

LETTER TO THE EDITOR

Acute promyelocytic leukemias share cooperative mutations with other myeloid-leukemia subgroups

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Acute promyelocytic leukemias (APLs) are characterized by the expression of the *PML-RARA* oncogene, which is a product of the 15;17 chromosomal translocation. Two lines of evidence suggest that this genetic alteration is involved in the initiation of promyelocytic leukemogenesis *in vivo*: i) the t(15;17) often represents the only cytogenetic abnormality in an otherwise-normal karyotype; ii) *PML-RARA* is able to initiate leukemogenesis in mouse models on expression in hematopoietic stem cells (HSCs) or progenitors, giving rise to a disease that recapitulates clinical and morphological features of human APLs.¹ APL onset in mice, however, occurs after a long latency and with a low penetrance, suggesting that, like other cancers, APL is a multistep disease, whereby *PML-RARA* cooperates with secondary mutations for the full development of the leukemia phenotype. Notably, in the pre-leukemic phase of the disease, *PML-RARA* expression induces DNA damage in HSCs/progenitors and activates DNA repair, thus imparting a mutator phenotype that might contribute to disease progression.^{2,3}

Next-generation sequencing represents a powerful tool for the discovery of genetic alterations at high resolution. To identify gene mutations that might cooperate with *PML-RARA* in the leukemogenic process, we performed whole-exome sequencing of 5 leukemias that developed in *PML-RARA* transgenic mice (mouse APLs; mAPLs) and 11 patients' leukemias expressing *PML-RARA* (human APLs; hAPLs) (Supplementary Table 1). For the scope of this investigation, we only considered non-synonymous single-nucleotide variants (SNVs) and small insertions/deletions (indels) occurring in the tumor DNA with an allelic frequency $\geq 25\%$ (corresponding to a $> 50\%$ frequency of cells carrying the mutation in the tumor sample, assuming the majority of mutations to be heterozygous). These experimental conditions allow identification of SNVs with a validation rate of 100%, as shown by an independent sequencing approach (Sanger sequencing; unpublished). We identified a total of 18 mutations in the 5 mAPLs (16 SNVs and 2 indels) and 73 mutations in the 11 hAPLs (59 SNVs and 14 indels) (Table 1 and Supplementary Table 2). We validated all the 16 mAPL SNVs by Sanger sequencing (the 2 indels were not amplifiable for technical reasons), 18/18 randomly selected human SNVs and 1/1 indel (Supplementary Table 2).

We next combined the results of our sequencing analyses with those previously published for APLs (1 mAPL and 24 hAPLs),⁴⁻⁷ obtaining a data set of 41 APL samples (6 mAPLs and 35 hAPLs). Analysis of this data set showed a total of 270 mutations affecting 248 human genes (231 SNVs, 34 indels and 5 splice junction mutations—SJMs), with a low frequency of mutations per case (~ 7.1 in hAPLs and ~ 3.5 in mAPLs). In three cases (two mAPLs and one hAPL), we found no SNVs or indels with a frequency higher than 25%. Surprisingly, two hAPL samples showed several hundreds of low-frequency mutations, whose significance remains unclear (not shown).

Of the 248 mutated genes, 9 were found in ≥ 2 patients (recurrent mutations), and 8 showed a significantly higher

mutation rate ($q < 0.005$; *FLT3*; *WT1*; *KRAS*; *CALR*; *CSMD1*; *DDR2*; *REV3L* and *TCERG1L*; Table 2 and Supplementary Table 3). *FLT3* and *KRAS* have been already described as cooperators of *PML-RARA* in mouse models of APLs,⁸ whereas *WT1* is infrequently mutated in hAPLs.⁹ Of the remaining five, the *DDR2* (discoidin domain receptor 2) tyrosine kinase is mutated in a small subset of squamous cell lung cancer. Notably, *DDR2* mutations are critical oncogenic events for these tumors and confer high sensitivity to the multi-targeted kinase inhibitor dasatinib.¹⁰ We found a total of 25 mutations affecting these eight genes (APL driver mutations), with *FLT3* and *WT1* being the most frequently involved (Table 2).

We next investigated the APL specificity of the identified mutations, as compared with other subgroups of acute myeloid leukemias (AMLs). First, we generated a data set of mutations in all the available AML samples ($n = 206$; 196 previously published samples^{7,11-14} and 10 new samples from this study (Supplementary Tables 1 and 2)) and divided the AML samples in different genetic/cytogenetic subgroups: i) samples with mutations of nucleophosmin ($NPM1^+$: 58 cases); ii) normal karyotype without *NPM1* mutations ($NK-NPM1^-$: 58 cases); iii) complex karyotype ($n = 22$); iv) translocations or inversions affecting *CBFB/MYH11* ($n = 11$); v) t(8;21) *RUNX1/RUNX1T1* ($n = 7$); vi) trisomy 8 ($n = 8$); vii) *MLL-X* translocations ($n = 10$). Twenty-seven cases did not fall into any of these categories ('Other'). As for *PML-RARA*, indirect evidence from mouse models suggests that mutated *NPM1*¹⁵ and fusion proteins of *CBFB*, *RUNX1* and *MLL* are initiating mutations for AMLs (reviewed in McCormack *et al.*⁸).

AML mutations were separately analyzed in each of the AML subgroups. We found a total of 1360 mutated genes (~ 9 mutations per case), of which 153 were recurrently mutated (≥ 2 patients in the same subgroup) and 40 showed a significantly higher mutation rate ($q < 0.005$; Table 2 and Supplementary Table 3). Notably, included in the 40 genes were 21 of the 22 significantly mutated genes identified in a recent analysis of 200 AMLs (also part of our data set⁷), and additional mutated genes critical for AMLs (*BCOR*, *ASXL1*, *GATA2*, *SUZ12* and *DDX41*) or for selected epithelial cancers (*CTCF*, *PLCE1* and *CHD4*). The most frequently mutated genes were also significantly associated with specific AML subgroups: *FLT3*, *IDH1*, *DNMT3A* and *PTPN11* with $NPM1^+$ AMLs; *RUNX1*, *CEBPA* and *GATA2* with $NK-NPM1^-$ AMLs; *TP53* with AMLs with complex karyotypes; *KIT* with *CBFB/MYH11* AMLs; *IDH1* with AMLs with trisomy 8 (Table 2). Moreover, mutations in *SF3B1*, *PTPN11*, *DNAH9*, are present in both human and mouse leukemias.

We then analyzed the distribution of the significantly mutated APL and AML genes ($n = 44$) across all samples ($n = 239$). Twenty-eight genes ($\sim 64\%$) were mutated in more than one cytogenetic subgroup, covering 383 of the 416 mutations identified in all samples ($\sim 92\%$). In the remaining 16 genes ($\sim 36\%$), mutations were instead associated with a specific subgroup, corresponding to just 33 of the identified mutations ($\sim 8\%$) and suggesting that subgroup specificity might be due to their low frequency. Indeed, we found a significant correlation between frequency of mutations per gene and numbers of subgroups where it is mutated (Spearman's coefficient value of 0.93). As regards APLs, 5 of the 8

Table 1. Mutations identified by next-generation sequencing in APLs

| Cases | Mutations | | | | Study |
|---------------------------|------------|-----------|----------|------------|------------------------------------|
| | nsSNVs | Indels | SJMs | Total | |
| <i>Mouse APLs</i> | | | | | |
| mAPL#Mi1 | 0 | 0 | 0 | 0 | Present |
| mAPL#Mi2 | 1 | 0 | 0 | 1 | Present |
| mAPL#Mi3 | 9 | 1 | 0 | 10 | Present |
| mAPL#Mi4 | 6 | 1 | 0 | 7 | Present |
| mAPL#Mi5 | 0 | 0 | 0 | 0 | Present |
| mAPL | 3 | 0 | 0 | 3 | Wartman <i>et al.</i> ⁴ |
| Total mutations (per Pt.) | 19 (3.16) | 2 (0.33) | 0 | 21 (3.50) | 6 cases total |
| <i>Human APLs</i> | | | | | |
| hAPL#Mi1 | 5 | 0 | 0 | 5 | Present |
| hAPL#Mi2 | 13 | 3 | 0 | 16 | Present |
| hAPL#Mi3 | 2 | 1 | 0 | 3 | Present |
| hAPL#Mi4 | 3 | 3 | 0 | 6 | Present |
| hAPL#Mi5 | 0 | 0 | 0 | 0 | Present |
| hAPL#Mi6 | 5 | 1 | 0 | 6 | Present |
| hAPL#Mi7 | 12 | 2 | 0 | 14 | Present |
| hAPL#Mi8 | 1 | 1 | 0 | 2 | Present |
| hAPL#Mi9 | 4 | 1 | 0 | 5 | Present |
| hAPL#Mi10 | 7 | 1 | 0 | 8 | Present |
| hAPL#Mi11 | 7 | 1 | 0 | 8 | Present |
| hAPL#1 | 5 | 2 | 0 | 7 | Greif <i>et al.</i> ⁵ |
| hAPL#2 | 3 | 0 | 0 | 3 | Greif <i>et al.</i> ⁵ |
| hAPL#3 | 4 | 0 | 0 | 4 | Greif <i>et al.</i> ⁵ |
| hAPL | 12 | 0 | 0 | 12 | Welch <i>et al.</i> ⁶ |
| TCGA-AB-2803 | 12 | 1 | 0 | 13 | TCGA ⁷ |
| TCGA-AB-2804 | 7 | 1 | 0 | 8 | TCGA ⁷ |
| TCGA-AB-2823 | 0 | 1 | 0 | 1 | TCGA ⁷ |
| TCGA-AB-2840 | 0 | 1 | 0 | 1 | TCGA ⁷ |
| TCGA-AB-2841 | 4 | 0 | 0 | 4 | TCGA ⁷ |
| TCGA-AB-2862 | 7 | 0 | 0 | 7 | TCGA ⁷ |
| TCGA-AB-2872 | 9 | 1 | 0 | 10 | TCGA ⁷ |
| TCGA-AB-2897 | 5 | 0 | 1 | 6 | TCGA ⁷ |
| TCGA-AB-2905 | 15 | 2 | 0 | 17 | TCGA ⁷ |
| TCGA-AB-2906 | 9 | 1 | 0 | 10 | TCGA ⁷ |
| TCGA-AB-2980 | 3 | 1 | 0 | 4 | TCGA ⁷ |
| TCGA-AB-2982 | 1 | 1 | 0 | 2 | TCGA ⁷ |
| TCGA-AB-2991 | 10 | 0 | 0 | 10 | TCGA ⁷ |
| TCGA-AB-2994 | 6 | 1 | 0 | 7 | TCGA ⁷ |
| TCGA-AB-2997 | 9 | 1 | 0 | 10 | TCGA ⁷ |
| TCGA-AB-2998 | 4 | 1 | 0 | 5 | TCGA ⁷ |
| TCGA-AB-2999 | 9 | 0 | 0 | 9 | TCGA ⁷ |
| TCGA-AB-3001 | 8 | 0 | 2 | 10 | TCGA ⁷ |
| TCGA-AB-3007 | 5 | 1 | 1 | 7 | TCGA ⁷ |
| TCGA-AB-3012 | 6 | 2 | 1 | 9 | TCGA ⁷ |
| Total in hAPLs (per Pt.) | 212 (6.06) | 32 (0.91) | 5 (0.14) | 249 (7.11) | 35 cases |
| Total h + mAPLs (per Pt.) | 231 (5.63) | 34 (0.83) | 5 (0.12) | 270 (6.59) | 41 cases |

Abbreviations: APL, acute promyelocytic leukemia; h, human; indels, small insertion/deletions; m, mouse; nsSNV, non-synonymous single nucleotide variant; per Pt., per patient; SJM, splice junction mutation; TCGA, The Cancer Genome Atlas.

significantly mutated genes were also found in other AML cytogenetic subgroups (*FLT3*; *WT1*; *KRAS*; *CSMD1* and *DDR2*), covering a total of 100 mutations, while 3 (*CALR*; *REV3L* and *TCERG1L*) were only found in APLs, covering 6 mutations. Three genes that were found significantly mutated in other AML subgroups were also mutated in APLs (*PHF6*, *FAM5C* and *PTPN11*).

Together, these results imply that different myeloid leukemias, including APLs, share the same subset of cooperating mutations, and are consistent with a scenario whereby specific initiating mutations interact with a common pool of highly heterogeneous, yet phenotypically equivalent, cooperating mutations. Indirect evidence, however, suggests that the pool of cooperating

mutations in AMLs is not yet entirely defined, and that sequencing of additional AMLs is needed. In fact, due to the limited size of some samples (for example, in selected AML subgroups such as those with rearrangements of *CBFB*, *RUNX1* or *MLL*), it is likely that our statistical analyses do not allow the identification of all the driver mutations in AML. Among all the mutated genes ($n = 1559$), ~3% ($n = 45$) were mutated at statistically significant frequency (driver mutations). Among the others (passenger mutations), however, we identified mutations that have been causally implicated in the pathogenesis of AMLs (for example, *ETV6*, *JAK2*, *NOTCH1*, *NUMA1*, *PRDM16*, *CBL*, *CBFB*, *CHIC2*, *ELF4*, *NSD1* and *PDGFRB*) or other cancers (for example, *PTEN*, *MYC*, *ARID1A*, *SF3B1*, *EGFR*, *NF1*, *THRAP3*, *MED12*, *KDR*, *IKZF1*, *DAXX* and *SETD2*).

Table 2. Genes with a significantly higher mutation rate in APLs and AMLs

| Mutated Gene | PML-RARA | NPM1 ⁺ | NK-NPM1 ⁻ | Complex karyotype | CBFB/ MYH11 | MLL-X | Trisomy 8 | RUNX1/ RUNX1T1 | Other | Total ^a | TCGA ^b |
|--------------|----------|-------------------|----------------------|-------------------|-------------|-------|-----------|----------------|-------|--------------------|-------------------|
| FLT3 | 9 | 32 | 9 | 1 | 3 | 2 | 1 | 1 | 5 | 63 | x |
| CSMD1 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 6 | |
| WT1 | 3 | 5 | 5 | 0 | 1 | 1 | 0 | 0 | 2 | 17 | x |
| DDR2 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | |
| KRAS | 2 | 3 | 2 | 2 | 0 | 0 | 1 | 0 | 1 | 11 | x |
| CALR | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| REV3L | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| TCERG1L | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| FAM5C | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 5 | x |
| PHF6 | 1 | 1 | 2 | 1 | 0 | 0 | 1 | 0 | 1 | 7 | x |
| PTPN11 | 1 | 7 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 11 | x |
| ASXL1 | 0 | 0 | 3 | 0 | 0 | 0 | 2 | 0 | 1 | 6 | |
| CEBPA | 0 | 2 | 12 | 1 | 0 | 0 | 1 | 0 | 3 | 19 | x |
| DNMT3A | 0 | 29 | 15 | 3 | 0 | 0 | 5 | 0 | 4 | 56 | x |
| EZH2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 3 | 4 | x |
| GATA2 | 0 | 1 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | |
| GRIK2 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | |
| IDH1 | 0 | 12 | 2 | 1 | 0 | 0 | 5 | 0 | 3 | 23 | x |
| IDH2 | 0 | 5 | 8 | 1 | 0 | 0 | 3 | 0 | 4 | 21 | x |
| KIT | 0 | 1 | 0 | 1 | 3 | 0 | 0 | 2 | 1 | 8 | x |
| NRAS | 0 | 5 | 4 | 2 | 2 | 1 | 0 | 0 | 2 | 16 | x |
| PHACTR1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | |
| PLCE1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 | 4 | |
| RAD21 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 5 | x |
| RUNX1 | 0 | 0 | 12 | 1 | 0 | 0 | 2 | 0 | 7 | 22 | x |
| SMC1A | 0 | 4 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 7 | x |
| SMC3 | 0 | 3 | 2 | 1 | 0 | 0 | 1 | 1 | 0 | 8 | x |
| STAG2 | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 7 | x |
| SUZ12 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 3 | |
| TET2 | 0 | 2 | 9 | 1 | 0 | 0 | 1 | 1 | 4 | 18 | x |
| TP53 | 0 | 0 | 1 | 12 | 0 | 0 | 0 | 0 | 2 | 15 | x |
| U2AF1 | 0 | 1 | 2 | 0 | 0 | 0 | 2 | 0 | 3 | 8 | x |
| BCOR | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | |
| CHD4 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | |
| CTCF | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| DDX41 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| FAM57B | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| GIGYF2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | |
| GJB3 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | |
| KDM3B | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | |
| KRT13 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| PCDHA13 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| SCN1A | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | |
| TBX15 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| TCEB3B | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |

found in ≥ 2 AML subgroups

found in only one AML subgroup

associated to a specific AML subgroup with $q \geq 0.01$ associated to a specific AML subgroup with $q \geq 0.05$ ^aTotal number of mutations identified for each mutated gene. ^bSignificantly mutated genes identified by The Cancer Genome Atlas Research network (TCGA).**CONFLICT OF INTEREST**

The authors declare no conflict of interest.

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