

1 **An environmental comparison of techniques to reduce pollutants emissions related**
2 **to agricultural tractors**

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14
15 **Abstract**

16 Agriculture is responsible for considerable environmental impact. Much of the impact is related to
17 mechanisation and, in particular, to tractor emissions. Agricultural tractor manufacturers have been
18 forced to adhere to increasingly stringent limits on engine exhaust gas emissions in recent decades.
19 Among the most recent technical solutions to reduce pollutant emissions are included exhaust gas
20 recirculation (EGR) and selective catalytic reduction (SCR), both of which involve critical issues, such
21 as the increase in specific fuel consumption (EGR) or the consumption of a urea solution (SCR). Only a
22 few studies have focused on the environmental consequences related to the application of these
23 solutions to operational agricultural tractors to date.

24 This study employed the life cycle assessment approach to evaluate the environmental impact of
25 ploughing conducted with two very similar tractors, the first being equipped with EGR and the second
26 with SCR. More in details, considering a full set of environmental indicators, the environmental impact
27 of ploughing carried out with the tractor equipped with the EGR is compared with the ones carried out
28 with the tractor equipped with SRC.

29 The results show that the SCR-equipped tractor reduced all the impact categories affected by NO_x and
30 particulate matter emissions (from –59% for acidification to –73% for marine eutrophication). However,
31 the influence on Climate Change was much lower (-3%), mainly for the increase of Freshwater
32 Eutrophication (due to the consumption of urea solution in the SCR, as well as to the consumption of
33 tractor, and metal depletion for the higher mass of the tractor.

34

35

36 **Keywords**

37 Life Cycle Assessment (LCA), pollutants emission, Exhaust Gas Recirculation (EGR), Selective
38 Catalytic Reduction (SCR)

39 **1 Introduction**

40 Manufacturers of agricultural tractors have been forced by both European Union legislation and
41 corresponding US Environmental Protection Agency to place increasingly stringent limits on engine
42 exhaust gas emissions in recent decades. Similar to the automotive sector, some EU directives
43 (97/68/EC, 2010/22/EU, 2010/26/EU) have defined limits for the emission of nitrogen oxides (NO_x),
44 carbon monoxide (CO), particulate matter (PM) and hydrocarbons (HC) by introducing emission
45 controls for off-road vehicles.

46 In the Italian agricultural sector, farms are characterised by a broad range of machinery fleet
47 compositions. This framework ranges from farms equipped with underused and outdated machinery to
48 farms equipped with modern and updated machinery that possess the most recent technology.
49 Contractors also play a significant role in this framework, since they have modern machinery that allows
50 them to complete jobs in a prompt and efficient manner. Such variability in the agricultural machinery
51 employed thus makes effective quantification of pollutant emissions from agricultural operations difficult.
52 These difficulties are related to both the collection of data and the site and time dependency of
53 variables (Lovarelli & Bacenetti, 2017a; Pitta, Luck, Werner, Lin, Shearer, 2016), as well as the
54 undefined presence of outdated tractors being used due to the average 25-year lifespan of agricultural
55 tractors in Italy (Bietresato, Calcante, Mazzetto, 2015). This last difficulty complicates the analysis of
56 the environmental load from machinery performed within a reliable framework and, consequently,
57 makes it practically impossible to identify the improvements achieved by modern tractors as
58 replacements for older tractors.

59 Several studies have highlighted that agricultural production plays an important role on the
60 environmental load with mechanisation being one of the most important processes that is linked to a
61 considerable share of the negative impacts (Bacenetti, Fusi, Negri, Fiala, 2015; IPCC, 2006; Lovarelli &
62 Bacenetti, 2017a; Niero et al., 2015). Agricultural machinery is responsible for greenhouse gases
63 emissions (Lovarelli & Bacenetti, 2017b; Notarnicola et al., 2015) due to fossil fuel production and
64 consumption, as well as the release of exhaust gases. Furthermore, even when analysing a single
65 operation, the geographical, temporal and managerial specificities are relevant to both the inventory
66 data fulfilment (Janulevičius, Juostas, Čipliene, 2017; Perozzi, Mattetti, Molari, Sereni, 2016) and the
67 subsequent quantification of environmental impacts (Lovarelli & Bacenetti, 2017a, 2017b).

68 Regardless, more stringent emission limits for exhaust gases do not necessarily equate to beneficial
69 environmental effects. For example, the exhaust gas recirculation (EGR) system, introduced to reduce
70 the emission of NO_x, entails an increase in specific fuel consumption of 4–10% (i.e. a similar reduction
71 in engine efficiency) (Volvo, 2010) due to the recirculation of exhausts in the combustion chamber
72 instead of clean air. Conversely, selective catalytic reduction (SCR) is a post-combustion treatment that
73 involves a better fuel efficiency, but the production and use of the urea solution must be taken into
74 account for its environmental impact assessment.

75 The aim of this study is to employ the life cycle assessment (LCA) method to evaluate and quantify the
76 environmental impacts related to the exhaust gases emitted from two agricultural tractors to better
77 understand the importance of such legislative restrictions from an environmental point of view. The two
78 analysed tractors are equipped with the most recent emissions savings technologies (European Stage
79 IIIA with EGR and European Stage IIIB with SCR) and are characterised by different engine efficiency
80 and by the urea solution production and consumption. A ploughing operation was simulated at a test
81 bench with the two different tractor models, one equipped with EGR and the other with SCR.

82

83 **2 Materials and methods**

84 The analysis has been performed by adopting the Life Cycle Assessment (LCA) method to quantify the
85 environmental impact of ploughing performed with two very similar tractor models, one of which is the
86 technical evolution of the other.

87 LCA is a standardised method that is adopted worldwide to quantify the potential environmental impacts
88 of processes by considering their whole life cycle. It allows assessments to be made with a holistic
89 approach, thus avoiding the omission of any important environmental issue. LCA is standardised by
90 ISO 14040 Series, which is carried out following four steps:

- 91 1. Specification of the goal of the study, selection of the functional unit and descriptions of the system
92 and system boundary;
- 93 2. Life cycle inventory (LCI) data collection, which identifies and quantifies the flow of materials and
94 energy from the system and the environment;
- 95 3. Life cycle impact assessment (LCIA), where the inventory data are converted to numeric indicators
96 of environmental impacts by means of specific characterisation factors;

97 4. Interpretation of the results and identification of the process hotspots.

98 The LCI of agricultural production cannot always be reliably fulfilled and local data are often not directly
99 measured due to pedo-climatic (e.g., soil texture and water content), site-specific (e.g., field shape,
100 slope) and logistic (e.g., annual working time) conditions that can make it unachievable and/or too
101 complex. Specific databases have been developed to overcome this problem, but the processes
102 available only take into account average pedo-climatic and logistic conditions, which can often be
103 unrepresentative. Therefore, the possibility of modifying processes to make them more reliable by using
104 measured data or information estimated with adequate models is very promising.

105 The achieved outcomes can be useful for:

- 106 - LCA practitioners that focus on agricultural systems and on exhaust gas emission control
107 strategies for off-road vehicles, including agricultural tractors;
- 108 - Manufacturers and operators of agricultural machinery to better understand both how and to what
109 extent the respective emission Stages contribute to the environmental sustainability of agricultural
110 production;
- 111 - Farmers to better understand their role in reducing the environmental load of the operations they
112 conduct;
- 113 - Policymakers to obtain information about the sustainability of field operations and potentially
114 develop dedicated subsidies.

115

116 **2.1 Goal and scope**

117 The EGR is a combustion system with a dedicated valve in the combustion chamber where a portion of
118 the produced exhaust gases is used as intake air (~5–15% volume of the intake air). This solution
119 reduces the production of NO_x (NO and NO₂) by avoiding peak temperatures during combustion.
120 However, the reduction of clean air available for the combustion results in decreased fuel efficiency,
121 thus requiring increased fuel consumption to achieve the same engine performance.

122 The SCR is a post-combustion treatment that converts the NO_x produced into molecular nitrogen (N₂)
123 and water vapour by using ammonia as the reducing agent. Ammonia (NH₃) is introduced into the
124 process by a urea solution (32% concentration) in water, which converts the NO_x through thermolysis
125 and hydrolysis. However, since the engine normally works with clean air, the system is more effective,

126 and the fuel efficiency is thus higher (4–5% with respect to EGR: Maiboom, Tauzia, Shah, Hétet, 2009;
127 Volvo, 2010), which also increases the engine lifespan.

128 Because SCR is a post-treatment solution, agricultural SCR-equipped tractors produce lower NO_x and
129 PM emissions with respect to EGR-equipped tractors. However, unlike the EGR, where a valve drives
130 the recirculation of exhaust gases, an SCR-equipped tractor has a more complex treatment system,
131 which includes a specific tank for the urea solution, a nozzle for its distribution and a specific chamber
132 where the chemical reaction is performed. Furthermore, the production and consumption of the urea
133 solution must also be evaluated. LCA was thus adopted in this study to evaluate whether the reduction
134 of pollutant emissions and the increase in fuel efficiency achieved with the most recent tractor model
135 offsets the environmental impacts related to the urea solution production and consumption.

136

137 **2.2 Functional unit and system boundary**

138 The selected Functional Unit (FU) for the study is “*the ploughing of 1 ha of flat land field*” to analyse the
139 ploughing with a comparable unit. As shown in **Fig. 1**, the system boundary includes all the inputs and
140 outputs associated with the ploughing operations. Inputs include the mass and energy necessary to
141 complete the process, which takes into consideration the production and use of fuel, lubricant and urea
142 solution (only for the SCR-equipped tractor), as well as the manufacture of the tractors, their
143 implementation and their wear. Outputs include all emissions into the environment, which encompasses
144 the emissions into the soil and water due to metals depletion and tyre abrasion, as well as the
145 emissions into the air due to exhaust gas emissions caused from fuel combustion. The simulated
146 ploughing is studied by performing different tasks, which allows the variations in each of the tractor
147 parameters to be measured (e.g., engine speed, torque, engine load, brake specific fuel consumption,
148 effective field capacity), thus providing a detailed sustainability evaluation. The tasks considered were
149 effective work in the field, turning at the headlands, farm preparations and travelling between the farm
150 and field.

151

152

Fig. 1. Around here

153

154 **2.3 Life Cycle Inventory**

155 The main technical characteristics of the two tractors are given in Table 1. Tractor A (Emissive Stage
156 IIIA, EGR-equipped) and Tractor B (Emissive Stage IIIB, SCR-equipped) have only slightly different
157 engine power ratings (179 kW and 191 kW, respectively). The difference of 12 kW is mainly due to the
158 engine setting and to the different technologies adopted for emissions control. In fact, Tractor B is
159 considered as the more recent version of Tractor A, aimed at complying with the more recent European
160 Directive on emissive limits, but designed to maintain the same performance features.

161

162 [Table 1. around here](#)

163

164 Both tractors were tested using a trailed electromagnetic dynamometer coupled through the power-
165 take-off, working at 1000 rpm (104.7 rad s⁻¹). The ploughing operation was simulated by considering
166 the typical work characteristics of a four-furrow reversible mouldboard plough, working at a 35-cm depth
167 in medium-textured clayey soil and then turning with a fish-tail headland manoeuvre (Sabelhaus,
168 Röben, Meier zu Helligen, Schulze Lammers, 2013). Thus, the mechanical assumption was that the two
169 tractors were working at an engine speed of 1880 rpm (196.8 rad s⁻¹) and 80% engine load.

170 The main inventory data are reported in Table 2. Fuel and urea solution consumptions were measured at
171 the test bench, taking into account the specific fuel consumption (g kWh⁻¹) for a given partial engine
172 load.

173 The consumed mass represents the machinery mass (tractor and implement) that is “consumed” during
174 the field operation, such that the overall amount of materials that is consumed during the machinery
175 lifespan is taken into account. This involves quantifying the environmental impact related to the
176 machinery production, maintenance and disposal at the end of lifespan. Here the consumed mass was
177 quantified according to the following equation (Lovarelli, Bacenetti, Fiala, 2016):

178

$$179 \quad AM = \frac{m \cdot \left(\frac{l}{L}\right)}{A}, \quad (1)$$

180

181 where AM is the consumed mass of the agricultural machinery (kg ha^{-1}), m is the machinery mass (kg),
182 T is the working time of the operation (h), L is the machinery lifespan (h) and A is the field area (ha).

183

184

185 [Table 2. around here.](#)

186

187

188 Considering the exhaust gas emission limits related to the emissive stages of Tractor A (Stage IIIA) and
189 Tractor B (Stage IIIB), [Table 3](#) reports the engine pollutant emissions during ploughing. These values
190 were calculated with reference to EU Directive 97/68/EC and the following amendments (Directives
191 2010/22/EU and 2010/26/EU), as well as the equation by Schäffeler and Keller (2008), which takes into
192 account the features of the single tasks of the ploughing operations (i.e. effective field work, turnings at
193 headlands and travelling). Therefore, it is assumed that the emission limits are met during all working
194 conditions, even while working at partial engine loads.

195

196 [Table 3. around here.](#)

197

198 It was assumed that the two tractors each worked for a total of 130 h y^{-1} to accomplish the ploughing
199 operations, with a total annual working time of 500 h for each tractor. Therefore, at the theoretical end
200 of their lifespans (i.e. after 12 y) (Lazzari & Mazzetto, 2016), each tractor worked for 6000 h, which is
201 ~50% lower than the average value available in the literature (Lazzari & Mazzetto, 2016). However, this
202 value is in accordance with the common use and lifespan of machinery operated by Italian farmers
203 (Bietresato, Calcante, Mazzetto, 2015). With regard to the annual working times of tractor and plough,
204 the values were identified considering the agricultural context of Northern Italy. More in details, the
205 considered working times refer to medium-size arable or dairy farms of this area.

206 The effective field capacity was 0.68 ha h^{-1} . The working time per field task was determined as follows:

- 207 - Effective work in the field: 61.2% of the total working time;
- 208 - Turns at the headlands (with the fishtail turn manoeuvre, as described in Sabelhaus, Röben, Meier
209 zu Helligen, Schulze Lammers, 2013): 25.6% of the total working time;

- 210 - Farm preparation (including coupling and uncoupling of the plough, diesel tank filling, etc.): 6.1% of
211 the total working time;
- 212 - Travelling between the farm and field, covering an average one-way distance of 1 km at a travelling
213 speed of 15 km h⁻¹: 6.8% of the total working time.

214 The engine features of these tasks (referring mainly to the engine load) were assumed for the test
215 bench by taking into account this temporal distinction. The engine load thus ranged between 80–85%
216 during ploughing, 20–35% during turning at the headlands and 20–40% during travelling between the
217 farm and field, with the tractor idling during farm preparation.

218 For the urea solution, the consumption of liquid urea and demineralised and decarbonised water were
219 taken into account, as well as the following specific energy consumptions: electricity at 0.07 kWh kg⁻¹
220 and natural gas at 1.71 MJ kg⁻¹ (Yara, 2017; Bacenetti, Lovarelli, Pessina, 2017).

221 Background data for the raw materials extraction (e.g., fossil fuels and minerals), manufacture (e.g.,
222 tractors and implements), use, maintenance and final disposal of the machinery, as well as for the
223 buildings housing the machinery, were retrieved from the Ecoinvent Database v3 (Weidema et al.,
224 2013). The processes retrieved from the Ecoinvent database are listed in Table 4.

225

226 [Table 4. around here.](#)

227

228 **2.4 Life Cycle Impact Assessment**

229 LCIA of the updated characterisation factors from the ReCiPe Midpoint methodology (Goedkoop et al.,
230 2008) was conducted for the following impact categories: climate change (CC), ozone depletion (OD),
231 terrestrial acidification (TA), freshwater eutrophication (FE), marine eutrophication (ME), photochemical
232 oxidant formation (POF), particulate matter formation (PM), metal depletion (MD) and fossil depletion
233 (FD).

234

235 **3 Results and discussion**

236 [Table 5](#) reports the environmental results of the ploughing operations conducted with tractors A and B,
237 as well as the comparison between the related outcomes per environmental impact category.

238 Tractor B, which is equipped with the most recent pollutant reduction technique (SCR), shows reduced
239 environmental impacts on almost all the evaluated impact categories. This means that the use of the
240 urea solution for the SCR system does not negatively affect any impact category: the benefits arising
241 from the abatement of pollutants, as well as from the reduced fuel consumption, are higher than the
242 impact related to the urea solution consumption. Moreover, those categories affected by NO_x and
243 NMVOC (Non-methane volatile organic compound) emissions (i.e. TA, ME, POF, PM) show the biggest
244 reduction in environmental load, which ranges between –59% and –73% with respect to the results for
245 tractor A. For CC, OD and FD, the reduction is quite restrained (–3% for each impact category) and is
246 completely related to the reduction in fuel consumption by tractor B. However, there is an increase in
247 the environmental impacts of tractor B compared to tractor A for FE and MD (+9% and +7% for FE and
248 MD, respectively), which is primarily due to the production of the tractor (tractor B has a higher mass
249 than tractor A, in part due of the pollutant abatement device) and to the consumption of the urea
250 solution for the SCR. For FE, the impact is mainly related to the mining activities for minerals extraction,
251 while for MD, the impact is mainly due to the metals used during the manufacturing.

252

253 [Table 5. around here.](#)

254

255 Information regarding the contribution of the production processes to the environmental impact results
256 is reported in **Fig. 2**. Here the absolute impact of ploughing with tractors A (lower bars per impact
257 category) and B (upper bars per impact category) are shown in detail. For each of the assessed
258 categories, the production and use of the urea solution for reducing NO_x with the SCR technology
259 involves substantial environmental benefits due to the efficient abatement of emissions. The production
260 of the urea solution never represents a hotspot process, showing a share of less than 2% on all the
261 evaluated impact categories, and involving, in the meantime, a positive and considerable reduction of
262 the environmental load from field operations.

263

264 **Fig. 2. Around here.**

265

266 The main process hotspots are:

- 267 - Pollutant emissions from the tractor engine for CC, TA, ME, POF and PM of tractor A (ranging
268 from 71% for TA to 83% of impact for ME), and for CC (77%), ME (32%) and POF (43%) of
269 tractor B. This contribution is mostly related to the pollutant emissions, including carbon dioxide
270 (CO₂), carbon monoxide (CO), nitrogen oxides (NO_x), particulate matter (PM₁₀), hydrocarbons
271 (HC) and precursors, such as ammonia (NH₃) and sulphates. For tractor B, which is equipped
272 with SCR, the engine exhaust gas emissions are heavily reduced due to the emission control
273 system, such that the process emissions are a hotspot for only three of the nine evaluated
274 impact categories.
- 275 - Diesel fuel production for OD and FD of both tractors. For tractor A, the responsibility is 93%
276 and 89% for OD and FD, respectively, whereas it is slightly lower for tractor B due to the higher
277 engine efficiency (and, therefore, lower fuel consumption) and is 91% and 87% for OD and FD,
278 respectively. Diesel fuel production is also a main contributor for TA (38%) of tractor B.
- 279 - Tractor production for FE and MD of both tractors. For tractor A, the contribution is 60% for FE
280 and 50% for MD, while the tractor B contribution is 63% for FE 53% for MD and also 29% for
281 POF. The higher impact of tractor production for FE is related to mining activities for the
282 extraction of hard coal and lignite. For MD, this is due to the extraction and industrial production
283 processes that involve high amounts of iron, aluminium, plastic, glass, and other materials for
284 the machinery manufacturing. Plough production also plays a key role on MD (41% and 38% for
285 tractors A and B, respectively).

286 The processes of shed for machinery and urea solution production never represent hotspot processes
287 for the analysed ploughing with the two tractors, with values on all the evaluated impact categories <4%
288 for the shed and <2% for urea production.

289 The primary difference in environmental impact is thus due to the tractor selection, with the adoption of
290 the most modern tractor that possesses the most efficient pollutant abatement system achieving
291 marked environmental impact reductions. Only FE and MD show slight impact increases due to the
292 higher mass of tractor B and to the consumption of urea in the SCR. Given the broad importance of the
293 process emissions on the final outcomes of many of the environmental impacts, these environmental
294 benefits would be even more remarkable if compared to older tractors (e.g., older emission Stages,

295 such as Stage 2 and earlier) that are prevalent in traditional farms (Bietresato, Calcante, Mazzetto,
296 2015).

297 Moreover, the outcomes of this study also confirm the deeply remarkable importance of the choice of
298 the inventory data on the LCA results of agricultural operations (Lovarelli, Bacenetti, Fiala, 2017;
299 Lovarelli & Bacenetti, 2017a, 2017b). In particular, adopting pollutant emissions data from an average
300 fleet of machinery may have either a negative or positive affect on the environmental results of the
301 analysis. Similarly, fuel consumption must be appropriately measured or quantified, since it also plays a
302 key role in many impact categories.

303

304 **3.1 Sensitivity analysis**

305 A sensitivity analysis was performed by introducing variations in the input data responsible for a
306 relevant share of environmental impacts, with the goal of testing the output data robustness. Therefore,
307 although the urea solution plays a fundamental role in the abatement of pollutants and in the
308 improvement of sustainability, no sensitivity analysis was performed on it due to the fact that it only has
309 a negligible influence on the environmental impacts.

310 The variables on which the sensitivity analysis have been made were:

- 311 - Annual tractor working time: –50% and +50%, with respect to the collected value (i.e. 250 h and
312 750 h);
- 313 - Annual plough working time: –50% and +50%, with respect to the collected value (i.e. 65 h and 195
314 h);
- 315 - Diesel fuel consumption: –15% and +15%, with respect to the measured data.

316 With regard to the annual working times, the considered levels of change allow to take into account the
317 operative conditions of small and big farms.

318 **Tables 6** and **7** report the results of the sensitivity analysis performed on the tractor and plough annual
319 working time, respectively, and **Table 8** gives the diesel fuel consumption sensitivity analysis results.

320

321 **Table 6, 7 and 8. Around here.**

322

323 The annual working times of the tractor and plough affect all the evaluated environmental categories.
324 However, changing the annual working time of the tractors involves a higher impact variation compared
325 to changing the annual use of the plough.

326 FE and MD are the impact categories that are most affected by changing the annual working times of
327 both the tractor and plough. Varying the annual tractor use from 750 h to 250 h causes the impact of FE
328 to range from -20% to $+62\%$ for FE and from -17% to $+53\%$ for MD, respectively. Similarly, varying the
329 annual use of plough from 195 h to 65 h causes the impact of FE to range from -8% to $+24\%$ for FE
330 and from -13% to $+40\%$ for MD, respectively. For these two impact categories, the “consumption” of
331 the tractor during fieldwork is the main hotspot (Fig. 2). The effects on the other impact categories are
332 smaller because they are related to the production and consumption of fuel and urea solution, as well
333 as to their emissions to the air, which motivates the impact of these results on all the other impact
334 categories.

335 The fuel consumed has a rather linear effect and a strong impact on the results, which are $\geq \pm 10\%$ for
336 almost every category, except FE and MD (which are instead affected by both the tractor and plough
337 consumed masses) for both tractors, and also on ME and PM for tractor B, which are characterised by
338 changes of 7–9% due to the influence of the mass of the machinery.

339

340 **3.2 Comparison with tractor with no emissions control**

341 The environmental impact of ploughing carried out with Tractor A and B was compared with the impact
342 of ploughing performed using a tractor without any emission control system. The same conditions of
343 field shape, soil texture, working depth and typology of implement (a 4-furrow mouldboard plough) were
344 considered; however, a different tractor (Tractor C) was used. Taking into account that the first
345 emissions limits came into force more than 20 years ago when less powerful tractors (in respect to
346 tractor A and B) were available on the market, a 135 kW power tractor (minimum fuel specific
347 consumption 235 g kWh^{-1} , mass 6665 kg) was considered. It is a tractor present on the market since
348 1996, thus without emission control strategies. Moreover, at that time, it was the suitable tractor
349 available for the operation considered; therefore, it was selected for allowing at best a comparison with
350 tractors A and B. According to its mechanical features and to the work assumptions for ploughing, a
351 lower working speed (4.3 km h^{-1} instead of 6.0 km h^{-1}), a lower field capacity (0.55 ha h^{-1} instead of 0.68

352 ha h⁻¹) and a higher annual working time for the plough were taken into account (due to the lower field
353 capacity). For the tractor C, instead, the same total annual working time of tractors A and B was
354 considered (i.e. 500 h y⁻¹ for 12 years life span), due to the assumption that tractors are commonly used
355 for several different operations at farms. The fuel consumption during ploughing with tractor C was 39.4
356 kg ha⁻¹.

357 Figure 3 reports the comparison among the environmental impacts of ploughing carried out with the
358 three tractors (A equipped with EGR, B equipped with SCR and the last Tractor C not equipped with
359 emission control systems).

360

361

Fig. 3. Around here.

362

363 For all the evaluated impact categories, the ploughing carried out using tractor C (without emission
364 control systems) shows the highest impacts. The impact reduction achieved thanks to the
365 implementation of EGR ranges from 1% for CC to 43% for PM while, for SCR, it ranges from 3% for CC
366 to 83% for ME. The highest impact reductions are achieved for the environmental impacts mainly
367 affected by the emissions of pollutants with the engine exhaust gases (TA, FE, ME, POF and PM). For
368 CC, the ploughing carried out with tractor A, although characterised by a slightly higher fuel
369 consumption, performs better than the one carried out with tractor C. This occurs thanks to the
370 reduction in the emissions of CO and NO_x and to a lower consumption of tractor. Finally, for FD, the
371 impact for ploughing with tractor C is higher respect to the other two considered cases because the
372 lower field capacity involves a higher consumption of tractor and, above all, of plough.

373

374 **4 Conclusions**

375 The SCR technology involves production costs and materials for the urea device manufacturing (tanks,
376 nozzle, sensors, electronics, etc.) and for the production of the urea solution, it also involves higher fuel
377 efficiency and less pollutant emissions with respect to the EGR. The EGR, instead, involves a low
378 increase in production costs but also a less beneficial environmental effect, since fuel combustion
379 efficiency is reduced due to the partial re-introduction of unclean intake air. While the EGR technology

380 reduces pollutant emissions with respect to the previous Stage 2 tractors, it does not reduce the
381 environmental impacts as much as observed with the SCR technology.

382 The quantification of the environmental load of the tractors that belong to different emissive stages
383 permits a quantitative assessment of the benefits of modern technologies, and also allows policymakers
384 and stakeholders to make decisions on possible incentives and/or measures that support the
385 introduction of newer machinery on agricultural farms. Furthermore, knowing the age of the tractor fleet
386 improves knowledge of the effective pollutant emissions and their role in the environmental impact
387 evaluations of agricultural processes.

388 The outcomes of this study highlight the impact variations related to the adoption of two of the most
389 used emissions control systems (EGR and SCR). With this regard, the achieved results can be
390 extended to other tractors equipped with the same devices in order to get a figure about their
391 environmental performances. Nevertheless, with regard to the absolute impacts, the achieved results
392 cannot be extended because they depend on site-specific conditions (e.g., soil texture, field shape,
393 distance from the farm) that affect the field capacity and the fuel consumption. Furthermore, it should be
394 noted that the effectiveness of EGR and SCR systems is not always the same, since it varies among
395 the different tractors depending on specific settings (e.g., for the EGR the proportion of exhaust gas
396 recirculated into the engine and for the SCR the amount of urea solution consumption related to the
397 power requirement).

398 Future evaluation activities must take into account the fact that the adoption of the diesel particulate
399 filter (DPF) will be unavoidable to meet the emissions limits for Stage IV. In particular, tractor
400 manufacturers are moving towards the development of tractors that are no longer equipped with EGR,
401 but with DPF with passive regeneration; this solution will be adopted in substitution of the DPF with
402 active regeneration currently available and characterised by undesired extra consumption of diesel.

403

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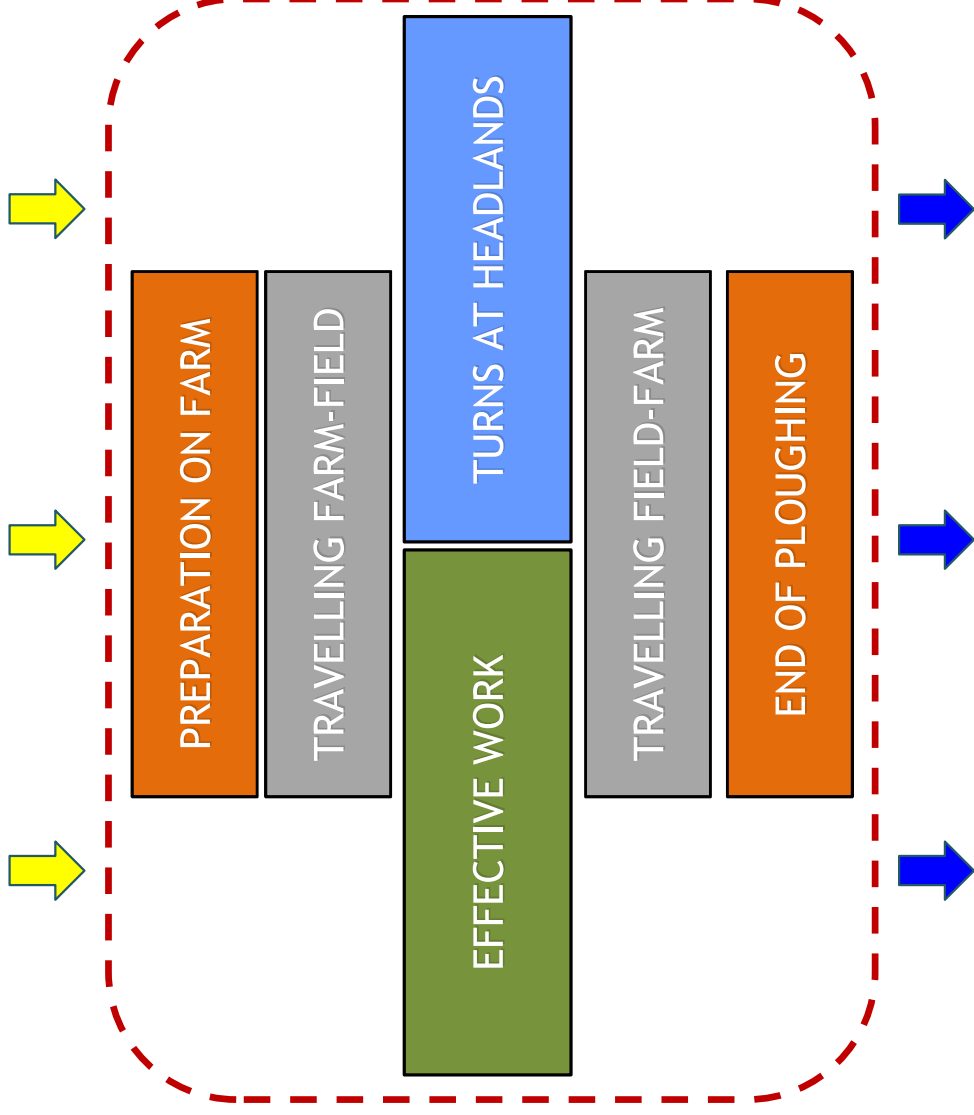
Fig. 1. System boundary for the ploughing process. (§) Two tractors are studied, one equipped with EGR and one with SCR. (*) Only the SCR-equipped tractor is considered for urea solution.

Fig. 2. Hotspot identification of environmental impact results for tractors A (EGR – Stage IIIA; lower bar per category) and B (SCR – Stage IIIB; upper bar per category).

Fig. 3. Comparison among the environmental impacts of ploughing carried out with the three tractors (tractor A with EGR – Stage IIIA, tractor B with SCR – Stage IIIB, and tractor C with no emission control systems).

INPUTS:

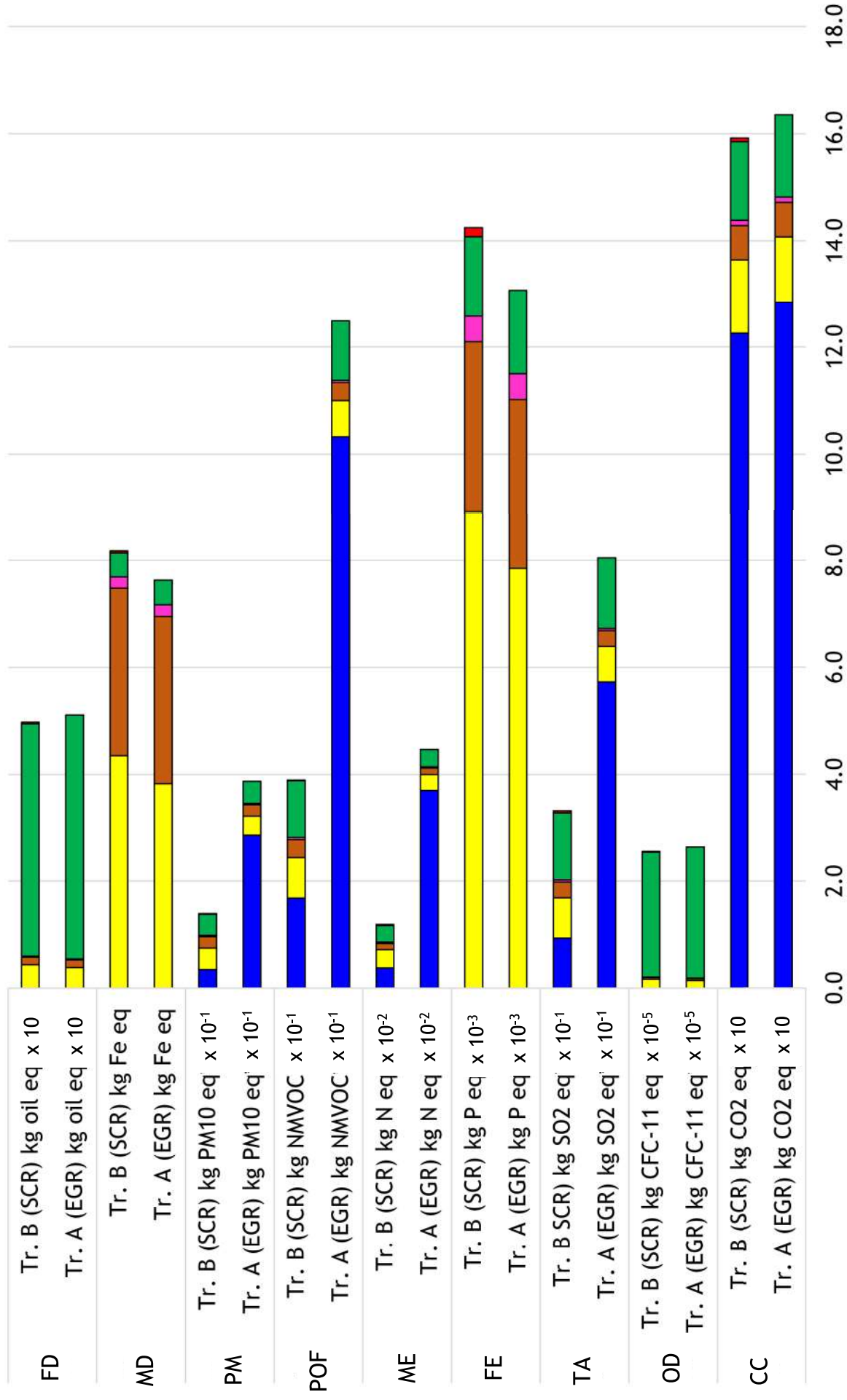
Fuel, lubricant, tractor(s), implement, urea solution(*)



OUTPUTS:

Emissions to air, soil and water

■ Process emissions
 ■ Tractor production
 ■ Plough production
 ■ Shed
 ■ Diesel production
 ■ Urea solution production



1 Nomenclature

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| | |
|-----------------|---------------------------------------------------------------------------|
| SCR | Selective Catalytic Reduction |
| EGR | Exhaust Gas Recirculation |
| DPF | Diesel particulate filter |
| FC | Fuel consumption (kg ha ⁻¹) |
| NO _x | Nitrous oxides (g ha ⁻¹) |
| CO | Carbon monoxide (g ha ⁻¹) |
| CO ₂ | Carbon dioxide (kg ha ⁻¹) |
| PM | Particulate matter (g ha ⁻¹) |
| HC | Hydrocarbons (g ha ⁻¹) |
| N ₂ | Molecular nitrogen |
| NH ₃ | Ammonia |
| NMVOC | Non-methane volatile organic compound |
| AM | Consumed mass of tractor or agricultural machinery (kg ha ⁻¹) |
| m | Mass (kg) |
| T | Working time of the operation (h) |
| L | Machinery lifespan (h) |
| A | Field area (ha) |
| FU | Functional Unit |
| LCA | Life Cycle Assessment |
| LCI | Life Cycle Inventory |
| LCIA | Life Cycle Impact Assessment |
| CC | Climate Change (kg CO ₂ eq.) |
| OD | Ozone Depletion (mg CFC-11 eq.) |
| TA | Terrestrial Acidification (kg SO ₂ eq.) |
| FE | Freshwater Eutrophication (g P eq.) |
| ME | Marine Eutrophication (g N eq.) |
| POF | Photochemical Oxidant Formation (kg NMVOC.) |
| PM | Particulate Matter Formation (kg PM10 eq.) |
| MD | Metal Depletion (kg Fe eq.) |
| FD | Fossil Depletion (kg oil eq.) |

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TABLE

Table 1. Main technical characteristics of the two tractor models considered.

| Characteristic | Unit | Tractor A | Tractor B |
|-----------------------------------|---------------------|-----------|-------------|
| Rated power | kW | 179 | 191 |
| Emission Stage (§) | - | IIIA | IIIB |
| Emission control technology | - | EGR | SCR |
| Minimum specific fuel consumption | g kWh ⁻¹ | 212.7 | 196.1 |
| Urea solution consumption | % | n.a. | 3.4–6.2%(*) |

(§) Emission standards regulation from EU Directive 97/68/EC and following amending ones.

(*) With respect to fuel consumption, in percent volume.

Table 2. Inventory data for the Tractor A and Tractor B ploughing operations.

| Variables | Unit | Tractor A, Stage IIIA with EGR | Tractor B, Stage IIIB with SCR |
|-----------------------------|---------------------------------|-----------------------------------|-----------------------------------|
| Mass of tractor | kg | 7200 | 8140 |
| Mass of plough | kg | 1280 | 1280 |
| Fuel consumption | kg h ⁻¹ | 27.5 | 26.2 |
| | kg ha ⁻¹ | 40.3 | 38.4 |
| Urea solution consumption | dm ³ h ⁻¹ | – | 1.08 (3.4% vol. *) |
| Working time per year | Tractor | h y ⁻¹ | 500 |
| | Plough | h y ⁻¹ | 130 |
| Consumed mass (<i>AM</i>) | Tractor | kg ha ⁻¹ | 1.53 |
| | Plough | kg ha ⁻¹ | 1.04 |

(*) With respect to fuel consumption, in percent volume.

Table 3. Exhaust gas emissions during ploughing operations.

| Exhaust gas | Unit | Tractor A, Stage IIIA with EGR | Tractor B, Stage IIIB with SCR |
|-----------------|---------------------|-----------------------------------|-----------------------------------|
| CO ₂ | kg ha ⁻¹ | 12.69 | 12.10 |
| CO | g ha ⁻¹ | 349.1 | 166.1 |
| NO _x | g ha ⁻¹ | 947.2 | 94.3 |
| PM | g ha ⁻¹ | 69.7 | 5.5 |
| HC | g ha ⁻¹ | 66.8 | 48.2 |

22 **Table 4.** Processes retrieved from the Ecoinvent database v3 (Weidema, Bauer, Hirschier, Mutel et al., 2013).

| Ecoinvent Process | Note |
|----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Tractor, four-wheel, agricultural {GLO} market for Alloc Def, U | The value reported in the Ecoinvent process “Tillage, ploughing {CH} processing Alloc Def, U” was modified considering a life span of 12 y and an annual working time of 500 h |
| Agricultural machinery, tillage {GLO} market for Alloc Def, U | The value reported in the Ecoinvent process “Tillage, ploughing {CH} processing Alloc Def, U” was modified considering a life span of 8 y and an annual working time of 130 h |
| Shed {GLO} market for Alloc Def, U | No changes were made to the Ecoinvent process |
| Diesel {Europe without Switzerland} market for Alloc Def, U | The consumption reported in the Ecoinvent process “Tillage, ploughing {CH} processing Alloc Def, U” was modified according to the measured data |
| Water, completely softened, from decarbonised water, at user {GLO} market for Alloc Def, U | For the manufacturing of the urea solution consumed in the SCR |
| Urea, as N {RER} production Alloc Def, U | |
| Electricity, medium voltage {Europe without Switzerland} market group for Alloc Def, U | |
| Heat, district or industrial, natural gas {RER} Alloc Def, U | |

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35 **Table 5.** Ploughing operation results conducted with tractor B (equipped with SCR) and tractor A
 36 (equipped with EGR).

| Impact category | Unit | Ploughing with | | Δ (*) |
|-----------------|-----------|--------------------------------|--------------------------------|-------|
| | | Tractor B, Stage IIIB with SCR | Tractor A, Stage IIIA with EGR | |
| CC | kg CO2 eq | 159.19 | 163.51 | -3% |

| | | | | |
|-----|--------------|-------|-------|------|
| OD | mg CFC-11 eq | 25.62 | 26.45 | -3% |
| TA | kg SO2 eq | 0.33 | 0.81 | -59% |
| FE | kg P eq | 0.014 | 0.013 | +9% |
| ME | kg N eq | 0.012 | 0.045 | -73% |
| POF | kg NMVOC | 0.39 | 1.25 | -69% |
| PM | kg PM10 eq | 0.14 | 0.39 | -64% |
| MD | kg Fe eq | 8.18 | 7.63 | +7% |
| FD | kg oil eq | 49.76 | 51.11 | -3% |

37 Note: CC (Climate Change), OD (Ozone Depletion), TA (Terrestrial Acidification), FE (Freshwater
38 Eutrophication), ME (Marine Eutrophication), POF (Photochemical Oxidant Formation), PM (Particulate
39 Matter), MD (Metal Depletion), FD (Fossil Depletion).

40 (*) Difference between the environmental impact of ploughing carried out with tractor B and tractor A
41 expressed in percentage terms and for each impact category.

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44 **Table 6.** Sensitivity analysis of tractor annual working time.

| Impact category | Tractor A, Stage IIIA EGR: tractor 250 h y ⁻¹ | Tractor A, Stage IIIA EGR: tractor 750 h y ⁻¹ | Tractor B, Stage IIIB SCR: tractor 250 h y ⁻¹ | Tractor B, Stage IIIB SCR: tractor 750 h y ⁻¹ |
|-----------------|----------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|
| CC | +7.46% | -2.49% | +8.66% | -2.90% |
| OD | +5.38% | -1.79% | +6.28% | -2.11% |
| TA | +8.21% | -2.74% | +22.50% | -7.54% |
| FE | +60.06% | -20.02% | +62.33% | -20.90% |
| ME | +6.72% | -2.24% | +28.59% | -9.58% |
| POF | +5.40% | -1.80% | +19.56% | -6.56% |
| PM | +9.21% | -3.07% | +28.95% | -9.71% |
| MD | +50.13% | -16.71% | +52.92% | -17.74% |
| FD | +7.46% | -2.49% | +8.67% | -2.91% |

45 Note: CC (Climate Change), OD (Ozone Depletion), TA (Terrestrial Acidification), FE (Freshwater
46 Eutrophication), ME (Marine Eutrophication), POF (Photochemical Oxidant Formation), PM (Particulate
47 Matter), MD (Metal Depletion), FD (Fossil Depletion).

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49 **Table 7.** Sensitivity analysis of plough annual working time.

| Impact category | Tractor A, Stage IIIA EGR: plough 65 h y ⁻¹ | Tractor A, Stage IIIA EGR: plough 195 h y ⁻¹ | Tractor B, Stage IIIB SCR: plough 65 h y ⁻¹ | Tractor B, Stage IIIB SCR: plough 195 h y ⁻¹ |
|-----------------|--------------------------------------------------------|---------------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------|
| CC | +3.93% | -1.31% | +4.04% | -1.35% |
| OD | +1.27% | -0.42% | +1.31% | -0.44% |
| TA | +3.66% | -1.22% | +8.89% | -2.96% |

| | | | | |
|-----|---------|---------|---------|---------|
| FE | +24.20% | -8.07% | +22.21% | -7.40% |
| ME | +2.65% | -0.88% | +9.98% | -3.33% |
| POF | +2.71% | -0.90% | +8.68% | -2.89% |
| PM | +5.50% | -1.83% | +15.29% | -5.10% |
| MD | +40.98% | -13.66% | +38.26% | -12.75% |
| FD | +2.81% | -0.94% | +2.89% | -0.96% |

50 Note: CC (Climate Change), OD (Ozone Depletion), TA (Terrestrial Acidification), FE (Freshwater
51 Eutrophication), ME (Marine Eutrophication), POF (Photochemical Oxidant Formation), PM (Particulate
52 Matter), MD (Metal Depletion), FD (Fossil Depletion).

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55 **Table 8. Sensitivity analysis of diesel fuel consumption.**

| Impact category | Tractor A, Stage IIIA EGR: fuel -15% | Tractor A, Stage IIIA EGR: fuel +15% | Tractor B, Stage IIIB SCR: fuel -15% | Tractor B, Stage IIIB SCR: fuel +15% |
|------------------------|---------------------------------------------|---------------------------------------------|---------------------------------------------|---------------------------------------------|
| CC | -13.31% | +13.36% | -12.75% | +13.04% |
| OD | -13.95% | +13.95% | -12.00% | +13.80% |
| TA | -13.06% | +13.06% | -8.75% | +10.09% |
| FE | -1.81% | +1.81% | -1.53% | +1.76% |
| ME | -13.42% | +13.42% | -7.73% | +8.93% |
| POF | -13.65% | +13.65% | -9.21% | +10.63% |
| PM | -12.62% | +12.62% | -7.03% | +8.11% |
| MD | -0.91% | +0.91% | -0.77% | +0.88% |
| FD | -13.40% | +13.40% | -11.48% | +13.20% |

56 Note: CC (Climate Change), OD (Ozone Depletion), TA (Terrestrial Acidification), FE (Freshwater
57 Eutrophication), ME (Marine Eutrophication), POF (Photochemical Oxidant Formation), PM (Particulate
58 Matter), MD (Metal Depletion), FD (Fossil Depletion).

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