descartes, Locke and Newton and more recent are McGinn (1983), Peacocke (1984) and Johnston (1992). The idea of normal perceiver and standard conditions is, according to Hardin (1988), rather problematic because of the changes in conventions concerning who are standard observes and which are standard viewing conditions.

Boghossian and Velleman (1989) point to two general problems with dispositionalism. First, the dispositionalist view seems to suggest that if we turn on the light in a room, colors would seem to *come on* when illuminated, just like the lamp comes on. While in the dark, colors would then appear like they are dormant. Since colors do not seem to behave in such way, dispositionalism conflicts with a commonsense view on color. Second, another issue is the color of the after-images. Those are visual images that persists after the visual stimulus causing them has ceased. Dispositionalists would have to claim that in the experience of afterimages the appearance of color in after-images is the appearance of a disposition to look red under standard conditions. However, for Boghossian and Velleman, colors of after-images cannot be described in terms of dispositions since they cannot be reintroduced on any other occasion than in the original one: "(the images) are perceived as exiting only in so far as one is perceiving them."<sup>21</sup>

 $<sup>^{20}</sup>$  This definition seems obviously circular, but dispositionalists try to avoid the circularity by arguing that one has to distinguish between two distinct notions of color: on the one side color as property of physical objects and on the other side color as a sensation.

<sup>&</sup>lt;sup>21</sup> Boghossian and Velleman, 1989, p. 86

Among more specific problems for dispositionalism, Boghossian and Velleman address circularity. The question is whether the word 'red' in the classical formulation of dispositionalism ('a disposition to look red is a disposition to give the visual appearance of being red') expresses the same property that the entire phrase purports to express.

After introducing basic tensions regarding the problem of color and the attempts to construct an ontological view, I will now turn to a specific issue underlying the problem of color appearances – variability and invariability of colors.

### 2 The Complexity of Constant and Variable Colors

How do colored things look? In order to understand what color appearances are and how to categorize them, one cannot avoid the discussion on color constancy and variation. In what follows, I will present the field of options in the recent debate on the color constancy phenomenon. Concerning this phenomenon, Cohen (2008) points out, there are two kinds of questions: how should we understand the phenomenon and what does the phenomenon tell us about the nature of color. It should be noted that the color constancy phenomenon as a prevalent feature of color experiences has been mainly put forward as a premise in realist argumentation. Since the color of an object appears to stay the same through various circumstances, the unchanging feature is supposedly the color that somehow resides in the object. As we shall see, this turns out to be a rather one-sided view of the phenomenon.

In this chapter, I attempt to show that the standard discussion of the color constancy phenomenon is unsatisfying. Although the recent trends in describing the phenomenon are promising, my proposal is that further work has to be done to give justice to the complexity of the constancy phenomenon. I take it that color appearances are fundamentally context-dependent and for this reason cannot be analyzed in isolation. As we will see, this feature of color perception cannot be fully captured in an experimental setting.<sup>22</sup> It is unsatisfying to build a theory based on only so-called good or standard viewing conditions. It has been shown that the more information is available to the subject regarding illumination of the observed scene, the less chance there is that the appearance of the target surface will be affected by changes in illumination and spatial context. Rudd (2003), for instance, argues that: "it would be a mistake

<sup>&</sup>lt;sup>22</sup> See Kuehni, 2003.

to define color in such a way that its definition holds only under conditions that are optimal for judging surface reflectance (where color constancy is never exact, in any case). And it would be a mistake to construct theories of color based solely on how the visual system functions under such conditions or even under natural conditions, more generally."<sup>23</sup> The idea is that the right kind of theory should account for any kind of observed scene.

My aim in understanding color appearances is to regard both features of appearances, constancy and variation, as equally as possible. To do so, I will first discuss the main positions regarding color constancy: variantism and invariantism. I will also address the recent experimental data on the color constancy phenomenon and what impact this data has on the overall discussion. Moreover, I will discuss the positive and negative sides of the most recent approach called discriminatory color constancy, which I take it to be a promising direction for the discussion. I will conclude by proposing the direction of a more complex discriminatory view that is neutral in regards to the ontological status of color.

#### 2.1 Color constancy: invariantist and variantist approaches

The color of the couch in your living room looks different on rainy mornings than on late summer afternoons. Yet you recognize it as the same old uncomfortable couch. Is it that when conditions change, things look differently colored? The focus of what follows will be to clarify this seemingly contradictory nature of color appearances. Matthen (2010) proposes the following intuitions as roughly describing the general conflict<sup>24</sup>:

<sup>&</sup>lt;sup>23</sup> Rudd, 2003, p.47

 $<sup>^{24}</sup>$  Note that these two intuitions are both from an externalist perspective – a visual experience is in and of itself as of an objective visual sense feature (as opposed to Isolation thesis p.6)

*"Constancy Thesis*: In good conditions of viewing, things look the way they are, even if these conditions change (provided that they remain "good").

*Variation Thesis*: When (relevant) viewing conditions change, things look different (provided that these things themselves stay the same in the relevant respect)."<sup>25</sup>

Let's consider what each of these intuitions brings about. If you were to look up what color constancy is, the definition would be along these lines: color constancy is invariance of apparent color across changes in illumination. In this sense, colors are stable, illuminant-independent properties. When experiencing an object through different kinds of illumination, what changes is not the color of the object but, for example, the perspective on color. Advocates of such account are for instance, Byrne and Hilbert (1997), Tye (2000) and Gert (2010). The idea behind this view is that in good conditions people reliably recognize the color of the object regardless of the changes in illumination. This is to say that objects tend to look the color they are.

Various experimental data shows that invariantism is not only a feature of human perception but that it can be found among a variety of species, such as honeybees and goldfish.<sup>26</sup> For instance, to test color constancy among bees, they were first trained to expect the preferable sugar-water in yellow dishes and pure water in green ones. The yellow dishes were then made to reflect the greenish light (a green cover was places over the dishes). Although the yellow dish no longer looked as it did in the conditions of learning, honeybees continued to go for the sugar-water. These experiments suggest that honeybees recognize the desired sugar-water regardless of the changes in conditions, this is, they recognize that the dish is yellow despite looking different.

<sup>&</sup>lt;sup>25</sup> Matthen, 2010, p. 10.

<sup>&</sup>lt;sup>26</sup> See Neumeyer (1998).

Brown (2014) points out two major problems with the invariantist approach. First, when observing the object's color in different stages of illumination (for example when we look at the skirt inside the shop and outside in the sunlight) we do not notice only its steadiness. What one observes is the steady *and* the variable features of the object's color. In this sense, what color constancy shows is that colors are both illumination-dependent and -independent properties. Second, Brown argues that the provided invariantist view is unsatisfactory because it is limited only to one kind of variable – illumination. He provides an example of a 'filter case' constancy. When one observes a green book through a glass of an amber beer, in such case (and similar ones including sunglasses or tinted windows) one experiences both: the greenness of the book and the amberness of the beer. So in order to give a complete account of the color appearance one has to include different kinds of constancy scenarios and acknowledge variability as much as stability. I will get back to the filter cases later on.

An alternative to the constancy intuition is variantism, the view that the object's color varies significantly and systematically with changes in illumination. As such, colors are illuminant-dependent properties. For example, for a variantist, the color of the wall in my room is yellowish in the late afternoon and whitish in the morning. As soon as conditions change, the perceived color appearance change as well. Since what changes is the color appearance, our constancy intuitions cannot be explained by the appeal to phenomenal invariance. In other words, as illumination changes, so do the colors of objects, not merely the perspective on color. An example of the variantist approach is Cohen's (2008) counterfactualist view. He argues that what is variable is the 'occurrent' experience and what is stable is the counterfactual color that one would experience if the illumination would change. What is phenomenally present to us in such scenarios is one of the variants while the constancy is something of a cognitive matter – an inference from the color elements that constitute the phenomenology. For example, one judges a white wall illuminated with yellow light as appearing yellow, but she infers that the

wall would look white if differently illuminated (e.g. in standard day light), which is the counterfactual color. Such inferences can be either conscious or unconscious. Berkeley, for example, argues that the inferences in color constancy scenarios are potentially conscious. On the other hand, Helmholtz (1924) famously accounts for the unconscious inference in visual perception:

"The psychic activities that lead us to infer that there in front of us at a certain place there is a certain object of a certain character, are generally not conscious activities, but unconscious ones. In their result they are equivalent to a conclusion, to the extent that the observed action on our senses enables us to form an idea as to the possible cause of this action; although, as a matter of fact, it is invariably simply the nervous stimulations that are perceived directly, that is, the action, but never the external objects themselves."<sup>27</sup>

For Helmholtz, perceptual constancy is a result of the unconscious mechanisms in the sense that the perceived color is independent from a belief about color, the process is inaccessible and its conclusion is not under the control of the perceiver. However, Cohen proposes a so-called neo-Helmholtzian account according to which the inference is unconscious while the premises and conclusion are consciously accessible. He tries to find a middle ground between unconscious processing and cognitive influence (such as instruction effects in the experiments).<sup>28</sup>

Variantists find support for their account in a variety of matching tests. There are two kinds of matching based arguments they usually appeal to: intuitive and psychophysical<sup>29</sup>. On the intuitive side, Noë (2004) proposes that if we were to match color samples with the color of the

<sup>&</sup>lt;sup>27</sup> Helmholtz, 1924, Vol. III, p. 4.

<sup>&</sup>lt;sup>28</sup> See Hilbert (2005) for criticism of Helmholtz and Cohen.

<sup>&</sup>lt;sup>29</sup> See Davies (2016) and Matthen (2010)

wall in the sunlit and in the shaded part we would pick up different samples. Even though our intuition might be that the wall is uniformly colored, the matching samples test suggests that the difference in phenomenal character due to illumination means difference in appearance of color. Continuing from simple intuitions, a lot of attention has been given to experiments on the asymmetric color matching tasks. In these psychophysical tests (e.g. Wyszecki and Stiles, 1982), subjects are asked to match a 'test patch' under one illumination with a 'target match' under a different illumination. Note that both of the patches are of the same reflectance but under different illumination. In order to do the matching, the subjects have to change the chromaticity (or lightness) of the test patch until it matches the standard one. The achieved color constancy degree is measured with the Color Constancy Index ranging from 1 to 0 (from perfect to absent constancy). Subjects are given two different kind of task instructions (Arend and Reeves, 1986/Arend et al., 1991):

- (i) 'Appearance match condition<sup>30</sup>': make the test patch match the hue, saturation and brightness of the target one.
- (ii) 'Surface match condition': make the test patch look as if it is cut from the same piece of paper as the target one.

The performance of the subjects in given tasks differs significantly. In the first one, subjects achieved lower Color Constancy Index value than in the second task. The results in the first task suggest that the experience of the equivalent reflectance stimuli is subject to illumination-dependent variation. These results support the variation thesis since hue, saturation and brightness stand as hallmarks of color appearance dimensions<sup>31</sup> and so they represent the way patches appear to subjects. As a variantist, Cohen (2008) takes this point to support his counterfactualist view: "When subjects make appearance matches...they make the regions

<sup>&</sup>lt;sup>30</sup> Adopted from Davies, 2016.

<sup>&</sup>lt;sup>31</sup> For instance, HSB color space.

cease to be discriminable (along whatever dimension they were previously discriminable) by adjusting the hue and saturation of one of them. Now, it is a standard assumption in visual psychophysics that the hue and saturation of a patch are dimensions of its apparent colour; if so, then adjusting the hue and saturation of the test patch just is adjusting the patch's apparent colour. Therefore, whatever the difference was in virtue of which the patches were initially visually discriminable, that difference can be offset by a difference in apparent colour. And this, in turn, might lead us to suspect that the difference revealed in the variance reaction is a difference in apparent colour..."<sup>32</sup> Taking the second task into consideration (higher success rate), what these experiments show is that color constancy performance largely depends on the instructions. The instruction effect shows that color constancy phenomena are much more complex and diverse than how the variantist is trying to portray it. On the one hand, the high success rate in the second task shows that subjects are good in determining the surface color *regardless* of the change in illumination. But on the other hand, in first task they do the matching *in consideration* with the change in illumination.

In more recent studies by Tokunaga and Longvilenko (2010, 2011) they argue that in order to understand dissimilarity judgments in experiments we must add another three dimensions. Apart from the usual material dimensions of hue, saturation and brightness, there are also lighting color dimensions of hue, saturation and brightness. When being presented with the stimulus, one can perceive both, a quality of the material hue and one of the lighting hue. The lighting dimension is apparent only in some circumstances, for example, when there are changing or multiple illuminants. According to Tokunaga and Logvilenko, this dual phenomenology fits best with so-called discriminatory color constancy, according to which one normally distinguishes changes in surface spectral reflectance from changes in illumination. They take it that the two sets of dimensions of color appearance are not independent, since

<sup>&</sup>lt;sup>32</sup> Cohen, 2008, 67-68.

"both of the triplets (of hue, saturation and brightness) are determined by object and light pair and not object separately and light separately. In this sense, the dimensions of both kinds of triplets are 'modelled as constituents of a single, complex object color attribute"<sup>33</sup>. Similar views, which will be discussed later, are defended by Matthen (2010) and Davies (2016). Clark (1993) is, however, sceptical about the interpretations of this kind<sup>34</sup> of experiment. He argues that the variable that is being changed is the lighting, so the subjects naturally assume that they are looking at lighting dimensions, but the display used in the study is such that in changing the illuminant, the authors also change the surroundings of each of the stimuli that are being compared. So, these experiments tell us how many dimensions there must be for a subject to make dissimilarity judgements, but they do not tell us what these dimensions mean. Overall, it seems that the experimental outcomes suggest that color constancy and variation are much more complex issues than the standard variantist-invariantist debate attempts to demonstrate.

For now, the discussion has shown that describing color constancy phenomena as either a matter of variability or invariability is phenomenologically limited. In what follows, I will illuminate the problematic parts of this rigid dichotomy and show how to incorporate both variability and invariability in an account of color constancy.

### 2.2 The discriminatory theories

That color constancy is a complex phenomenon is acknowledged by positions that attempt to preserve both intuitions about the color constancy scenarios. The motivation for abandoning the dichotomy between variantism and invariantism is to do justice to the experience: something stays the same while something else changes. In order to avoid one-sided explanation of the

<sup>&</sup>lt;sup>33</sup> Tokunaga and Longvilenko, 2010, 1744.

<sup>&</sup>lt;sup>34</sup> Note that this was published before the most recent experimental studies.

phenomenon one must take into the account both features of the experience. For example, Davies (2016) claims that the described dichotomy between variantism and invariantism is false since the color constancy scenarios are not about whether the color appearance is either stable or not stable. The stability can as well be a matter of a degree. This is to say that constancy can be more or less phenomenal. He emphasises the pluralist nature of the phenomena: "There exist many different types of colour constancy, with differing perceptual natures, which will be given differing psychological explanations."<sup>35</sup> Similar to Davies' account are those of Matthen (2010) and Brown (2014). They all attempt to overcome the discussion between variantists and invariantists and rely on some sort of discriminatory capacities.

For example, the idea of Matthen's Scene-parsing view is that "color vision system separates information concerning illumination from information concerning color"<sup>36</sup>, while the discriminatory color constancy view suggests that "our ability to discriminate a material change from a lighting change reflects our ability to distinguish a change in material color appearance from a change in lighting color appearance"<sup>37</sup>. The discriminatory color constancy view can be understood in two ways. Davies takes it that it is "explained by perceptual capacities that function to represent monadic properties of both surface material and lighting in the scene"<sup>38</sup>. On the other hand, Craven and Foster (1992) argue that discriminatory color constancy is explained by appeal to the subject's perceptual awareness of color relations (with no representations of surface material and lighting properties involved). These are so-called relational capacities. For Davies both aspects are legitimate but says that Craven and Foster cannot explain all viewing scenarios and that the notion of the relational capabilities is extremely underdeveloped.

<sup>&</sup>lt;sup>35</sup> Davies, 2016, p. 11

<sup>&</sup>lt;sup>36</sup> Matthen, 2010, p. 22-23.

<sup>&</sup>lt;sup>37</sup> Davies, 2016, p. 26-27.

<sup>&</sup>lt;sup>38</sup> *Ibid*.

It seems to me that the problematic part of Craven' and Foster's explanation of discriminatory capabilities is the requirement of the awareness of color relations. First, we might be aware or not aware of the relations of similarity or dissimilarity surfaces stand in. Following Dretske (1997), for example, one might be conscious of the change in appearance but not aware of being conscious of it. Second, what does it mean to be aware of color relations? Does this mean one has to be aware of the interaction between different features in the scene? It might be easy to be aware of the interaction in non-complex situations such as yellow light illuminating a white wall. However, if we find ourselves in a more complex environment, one might need more expertise to be aware of the *right* color relations, such as cases with multiple illuminations and glossy materials.

Similar to Craven and Foster, Davies's proposal also involves awareness: "On my view, in contrast, our ability to discriminate illuminant changes from material changes is grounded in the subject's capacity to perceptually discriminate changes in material properties and lighting properties themselves, via awareness of changes in material and lighting color appearance"<sup>39</sup>. If discriminatory color constancy works thanks to the awareness of the change in appearance of material surface from change in appearance in lighting, then this looks like an inference capacity of some sort. On such view, this account does not give possibility for making such discrimination without being aware of it, something that Helmholtz defined as an unconscious<sup>40</sup> inference, mentioned earlier. The overall awareness requirement is somewhat misleading since the core of successful color constancy is its automaticity. For this reason, it seems important that an account of color constancy includes the possibility of discriminatory processes that happen unconsciously. This might as well include not being aware of the exact relations observed surfaces stand in. As mentioned earlier, the instruction effects in matching tests rather

<sup>&</sup>lt;sup>39</sup> Davies, 2016, 27.

<sup>&</sup>lt;sup>40</sup> Note that also Cohen seems to understand 'being conscious' in terms of awareness (see Cohen 2008).

suggest that constancy processes are a matter of conscious and cognitive processes. However, Hilbert (2005) argues that one cannot draw such a conclusion solely based on the evidence of the instruction effects. Following this thought, it seems to me that one way to explain color constancy might be to include possibility of unconscious as well as conscious processes. One way to do so is to follow Cohen's proposal mentioned earlier, though without committing to variantism about color constancy.

Putting aside the trickiness of the awareness requirement, the trend of the discriminatory accounts seems promising and refreshing in the color constancy debate. Moreover, Davies' explanation of our discriminatory capabilities is preferable to Craven and Foster's since he accounts for THE subject's capacity to merely notice a change between material color appearance from change in lighting color appearance. The positive aspect of this view is that it overcomes the divide in the sense that it remains neutral in respect to the variantist-invariantist discussion. In what follows, I will address features of the discriminatory views that are either problematic or insufficient in representing the complexity of color appearances.

# 2.3 On the complexity of viewing scenarios

Davies' attempt to preserve the plurality and complexity of the constancy phenomenon is highly promising though he misses a few important features of color appearance. My aim is to develop Davies' and Matthen's views in order to give a richer account of color appearances. In my view, the change in color appearance is not exhausted by discriminating the change between surface and lighting appearance. For example, a common phenomenon that can significantly affect such discriminatory judgements is the simultaneous contrast effect (scene composition and configuration). Color simultaneous contrast effect (*Figure 2*) is a phenomenon in which objects appear to be different because of the different backgrounds they are placed against, although

they appear to be the same when put next to each other. So, apart from change in illumination, there can be a change in surrounding surface. This suggests that our discriminatory capabilities must be explained by something much more complex than merely discrimination between appearance of (target) material surface and lighting.

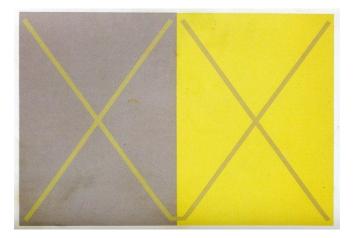


Figure 3: Simultaneous contrast effect (Albers, 2013)

It might be thought that illumination and surface are two basic features of the scene to which all other elements can be reduced to. For example, the surrounding color responsible for the simultaneous contrast effect is just another material surface in the scene one observes. In this sense, one makes a discrimination based on the change of *any* material color appearance and *any* lighting color appearance, including the relevant surrounding surfaces. However, this move does not really work for the simultaneous contrast effect since the illumination might be the same when the background color changes. In this case, what one should discriminate is the appearance of the target surface from the appearance of the surrounding surface. While this might work in some cases, there are some colors which cannot be viewed without a context. For example, brown without context appears yellow (similar goes for maroon and grey). For this reason, it is not only that context changes the appearance of colors but also expands the variety of colors we experience.<sup>41</sup> Furthermore, context-less color appearances seem relatively useless in case we are trying to give an account of the way colored things appear around us. Extracting an object from its environment in order to determine its color might certainly be useful in some cases. It could be right though that what one usually does is try to view an object under different illumination and not necessarily in a different background context (unless the object is visually indistinguishable from the background).

It seems clear that color appearances are essentially context-dependent. For this reason, it is crucial that varieties of contrast effect are included in an account on color appearances regarding discrimination. It might even seem trivial to say that color appearances are context-dependent since it is a banal fact about color appearances. No one would disagree that context is essential to the surface *and* illumination perception. Apart from Ganzfeld<sup>42</sup> scenarios, we never see one color without the context of other colors. While context-dependence might seem obvious, however, one can get information about the color of some surface without having any information about the illuminant. As Foster (2003) notices, what the standard constancy experiments measure is not strictly color constancy (classically thought of as constant appearance of surface color), but other aspects of scene perception: relationship between surface colors or illumination color. Perhaps for this reason many are tempted to explain color constancy based on the discriminatory relation between these two features. It once again seems that it is not enough to pick out two variables (although common) in the scene and claim that our discriminatory capacities are to be explained by these two.

<sup>&</sup>lt;sup>41</sup> See Shevell and Kingdom, 2008.

<sup>&</sup>lt;sup>42</sup> Ganzfeld experiences are those where the whole vision field is made to be featureless and taken up with, for example, a uniform field of a single color.

Indeed, there have been studies that tried to incorporate the complexity of the scene similar to the natural environment. In their study, Kraft and Brainard (1999) designed an experimental chamber (*See* Figure 3) that included several kind of cues in order to test three theoretical assumptions about how much the color constancy is determined by adaption to: the brightest surface in the scene, the mean luminance and the local contrast. These elements placed in the experimental chamber provided cues to the illuminant: "a Macbeth Color Checker, a cylinder covered in wrinkled aluminium foil, three objects made from gray cardboard, and one wall lined with gray cardboard."<sup>43</sup>

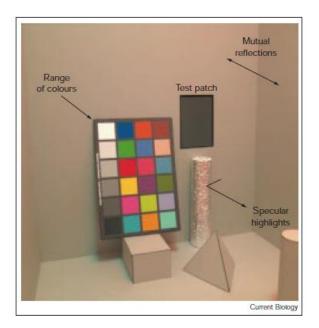


Figure 4: An example of an experimental chamber by Kraft and Brainard (1999)

The results showed that none of these alone were sufficient to achieve constancy. The highest constancy value achieved (83%) was when the scene in the chamber included the full set of cues. Color contrast cases mentioned earlier are considered as effects of the color constancy mechanisms.<sup>44</sup> As Hulbert (1999) points out, one of the most powerful contributors to constancy

<sup>&</sup>lt;sup>43</sup> Kraft and Brainard, 1999, p. 309.

<sup>&</sup>lt;sup>44</sup> See Brogaard and Garzia, 2017 and Palmer 1999.

is the local contrast that represents the immediate background of the object. The ratio of the local contrast is preserved even when significant changes of the illumination occur. In their experiment, Kraft and Brainard showed that when the effect of local contrast is silenced, the constancy index falls to 53%. The same goes for the global contrast that concerns the overall scene the subject is observing. The difference between the local and the global contrast is that they function on a different level of visual processing. The local contrast operates at early stages, while the global contrast operates in higher-order visual areas. The study by Kraft and Brainard represents the trend in vision science that recognizes that the complexity of real world perception cannot be captured in computer screen studies: "This limitation is especially true for phenomenon such as colour constancy, which is evidently not a single, simple operation, but the combined result of mechanisms that span the levels from sensation to cognition."<sup>45</sup>

Another instance where the proposed "simple" style of discrimination capabilities might not work are the filter color constancy cases (Brown, 2014). Filters, as already discussed, are one of the alternative candidates for what is varying in the scene that do not necessary involve varying illumination: tinted sunglasses, windows and fluids. As mentioned earlier, when observing an opaque object through a tinted liquid (e.g. the amber beer) we are experiencing the constant color of the object though through a layer of beer's color. Brown sees such cases as a support for his layering thesis, according to which we can simultaneously experience two colors in a form of layers – one opaque and one transparent. Since one of these remains constant and the other varies, we experience constancy and variability at the same time. Similarly, in the case of illumination change one experiences the constancy of the target surface through the "layer" of the changing illumination.<sup>46</sup> Now, the layering thesis is not intended to explain *all* kinds of constancy scenarios. What I find useful about this account is that it captures the

<sup>&</sup>lt;sup>45</sup> Hurlbert, 1999, p. 560.

<sup>&</sup>lt;sup>46</sup> The same goes for the shadows.

importance of both constancy and variation in the experience of the scene. Moreover, the layering account points to the filter case of constancy that has been mainly ignored in the literature. It opens the door to the complexity of the constancy in natural viewing conditions.

Matthen (2010) makes a step towards complexity by proposing the Scene-parsing thesis, according to which "some features must be ascribed to other things in the scene (not only the wall and the table): the wall looks white and the light looks pink and that's why the wall looks as white things look in pink light."<sup>47</sup> It is important to note that illumination was mainly seen as something that the visual system discards instead of acknowledging it as a stand-alone feature. Matthen, for instance, proposes that the visual system extracts (as opposed to discards) the illumination as well as many other features of the scene. For that reason, the scene-parsing view is promising because it acknowledges the complexity of viewing scenarios. The idea is that the visual system does not only gather the information about the scene but also parses the scene into usable information about different objects in the scene (which includes glossiness, shadows and contrast effects). It is important to note that the Scene-parsing proposal does not regard appearances of color properties specifically. Instead, it attempt is to explain the visual phenomenology of a large variety of properties and objects. As discussed earlier, for color constancy phenomena to be successful<sup>48</sup>, it takes contribution from several kinds of cues and features in the scene. Some take it that this shows that color constancy cannot be analyzed in isolation from a variety of not-strictly-color features in the scene.

In my view, a more accurate explanation of constancy capacities needs to be more complex - it needs to accommodate illumination change, filter effect, contrast effect as well as the effects of the variety of cues in the scene (e.g. glossiness). In a natural situation, the scenarios include at least two or three of those listed. For this reason, explaining discriminatory capacities as being

<sup>&</sup>lt;sup>47</sup>Matthen, 2010, p. 3.

<sup>&</sup>lt;sup>48</sup> Note that color constancy is never perfect but only approximate, *see* Hardin 1988.

the source of the change in only surface-light appearance seems to underestimate the complexity of the phenomenon - the exact same complexity Davies primarily wanted to account for.

## 2.4 Represented surfaces, transparencies and illumination

At this point, what is needed is to clarify what exactly is being represented in a viewed scene. On the one hand, there are individuals that are represented and on the other, there are features that cue what is being represented. Now, it is possible that something can work both as a represented individual in one viewing condition and as a cue in other. However, I will try to categorize the represented individuals and cues in the scene. In the common debate among discriminatory theories (Matthen and Davies) and in the classical experiments described earlier, there are taken to be two main types of individuals represented in the scene: object and illumination. As mentioned earlier, Davies argues that both object and illumination have their separate set of appearance properties consisting of hue, brightness and saturation value. All other features that might figure in the scene work as cues for the representation of these two individuals. The illuminant cues are for example, shiny features, mean luminance, the background (this includes the local and the global contrast mentioned in the earlier study), shared hues, shadows, depth, etc.

The features in the scene for which is it not so obvious what category they belong to are cases of filters and transparencies. According to Brown's view on filter cases, one might assume that filters are represented as basic individuals just like illumination and object surface. This is because at times, filters seem to play similar role as that of the illumination. Now, the idea of a complex discriminatory account I am trying to construct is to include cases that seem to fallout of the simple discriminatory view: discrimination between surface and illumination. There are at least four ways to categorize colored filter cases into complex discriminatory view.

The first option is that every scene is parsed into, on the one hand, opaque surfaces and on the other, see-through layers or transparencies. In common circumstances, the scene would include one kind of transparency: the illumination. In the amber beer case, though, the scene would be made out of an additional layer – amber beer as a localized filter. Each of these two types have their own color appearance properties (except perhaps those transparencies that are not filters because they are completely see-through and not tinted). Under this categorization, there could be cases where filter would be prevalent, like wearing tinted glasses or having one's face really close to the amber beer. Such model categorizes filter cases as the same type as illumination since both are kinds of transparent layers. Now, the idea of this kind of scene parsing is problematic because it suggests that in a constancy scenario what is constant are opaque surfaces and what is variable are the layers. However, filters might equally be target surfaces in a constancy scenario. At times, filters seem to be more similar to types of surfaces rather than transparencies like illumination. Possible solution for this might be to look at the following alternative.

The second option would be to say that every scene representation is parsed on the one hand into surfaces that are either opaque or transparent and on the other hand into illumination layer. For the amber beer case, this would mean that amber filter is represented as a type of a material transparent surface. This kind of categorization groups together filter cases with material objects rather than with the illumination. Considering the case of yellow-tinted sunglasses, the ambient illumination would appear to be yellowish as of the sunglasses. This is rather problematic since illumination appearance properties are not yellowish. For Brown, the sunglasses case is an adaptation case "when a lightly saturated filter that spans one's field of view becomes invisible due to adaptation."<sup>49</sup> Accordingly, in this case, the filter is not experienced as a surface but it is rather mistaken for the ambient illumination. One way to get out of this situation is to say that these filter-prevalent cases are just cases of misperception because the observer is not in a position to determine which color appearance dimensions are caused by illumination and which by the filters.<sup>50</sup>

There is, however, a third option according to which when we experience a scene we experience an opaque layer, an illumination layer and the transparent layer (e.g. filter). This option, I assume, is similar to Brown's color layering view. All three individuals are types of layers of colors. Moreover, Brown (2014) describes three types of layered experiences: complete, incomplete and layering failure. The complete layered experience, already discussed earlier, is one where the subject distinctly experiences two layered colors. For example, seeing the green book through the amber beer. The incomplete layering occurs when one fully experiences one of the layered colors and only partly the other. The third type of layered experience is the layering failure or fusion. This happens when no distinct colors are experienced along the line of sight. For example, when we experience the fusion of the color of the filter with the opaque surface (*Figure 4*): experiencing greenness of the yellow book through the blue filter.

<sup>&</sup>lt;sup>49</sup> Brown, 2014, p 16

<sup>&</sup>lt;sup>50</sup> Whether this is a form of misperception depends on what ontological stance one takes which is an issue I will not deal with in this section.



*Figure 5:* Brown's example of the adaptation case: the yellow book (see the yellow strip on the bottom) covered with a blue filter.<sup>51</sup>

Apart from one fused color, one can in the layering failure scenario experience the adaptation mentioned earlier or the complete occlusion. The latter occurs when opaque color is occluded by the transparent color of the filter. Brown claims that the described types of layered experience are characteristic for both, filter and illumination cases.

The general idea of this uniform categorization Brown is proposing is promising because it treats all three kinds of represented individuals on the same level. Kingdom (2011), for example, categorized the image decomposition (*Figure 5*) into three physical dimensions: illumination, reflectance and transparency with each of these categories having its own dimensions and features. Even though this categorization considers only achromatic images, this account is useful for its general categorization of perceived scenes. Kingdom's focal point is to understand the perceptual representation of these physical dimensions. The main question is how are the brightness and lightness affected by the context of a viewed scene. Kingdom explores whether it is possible to form a unified account of lightness, brightness and transparencies: "Ideally one would like to take any image and decompose it into separate

<sup>&</sup>lt;sup>51</sup> Brown, 2014, p. 14.

representations, or 'map', of brightness, lightness, in homogenous being further subdivided into shadows, spotlights, shading, highlights, light courses and the (two or more) perceptual dimensions of transparency."<sup>52</sup> However promising the intrinsic-image account (or layer decomposition) and the multi-scale filtering are, he claims that we are seemingly far from clearer understanding of these processes.

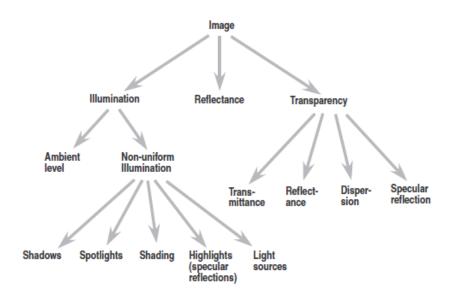


Figure 6: The physical dimensions of achromatic experience (Kingdom, 2011).

In any case, I find the all-layers approach proposed by Brown dissatisfying. If all three individuals are types of layers, then the layered experiences should work also for opaque surfaces. However, it seems to me that we do not experience opaque surfaces as layers of colors in a way that we experience layers of filters and illumination.

In order to get out of the problems considering whether opaque surfaces are layers or not I propose a fourth option. One could simply say that there are three kinds of individuals represented in the scene: objects, illumination, and transparencies. Here, filter cases belong to

<sup>&</sup>lt;sup>52</sup> Kingdom, 2011, p. 671.

the category of transparencies. Such categorization brings about several advantages. First, this is a viable option because it avoids the mid-ground position filter cases have. They either can look like types of surfaces (the amber beer case) or like types of illumination (unknowingly wearing pinkish sunglasses) but are better categorized as a stand-alone category. Second, there are the filter-prevalent cases where the representation of the illumination is not on the first place. For this reason, it might be better to avoid stuffing filter cases into other categories and rather have it as a stand-alone type. In such way, one also avoids the discussion on whether filters are more like surfaces or more like illumination. Third, this option avoids the unintuitive position that all three represented individuals are types of layers and are experienced as such. As already mentioned, viewing opaque surfaces does not seem to be necessary a part of a layered experience. Moreover, illumination is not always experienced as a type of a layer. Think, for example, of the glossy materials with strong highlight specular reflection.

## 2.5 Towards the complex discriminatory view

In complex scenes, rich in different kinds of light sources and filters (imagine wearing yellow tinted glasses in a light show), it could be rather difficult to determine which individuals are represented and how do they interact. On the one hand, filters might look like illumination, as in the sunglasses case where we experience ambient light in the color of the tinted glasses. On the other hand, illumination can appear as a filter. For example, consider a scene that is uniformly illuminated without a clear light source, such as daylight on a cloudy day. This is to say that the simple discrimination between surface and illumination is not enough as suggested earlier. The complex view aims to explain the constancy phenomenon in simple laboratory-like and in the richer natural-scene cases.

As discussed in the beginning, there is a confrontation of two strong intuitions when it comes to color appearances. On the one hand, objects around us appear to have stable colors and are easily recognizable. On the other hand, the colors of objects are highly variable with the changes in illumination or filters. It seems that in order to achieve the fair treatment of the two intuitions about the color appearance one requires an account rich in complexity. In this chapter, I attempted to show that there are (at least) two steps to achieve such account on the looks of colors. First, stability and change must be considered on an equal footing. This is to say that, as we experience constancy we also experience variation in color appearance. The constancy phenomenon obtains from the discriminatory capacities that parse the viewed scene into basic individuals and cues. Second, for the account to be adequately rich one must accommodate different types of viewing scenarios and different types of basic individuals represented in the scene. The main addition towards this richness comes by adding filter cases and experiences of layers (Brown, 2014).

The complex discriminatory view I am proposing diverges from the Brown's view in two senses. First, the only clear cases of layered experiences are transparencies. For this reason, the full representation of the observed scene is not parsed into several layers of opaqueness, transparency and illumination. Instead, it is that those three basic individuals are not layers but stand-alone types that can either be experienced as layers or not. For example, I do not deny the possibility that we do have layered experiences of the illumination (like at a light show). Second, the discriminatory capacities that are responsible for the scene-parsing are subject to both, conscious and unconscious processes.<sup>53</sup> Brown, however, accounts for the experientially realist view (invariantist), according to which the constant element is present and it is in this sense part of the perceptual phenomenology. I take it that while in some scenarios the constant element is certainly experientially present, there are also scenarios where the constant element

<sup>&</sup>lt;sup>53</sup> Later on I will address this issue in a greater detail.

is inferred (consciously or unconsciously) from the other color and non-color elements that take part in the scene. Furthermore, as already discussed, one of the motivations for embracing the discriminatory account is to overcome the dichotomy between variantist and invariantist accounts.

The proposed complex discriminatory view differs from Davies'<sup>54</sup> view in the sense that it adds the transparencies to the basic features among which the visual system discriminates. The upshot is that the complex discriminatory view I am proposing combines, on the one hand, selected features of the Layering thesis with the discriminatory color constancy, on the other. Its strongest feature is that it enhances the complexity color appearances bear in natural viewing scenarios. However, if the discrimination depends on discriminating between number of color and non-color features (e.g. shape) in the scene and on different kinds of discriminatory capacities (consider again the matching experiments), then it might look like we cannot provide a unifying account for color constancy. This is not necessary a downside, if the project is to understand color appearances in general. The fundamental feature of the complex view I am proposing, is that the perception of color is not a process isolated from perception of other features. This kind of approach to the discriminatory color constancy might seem somewhat similar to the relational capacities proposed by Craven and Foster (1992): the capacities defined as maintaining stable relations among variety of colors perceived in a scene across lightning conditions. Now, the relational capacities certainly do fit into the complex view. However, the idea of the complex view is broader in a sense that it concerns relations among features in the scene that do not merely regard colors and that these relations are not necessarily consciously available to the perceiver.

<sup>&</sup>lt;sup>54</sup> Matthen is on the other hand somehow vague about which features exactly take part in the discrimination or the parsing.

Moreover, I take it that it is better to stay careful when drawing ontological conclusion about colors since the relationship between scientific evidence and ontological commitments is a tricky ground. Taking color constancy as an example, Chirimuuta (2008) points out two features that characterize this delicate relation: the consensus within color science and the neutrality concerning the philosophical dispute. The idea is that when an empirical evidence is used in discussion on color ontology, one might want to figure whether there is a consensus in scientific community about the alleged evidence. Moreover, to avoid circularity, one might want to figure what are the underlying philosophical assumptions scientists themselves have. Both of the features seem understandable and perhaps practically unavoidable. For this reason, I think that one ought to be careful when drawing ontological conclusions from empirical evidence. Moreover, this gives us another motivation to take color constancy phenomena as ontologically neutral. However, Chirimuuta (2015) claims also that there is a minimal epistemology of perception that stands a background of the empirical research which she articulate with the following truisms:

- "1. Perception is an action-guiding interaction between perceiver and environment.
- 2. Perceptual systems do not deliver a uniquely true description of the world.
- 3. Each description is partial-
- 4. Each description is interest relative."55

This set of assumptions formulate so-called perceptual pragmatism according to which "perceptual systems do not deliver any uniquely true description of world; instead, each description is partial and interest relative".<sup>56</sup> Motivated by perceptual pragmatism, my aim is to

<sup>&</sup>lt;sup>55</sup> Chirimuuta, 2015, p. 107.

<sup>&</sup>lt;sup>56</sup> Chirimuuta, 2015, p. 101.

show that the empirical evidence on the discussed phenomenon does not tell us much about the ontological status of colors.<sup>57</sup>

To conclude, there are two things to keep in mind in continuing the discussion. The first is that the discriminatory capabilities are more complex than simple capacity of distinguishing material change from illumination change. The second is that the color constancy capabilities alone do not motivate any metaphysical stance on color, as it is assumed by the invariantists. Preferably, an account on color constancy and variation should be ontologically neutral. In other words, to understand the (un)steady nature of color appearances we do not need to rush into metaphysical conclusions. Although color constancy phenomena itself is ontologically neutral, later on I discuss the issue on what ontological conclusions one can draw from color appearances as such. Chirimuuta (2015) points out that the interesting part of the relational color constancy approach is that it "comes with the implication that constancy works to give us information about relative similarities and differences between objects, rather than information about intrinsic surface properties."<sup>58</sup> This suggests that the primary function of constancy capabilities might not be to represent the 'real' or objective properties of the objects as invariantists wished for at first.

When trying to understand color appearances one has to take into account all other non-strictlycolor features in the scene, such as depth, texture, shadows, glossiness etc. In what follows, I will discuss how color appearances are inseparable from their own context, this is other color appearances. In this sense, color appearances are essentially context-dependent and are (at least usually) not represented as atomistic properties of surface material or lighting. <sup>59</sup> Contrary to Davies' explanation of constancy capabilities that are grounded in the perceptual capacity to

<sup>&</sup>lt;sup>57</sup> Unlike me, Chirimuuta does not account for onotological nautrality regarding colors. In the last chapter I show how my view diverges from hers.

<sup>58</sup> Chirimuuta, 2015, p. 58.

<sup>&</sup>lt;sup>59</sup> See Morrison, 2013.

represent monadic properties of color appearances. Moreover, I will discuss how color appearance properties fundamentally depend on other features in the scene and are thus unanalyzable without the rich environment one usually encounters them. Following this line of thought, Chirimuuta (2015) takes a holistic approach to color constancy: "If color perception cannot be separated from perception of other properties, there is nothing to persuade us that color experience (plus some attendant ontological commitment to perceiver-independent color), and not *object* constancy, is driving the phenomenology."<sup>60</sup>

<sup>&</sup>lt;sup>60</sup> Chirimuuta, 2015, p. 211.

# **3** The Tricky Colors

#### 3.1 The trickiness of color experiences

Up until this point, I discussed common features of color experiences – constancy and variation. Now, I would like to turn attention to a quite special case of color experiences, so-called impossible colors. I am referring to the reported evidence of experiences of combination of complementary hues: reddish-green and yellowish-blue. Now, to point out right at the start, experiences of reddish-green are not like experiencing the autumn leaves where red and green merge into each-other. They are rather like orange, a combination of red and yellow.

In this chapter, I will first enter the discussion about the impossibility of the reddish-greens and yellowish-blues. Moreover, I will look at the empirical evidence of such experiences and discuss their alleged implications. I will discuss the conceptual an empirical impossibilities, ontological implications and the relation between the evidence and the color space. The evidence of these experiences has been use to motivate irrealist accounts of color. I will show that these is a false inference. Instead, I argue that the phenomenon tells us more about the nature of our visual system rather than about the nature of colors.

#### 3.2 Experiences of reddish-greens and yellowish-blues

There are some colors that do not figure in our usual perception of the world. Such as, for example, reddish-green and yellowish-blue. Experiences of the combination of these hues have

been regarded as impossible, e.g. impossible colors<sup>61</sup> (*see* the complementary hues on the hue circle, *Figure 7*). Now, it is one thing to say that a particular color (or hue combination) is impossible and another that the experience is impossible. The aim of this section is to show that reddish-green *experiences* are not strictly speaking impossible but that there is no need to draw strong ontological conclusions from such revelation. Empirical enquiry about reddish-green tells us why we normally do not experience such colors and why, on the other hand, it is possible in certain conditions. I claim that this does not tell us anything about the nature of reddish-green, but about our nature, that is, the way our system processes color.

Another distinction concerning this matter is that on the one hand the possibility to experience something as reddish-green and on the other, whether such experience would be veridical. I will focus on the first part of the issue and mostly leave the discussion on the possible perceptual error aside.

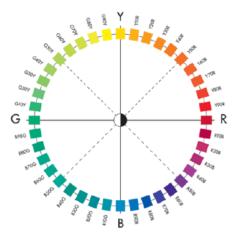


Figure 7: The complementary hues presented in the Natural Color System hue circle

<sup>&</sup>lt;sup>61</sup> Also forbidden colors, for a more dramatic effect

Now, the question is what exactly is impossible about reddish-green experiences. There are three levels of understanding the issue: metaphysical, perceptual and conceptual. I will be mainly concerned with the first two and only briefly mention the third one. It has been argued (Westphal 2010, Broackes 2010, Wittgenstein 1980) that reddish-green experiences are impossible since there is something about reddish-ness and greenish-ness 'in themselves' that prevents us from seeing them together as a binary hue. For example, for Wittgenstein reddish-greens are a matter of the conceptual impossibility: an octahedron "provides a rough representation of colour-space, and this is a grammatical representation, not a psychological one."<sup>62</sup> For this reason, he takes it that the impossibility derives from the way things are grammatically set up rather than physically. Runge illustrates it nicely: "if we were to think of reddish-green we would have the same feeling as in the case of a southwesterly northwind." In this sense, if reddish-green would turn out to be possible, then this would completely disrupt our system of color concepts. Surprisingly it is because we would not be able to fit it into the color space that is based on our grammar.

Let's consider a more familiar example. One might say that reddish-green is like a square-circle or a place in an Escher print (*Figure 8*). Consider the example of an impossible compound such as square-circle. Square and circle are mutually exclusive *a priori*: if something looks squarish then it cannot look roundish as well. As Matthen (2010) remarks: "it was long time thought impossible that a sensory system could produce such compounds; it was thought to lack the conceptual freedom that discursive thought enjoys."<sup>63</sup> By the same reasoning, reddish-green experiences were thought to be impossible. I take it that there is nothing about reddishness *a priori* that tells us that it cannot form a look of a binary hue with greenishness. I do not think we have good reasons to assume that reddishness and greenishness are cases of such a kind.

<sup>&</sup>lt;sup>62</sup> Wittgenstein, 1977, also see Lugg, 2010, p. 163

<sup>&</sup>lt;sup>63</sup> Matthen, 2010, p. 80.

Broackes (2010) claims that when we operate with concepts such as 'red' and 'green' we operate with concepts that *seem* to exclude each other, just as when we operate with concepts like 'higher' and 'lower'. For example, in Escher's print: "two places *x* and *y* can be such that *x* looks to be higher than *y*, and that *x* looks (when you consider other aspects of the picture) to be lower than *y*. But our concepts of higher and lower are such that they seem to exclude x actually *being* (,,in the world", so to speak) both higher and lower than *y*."<sup>64</sup> This is to say that even though something cannot *be* at the same time lower and higher than the same spot, this does not mean that it cannot *look* this way. Following this line of thought, Westphal (2010), adds that one should not expect the relations among *appearance* of properties to capture perfectly the relations among properties. Notice the switch between conceptual and perceptual level of the issue. Westphal extends the conceptual issue proposed by Broackes to the perceptual – the way something *looks*. Apart from this problematic step, I take it that the overall comparison between reddish-green and lower/higher is misguided.

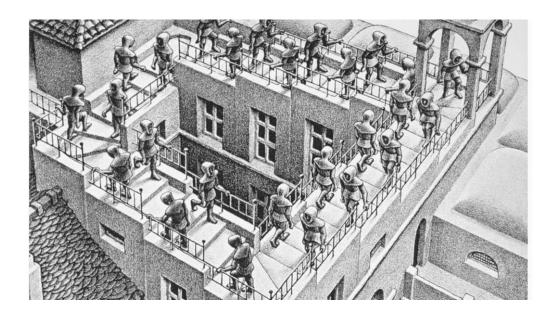


Figure 8: A detail from Escher's Ascending and Descending (1960)

<sup>&</sup>lt;sup>64</sup> Broackes in Westphal, 2010, 254.

There are two reasons why it seems to me that the reddish-green is not impossible in the same way that an Escher print is. (i) The definition of higher and lower excludes the same thing to be in both states at the same time in the relation to the same thing and (ii) the 'Escher-effect' works only once we see x in relation to other aspects of the print. Neither of these two are part of the impossibility of something looking reddish-green. It is not the case that the content of the reddish-green experience it itself contradictory as, for example, when something is lower and higher at them same time.

Although there appears to be nothing about the reddish-ness and greenish-ness that would prevent them from forming a binary hue, we ordinarily do not experience anything as reddish-green. We cannot even imagine something being of such hue. Now, if someone could experience something as reddish-green this would be a good reason to assume that reddish-green actually is empirically possible. As I will reveal shortly, reddish-green experiences are possible in certain circumstances. The reason why we ordinarily do not encounter the reddish-green is not because is it unimaginable. As Hardin (2014) argues, unimaginability of reddish-green is a weak ground to suppose impossibility. The absence of such experiences is due to the way our visual system works.

To understand the way our visual system works, we need to turn to the trichromatic theory combined with the opponent processing. There are three types of cones each with a different photopigment and differently sensitive to wavelengths: long (L), medium (M) and short (S). When the cone cells are stimulated by light they emit a neural signal of strength equal to the strength of the signal multiplied by the sensitivity of the cell to light of that frequency. The outputs of the three cones overlap in the wavelengths of light. For this reason, the visual system has to track the differences between the responses of the cones. This ambiguousness is solved by subtracting the overlap of the cells' outcome. If the difference is non-zero, then the signal

contains more energy in one waveband. There are two such processes, one in the red-green channel and the other in the yellow-blue channel (*Figure 9*).

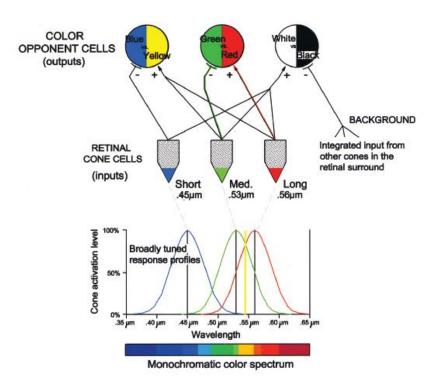


Figure 9: The Hurvich-Jameson Network (Churchland, 2005)

In the first opponent processing (the red-green channel) the output of the M cone is subtracted from the output of the L cone: (L - M). If this process renders a positive value, then the signal will be stronger in the ends of the spectrum and will look reddish: (L - M) > 0 = reddish. If it is less than a zero, meaning that the signal is stronger in the middle of the spectrum than at the ends, it will look greenish: (L - M) < 0 = greenish.

In the second opponent processing (the yellow-blue channel) occurs the subtraction of the output of the S cone from the sum of the other two: (L + M) - S. If the process produces a

positive value, the signal is stronger in the long and middle part of the visible spectrum than in the short-wave-length part. In this case the signal will look yellowish: (L + M) - S > 0 =yellowish. If it is stronger in the short-wave it looks blueish: (L + M) - S < 0 = blueish. So, opponent processing decompresses (and also combines in one value) by subtracting one cone activation from another. So, the reason why nothing looks reddish-green or yellowish-blue it is because the output is the same from both side and the value equals zero (see *figure 10*). <sup>65</sup>

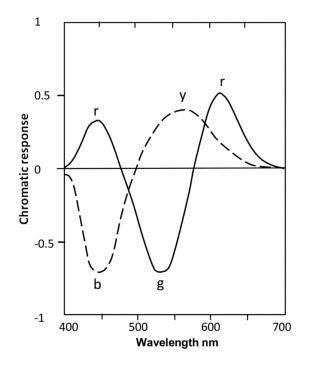


Figure 10: Hue cancellation curves (Pridmore, 2014)

<sup>&</sup>lt;sup>65</sup> Adopted from Matthen, 2005.

## 3.3 The evidence of reddish-green experiences

It has been shown, however, that experiencing reddish-green is possible under certain circumstances. The perception of so-called impossible colors has been reported in the experiments made by Crane and Piantanida (1983) and by Billock and Tsou (2001/2010). While using an eye-tracker device for stabilizing an image on an observer's retina, subjects were presented with two colored bars: one red and other green. Soon after simultaneously observing the bars, the boundary between the bars faded away and the visual system had to fill-in the washed-out area based on the color information of the two bars (Figure 11). The basic idea behind the filling-in phenomena is that the visual system 'fills in' the missing information across the blind spot from the information available in the surrounding area.



Figure 11: Joined green and red bars presented to subjects<sup>66</sup>

Crane and Piantanida received three kinds of answers from the subjects: "(i) the entire field appears to be a single unitary colour composed of both red and green; (ii) the field appears to be composed entirely of a regular array of just resolvable red and green dots; or (iii) the field may appear as a series of islands of one colour on a background of the other colour."<sup>67</sup> The

<sup>&</sup>lt;sup>66</sup> Macpherson, 2003, p. 49.

<sup>&</sup>lt;sup>67</sup> Crane and Piantanida, 1983, 1079.

majority of subjects reported to experience a binary color constituted of red and green simultaneously.<sup>68</sup> Considering the first group of answers, the subjects reported to see a binary color constituted of red and green simultaneously.<sup>69</sup> The authors' interpretation of these reports is that the filling-in phenomenon results from the connections between different areas of the cortex (corticocortical connections) rather than from connections between retina and cortex (retinocortical connections).

The experiment has been reproduced by Billock and Tsou (2001 and 2010). Subjects in their study did report to have reddish-green experiences. While they did reproduce the experiment by using the eye-tracker, their addition was the illuminance variation. In the study, 4 out of 7 subjects reported experiencing a homogeneous color composed of red and green in a compelling way as the red and blue components of a purple. Some of these subjects, however, had this homogeneous experience only after a few trials: "This bears on arguments that novel color percepts may be precluded by lack of early experience during perceptual development. Clearly the strongest form of this argument is not supported, but the effect of experience suggest that a gradual sensory reorganization may be taking place."<sup>70</sup> The most recent experiment on reddishgreen experiments, instead of eye-tracker the authors used induction displays where the participants had to determine the boundaries of chromatic zones in a red-green continuum (*Figure 5*). The observers in the experiment reported seeing reddish-green colors. As authors claim, this is a first study where participants reported opponent hue combinations in normal viewing conditions (meaning not using eye-tracker for retina stabilization).

<sup>&</sup>lt;sup>68</sup> Others reported to experience a variety of different red and green patterns, but not reddish-green compunds.

<sup>&</sup>lt;sup>69</sup> The same experiments were made with yellow and blue bars.

<sup>&</sup>lt;sup>70</sup> Billock, et al., 2001, p. 2399

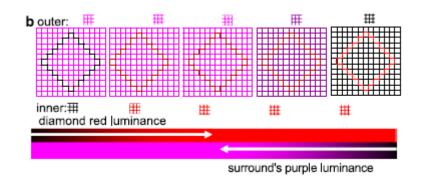


Figure 12:An example from Livitz et. al study using chromatic grid and neon spreading effect<sup>71</sup>

The conclusion of Livitz et al. is that the result suggest a revision of the opponent structure of perceptual color space. Perhaps in a non-opponent color space reddish-green would not be called an impossible color: »A color space with a two-dimensional hue structure cannot account for overlapping red and green zones. Our study helps to further explore the dimensionality of perceptual color space and provides experimental evidence, supporting the idea of independent dimensions encoding perceptual color qualia: red, green, blue and yellow.«<sup>72</sup>

The three briefly described studies show that the experiences of reddish-greens are possible under rather restricted and highly modeled circumstances. Regarding the processes responsible for these experiences, Matthen comments that "opponent processing is a subtractive operation that can yield a positive or negative result. The antagonistic pairs correspond to these: since no quality can be positive and negative at the same time, opponent processing cannot yield a reddish green".<sup>73</sup> So, the opponent processing occurs in a retinocortical pathways. It is possible that by some other corticocortical process, we can produce the required experience.

<sup>&</sup>lt;sup>71</sup> Neon color spreading is a phenomenon of chromatic diffusion where one line continues in a second line differently colored. The appearance of this phenomenon is that the colors of the cromatic lines give an effect of spreading out or escaping the bounderies and filling in the sourrounding area. *See* Bressan, 1997.

<sup>&</sup>lt;sup>72</sup> Livitz et al., 2011, p. 15.

<sup>&</sup>lt;sup>73</sup> Matthen, 2005.

## **3.4** The implications of the evidence

Why should we still talk about reddish-green as impossible? As demonstrated, it is indeed impossible for the opponent-processes themselves to construct reddish-green experiences. However, I want to focus on another part of this issue by pointing out that even if it is possible for something to *look* reddish-green in certain conditions that does not give grounds to assume that something can *be* reddish-green.

# 3.4.1 Conceptual and empirical impossibilities

New discoveries on experiencing impossible colors bring up another issue - does this evidence speak against a priori status of the proposition that nothing can be red and green all over (reddish-green?).

After learning about the opponent processing and being confronted with the experimental data, one could, I suppose, be tempted to conclude that an issue on reddish-green is about the empirical impossibility rather than conceptual or even logical. Our visual system sets the limits to the way colors appear to us, although these limits can vary depending on the conditions.

However, Brenner (1987) asks 'what is the philosophical significance of the work of Crane and Piantanida?'

"Normally, people cannot perceive reddish-green because of the natural opponent-process mechanism, but when different mechanisms are at work they can. Or should we say that, although normally we follow grammar, under very unusual circumstances many of us will be inclined to depart from it and to use the 'ungrammatical' word 'reddish-green'? – that, although normally we keep within the boundaries of language, many of us will jump over them in reaction to strikingly unusual stimulus conditions?"<sup>74</sup>

I assume that the first part of Brenner's answer is something Hardin (2014) would adopt and the second would be a sort of a Wittgensteinian answer. However, if put this way, it does seem that the two Brenner's answers or rather suggestions of interpretation are not mutually exclusive. I shall attempt to argue that (i) while the issue on the impossibility of experiencing reddish green is a matter of empirical investigation, (ii) the reason why the answers provided in the experiments are so variable, 'weird' and seemingly unreliable might as well be due to the grammar that we use.

Brenner (1987) argues that the opponent-process theory does not imply that reddish-green experiences are empirically impossible. If it would be so, the experimental evidence would disconfirm the theory. However, the theory would be refuted only if we are forced to conclude that only the subjects who reported to experience reddish-green were right and rhose who did not were wrong. We cannot make such a judgment because there is no standard way of experiencing reddish-green, as there is for other colors such as pink. For Brenner, "a language in which they [the reddish-green propositions] had an empirical function – i.e., in which they said something true or false – would be a language that we do not speak."<sup>75</sup> However, the opponent-process theory has not been disconfirmed by the experiment, which is why for Brenner the impossibility at issue is not an empirical implication of the opponent processing.

I take it that the empirical function of the opponent-process shows that it is impossible to experience reddish-green as solely a product of the opponent processing.<sup>76</sup> Moreover, rightness conditions do not apply to the case where there are some subsequent processes going on since

<sup>&</sup>lt;sup>74</sup> Brenner, 1987, 209.

<sup>&</sup>lt;sup>75</sup> Brenner, 1987, 211

<sup>&</sup>lt;sup>76</sup> I also wonder why would one have to speak about the empirical function of the language in this context.

the theory does not even predict what happens when conditions are as described in the experiments. The only rightness condition that opponent process theory accounts for is about the opponent-processing when concerning the usual circumstances.<sup>77</sup> So, even if the theory is not being disproved, the impossibility of reddish-green can be seen as an empirical implication of the opponent-processing.

Hardin (2014) claims that the answer to the question about the proposition depends on how we understand it. If it is understood the same as the proposition that nothing is both red and yellow all over, then the existence of reddish-green binaries is on the same footing as the existence of red-yellow binaries (e.g. the existence of orange). If the reason for the apriority of the proposition is the concern about something *being* reddish-green instead of only *looking* reddish-green then the significance of the experiment is limited. But if the reason for the proposition that something being red and green all over is unimaginable, then the experiment is largely essential for the discussion since "what was unimaginable proved to be perceivable and what was then perceived came to be imaginable"<sup>78</sup>. As mentioned earlier, unimaginability is clearly a weak ground for inferring impossibility.

The experiments on experiencing reddish-greens highlight the longstanding problem – interpersonal variation in the perception of color. The subjects participated in the experiment under the same conditions reported different experiences, including non-novel binaries. Our primary worry should not be 'how does the world look like to someone with a different visual system' or 'how to reconcile the way the world is colored (if it is), with the fact that there are many perceivers with different perceptual systems' or 'which system captures the world more correctly'. Our primary concern should be of understanding interpersonal variation, since it might be that the color space (as perceived) can be different among standard trichromats (some

<sup>&</sup>lt;sup>77</sup> If we even need to talk about rightness and wrongness here.

<sup>&</sup>lt;sup>78</sup> Hardin, 2014.

can see reddish-greens and some do not) and not only for someone with a different system as, for example, someone with a tetrachromatic vision.<sup>79</sup>

Suppose, for a moment, that the issue on the impossibility is an empirical matter. There are still some doubts left about the experimental data. What is worrisome, as Wittgensteinians like to point out, is the extent of the variability of the reports, as well as their 'messiness' and unclearness. Lugg (2010) argues that the experiments (excluding Livitz et al.) do not show that reddish-green (properly so-called<sup>80</sup>) was perceived or show that Wittgenstein was wrong. For example, the experimental reports do not assure that scientists' (as subjects) own biases were controlled. Lugg points out that "ordinary science philosophy can be as simple-minded as ordinary language philosophy, and scientists bearing gifts should be unreservedly welcomed no more than dictionary writers bearing them, the deliverances of common science and technical language being no more foolproof than the deliverances of common-sense and everyday language."81 Moreover, he claims that Wittgenstein's writings should not be considered antiscientific, since his only attempt is to "remove confusions about colour to which colour scientists no less than the man or woman in the street are prone."<sup>82</sup> If it would be convincingly shown that the new experiences are 'real', then all what Wittgenstein would have to say is that we would require new vocabulary (even if perhaps just for the laboratory). Now, while it does not seem that Wittgenstein is only up for such an 'innocent' consideration of reddish-green impossibility as Lugg is saying, the variability and 'messiness' of the responses might rightfully be of our concern.

The perceptual variability does seem to pose a problem. Hardin (2014) acknowledges the issue when he asks: "if observers who are tested under the same set of conditions don't see the same

<sup>&</sup>lt;sup>79</sup> There is an evidence of the first offically confermed tetrachromatic person, *see* Jordan, et al. (2010).

<sup>&</sup>lt;sup>80</sup> He claims that many are inclined to describe autumn leaves as reddish-green, even though they are not reddishgreen in the right sense. He does acknowledge that in the Billock et. al case, the subjects were color scientists and assumingly immune to such error.

<sup>&</sup>lt;sup>81</sup> Lugg, 2010, 180-181.

<sup>&</sup>lt;sup>82</sup> Lugg, 2010, 181.

colors, the obvious question is which, if any of them sees the colors as they are and which, if any of them, misperceives those colors?".<sup>83</sup> For him, such unusual experiences are to be treated equally since they are all products of the normal human color perception system, just that in this case the system might have produced experiences that are not predicted by usual processes.

Now we are left with the question as to whether the Wittgesteinians are completely wrong. To understand the reasons why the subjects gave all sorts of responses (not just the experience of the new binary hue), Wittgensteinian reasoning might be of some help. Our experiences are probably somehow connected with the linguistic and grammatical usage of color concepts. However, I see it that the variability of the reports might imply two things. First, there really is some new experience going on (if we are 'lucky' it is of reddish-green). Second, we might need to employ new vocabulary to describe those experiences in non-vague way, as Wittgenstein would suggest, though not for his own reasons.<sup>84</sup> Unlike Wittgensteinians, I believe that having to potentially modify our color vocabulary would stand as a consequence of accepting the proposition that reddish-green is empirically impossible. If the issue really is empirical, we should not have a problem with modifying our concepts if it turns out that non-opponent color space is to be suggested. The authors (Livitz, et.al) of the most recent experiment even suggest the following: "our results also support a revision of the opponent structure of perceptual color space. Unlike classical opponent space (...), a non-opponent color space does not forbid perception of opponent hues together and subsequently opens the possibility of perception of colors with three and even four primary hue components."85 If such suggestions were convincing, Wittgenstein would have to learn the 'new' vocabulary, not because the reddish-

<sup>83</sup> Hardin, 2014, 381-381.

<sup>&</sup>lt;sup>84</sup> New vocabulary would perhaps be useful already in order to not confuse them with the names we use for autumn leaves.

<sup>&</sup>lt;sup>85</sup> Livitz, et. Al, 2011

green does not fit into our logic of color concepts, but because it is a consequence of the empirical states of affairs.

# **3.4.2 Ontological implications**

Now, experienced properties that objects cannot possess are usually considered as problematic. Macpherson (2003) and Arstila (2005)<sup>86</sup>, for example, argue that the evidence of reddish-green experiences flies in the face of certain realist accounts on color. While Arstila goes as far as claiming that, the evidence reddish-green experiences straightforwardly supports color irrealism, Macpherson merely argues that these experience stand as a counterexample to a representationalist view.

In an attempt to show that color irrealism is the only color theory worth adopting, Arstila assumes that the findings of the experiment show that we can perceive the new colors that are phenomenally equal to all other binary colors. The impossible colors are considered as such because their experience conflicts with the well-established color theory: "The crucial consequence of this is that these new colors cannot be explained by referring to any external physical object–even if there could exist a physical red-green property of physical objects–our best theories tell us that retinocortical neural processes would not let us see it. [...] in order to explain these novel colors we must turn to mechanisms that are internal to our brains."<sup>87</sup>

Arstila claims that the impossible color phenomenon brings about good reasons against color realism. The phenomenon suggests that colors are mind-dependent properties. This is to say that if these impossible colors cannot be properties of external objects, then no other color can be. It would be somehow odd that only in the case of the impossible colors phenomenology is internal and overrules the usual channel of color information (retinocortical perception). As

<sup>&</sup>lt;sup>86</sup> See also Nida-Rümelin and Suarez (2010)

<sup>&</sup>lt;sup>87</sup> Arstila, 2005, p. 97.

Arstila claims: "it would be implausible to suggest that the phenomenology of some of our mental colors is determined by the properties of physical objects, if this does not hold for combinations of those colors."<sup>88</sup> This is to say that if P and Q are possible properties of real objects, then P and Q must be a possible property. The impossibility rests on combinations of possibilities (like P and not-P). For this reason, it is more plausible to say that all color experiences are subjects only to internal processes. Now, considering the assumed failure of color realism, a general suggestion of this discussion is that some sort of color irrealism holds true.

So, one of the main claims Arstila makes is that as one can perceive (novel) colors that are not representations of external properties, the color realist is unable to differentiate between impossible colors and other colors. Since color realism cannot really explain the impossible colors phenomenon, the theory must be false. Moreover, Arstila argues that the evidence that phenomenal externalism<sup>89</sup> does not hold for impossible colors, give us good reason for thinking that it should not hold for all other colors: "if the phenomenology of impossible colors is internal, why is this not the case for all colors?"<sup>90</sup>

The Arstila's argument goes as follows. According to the opponent process theory, color perception is part of a retinocortical processes. If one can experience impossible color (reddishgreen), then these colors cannot result from retinocortical processes but from corticocortical. If the experiences would correspond to some physical property, subjects would not be able to experience impossible colors on the basis of exclusively retinocortical processes in color perception. There are reports of reddish green experiences (Crane and Piantanida). Therefore, impossible colors are consequences of corticocortical processes. Furthermore, if there are some

<sup>&</sup>lt;sup>88</sup> Arstila, 2005, p. 98.

<sup>&</sup>lt;sup>89</sup> That the phenomenal content does not depend on anything else external (in this case, properties of physical objects).

<sup>&</sup>lt;sup>90</sup> Arstila, 2005, p. 98.

color experiences that result from corticocortical processes, then it is reasonable to assume that all color experiences are results of the same processes.

I see this last step in Arstila's argument as highly problematic. Even if impossible colors are solely a result of corticocortical processes and do not correspond to any external objects, this does not automatically make the case that color realism cannot explain them and is therefore a false theory. There is a possibility of experiencing certain colors in very restricted conditions without having to change the account on perception of these features in normal conditions. For example, the study regarding human anterior color center, by Murphey, Yoshor and Beauchamp (2008) showed that electrical stimulation of the anterior color center is enough to produce the conscious percept of color. This suggests that having a color sensation does not require an external visual stimulus in standard viewing circumstances. As in the case of impossible colors phenomenon, the color experienced by direct electrical stimulation does not follow normal retinocortical processes. In this sense, color is nothing but a product of particular neural activity. However, this evidence does not give us reasons to change the account of the usual perception of color. The same counts for the impossible color experiment where authors themselves claim that the evidence shows merely that there are circumstances in which the perception does not follow regular retinocortical processes. For this reason, Arstila's rejection of color realism seems unjustified. Refuting color realism altogether based on the reddish-green experiences is a hasty step.

Macpherson (2003), however, makes a seemingly smaller point by arguing that the evidence of impossible colors poses a problem for a certain kind of color realism, namely naturalist representationalism. The representationalist argues that experiences with phenomenal character typically associated with redness represent that objective physical property which red objects share. The problem is that there is no such objective property: "Because our visual system detects colours on the opponent-process model, there could be no object (at least in our world)

that looked reddish-green, whatever combinations of physical properties it had, unless we viewed it in non-standard conditions.<sup>491</sup> If there are no such properties, then how can it be guaranteed that reddish-green experiences represent an objective physical property? So, according to Macpherson, representationalists cannot provide a plausible candidate for objective property that is being represented in reddish-green experiences. It seems to me that is not exactly a good judgement. Even if it would be impossible for something to *look* reddish-green, then this does not imply that there cannot *be* something that is reddish-green. It could be that we just cannot see the true color of things.

The second issue is that the representationalist cannot provide an evolutionary explanation for the phenomena. According to Dretske, an experience will represent that P if and only if it has the function of providing information about P, which it has gained from its evolutionary history. For this reason, Macpherson argues that representationalist cannot provide any plausible evolutionary story to explain the advantage of being able to detect reddish-green only when wearing an eye-tracker device. I find this criticism insubstantial. It seems that representationalist has several other issues if she wants to account for an evolutionary explanation. There are other visual effects, perception of which might not have a particular selective advantage but nevertheless possibly have some physical correlations. Take an example of chimerical colors. These are colors that can be seen only temporarily in a form of an afterimage of the complementary color primarily observed. For instance, stygian blue is a color that simultaneously appears blue and black. <sup>92</sup>

The third problem Macpherson poses for the representationalist concerns the optimal conditions. Following Tye (1995), experience will represent P if and only if it is caused by and covaries with P, in optimal conditions. In the case of reddish-green, when wearing an eye-

<sup>&</sup>lt;sup>91</sup> Macpherson, 2003, p. 51.

<sup>&</sup>lt;sup>92</sup> See Churchland, 2005

tracker one is in optimal condition for seeing such color. However, Macpherson argues, there are no such optimal conditions since not all subjects reported to see reddish-green. Moreover, the conditions specified are *ad hoc* because they are too particular and gerrymandered. I take it that this criticism is rather premature. The newer evidence from Billock et al. (2010) has shown that almost everyone reported having reddish-green experiences. Furthermore, Livitz et al. (2011) did not use the eye-tracker, in case this makes it less optimal. It was in fact the first study where participants reported opponent hue combinations in normal viewing conditions<sup>93</sup>.

Macpherson proposes several ways in which the representationalist could explain the reddishgreen experiences. One way for the representationalist to is to say that what subjects experience is the representation of reddishness and greenishness. Similarly as orange is a phenomenological mixture of yellowishness and reddishness. For Macpherson, this is not a viable option because we do not yet have the determinate for the particular hues in the color space. With such a move, we only explain the binary nature of the experience but we have not found the property that is being represented. Indeed, these are representations of something which we yet cannot pinpoint in the color space since we do not have the determinable. However, what the experiments suggest (Livitz et. al) is that out color space categorization might be inadequate and for this reasons these experiences cannot be categorized in the standard way.

The second option for representationalist is to say that these are representations of an intentional inexistent. It is that experiences can represent physical impossibilities, such as nonactual objects. Tye (2000), for example, make a similar move concerning the after-images. In his view, after-images can be considered as intentional inexistents, that is, unreal, intentional objects. Macpherson, however, argues that the reddish-green afterimage would pose a problem for

<sup>&</sup>lt;sup>93</sup> Meaning without the eye-tracker.

representationalist in a way, for example, the red after-image does not. This is because if what is represented were the reddish-green intentional inexistent, then the original (non-illusory) experience would need to have some content related to the reddish-green. I seems to me that this is misguided because even if there are no reddish-green experiences proper it does not mean that there is no representation. It is just that there are no such actual objects out there. One option to propose for what is doing the representing (take the original experiment) are the joined red and green patched with filling-in phenomena. It is important to notice at this point that the expressive power of the opponent system is limited. It is, for example, unable to construct the reddish-green. Nevertheless, we can have such experiences because the two separate opponent processes are combined by a different set of processes that bring about the experience of reddish-green.

To sum up, I take it that one way to explain the reddish-green experiences in the experiments is as another kind of illusion or visual effect achieved by tricking the visual processes. This might be a tricky case of a visual effect but it is still the same category. In this sense, the evidence of reddish-green experiences does not pose any particularly new issues that other theories already face (e.g. chimerical colors, hallucinations and illusions). Drawing strong antirealist conclusions based solely on the reported evidence seems overly hasty, if not false. First, the supposed impossibility is for there to be a color and not an object that is experienced as reddish-green. Second, even if it is impossible (in standard conditions) for something to look reddish-green, this does not imply that there cannot be things that are reddish-green. It could be that we just cannot see things in their true color due to the limitations of our visual system. The impossibility by itself does not concern the physical objects. Moreover, the possibility of the experience does not imply the possibility of color. Finally, the evidence does not support the idea that reddish-green experiences are a priori or empirically impossible (in standard conditions). Moreover, it does not stand as a direct counterexample to realist accounts on color. However, what the experimental evidence of the so-called impossible colors suggests is that some color experiences are not produced only by the opponent processing. It also shows that the impossibility concerns the functional framework of the color processing in standard conditions, this is opponent processing. Moreover, it is also suggestive about the categorizations of the color space. : "our results also support a revision of the opponent structure of perceptual color space. Unlike classical opponent space (...), a non-opponent color space does not forbid perception of opponent hues together and subsequently opens the possibility of perception of colors with three and even four primary hue components."<sup>94</sup>

# 3.4.3 The reddish-green and the color space

As already noted, the evidence of reddish-greens might call into the question the way we categorize color space. In this respect, Thompson (1992) argues that the new binary hues are not truly fatal for the existing color space. What he considers inconceivable is fitting a novel unique hue into an existing color space: "...a novel hue must reside in a novel color space and that this novel space must contain as a component some region of our color space corresponding to one of our hue categories."<sup>95</sup> Moreover, Thompson also argues that the ability of seeing new (primary) colors would require one to have "novel perceptual systems with novel intentional capacities".<sup>96</sup>

In my view, the requirement of a new perceptual system seems too strong of a condition for perceiving novel hues. According to Livitz et al., the new stronger evidence of reddish-green experiences suggests a revision of the dimensionality and structure of perceptual color space: "Our results also support a revision of the opponent structure of perceptual color space. Unlike classical opponent space (...), a non-opponent color space does not forbid perception of

<sup>94</sup> Livitz, et. Al, 2011

<sup>95</sup> Thompson, 1992, p. 336.

<sup>&</sup>lt;sup>96</sup> Thompson, 1992, p. 344.

opponent hues together and subsequently opens the possibility of perception of colors with three and even four primary hue components."<sup>97</sup> This seems to be a good reason to say that we might have to revise our color space rather than giving conditionals that this would be necessary only if our visual system would be different.

This discussion draws attention to another seemingly more fundamental question. This is, whether our color experiences correspond to the way we categorize color in a color space at first place. Mizrahi (2009) argues that distinguishing unitary and binary colors is not a matter of our color phenomenology or color ontology but it "is an epistemological tool built to identify and describe the variety of colours."<sup>98</sup> If this is so, then the evidence of experiencing novel binaries is as relevant as it would be a discovery of a novel unitary color. Without making such a big step as this, the experiences of reddish-green binaries seem to open up the possibility to doubt that our color space corresponds to the phenomenology of our color experiences.

Finally, my claim is that the empirical inquiry about the reddish greens tells us why we normally do not experience such color and why it is still possible in certain conditions. This does not tell us anything about the nature of reddish green (or the nature of its concepts) – but about our nature, this is, the way our system processes color. Since the evidence of reddish-green experiences tells us more about the way our visual system works than about colors themselves, ontological conclusions should not be drawn solely on their basis.

<sup>&</sup>lt;sup>97</sup> Levitz et al., 2011, p. 15.

<sup>&</sup>lt;sup>98</sup> Mizrahi, 2009, p. 26.

# 4 Color Appearances

#### 4.1. Lessons from previous chapters

On the one hand, the color constancy phenomena is generally thought to motivate color realism and on the other hand, so-called impossible color phenomena is generally thought to motivate the irrealist accounts. I showed that none of these two gives us grounds to adopt either of the ontological positions. Instead, both, when analyzed closely, turn out to tell us more about our visual system rather than about the externality or internality of colors themselves.

In the second chapter, I discussed the issue on color constancy and color variation. I first make a simple point acknowledged by many others, that when considering the constancy phenomena in general we must attend to the experience of both, the constant and the variable element. This line of thought brought me towards the discriminatory accounts that diverge from the classic variantist/invariantist debate. In that chapter, I made two points. The first is that the discriminatory account is more complex than an account that makes only a differentiation between the material change and the illumination change. In the discriminatory account I defend, what is represented in a given scene are three basic individuals: objects, illuminations and transparencies. This kind of categorization makes room to all kinds of features that play a significant role in a variety of constancy scenarios. One of the motivations for such categorization is the fact that the perception of colors heavily depends on its context. Thus, I make the following claim concerning color appearances: they depend on (i) on other color appearances in the scene (background colors or colored filters); and (ii) on other non-colorfeatures in the scene (shape, texture, shadows etc.). The second point made in the chapter on color constancy and variation regards the metaphysical issues. As already mentioned, according to color realists constancy phenomena suggest that there is some color-related physical property in the objects around us that makes them look the same color in different circumstances. On the contrary, I claim that the constancy phenomenon does not by itself motivate any metaphysical position. Ontological neutrality, I assume, is an advantage. In the present chapter, I continue the discussion on this issue.

In the third chapter, I focus on to the exceptional color experiences. I think that to capture the complexity of perceptual experiences one must attend to the out-of-ordinary phenomena as much as the standard ones. I focus on the example of reddish-green (and yellowish-blue) experiences that were thought to open up the possibility of the novel hues. The exciting evidence seemed promising for the supporters of irrealist accounts. Since this new binary hue does not correspond to any physical measurement out there and no object can instantiate it, reddish-green colors are nothing but mental representations (Arstila) or are at least heavily problematic for representationalist accounts (Macpherosn). I argued that neither Arstila nor Macpherson give good reasons to dismiss realist intuitions based solely on the given evidence. Moreover, the empirical evidence does not really motivate us to adopt an irrealist account. Similar to the conclusion in the previous chapter, the evidence tells us more about our nature – this is our visual system, rather than about the nature of color or its externality. What is suggestive about such conclusion is that the function of our visual system is not merely to track or detect the actual properties of the world. Nor it is its function to produce accurate representations of the world. This is why the evidence of the reddish-green experiences should not be regarded as such a 'game changer'. The second suggestive thing about the evidence is that it is the nature of the perceptual color system that sets the limits to where these (or perhaps other) possible novel hues are to be categorized.

The common feature of the discussion in those two chapters is in the conclusion that to understand the overall nature of color we need to first understand the function of our visual system. Concerning constancy scenarios, I argued that the best is to adopt some kind of discriminatory account. In what follows, I discuss whether this approach holds for the visual system as a whole. I will do so by looking at two approaches to color vision – detection and discrimination – any by discussing the usefulness of appearance-reality distinction. The overall goal is to understand the utility of color appearances given the tricky relationship between our visual system, the phenomenology of color experiences and the urge for the ontological status of colors.

#### **4.2 Detection and Discrimination**

One way of understanding the color vision is to understand it in terms of detection. Akins (1996) proposes the following description of the detectionist view: "each and every sensory system functions to detect properties, be they narcissistic properties (defined relative to organism's needs), biologically salient "messy" properties (for example, the property of vertical symmetry), or "legitimate" properties (those recognized by the other physical sciences, say, the property of containing NaCl)."<sup>99</sup> The underlying assumption of this view is that colors are properties that belong to the objects *out there* and need to be detected by our visual systems. Chirimuuta (2015) elaborates on this by listing several underlying assumptions of the detection model:

- 1. "Our sensory organs are analogous to measuring devices;
- perception aims to represent some of the intrinsic properties of macroscopic physical objects;

<sup>99</sup> Akins, 1996, p. 360.

- 3. this representation aims (as far as biology allows) at independence from any idiosyncrasies due to the makeup of the perceiver;
- 4. and also at independence from modulation by recent experience;
- 5. failures from independence are departures from veridicality."<sup>100</sup>

The problem the detectionist view faces is that there is no one to one correlation between physical properties and what is detected by the visual system.<sup>101</sup> As Thompson (1995) remarks: "There is no single type of distal property that is the biological function of color vision to detect."<sup>102</sup> The issue is not that we have some sort of detection capabilities but that it is a rather insufficient description of the function of the color vision. Clark (1996), for example, claims that the detection does not concern tracking properties themselves but rather the differences: "Consider the primal scene of hunting for a banana in the dense jungle foliage. If we think of the job as property detection, we must identify which reference property our colour vision reliably detects: that of the banana hiding in the leaves, or of the foliage. If on the other hand the task is merely to find the banana hiding in the leaves, we do not need to identify properties of the target or of its surround, as long as we can detect some difference between them. The critical task is detecting an edge: a change in the surface properties. Sensed sameness is suspected, but the differences are real."<sup>103</sup>

Another way to describe the function of the color vision is to say that it is a discriminatory capacity by which one is capable of making more precise discriminations among the properties of the objects and among objects themselves. Akins refers metaphorically to this view as narcissistic because in such case the visual system is concerned with how some properties relate to it rather than what is actually out there to be detected. The role of the color vision as

<sup>&</sup>lt;sup>100</sup> Chirimuuta, 2015, p. 29

<sup>&</sup>lt;sup>101</sup> See chapter 1 for the discussion on color realism

<sup>&</sup>lt;sup>102</sup> Thompson, 1995, p. 5.

<sup>&</sup>lt;sup>103</sup> Clark, 1996, p. 148.

discriminative is to enhance other features of the overall visual system. This is to say that it is not the color vision that plays a primal role in, for example, object recognition.

### 4.3 The edibles, memory and object recognition

Here's an obvious question. What role color has in recognizing the desired object? In their studies, Boucart and Humphreys (1994, 1995) show that colors are not the most important properties in the process of object recognition. Subjects in the experiments automatically identified objects when asked to focus on the shape, size and orientation. However, such automaticity did not take place when asked to attend to the color and luminance. It seems that the priority of vision is space and not color perception. Mollon (1997), however, points out two important roles of color vision: (i) perceptual segregation and grouping features that belong together, and (ii) identification of things against the changing background. These roles are especially important in perception of evolutionary crucial objects - the edibles. Accordingly, Mollon supports a fruit-foraging hypothesis: "in the case of fructivorous primates, one of the most important functions of trichromatic colour vision must be to judge the state of ripeness of fruit from the external appearance."<sup>104</sup> The fact that the ripe and edible fruits change in adequate color gives rise to the importance of color perception in this sort of object recognition. Now, the question is how can such informationally rich and crucial roles be achieved only on the basis of the discriminatory capacities.

First, it is not that it is *just* due to color that we recognize the ripeness of fruits.<sup>105</sup> Arstila (2005) suggests that the first step in differentiating cherries from leaves is the discriminatory capacity, the second is the recognition of the object and only the third is recognition of the edibility,

<sup>&</sup>lt;sup>104</sup> Mollon, 1997, p. 384.

<sup>&</sup>lt;sup>105</sup> See Akins and Lamping, 1992.

which is a more complex cognitive process than just color based induction. There is no universal rule that redness means ripeness regardless the type of the fruit or further context. For this reason, it is not that the detection model – the detection of color properties that represent ripeness – better explains the functions of the color vision. The redness of some fruits might reliably predict ripeness in that very context. However, it is not that every reddish appearance will predict ripeness. There is nothing about the appearance of redness that causes cherries to be ripe and edible.

Akins and Hahn (2014) point out that the food-foraging hypothesis is not well established for several reasons. One is that picking out fruit was only one among tasks of our ancestors since they were omnivores. Another is that studies have shown that one can select ripe fruits on the basis of dichromatic information and surface lightness: "one need not represent reds and greens of fruit among the leaves to suddenly see the fruit as spectrally different or as salient." <sup>106</sup> Moreover, Akins and Hahn criticize the 'color-for-coloring hypothesis', this is, that human color vision is for seeing the colors. Both, the luminance and chromatic systems are for seeing not for coloring. They show that the usefulness of the chromatic system resides in its collaboration with the luminance system. This information gained through the chromatic contrast may be used in parallel and in complementary ways with the luminance system. So, in a general sense, the function of the color vision is the enhancement of the contrast and on a specific level for discrimination of surface variations from illuminant variations (which goes hand in hand with the discriminatory account on color constancy discussed earlier).

Another issue Arstila points out is that we re-identify objects not because of our detection capabilities but because of the memory that is connected with the relatively stable color appearances of objects through time. However, as it turns out, studies have shown (Troost and

<sup>106</sup> Akins and Hahn, 2014, p. 166

Weert, 1991) that we are better in object recognition than in color constancy capacities (think again of the matching experiments discussed in the second chapter). Of course, color is in many cases needed for the object recognition, but colors do not need to remain perfectly constant to be re-identified as colors of the same objects. Moreover, we are better in discriminating the given stimuli than remembering it. Now, if color is not *that* important, then one does not have to worry about assigning color vision mainly a discriminatory role.

#### 4.4 Appearance-reality distinction

The importance of colors becomes clearer when trying to understand why we navigate around the world as well as we do. This brings us to the debate on the differentiation between appearance and reality.

One of the motivations to draw the distinction between appearance and objective color is to differentiate veridical experiences from the erroneous ones (remember the Benham's disk case). Boghossian and Velleman describe the issue in the following way: "What philosophers want to know is whether the properties that objects thus appear to have are among the ones that they are generally agreed to have in reality."<sup>107</sup> The appearance-reality distinction follows the traditional intuition that there must be some kind of systematic relations between the world out there and our brain states that ensure our basic functionality in the world we happened to find ourselves.<sup>108</sup> Following this intuition, what is then the relation between 'real' colors and their appearances? Gert (2017) for example, differentiates between three categories: objective color, apparent color and color appearances. The apparent color insinuates the objective color in a sense that apparent color is not different from the objective one; it is rather how the objective

<sup>&</sup>lt;sup>107</sup> Boghossian and Velleman, 1991/1997, p. 106.

<sup>&</sup>lt;sup>108</sup> See Churchland, 1986.

color of an object seems to someone. The color appearance, on the other hand, is what varies with the changes in viewing conditions when the objective and its apparent color stay constant. Another distinctive feature of a color appearance is that it can possibly be described with a more exact color language than the objective color that is limited to the standard color spaces. Peacocke and Shoemaker also make a three-way distinction of color, although they categorize the third category in a different way. Either as a property of a portion of the visual field (Peacocke, 1997) or as a kind of an unnamed ineffable relational property (Shoemaker, 2003 and 2006).

Perceptual illusions are commonly regarded to motivate the distinctions between apparent and objective (or real) properties of the objects. Tye (2000) sympathises with this view when describing the after-images: "The colors things are *experienced* as having as a result of the contrast of the real color of the stimulus and the real color of the background are merely apparent. They do not really exist. Our experiences represent them as being instantiated when in reality they are not. Such colors *on such occasions* are mere intentional inexistents."<sup>109</sup> The underlying assumption of appearance-reality distinction is that there is such a thing as real color properties, that we are or perhaps not being able to *reach*. Now, one way to understand color appearances is to look at the way they are categorized in the color spaces.

<sup>&</sup>lt;sup>109</sup> Tye, 2000, 156.

There are, generally speaking, two kinds of color systems: psychophysical and perceptual. The psychophysical systems, such as the CIE RGB system "… represents external light in terms of the effect is has on the three different cone-cells types present in the retina that are sensitive to different wavebands of visible light. The color is represented as a tristimulus value"<sup>110</sup>. So, these CIE spaces (*Figure 13*) are representing color by the activation of the colour sensitive cone cells. The color appearance though does not correspond to the activation of the receptors. One reason is that the activation level is not great enough to be registered. The second reason is that the opponent process does not directly correspond to the activation but to the differences the outputs of the cones (*see* the third chapter on opponent-processing).

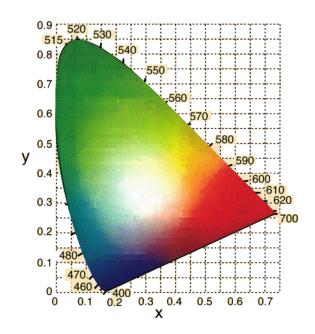


Figure 13:CIE 1931 Color space (source: Hyperphysics<sup>111</sup>)

The perceptual similarity spaces, on the other hand, are defined on the basis of the color experience and not on the physical fact, as Hering (1920/1964) points out: "For a systematic grouping of colours the only thing that matters is colour itself. Neither the qualitative

<sup>&</sup>lt;sup>110</sup> Matthen, forthcoming

<sup>&</sup>lt;sup>111</sup> Hyperphysics site of Georgia State University.

(frequency) nor the quantitative (amplitude) physical properties of the radiations are relevant."<sup>112</sup> These are color systems that are categorized according to how we experience color and are therefore called psychological color systems. Two such examples are the Munsell system and the Natural Color System (NCS). These systems incorporate all *possibly* experienced colors by assigning each a place in a three-dimensional space. In the Munsell's system every color appearance is described with hue, chroma and lightness, while in the NCS system the basic dimensions are hue, chromaticness and whiteness/blackness. While in the Munsell system the red-green and blue-yellow opposites do not play any significant role, the NCS system takes them as basic dimensions (*See* Figure 14).

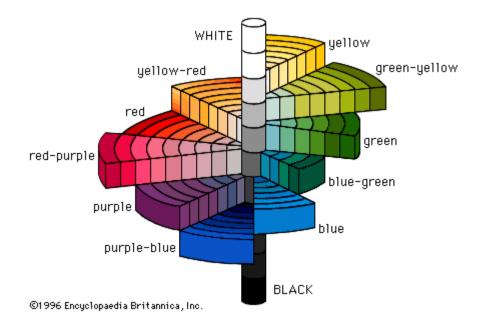


Figure 14: The Munsell color system (source Encyclopedia Britannica)

Now, the color systems that are based on the way color is experienced tell us exactly and only this. Color appearances do not give us any information about the state of affairs regarding the external physical objects and their supposed color-counterparts. If appearances do not give us

<sup>&</sup>lt;sup>112</sup> Hering, 1920/1964.

any information of such kind, then how can we navigate the world on the basis of properties we perceive? One way is to follow Cohen (2009): "experience of colors does not amount to an unmediated, acquaintance-like connection with the colors...Color phenomenology does relate us to the colors, but it is no substitute for the hard, broadly empirical, work necessary for determining how colors are constituted." <sup>113</sup>

# 4.5 Effortlessly chic: Appearances through rose-colored glasses

Up until this point, I have discussed the way colors generally appear to us as perceivers. I tried to show that color appearances in usual circumstances (including constancy and variation) and color appearances in exceptional circumstances (the reddish-green cases) do not tell us anything about the internality or externality of colors. I have instead argued that the best way to understand color appearances is to turn towards understanding the visual system we have happened to be stuck with. However, when turning to the visual system we might not get much further either. As Matthen points out: "the function of opponent processing is non-informational: to enhance discriminability and to format colour in a way that admits of combining distinct elements. This indicates that individual differences that relate to the opponent representation of colour – the unique hues, the proportion of hue magnitudes in perceived colour, the colour categories – have no significance regarding external reality."<sup>114</sup> Now, if the opponent processing in non-informational, then how are we able to perform color based tasks so well. There are other processes that play this role. Matthen, for example, argues that the difference between the opponent processing and color constancy processing is in that

<sup>&</sup>lt;sup>113</sup> Cohen, 2009, p. 116

<sup>&</sup>lt;sup>114</sup> Matthen, forthcoming.p. 24

the latter *adds* information to the incident stimuli, while the former, as already stated, is informationally neutral.

I proposed that the best way to understand the constancy processes is to adopt the complex discriminatory view. Moreover, the function of the overall color vision is best explained in terms of discriminatory capacities. As suggested earlier, the color vision is not of primal importance in object recognition processes. It is rather an *add on* to other visual processes. As Chirimuuta remarks: "color vision doesn't help us see the colors of things; it helps us see things."<sup>115</sup> Discriminatory view and color vision as an *add on* go hand in hand in regard to the fact that color vision merely enhances the discriminatory processes. In color constancy scenarios it is the discrimination of color *and* non-color features that contribute to the successfulness of the perceived constancy. As discussed in the second chapter, I suggest that the color constancy phenomenon is best understood by combining the discriminatory approach with complex scene parsing (including filter cases and layering experiences).

So far I have come to believe that the two phenomena discussed, constancy and impossible colors, do not tell us much about the ontological status of colors. I take it that in this situation, the best is to stay agnostic about the ontology of color.<sup>116</sup> At this point it might seem as if color appearances are useless since they do not put us in touch with real states of affairs. Even more, they do not guarantee that there is such a thing as real states of affairs. I suggested that with such realization one needs to turn to the mechanisms of our visual system as a whole. However, when doing so we run into description of processes that bear little information (like opponent-processing) and thus seem far from understanding our color phenomenology. In addition, we

<sup>&</sup>lt;sup>115</sup> Chirimuuta, 2015, p. 86.

<sup>&</sup>lt;sup>116</sup> Although my account it motivated by perceptual pragmatism (See Chapter 2), I diverge from Chirimuuta's view since she does propose a theory of color called adverbialist relationalism that claims that colors are properties of perceptual events that involve a relation between the pyschological state and the distal item.

also figure that color vision sadly plays a secondary role in processes like object recognition of, let's say, crucial edibles. What we are left with though are discriminatory capabilities.

The situation is not as black as it is painted. The discriminatory approach to color vision brings about two features: effortlessness and usefulness. As discussed previously, our perception of the world affords us with a rich variety of color experiences (e.g. surface color, volume color and transparencies). Since color appears as such a salient feature of the world, the perception of this richness is arguably easily achieved. I take it that this chic effortlessness of color perception comes thanks to the automaticity of color processing or rather automaticity of discrimination that can be either conscious or unconscious (*see* the discussion on awareness conditions in constancy scenarios).

Moreover, color experiences are highly useful. Although, chromatic vision is not primarily responsible for recognition of crucial edibles, it certainly enhances the discriminatory capabilities. However, one does not need to expect that the function of the chromatic vision is to make veridical representations of the world. Now the question is, what is the usefulness of color appearances if our color vision does not guarantee the veridicality of the experiences. First, I do not find this worry too dramatic since I take it that also erroneous experiences can be informative. They can be about *some* features of the world or of ourselves – like the reddishgreen experiences are somehow informative about the functions and limits about our visual system. Second, as already stated, color appearances alone do not tell us anything about internality or externality of colors. Accordingly, one is wrong in having expectations about veridicality based only on the appearances. It is not that the nature of color is exhausted by its looks. However, we cannot strip colors from their appearances. This is to say that we do not have other means to understand what colors *really* are on, both, physical and phenomenal level if not by attending to the way they appear. One way to get out of this puzzle is to abandon the

appearance-reality distinction altogether or to adopt some sort of phenomenal objectivism.<sup>117</sup> However, Shevell (2012) points out that: "studying color in isolation, even if possible, would neglect basic properties of neural pathways as well as the full role of chromatic coding in visual perception."<sup>118</sup> If colors are unanalyzable without the rich context and other non-color visual processing, then perhaps the more interesting question is not what is the exact ontological status of colors, but rather why they keep on appearing as the do. I have showed that despite apparent unimportance of color vision for the survival, we can find ways to see color appearances through rose-colored glasses. Color vision enhances our discriminatory capacities and effortlessly affords us with the richness of the color appearances. I will leave it as an open question whether there is a way to figure out what appearances tell us about the allegedly underlying metaphysical nature, and instead let colors keeping up appearances.

<sup>&</sup>lt;sup>117</sup> See Noë (2004) and for the discussion see Allen (2009).

<sup>&</sup>lt;sup>118</sup> Sevell, 2012, 337.

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