# Phase transformations in the CeO<sub>2</sub> - Sm<sub>2</sub>O<sub>3</sub> system: a multiscale powder diffraction investigation

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Abstract. The structure evolution in the CeO<sub>2</sub>-Sm<sub>2</sub>O<sub>3</sub> system is revisited by combining a high resolution synchrotron powder diffraction with Pair Distribution Function (PDF) to inquire local, mesoscopic and average structure. The CeO<sub>2</sub> fluorite structure undergoes two phase transformations by Sm-doping, first to a cubic (C-type), then to a monoclinic (B-type) phase. Whereas the C to Bphase separation occurs completely and on a long range scale, no miscibility gap is detected between fluorite and C-type phases. The transformation rather occurs by growth of C-type nanodomains embedded in the fluorite matrix, without any long range phase separation. A side effect of this mechanism is the ordering of the oxygen vacancies, which is detrimental for the application of doped ceria as electrolyte in fuel cells. The results are discussed in the framework of other Y and Gd dopants and the relationship between nanostructuring and the above equilibria is also investigated.

#### 1 Introduction

Doped ceria materials ( $Ce_{1-\mu}RE_{\mu}O_{2-y}$ , RE= Y and Rare Earths) are attracting the interest of the scientific community for different aspects. From the technological point of view, the interest comes from their application as electrolytes in Solid Oxide Fuel Cells (SOFCs) because of the high ionic conductivity displayed at high and

intermediate temperature [1]. Despite their apparent simplicity, fluorite structures like CeO<sub>2</sub> are known to accommodate for a high concentration of lattice defects, thus being also a reference system for modeling disorder [2-4]. This aspect is particularly important for doped ceria electrolytes, where doping of ceria with lower valent cations creates oxygen vacancies through which oxygen ions can diffuse via a thermally activated process. As ionic conductivity has inverse dependence on the activation energy needed by oxygen ions for migrating through the lattice [5], the accurate description of the crystal structure, from the local to the average crystal environment, is of primary importance.

This is particularly true for heavily doped ceria compounds, where the approximation of randomly distributed defects is not valid any longer. [6] In fact, the fluorite structure of cerium oxide is suitable for accommodating defects of different kind and extent, especially in respect to the non-random distribution of oxygen vacancies induced by doping of lower valent cations. [7, 8] A number of research papers involving different experimental and computational approaches was aimed at clarifying how defects arrange in doped ceria. Different kinds of aggregations were proposed, either involving few dopant atoms, as suggested by EXAFS [9, 10] and NMR [11], or larger nanodomains tens of nanometers wide, as probed by electron microscopy [12,

13] and atomic Pair Distribution Function (PDF) [14, 15]. This picture was confirmed computationally only recently [16, 17], as the modeling of such big aggregates requires advanced computation strategies.

In the case of Sm-doping, to which superior SOFCs performance among rare earth dopants are attributed [18, 19], the conductivity drop is reported at critical concentration ranging from  $\mu$ =0.15 [20], to 0.20 [21] and 0.25 [22]. Literature studies disagree about the effective solubility limit of Sm into CeO<sub>2</sub>. Whereas Mandal *et al.* [23] observed direct transformation from fluorite to C-type by increasing  $\mu$  from 0.4 to 0.5, large biphasic regions were observed in [24] and [25]. A more complex model was proposed by Artini *et al.* [26, 27], who described through Raman and XRPD the intermediate compositional region with a hybrid structure between those of CeO<sub>2</sub> and Sm<sub>2</sub>O<sub>3</sub>.

Whilst conventional powder diffraction via Rietveld method may be insensitive to local orderings, PDF is well suited to overcome this problem. Recent powder diffraction studies on Gd- [15, 28], Y- [14] and on other rare earth dopants (La, Nd, Yb [29]; Pr and Tb [30] besides those on Bi [31] and Zr [32], revealed a common complex scheme of defect structure evolution with doping, which also applies under operating conditions [33]. It is based on the clustering of dopant ions and oxygen vacancies to form nanodomains resembling the structure of the dopant oxide. This is of special interest for Sm-doping, since Sm<sub>2</sub>O<sub>3</sub> crystallizes not only as cubic C-type, like other sesquioxides, but also in a monoclinic form [34].

Since the formation of dopant-rich aggregates is often suggested to be the main driving-force for modifications of transport properties [5], here we use for the first time the same combined PDF and Rietveld approach to investigate the structure evolution over Sm-doping, extending the investigation to the full CeO<sub>2</sub>-Sm<sub>2</sub>O<sub>3</sub> system and placing special emphasis on the transformation of doped ceria from fluorite to C-type and from C- to B-type phases.

Since the former has direct impact on the application of the material as electrolyte in SOFCs, here it is further investigated on samples produced with a crystallite size comparable to that of the observed nanodomains.

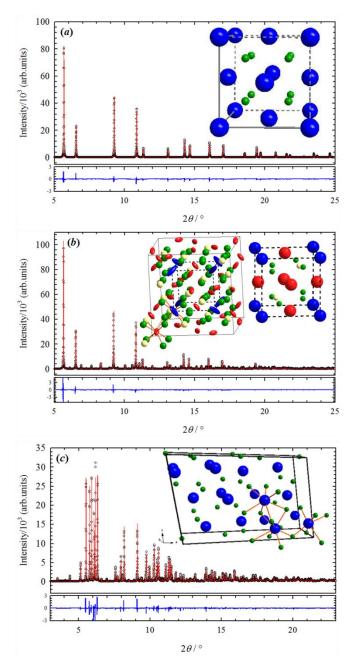
### 2 Experimental

Synthesis.  $Ce_{1-\mu}Sm_{\mu}O_{2-\mu/2}$  samples with different  $\mu$  Sm-concentration were prepared with the *Pechini* sol-gel method [35]. The as prepared gel was first burned for three hours at 500°C in an ashing furnace; then the furnace was turned off and the samples cooled inside it. Aliquots of the samples were then fired at 900°C for 72 hours in air and cooled inside the oven.  $CeO_2$  (>99 %) was purchased by Fluka. Additional firing procedures for samples in the  $0.750 \le \mu \le 1$  range are described in the SI. The powders obtained just after burning at 500°C will be referred to as 'nano', those sintered at 900°C as "sint". The list of the samples investigated, here labeled as the element symbol followed by the  $\mu$  concentration value, is given in Table 1.

**Table 1.** Details of the samples investigated through synchrotron radiation and corresponding data analysis strategy. When not explicitly stated the samples are pure in phase.

Nominal elemental composition	nominal Sm/(Sm+Ce)	probed Sm/(Sm+Ce)	final annealing temperature ( ${}^{\circ}C$ )	phases	XRPD analysis	source
CeO <sub>2</sub>	0	-	900	F	Rietveld + PDF	ESRF
$Ce_{0.875}Sm_{0.125}O_{1.938}$	2/16	0.111(3)	900	F	Rietveld + PDF	<b>ESRF</b>
Ce0.750Sm0.250O1.875	4/16	0.223(8)	900	F	Rietveld + PDF	<b>ESRF</b>
Ce0.687Sm0.313O1.844	5/16	0.286(8)	500	F	Rietveld + PDF	ALBA
Ce0.68/Sm0.313O1.844	3/10	0.200(8)	900	F	Rietveld + PDF	ESRF
Ce0 656Sm0 344O1 828	5.5/16	0.222(0)	500	F	Rietveld + PDF	ALBA
Ce0.656Sm0.344O1.828	5.5/10	0.323(9)	900	$C^*$	Rietveld + PDF	<i>ESRF</i>
Co. S O	6/16	0.251(9)	500	C*	Rietveld + PDF	ALBA
$Ce_{0.625}Sm_{0.375}O_{1.813}$	6/16	0.351(8)	900	$C^*$	Rietveld + PDF	<i>ESRF</i>
Ce0.594Sm0.406O1.797	6.5/16	0.374(7)	900	C*	Rietveld	ESRF
$Ce_{0.562}Sm_{0.438}O_{1.781}$	7/16	0.41(1)	900	$C^*$	Rietveld + PDF	<i>ESRF</i>
Ce0.500Sm0.500O1.750	8/16	0.465(9)	900	C	Rietveld + PDF	<i>ESRF</i>
Ce0.438Sm0.562O1.719	9/16	0.533(4)	900	C	Rietveld	<i>ESRF</i>
$Ce_{0.375}Sm_{0.625}O_{1.688}$	10/16	0.598(4)	900	C	Rietveld + PDF	<i>ESRF</i>
Ce0.312Sm0.688O1.656	11/16	0.662(4)	900	C	Rietveld	<i>ESRF</i>
Ce0.250Sm0.750O1.625	12/16	0.715(3)	900	C	Rietveld + PDF	<i>ESRF</i>
Ce0.187Sm0.813O1.594	13/16	0.796(2)	900	C	Rietveld	<i>ESRF</i>
$Ce_{0.125}Sm_{0.875}O_{1.563}$	14/16	0.867(3)	900	C+B(6.5(1)%)	Rietveld + PDF	<i>ESRF</i>
C S	15/16	0.022(2)	900	C+B(19.3(1)%)	Rietveld + PDF	ESRF
$Ce_{0.062}Sm_{0.938}O_{1.531}$	15/16	0.933(3)	1000	C+B(37.9(1)%)	Rietveld + PDF	<b>ESRF</b>
Sm. O.	7		900	В	Rietveld + PDF	ESRF
$Sm_2O_3$	1	-	700	C	Rietveld + PDF	<i>ESRF</i>

<sup>\*</sup> C-type phase, though characterized by the selective broadening of superstructure peaks only



**Figure 1**. Rietveld refinements of the three crystallographic phases of interest in this study, the unit cell of which is displayed in the inset. (a) Fluorite, Sm250, (b) C-type, Sm750, (c) B-type, Sm2O3. (*Rietveld refinements*) Empty circles: experimental data, red solid lines: calculated profile; blue: residual. (*drawings*) Blue and dark green balls represent M and O ions, respectively. Orange sticks indicate the different M to O coordinations. As to panel (b), the size of the ellipsoids is proportional to the amplitude displacement parameters. Different colors were selected for different wickoff positions: blue: M1, 8b, red: M2, 24d; green: O1, 48e; O2, 16c. Complete Rietveld refinements are reported in SI.

Data collection. XRPD patterns on the sint series were collected at 90 K at the ID31 high resolution powder diffraction (HRPD) beamline of the ESRF with  $\lambda$ =0.35413 Å in the  $0<2\theta<120^{\circ}$  range, employing data for the Fourier Transformation up to  $Q_{max} \sim 28 \text{ Å}^{-1}$ , where  $Q=4\pi \sin\theta/\lambda$  is the transfer momentum. The powdered samples were

packed into 0.7 mm kapton capillaries to optimize transmission. The wavelength was chosen to guarantee a proper balance between  $Q_{max}$  available, incident photon flux and limited absorption factor. All samples were spun during acquisition to enhance powder averaging. The conventional high resolution setup of ID31 was employed [36]. In order to increase the statistical significance, data collection for PDF was carried out by progressively increasing the counting time with the diffraction angle, for a total acquisition time of about 4 hours per sample. The signal of the empty kapton capillary was also recorded.

Further XRPD measurements were performed on selected samples:

- XRPD pattern for PDF analysis was collected on sample Sm938 heat treated at 1000°C as a reference mixed B and C-type system.
- nano samples with  $\mu$ =0.313, 0.344 and 0.375 were investigated at RT at the MSPD beamline of ALBA synchrotron, using a Mythen detector and  $\lambda$ =0.41333 Å,  $Q_{max}=25$  Å<sup>-1</sup>. The same *sint* Sm375 sample measured at the ESRF was investigated at ALBA at 90 K to check for reproducibility.

Compositional control of the powders was carried out through Energy Dispersive X-Ray (EDX) analysis using a scanning electron microscope (Leo 1413) operating at 20 KV. EDX measurements were performed on four areas (1 by 1mm in size) to check for material homogeneity.

Data analysis. The average crystallographic structure was determined employing the Rietveld method [37] using the GSAS package [38]. Background was fitted with Chebyshev polynomials. Absorption correction was performed through the Lobanov empirical formula [39] for Debye-Scherrer geometry. Line profiles were fitted using a modified pseudo-Voigt function [40].

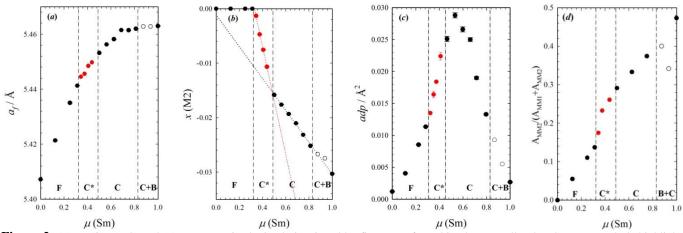
At a first stage, one single atomic displacement parameters (adp) was attributed to all the ions, then a single adp per crystallographic site, still constraining O ions to the same value. In the case of C-type samples, anisotropic adp were employed on M sites to implement directional disorder.

The Pair Distribution Function is described with the G(r) formalism, which indicates the probability of finding a pair of atoms separated by a distance r. G(r) is experimentally determined via sine Fourier transform of the total scattering function F(Q):

$$G(r) = \frac{2}{\pi} \int_{0}^{\infty} F(Q) \sin(Qr) dQ$$
 (1)

PDF data were processed using the software PDFGetX2 [41] and modeled through using PDFgui software [42], which assesses the degree of accuracy of the refinement by the agreement parameter  $R_w$ :

$$R_{w} = \sqrt{\frac{\sum_{i=1}^{n} w(r_{i}) [G_{obs}(r_{i}) - G_{calc}(r_{i})]^{2}}{\sum_{i=1}^{n} w(r_{i}) G_{obs}^{2}}}$$
(2)



**Figure 2.** (a)  $a_{\rm f}$ , (b)  $x({\rm M2})$  and (c) average adp obtained via Rietveld refinements for cubic phases. Full red and empty symbols highlight data across C\* and biphasic C+B regions, respectively. (d) Relative intensity of the PDF peak at ~4.1 Å with respect to the MM<sub>1</sub> pair at ~3.8 Å. Dashed lines: boundary between different phases based on the trends, shown in dotted lines, in panel (b). The values reported for pure Sm<sub>2</sub>O<sub>3</sub> refer to the sample sintered at 700 °C for allowing comparisons with other cubic phases, since pure Sm<sub>2</sub>O<sub>3</sub> sintered at 900 °C is nearly pure in B-type.

The analysis of conventional PDF from 2D detectors is generally limited to a few nanometers since the finite Q-space resolution reflects on the PDF signal as exponential damping of the intensity with the interatomic distance r. [43]. The high resolution setup used in this study produces PDF with reliable signal up to hundreds of nm, thus allowing a multiscale investigation. This was conducted in PDFgui via the so called box car refinements [43] in 20 Å wide boxes up to 500 Å with a 10 Å step.

Crystallite sizes were estimated through the Williamson-Hall method [44] employing at least 20 reflections for each calculation.

#### 3 Results and Discussions

Elemental analysis aimed at assessing the relative cation composition, since the nominal values are very close to each other. Actual compositions are slightly richer in Ce than nominally, thus suggesting excessive hydration of the Sm precursor. Since the variation is nearly systematic over the whole system, results and discussions refer to nominal compositions. Errors reported in Table 1 are the standard deviation of the elemental distribution probed in different regions.

Previous investigations on  $CeO_2$  and  $Sm_2O_3$  reported three main crystallographic phases, *i.e.* fluorite (F), C-type and B-type [23-25, 45]. The polymorphs are sketched in Fig. 1 along with the best fit from Rietveld refinement. Cerium oxide (Fig. 1(a)) exhibits fluorite (F) structure (space group Fm-3m, Ce: 4a, 0, 0, 0 and O: 8c, ½, ½, ½). Doping induced oxygen vacancies are randomly distributed on the O site in the long range structure. Metal ions (M) are ideally 8-fold coordinated to oxygen at ~2.34 Å (MO) and 12-fold coordinated to other cations at ~3.8 Å (MM<sub>1</sub>).

Sm<sub>2</sub>O<sub>3</sub> sesquioxide was reported at ambient temperature either with C-type or B-type structure [23,45,46]. The former (Fig. 1. (*b*)) has space group Ia-3 (Sm1: 8b,  $\frac{1}{4},\frac{1}{4},\frac{1}{4}$ ; Sm2: 24d, x,0, $\frac{1}{4}$ ; O1: 48e, x,y,z) as observed in many other sesquioxides [29, 47]. The two 6-fold coordinated cations

exhibit two sets of cation-cation distances (MM<sub>1</sub> and MM<sub>2</sub>), centered at ~3.6 and ~4.1 Å. C-type features a close relationship with fluorite: starting from the latter, a C-type phase can be obtained by doubling the cell axis, shifting the origin of ( $\frac{1}{2}$ ,  $\frac{1}{2}$ ) and displacing atomic coordinate from their special positions. A detailed overview is given in [14, 15] where special attention is devoted to the x coordinate of the M2 site, *i.e.* x(M2). It represents the offset of the cation from the special position characteristic of fluorite, corresponding to x(M2)=0. On the basis of previous investigations on doped-ceria compounds [14, 15, 48], C-type samples characterized by small values of x(M2) and peculiar microstructure will be labeled as C\*.

The B-type polymorph (Fig. 1(c)) of sesquioxides is monoclinic, space group C2/m. It is generally stabilized after high temperature annealing [34, 46, 49]. The structure is more complex than the other polymorphs: three cation sites are present, one 6-fold and two 7-fold coordinated to O ions, which occupy five different sites. The lower symmetry generates a number of different M-M distances: 16 lie between 3.7-3.8 Å, seven are displaced to 3.63 and 3.86 Å each, four at 4.17 Å and two more at 3.34 Å.

# 3.1 Phase evolution in the $CeO_2\text{-}Sm_2O_3$ system: general observations

The phases obtained by Rietveld refinements are reported in Table 1 and structural parameters in Table 2. By focusing on the *sint* series, the compositional phase evolution can be summarized as follows:

- i)  $\mu \le 0.313$ : fluorite (F-type)
- ii) 0.344<\(\mu<\)0.500: C\* region
- iii)  $0.500 \le \mu \le 0.813$ : C-type
- iii) $0.875 < \mu < 1$ : coexistence of C-type and B-type
- iv)  $\mu$ =1: B-type only.

Following our previous investigations on Y [14] and Gd [15, 28, 50] dopants, the  $CeO_2$ - $Sm_2O_3$  system may be described with reference to the crystallographic

relationship of F and C phases. Being the C-type lattice parameter double than fluorite, a reduced parameter  $a_f$  obtained by halving the cubic lattice parameter in the C-type region is reported in Fig. 2(*a*) against  $\mu$ (Sm). The observed expansion is consistent with the larger ionic radius of Sm<sup>+3</sup> (VII) with respect to Ce<sup>+4</sup> (VIII), which are 1.02 Å, and 0.97 Å, respectively [51].

The evolution of x(M2) with  $\mu$  is reported in figure 2(b). It exhibits three linear trends corresponding to fluorite,  $C^*$  and C-type regions, with a deviation observed in the C-type region concomitant to the growth of B-type phase.

The average adp determined from Rietveld refinements by constraining the adp of all ions to the same value is displayed in Fig. 2(c). The single adp values are given in Table 2. Three main aspects are to be considered: i) adp show a bell-shape trend with  $\mu(Sm)$  with maximum at approximately Sm500. This is inferred in terms of static disorder, typical of solid solutions of mixed oxides [52,53]; ii) the magnitude of such effect is remarkable: adp for intermediate compositions are about one order magnitude larger than those of the end-member compounds; iii) adp of C-type samples have strongly anisotropic components: suggesting cations displacements towards the partially occupied O2 site. This agrees well with a previous investigation on Y-doped ceria [14], where synchrotron and neutron diffraction data were used to study the environment of O<sup>-2</sup> ions.

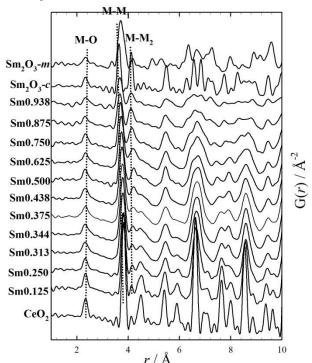
Crystallite sizes derived from the WH method are reported in Table 2. The *sint* powders have average particle size larger than 100 nm with a marked compositional dependence. Although WH gives just an approximation of the crystallite size, especially when far from the condition of a monodisperse size distribution, the current size values are consistent with those obtained by combined XRD and TEM on Gd-doped samples prepared after the same reaction pathway [50]. *Nano* powders have average particle size is of ~15 nm, still consistent with previous investigations [50].

The experimental PDF curves for all sint samples are displayed in Figure 3. Some selected F(Q) curves are shown in SI. A continuum of structural evolution is observed from  $CeO_2$  to C-type  $Sm_2O_3$ . The atom pairs related to the main PDF peaks are reported in the same figure, where M indicates a site shared by both metal ions. O-O pairs are not observed as their contribution is negligible compared to the signal coming from atom pairs involving Ce and Sm atoms.

A characteristic feature of the PDF on doped ceria compounds is the peak at ~4.1 Å, labeled as MM<sub>2</sub>, which is a signature of long M-M contacts in C-type [29, 56]. It appears even for low doping amount, when the average structure is fluorite. The integrated area of the MM<sub>2</sub> peak normalized to the sum of both M-M contributions is reported in Figure 2 (d). It progressively increases with  $\mu$  and again four different trends are observed.

The compositional evolution of the first atom pair (M-O) distance in the F region is reported in SI. Although the addition of Sm into CeO<sub>2</sub> clearly promotes cell expansion,

the first neighbor M-O distance shrinks with doping. This is at odds with fluorite structures, where all atoms lie in special positions and lattice expansion should lengthen all atom pairs. The same behavior was detected from EXAFS investigations [24].



**Figure 3.** Experimental G(r) curves as computed using the PDFgetX2 software.

Both Rietveld refinements and PDF direct analysis show that the two transformations upon doping (*i.e.* F->C and C->B) exhibit different characteristics. Whereas cubic C and monoclinic B phases coexist for a large compositional region; no miscibility gap was found between F and C. This is made evident by the absence of splits in the structure peaks, which would arise in the case of longrange phase separation in Ce-rich fluorite and Sm-rich C-type phases. In the following, the two transformations characteristic of the CeO<sub>2</sub>-Sm<sub>2</sub>O<sub>3</sub> system will be described, first focusing on the C to B transition, then to that from F to C.

# 3.2 The C to B transformation

Samples *sint* with composition  $\mu \ge 0.875$  feature the presence, at least partial, of the monoclinic B phase. This is probed by the appearance of the characteristic peaks in XRPD patterns (see SI) and it is further confirmed by deviations from the C-type local distances distribution observed in PDF in the same compositional range. Further information concerning the B to C phase equilibrium can be indirectly derived by the evolution of the C-type phase throughout the whole composition range. The saturation behavior of the  $a_f$  cell parameter observed for  $\mu > \sim 0.7$  (Fig. 2(a)) may suggest the achievement of the solubility limit of Sm into C-type, even though doped ceria compounds are well known to deviate from Vegard's law [57]. In fact, x(M2) decreases linearly and only with formation of B-type ( $\mu \ge 0.875$ ) a weak deviation from the

linear trend is observed (Fig. 2(b)). Being x(M2) strictly related to the amount of oxygen vacancies within the C-type phase, this finding suggests that the transformation from C to B-type of part of the sample reduces the amount of oxygen vacancies in the C-type phase compared to a fully C-type material.

The B-type phase fraction depends also on the annealing temperature. When treated at 700°C, Sm<sub>2</sub>O<sub>3</sub> was obtained nearly pure C-type. A set of different thermal treatments was performed to evaluate the progressive transformation from C to B-type. Full experimental details and results are reported in SI. The main findings are the following:

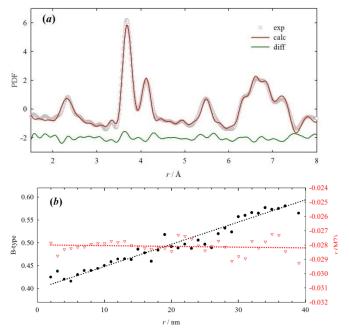
- The C to B transformation occurs irreversibly.
- Sm750 is the richest composition in Ce not transforming into B type even for prolonged high temperature annealing.
- Full B-type is obtained only after 3 days annealing  $Sm_2O_3$  at least at 900°C.
- For intermediate compositions and annealing temperatures, the B-type content increases progressively with  $\mu$  and temperature.

The latter effect is opposite to what one would expect based on symmetry considerations, but it has been already observed on the pure oxide; see [58] and references therein.

In view of the above results, the B-type phase may be deemed as the thermodynamically stable form for  $\mu \ge 0.875$ , but it requires long times and high temperature for complete transformation. The compositional range of the transformation is consistent with Nitani *et al.* [24], who reported a biphasic C and B system for  $0.8 \le \mu \le 0.9$  from solid state reaction carried out at  $1400^{\circ}$ C.

The C to B-type transformation affects also real space data. The onset of the B-type phase gives an additive contribution to the shorter cation-cation distance MM<sub>1</sub> (see pure monoclinic Sm<sub>2</sub>O<sub>3</sub> PDF on the top of Fig. 3), thus decreasing the intensity ratio between the two M-M pairs (empty circles in Fig. 2(d)) which goes out of the trend of C-type samples. As these biphasic samples are richer in Ctype phase which has higher symmetry than B-type, the contribution of B-type to PDF is nearly negligible. In order to have a representative picture of the short and intermediate scale relationship between B and C type, a sample with larger B-type content was chosen (38 w%), which was produced by annealing Sm938 at 1000°C for three days (see SI). The corresponding PDF turned out to be consistent with the average scale modeling from Rietveld refinements, i.e. it can be modeled as the coexistence of B type and C-type from the local scale up to tens of nm. The local scale modeling is shown in Figure 4(a), where for statistical significance only a limited number of parameters was refined, i.e. lattice parameters, relative scale factors, one *adp* for cations and one for anions, with all atomic coordinated constrained to the values of the average structure, but x(M2) of C-type.

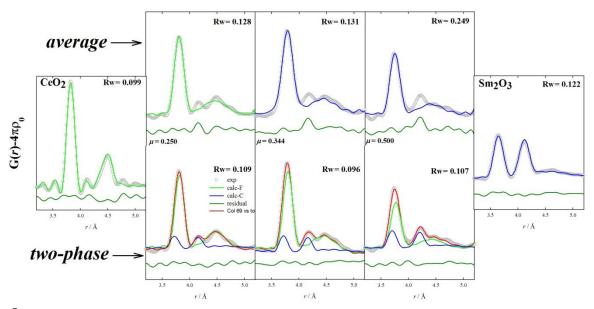
The same procedure was applied through box-car refinements up to 40 nm. The values of x(M2) and the relative amount of the B-type phase are displayed in Fig. 4(b). x(M2) oscillates around -0.028 for the full r-range investigated, in agreement with Rietveld analysis. This suggests no intermediate scale ordering between B and C-type phase, that would otherwise affect x(M2). The only structural parameter that evolves with the interatomic distance r is the relative amount of the two phases.



**Figure 4.** (a) PDF refinement of sample Sm938 heated at  $1000^{\circ}$ C for three days in the region 1.5 < r < 8 Å considering coexistence of phases B and C. Empty circles: experimental data; red solid lines: calculated; green solid lines: residual. (b) Output of box-car refinement on the sample. (full black circles, left-hand axis) relative amount of the B phase; (empty red triangles, right-hand axis) x(M2) as a function of the interatomic distance r.

The B-type phase, which is stabilized only after high temperature annealing, shows sharper peaks in the Q-space patterns. This indicates that its crystals are bigger than those of C-type, and/or they are affected by less strain, as a consequence of the high temperature treatment. Both effects are supposed to deplete C-type interatomic distances in the long range PDF, which is probed as increase of B-type phase with r (Fig. 4(b)).

In conclusion, all the above findings provide evidence of a complete long range separation between C and B-type which is reminiscent of a first order transition induced both by temperature and by doping.



**Figure 5.** Real space refinements using average (top) and two-phase (bottom) models for the pure oxides and some selected compositions. Empty circles: experimental data; green solid lines: calculated contribution of fluorite, blue solid lines: calculated contribution of C-type; red solid lines: overall calculated profile. The difference curves are shown below each pattern.

#### 3.3 F to C region

According to the x(M2) evolution reported in Fig. 2(b), the compositional limit of fluorite upon Sm-doping can be determined as the intercept of the linear trends of x(M2) in the C\* region against x(M2)=0 of fluorite [14]. Sm solubility limit turns out to be  $\mu$ ~0.32, indeed sample Sm313 has x(M2)=0 and it shows no evidence of superstructure peaks. Using the same approach, the limit between C\* and C regions is set at  $\mu$ ~0.49.

A very similar dependence is given by the normalized intensity of  $MM_2$  PDF peak (Fig. 2(d)). The elative peak intensity increases already within the F region, it shows a sudden increase in the C\* region, then it continues to slope linearly for  $\mu > 0.500$ . This is concomitant to the steep increase of adp, a fingerprint of static disorder linked to the presence of C-type like atomic arrangements as already reported for other doped ceria compounds [29].

Fig. 5 displays the output of non-linear least square refinements performed on the short-range PDF of some selected compositions, by employing the average crystallographic structure (top) and the coexistence of F and C phases (bottom), the latter called "biphasic model" with green and blue solid lines showing separately the calculated contributions of F and C-type, respectively. Details on refinement strategy are reported elsewhere [48, **59**]. Except for the end-member compounds, the average model fails describing the experimental data. The biphasic model provides the best fit for all doped samples in the  $\mu \le 0.750$  range. It can be pictured as the formation of Ctype distorted regions rich in dopant and oxygen vacancies, defined as droplets, embedded in a fluorite matrix, as already observed for other dopants [28, 29]. The C-type phase fractions returned by the fit are given in Table 3 together with x(M2) and the fit residual  $R_w$  from average (R<sub>w</sub> av.) and biphasic (R<sub>w</sub> biph.) modeling. Compared to PDF, Rietveld refinements returned x(M2) much smaller in magnitude, especially for F and C\* regions, as x(M2) is averaged over the CeO<sub>2</sub> matrix and the droplets rich in Sm<sup>+3</sup>, therefore in O vacancies. Instead, PDF refers only to the C-type phase at the local scale, hence it probes larger x(M2) values.

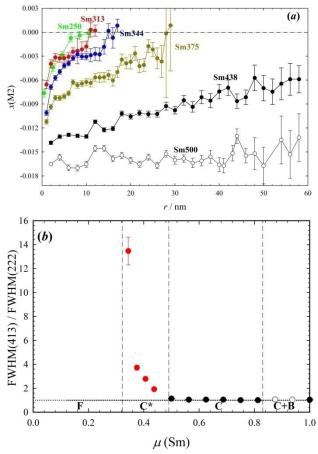
The extent of the domains rich in oxygen vacancies was estimated by monitoring the r-distance dependence of x(M2) using the strategy described in [48]. Since a single C-type phase is considered for this purpose, x(M2) is averaged over fluorite (x(M2)=0) and C-type (x(M2)<0) regions, therefore it is less negative than for the biphasic model.

**Table 3.** Output of real space refinements performed in the interatomic range 1.5 < r < 5.2 Å using a biphasic model. The x(M2) values from Q-space analysis are added to the table to facilitate comparisons.

x(RE)	C frac.	Rw	Rwbiph.	x(RE2)- $r$	x(M2)- $Q$
		av.		space	space
0.125	0.150(5)	0.135	0.110	-0.0266(4)	0
0.250	0.225(3)	0.128	0.109	-0.0264(4)	0
0.313	0.240(3)	0.160	0.091	-0.0273(3)	0
0.344	0.260(4)	0.201	0.096	-0.0279(3)	-0.00131(7)
0.375	0.294(3)	0.207	0.096	-0.0291(3)	-0.00471(6)
0.438	0.341(3)	0.229	0.112	-0.0310(3)	-0.01067(5)
0.500	0.401(2)	0.345	0.107	-0.0315(4)	-0.01582(3)
0.625	0.467(4)	0.311	0.123	-0.0308(2)	-0.01932(2)
0.750	0.645(2)	0.317	0.134	-0.0288(3)	-0.02315(1)

The evolution of x(M2) as a function of the interatomic distances for the *sint* series is reported in Fig. 6(a). Whereas x(M2) for C-type samples is constant with r (see Sm500), for C\* samples it goes to zero within some nanometers. This recalls the picture of C-type nanodomains within a fluorite matrix: for interatomic distances larger than the nanodomain size, no direct

structural correlation exists and x(M2) averages out to zero. Conversely, when interatomic distances lie within the C-type nanodomain,  $x(M2)\neq 0$  and even for Sm125 the local x(M2) is very similar to that of pure  $Sm_2O_3$  (see Table 3). Hence, one can consider the extent of the C-type domains to be as large as the r-value at which x(M2) goes to zero. Domain sizes of 10, 11, 15 and 28 nm were estimated for  $\mu = 0.250$ , 0.313, 0.344 and 0.375, respectively. Besides the static disorder evidenced by the  $\mu$ dependence of adp, nanodomains affect the experimental XRPD patterns in terms of broadening of superstructure peaks in  $C^*$  samples. Fig. 6(b) reports the ratio between the Full Width at Half Maximum of the selected (413) (superstructure) to the (222) (structure) reflection. This parameter is listed in Table 2 as FWHM ratio. The ratio increases for  $\mu$ <0.500, i.e. in the C\* region, while for  $\mu > 0.500$  is ~1. The maximum is observed at  $\mu = 0.344$ , concomitant to the long range growth of C-type phase. This behavior is associated to the formation of AntiPhase Boundary (APB), which are the only defects accounting for the broadening of superstructure peaks only: neither particle size, nor the coexistence of fluorite and nanometric C-type may correctly model the experimental pattern. An example of a successful refinement by pm2k software [59] on Sm344 is shown in SI. APB probabilities for all compositions are given in Table 2.



**Figure 6.** (a) x(M2) as a function of interatomic distance r evaluated using a single C-type phase. (b) Compositional evolution of FWHMratio.

#### 3.3.1 Comparisons with Gd and Y doping

Sm is acknowledged as one of the best possible dopants for  $CeO_2$  together with Y and Gd. [18, 19] Compared to Gd [15] and Y [14], Sm-doping affects similarly the defect structure of  $CeO_2$ , as disorder is mainly driven by the formation and ordering of oxygen vacancies on a large scale. On the other side, the effect of the type of dopant is more subtle.

First off, lattice parameters reflect the ionic radii of the dopant: a clear lattice expansion is experienced after Gd-, and to a larger extent, Sm-doping, whereas Y induces only a very slight contraction, especially as concerns fluorite samples, in agreement with tabulated values [25]. In view of the application as ionic conductor, Y-doping seems to be the best choice, since it reduces the lattice strain compared to pure CeO<sub>2</sub>, according to the similarity of ionic radii between Y<sup>+3</sup>(VII) and Ce<sup>+4</sup>(VIII) [51].

On the other hand, the trend of x(M2) with the composition suggests that Sm has a larger solubility into fluorite (~0.32) with respect to Gd and Y, *i.e.* ~0.28 and ~0.27, respectively. Yet, long range fluorite is not a necessary condition for high-performance ionic conductors. As an example, La-doping stabilizes fluorite up to  $\mu(La)=0.50$  [57], still La is known to be far from high performance, from experimental [62] and theoretical [63] basis. It follows that rather than the average structure, local and mesoscopic orderings are to be taken into account.

The average domain size for different dopants and compositions is summarized in Table 4. For  $\mu$ =0.25 the three dopants exhibit similar hierarchical structure, hosting C-type domains of ~10 nm. For larger dopant amounts, Gd exhibits much bigger domain sizes, while Sm still give smaller domain sizes compared to Y. According to Kilner [5] and references therein, to a first approximation the activation energy for oxygen migration has two main contributions: the enthalpy of migration and the interactions involving oxygen vacancies. Sm turned out to have the lowest tendency to form aggregates, therefore it can be preferred, on a structural basis, as a dopant in ceria for SOFCs application. According to the same principle, Y should be more suitable than Gd.

#### 3.3.2 Nanometric doped ceria

Trivalent doping of CeO<sub>2</sub> results in the formation of domains in the order of tens of nanometers depending on sample stoichiometry. These defect structures, which strongly distort the original fluorite lattice, are supposed to be the prime source for the conductivity drop with dopant concentration. A possible way to modify the C-type domain distribution is to produce nanoparticles having size similar or smaller than the domains. In this case, one could expect either the dopant ions still forming some particles full C-type and others full fluorite [60]; or the dopants distributing randomly all over the particles.

Table 4. C-type domain size estimated by PDF, C-type fractions (droplets) and APB probability for Sm (this study), Gd- [15] and Y-doping
[14] for different dopant concentrations. Uncertainties for the droplets were estimated to be ~0.01, for domains ~1 nm.

	Sm		Gd [ <b>15</b> ]			Y [14]			
μ	domains	droplets	APB	domains	droplets	APB	domains	droplets	APB
0.250	10	0.23	-	12	0.20	-	12	0.24	-
0.313	11	0.24	-	23	0.26	0.135(8)	14	0.26	0.12(2)
0.344	15	0.26	0.35(3)	40	0.30	0.044(1)	18	0.30	0.080(4)
0.375	28	0.29	0.068(2)	>50	0.30	0.030(1)	-	-	0.048(2)
0.438	>50	0.37	0.021(1)	>50	0.37	0.0032(2)	>50	0.37	0.006(3)

In order to verify these hypotheses, we collected PDF data on *nano* C\* samples at the MSPD beamline at ALBA, which is equipped with a fast recording Mythen detector [64]. Sample Sm375 was measured at the same low temperature on both beamlines for reproducibility and it gave very similar PDF curves, which are shown in SI.

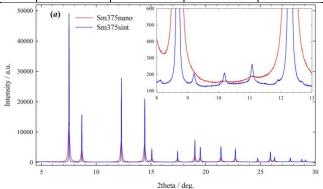
**Table 5**. Output of real space refinements performed on  $C^*$  samples at the MSPD beamline at ALBA in the interatomic range 1.5 < r < 5.2 Å.

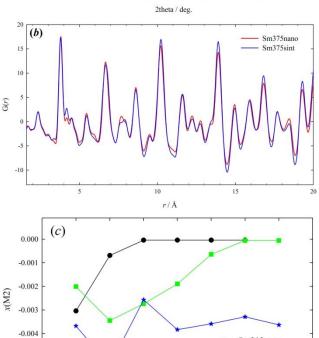
x(RE)	series	C-type frac.	x(M2)/ r-space	x(M2)/Q- space	Rw biphasic
0.313	nano	0.190(1)	-0.0245(1)	0	0.118
0.344	nano	0.249(1)	-0.0250(1)	0	0.107
0.375	nano	0.279(1)	-0.0278(1)	-0.0021(2)	0.112
0.375	sint	0.291(2)	-0.0290(2)	-0.00504(6)	0.116

The effect of the crystallite size on Q and real space patterns of Sm375 are displayed in Fig. 7(a) and (b), respectively. The particle size were found to be 14(2), 13(2) and 14(1) nm for samples  $\mu$ =0.313, 0.344 and 0.375, respectively. Sm375 nanocrystals already exhibit some superstructure peaks, which were not observed in samples with smaller Sm amount. These superstructure peaks are though very broad and their accurate analysis, in terms of FWHM, is difficult. Rietveld refinements suggest that nano Sm375 has x(M2) smaller in magnitude than sint Sm375 (see Table 5), while x(M2) for nano Sm344 is too close to zero to discern the structure from fluorite.

The PDF curves reported in panel (b) highlight deviation between Sm375 nano and sint, especially within 10 Å. In particular, the M-M pair at ~4.1 Å is more intense in the case of the sintered sample. This suggests that the formation of C-type droplets in nanoparticles is limited, consistently with equivalent Gd-doped samples [50]. This is confirmed by the C-type fractions reported in Table 5, which are systematically smaller than the equivalent sint reported in Table 3.

Fig. 7(c) displays the evolution of x(M2) with r for samples nano. All exhibit C-type features well beyond the first coordination shell, with x(M2) vanishing faster for smaller  $\mu$ . The structure of the nanoparticles has to be figured out by combining all available information.





**Figure 7.** (a) Experimental patterns collected at MSPD on sample Sm375 nano (red) and sint (blue) and (b) corresponding G(r) curves. (c) x(M2) as a function of the interatomic distances for Sm313 (black circles), Sm344 (green squares) and Sm375 (blue stars) fired at 500°C. The esd are not reported in panel (c), they can be assumed  $\sim 0.001$ .

-0.005

-0.006

Sample Sm375 *nano* has C-type structure as the corresponding *sint* powder. This is testified by the occurrence of superstructure peaks (inset of Fig. 7(*a*)) and

Sm313nano

Sm344nano

Sm375nano

by x(M2) from PDF different than zero throughout the full length of the crystals (Fig. 7(c)). Although both samples are on average C-type, they have different microstructure. Nanopowders (~14 nm) are fully C-type with x(M2)~0.0022 from Rietveld, whilst the *sint* equivalent is composed of nanodomains ~30 nm wide percolated through the fluorite matrix. These nanodomains have stronger C-type distortion, (x(M2)=-0.0045) compared to nanocrystals. A very similar process was observed for Gddoping [50], suggesting that such a mechanism might apply in general to trivalent dopants in ceria.

Reducing Sm-amount makes hard the assessment of the crystallographic structure, as peaks are too broad to state unambiguously whether the long range structure is C-type or fluorite. The PDF of Sm344 shows the progressive vanishing with r of x(M2). Since x(M2) is very small in magnitude, the discernment between F and C-type is not straightforward, especially as the r-decay of the PDF signal decreases the accuracy of x(M2). This picture can be defined as a sort of hybrid structure, as proposed in [26, 45, 65], very similar to fluorite, even though having characteristics typical of the C-type phase. In alternative, it could indicate the presence of very small nanodomains with different orientations even in Sm344 nano.

As concerns Sm313 nano, x(M2) from PDF goes very fast to zero. As the sint equivalent is fully fluorite with no superstructure peaks and x(M2) is close to zero, Sm313 nano can be defined as fluorite, with some C-type ordering acting as a seed for the growing of the C-type domains at a higher temperature.

In conclusion, regardless of the morphology and the length scale considered, the fluorite to C-type transformation occurs continuously with doping. x(M2) can be inferred as an optimal order parameter in the context of a second order phase transition. However, since the definition of the transition character, *i.e.* displacive or order-disorder, as well as the evolution of the distortion modes associated to irreducible representations are beyond the scope of the present study; this topic will be discussed on a dedicated paper.

#### 4 Conclusions

This study presents a complete structural investigation on different length scales on the full  $CeO_2$ - $Sm_2O_3$  system.

Two different phase transformation are observed as a function of the Sm/Ce ratio: starting from the fluorite structure of  $CeO_2$ , Sm-addition first leads to a C-type phase, then, for high Sm-contents, to a monoclinic B-type phase. Although both transformations are well known to occur in the  $CeO_2$ -Sm $_2O_3$  system, the present investigation demonstrates that they are different in nature.

The irreversible C to B-type phase transformation of  $Sm_2O_3$  occurring during high temperature annealing (T  $\geq$  900°C) strongly suggests that the monoclinic phase is the thermodynamically stable form of  $Sm_2O_3$ . The same applies to compositions  $\mu \geq 0.875$ , which show the formation of some B phase after high temperature annealing. The residual C-type phase is probably a

consequence of a very slow kinetic. The PDF investigation confirmed a complete separation between the two phases and the G(r) curves are better described with a mix of B and C-type phases whatever the length scale.

The F to C-type transformation shows a different behavior; none of the high resolution XRPD patterns probed the coexistence of both phases. Intermediate compositions, though, exhibit very strong disorder, quantified as an increase of *adp* by an order of magnitude, together with the broadening of superstructure peaks.

Rather than a complete phase separation, increasing Smdoping into ceria promotes a complex defect ordering, which features i) locally the formation of randomly oriented droplets (dopant- and oxygen vacancies-rich aggregates with distorted C-type phase, consistent with absorption spectroscopy results [24, 62]) even for low doping amounts and long range fluorite phase, whose concentration increases with Sm amount; ii) the droplets average into domains with a size of tens of nanometers, iii) after a critical threshold, located in the range  $0.313 < \mu < 0.344$ , domains grow in size and percolate throughout the crystallites. Since the operation of the electrolytes in SOFC is related to the ability of O<sup>-2</sup> ions to migrate through the doping-induced vacancies, the trap of oxygen vacancies in C-type droplets and domains is likely the reason at the basis of the conductivity drop with concentration. Indeed, the same picture of disorder evolution across the F to C-type phase transformation was observed when employing other doping elements, such as Y [14] and Gd [15, 28].

In this context, the present investigation aimed at defining which structural conditions for doped ceria electrolytes a suitable for ionic migration. Among the three dopants, Sm is the one which exhibits, for most of the concentrations considered, smaller C-type domains.

Qualitatively, the same scheme of hierarchical defect structure applies also to the crystals in their nanometric form. Smaller amounts of Sm-doping, though, are required to obtain full C-type phase in nanocrystals. This effect is compensated by the slightly enhanced fluorite character of C-type compared to sintered samples.

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# **Supporting Information**

Supporting information available free of charge. Details of Rietveld refinements, F(Q) curves, M-O distances from PDF, effect of temperature and ageing on the C to B transformation, refinement of anti-phase boundaries domains and comparison of PDF collected on the same sample at different instruments.

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**Table 2.** Results from Rietveld refinements of samples investigated at the high resolution powder diffraction beamline of the ESRF for the four compositional regions (F, C\*, C, B+C). U stands for isotropic dp. U<sub>an</sub> stands for the corresponding value of anisotropic dp in Å<sup>2</sup> units. FWHMratio (see text for details) and crystallite size extracted through WH method are also reported.

μ(Sm)	0	0.125	0.250	0.3125
phase	F	F	F	F
a / Å	5.407196(2)	5.42136(1)	5.43504(5)	5.44129(2)
U(M)	0.00120(1)	0.00405(1)	0.00854(2)	0.01135(3)
U(O)	0.00347(3)	0.0081(1)	0.0152(2)	0.0180(3)
$R(F^2)$	0.0281	0.0230	0.0337	0.0891
$R_{wp}$	0.0574	0.0514	0.0499	0.0595
size/ nm	-	134(6)	82(2)	122(3)

$\mu$ (Sm)	0.34375	0.375	0.40625	0.4375
phase	C*	C*	C*	C*
a/ Å	10.88899(9)	10.89125(6)	10.89691(5)	10.89954(5)
x(M2)	-0.00131(7)	-0.00471(6)	-0.00755(5)	-0.01067(5)
<i>x</i> ( <i>01</i> )	0.375	0.375	0.3798(7)	0.3802(7)
y(O1)	0.125	0.125	0.1348(6)	0.1384(7)
z(O1)	0.375	0.375	0.3779(10)	0.3802(8)
<i>x</i> ( <i>O</i> 2)	0.375	0.375	0.375	0.3794(14)
U11(M1)	-	-	0.0199(8)	0.0241(7)
U12(M1)	-	-	0.0172(5)	0.0212(5)
U11(M2)	-	-	-	-
U22(M2)	-	-	-	-
U33(M2)	-	-	-	-
U23(M2)	-	-	-	-
Uan(M1)	0.01325(4)**	0.01407(6)**	0.0199(8)	0.0241(7)
Uan(M2)	0.01325(4)**	0.01407(6)**	0.0147(3)	0.0138(2)
<b>FWHMratio</b>	13.5(1.2)	3.7(2)	2.79(7)	1.91(6)
U(O)	0.0210(3)	0.0229(5)	0.0205(5)	0.0187(6)
$R(F^2)$	0.0530	0.1338	0.0827	0.0936
$R_{wp}$	0.0716	0.0876	0.0961	0.0792
size	135(2)	137(3)	153(4)	165(3)

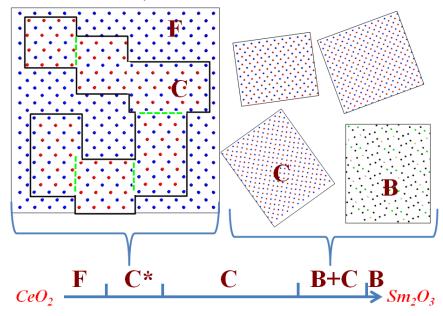
<sup>\*\*</sup>U(M1) and U(M2) constrained to the same value.

$\mu$ (Sm)	0.500	0.5625	0. 625	0.6875	0.750	0.8125
phase	С	С	С	С	С	С
a/ Å	10.90639(4)	10.91253(2)	10.9164(2)	10.92300(2)	10.92294(3)	10.92402(2)
x(M2)	-0.01582(3)	-0.01762(3)	-0.01932(2)	-0.02103(2)	-0.02315(1)	-0.02519(2)
<i>x</i> ( <i>01</i> )	0.3845(3)	0.3810(3)	0.3825(3)	0.3830(3)	0.3835(3)	0.3859(2)
y(O1)	0.1392(3)	0.1444(3)	0.1452(3)	0.1470(2)	0.1469(3)	0.1471(2)
z( <b>01</b> )	0.3841(4)	0.3781(5)	0.3783(4)	0.3791(3)	0.3786(4)	0.3802(3)
x(O2)	0.3817(9)	0.382(11)	0.3848(10)	0.380(2)	0.3841(11)	0.3865(9)
<i>U11(M1)</i>	0.0266(4)	0.0289(4)	0.02678(4)	0.0252(3)	0.0190(2)	0.01328(14)
<i>U12(M1)</i>	0.0192(4)	0.0202(4)	0.0188(4)	0.0172(3)	0.0136(2)	0.0098(2)
<i>U11(M2)</i>	0.00418(12)	0.0042(10)	0.00463(10)	0.00557(10)	0.00546(7)	0.00449(8)
U22(M2)	0.0138(3)	0.0124(2)	0.0103(2)	0.01052(16)	0.00895(11)	0.00669(12)
<i>U33(M2)</i>	0.0100(3)	0.0130(3)	0.0135(3)	0.0130(3)	0.0118(2)	0.0086(2)
U23(M2)	-0.0101(2)	-0.0110(2)	-0.00982(15)	-0.00858(14)	-0.00650(11)	-0.00420(12)
Uan(M1)	0.0266(4)	0.0289(4)	0.0268(4)	0.0252(3)	0.0190(2)	0.01328(14)
Uan(M2)	0.0093(3)	0.0099(2)	0.0095(2)	0.0097(2)	0.00872(13)	0.00660(13)
Uan(O)	0.0175(5)	0.0143(6)	0.0118(6)	0.0176(5)	0.0099(5)	0.0076(6)
<b>FWHMratio</b>	1.14(2)	1.05(2)	1.05(1)	1.05(1)	1.01(1)	1.01(1)
$R(F^2)$	0.0672	0.0500	0.0435	0.0340	0.0358	0.0212
$R_{wp}$	0.0807	0.0524	0.0577	0.0459	0.0441	0.0540
size/ nm	170(5)	147(4)	136(3)	112(2)	107(2)	108(2)

$\mu$ (Sm)	0.875*	0.9375**	1
phase	C+B	C+B	С
a/ Å	10.9256(8)	10.9255(2)	10.92595(5)
x(M2)	-0.02707(4)	-0.02876(7)	-0.03031(1)
<i>x</i> ( <i>01</i> )	0.3871(2)	0.3884(2)	0.3902(2)
y(O1)	0.1475(2)	0.1483(2)	0.1509(2)
z( <b>01</b> )	0.3801(3)	0.3809(3)	0.3804(2)
<i>x</i> ( <i>02</i> )	0.3873(14)	0.383(2)	-
U11(M1)	0.0100(2)	0.0068(4)	0.00268(4)
U12(M1)	0.0091(4)	0.00757)	0.00095(9)
U11(M2)	0.00424(15)	0.0031(3)	0.00237(5)
U22(M2)	0.0054(2)	0.0037(4)	0.00219(8)
U33(M2)	0.0068(3)	0.0042(6)	0.00214(10)
U23(M2)	-0.0027(2)	-0.0014(4)	-0.00040(7)
Uan(M1)	0.0100(2)	0.0068(4)	0.00268(4)
Uan(M2)	0.0055(2)	0.0037(4)	0.00223(8)
Uan(O)	0.0091(9)	0.0054(17)	0.0061(4)
FWHMratio	1.06(2)	1.04(2)	1.04(2)
$R(F^2)$	0.0196	0.0150	0.0209
$R_{wp}$	0.0476	0.0498	0.0515

<sup>\*6.5(1)</sup> weight fraction of B-type phase; \*\*19.3(1) weight fraction of B-type phase.

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- fluorite  $CeO_2$  undergoes by Sm-doping two phase transformations: first to bcc (C-type), then to monoclinic (B-type)
- F to C transformation occurs by the growth of C-type nanodomains embedded in the fluorite matrix of ceria. No miscibility gap is observed.
- The C to B-phase separation occurs instead completely with full separation at any length scale and is affected by kinetic.