1	Functional characterization of Lactobacillus plantarum ITEM 17215: a potential							
2	biocontrol agent of fungi with plant growth promoting traits, able to enhance the							
3	nutritional value of cereal products							
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5	Mattia Quattrini ^a , Cristian Bernardi ^b , Milda Stuknytė ^a , Fabio Masotti ^a , Alessandro Passera ^c ,							
6	Giovanni Ricci ^a , Lisa Vallone ^b , Ivano De Noni ^a , Milena Brasca ^d , Maria Grazia Fortina ^{a,*}							
7								
8								
9	^a Dipartimento di Scienze per gli Alimenti, la Nutrizione e l'Ambiente, Università degli Studi di							
10	Milano, Milan, Italy							
11	^b Dipartimento di Scienze Veterinarie per la Salute, la Produzione Animale e la Sicurezza							
12	Alimentare, Università degli Studi di Milano, Milan, Italy							
13	^c Dipartimento di Scienze Agrarie e Ambientali – Produzione, Territorio, Agroenergia, Gruppo							
14	Biodifesa, Università degli Studi di Milano, Milan, Italy							
15	^d Istituto di Scienze delle Produzioni Alimentari, Consiglio Nazionale delle Ricerche, Milan, Italy							
16								
17	*Corresponding author: Maria Grazia Fortina (ORCID 0000 0002 3275 6000)							
18	Department of Food, Environmental and Nutritional Sciences, University of Milan, Via Celoria 2,							
19	20133 Milan, Italy							
20								
21	E-mail: addresses: mattia.quattrini@unimi.it (M. Quattrini), cristian.bernardi@unimi.it (C.							
22	Bernardi), milda.stuknyte@unimi.it (M. Stuknytė), fabio.masotti@unimi.it (F. Masotti),							
23	alessandro.passera@unimi.it (A. Passera), giovanni.ricci@unimi.it (G. Ricci), lisa.vallone@unimi.it							
24	(L. Vallone), <u>ivano.denoni@unimi.it</u> (I. De Noni), <u>milena.brasca@ispa.cnr.it</u> (M. Brasca),							
25	grazia.fortina@unimi.it (M.G. Fortina)							

27 ABSTRACT

28 In this work, we explored the potential of 25 Lactobacillus plantarum strains isolated from cereals 29 and milk-based products, testing characteristics related to antifungal activity and to nutritional 30 quality. The tested strains demonstrated interesting beneficial traits, such as the ability to utilize 31 fructo-oligosaccharides, prebiotic substances that help probiotic microorganisms to grow in the 32 human gut, and to reduce phytate, an antinutrient present in cereal sector. Regarding mould 33 inhibition, we highlighted the ability of the strains to inhibit Penicillium roqueforti, Mucor 34 circinelloides and mycotoxinogenic moulds associated with cereal grains as Aspergillus flavus, A. niger, Fusarium verticillioides. Moreover, a moderate reduction of the bioavailability of aflatoxin 35 36 AFB1 was detected. The selected *L. plantarum* strain ITEM 17215, showed a strong inhibitory 37 ability towards fungal growth and was able to produce 1,2-dihydroxybenzene, benzoic acid, p-38 hydroxyphenyllactic acid and 3-phenyllactic acid. The latter compound, already described as 39 efficient antifungal inhibitor, was the most abundant and its concentration was further increased by 40 adding phenylalanine and phenylpyruvic acid in the growth medium. The metabolites produced by 41 strain ITEM 17215 could also be related to the ability of the strain to induce cereal germination and 42 promote plant growth. This aspect, not yet investigated in L. plantarum, could have interesting 43 applications in the agro-food sector.

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Keywords: Lactobacillus plantarum, antifungal activity, 3-phenyllactic acid, aflatoxin, plant-growth
 promoting activity, phytate reduction, cereal sector

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48 Chemical compounds studied in this article

49 3-phenyllactic acid (PubChem CID: 3848), p-hydroxyphenyllactic acid (PubChem CID: 9378), 1,2-

50 dihydroxybenzene (PubChem CID: 289), benzoic acid (PubChem CID: 243), lactic acid (PubChem

51 CID: 612), acetic acid (PubChem CID: 176), phenylalanine (PubChem CID: 6140), phenylpyruvic

52 acid (PubChem CID: 997), aflatoxin B1 (PubChem CID: 186907)

53 **1. Introduction**

54 With the aim of improving the healthiness and quality of food, in the last decade, a great attention has been paid to the possibility of adopting bio-control strategies, through a targeted use of 55 56 food grade microorganisms capable of enhancing the nutritional quality and controlling the 57 development of pathogenic microorganisms along the whole chain, without interfering with the 58 process itself (Dalié, Deschamps, & Richard-Forget, 2010). This approach should reduce not only 59 the growth of undesirable microorganisms and the amount of their toxic metabolites, but should 60 also reduce substantially the use of chemicals and preservatives (towards which, among other 61 substances, several microorganisms have acquired resistance properties). 62 In fermented foods, Lactic Acid Bacteria (LAB) are the main protagonists, both in terms of use 63 and commercial value. Recently, through extensive programs of screening, new LAB biotypes,

64 isolated from different ecological niches and food matrices, have been studied. Some of these

65 strains, showing high performance and competitiveness, are used as probiotics (Hill et al., 2014) for

the development of new food formulations with functional characteristics (Batista et al., 2017; Lollo

67 et al., 2015) and for the control of the growth of undesirable microorganisms. It refers, for example,

to the antifungal properties of strains of *Lactococcus* spp. and *Lactobacillus* spp. (Crowley,

69 Mahony, & van Sinderen, 2013; Stoyanova, Ustyugova, & Netrusov, 2012), and to another

70 promising bio-control strategy, linked to the ability of selected strains to interact with preformed

71 mycotoxins, reducing the bioavailability of the toxic compounds (Bovo Campagnollo et al., 2016;

72 El-Nezami, Kankaanpa, Salminen, & Ahokas, 1998; Meca, Ritieni, & Mañes, 2012; Peltonen, El-

73 Nezani, Haskard, Ahokas, & Salminen, 2001; Zhu, Hassan, Watts, & Zhou, 2016). Investigations

about the ability of LAB to remove mycotoxins suggested that binding to cell wall components is

75 more likely to be the mechanism of removal, rather than metabolic processes. (Dalié et al., 2010;

76 Shetty & Jerspersen, 2006). Recent studies, in dairy sector mainly, have shown that selected

77 probiotic strains are able to remove aflatoxin from contaminated milk and during yoghurt

78 production and storage (Elsanhoty, Salam, Ramadan, & Badr, 2014; Sarlak et al., 2017; Serrano-

Niño et al., 2013). Studies regarding the use of probiotic strains as detoxification agents in other
food sectors are still limited.

81 A major issue in the cereal sector was and still is the deterioration of the raw material by 82 filamentous fungi. The fungal growth causes not only significant economic losses but also has 83 important implications for food safety. Indeed, fungal contamination can cause loss of a whole grain 84 stock, as well as an accumulation of mycotoxins, toxic secondary metabolites of which synthesis is 85 favoured at high temperatures and humid conditions (Ahlberg, Joutsjoki, & Korhonen, 2015; Błajet-86 Kosicka, Twarużek, Kosicki, Sibiorowska, & Grajewski, 2014; Neme & Mohammed, 2017). 87 Among mycotoxins, aflatoxins (AF) and fumonisins (F) are one of the most dangerous mycotoxin 88 found in cereals. The aflatoxin AFB1, produced by Aspergillus flavus and A. parasiticus, is the 89 most carcinogenic of the natural occurring aflatoxins (Strosnider et al., 2006). The genus Fusarium 90 is known for the ability of synthesizing several mycotoxins, among which deoxynivalenol, 91 produced by F. graminearum (Fung & Clark, 2004), and fumonisin B1 produced by F. 92 verticillioides frequently found in cereals, particularly in maize (Deepa & Sreenivasa, 2017). This 93 constitutes a relevant health problem in countries where maize is the staple food (van Rensburg, 94 McLaren, Flett, & Schoeman, 2015). A lack of attention in the application of good agricultural practices is the main reason for accumulation of mycotoxins in raw materials during harvest and 95 96 storage (Neme & Mohammed, 2017). These toxins, through the production chain, can easily come 97 to the final consumer, with great health risks.

Another aspect related to cereal consumption is the presence of anti-nutritional factors. Among
these factors, phytate is the most important, because it has strong ability to complex multi-charged
metal ions (Coulibaly, Kouakou, & Chen, 2011).

101 Multiple publications have highlighted the positive properties of LAB strains in cereal sector.

102 Within LAB group, *Lactobacillus plantarum* is considered one of the most suitable protective

103 microorganisms in cereal production chain. L. plantarum is a versatile and competitive species,

104 adapting to different environmental conditions. These features can explain its presence, as a

105 member of the complex microbiota, in many sourdoughs (De Vuyst et al., 2014). The growth 106 inhibition of fungi is mainly related to the production of organic compounds during fermentation 107 metabolism. The interest dedicated to L. plantarum is related to the ability of producing 108 antimicrobial compounds, especially antifungal metabolites, among which 3-phenyllactic acid 109 (PLA), 4-hydroxyphenyllactic acid (Cortés-Zavaleta, López-Malo, Hernández-Mendoza, & García, 110 2014; Lavermicocca, Valerio, & Visconti, 2003; Poornachandra Rao et al., 2017; Russo et al., 2016; 111 Zhang, Zhang, Shi, Shen, & Wang, 2014) and cyclic dipeptides (Dal Bello et al., 2007), the 112 production of which in cereal-based products increases their safety and shelf-life (Dalié et al., 2010; 113 Oliveira, Zannini, & Arendt, 2014; Russo, Fares, Longo, Spano, & Capozzi, 2017). Among them, 114 PLA, derived from the phenylalanine catabolism, has raised a noticeable interest (Chaudhari & 115 Gokhale, 2016; Valerio, Lavermicocca, Pascale, & Visconti, 2004). This compound has been also 116 related to growth promotion of rice (Oriza sativa), increasing water and nutrient absorption and 117 seed endosperm utilization efficiency (Adachi et al., 2013). The antimicrobial mechanism of action 118 of PLA is still unclear. However, the possibility to enhance its production in LAB by adding 119 suitable precursors has been reported (Li, Jiang, & Pan, 2007; Valerio, Di Biase, Lattanzio, & 120 Lavermicocca, 2016). 121 This study aimed to be a further exploration of the potential of the species L. plantarum, through 122 the evaluation of properties not yet deepened: (i) the ability of inhibition *in vitro* and *in situ* of 123 moulds not investigated up to now, (ii) the aflatoxin binding, (iii) the potential to induce cereal 124 germination and to promote plant growth, (v) the phytate degradation ability and fructooligosaccharides utilization. Moreover, studies have been carried out on antimicrobial compounds 125 126 produced by the selected L. plantarum ITEM 17215, and on the conditions influencing the PLA 127 production.

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130 **2. Materials and methods**

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132 2.1. Bacterial strains and culture conditions

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134	Twenty-five Lactobacillus plantarum strains were studied. The strains were previously isolated
135	from different sources:CR62, CR63 CR67, CR70, CR71, CR73, CR74, CR75, CR77, CR78 from
136	maize bran, CE42, CE60, CE84 (deposited in the Agro-Food Microbial culture Collection of the
137	Institute of Sciences of Food Production, CNR, Bari, Italy as ITEM 17215) from wheat bran, 93,
138	MD143, MD123, MD147, VS516, VC114, VC194, VC233, SE90, SE140 from raw milk cheeses,
139	AC, LC1 from cocoa beans. Lactobacillus rhamnosus strain GG (ATCC 53103) was used as a
140	positive control in aflatoxin binding experiments. Strains were routinely grown in anaerobic
141	conditions (Anaerocult A, Sigma, St Louis, MO, USA) in MRS broth/agar (Difco Lab., Augsburg,
142	Germany), for 24-48 h at 30 °C.
143	Growth in milk was studied using 9% RSM (Reconstituted Skim Milk- Difco) incubated at 30
144	°C. For studies of 3-phenyllactic acid (PLA) production, MRS broth and RSM, supplemented with
145	1.5 g L ⁻¹ phenylalanine (Phe) (Sigma) and 3.0 g L ⁻¹ phenylpyruvic acid (PPA) (Sigma) were used.
146	Bacterial concentration was measured as CFU mL ⁻¹ . Acidifying activity of the strains was tested in
147	MRS broth; the pH was measured and recorded automatically, throughout the 48 h incubation
148	period.
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150	2.2. Fungal strains and culture conditions
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152	Fusarium verticillioides FSq1, Aspergillus niger FS11, Aspergillus flavus FS13, Mucor

circinelloides FSq2 and *Penicillium roqueforti* FS 22 (from the Collection of the Department of
Health, Animal Science and Food Safety, University of Milan, Italy) were routinely grown on Malt

155 Extract Agar (MEA) (Merck, Darmstadt, Germany) at 25 °C for 5-7 days and stored at 4°C until

156 further use. Fungal spore suspensions were harvested by adding 15 mL of sterile milli-Q water, and

stored at 4° C until further use. Concentration of the spores was assessed by flow cytometer
estimation (BD Accuri C6 Flow Cytometer, BD Biosciences, Franklin Lakes, NJ USA).

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160 2.3. Antifungal activity in vitro

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162 Antifungal activity of the L. plantarum strains was investigated with an overlay assay (Axel et al., 2016). Bacteria, grown for 16 h in MRS broth at 30 °C, were inoculated in 2-cm lines on MRS 163 164 agar plates and allowed to grow anaerobically for 48 h at 30°C. Subsequently, plates were overlaid with 10 mL of cooled soft (0.7%) MEA containing mould spore suspension (10^4 spores mL⁻¹). 165 166 Plates were incubated for 4 days at 25°C, and the antifungal activity was evaluated as clear zones of 167 inhibition around the bacterial smears. The degree of inhibition was calculated on the basis of the 168 inhibition zone as no inhibition (-) for inhibition zone smaller than 3 mm, moderate (+/-) for 169 inhibition zone ranging from 3 to 10 mm or strong (+) for inhibition zone larger than 10 mm. The 170 typical aspect of the inhibition halos is shown in Fig. 1. 171 Antifungal activity was also tested on cell-free supernatant (CFS): L. plantarum strains were inoculated in MRS broth to an initial concentration of 10⁶ CFU mL⁻¹ and incubated at 30°C for 48 172 173 h. CFS was obtained by centrifugation (3000 x g, 5 min) and sterilized by filtration (0.2 μ m poresize cellulose acetate filter). Plates of MEA containing 10⁴ mould spores mL⁻¹ were supplemented 174 175 with different concentrations of CFS (10%-50%-80% v/v), incubated at 25 °C for 5 days and 176 subsequently examined for inhibitory activity against F. verticillioides, M. circinelloides and A. flavus. 177 178

179 2.4. Antifungal activity in cereals and milk-based products

L. plantarum strains that displayed the highest antifungal activity were further tested for their
 ability to inhibit the moulds during cereal storage in simulated micro-silos and micro-yogurt
 preparations.

184 A micro-silo consisted of a test tube (3 x 11 cm) containing 20 g of a compacted mix of cereals 185 (wheat, rice and oat in equal proportion) pre-treated with 20% of water for 48 h at 4°C. In one micro-silo the mixture was co-inoculated with 10^4 spores g⁻¹ of *F*. verticillioides or *M*. 186 *circinelloides*, or *A. flavus* and 10⁶ CFU g⁻¹ of *L. plantarum*. Another micro-silo, inoculated only 187 188 with mould spores, was used as a control. The micro-silos were placed at room temperature 189 (comprised between 19 and 22 °C) and mould growth was daily monitored for 10 days. 190 For micro-yogurt preparation, 20 mL of 9% RSM were inoculated with commercial starter cultures of Streptococcus thermophilus and L. delbrueckii subsp. bulgaricus (15 mg L⁻¹) (Lyofast – 191 Sacco System, Cadorago, Italy) and 10⁶ CFU mL⁻¹ of L. plantarum. Fermentation was performed at 192 193 43 °C for 5-6 h, until the pH reached a value of 4.7. Subsequently, the resulting micro-yogurt 194 preparations were transferred into petri plates, inoculated with P. roqueforti to a final concentration of 10⁴ spores mL⁻¹ and incubated at 25 °C. Samples were analysed for mould growth inhibition after 195 196 7 days of incubation. Yogurt without adding L. plantarum was used as negative control. 197 198 2.5. Effect of L. plantarum on growth rate of A. flavus, M. circinelloides and F. verticillioides

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200 Twenty five mL of malt extract broth in 100 mL Erlenmeyer flask were inoculated with 10^9 CFU

201 mL⁻¹ of overnight *L. plantarum* cultures and 10^4 mould spores mL⁻¹. Fungal mycelial mass,

harvested after different incubation times at 25°C, was separated by filter paper and weighed after
drying at 105°C.

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205 2.6. Identification of targeted antifungal compounds

207 L. plantarum strain ITEM 17215 was grown in MRS broth at 37 °C for 48 h. CFS was 208 ultrafiltered (1kDa) and subsequently purified using the Quick, Easy, Cheap, Effective, Rugged and 209 Safe (QuEChERS) procedure, as described by Brosnan, Coffey, Arendt, & Furey (2014a) using a 210 dispersive solid phase extraction (dSPE) kit (Agilent Technologies, Santa Clara, CA, USA). 211 According to the procedure reported by Brosnan, Coffey, Arendt, & Furey (2012) and Brosnan, 212 Coffey, Arendt, & Furey (2014b), purified extracts were subjected to the Ultra Performance Liquid 213 Chromatography - Photo Diode Array - High Resolution - Tandem Mass Spectrometry 214 (UPLC/PDA-ESI-HR-MS/MS) analysis for targeted identification of 16 potential antifungal 215 compounds including 1,2-dihydroxybenzene, allyl phenylacetate and the following acids: DL-p-216 hydroxyphenyllactic, 4-hydroxybenzoic, 3,4-diydroxyhydrocinnamic, vanillic, caffeic, 3-(4-217 hydroxyphenyl) propionic, PLA, (E)-p-coumaric, 3-(4-hydroxy-3-methoxyphenyl), propanoic, 218 benzoic, (E)-ferulic, salicylic, hydrocinnamic and α-methylcinnamic. The UPLC-PDA-HR-MS/MS 219 analyses were carried by coupling an Acquity UPLC separation module (Waters, Milford, MA, USA), equipped with a Gemini C18 column (150 x 2.0 mm. 3 µm, 110 Å, Phenomenex, Torrance, 220 221 CA, USA) to an Acquity PDA e Detector (Waters) and (in-line) a Q Exactive hybrid quadrupole-222 Orbitrap mass spectrometer through a HESI-II probe for electrospray ionisation (Thermo Fisher 223 Scientific, San Jose, CA, USA). Data were processed using the Xcalibur software (version 3.0, 224 Thermo Fisher Scientific).

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226 2.7. Quantification of organic acids

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3-Phenyllactic, lactic and acetic acids were quantified through an HPLC system (L 7000, Merck Hitachi) equipped with RI and UV (210 nm) detectors serially connected, using a SH1821 column (300 x 8 mm, Shodex, München, Germany) maintained at 50 °C and eluted with 5 mM H₂SO₄ at 0.5 mL min⁻¹. Analytical grade organic acids were used as standards (Sigma). Four-point external calibration curves ($R^2 > 0.998$) were adopted to quantify PLA, acetate, and lactate in MRS broths. LOQ of 0.010 g L⁻¹ and LOD of 0.005 g L⁻¹ (S/N = 3) for PLA were obtained, respectively.

235 2.8. Determination of MIC values

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The MIC values of PLA, lactic acid and acetic acid were determined. Dilution of each acid, with concentration range between 0.5 and 7.5, 6.0 and 50.0, 0.1 and 3.0 mg mL⁻¹ for PLA (Sigma), lactic acid (Sigma) and acetic acid (Sigma) respectively, were added to MEA medium inoculated with 10 spores mL⁻¹ of *A. flavus*, *M. circinelloides* or *F. verticillioides*. MIC was defined as the lowest concentration where no growth could be observed after the incubation period.

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243 2.9. Aflatoxin binding assay

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245 Aflatoxin binding assay was carried out as described by Haskard, El-Nezami, Kankaanpa, 246 Salminen, & Ahokas (2001). Solid AFB1 (Sigma) was suspended in benzene-acetonitrile (97/3; v/v) to obtain an AFB1 concentration of approximately 2 mg mL⁻¹. A solution of 5 μ g mL⁻¹ AFB1 247 248 was prepared in PBS (pH 7.3), and the benzene-acetonitrile was evaporated by heating in a water 249 bath (80 °C, 5 to 10 min). For each bacterial strain, a volume of the culture broth corresponding to 10^{10} cells was centrifuged (3000 x g, 15 min, 10 °C) and the bacterial pellets were washed with 5 250 mL of Milli-Q water, suspended in 1.5 mL of AFB1 solution (5µg mL⁻¹) and incubated at 37°C. 251 After 24 h of incubation, the bacteria were pelleted (3000 x g, 10 to 15 min, 10°C), and the 252 253 supernatant (200 µL) containing AFB1 was collected and stored at -20 °C. For each strain, a bacterial control (bacteria suspended in PBS) and an AFB1 control (5 µg mL⁻¹ of AFB1 in PBS) 254 255 were tested. For strains showing the highest binding ability, the effect of incubation time was 256 evaluated at 0, 24 and 48-h time points. The analysis was carried out on HPLC system consisting of 257 two 1580 HPLC pump (Jasco, Easton, MD, USA), a degaser unit DG 2080-53 (Jasco), a FP 1520

238	nuorimeter detector set up at 565 min excitation and 415 min emission, a manual sampler with a loop
259	of 20 μ L (Rheodyne). The analyses were performed isocratically with water/acetonitrile/methanol
260	(6/3/1); flow rate was set at 1 mL min ⁻¹ room temperature. The analyses were run on a C18 column
261	(Supelco, 150 mm x 4.6 mm, 5 μ m). The chromatograms were analysed with Autochrom-3000
262	system (Young Lin Instrument Co., Ltd, Anyang, Korea) version 2.0.15. AFB1 solutions ranging
263	from 2.5 to 30 $\mu g~mL^{\text{-1}}$ were used for the calibration curve determination: the detection limit was
264	0.01 μ g mL ⁻¹ . The percentage of AFB1removed was calculated using the equation 100 × [1 – (peak
265	area of AFB1 in the supernatant)/(peak area of AFB1in the positive control)].
266	
267	2.10. Plant growth promotion assays
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1 to the stand 265 merecitation and 415 merecitation a manual complex with a loop

269 To assess the L. plantarum ITEM 17215 ability to promote growth of wheat plants, a preliminary 270 lab-scale test was set up. Bread wheat (Triticum aestivum) kernels were soaked for 24 hours in 271 either (i) sterile distilled water, (ii) non inoculated MRS broth, or (iii) sterile distilled water and 10 272 mL of cultural broth containing 10⁷ cells of L. plantarum ITEM 17215, grown in MRS medium for 273 24 hours at 30 °C. After soaking, the kernels were placed in plastic boxes, with natural lighting and 274 temperature conditions, and kept wet by periodically spraying them with sterile tap water. Each 275 treatment was set up in three distinct plastic boxes for replicates. After 7 days, the height of 100 276 randomly selected plants per treatment was measured.

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278 2.11. Lactate dehydrogenase gene expression

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Lactate dehydrogenase gene expression was evaluated through RT-qPCR experiments. Cells were grown at 30 °C for 48 h in MRS broth, RSM and MRS and RSM supplemented with PPA (3 g L^{-1}) and Phe (1.5 g L^{-1}). RNA extraction was performed using the NucleoSpin RNA II extraction kit

283 (Macherey–Nagel GmbH, Düren, Germany). Residual contaminating DNA was hydrolysed with

284 DNaseI, Rnase free kit (Thermo Fisher Scientific) at 37°C for 30 min. Subsequently, 0.2 µg of 285 RNA was rewritten into cDNA using RevertAid First strand cDNA Synthesis Kit (Thermo Fisher 286 Scientific) in accordance with manufacturer's instructions. Amplification, detection, and real-time 287 analyses were performed using a Linegene 9620 Real-Time PCR (Bioer Technology, Hangzhou, 288 China). SG qPCR Master Mix (EURx, Gdansk, Poland) was used for real-time amplification and 289 detection of the cDNA. The nucleotide sequences of the primers used in this study for *ldh* and 290 reference gene (recA) are as reported by Marco, Bongers, de Vos, & Kleerebezem (2007). 291 Specifically: 5' TGATCCTCGTTCCGTTGATG 3' - 5' CCGATGGTTGCAGTTGAGTAAG 3' 292 for ldh gene and 5' GGCAGAACAGATCAAGGAAGG 3' - 5' TATCCACTTCGGCACGCTTA 293 3' for recA. One µL of cDNA was used as a PCR template in a 15 µL reaction mixture containing 294 4.78 µL water, 0.72 µL primer mix (containing 0.3 µM of each primer) and 7.5 µL of the SG qPCR 295 master mix (EurX). The following experimental run protocol was used: initial denaturation step at 95 °C for 3 min, followed by 40 cycles of denaturation at 95 °C for 30 s, annealing at 59 °C for 30 s 296 297 and extension at 72 °C for 20 s. A melting curve analysis was performed to verify the identity of the 298 PCR products. Melting points (T_m) of 76.3 and 77.3 were obtained for *ldh* and *recA* respectively. Standard curves had good linearity ($R^2 = 0.999$) and efficiency (slopes -2.99 and -3.13 for *ldh* and 299 300 recA respectively). The amplification of the two genes was conducted in triplicate. The relative 301 expression ratios were calculated by the mathematical model of Pfaffl (2001), which included an 302 efficiency correction for real-time PCR efficiency of the individual transcripts.

303

304 2.12. Phytate degradation

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306 Strains were preliminary grown at 30 °C for 24-48 h in modified Chalmers broth without neutral 307 red and with 1% of sodium phytate (Sigma). Five μ L of the microbial suspension were spotted on 308 modified Chalmers' agar plates without CaCO₃ and with 1% of hexacalcium phytate (Sigma) 309 (Anastasio et al., 2010). The plates were incubated at 30 °C and examined after 2 days of incubation for clearing zones around the spots. To eliminate false positive results, caused by microbial acid production, Petri plates were flooded twice with 2% (w/v) aqueous cobalt chloride solution. After 20 min of incubation at 25 °C, the cobalt chloride solution was removed and phytase activity was evaluated.

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315 2.13. Utilization of fructo-oligosaccharides

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Fermentation of carbohydrates was tested in MRS basal medium (MRS without carbohydrate); fructo-oligosaccharides (FOS - Actilight, Tereos, Lille, France) were autoclaved separately (112 °C for 30 min) and added to the sterile basal medium to obtain the final concentration of 10 g L⁻¹. Cells from 24-h MRS cultures were washed twice with sterile isotonic saline solution, resuspended in the same isotonic solution, inoculated (1%) in MRS basal medium and in MRS-FOS and incubated at 30°C for 48 h. Fermentation of FOS was evaluated by measuring the increase in absorbance at 600 nm (A₆₀₀).

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325 2.14. Statistical analysis

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327 Three independent replicates of each experiment were performed, and their results were 328 expressed as mean values \pm standard deviation. When necessary, the data were compared through 329 one-way ANOVA, followed by Tukey's exact test (p < 0.05), or through a principal component 330 analysis (PCA), performed in SPSS statistical package for Windows, v. 22.0 (IBM Corporation, 331 Armonk, NY, USA). The dataset used for these analyses included the 25 isolates as separate rows, 332 and 8 columns for the different parameters evaluated: the inhibitory effect against the 5 fungal 333 pathogens used (which were used for the PCA analysis), aflatoxin binding, production of organic 334 acids, and phytate degradation.

336 **3. Results and discussion**

337

338 *3.1 Selection of highly performant* L. plantarum *strains*.

339

Selection of highly performant *L. plantarum* strains was carried out testing different properties.
As reported in Table 1, we tested characteristics related to antifungal activity and to beneficial
traits.

343

344 *3.1.1. Antifungal activity*

345 A first screening of the 25 L. plantarum strains was performed against five fungal target strains, Fusarium verticillioides, Aspergillus niger, A. flavus, Mucor circinelloides and Penicillium 346 347 roqueforti. Varying degrees of inhibition were detected against the tested moulds in the overlay 348 method. On the basis of the inhibition zones, L. plantarum strains were classified into three groups 349 with no, moderate or strong antifungal activity (Fig. 1). P. roqueforti FS 22 was the most resistant 350 strain: only four strains out of 25, one from cereals and three from cheeses, were able to inhibit its 351 growth. In contrary, F. verticillioides FSq1 was inhibited by all L. plantarum strains, with only one 352 exception and *M. circinelloides* FSq2 by 60% of the tested strains, most of which isolated from 353 cereals. The data regarding fungal inhibition, analysed through the PCA, showed that the strains 354 isolated from maize were clearly distinguishable from those isolated from other substrates and very 355 similar to one another (Fig 2). In contrast, the strains obtained from wheat, cheese, and cocoa do not 356 clearly segregate and have a more varied antifungal effect. These data are of interest, because 357 growth inhibition of these contaminants has not been extensively studied and to the best of our 358 knowledge, no reports on this antifungal ability in L. plantarum are available in literature. Fusarium 359 species are associated with cereal grains. A few LAB strains have been shown to inhibit growth and 360 production of mycotoxins by F. graminearum, which is abundant in various cereal crops and 361 processed grains. F. verticillioides is the most prevalent fungus associated with maize, causing

362 several diseases, and producing a wide range of mycotoxin that includes fusaric acid, fusarins and 363 fumonisins (Deepa & Sreenivasa, 2017) Fumonisin B1 is considered the most toxic one; 364 epidemiological data indicate a possible correlation between the consumption of fumonisin/F. 365 verticillioides contaminated maize and the high incidence of esophageal cancer in countries where maize is a dietary staple (Oldenburg, Höppner, Ellner, & Weinert, 2017; van Rensburg et al., 2015). 366 367 *M. circinelloides* is frequently isolated from food matrices (Lee et al., 2014) and it is considered one 368 of the causal agents of the fungal infection mucormycosis (Pitt & Hocking, 2009). Moreover, most 369 of the L. plantarum analysed (68%) exerted a strong inhibition against A. flavus FS13, while A. 370 niger FS11 was inhibited by approximately 36% of the L. plantarum strains. Also these data are of 371 interest, since the results of previous studies showed the inability of L. plantarum to inhibit 372 Aspergillus growth (Cortés-Zavaleta et al., 2014; Russo et al., 2016). In particular, as reported 373 below, selected L. plantarum ITEM 17215 can completely inhibit mould development when grown 374 in co-culture, and this ability could be effective in limiting the mycotoxin production. 375 L. plantarum strains were further tested for their ability to bind aflatoxin B1 (AFB1). Although 376 in cereal sector the potential of using microbial strains with mycotoxin binding abilities is 377 considered of great value in reducing the mycotoxin exposure (Dalié et al., 2010; Shetty & 378 Jespersen, 2006), up to now very few L. plantarum have been characterized for their toxin binding 379 ability. Overall, in the present study, L. plantarum strains, also tested at different incubation times, 380 moderately bound the AFB1. The 80 % of the strains showed a binding level ranging from 5 to 7.5 μ g mL⁻¹, and only a single strain (SE90) bound 29 % (14.5 μ g mL⁻¹). However, in the condition of 381 382 the assay, L. rhamnosus GG, chosen as a positive control, showed a similar binding ability, in 383 contrast to other studies in which a percentage of 70-80% was reported (El-Nezami et al., 1998; 384 Haskard et al., 2001). This aspect needs to be further investigated.

385

386 *3.1.2. Enhancement of food quality*

387 L. plantarum strains have been tested for targeted properties linked to improvement of food 388 quality, as phytate degradation ability and FOS utilization. As shown in Table 1, 19 of the 25 strains 389 were able to hydrolyse calcium phytate, an important characteristic in the cereal sector. Phytate is 390 known to chelate several essential nutrients and can negatively influence the activity of digestive 391 enzymes by chelation of mineral cofactors or by interacting with proteins. Moreover, 23 out of the 392 25 tested L. plantarum strains were able to grow in presence of FOS, prebiotic oligosaccharides 393 used in combination with probiotic microorganisms for the development of functional food 394 ingredients (Rastall & Maitin, 2002). These characteristics could be exploited for a potential use of 395 selected L. plantarum strains as new probiotic cultures. Further studies are underway to find other 396 functional properties in L. plantarum strains, to be followed by clinical and in vivo studies to 397 confirm the strains as probiotics. Finally, all tested strains showed a high acidification rate, with a 398 pH value, after 16 h of incubation at 30°C, ranging from 3.9 to 4.2.

399

400 3.2. Characterization of L. plantarum ITEM 17215

401

The initial screening data indicate that the tested *L. plantarum* strains demonstrated a good performance, regarding either antifungal activity or beneficial properties. Among them, the strain ITEM 17215 showed the strongest inhibitory activity towards all the tested fungal strains (Fig.3), and the ability to degrade phytate and utilize FOS. Its multi-properties, exploited in a specific process or sector, as cereal conservation and fermentation, can improve, at the same time, safety and quality of the final product. For these reasons, the subsequent experiments were directed towards the study of this strain.

409

410 *3.2.1. Identification and quantification of antifungal compounds*

411 *L. plantarum* ITEM 17215 completely inhibited mycelial growth of *A. flavus* and *F.*

412 *verticillioides* and reduced by 25% the mycelial mass of *M. circinelloides* after 9 days of

simultaneously cultivation in malt extract broth. The antifungal activity of the strain was also tested
in plates of MEA supplemented with increasing concentrations of untreated CFS: CFS was able to
inhibit the growth of *F. verticillioides* strain when supplemented at 10% (v/v). The complete
inhibition of *A. flavus* and *M. circinelloides* strains was reached when CFS was supplemented at
80%.

With the aim to identify the active antifungal compounds, CFS from the strong inhibitor strain ITEM 17215 was analysed by UPLC/PDA-ESI-HR-MS/MS. *L. plantarum* ITEM 17215 produced 1,2-dihydroxybenzene, benzoic acid, p-hydroxyphenyllactic acid and PLA (Fig.4). The latter compound, already described as efficient antifungal inhibitor, was the most abundant and its concentration, as determined by HPLC, resulted equal to 99.6±4.0 mg L⁻¹. HPLC analysis also revealed the ability of the strain ITEM 17215 to produce a discreet amount of acetic acid (1.1±0.2 g L⁻¹), combined with high lactic acid yield (21.5±1.4 g L⁻¹).

425 In parallel, we studied the effect of organic acids on germination of the mould spores in solid media. Acetic acid and PLA were the compounds more effective for the inhibition of growth of the 426 studied moulds. Indeed, MIC values of 2.35, 0.58, 0.29 g L^{-1} for acetic acid were obtained for M. 427 circinelloides, A. flavus and F. verticillioides, respectively. MIC values for PLA were 5.0 g L⁻¹ for 428 A. flavus, 3.0 g L⁻¹ for M. circinelloides and 1.5 g L⁻¹ for F. verticillioides. Against lactic acid, the 429 430 tested moulds required higher amounts of this organic acid, being M. circinelloides more resistant 431 (MIC 25.0 g L⁻¹) than A. *flavus* and F. *verticillioides* (MIC 12.5 g L⁻¹). In any case, most of the 432 MIC obtained for organic acids against the tested moulds were greater than those estimated with the 433 producer strain. The data of the obtained MIC are in accordance with the previous observations that 434 more metabolites produced by LAB can contribute to synergistically inhibit mould growth (Cortés-435 Zavaleta et al., 2014; Russo et al., 2016).

436

437 3.2.2. L. plantarum ITEM 17215 mould inhibition activity in cereals and milk-based products

438 When inoculated in micro-silos contaminated with A. *flavus*, F. verticillioides or M.

circinelloides, *L. plantarum* strain ITEM 17215 was able to inhibit the growth of the moulds (Fig.
5): after 10 days of incubation the count in MEA decreased from 10⁸ CFU g⁻¹ in the control micro-

441 silo inoculated with 10^4 spores g⁻¹ to <10 CFU g⁻¹.

442 Moreover, germination tests indicated that the L. plantarum strain ITEM 17215 strongly induced wheat germination and promoted plant growth (Fig. 6). Seven days after the soaking treatment, 443 444 wheat kernels germinated in the plastic boxes and their heights were significantly (p < 0.05) 445 different based on the treatments. In particular, non-treated controls and MRS non inoculated-446 treated kernels were approximately of the same height, with an average of 3.8±0.4 cm and 3.5±0.5 447 cm, respectively, while the kernels treated with the cultural broth were of 6.6 ± 0.3 cm. The statistical 448 analysis confirmed that the cultural broth treatment is significantly different from the other two 449 treatments (p = 0.000).

450 Plant growth promoting effects of some rhizobacteria have been studied: the bacteria may secrete 451 organic acids, such as succinic and lactic acids, and these acids may increase plant growth under 452 conditions in which the populations of pathogens are reduced (Lugtenberg & Kamilova, 2009). 453 Little data are available on LAB as plant growth promoting bacteria: they were found as endophytic components of durum wheat plant (Minervini et al., 2015) and some studies indicate their potential 454 455 for biocontrol and rice growth promotion. Adachi et al. (2103) demonstrated that PLA can promote 456 root and shoot growth in rice seedling and hypothesize that this acid could be involved in the 457 regulation of the cell enlargement and division in synergy with other plant growth substances. 458 Considering the reported data, it is possible to relate the superior seedling growth we observed, with 459 the production of organic acids, particularly PLA secreted by the strain ITEM 17215. This fact 460 needs to be further examined before proposing a potential application of the strain as a plant 461 growth-promoting bacterium.

462 *L. plantarum* ITEM 17215 did not show evident antagonistic effects against *P. roqueforti* used as
463 a test mould in micro-yogurt preparation. This last result was related to a poor ability of the strain to

464 produce PLA when grown in milk, as verified by HPLC analysis: when the strain was grown in465 RSM, the PLA production was not quantifiable.

466

467 3.2.3. Improvement of PLA production

468 As previously reported (Valerio, Di Biase, Lattanzio, & Lavermicocca, 2016), PLA production 469 can be improved by the addition of Phe to the growth medium and/or by the addition of the 470 precursor PPA, with lactate LDH activity responsible for the efficient conversion from PPA to PLA. 471 In this regard, it has been suggested that differences in the LDH amino acid sequences could be 472 responsible for the disparity in the ability of LAB strains to produce PLA (Zhang et al., 2014). 473 When the L. plantarum ITEM 17215 was grown in MRS supplemented with Phe and PPA, the production of PLA increased from about 0.1 g L^{-1} to $2.2 \pm 0.2 \text{ g L}^{-1}$, 22-fold more than in MRS broth 474 475 without PLA synthesis precursors. The gene expression experiments also showed that the 476 expression of *ldh* gene was boosted with the addition of the precursors. Statistically significant 477 difference (p < 0.05) was noticeable between *ldh* expression levels (relative expression ratio of 7.84) 478 in the two different tested conditions (Fig.7). 479 To verify the inability of the strain to produce PLA when grown in RSM, the *ldh* expression

481 supplemented with the precursors. Transcription of *ldh* gene was poorly induced in response to

experiments were also carried out to evaluate the different expression level in RSM and RSM

482 precursors (relative expression rate of 1.3) (Fig.7). Moreover, *ldh* gene was over-expressed only in

483 MRS broth (15.5-fold) in comparison to RSM. The inability of the strain to produce high levels of

484 PLA when grown in RSM deserves to be further investigated, also at the species level.

485

480

486 **4. Conclusions**

487

488 Many reports describe the ability of *L. plantarum* strains to inhibit mould growth, related to the
489 production of PLA and other organic acids. Moreover, the potential use of selected *L. plantarum*

490 strains in sourdough fermentation has been described by many authors. This study aimed to further 491 explore the potential of the species. The tested strains not only showed a good spectrum of 492 inhibition against food spoiling moulds, but had interesting beneficial traits such as the ability to 493 utilize FOS, prebiotic substances, that help probiotic microorganisms to grow in the human gut, and 494 to reduce phytate, an antinutrient present in cereal sector. Phytic acid is the major storage form of 495 phosphorous comprising 1–5% by weight in cereals (Schlemmer, Frølich, Prieto, & Grases, 2009), 496 but represents an antinutritive factor for its ability to chelate dietary minerals, reducing their 497 bioaccessibility and bioavailability. Several studies have shown that the fermentation process can 498 significantly reduce phytic acid, not only by an activation of endogenous phytases but also by 499 specific microbial biotypes able to produce extracellular phytases (Lopez et al., 200; Manini et al., 500 2014). Regarding mould inhibition, we described, for the first time, the ability of the L. plantarum 501 strains to inhibit moulds that have not been studied yet: the food borne pathogen M. circinelloides 502 and the mycotoxigenic F. verticillioides. Moreover, most of the analysed L. plantarum strongly 503 inhibited A. flavus. The selected L. plantarum ITEM 17215 showed the highest inhibitory ability 504 towards all the tested fungal strains. Further analyses demonstrated that the strain produced two 505 known fungal growth inhibitors, PLA and p-hydroxyphenyllactic acid as well as lactic and acetic 506 acids. Regarding PLA, the presence of Phe and PPA in the growth medium, further increased its 507 production, allowing to achieve a very high concentration of PLA. The metabolites produced by the 508 strain ITEM 17215 could also explain its ability to induce wheat germination and to promote plant 509 growth. This aspect, not investigated yet in L. plantarum, could have interesting application in the 510 agro-food sector.

511

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Table 1.

Antifungal activity, aflatoxin binding, acidifying activity, phytate degradation and FOS utilization by *Lactobacillus plantarum* strains.

Strains	Antifungal activity ¹					Aflatoxin B1 binding ²	Acidifying activity ³	Phytate degradation	FOS utilization (A ₆₀₀)	
	Mucor circinelloides	Fusarium verticillioides	Aspergillus niger	Aspergillus flavus	Penicillium roqueforti	_ 0			Basal MRS medium	MRS +FOS
CR62	+	+	-	+	-	14±4.9	4.00±0.01	+	0.61±0.05	1.91±0.12
CR63	+	+	-	+	-	12 ± 0.4	4.16±0.00	+	0.57 ± 0.07	1.73±0.15
CR67	+	+	-	+	-	10 ± 0.7	4.06 ± 0.07	+	0.58 ± 0.03	1.80 ± 0.05
CR70	+	+/-	-	-	-	13±0.1	3.94±0.01	+	0.95 ± 0.04	1.61±0.06
CR71	+	+	+/-	+	-	13±0.05	3.99±0.02	+	0.94 ± 0.02	1.86±0.10
CR73	+	+/-	-	-	-	11±1.9	3.93±0.01	+	0.94 ± 0.05	1.79±0.02
CR74	+	+	-	+/-	-	13±0.7	4.00 ± 0.00	+	0.66 ± 0.06	1.65 ± 0.01
CR75	+	+	-	+	-	10 ± 0.8	3.97±0.00	+	0.92 ± 0.01	1.86 ± 0.11
CR77	+	+	-	+	-	9±0.7	3.97±0.00	+	0.90 ± 0.03	1.53±0.07
CR78	+	+/-	-	+	-	8±1.0	3.97±0.02	+	0.96±0.03	1.63±0.09
CE42	-	+/-	+	+	-	10±1.3	3.95±0.02	+	0.97 ± 0.02	1.80 ± 0.14
CE60	-	+	+	+/-	-	11±1.4	3.91±0.04	-	0.78 ± 0.07	0.72±0.10
ITEM	+	+	+	+	+	14±0.6	4.21±0.01	+	0.85 ± 0.10	1.82 ± 0.04
17215						12 1 0	2.99 ± 0.01		0.97.0.09	175,000
93 MD142	-	+	-	+	-	12 ± 1.9	3.88 ± 0.01	-	$0.8/\pm0.08$	1.75 ± 0.06
MD145	+/-	-	-	+/-	+	12 ± 0.5	3.92 ± 0.00	+	0.89 ± 0.00	1.79 ± 0.05
MD123	-	+/-	-	+	-	14 ± 0.2	3.90 ± 0.02	-	1.01 ± 0.05	1.76 ± 0.10
MDI4/	-	+	-	+	-	$\frac{11}{11}$	3.87 ± 0.02	+	0.85 ± 0.04	$1.7/\pm0.11$
V 5516	-	+	-	+	-	11 ± 1.7	3.92 ± 0.00	-	0.98 ± 0.01	1.84 ± 0.10
VCI14	-	+/-	+	+	-	10 ± 0.8	3.93 ± 0.01	+	0.78 ± 0.08	1.68 ± 0.01
VC194	-	+	-	+	-	11 ± 0.2	3.90±0.01	-	0.92 ± 0.07	1.68 ± 0.05
VC233	+	+	+	+/-	+	11 ± 0.6	4.10 ± 0.01	+	0.66 ± 0.03	$1.6/\pm0.05$
SE90	+/-	+/-	+	+	-	29±0.8	3.88±0.01	+	0.81 ± 0.03	0.82 ± 0.06
SE140	-	+	-	-	+	11 ± 2.1	3.90±0.00	+	0.78 ± 0.01	$1./2\pm0.16$
AC	- ,	+	+	+	-	15±1.1	3.8/±0.05	-	0.90±0.09	1.61±0.13
LC1	+/-	+	+	+/-	-	5 ± 0.5	3.96 ± 0.00	+	0.85 ± 0.07	1.76 ± 0.06

¹Strains were classified as no (-), moderate (+/-), or strong (+) inhibitors by using the overlay method. ²Expressed as percentage of binding. ³Measured as pH value after 16 h of incubation at 30°C in MRS broth

Fig. 1. Degree of mould growth inhibition calculated on the basis of the inhibition zone: A) moderate antifungal ability; B) strong antifungal ability.

Fig. 2. Graphical representation of the two major component obtained from principal component analysis carried out on the fungal inhibition results. The principal component 1, on the x axis, explains 32% of variability, while principal component 2, on the y axis, explains 21% of variability.

Fig.3. Antifungal effect of *L. plantarum* ITEM 17215 against: A) *Aspergillus niger*; B) *A. flavus*;C) *Fusarium verticillioides*; D) *Mucor circinelloides*; E) *Penicillium roqueforti*

Fig. 4. HR-MS chromatograms of potential antifungal compounds identified in CFS from the strong inhibitor strain *L. plantarum* ITEM 17215: A, HR-MS total ion current chromatogram; B, extracted ion chromatogram ([M-H+]– exact mass ± 3 ppm) (XIC) of p-hydroxyphenyllactic acid (181.0506); C, XIC of 1,2-dihydroxybenzene (109.0295); D, XIC of benzoic acid (121.0295); E, XIC of PLA (165.0557). Retention times of corresponding compounds are indicated. Identity of compounds was confirmed by HR-MS/MS (spectra not shown).

Fig. 5. Growth inhibition of *Fusarium verticillioides* by *L. plantarum* ITEM 17215. A) microsilo inoculated with 10^4 fungal spores g⁻¹; B) micro-silo inoculated with 10^4 fungal spores g⁻¹ and 10^6 CFU g⁻¹ of strain ITEM 17215.

Fig.6. Effect of *L. plantarum* ITEM 17215 on wheat germination. A) wheat grains soaked in water (control); B) wheat grains soaked in water with 10 mL cultural broth of *L. plantarum* ITEM 17215.

Fig.7. Relative expression ratio of *ldh* gene in *L. plantarum* ITEM 17215, grown in MRS and RSM in presence of 3.0 g L^{-1} phenylpyruvic acid (PPA), calculated relative to its expression in control sample. Data were normalized using *recA* gene. The bars represent the standard deviations.







Fig. 3











Fig.7