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## Electronic supplementary material

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# Emulsion versus nanoemulsion: how much is the formulative shift critical for a cosmetic product?

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**Abstract** The use of nanoemulsions in cosmetic products has enlarged in the last decades because of several formulative advantages (e.g. the improved self-life stability, better texture properties). In addition, nanoemulsions seemed to improve the penetration of active ingredients through the human skin, comparing to conventional emulsion. In this contest, the risk of a higher systemic exposure of consumer to active ingredients, due to the ability of nanoemulsion to enhance permeation, results a critical attribute that should be evaluated for assuring the consumer safety. The aim of this work was the evaluation of how an oil-in-water (O/W) nanoemulsion can influence the in vitro skin permeation profiles of two model active ingredients with different polarity (i.e. caffeine and ethyl ximenynate). Preliminarily, since both selected molecules impact on the physical stability of nanoemulsion, formulative studies were carried out to identify the most stable formulation to perform in vitro permeation studies. The overall results demonstrated that nanoemulsions can significantly influence the permeation profiles of molecules as a function of their physicochemical properties. In particular, O/W nanoemulsions can significantly improve the permeation profiles of apolar active ingredients in comparison to conventional emulsions, whereas no differences were observable for polar molecules. Considering such findings, it is worth observing that there is room for reconsidering the risk assessment of nanoemulsion-based cosmetic products.

**Electronic supplementary material** The online version of this article (doi:10.1007/s13346-017-0390-7) contains supplementary material, which is available to authorized users.

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**Keywords** Nanoemulsion · Cosmetic · Risk assessment · Caffeine · Ethyl ximenynate 36 37

**Introduction** 38

Nanoemulsions are emulsions with uniform and extremely small droplets with size in the range of 20–200 nm [1, 2], whereas classical emulsions are characterized by a coarse droplet size which can reach 1 μm. Nanoemulsions appear transparent or translucent with a bluish Tyndall effect, which is light scattering phenomenon commonly observed in all colloidal dispersions. In comparison to conventional emulsions, the nanosize droplets are more kinetic stable, resulting in a lower tendency of nanoemulsions to creaming, sedimentation, flocculation or coalescence [2, 3]. However, since they are non-equilibrium systems, nanoemulsions are usually obtained mechanically using both high-energy input (e.g. high-shear stirring, high-pressure homogenizers or ultrasound generators) or low-energy emulsification methods, such as the phase inversion temperature (PIT) method [2, 4].

Considering the technological advantages and the availability of scalable manufacturing methods, the application of nanoemulsions in food, cosmetic and pharmaceutical fields has been increased in the last decades [5–8]. For example, nanoemulsions have been used in the manufacturing of several cosmetic products intended to be applied on the skin, because of the higher physical stability during shelf-life and the enhanced texture properties of the final product.

Despite their nanosize dimension, this type of emulsions is not univocally considered as “nanomaterial” by a regulatory point of view [9]. Indeed, if they fulfil the nanomaterial definition given by FDA [10], they are not according to the Regulatory Framework on cosmetic products currently enforced in the European Economic Area (EEA). Regulation

(EC) No 1223/2009 defines a nanomaterial as “an insoluble or biopersistent and intentionally manufactured material with one or more external dimensions, or an internal structure, on the scale from 1 to 100 nm”, excluding de facto all other nanoscale soluble systems such as nanoemulsions [11]. Considering also that the regulatory requirements for cosmetics containing nanomaterials are more stringent than for conventional products [12], the different regulatory interpretation between the Atlantic Ocean shores can significantly influence the way in which manufacturers conduct the risk assessment of nanoemulsion-based cosmetic products. Such findings are more critical for cosmetic products intended to be commercialized both in the USA and EEA.

From a toxicological point of view, nanoemulsion and conventional emulsion are generally superimposable. Nevertheless, risk concerning the skin permeation pattern of active ingredients should be considered since it has been demonstrated that nanoemulsion could enhance their permeation profiles [5, 13]. In general, cosmetic ingredients can penetrate the upper layer of human skin, but they must not permeate in-depth through human skin. Therefore, the risk that their permeation profile could be enhanced by using a nanoemulsion should be considered as a critical attribute for assuring the consumer safety.

The aim of this work was to evaluate how much the use of an oil-in-water (O/W) nanoemulsion in place of a conventional emulsion can influence the skin permeation of two model active ingredients with different polarity (i.e. caffeine and ethyl ximenynate). Caffeine (CAF) was generally used in anti-cellulite and anti-ageing products [14], whereas ethyl ximenynate (EXM) is a microcirculation improver. Starting from previous published studies [15] and preliminary results, the oil phase composition and percentages of active ingredients in the nanoemulsion were also investigated as well as the need to add a secondary emulsifier to improve the stability of the system. The performances of CAF- or EXM-loaded nanoemulsions and conventional emulsions were compared in terms of the in vitro skin permeation study and retained amounts using modified Franz-type diffusion cell and human epidermis, as a membrane.

## 108 Materials and methods

### 109 Materials

110 Each component is used in the study was here reported by  
 111 using the INCI name in agreement with the conventional no-  
 112 menclature for cosmetic-grade ingredients. CAF was pur-  
 113 chased by A.C.E.F. S.p.A. (I). Ethyl Ximenynate (EXM)  
 114 was supplied by Indena S.p.A. (I). The dicaprylyl ether (DE)  
 115 and lauryl-glucoside (LG) were purchased by Cognis Italy (I).  
 116 Ethylhexyl isononanoate (EI) was supplied by Prodotti Gianni

(I). The commercial mixture of PPG-26-Buteth-26 and PEG-40 hydrogenated castor oil was supplied by Res Pharma (I). Polysorbate 20 was supplied by Bregaglio (I). Phenoxyethanol, methylparaben, buthylparaben, ethylparaben, propylparaben and the potassium lauroyl wheat amino acid (and) palm glycerides (and) capryloyl glycine (NANOCREAM®) were kindly gifted by Sinerga S.p.A. (I). All other reagents and solvents were purchased from Sigma-Aldrich S.R.L. (I) and used without further purification.

### 127 Preparation of viscous yellowish gel-like structure

The emulsifier (i.e. potassium lauroyl wheat amino acids (and) palm glycerides (and) capryloyl glycine) was added in ratio 1:1 with respect to oil phase (EI 8% w/w; DE 2% w/w). Mixtures were maintained in constant stirring by a blade impeller (150–250 rpm) for 10–12 min to obtain a uniform and completely homogeneous oil phase (phase A). On the other side, water was weighted (phase B). The preservative system [i.e. phenoxyethanol, methylparaben, buthylparaben, ethylparaben, propylparaben] was also added at 1% w/w. After heating both phases at about 70–75 °C, small aliquots of phase B were added step by step to phase A under moderate stirring. A viscous gel-like structure of yellow colour was obtained. The mixture was cooled down to room temperature under stirring. When the active ingredients were added, CAF were loaded in concentrations of 0.4% w/w and phase B, while EXM was 0.8% w/w in phase A. Percentages referred to final formulation. CAF or EXM were added before proceeding in the preparation of viscous yellowish gel. Percentages referred to final formulation.

### 147 Preparation of nanoemulsion

Phase A and phase B used for the preparation of nanoemulsion were made as previously described for viscous yellowish gel-like structure. Different ratio of EI and DE were used as oil phase as reported in Table 1. The emulsifier [i.e. potassium lauroyl wheat amino acids (and) palm glycerides (and) capryloyl glycine] was then added in ratio 1:1 with respect to oil phase. Mixtures were maintained in constant stirring by a blade impeller (150–250 rpm) for 10–12 min to obtain a uniform and completely homogeneous oil phase (phase A). On the other side, water was weighted (phase B) and preservative system (i.e., phenoxyethanol, methylparaben, buthylparaben, ethylparaben, propylparaben) was added at 1% w/w. Phase A and an aliquot of phase B (about 30% w/w) were, then, heated at 70–75 °C and mixed to reach the gel-like structure, then, phase B was further added until its concentration reached about 70% w/w. During the addition, the mixture colour turned from yellowish to bluish Tyndall, indicating the formation of the nanoemulsion (F<sub>1</sub>–F<sub>6</sub>,

**Table 1** Composition of blank and CAF- and EXM-loaded nanoemulsions and their physical stability over 3 months at three different storage conditions (i.e. room temperature 40 and 50 °C). During stability studies, the nanoemulsions were visually inspected and their physical aspect was classified using the following alphabetic scale (A–C): A, no physical alterations of nanoemulsion; B, minor physical alterations of nanoemulsion aspect (e.g. increased opalescence or whitening) and C, major physical alterations (i.e. phase separation) of nanoemulsion. If physical aspect of a formulation was B and C, the nanoemulsion was considered instable and discarded

t1.1	Form	EI/DE (% w/w)	CAF (% w/w)	EXM (% w/w)	LG (% w/w)	Time 0			Room temperature			40 °C			50 °C			
						1st month	2nd month	3rd month	1st month	2nd month	3rd month	1st month	2nd month	3rd month	1st month	2nd month	3rd month	
t1.4	F <sub>1</sub>	10:0	—	—	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.5	F <sub>2</sub>	8:2	—	—	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.6	F <sub>3</sub>	6:4	—	—	—	A	B	B	A	A	A	A	A	A	A	A	A	A
t1.7	F <sub>4</sub>	4:6	—	—	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.8	F <sub>5</sub>	2:8	—	—	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.9	F <sub>6</sub>	0:10	—	—	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.10	F <sub>7</sub>	8:2	0.4	—	—	A	B	B	B	A	A	A	A	A	A	A	A	A
t1.11	F <sub>8</sub>	8:2	0.8	—	—	A	B	B	B	A	A	A	A	A	A	A	A	A
t1.12	F <sub>9</sub>	8:2	1.4	—	—	A	B	B	B	A	A	A	A	A	A	A	A	A
t1.13	F <sub>10</sub>	8:2	2.0	—	—	A	B	B	B	A	A	A	A	A	A	A	A	A
t1.14	F <sub>11</sub>	8:2	—	0.8	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.15	F <sub>12</sub>	8:2	—	1.4	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.16	F <sub>13</sub>	8:2	—	2.0	—	A	A	A	A	A	A	A	A	A	A	A	A	A
t1.17	F <sub>14</sub>	8:2	0.4	—	1.5	A	B	B	B	A	A	A	A	A	A	A	A	A
t1.18	F <sub>15</sub>	8:2	—	0.8	1.5	A	B	B	B	A	A	A	A	A	A	A	A	A

n.d. not determined

Table 1). Blank nanoemulsion F<sub>2</sub> were selected as vehicle to load CAF (F<sub>7</sub>–F<sub>10</sub>) and EXM (F<sub>11</sub>–F<sub>13</sub>). As previously reported for the gel-like structure, EXM was added in phase A, whereas CAF was added in phase B. The nanoemulsions F<sub>14</sub> and F<sub>15</sub> were made adding 1.5% w/w of LG to F<sub>7</sub>, F<sub>11</sub>, respectively. Percentages referred to final formulation.

**Preparation of emulsion**

To prepare the conventional emulsion, the emulsifier system was prepared mixing Polysorbate 20 (3% w/w) to a commercial mixture of PPG-26-buteth-26 and PEG-40 hydrogenated castor oil (3% w/w). The ratio of EI and DE was fixed at 8:2. The lipophilic components (i.e. EI, DE, emulsifier system) and the preservative were heated at 70–75 °C and, then, were added to an aqueous solution containing the hydrophilic components (i.e. active ingredients) heated at the same temperature under vigorously stirring. The preservative system (i.e. phenoxyethanol, methylparaben, buthylparaben, ethylparaben, propylparaben) was added at 1% w/w. The emulsion was cooled down to room temperature under continuous stirring. Final ratio water/oil was 70/30. 0.8% w/w EXM was added in phase A, whereas 0.4% w/w CAF was added in phase B. Percentages referred to final formulation.

**Nanodroplet dimension measurements**

Measurements of nano-droplet dimension were performed at 23 °C using a NICOMP380/dynamic light scattering (DLS; Particle Sizing System, USA). For each formulation, 1 mL was loaded in a cylindric cuvette and directly analysed by DLS. For the elaboration of raw scattering signal, 0.933 cP of the water viscosity at 23 °C and 1.333 of diffraction index were used as parameter.

**Stability study**

The stability of the nanoemulsions at room temperature (RT), 40 and 50 °C was checked each month over a period of 3 months by visual inspection, comparing the aspect of nanoemulsion with photograph taken at the preparation time.

**In vitro human skin permeation study**

The permeation study was performed by modified Franz's cell system (self-made apparatus) with a diffusion area of 0.785 cm<sup>2</sup> and a receptor volume of about 6 mL.

The in vitro permeation and retention studies were performed using human epidermis (HE) as a membrane. The HE originated from the abdominal skin of a single donor who underwent cosmetic surgery. Briefly, the full-thickness

211 skin was sealed in evacuated plastic bags and stored within 6 h  
 212 after removal, and HE samples were prepared following an  
 213 internal standard procedure [16]. In particular, the skin was  
 214 thawed at room temperature, and the excess of fat was care-  
 215 fully removed. The skin sections were cut into squares of  
 216 about 4.0 cm<sup>2</sup> and after immersion in water at 60 °C for  
 217 1 min; the HE was gently separated from the remaining tissue  
 218 with forceps. Then, the HE was frozen at -20 °C until use. All  
 219 the HE samples used in the in vitro permeation studies were  
 220 stored in fridge for not more than 1 month.

221 Prior to experiments, HE sample was visually  
 222 checked to avoid damaged samples. Adequate samples  
 223 were hydrated in 0.9% w/v NaCl solution for 1 h. Then,  
 224 the sample was mounted on the Franz diffusion cells,  
 225 whose receptor compartments were filled with degassed  
 226 pH 7.4 phosphate buffer saline solution for CAF or with  
 227 ethanol/water solution (50/50% v/v) for EXM. Special  
 228 care was given to avoid air bubbles between the buffer  
 229 and the membrane in the receptor compartment. The  
 230 upper and lower parts of the Franz cell were sealed  
 231 with Parafilm® and fastened together by means of a  
 232 clamp. The system was kept at 37 °C with a circulating  
 233 water bath, so that the membrane surface temperature  
 234 was at 32 ± 1 °C throughout the experiment. At the  
 235 beginning of experiment, 1 mL of nanoemulsion,  
 236 gel-like structure and emulsion containing either CAF  
 237 or EXM were loaded in donor compartments. At  
 238 predetermined times, 200 µL samples were withdrawn  
 239 from the receiver compartment and analysed in HPLC.  
 240 The withdrawn aliquot was replaced with the same vol-  
 241 ume of fresh receiver medium. Sink conditions were  
 242 maintained throughout the experiments. The results were  
 243 expressed as the average of parallel experiments per-  
 244 formed in triplicate. The cumulative amount permeated  
 245 through the human epidermis per unit area (Q<sub>p</sub>) was  
 246 calculated from the drug concentration in the receiving  
 247 medium and plotted as a function of time. The steady  
 248 state flux (J) was determined as the slope of the linear  
 249 portion of the plot.

250 **Drug retention study**

251 At the end of permeation experiment, HE samples were re-  
 252 moved from the Franz diffusion cells. Any residue on the  
 253 surface of the skin was removed using a cotton tip applicator  
 254 and each HE membrane was then carefully rinsed with 5 mL  
 255 methanol. The skin samples were then cut into small pieces  
 256 and placed in 10 mL of methanol. The suspension was soni-  
 257 cated for 30 min, soaked for 24 h at 4 °C, and then filtered.  
 258 The concentrations of CAF or EXM were assayed by the  
 259 HPLC method reported below. The retained amount into the  
 260 human epidermis (Q<sub>R</sub>) was expressed as micrograms per unit  
 261 of area.

**Quantitative determination of caffeine and ethyl ximenynate** 262 263

The concentrations of CAF and EXM in the medium were 264  
 determined by a HPLC method (HP 1100, ChemStations, 265  
 Hewlett Packard, USA). The following analytical conditions 266  
 were adopted. 267

**Caffeine** The CAF separation was performed at 25 °C using a 268  
 Spherisorb 3 µm ODS2 (Waters S.p.A., USA) and acetonitrile/0.05 M acetic acid (75:35 v/v) as mobile phase. The flow 269  
 rate was set at 1.0 mL/min and the injection volume at 20 µL. 270  
 The drug concentration was determined at 275 nm from two 271  
 standard curves (0.01–10 µg/mL; 10–100 µg/mL). 272 273

**Ethyl ximenynate** the EXM separation was performed at 25 °C 274  
 using a Spherisorb 5 µm ODS2 (Waters S.p.A., USA) and 275  
 acetonitrile/water acidified with 0.3% phosphoric acid 85% 276  
 (90:10) as mobile phase. The flow rate was set at 1.2 mL/ 277  
 min and the injection volume at 10 µL. The drug concentra- 278  
 tion was determined at 215 nm from two standard curves 279  
 (0.01–10 µg/mL; 10–100 µg/mL). 280

**Statistical analysis** 281

Dixon's tests were performed on the obtained results to iden- 282  
 tify outliers, using a value of 0.970 as confidence level at 283  
 90% [17]. The statistical difference in performances of the 284  
 formulations samples were at each sampling point by *T* test 285  
 (Excel 2016, Microsoft, USA). The level of significance was 286  
 taken as *p* < 0.05. 287

**Results** 288

**Preparation and stability of nanoemulsions and gel-like structure** 289 290

O/W nanoemulsions containing ethylhexyl isononanoate (EI) 291  
 and dicaprylyl ether (DE), as oily phase, and a blend of natural 292  
 derived surfactants, potassium lauroyl wheat amino acids, 293  
 palm glycerides and capryloyl glycine (Nanocream®), as 294  
 nanoemulsifier were prepared [18]. The emulsifier appears 295  
 like a semi-consistent yellow gel, with a characteristic odour 296  
 and pH value between 6.5 and 7.5; it is a non-irritant blend and 297  
 it is compatible with oils having a branched-structure on the 298  
 carbonic chain and a limited steric volume (e.g. iso-stearate, 299  
 ethyl isononanoate or iso-hexadecane). The ratio oil phase/ 300  
 nanoemulsifier was set 1:1 w/w, according to previous evi- 301  
 dences [15]. Rheological measurements (data not shown) 302  
 demonstrated that oil phase/nanoemulsifier system could in- 303  
 corporate low amounts of water (<25–30%) without altering 304  
 its gel-like structure. DLS analyses did not evidence any 305

306 droplet formation inside this structure. On the contrary, a fluid  
307 O/W nanoemulsion was obtained when the water concentra-  
308 tion reaches 70% (w/w), regardless of the oil phase  
309 composition.

310 All prepared blank nanoemulsions had a low viscosity as  
311 they easily flowed (data not shown). They appeared clear or  
312 opalescent after preparation (Table 1), and DLS analyses con-  
313 firmed that droplet dimensions ranged from 30 to 50 nm. The  
314 higher DE concentration increased, the higher clearness of  
315 system.

316 The stability studies demonstrated that almost all blank  
317 formulations (F<sub>1</sub>–F<sub>6</sub>) remained stable over 3 months both at  
318 RT and at 40 °C, whereas phase separation (e.g. creaming)  
319 was observed at 50 °C (Table 1). The visual aspect of some  
320 formulations proceeded from the clearness towards the opal-  
321 escence to reach, in case of instability, the whitening and then  
322 creaming, but it could also happen that from an opaque system  
323 they went back to a transparent one. According to the stability  
324 data reported in Table 1, best results were obtained with the  
325 ratio EI/DE fixed at 8/2, 4/6 and 2/8.

326 The addition of CAF or EXM significantly affected the  
327 physical properties and the stability of all the nanoemulsions  
328 (Table 1, Table A1). When different concentrations of CAF  
329 and EXM were added to the formula, at high temperature  
330 nanoemulsions made with the EI/DE 2/8 and 4/6 resulted  
331 unstable within 1 month after the preparation (Table A1). On  
332 the contrary, when EI/DE was fixed at 8/2, the nanoemulsions  
333 containing 0.4% w/w CAF (F<sub>7</sub>) were clear and stable for a  
334 longer period, whereas the higher CAF concentration (i.e.  
335 0.8–2.0% w/w, F<sub>8</sub>–F<sub>10</sub>) resulted quickly unstable at elevated  
336 temperatures (Table 1). The DLS analyses highlighted signif-  
337 icant variation in droplet dimension: if droplet dimension of  
338 0.8% w/w CAF nanoemulsion (i.e. 38 nm) resulted superim-  
339 posable to blank formulation immediately after preparation,  
340 after 3 months at room temperature three different droplet  
341 populations were observable (range: 12–601 nm). Such find-  
342 ings were confirmed by visual observation: instability ap-  
343 peared as a separation phase characterized by a white cream  
344 at the top of the sample, while at the bottom the system

345 remained transparent. On the contrary, the samples containing 345  
346 0.8% (F<sub>11</sub>) and 1.4% (F<sub>12</sub>) w/w EXM were homogeneous for a 346  
347 longer period of time with respect to those with CAF, even if 347  
348 DLS analyses highlighted the presence of a 338-nm popula- 348  
349 tion of droplets. A 2% w/w EXM (F<sub>13</sub>) formulation resulted 349  
350 unstable also at RT. Differences were observed at 50 °C; sep- 350  
351 aration phase was observed after 1 month in the case of 1.4% 351  
352 w/w. Therefore, to improve the stability of formulations F<sub>7</sub> and 352  
353 F<sub>11</sub>, LG, a non-ionic mild surfactant, was added. A mixture of 353  
354 lauryl glucoside and sodium lauryl glucose carboxylate com- 354  
355 bined to a polymeric stabilizer is commonly used in emulsion 355  
356 formulations to improve stability [19]. 356

357 All gel-like structures were stable at each condition of time 357  
358 and temperature, except for CAF 0.8 w/w. In this case, at room 358  
359 temperature the active precipitated as needle-like particles, 359  
360 due to achievement of the solubility limit. 360

**In vitro permeation studies** 361

362 In vitro permeation studies were carried out comparing per- 362  
363 formances of nanoemulsions F<sub>14</sub> and F<sub>15</sub> (Table 1) with those 363  
364 of emulsions and gel-like structures containing CAF (0.4%, w/ 364  
365 w) or EXM (0.8%, w/w). As shown in Table 2, both model 365  
366 drugs were able to penetrate significantly the *stratum corneum* 366  
367 and to permeate through the human epidermis. However, the 367  
368 permeated and retained percentage were lower than 2% of 368  
369 both CAF and EXM in the case of nanoemulsions. The per- 369  
370 meation profiles of EXM-loaded emulsion resulted negligible, 370  
371 whereas those obtained by nanoemulsion were significantly 371  
372 higher after 24 h (*p* value <0.05). On the other side, the results 372  
373 obtained by using the CAF-loaded emulsion was comparable 373  
374 to that of the nanoemulsion F<sub>14</sub>. Q<sub>R</sub> were not statistically dif- 374  
375 ferent (*p* value >0.05). 375

376 The gel-like structures permitted to increase Q<sub>P</sub> independ- 376  
377 ently of the considered model drug. Indeed, the Q<sub>P,24</sub> value 377  
378 for CAF was 12.6 ± 7.2% of drug loading. It was over ten 378  
379 times higher than those of nanoemulsion and coarse emulsion 379  
380 (*p* value <0.05), whereas the Q<sub>R</sub> values were only slightly 380

t2.1 **Table 2** Permeation and  
t2.2 retention parameters obtained by  
in vitro permeation studies carried  
out using nanoemulsions (F<sub>14</sub>,  
t2.3 F<sub>15</sub>), emulsions and gel-like  
t2.4 structures containing CAF (0.4%  
t2.5 w/w) or EXM (0.8% w/w)  
t2.6  
t2.7  
t2.8  
t2.9  
t2.10

Formulation	Q <sub>P,24</sub> (µg/cm <sup>2</sup> )	J (µg/cm <sup>2</sup> /h)	Q <sub>R</sub> (µg/cm <sup>2</sup> )
<b>Caffeine</b>			
Nanoemulsion (F <sub>14</sub> )	47.02 ± 28.91 (0.5%)	2.44 ± 1.53	84.18 ± 32.01 (0.8%)
Emulsion	36.05 ± 10.75 (0.3%)	1.74 ± 0.58	145.71 ± 102.98 (1.3%)
Gel-like structure	777.25 ± 290.40 (12.6%)*	42.60 ± 22.61*	242.37 ± 82.24 (2.2%)
<b>Ethyl ximenynate</b>			
Nanoemulsion (F <sub>15</sub> )	19.88 ± 13.07*	0.51 ± 0.11	139.29 ± 87.57 (1.1%)
Emulsion	0.00 ± 0.00	0.00 ± 0.00	63.66 ± 33.40 (0.6%)
Gel-like structure	41.13 ± 22.28*	1.62 ± 1.05	54.82 ± 29.01 (0.8%)

\**p* value <0.05 with respect to control (i.e. emulsion)

381 increased. For EXM,  $Q_{P,24}$  value was  $4.1 \pm 1.3\%$  of drug  
 382 loading.

383 **Discussion**

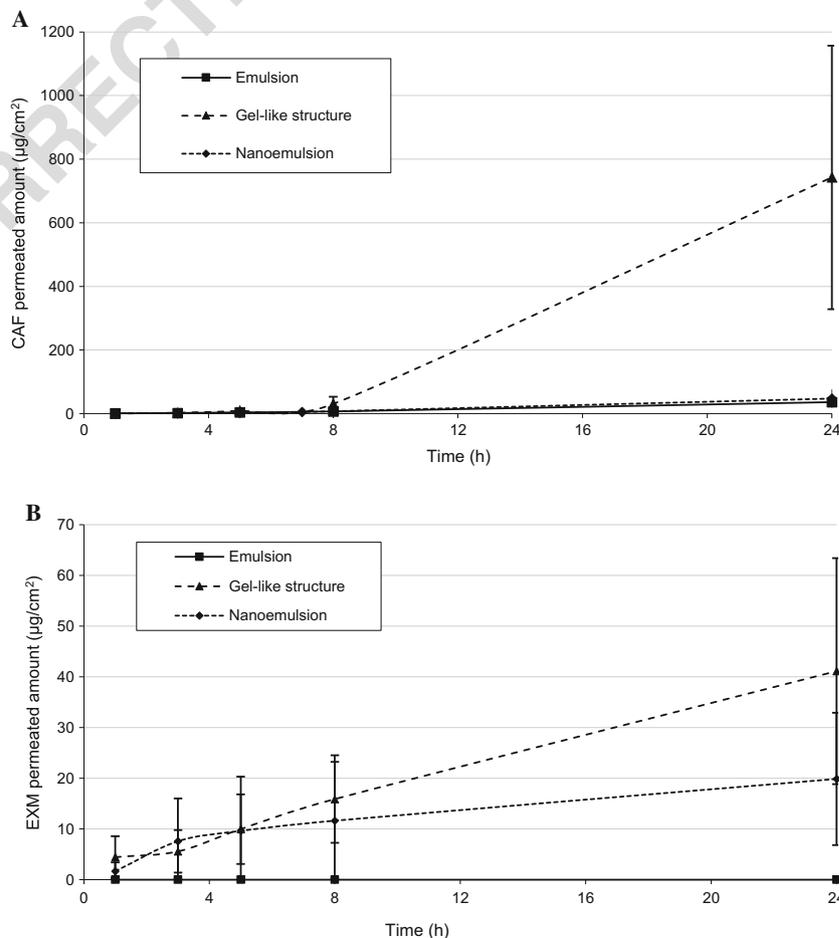
384 The current manuscript showed whether and to what extent  
 385 the permeation profiles of CAF or EXM were modified when  
 386 loaded in nanoemulsions. The performance of nanoemulsions  
 387 in terms of permeation profile of CAF or EXM were tested  
 388 using as reference a coarse emulsion. Moreover, the impact on  
 389 permeation profiles of the different organization of the  
 390 semi-solid structure due to a reduced water phase was also  
 391 evaluated using the viscous gel-like structures. As shown in  
 392 Table 1 and Table 2, the loading of CAF or EXM in this  
 393 nanoemulsion system has significantly modified its stability  
 394 and permeation parameters of the actives, but the enhance-  
 395 ment effect of nanoemulsion in comparison to coarse emul-  
 396 sion varies according to the polarity of the tested molecule.

397 Based on the results obtained by using CAF, the perme-  
 398 ation and retention pattern of polar molecules seemed to not  
 399 vary between nano- or conventional emulsions (Fig. 1a). The

400 obtained permeation fluxes ( $J$ ) also resulted in agreement with  
 401 previously published data obtained with CAF aqueous solu-  
 402 tions [20], suggesting that both formulations did not alter the  
 403 CAF permeation profile. On the contrary, the  $J$  value was  
 404 significantly increased when viscous gel-like structure was  
 405 used as vehicle (Table 2). Considering the lower water con-  
 406 centration of this formulation with respect to the  
 407 nanoemulsion (i.e. 30 vs 70%), such enhancement effect  
 408 may be caused by the higher thermodynamic activity of  
 409 CAF inside the gel-like structure [21]; higher occlusive prop-  
 410 erties could also influence this result [22]. The closeness to  
 411 maximum solubility of CAF is evidenced by preliminary sta-  
 412 bility studies. Indeed, in CAF-loaded gel-like structures the  
 413 drug crystal precipitation occurred when its concentration ap-  
 414 proaches 0.8% w/w, while no CAF precipitation was observed  
 415 in the case of nanoemulsion till 2% w/w.

416 When nanoemulsion was loaded with an apolar molecule  
 417 (e.g. EXM), a significant enhancement effect on the perme-  
 418 ation profile is observable (Fig. 1b). While the permeation  
 419 profile of EXM was negligible for the conventional emulsion,  
 420 the nanoemulsion and gel-like structure resulted in compar-  
 421 able  $Q_P$ - and  $J$  values. Between the two formulations, no

**Fig. 1** In vitro permeation studies of CAF (a) and EXM (b) through human epidermis using different semisolid vehicles (CAF 0.4% w/w; EXM 0.8% w/w). The results showed the impact of vehicle selection on the permeation profiles both active ingredients. The selected semisolid vehicles are emulsion (solid line), gel-like structure (dashed line) and nanoemulsions profile (dotted line) (mean  $\pm$  St. dev.;  $n = 3$ )



422 significant differences in terms of permeation parameters were  
423 observed, despite LG was added only in the nanoemulsion.  
424 The addition of LG, a secondary emulsifying agent, was need-  
425 ed for obtaining an acceptable stability of nanoemulsion dur-  
426 ing times, especially when CAF and EXM were loaded. LG  
427 was selected with respect to previous experiences as  
428 nanoemulsion stabilizer, being quite common this need.  
429 Even if release from an emulsion and human skin permeability  
430 of active ingredients could be affected by the type of emulsi-  
431 fier [23], in this case, it is possible to exclude that the addition  
432 of a further emulsifier system plays a role in promoting the  
433 permeation of CAF or EXM through the skin.

434 Unlike CAF, these findings suggested that it is possible to  
435 improve the permeation profiles of apolar active ingredient,  
436 using nanoemulsion as vehicle. Moreover, due to the different  
437 O/W ratio, the EXM release was more efficient in the presence  
438 of the highest amount of water, even if permeation parameters  
439 were not significantly altered.

440 The overall results agreed with previous published studies  
441 that demonstrated that nanoemulsions could improve the per-  
442 meation profiles of loaded active ingredients [24–26]. The  
443 different performance of nanoemulsion in comparison to a  
444 coarse emulsion can be explained considering that the inter-  
445 face between oil and aqueous phase resulted increased in the  
446 case of O/W nanoemulsion with respect to the equivalent  
447 emulsion. The higher interface area between the two phases,  
448 the higher proximity between the droplets of the disperse  
449 phase and the skin surface after a topical application. In this  
450 context, the different in vitro permeation profile observed for  
451 apolar molecules with respect to polar one may be explained  
452 with a higher partition tendency of the formers between the  
453 vehicle and the skin surface due to the increased concentration  
454 at the skin-vehicle interface.

455 Such evidences suggested that the safety profiles of  
456 nanoemulsion cannot be considered a priori superimposable  
457 to coarse emulsion with similar composition of phases, espe-  
458 cially when molecules with physicochemical properties  
459 favourable for the skin permeation were loaded.

460 On the bases of such considerations, a revision of regulatory  
461 framework of nanoemulsion to preserve the costumer safety ap-  
462 pears necessary in consideration of the widespread diffusion of  
463 such nanomaterials in cosmetic products. Nanoemulsions are not  
464 classified as nanomaterials by European authorities [11] and their  
465 risk assessment is mainly based on the safety profile of all the  
466 ingredients contained in the cosmetic product. Indeed, since  
467 nanoemulsions are equally based on the well-known and  
468 well-characterized raw materials used for conventional emul-  
469 sions, they are not considered risky for the safety of European  
470 consumers. Nevertheless, even if the composition of a  
471 nanoemulsion is similar to coarse emulsion and with safety in-  
472 gredients, the reduced droplet dimensions or modification in the  
473 emulsifier systems for preserving the formulation stability have  
474 to be considered as function of their impact on the safety profile

of the formulation. Therefore, novel approach proposed by FDA 475  
to solve the criticisms results of interest. FDA classifies 476  
nanoemulsions as nanomaterials [10] and recommends to con- 477  
duct a deepened characterization of both ingredients and final 478  
formulation as a function of the intended route of exposure 479  
[27]. For exposure via dermal absorption, since nanoemulsions 480  
are expected to disintegrate in their molecular components upon 481  
the application to skin, their safety assessment is not considered 482  
particularly critical by the American authorities again. However, 483  
FDA highlights the importance to conduct proper in vitro studies 484  
through intact and impaired skin to verify that permeation rate of 485  
ingredients is not enhanced by the nanoemulsion, excluding thus 486  
a high risk of systemic exposure after skin application. 487

## 488 Conclusions

The overall results demonstrated that nanoemulsions are able to 489  
influence significantly the permeation profiles of molecules as a 490  
function of their physicochemical properties. In particular, O/W 491  
nanoemulsions can improve significantly the permeation profiles 492  
of apolar active ingredients in comparison to conventional emul- 493  
sions, whereas no differences were observable for polar mole- 494  
cules. Considering such findings, it is worth observing that there 495  
is room for reconsidering the regulatory framework on the basis 496  
of the risk assessment of nanoemulsion-based cosmetic products. 497  
Indeed, according to our results, the lack of the skin permeability 498  
evaluation in the current European legislation seems appropriate 499  
for assessing the safety of O/W nanoemulsions containing active 500  
polar ingredients, since their use for improving the physical prop- 501  
erties of final products does not influence the skin permeation 502  
pattern of ingredients. On the other hand, the loading of apolar 503  
active ingredients in O/W nanoemulsions should be carefully 504  
considered to avoid any unexpected increase of exposure to ac- 505  
tive ingredients and, therefore, potential risks for the consumer 506  
safety. Therefore, an upgrade and harmonization of the regulato- 507  
ry framework is desirable to assess better how the use of a nano- 508  
scale emulsion instead conventional one can impact on the con- 509  
sumer exposure to ingredients contained in cosmetic products 510  
intended to be commercialized in both Europe and the USA. 511

## 512 Compliance with ethical standards

**513Q2 Conflict of interest** The authors declare that there is any conflict of  
interest in publishing the results contained in the manuscript. 514

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## AUTHOR QUERIES

**AUTHOR PLEASE ANSWER ALL QUERIES.**

- Q1. Expansion for "O/W" is provided. Please check if correct.
- Q2. Please check if "Conflict of interest" is captured and presented correctly.

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