

Manuscript Number: CARBPOL-D-15-03162R1

Title: Microfibrillated cellulose and borax as mechanical, O₂-barrier, and surface-modulating agents of pullulan bionanocomposite coatings on BOPP

Article Type: Research Paper

Keywords: contact angle; food packaging; nanocomposite coatings; oxygen permeability

Corresponding Author: Dr. Stefano Farris,

Corresponding Author's Institution: University of Milan

First Author: Carlo A Cozzolino

Order of Authors: Carlo A Cozzolino; Gaetano Campanella; Hasan Türe; Richard T Olsson, Professor; Stefano Farris

Abstract: Multifunctional composite coatings on bi-oriented polypropylene (BOPP) films were obtained using borax and microfibrillated cellulose (MFC) added to the main pullulan coating polymer. Spectroscopy analyses suggested that a first type of interaction occurred via hydrogen bonding between the C6-OH group of pullulan and the hydroxyl groups of boric acid, while monodiol and didiol complexation represented a second mechanism. The deposition of the coatings yielded an increase in the elastic modulus of the entire plastic substrate (from ~2 GPa of the neat BOPP to ~ 3.1 GPa of the P/B+/MFC-coated BOPP). The addition of MFC yielded a decrease of both static and kinetic coefficients of friction of approximately 22% and 25%, respectively, as compared to the neat BOPP. All composite coatings dramatically increased the oxygen barrier performance of BOPP, especially under dry conditions. The deposition of the high hydrophilic coatings allowed to obtain highly wettable surfaces (water contact angle of ~18°).

- We produce bionanocomposite coatings using pullulan, borax, and MFC
- We use the coatings as thin layers laid on BOPP films
- We evaluate mechanical, barrier, and surface properties of the coated films
- Adding borax and MFC will increase elastic modulus, oxygen-barrier, and wettability
- Pullulan/borax/MFC coatings show promising properties for food packaging applications



UNIVERSITÀ DEGLI STUDI DI MILANO

*Department of Food, Environmental and Nutritional Sciences
Dipartimento di Scienze per gli Alimenti, la Nutrizione e l'Ambiente*



DeFENS

Response to Reviewers

Dear Editor,

We would like to thank the Editorial team for fast handling of the manuscript and the reviewers for their valuable comments on our work. Their suggestions were used to improve the final form of the manuscript. Please find below a detailed list of changes that we have made on the previous version, according to the reviewers' reports. Answers to the reviewers' comments are in red.

Best regards,

Stefano Farris (on behalf of all authors)

A handwritten signature in black ink, appearing to read 'Stefano Farris'.

--

Stefano Farris, Ph.D. | PackLAB team
University of Milan
DeFENS, Department of Food, Environmental and Nutritional Sciences
PackLAB – Food Packaging Laboratory
via Celoria, 2 - 20133 MILANO
OFFICE +39 0250316654 | FAX +39 0250316672

Reviewers' comments:

Reviewer #1: Minor Revisions for acceptance

This paper presents a bionanocomposite coatings using pullulan, borax, and MFC aiming to improve the oxygen and water barrier, mechanical, optical, and surface properties of a BOPP film, a commonly-used plastic for food packaging applications.

The manuscript is well written. We regret that the authors didn't show the SEM-FEG images of the cross-section films prepared to check the adequate coverage of the surface with different coating. Indeed, a good coverage is required to develop a barrier layer.

We are thankful with the reviewer for his comments on our work. We have now added an experimental part including FE-SEM analyses of both surface and cross-section of pullulan-

Microfibrillated cellulose and borax as mechanical, O₂-barrier, and surface-modulating agents of pullulan **biocomposite** coatings on **BOPP**

Carlo A. Cozzolino^a, Gaetano Campanella^a, Hasan Türe^b, Richard T. Olsson^c, Stefano Farris^{a*}

^a*DeFENS, Department of Food, Environmental and Nutritional Sciences—Packaging Division, University of Milan, Milan, Italy*

^b*Fatsa Faculty of Marine Science, Department of Marine Science and Technology Engineering, Ordu University, Ordu, Turkey*

^c*Department of Fibre and Polymer Technology, School of Chemical Science and Engineering, KTH Royal Institute of Technology, Stockholm, Sweden*

*Corresponding author. Tel.: +39 0250316654; Fax: +39 0250316672

E-mail address: stefano.farris@unimi.it (S. Farris)

1 **ABSTRACT**

2 Multifunctional **composite** coatings on bi-oriented polypropylene (BOPP) films were obtained
3 using borax and microfibrillated cellulose (MFC) added to the main pullulan **coating polymer**.
4 Spectroscopy analyses suggested that a first type of interaction occurred via hydrogen bonding
5 between the C₆-OH group of pullulan and the hydroxyl groups of boric acid, while monodiol and
6 didiol complexation represented a second mechanism. The deposition of the coatings yielded an
7 increase in the elastic modulus of the **entire** plastic substrate (from ~2 GPa of the neat BOPP to ~
8 3.1 GPa of the P/B+/MFC-coated BOPP). The addition of MFC yielded a decrease of both static
9 and kinetic coefficients of friction of approximately 22% and 25%, respectively, as compared to
10 the neat BOPP. **All composite** coatings dramatically increased the oxygen barrier performance of
11 BOPP, especially under dry conditions. The deposition of the high hydrophilic coatings allowed to
12 obtain highly wettable surfaces (water contact angle of ~18°).

13

14 *Keywords:* contact angle; food packaging; **composite** coatings; oxygen permeability

15

16

17 **Chemical compounds studied in this article**

18 **Pullulan (PubChem CID: 92024139); Borax (PubChem CID: 10219853)**

19

20

21

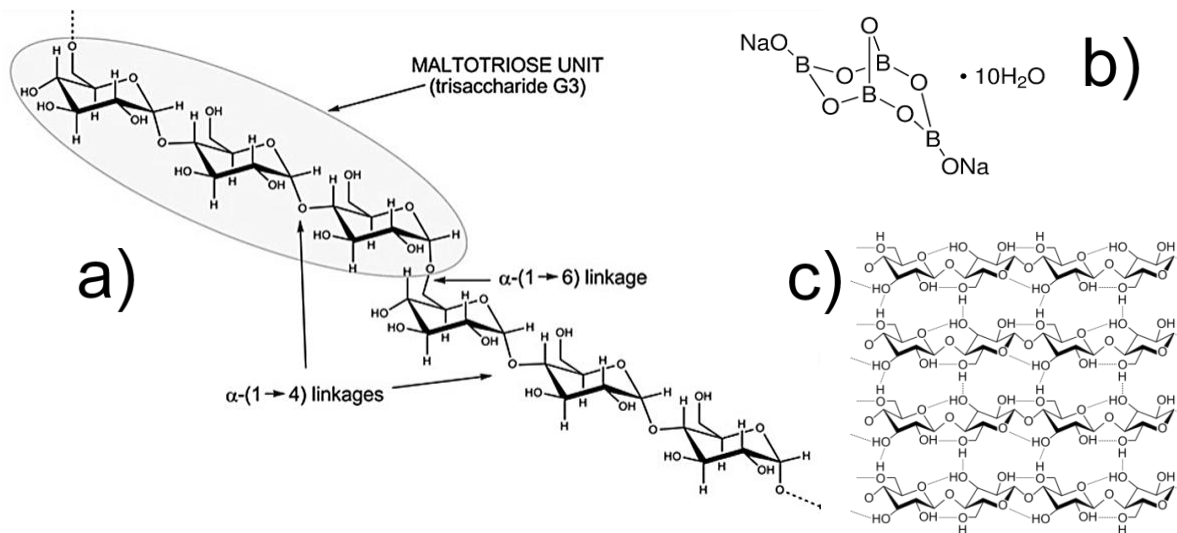
22

23

24 **1. Introduction**

25 The use of biopolymers represents one of the most promising strategies towards an optimized use
26 of conventional nonbiodegradable petroleum-based plastic packaging materials due to both lower
27 environmental impact and improved technical performance (Barlow & Morgan, 2013; Rhim,
28 Park, & Ha, 2013). Especially within the food packaging sector, the deposition of high
29 performance biopolymer coatings on plastic films has been proposed as a possible first step
30 toward the environmental and functional goals (Farris, Introzzi & Piergiovanni, 2009). According
31 to the “packaging optimization” principle, the use of high-performance thin layers would allow
32 down-gauging current packaging structures, e.g., laminates and/or co-extruded materials, without
33 impairing (and, in fact, improving) the overall performance of the substrate beneath, at reasonable
34 cost (Farris et al., 2014a).

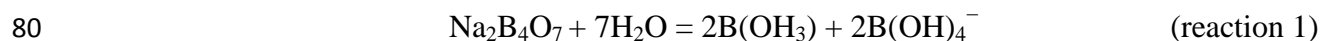
35 Pullulan (Figure 1a) has attracted much attention over recent years as potential food
36 packaging material due to its peculiar characteristics. This non-ionic exopolysaccharide is
37 obtained from the fermentation medium of the black yeast *Aureobasidium pullulans* (originally
38 called *Pullularia pullulans*) under limiting conditions (e.g., nitrogen), with media composition and
39 culture conditions highly affecting the final yield. Dry pullulan is white, nontoxic, tasteless,
40 odorless and biodegradable, with high film forming abilities (Yuen, 1974; Trovatti et al., 2012).
41 The α -(1→6) linkage between maltotriose residues contributes to the structural flexibility and
42 high solubility of pullulan (Leathers, 2003), whereas the presence of hydroxyl groups on the
43 molecular skeleton is responsible for the extensive inter-molecular hydrogen bonding and super-
44 hydrophilicity, which have been profitably exploited to develop an oxygen barrier and anti-fog
45 coatings, respectively (Farris et al., 2012; Introzzi et al., 2012a).



46
 47 **Figure 1.** a) pullulan molecule as a sequence of repeating units of maltotriose (adapted from
 48 Farris et al., 2014); b) sodium tetraborate decahydrate; c) structure of cellulose (four molecular
 49 chains to form a strand) with intra- and inter-molecular hydrogen bonds (dashed lines) in
 50 evidence.

51
 52 Another important feature of pullulan is its high transparency in the form of a thin layer, which is
 53 a sought-after property for all those applications envisaging adequate display (e.g., food
 54 packaging, optical devices, and monitors) (Introzzi et al., 2012a). Like most biopolymers, pullulan
 55 suffers some drawbacks, such as the sensitivity to moisture that dramatically affects its
 56 performance. Blending pullulan with other (bio)polymers has been shown as a valid strategy to
 57 control moisture sensitivity (Kristo & Biliaderis, 2007; Xiao, Lim & Tong, 2012; Wu et al., 2013).
 58 More recently, pullulan has been used in combination with natural montmorillonite (Na^+ -MMT)
 59 (Introzzi et al., 2012b) and graphene (Unalan et al., 2015) to produce bionanocomposite materials
 60 with enhanced properties even at high relative humidity values intended for food packaging
 61 applications.

62 Borax (Figure 1b) is a salt of boric acid also known as sodium borate, sodium tetraborate, or
63 disodium tetraborate. This water-soluble white powder is listed among the substances that can be
64 used to produce plastic materials intended to come into contact with food (Annex I, Table 1,
65 identification number 584 of EU Reg. 10/2011), with a specific migration limit of 6 mg kg^{-1}
66 expressed as boron (Annex I, Table 2, group restriction number 16 of EU Reg. 10/2011). Recently
67 the use of borax has been questioned due to its potential adverse effects on human health
68 (genotoxicity). In 2013, the EFSA Panel on Food Additives and Nutrient Sources added to Food
69 (ANS) provided a scientific opinion re-evaluating the safety of borax and boric acid as food
70 additives (E 285 and E284, respectively) in the EU. Exposure estimates to boron from its use as
71 food additive at the highest 95th percentile for consumers only, for children, adolescents, adults
72 and the elderly would be 0.56, 0.37, 0.13 and 0.15 mg/kg bw/day, respectively. The Panel
73 concluded that it is unlikely that a regular exceedance of the admitted daily intake (ADI) occurs
74 (EFSA ANS, 2013). Borax finds a variety of applications as an additive of detergents and
75 cosmetics, to make buffer solutions, as a fire retardant, and as a texturing agent and antimicrobial
76 agent in the food industry (Yanjuan, Yanxiong, Wei & Chunying, 2013). Borax dissociates
77 completely into equal quantities of trigonal planar [boric acid, $\text{B}(\text{OH}_3)$] and tetrahedral
78 [monoborate ions, $2\text{B}(\text{OH})_4^-$] that interchange rapidly in water system according to (Han, Lei &
79 Wu, 2014):



81 The co-existence of both forms can be exploited profitably to generate water-based systems with
82 enhanced hydrogen bonding (due to the hydroxyl groups) while preserving long-term stability
83 (due to the hydroxide ions). Borax has desirable properties as a component of polymeric

84 composites because polar –OH groups facilitate strong interactions and mechanical stability
85 (Yunus, Unal, Erol & Sari, 2011).

86 Cellulose (Figure 1c) is a widely available, low cost, renewable, and biodegradable polymer.
87 Its chemical structure and physical properties have been widely reported in the literature
88 (Berglund, 2006; Cabiaca et al., 2011). Cellulose is mostly obtained from wood and cotton,
89 although cellulose pulp can be also extracted from agricultural byproducts such as bagasse, stalks
90 and crop straws and is also produced by many species of bacteria (e.g., *Gluconacetobacter spp.*)
91 (Huang et al., 2014). Cellulose fibers can be disassembled into their structural nano-components
92 (Chinga-Carrasco et al., 2011)—cellulose nanoparticles (CNs), such as microfibrillated cellulose
93 (MFC; or cellulose microfibrils, CMF); cellulose nanofibrils (CNF; or nanofibrillated cellulose,
94 NFC); and cellulose nanocrystals (CNCs). CNC elements are much shorter than both MFC and
95 CNF and does not have, therefore, the same ability as the MFC and CNF elements to form
96 networks. Various definitions may be found in the literature, but in the proposal for the new
97 TAPPI Standard, MFC have widths in the range of 10–100 nm, while CNF have widths of 5–30
98 nm (Wernersson Brodin, Gregersen & Syverud, 2014). Cellulose derivatives are among the most
99 employed natural fillers for the generation of composite materials (Klemm et al., 2011; Faruk,
100 Bledzki, Fink & Sain, 2012). MFC, in particular, has successfully been used in the food packaging
101 field to produce composite films and coatings to improve barrier properties—for example, against
102 oxygen (Hult, Iotti & Lenes, 2010; Minelli et al., 2010) and water vapor (Azeredo et al., 2009;
103 Azeredo et al., 2010; Kaushik, Singh & Verma, 2010)—mechanical properties (Peng, Ren, Zhong
104 & Sun, 2011; Hansen, Blomfeldt, Hedenqvist & Plackett, 2012; Cozzolino, Cerri, Brundu &
105 Farris, 2014), thermal properties (Tingaut, Zimmermann & Lopez-Suevos, 2009; Joonobi, Harun,
106 Mathew & Oksman, 2010), and the antimicrobial attribute of the final package (Cozzolino et al.,

107 2013; Lavoine et al., 2014a,b,c; Lavoine, Desloges, Manship & Bras, 2015; Saini, Belgacem,
108 Mendes, Elegir & Bras, 2015).

109 The objective of this study was to investigate the potential advantages eventually coming
110 from the association of borax and MFC as fillers included in the main biopolymer matrix pullulan.
111 In particular, the composite materials were developed in the form of thin coatings aiming to
112 improve the oxygen and water barrier, mechanical, optical, and surface properties of a BOPP film,
113 a commonly-used plastic for food packaging applications.

114

115 2. Experimental

116 2.1. Materials

117 Bi-oriented polypropylene (BOPP) $20.0 \pm 0.5 \mu\text{m}$ thick was provided by Radici Film, S. Giorgio
118 di Nogaro, Italy. Pullulan powder (PI-20 grade) was provided by Hayashibara Biochemical
119 Laboratories, Inc. (Okayama, Japan). Structural characteristics of pullulan (determined by high-
120 performance size exclusion chromatography equipped with multi-laser scattering and refractive
121 index detectors – HPSEC-MALLS-RI) are: weight average molar mass (M_w) = $2.094 \times 10^5 \pm$
122 0.002 ; polydispersity index (M_w/M_n) = 1.321 ± 0.02 ; radius of gyration (R_g) = $24.7 \pm 0.002 \text{ nm}$
123 (Xiao, Tong, Zhou & Deng, 2015). Borax powder (sodium tetraborate decahydrate—
124 $\text{Na}_2\text{B}_4\text{O}_7 \cdot 10\text{H}_2\text{O}$, purity > 99.5%, $M_w = 381.37 \text{ g mol}^{-1}$) was purchased from Sigma-Aldrich.
125 Milli-Q water ($18.3 \text{ M}\Omega \cdot \text{cm}$) was used during the preparation of the pullulan-based films. All
126 reagents were used as received.

127 MFC was produced at the Paper and Fibre Research Institute (PFI, Trondheim, Norway) by
128 two different types of cellulose: (I) ECF (elemental chlorine free) fully bleached sulphate pulp
129 mainly based on juvenile *Picea abies*, and (II) ECF (elemental chlorine free) fully bleached

130 sulphate cellulose mainly based on mature *Picea abies* with up to 5 wt.% pine (*Pinus sylvestris*),
131 according to the same manufacturing procedure described by [Turbak et al. \(1983\)](#) and [Herrick et al.](#)
132 (1983). The main physicochemical characteristics of the MFC used in this work are fully
133 described in previous papers ([Syverud & Stenius, 2009](#); [Iotti, Gregersen, Moe & Lenes, 2011](#);
134 [Cozzolino, Cerri, Brundu & Farris, 2014](#)).

135

136 2.2. Composites coatings preparation

137 Five different pullulan water solutions (2.0 wt.% wet basis) were prepared by mixing the pullulan
138 powder in cold water under gentle stirring (500 rpm) for 1 h at room temperature. As displayed in
139 [Table 1](#), each solution was used as a batch to obtain five different formulations: 1) pristine
140 pullulan solution, coded as P, which was used as a control; 2) P, also including borax (0.04 g/0.2 g
141 pullulan, i.e. 0.4 wt.% wet basis), coded as P/B; 3) P, also including borax at a higher amount (0.1
142 g/0.2 g pullulan, i.e. 1 wt.% wet basis), coded as P/B+; 4) P/B, also including MFC (0.087 g/0.2 g
143 pullulan, i.e. 0.87 wt.% wet basis), coded as P/B/MFC; 5) P/B+, also including MFC (0.87 wt.%),
144 coded as P/B+/MFC. Borax and MFC were directly added to the main pullulan water solution and
145 mixed by gentle stirring for 4 hrs in a climatic room (25 ± 0.5 °C). The obtained water
146 solutions/dispersions were then left to rest for 24 hrs before usage.

147 To enhance the adhesion between coating and the plastic substrate, a two-step procedure was
148 firstly adopted. In a first step, the BOPP was surface-activated using an Arcotec[®] “corona”
149 generator model CG 061 P (Mönsheim, Germany). A chemical primer (0.5 wt% aziridine
150 homopolymer water/ethanol solution) was deposited in a second step on the corona-treated BOPP
151 to promote the adhesion between substrate and coating.

152

153

Table 1. Formulations of the different coatings prepared in this work.

Coating code	Pullulan		Borax		MFC		Solids	Water
	g	%	g	%	g	%	%	%
P	0.2	2	/	/	/	/	2	98
P/B	0.2	2	0.04	0.4	/	/	2.4	97.6
P/B+	0.2	2	0.1	1	/	/	3	97
P/B/MFC	0.2	2	0.04	0.4	0.087	0.87	3.27	96.73
P/B+/MFC	0.2	2	0.1	1	0.087	0.87	3.87	96.13

154

155 The deposition of the primer was performed by means of an automatic film applicator (Ref. 1137,
156 Sheen Instruments, Kingston, UK) equipped with a steel horizontal rod with an engraved pattern,
157 enabling the deposition of a layer having a nominal thickness of 0.02 μm . To promote the
158 evaporation of the solvent, a constant and perpendicular flux of mild air (25.0 ± 0.3 °C for 2 min)
159 at a distance of 40 cm from the applicator was used. Afterward, a first deposition of the coating
160 solution/dispersion was done by means of an engraved rod enabling the deposition of a nominal
161 wet thickness of 24.0 μm . After solvent removal, a second layer of the same water
162 solution/dispersion was laid down. Coating deposition was performed according to ASTM D823-
163 07, Practice C, at a constant speed of 150 mm min⁻¹.

164 All films were stored in a desiccator at 23 °C under dry conditions (using calcium chloride)
165 for additional two weeks before analyses.

166

167

168

169

170 2.3 Analyses

171 2.3.1. *Overall morphology and thickness determination*

172 Field-emission scanning electron microscopy (FE-SEM) micrographs were obtained to
173 acquire more detailed information on the morphology and global organization of the coating
174 surface, e.g. to gather a qualitative evaluation of the increase in roughness of the pullulan coating
175 after the addition of MFC. Cross-sectional images allowed to evaluate the adhesion behavior of
176 the coating to the plastic substrate as well as to quantify the thickness of the final composite
177 coatings. Both cross-sections and surfaces of the samples were examined using a Hitachi S-4800
178 FE-SEM (Schaumburg, IL, USA). Surface test specimens were mounted with carbon tape on
179 stubs and cross-sectioned samples were cut into thin pieces with a scalpel and mounted in a 45 °
180 angle to the sample holders before insertion into the microscope. The samples were sputter-coated
181 with platinum-palladium to a thickness of approximately 10 nm (to avoid charging the samples)
182 using an Agar High Resolution Sputter Coater (model 208RH) equipped with a Pt/Pd target/Agar
183 thickness monitor controller. Examination was carried out at 1 kV and a current of 10 μA.

184 The thickness of the biocomposite coatings was also evaluated using an optical microscope
185 (Micro Nikon Eclipse ME600 Laboratory Imaging, Nikon Instruments, Sesto Fiorentino, Italy) at
186 100× magnification. Coated films after storage were fixed on a rectangular steel holder, and a
187 sharp razor blade was used to perform cross-sectional observation. The thickness of the layers was
188 obtained by a software-assisted procedure using the software NIS-Element (Nikon Instruments).

189

190 2.3.2. *Infrared spectroscopy*

191 Infrared spectra of the **BOPP coated films** were recorded using a PerkinElmer FT-IR Spectrum
192 100 Series spectrometer (PerkinElmer, Waltham, MA) equipped with a universal attenuated total

193 reflectance (UATR) accessory featuring a single-reflection sampling plate. To gather the spectral
194 information of the coatings, BOPP coated films were placed on the sampling plate with the
195 coating layer facing the 1.8 mm round germanium surface. The spectra were recorded over a
196 range of 650–4000 cm^{-1} with a resolution of 4 cm^{-1} , and averaged over 10 scans. Spectrum 6.0
197 software was used for data acquisition and analysis.

198

199 *2.3.3. Mechanical properties*

200 Mechanical tests were carried out using a Zwick Roell model Z005 dynamometer (Ulm,
201 Germany) and the software TestXpert V10.11 Master for data analysis. Elastic modulus (E_{mod},
202 MPa), elongation at break (ϵ , %) and tensile strength (TS, GPa) of films were measured according
203 to the ASTM D882-02 using a 5 kN load cell connected with two clamps placed at a distance of
204 125 mm from one another. The elastic modulus was drawn by a software-assisted procedure based
205 on a “secant” method; elongation at break was calculated dividing the extension-at-break of the
206 sample by the initial gauge length and multiplying by 100; TS was calculated using the equation
207 $TS = F/A$, where TS is the tensile strength in MPa, F is the force in N at maximum load, and A is
208 the initial cross-sectional area (mm^2) of the film sample.

209 Both static (μ_s) and kinetic (μ_k) coefficients of friction (COF) of films were determined in a
210 typical “coating vs. metal” configuration with the goal of simulating the friction between the
211 plastic web and the metallic parts of the equipment used during the manufacturing process. Tests
212 were carried out according to the ASTM D882-02, by means of a dynamometer (model Z005,
213 Zwick Roell, Ulm, Germany) fitted with a 100 N load cell. For each parameter, results are the
214 mean of at least ten replicates.

215

216 *2.3.4. Optical properties*

217 Haze was measured within the wavelength range 780–380 nm, in accordance with ASTM D
218 1003-00, using a UV-Vis high-performance spectrophotometer (Lambda 650, PerkinElmer,
219 Waltham, MA, USA) coupled with a 150 mm integrating sphere, which allows trapping of the
220 diffuse transmitted light. The final data are the average of three replicates.

221

222 *2.3.5. Oxygen and water vapor barrier properties*

223 The oxygen and water vapor barrier properties of both uncoated and coated PET films were
224 assessed on a 50 cm² surface sample using a MultiPerm permeability analyzer (ExtraSolution®
225 Srl, Capannori, Italy) equipped with an electrochemical sensor. Oxygen transmission rate (*O₂TR*,
226 mL m⁻² 24h⁻¹) data were determined at 23 °C according to the standard method ASTM F2622-08,
227 with a carrier flow (N₂) of 10 mL min⁻¹ and one atmosphere pressure difference on the two sides
228 of the specimen. Analyses were carried out with the coated side of each sample facing the upper
229 semi-chamber where the humid test gas (oxygen) was fluxed. Two different relative humidity
230 values were adopted, i.e., 0% and 70%.

231 The water vapor transmission rate (*WVTR*, g m⁻² 24h⁻¹) was measured according to the
232 standard method ASTM F1249-05, with a carrier flow (N₂) of 10 mL min⁻¹, at 23 °C and 65% RH
233 (temperate conditions) and 38°C and 90% RH (tropical conditions). For both *O₂TR* and *WVTR*
234 analyses, final values resulted from three replicate measurements.

235

236 *2.3.6. Contact angle analysis*

237 Contact angle measurements were performed using an optical contact angle apparatus (OCA 15
238 Plus, Data Physics Instruments GmbH, Filderstadt, Germany) equipped with a high-resolution

239 CCD camera and a high performance digitizing adapter. SCA20 (Data Physics Instruments
240 GmbH, Filderstadt, Germany) was used for contact angle measurements. Rectangular ($5 \times 2 \text{ cm}^2$)
241 specimens were fixed and kept flat throughout the analysis by means of a special sample holder
242 with parallel clamping jaws. The static contact angle of water in air (θ , °) was measured by the
243 sessile drop method, by gently dropping a droplet of $4.0 \pm 0.5 \text{ }\mu\text{L}$ of Milli-Q water ($18.3 \text{ M}\Omega \text{ cm}$)
244 onto the substrate, according to the so-called pick-up procedure (a droplet hanging down from the
245 needle is laid on a solid surface by raising the sample stage until solid/liquid contact is made) at
246 $23 \pm 1 \text{ }^\circ\text{C}$ and $50 \pm 2\%$ relative humidity (RH). The contact angle was recorded after 60 s from the
247 droplet deposition, in order to allow the thermodynamic equilibrium between the three phases
248 (solid, liquid, air) to be achieved (Farris et al., 2011). All droplets were released from a height of 1
249 cm above the surface to ensure consistency between each measurement. The static contact angle
250 was measured as the angle between the baseline of the drop and the tangent at the drop boundary.

251

252 2.4. Statistical analysis

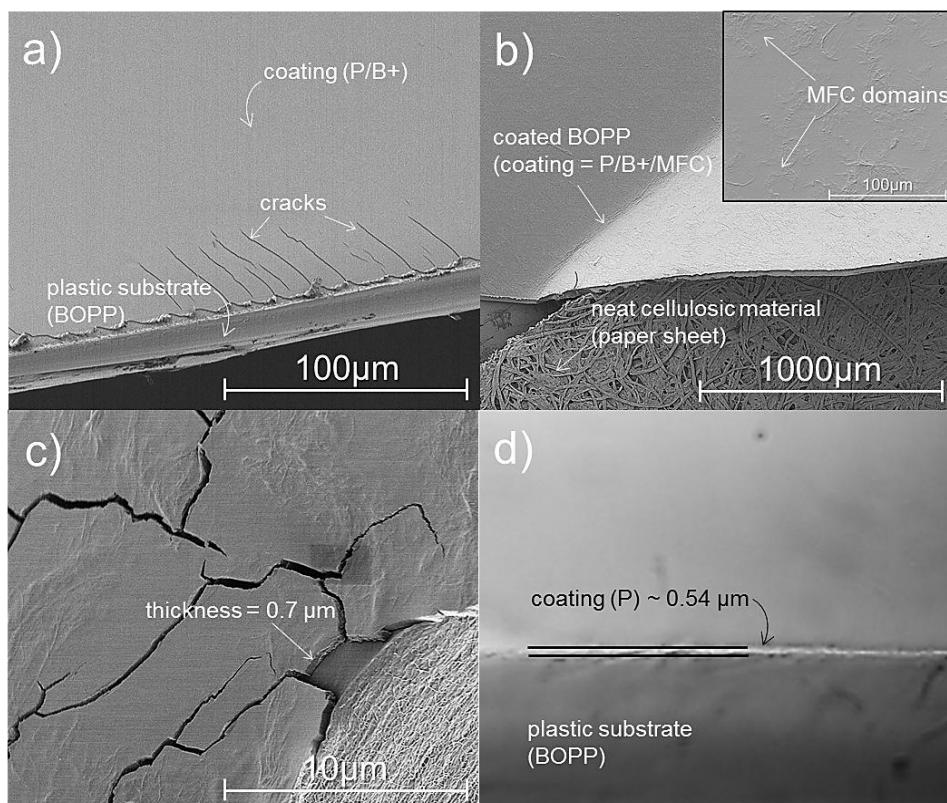
253 Statistical significance of differences between mean values was determined by one-way analysis
254 of variance (ANOVA) using Statgraphic Plus 4.0 software. The mean values, where appropriate,
255 were compared by Student's *t*-test with a significance level (p) < 0.05.

256

257 3. Results and discussion

258 3.1. Overall morphology and thickness of the composite coatings

259 FE-SEM images showed a very even and uniform deposition of the coating on the plastic
260 substrate, namely neither empty (uncoated) areas nor discontinuities were observed for all the
261 coating formulations (Figure 2a–c).



262
 263 **Figure 2. (a–c).** FE-SEM micrographs of the BOPP plastic substrate coated with the composite
 264 formulations P/B+ (a) and P/B+/MFC (b and c). In panel (b), a bare cellulosic material has been
 265 taken as a reference to highlight the smoother surface of the MFC-loaded coating. In panel (c), the
 266 large fractures have been generated by the high energy level involved in the electrons touching the
 267 coating surface. (d) Optical microscopy image (cross-section) of the BOPP substrate coated with
 268 pullulan.

269
 270
 271 Some cracks occurred only in proximity of the fractured edges of the coated film, due to extensive
 272 mechanical stress (tearing) during sample preparation (Figure 2a). The addition of MFC did not
 273 dramatically change the overall morphology of the coating surface, with fiber dimension well
 274 below the typical size of bare cellulosic materials (Figure 2b). However, at higher magnifications

275 it was possible to detect an increase in surface roughness (Figure 2b, inset). The slight increase in
276 surface roughness was spotty and associated with occasional presence of embedded MFC clusters
277 (resulting in a maximum ca. 50 nm thickness increase), whereas the addition of borax did not
278 change significantly the morphology of the coating surface, even at the highest concentration.
279 Cross-sectional images showed that no delamination occurred at the BOPP/coating interface after
280 coating deposition and solvent evaporation, unless excessive mechanical action was applied.

281 FE-SEM images (Figure 2c) and cross-sectional images obtained by the optical microscope
282 (Figure 2d) allowed the determination of the thickness of the composite coatings (Table 2). The
283 final thickness varied from 20.52 to 20.74 μm , which means that the coating thickness varied from
284 0.52 to 0.74 μm depending on the formulation. In addition, the optical microscopy images
285 confirmed the adhesion between coating and BOPP even after cutting the sample by a razor. This
286 support the important role of the primer in enhancing the adhesion strength at the coating/BOPP
287 interface.

288

289 3.2. Infrared spectroscopy

290 The full-range FTIR/UATR spectra of pristine pullulan, MFC, and borax phases, together with the
291 borax-richest composites P/B+ and P/B+/MFC, are shown in Figure S1 of Supporting
292 Information, whereas the relevant peaks for the three phases (i.e., P, B, and MFC) are summarized
293 in Table 3. Figure 3 displays two different spectral regions of interest. Within the range 3800–
294 2700 cm^{-1} (panel a), the typical band of O–H stretching is evident for both pullulan (3352 cm^{-1})
295 and MFC (3342 cm^{-1}). It is likely that the different shape of this band (broad and large for
296 pullulan, sharp for MFC) reflects structural differences between the two biopolymers—pullulan is
297 a fully amorphous biopolymer, whereas MFC has been reported to have a crystallinity between

298 **Table 2.** Thickness (l), Young's modulus (E_{mod}), elongation at break (ϵ), tensile strength (TS),
 299 and coefficient of friction (COF, static and dynamic) of bi-oriented polypropylene (BOPP) and
 300 BOPP coated with pullulan (P), pullan with borax (P/B), pullulan with high amount of borax
 301 (P/B+), pullulan with borax and MFC (P/B/MFC) and pullulan with high amount of borax and
 302 MFC (P/B+/MFC).

Sample	l	E_{mod}	TS	ϵ	COF	
	(μm)	(GPa)	(MPa)	(%)	μ_s	μ_k
BOPP	20.00 ^a ± 0.05	2.00 ^a ± 0.40	98.53 ^{ab} ± 32.08	58.18 ^a ± 30.11	0.44 ^a ± 0.03	0.33 ^a ± 0.03
P	20.52 ^a ± 0.04	2.01 ^a ± 0.60	75.32 ^a ± 31.68	38.65 ^a ± 29.80	0.47 ^{ab} ± 0.01	0.33 ^a ± 0.01
P/B	20.49 ^a ± 0.08	2.53 ^{ab} ± 0.81	89.98 ^{ab} ± 33.81	45.23 ^a ± 26.56	0.50 ^b ± 0.03	0.36 ^b ± 0.02
P/B+	20.58 ^a ± 0.06	2.79 ^b ± 0.48	82.36 ^{ab} ± 26.60	39.0 ^a ± 22.32	0.45 ^a ± 0.03	0.33 ^a ± 0.01
P/B/MFC	20.68 ^b ± 0.09	2.80 ^b ± 0.81	113.62 ^b ± 28.07	64.40 ^a ± 23.46	0.34 ^c ± 0.01	0.25 ^c ± 0.01
P/B+/MFC	20.74 ^b ± 0.06	3.08 ^b ± 0.30	101.48 ^{ab} ± 19.73	55.27 ^a ± 17.56	0.35 ^c ± 0.01	0.26 ^c ± 0.01

303 Results are expressed as mean values and standard deviation. Different superscripts within a
 304 group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

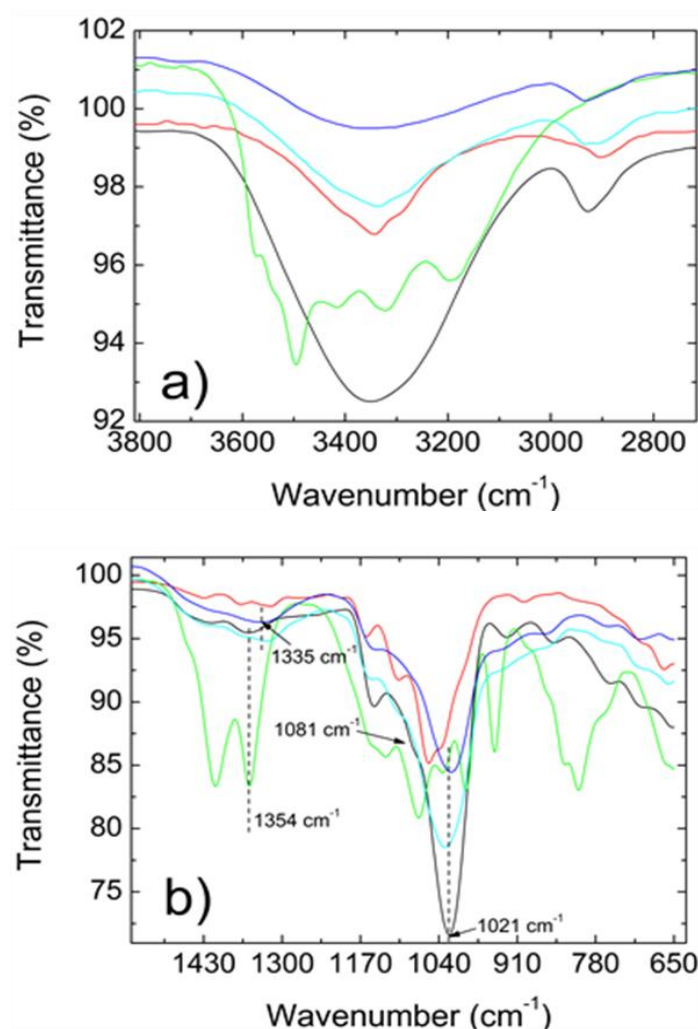
305
 306 ~ 50% and 80%, depending on several factors, such as the origin of the cellulosic material and the
 307 extraction method (Moon et al., 2011; Fei, Mascheroni & Piergiovanni, 2015). In addition, the
 308 MFC spectrum displays a less evident shoulder at 3285 cm^{-1} besides the main peak at 3342 cm^{-1} ,
 309 which is probably due to the existence of different types of hydrogen bonds within the crystal
 310 lattice of MFC (Nishiyama et al., 2008). The peak at ~ 2928 cm^{-1} is attributed to the C–H
 311 stretching of the CH₂ group.

312

313 **Table 3.** Peak assignment for pullulan, microfibrillated cellulose and borax.

Pullulan		MFC		Borax	
Band (cm ⁻¹)	Assignment	Band (cm ⁻¹)	Assignment	Band (cm ⁻¹)	Assignment
848	⁴ C ₁ chair conformation	1040–1060	C–O stretching	650	bending of B–O–B linkages within borate networks
929	α-(1→6)linkages	1110	C–O stretching	828	B–O stretching from residual B(OH) ₄ ⁻
1021	C ₄ –O stretching	1162	C–O–C stretching	1410	asymmetric stretching relaxation of B–O–C (trigonal complexes)
1081	C ₆ –OH stretching	1315	C–H ₂ wagging	1354	asymmetric stretching relaxation of B–O–C (tetrahedral complexes)
1154	C–O–C stretching	1372	C–H bending		
1354	C ₆ –OH bending	3340	O–H stretching		
1641	O–C–O stretching and glycosidic bridge				
2926.8	C–H stretching				
3346	O–H stretching vibration				

314 Adapted from Cozzolino *et al.*, 2015 and Spoljaric *et al.*, 2014.



315
 316 **Figure 2.** FTIR-UATR spectra of pullulan (P, black line), microfibrillated cellulose (MFC, red
 317 line), borax (B, green line) and composite formulations P/B+ (blue line) and P/B+/MFC (cyan
 318 line) within the spectral regions 3800–2700 cm⁻¹ (a) and 1550–650 cm⁻¹ (b). (Color figure
 319 online).

320
 321 No clear difference was observed between P and the **composites** P/B+ and P/B+/MFC in
 322 correspondence of both O–H and C–H peaks in terms of shifting, broadening, etc., while the
 323 different peak intensity is related to the relative amount of components used in the starting

324 formulation. The same observation was done for PVA/chitosan/borax films (Liang, Liu, Huang &
325 Yam, 2009) and PVA/NFC/borax films (Spoljaric, Salminen, Dang Luong, & Seppälä, 2014). The
326 authors concluded that hydrogen bonding was not the preferential way of interaction between the
327 two biopolymers and borax.

328 Some spectral differences can be viewed within the spectral region $1550\text{--}650\text{ cm}^{-1}$ (panel b).
329 If we consider the spectrum of pullulan (the main phase in all the composite coatings) as the
330 reference spectrum for comparison purposes, it can be seen that the addition of the inorganic
331 compound led to a clear shifting of the peak centered at 1354 cm^{-1} assigned to the $\text{C}_6\text{--OH}$ bending
332 (black line) to 1335 cm^{-1} (blue line). This suggests that the hydroxyl group on C_6 of the pullulan
333 backbone was involved in the interaction with borax, probably via hydrogen bonding with the
334 hydroxyl groups offered by boric acid. In addition, if we look at the shoulder positioned at ~ 1081
335 cm^{-1} of the pullulan spectrum (black line), which is assigned to the $\text{C}_6\text{--OH}$ stretching, it
336 completely disappeared in the P/B+ spectrum (blue line). This observation seems to disclose
337 another kind of interaction, most likely the so-called monodiol and didiol complexation between
338 vicinal diol units of pullulan chains and monoborate ions (Angelova et al., 2011), already
339 postulated for other --OH -rich polymers, such as PVOH and cellulose (Han, Lei & Wu, 2014;
340 Spoljaric, Salminen, Dang Luong & Seppälä, 2014).

341 We made another observation concerning the peak centered at 1021 cm^{-1} assigned to the $\text{C}_4\text{--}$
342 O stretching in the pullulan spectrum (black line), which slightly shifted towards lower
343 wavenumbers (blue line). It is plausible that this is due to conformational changes on the pullulan
344 backbone in correspondence of the $\alpha\text{--}(1\rightarrow4)$ linkage arising from the interaction between pullulan
345 and borax. Keeping the peak at 1021 cm^{-1} as a reference, we noticed that it shifted towards higher
346 wavenumbers in the composite coatings P/B+/MFC (cyan line), suggesting that conformational

347 changes occurred on the pullulan backbone because of the interaction with MFC, presumably
348 governed by hydrogen bonding and van der Waals forces, possibly with the presence of water
349 molecules (Han, Lei & Wu, 2014). No clear evidence of the main characteristic peaks of borax
350 can be drawn from the spectral patterns obtained, mainly due to some overlapping bands,
351 especially within the $\sim 1500\text{--}1000\text{ cm}^{-1}$ range.

352 It can be concluded that the final conformation of the **biocomposite** coating network ensues
353 from a balance between physical interactions (e.g., hydrogen bonding and van der Waals forces)
354 and probably the formation of stable complexes according with the monodiol and didiol
355 complexation schemes.

356

357 3.3. Mechanical properties

358 The results arising from the tensile test are summarized in Table 2. The deposition of pullulan did not
359 influence the elastic modulus of the neat BOPP nor both tensile strength and elongation, which can
360 be ascribed to the lack of crystallinity and high flexibility of the pullulan structure. The addition of
361 borax led to an increase in the elastic modulus only at the highest concentration (from ~ 2 GPa of
362 BOPP to ~ 2.79 GPa of the P/B+ coated BOPP films), i.e., when the formation of the “pullulan-
363 borax” complexes (monodiol and didiol complexes) significantly affected the segmental mobility of
364 the pullulan chains. No significant changes in terms of tensile strength and elongation were detected
365 after the addition of borax. The highest elastic modulus of the **biocomposite**-coated films was
366 recorded for the formulation P/B+/MFC (~ 3.08 GPa). This increase in stiffness—besides synergistic
367 effects between MFC and borax— reflects the fibrillar nature of MFC, which has been widely used
368 because of its reinforcing properties to obtain **biocomposite** materials with high specific strength and
369 stiffness (Iwamoto, Yamamoto, Lee & Endo, 2014). Moreover, the significant increase in the elastic

370 modulus is clear evidence of the excellent interfacial adhesive interaction between the plastic
371 substrate (BOPP) and the **biocomposite** coating, together with the ability of MFC fibrils to form a
372 rigid network (Lavoine, Desloges, Dufresne & Bras, 2012), while preserving both tensile strength
373 and plastic deformation of the original BOPP films.

374 As far as the friction properties are concerned, the most evident effect is due to the addition of
375 MFC, which yielded a decrease of both static and kinetic coefficients of friction of approximately
376 22% and 25%, respectively, as compared to the neat BOPP. This effect is due to the increase in
377 surface roughness due to the addition of MFC. As we demonstrated in our previous work, the
378 inherent fibrillated nature of MFC yielded a crinkly surface morphology after deposition
379 (Cozzolino, Cerri, Brundu & Farris, 2014), with final surface roughness (root-mean-square
380 roughness, RMS) values in the order of 800 nm, which is much higher than that of bare BOPP
381 (RMS ~ 10 nm) (Lin, Dias, Chum, Hiltner & Baer, 2007). The presence of a “peak-valley”
382 morphology reduced the effective contact area between coating and metal, thus reducing the
383 friction at the interface.

384

385 3.4. Optical properties

386 Quantification of haze, defined as the percentage of transmitted light deviating by more than an
387 angle of 2.5° from the direction of the incident beam, is important, especially from a commercial
388 point of view, as it is responsible for the reduction in the contrast between objects viewed through
389 the specimen. In some cases (e.g., food packaging), when visual inspection through the material
390 must be preserved, it is of the utmost importance to minimize the haze value of the materials to
391 allow an adequate display of the product across the package (Cozzolino, Cerri, Brundu & Farris,
392 2014).

393 **Table 4.** Haze (%) and contact angle (θ , °) of bi-oriented polypropylene (BOPP) and BOPP
 394 coated with pullulan (P), pullan with borax (P/B), pullulan with high amount of borax (P/B+),
 395 pullulan with borax and MFC (P/B/MFC) and pullulan with high amount of borax and MFC
 396 (P/B+/MFC).

Sample	Haze (%)	θ (°)
BOPP	0.53 ^a ± 0.03	78.49 ^a ± 5.75
P	0.61 ^a ± 0.08	23.08 ^b ± 1.40
P/B	0.50 ^a ± 0.02	21.27 ^b ± 0.94
P/B+	0.55 ^a ± 0.04	17.54 ^c ± 1.46
P/B/MFC	10.02 ^b ± 4.02	18.04 ^c ± 1.77
P/B+/MFC	9.48 ^b ± 0.52	17.73 ^c ± 1.27

397 Results are expressed as mean values and standard deviation. Different superscripts within a
 398 group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

399

400 The experimental haze values (%) are reported in [Table 4](#). The deposition of pullulan and
 401 pullulan-borax coatings did not significantly affect the original optical property of the neat BOPP.
 402 This indicates an even dispersion of the inorganic phase into the pullulan main matrix, with no
 403 formation of scattering centers. Conversely, the addition of MFC to the pullulan-borax
 404 formulation caused a dramatic increase in haze, from ~ 0.55 % to ~ 10%. Also in this case, the
 405 higher surface roughness of the MFC-based coatings is the reason for this behavior, because the
 406 wrinkled/jagged surface morphology enhances the diffusion of the incident light ([Tilley, 2011](#)).
 407 **However, the formation of MFC aggregates on the films surface might have contributed to the**
 408 **increase in haze values.**

409 **Table 5.** O_2TR and $WVTR$ values of bi-oriented polypropylene (BOPP) and BOPP coated with
 410 pullulan (P), pullan with borax (P/B), pullulan with high amount of borax (P/B+), pullulan with
 411 borax and MFC (P/B/MFC) and pullulan with high amount of borax and MFC (P/B+/MFC).

Sample	O_2TR (mL m ⁻² 24h ⁻¹)		$WVTR$ (g m ⁻² 24h ⁻¹)	
	23 °C 0% RH	23 °C 80% RH	23 °C 65% RH	38 °C 90% RH
BOPP	866.61 ^a ± 2.18	886.77 ^a ± 1.50	1.13 ^a ± 0.06	6.73 ^a ± 0.03
P	4.96 ^b ± 0.05	319.88 ^b ± 0.74	1.13 ^a ± 0.06	6.60 ^b ± 0.02
P/B	1.03 ^c ± 0.06	290.30 ^c ± 1.08	1.11 ^a ± 0.09	6.61 ^b ± 0.11
P/B+	5.43 ^b ± 0.07	316.82 ^d ± 0.34	1.17 ^a ± 0.04	6.94 ^c ± 0.09
P/B/MFC	2.97 ^d ± 0.06	191.46 ^e ± 0.47	1.16 ^a ± 0.05	6.63 ^{ab} ± 0.04
P/B+/MFC	5.17 ^b ± 0.07	206.70 ^f ± 0.30	1.14 ^a ± 0.05	6.61 ^b ± 0.02

412 Results are expressed as mean values and standard deviation. Different superscripts within a
 413 group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

414

415 3.5. Oxygen and water vapor barrier properties

416 The barrier properties against oxygen and water vapor of neat BOPP, pullulan-coated BOPP and
 417 BOPP coated with the **biocomposite** films are reported in [Table 5](#). The deposition of the coatings
 418 dramatically decreased the original O_2TR value of the neat BOPP under dry conditions, which can
 419 be explained by the tight network formed by the pullulan and pullulan-based layers due to the
 420 extensive hydrogen bonding. The best performance was observed for the P/B formulation,
 421 whereas the highest amount of borax (P/B+ formulation) did not bring any benefit compared to
 422 the pullulan-coated BOPP films. It is likely that borax at low concentration acted as a true filler,
 423 reducing the free volume of the main biopolymer phase via hydrogen bonding. At a higher
 424 concentration, it is plausible that borax exceeded the inherent intermolecular porosity of the

425 pullulan network. As observed for other **composite** systems, this could have originated an
426 aggregation phenomena and formation of macro-domains segregated from the main polymer
427 phase, which causes depletion in the barrier performance following an increase in the free volume
428 of the polymer matrix (Wilson et al., 2011). In the formulation P/B/MFC, the addition of the
429 cellulosic material decreased significantly the O_2 barrier performance of the P/B composite,
430 whereas it did not affected the O_2TR value of the P/B+ formulation. In principle, the addition of
431 MFC would seem to increase the oxygen barrier performance due to both newly formed hydrogen
432 bonds and high crystallinity. However, other parameters, such as physical entanglement and
433 nanoporosity of the web-like MFC network, may considerably affect the final barrier performance
434 of the composite (Lavoine, Desloges, Dufresne & Bras, 2012).

435 At 80% RH, the O_2TR values of BOPP-coated films increased dramatically, though still well
436 below the O_2TR value of the neat BOPP films. Such a decrease in the O_2TR values at high RHs
437 can be attributed to the sensitivity of the **biocomposite** coatings to water moisture. At 80% RH,
438 water molecules limit the hydrogen bonds, creating films that are less packed (Aulin, Gällstedt &
439 Lindström, 2010) due to swelling of the hydrophilic network (Wernersson Brodin, Gregersen &
440 Syverud, 2014).

441 As far as the $WVTR$ results are concerned, it can be clearly seen that the addition of the
442 coatings on the BOPP substrate did not bring any sort of advantage, regardless of the formulation
443 used and irrespective of the conditions of analysis adopted (temperate or tropical). The high
444 affinity towards water molecules remains the main reason for the observed behavior, especially at
445 high temperatures.

446

447

448 3.6. Contact angle analysis

449 Water contact angle data are displayed in [Table 4](#). The deposition of the pullulan coating
450 decreased the high water contact angle of neat BOPP ($\theta \sim 80^\circ$), which is well known for its non-
451 wetting behavior due low surface energy values of $\sim 28 \text{ mJ m}^{-2}$ ([Farris et al., 2014b](#)). Pullulan
452 coatings showed the lowest water contact angle among several biopolymer coatings ($\sim 23^\circ$ after
453 60 s upon droplet deposition) ([Farris et al., 2011](#)). This behavior accounts for some
454 physicochemical features of this exopolysaccharide, in particular, high hydroxyl groups content
455 along the molecular skeleton (nine $-\text{OH}$ groups per repeating unit—maltotriose) and a lack of
456 crystal domains, which concurrently made the interaction between the biopolymer and the water
457 molecules more favorable ([Farris et al., 2014a](#)).

458 The addition of borax at a low concentration did not significantly change the wettability of
459 the pullulan-coated BOPP surface. In line with previous comments, it is plausible that borax (e.g.,
460 boric acid) first filled the intermolecular voids of the main pullulan network interacting by
461 hydrogen bonds. Therefore, the hydroxyl groups of the filler cannot freely interact with the water
462 molecules on the coating surface. Instead, the addition of borax at a high amount as well as of
463 MFC had a significant impact on the wettability of the **composite** coatings. Excess of free
464 hydroxyl and polar groups at the solid/air interface increased the affinity towards water molecules,
465 thus the ability of water to wet the coating surface. In particular, it was observed that the highest
466 amount of borax and the addition of MFC yielded an increase in the spreading component of the
467 overall water contact angle at the equilibrium (i.e., after 60 s).

468

469

470

471 **4. Conclusions**

472 The addition of MFC and borax has successfully improved both mechanical and surface properties
473 of pullulan coatings laid on the plastic substrate BOPP. In particular, the stiffness of the coated
474 films was significantly improved without impairing the elongation at break. Moreover, the friction
475 properties were not affected and yet improved upon the addition of MFC, which can be profitably
476 exploited to speed up the manufacturing operation in the industrial plants. While borax did not
477 affect the haze of the coated films, the use of MFC worsened the ability to see through the coated
478 films due to the fibrillar nature of the cellulosic **component and also slightly increased the coating**
479 **surface roughness**. The deposition of the **biocomposite** coatings allowed to achieve outstanding
480 oxygen barrier performance, especially under dry conditions, compared to the poor performance
481 of the plastic substrate. This configuration may find application in oxygen-sensitive dry foods
482 (e.g., fat-containing biscuits), refrigerated products (e.g., ham), and possibly MAP-packaged
483 vegetables requiring a moderate oxygen barrier performance to allow gas exchanges between the
484 interior of the package and the external environment. Finally, **composite** coatings dramatically
485 improved the wettability of the plastic substrate, allowing to switch from a hydrophobic “water-
486 repellent” surface to a hydrophilic “wetable” surface. This may have a great impact on food
487 packaging applications requiring anti-fog attributes, e.g., BOPP films for minimally processed
488 fruits and vegetables.

489

490

491

492

493

494 **References**

- 495 Angelova, L. V., Terech, P., Natali, I., Dei, L., Carretti, E., & Weiss, R. G. (2011). Cosolvent
496 gel-like materials from partially hydrolyzed poly(vinyl acetate)s and borax. *Langmuir*,
497 *27(18)*, 11671–11682.
- 498 Aulin, C., Gällstedt, M., & Lindström, T. (2010). Oxygen and oil barrier properties of
499 microfibrillated cellulose films and coatings. *Cellulose*, *17(3)*, 559–574.
- 500 Azeredo, H. M., Mattoso, L. H., Wood, D., Williams, T. G., Avena-Bustillos, R. J., & McHugh,
501 T. H. (2009). Nanocomposite edible films from mango puree reinforced with cellulose
502 nanofibers. *Journal of Food Science*, *74*, 31–35.
- 503 Azeredo, H. M., Mattoso, L. H., Avena-Bustillos, R. J., Filho, G. C., Munford, M. L., Wood, D.,
504 & McHugh, T. H. (2010). Nanocellulose reinforced chitosan composite films as affected
505 by nanofiller loading and plasticizer content. *Journal of Food Science*, *75*, 1–7.
- 506 Barlow, C. Y., & Morgan, D. C. (2013). Polymer film packaging for food: an environmental
507 assessment. *Resources, Conservation and Recycling* *78*, 74–80.
- 508 Berglund, L. (2006). New concepts in natural fibres composites. 27th Risø international
509 symposium on material science. Risø National Laboratory, Roskilde, Denmark.
- 510 Cabiaca, A., Guillon, E., Chambon, F., Pinel, C., Rataboul, F., & Essayem, N. (2011). Cellulose
511 reactivity and glycosidic bond cleavage in aqueous phase by catalytic and non catalytic
512 transformations. *Applied Catalysis A-General*, *402*, 1–10.

513 Chinga-Carrasco, G., Miettinen, A., Luengo Hendriks, C. L., Gamstedt, E. K., & Kataja, M.
514 (2011). Structural Characterisation of Kraft Pulp Fibres and Their Nanofibrillated
515 Materials for Biodegradable Composite Applications. In: Nanocomposites and Polymers
516 with Analytical Methods - Book 3, ISBN 979-953-307-136-6 (pp. 243–260).

517 Cozzolino, C. A., Nilsson, F., Iotti, M., Sacchi, B., Piga, A., & Farris, S. (2013). Exploiting the
518 nano-sized features of microfibrillated cellulose (MFC) for the development of controlled
519 release packaging. *Colloids and Surfaces B-Biointerfaces*, 110, 208–216.

520 Cozzolino, C. A., Cerri, G., Brundu, A., & Farris, S. (2014). Microfibrillated cellulose (MFC)–
521 pullulan bionanocomposite films. *Cellulose*, 21(6), 4323–4335.

522 EFSA ANS – Food additives & nutrient sources added to food, ANS Panel (2013). Scientific
523 Opinion on the re-evaluation of boric acid (E 284) and sodium tetraborate (borax) (E 285)
524 as food additives. *EFSA Journal*, 11(10), 3407 (52 pp.) doi:10.2903/j.efsa.2013.3407

525 Farris, S., Introzzi, L., & Piergiovanni, L. (2009). Evaluation of a Bio-coating as a Solution to
526 Improve Barrier, Friction and Optical Properties of Plastic Films. *Packaging Technology
527 And Science*, 22, 69–83.

528 Farris, S., Introzzi, L., Biagioni, P., Holz, T., Schiraldi, A., & Piergiovanni, L. (2011). Wetting of
529 biopolymer coatings: contact angle kinetics and image analysis investigation. *Langmuir*,
530 27(12), 7563–7574.

531 Farris, S., Introzzi, L., Fuentes-Alventosa, J. M., Santo, N., Rocca, R., & Piergiovanni, L. (2012).
532 Self-assembled pullulan-silica oxygen barrier hybrid coatings for food packaging
533 applications. *Journal of Agricultural and Food Chemistry*, *60*(3), 782–790.

534 Farris, S., Uysal Unalan, I., Introzzi, L., Fuentes-Alventosa, J. M., & Cozzolino, C. A. (2014a).
535 Pullulan-Based Films and Coatings for Food Packaging: Present Applications, Emerging
536 Opportunities, and Future Challenges. *Journal of Applied Polymer Science*, *131*(13), DOI:
537 10.1002/APP.40539.

538 Farris, S., Pozzoli, S., La Vecchia, S., Biagioni, P., Bianchi, C. L., & Piergiovanni, L. (2014b).
539 Mapping physicochemical surface modifications of flame-treated polypropylene.
540 *eXPRESS Polymer Letters*, *8*(4), 256–266.

541 Faruk, O., Bledzki, A. K., Fink, H. P., & Sain, M. (2012). Biocomposites reinforced with natural
542 fibers: 2000–2010. *Progress in Polymer Science*, *37*, 1552–1596.

543 Fei, L., Mascheroni, E., & Piergiovanni, L. (2015). The potential of nanocellulose in the
544 packaging field: A review. *Packaging Technology and Science*, *28*, 475–508.

545 Han, J., Lei, T., & Wu, Q. (2014). High-water-content mouldable polyvinyl alcohol-borax
546 hydrogels reinforced by well-dispersed cellulose nanoparticles: Dynamic rheological
547 properties and hydrogel formation mechanism. *Carbohydrate Polymers*, *102*, 306–316.

548 Hansen, N. M. L., Blomfeldt, T. O. J., Hedenqvist, M. S., & Plackett, D. V. (2012). Properties of
549 plasticized composite films prepared from nanofibrillated cellulose and birch wood xylan.
550 *Cellulose*, *19*, 2015–2031.

551 Herrick, F. W., Casebier, R. L., Hamilton, J. K., & Sandberg, K. R. (1983). Microfibrillated
552 Cellulose: Morphology and accessibility. *Journal of Applied Polymer Science: Appl.*
553 *Polym. Symp.*, 37, 797–813.

554 Huang, Y., Zhu, C., Yang, J., Nie, Y., Chen, C., & Sun, D. (2014). Recent advances in bacterial
555 cellulose. *Cellulose*, 21, 1–30.

556 Hult, E. L., Iotti, M., & Lenes, M. (2010). Efficient approach to high barrier packaging using
557 microfibrillar cellulose and shellac. *Cellulose*, 17, 575–586.

558 Introzzi, L., Fuentes-Alventosa, J. M., Cozzolino, C. A., Trabattoni, S., Tavazzi, S., Bianchi, C.
559 L., Schiraldi, A., Piergiovanni, L., & Farris, S. (2012a). ‘Wetting enhancer’ pullulan
560 coating for anti-fog packaging applications. *ACS Applied Materials & Interfaces*, 4,
561 3692–3700.

562 Introzzi, L., Blomfeldt, T. O. J., Trabattoni, S., Tavazzi, S., Santo, N., Schiraldi, A., Piergiovanni,
563 L., & Farris, S. (2012b). Ultrasound-assisted pullulan/montmorillonite bionanocomposite
564 coating with high oxygen barrier properties. *Langmuir*, 28, 11206–1121.

565 Iotti, M., Gregersen, Ø. W., Moe, S., & Lenes, M. (2011). Rheological Studies of Microfibrillar
566 Cellulose Water Dispersions. *Journal of Polymers and the Environment*, 19, 137–145.

567 Iwamoto, S., Yamamoto, S., Lee, S. H., & Endo, T. (2014). Mechanical properties of
568 polypropylene composites reinforced by surface-coated microfibrillated cellulose.
569 *Composites: Part A*, 59, 26–29.

- 570 Jonoobi, M., Harun, J., Mathew, A. P., & Oksman, K. (2010). Mechanical properties of cellulose
571 nanofiber (CNF) reinforced polylactic acid (PLA) prepared by twin screw extrusion.
572 *Composites Science and Technology*, 70, 1742–1747.
- 573 Kaushik, A., Singh, M., & Verma, G. (2010). Green nanocomposites based on thermoplastic
574 starch and steam exploded cellulose nanofibrils from wheat straw, *Carbohydrate*
575 *Polymers*, 82, 337–345.
- 576 Klemm, D., Kramer, F., Moritz, S., Lindström, T., Ankerfors, M., Gray, D., & Dorris, A. (2011).
577 Nanocelluloses: A New Family of Nature-Based Materials. *Angewandte Chemie-*
578 *International Edition*, 50, 5438–5466.
- 579 Kristo, E., & Biliaderis, C. G. (2007). Physical properties of starch nanocrystal-reinforced
580 pullulan films. *Carbohydrate Polymers*, 68, 146–158.
- 581 Lavoine, N., Desloges, I., Dufresne, A. & Bras, J. (2012). Microfibrillated cellulose - Its barrier
582 properties and applications in cellulosic materials: A review. *Carbohydrate Polymers*,
583 90(2), 735–764.
- 584 Lavoine, N., Tabary, N., Desloges, I., Martel, B., & Bras, J. (2014a). Controlled release of
585 chlorhexidine digluconate using β -cyclodextrin and microfibrillated cellulose. *Colloids*
586 *and Surfaces B: Biointerfaces* 121, 196–205.
- 587 Lavoine, N., Desloges, I., Sillard, C., & Bras, J. (2014b). Controlled release and long-term
588 antibacterial activity of chlorhexidine digluconate through the nanoporous network of
589 microfibrillated cellulose. *Cellulose*, 21, 4429–4442.

- 590 Lavoine, N., Desloges, I., & Bras, J. (2014c). Microfibrillated cellulose coatings as new release
591 systems for active packaging. *Carbohydrate Polymers*, *103*, 528–537.
- 592 Lavoine, N., Desloges, I., Manship, B., & Bras, J. (2015). Antibacterial paperboard packaging
593 using microfibrillated cellulose. *Journal of Food Science and Technology*, *52*, 5590–5600.
- 594 Leathers, T. D. (2003). Biotechnological production and applications of pullulan. *Applied*
595 *Microbiology And Biotechnology*, *62*, 468–473.
- 596 Liang, S., Liu, L., Huang, Q., & Yam, K. L. (2009). Preparation of single or double-network
597 chitosan/poly (vinyl alcohol) gel films through selectively cross-linking method.
598 *Carbohydrate Polymers*, *77*(4), 718–24.
- 599 Lin, Y. J., Dias, P., Chum, S., Hiltner, A., & Baer, E. (2007). Surface roughness and light
600 transmission of biaxially oriented polypropylene films. *Polymer Engineering and Science*,
601 *47*(10), 1658–1665.
- 602 Minelli, M., Baschetti, M. G., Doghieri, F., Ankerfors, M., Lindström, T., Siró, I., & Plackett, D.
603 (2010). Investigation of mass transport properties of microfibrillated cellulose (MFC)
604 films. *Journal of Membrane Science*, *358*, 67–75.
- 605 Moon, R. J., Martini, A., Nairn, J., Simonsen, J., & Youngblood, J. (2011). Cellulose
606 nanomaterials review: structure, properties and nanocomposites. *Chemical Society*
607 *Reviews*, *40*, 3941–3994.

608 Nishiyama, Y., Johnson, G. P., French, A. D., Forsyth, V. T., & Langan, P. (2008). 'Neutron
609 crystallography, molecular dynamics, and quantum mechanics studies of the nature of
610 hydrogen bonding in cellulose I- β '. *Biomacromolecules*, 9, 3133–3140.

611 Peng, X. W., Ren, J. L., Zhong, L. X., & Sun, R. C. (2011). Nanocomposite films based on xylan-
612 rich hemicelluloses and cellulose nanofibers with enhanced mechanical properties.
613 *Biomacromolecules*, 12, 3321–3329.

614 Rhim, J. W., Park, H. M., & Ha, C. S. (2013). Bio-nanocomposites for food packaging
615 applications. *Progress in Polymer Science*, 38, 1629–1652.

616 Saini, S., Belgacem, N., Mendes, J., Elegir, G., & Bras, J. (2015). Contact antimicrobial surface
617 obtained by chemical grafting of microfibrillated cellulose in aqueous solution limiting
618 antibiotic release. *Applied Materials & Interfaces*, 7, 18076–18085.

619 Spoljaric, S., Salminen, A., Dang Luong, N., & Seppälä, J. (2014). Stable, self-healing hydrogels
620 from nanofibrillated cellulose, poly(vinyl alcohol) and borax via reversible crosslinking.
621 *European Polymer Journal*, 56, 105–117.

622 Syverud, K., & Stenius, P. (2009). Strength and barrier properties of MFC films. *Cellulose*, 16,
623 75–85.

624 Tilley, R. J. D. (2011). *Colour and The Optical Properties of Materials*, 2 edition. Wiley,
625 Hoboken, p. 42.

- 626 Tingaut, P., Zimmermann, T., & Lopez-Suevos, F. (2009). Synthesis and Characterization of
627 Bionanocomposites with Tunable Properties from Poly(lactic acid) and Acetylated
628 Microfibrillated Cellulose. *Biomacromolecules*, *11*, 454–464.
- 629 Trovatti, E., Fernandes, S. C. M., Rubatat, L., da Silva Perez, D., Freire, C. S. R., Silvestre, A. J.
630 D., & Neto, C. P. (2012). Pullulan–nanofibrillated cellulose composite films with
631 improved thermal and mechanical properties. *Composites Science and Technology*, *72*,
632 1556–1561.
- 633 Turbak, A. F., Snyder, F. W., & Sandberg, K. R. (1983). Microfibrillated cellulose, a new
634 cellulose product: properties, uses, and commercial potential. *Journal of Applied Polymer
635 Science: Appl. Polym. Symp.* *37*, 815–827.
- 636 Unalan, I. U., Wan, C., Figiel, L. F., Olsson, R. T., Trabatttoni, S., & Farris, S. (2015). Exceptional
637 oxygen barrier performance of pullulan nanocomposites with ultra-low loading of
638 graphene oxide. *Nanotechnology*, *26* (27), 275703.
- 639 Wernersson Brodin, F., Gregersen, Ø. W., & Syverud, K. (2014). Cellulose nanofibrils:
640 Challenges and possibilities as a paper additive or coating material – A review. *Nordic
641 Pulp & Paper Research Journal*, *29*(1), 156–166.
- 642 Wilson, R., Plivelic, T. S., Aprem, A. S., Ranganathaiah, C., Kumar S. A., & Thomas, S. (2011).
643 Preparation and Characterization of EVA/Clay Nanocomposites with Improved Barrier
644 Performance. *Journal of Applied Polymer Science*, *123*, 3806–3818.

645 Wu, J., Zhong, F., Li, Y., Shoemaker, C. F., & Xia, W. (2013). Preparation and characterization of
646 pullulan-chitosan and pullulan-carboxymethyl chitosan blended films. *Food*
647 *Hydrocolloids*, 30, 82–91.

648 Xiao, Q., Lim, L. T., & Tong, Q. (2012). Properties of pullulan-based blend films as affected by
649 alginate content and relative humidity. *Carbohydrate Polymers*, 87, 227–234.

650 Xiao, Q., Tong, Q., Zhou, Y., & Deng, F. (2015). Rheological properties of pullulan-sodium
651 alginate based solutions during film formation. *Carbohydrate Polymers*, 130, 49–56.

652 Yanjuan, W., Yanxiong, F., Wei, T., & Chunying, L. (2013). Preservation of aged paper using
653 borax in alcohols and the supercritical carbon dioxide system. *Journal of Cultural*
654 *Heritage*, 14, 16–22.

655 Yuen, S. (1974). Pullulan and its applications. *Process Biochemistry*, 9, 7–9.

656 Yunus Gumus, O., Unal, H. I., Erol, O., & Sari, B. (2011). Synthesis, characterization, and
657 colloidal properties of polythiophene/borax conducting composite. *Polymer Composites*,
658 32(3), 418–426.

659

660

661

662

663 Figure captions

664 **Figure 1.** a) pullulan molecule as a sequence of repeating units of maltotriose (adapted from
665 Farris et al., 2014); b) sodium tetraborate decahydrate; c) structure of cellulose (four molecular
666 chains to form a strand) with intra- and inter-molecular hydrogen bonds (dashed lines) in
667 evidence.

668 **Figure 2. (a–c).** FE-SEM micrographs of the BOPP plastic substrate coated with the
669 composite formulations P/B+ (a) and P/B+/MFC (b and c). In panel (b), a bare cellulosic
670 material has been taken as a reference to highlight the smoother surface of the MFC-loaded
671 coating. In panel (c), the large fractures have been generated by the high energy level involved
672 in the electrons touching the coating surface. (d) Optical microscopy image (cross-section) of
673 the BOPP substrate coated with pullulan.

674 **Figure 3.** FTIR-UATR spectra of pullulan (P, black line), microfibrillated cellulose (MFC, red
675 line), borax (B, green line) and nanocomposite formulations P/B+ (blue line) and P/B+/MFC
676 (cyan line) within the spectral regions $3800\text{--}2700\text{ cm}^{-1}$ (a) and $1550\text{--}650\text{ cm}^{-1}$ (b). (Color
677 figure online).

Table 1. Formulations of the different coatings prepared in this work.

Coating code	Pullulan		Borax		MFC		Solids	Water
	g	%	g	%	g	%	%	%
P	0.2	2	/	/	/	/	2	98
P/B	0.2	2	0.04	0.4	/	/	2.4	97.6
P/B+	0.2	2	0.1	1	/	/	3	97
P/B/MFC	0.2	2	0.04	0.4	0.087	0.87	3.27	96.73
P/B+/MFC	0.2	2	0.1	1	0.087	0.87	3.87	96.13

Table 2. Thickness (l), Young's modulus (Emod), elongation at break (ε), tensile strength (TS), and coefficient of friction (COF, static and dynamic) of bi-oriented polypropylene (BOPP) and BOPP coated with pullulan (P), pullan with borax (P/B), pullulan with high amount of borax (P/B+), pullulan with borax and MFC (P/B/MFC) and pullulan with high amount of borax and MFC (P/B+/MFC).

Sample	l	Emod	TS	ε	COF	
	(μm)	(GPa)	(MPa)	(%)	μ_s	μ_k
BOPP	$20.00^a \pm 0.05$	$2.00^a \pm 0.40$	$98.53^{ab} \pm 32.08$	$58.18^a \pm 30.11$	$0.44^a \pm 0.03$	$0.33^a \pm 0.03$
P	$20.52^a \pm 0.04$	$2.01^a \pm 0.60$	$75.32^a \pm 31.68$	$38.65^a \pm 29.80$	$0.47^{ab} \pm 0.01$	$0.33^a \pm 0.01$
P/B	$20.49^a \pm 0.08$	$2.53^{ab} \pm 0.81$	$89.98^{ab} \pm 33.81$	$45.23^a \pm 26.56$	$0.50^b \pm 0.03$	$0.36^b \pm 0.02$
P/B+	$20.58^a \pm 0.06$	$2.79^b \pm 0.48$	$82.36^{ab} \pm 26.60$	$39.0^a \pm 22.32$	$0.45^a \pm 0.03$	$0.33^a \pm 0.01$
P/B/MFC	$20.68^b \pm 0.09$	$2.80^b \pm 0.81$	$113.62^b \pm 28.07$	$64.40^a \pm 23.46$	$0.34^c \pm 0.01$	$0.25^c \pm 0.01$
P/B+/MFC	$20.74^b \pm 0.06$	$3.08^b \pm 0.30$	$101.48^{ab} \pm 19.73$	$55.27^a \pm 17.56$	$0.35^c \pm 0.01$	$0.26^c \pm 0.01$

Results are expressed as mean values and standard deviation. Different superscripts within a group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

Table 3. Peak assignment for pullulan, microfibrillated cellulose and borax.

Pullulan		MFC		Borax	
Band (cm ⁻¹)	Assignment	Band (cm ⁻¹)	Assignment	Band (cm ⁻¹)	Assignment
848	⁴ C ₁ chair conformation	1040–1060	C–O stretching	650	bending of B–O–B linkages within borate networks
929	α-(1→6)linkages	1110	C–O stretching	828	B–O stretching from residual B(OH) ₄ ⁻
1021	C ₄ –O stretching	1162	C–O–C stretching	1410	asymmetric stretching relaxation of B–O–C (trigonal complexes)
1081	C ₆ –OH stretching	1315	C–H ₂ wagging	1354	asymmetric stretching relaxation of B–O–C (tetrahedral complexes)
1154	C–O–C stretching	1372	C–H bending		
1354	C ₆ –OH bending	3340	O–H stretching		
1641	O–C–O stretching and glycosidic bridge				
2926.8	C–H stretching				
3346	O–H stretching vibration				

Adapted from Cozzolino *et al.*, 2015 and Spoljaric *et al.*, 2014.

Table 4. Haze (%) and contact angle (θ , °) of bi-oriented polypropylene (BOPP) and BOPP coated with pullulan (P), pullan with borax (P/B), pullulan with high amount of borax (P/B+), pullulan with borax and MFC (P/B/MFC) and pullulan with high amount of borax and MFC (P/B+/MFC).

Sample	Haze (%)	θ (°)
BOPP	$0.53^a \pm 0.03$	$78.49^a \pm 5.75$
P	$0.61^a \pm 0.08$	$23.08^b \pm 1.40$
P/B	$0.50^a \pm 0.02$	$21.27^b \pm 0.94$
P/B+	$0.55^a \pm 0.04$	$17.54^c \pm 1.46$
P/B/MFC	$10.02^b \pm 4.02$	$18.04^c \pm 1.77$
P/B+/MFC	$9.48^b \pm 0.52$	$17.73^c \pm 1.27$

Results are expressed as mean values and standard deviation. Different superscripts within a group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

Table 5. O_2TR and $WVTR$ values of bi-oriented polypropylene (BOPP) and BOPP coated with pullulan (P), pullan with borax (P/B), pullulan with high amount of borax (P/B+), pullulan with borax and MFC (P/B/MFC) and pullulan with high amount of borax and MFC (P/B+/MFC).

Sample	O_2TR ($\text{mL m}^{-2} 24\text{h}^{-1}$)		$WVTR$ ($\text{g m}^{-2} 24\text{h}^{-1}$)	
	23 °C 0% RH	23 °C 80% RH	23 °C 65% RH	38 °C 90% RH
BOPP	866.61 ^a ± 2.18	886.77 ^a ± 1.50	1.13 ^a ± 0.06	6.73 ^a ± 0.03
P	4.96 ^b ± 0.05	319.88 ^b ± 0.74	1.13 ^a ± 0.06	6.60 ^b ± 0.02
P/B	1.03 ^c ± 0.06	290.30 ^c ± 1.08	1.11 ^a ± 0.09	6.61 ^b ± 0.11
P/B+	5.43 ^b ± 0.07	316.82 ^d ± 0.34	1.17 ^a ± 0.04	6.94 ^c ± 0.09
P/B/MFC	2.97 ^d ± 0.06	191.46 ^e ± 0.47	1.16 ^a ± 0.05	6.63 ^{ab} ± 0.04
P/B+/MFC	5.17 ^b ± 0.07	206.70 ^f ± 0.30	1.14 ^a ± 0.05	6.61 ^b ± 0.02

Results are expressed as mean values and standard deviation. Different superscripts within a group (i.e., within each parameter) denote a statistically significant difference ($p < 0.05$).

Figure 1

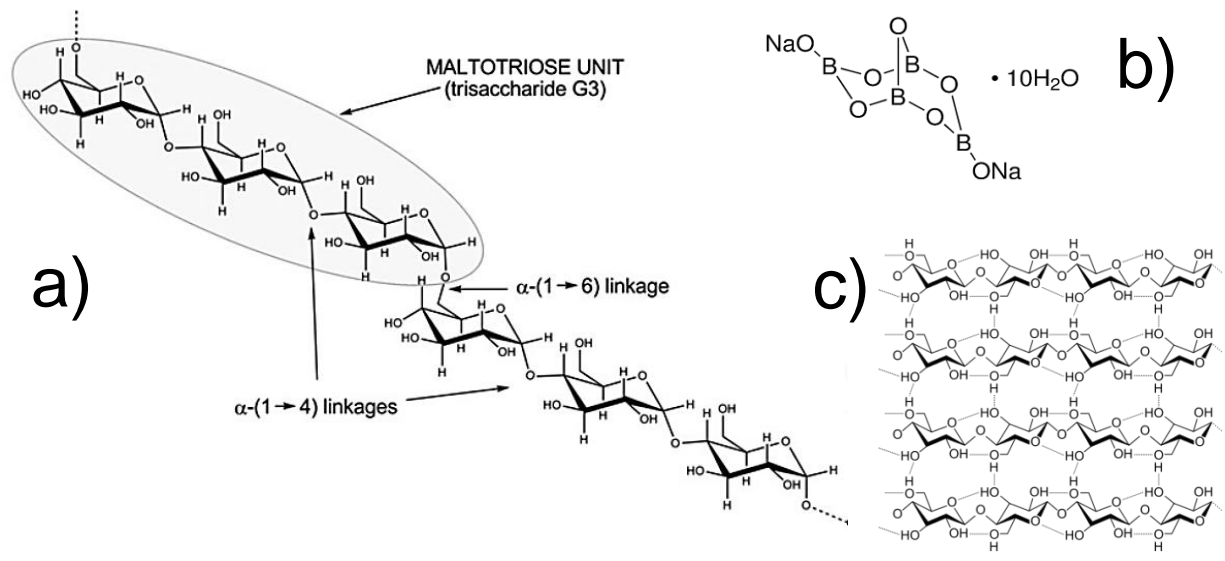


Figure 2

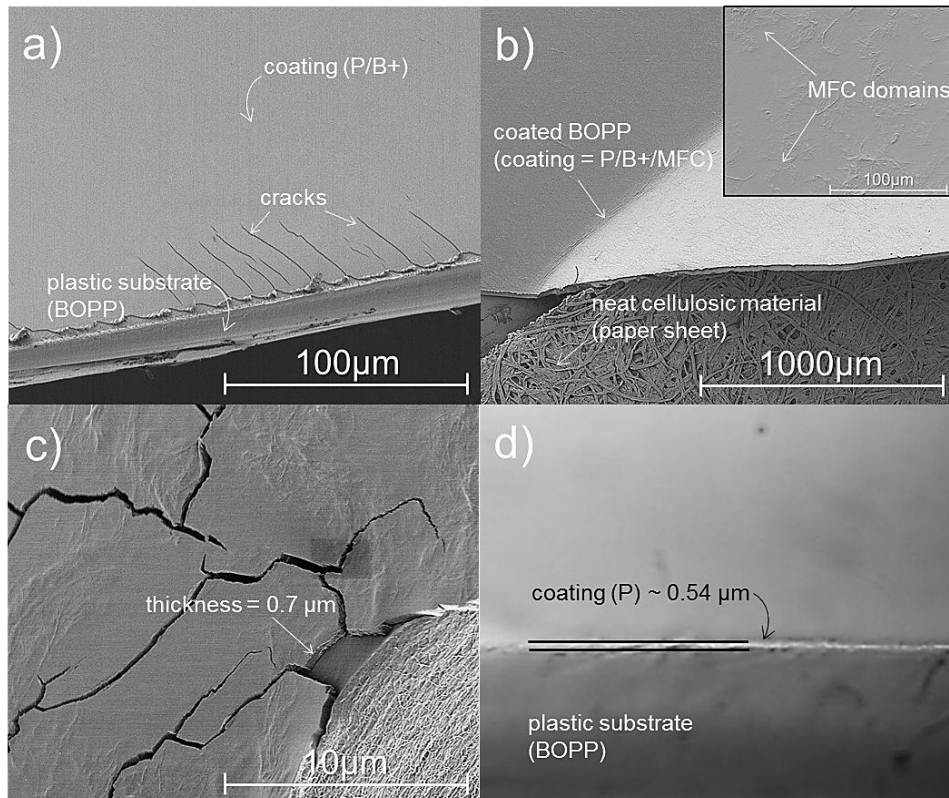
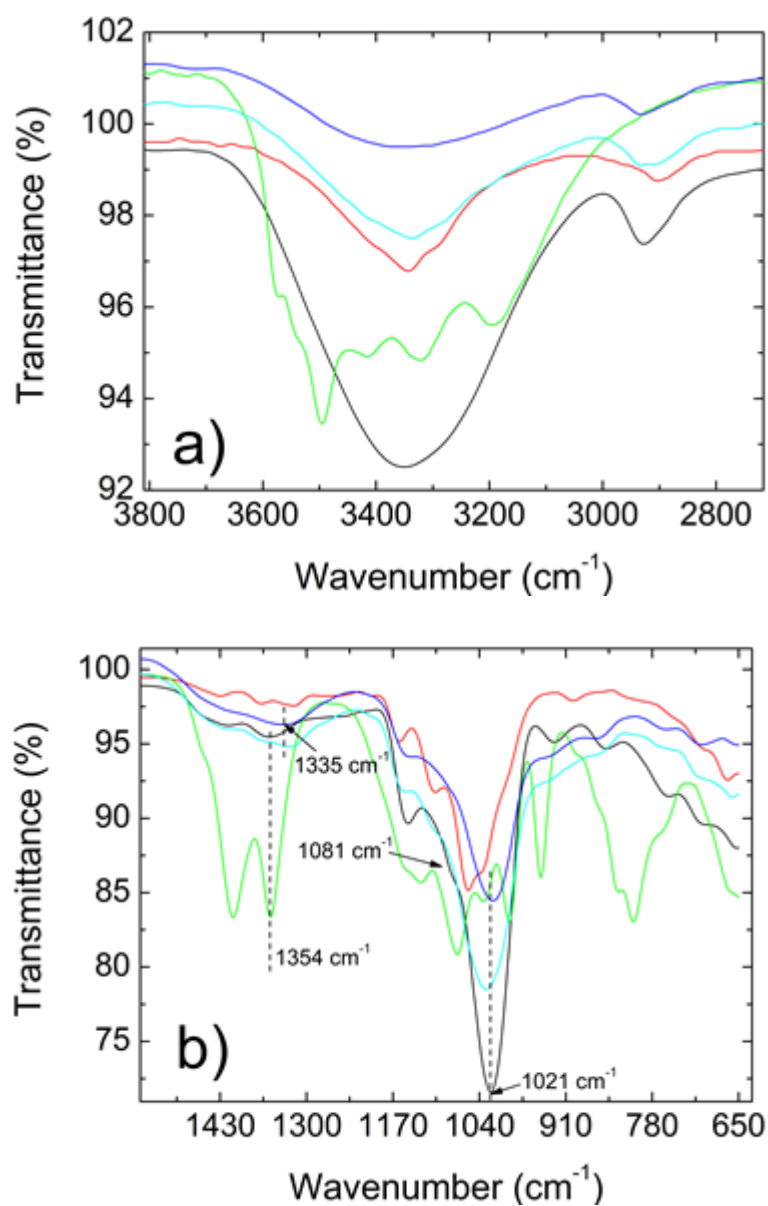


Figure 3



Microfibrillated cellulose and borax as mechanical, O₂-barrier, and surface-modulating agents of pullulan biocomposite coatings on BOPP

Carlo A. Cozzolino, Gaetano Campanella, Hasan Türe, Richard T. Olsson, Stefano Farris*

Supporting Information

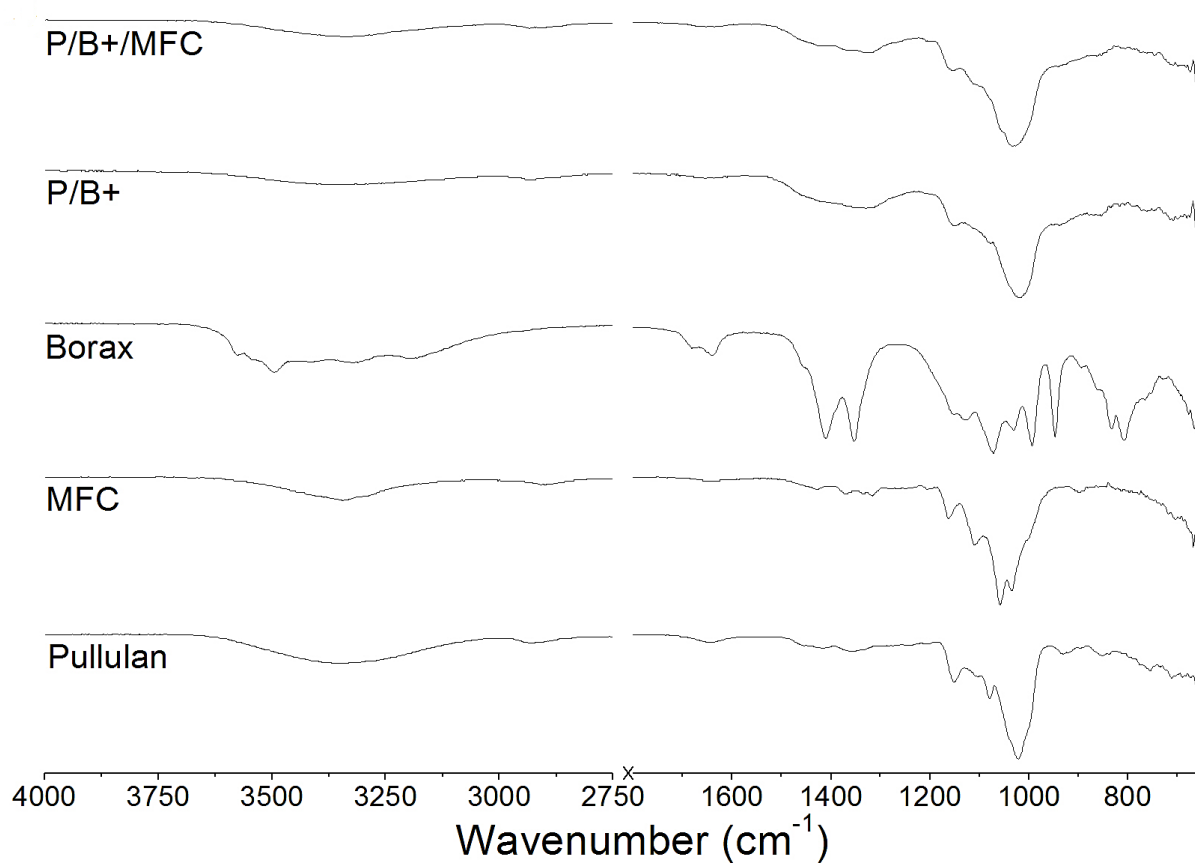


Figure S1. FTIR/UATR raw spectra of pullulan, microfibrillated cellulose (MFC), borax, and the composites P/B+ and P/B+/MFC