

1 **Tomographic, cadaveric and clinical study of safe corridors for insertion of implants in the**
2 **thoracolumbar spine of dogs and cats using a lateral approach**

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6 Objectives: This study aimed to: describe a lateral vertebral corridor (T6- L7) for the implantation of
7 screws and polymethylmethacrylate to treat thoracolumbar vertebral injuries; assess the feasibility
8 and safety of this approach using computed tomography; assess the learning curve of this technique
9 in canine cadavers; and assess the outcomes in injured dogs and cats in a retrospective clinical study.

10 Materials and Methods: Tomographic study: Lateral vertebral corridors were defined using computed
11 tomography images of normal canine spines in the transverse plane. Cadaveric study: Corridors were
12 drilled by a novice neurosurgeon on the cadavers, and deviation from an angle of 90° was evaluated
13 on computed tomography in chronological order to assess the learning curve. Clinical study: The
14 medical records (from 2008 to 2022) of dogs and cats treated for thoracolumbar vertebral injury using
15 the lateral approach were reviewed.

16 Results: Computed tomography revealed that the lateral corridors were safe and effective. A
17 progressive reduction in the deviation between the measured and ideal insertion angles was observed
18 in the cadaveric part of the study. Overall, 17/30 animals (56.7%) regained the ability to walk without
19 assistance postoperatively and 3/11 animals (27.3%) that had lost deep pain sensation. There were
20 3/30 (10%) minor complications and 8/30 (26.7%) major complications, including perioperative
21 death and euthanasia.

22 Clinical significance: Lateral vertebral corridors with an orientation angle of 90° may be safely used
23 in caudal thoracic and lumbar vertebrae (T6- L7) in a freehand technique to treat vertebral fractures
24 and/ or luxations in dogs and cats.

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26

27 **INTRODUCTION**

28 Vertebral fractures and/or luxations in dogs and cats result primarily from direct physical trauma, and
29 the thoracolumbar region is the most commonly affected site (Bali et al., 2009; Bruce et al., 2008).
30 Depending on the neurological deficits, degree of instability and presence of a compressive fragment
31 within the vertebral canal, treatment can be surgical or conservative. The goals of surgical treatment
32 include realignment of the affected vertebrae, decompression of the spinal cord and vertebral
33 stabilisation.

34 A major concern in surgical treatment is the positioning of implants within the vertebrae; a sufficient
35 amount of bone anchoring is warranted as well as avoiding damage to the spinal cord and major
36 vascular structures. Studies have identified ideal corridors for osteosynthesis implants by analysing
37 computed tomography (CT) scans (Schmitt et al., 2021; Vallefucio et al., 2013; Watine et al., 2006),
38 ex vivo trials with fluoroscopic support (Wheeler et al., 2002, 2007), or with the recent development
39 of three- dimensionally printed animal- specific drill guides (Fujioka et al., 2019, 2020; Guevar
40 et al., 2021; Mariani et al., 2021). The clinical applicability of these corridors without fluoroscopy or
41 a three- dimensional printer is difficult in clinical practice because of the limited margins of error for
42 each technique. The creation of those three- dimensionally printed drill guides is time- consuming
43 and delays surgery, while it is desirable to operate within a short period after the trauma of an animal
44 with a vertebral fracture/luxation.

45 This study included an experimental part, where CT images of canine spines were studied to assess
46 the possibility of using a lateral vertebral corridor, and cadavers were used to check the feasibility
47 and learning curve of this technique; then, a clinical study, where clinical cases of vertebral
48 fracture/luxation surgically treated with this technique were reviewed.

49 The objectives of this study were (1) to evaluate the feasibility and the safety of a lateral vertebral
50 corridor and define the characteristics of optimal corridors using CT; (2) to determine the learning
51 curve of the technique on cadavers by a surgeon with no experience in neurosurgery; and (3) to assess
52 the postoperative outcome of stabilisation using a lateral approach to treat thoracic or lumbar vertebral

53 fractures and/or luxations in dogs and cats. We hypothesized that using a lateral corridor with an
54 inclination angle of 90° would be safe, effective and easily reproducible in a clinical setting by both
55 a novice and experienced neurosurgeon and that the results would be similar to those of other already
56 described techniques.

57

58 **MATERIALS AND METHODS**

59 *Study design*

60 The study was divided into three sections: (1) a tomodesitometric study to identify the optimal safe
61 implantation corridor of thoracic and lumbar vertebrae (from T6 to L7) in the transverse plane on CT
62 images of the spinal column of 20 healthy dogs without spinal lesions; (2) a cadaveric study, to
63 appraise the safety and the learning curve of the technique by drilling lateral corridors on cadavers
64 by a surgeon without experience in neurosurgery; and (3) a retrospective clinical study, including
65 dogs and cats admitted to a clinic with a thoracic or lumbar vertebral fracture and/or luxation treated
66 via a lateral approach. The protocol of the cadaveric study was approved by the ethical committee of
67 the University of Napoli “Federico II”, Napoli, Italy.

68

69 *Computed tomography*

70 Twenty CT scans of the thoracic and lumbar columns of different canine breeds were selected for
71 review from tomodesitometric databases. CT was performed at the authors’ clinic for medical
72 reasons unrelated to the study (mainly cancer staging). Studies involving vertebral lesions were also
73 excluded. The CT images were reviewed to assess the safety of the lateral corridor and the amount of
74 bone purchased using the lateral vertebral approach. One corridor per vertebra was assessed. These
75 corridors were defined by the following: the insertion point (I), which was placed at the base of the
76 costovertebral joint for thoracic vertebrae and at the base of the transverse process for lumbar
77 vertebrae in the transverse plane; in the frontal plane, this point was defined at 25% of the length of
78 the vertebral body from the cranial vertebral disc; and an insertion angle of 90° to the sagittal plane

79 (Fig 1). With the insertion points defined, in the transverse plane, the distance between the floor of
80 the vertebral canal and the upper margin was evaluated to determine the ideal corridor (A to B) as
81 well as the width of the corridor (I to J); the height of the vertebral body on the sagittal plane (A to
82 C); the maximum safe angle in the dorsal direction (β) that does not cause iatrogenic damage to the
83 vertebral canal; the maximum safe angle in the ventral direction (γ) that does not cause iatrogenic
84 damage and warranted the maximum amount of bone anchoring (Fig 2).

85

86 ***Cadaveric study***

87 The feasibility and learning curves of the lateral vertebral corridors were evaluated in 10 canine
88 cadavers from different breeds of medium- sized animals that were euthanized for medical reasons
89 unrelated to the study. A surgeon with no experience in neurosurgery approached the thoracic and
90 lumbar vertebral bodies (similar to the technique described in a clinical study). In the first case, an
91 experienced surgeon showed the insertion point and drilling angle. Two surgical techniques were
92 tested: for the first one, only one aperture was created per vertebra; for the second one, the feasibility
93 to place two holes per vertebra was tested, with the second hole being created at the same ventrodorsal
94 level as the first one, at about 25% of the distance from the caudal intervertebral disc. After the drilling
95 process, a chronological CT examination was performed for each cadaver to assess the positioning of
96 the holes, identify possible iatrogenic damage and assess the learning curve of appropriately placing
97 the drilled trajectory. Details of the procedure and the evaluation of the learning curve are reported in
98 File S1.

99

100 ***Clinical study***

101 *Case selection*

102 Medical records from 2008 to 2022 were reviewed for dogs and cats with thoracic or lumbar vertebral
103 fractures and/or luxation treated surgically with screws (DePuy Synthes, Johnson & Johnson, USA)
104 and PMMA (Amplifix, Amplitude Surgical, France), using a lateral approach and an intended

105 inclination corridor of 90° in the vertebral body (Figs 3 and 4). Animals euthanased, not treated
106 surgically, treated with another surgical technique, or treated using the lateral technique for conditions
107 other than thoracolumbar vertebral fractures and/or luxation were excluded from the study.

108

109 *Data collection*

110 Patient signalment (species, breed, weight and age), origin of trauma, neurological dysfunction,
111 imaging method (radiographs and/or CT images), localization and nature of the injury, presence of
112 concurrent injuries, time elapsed between trauma and surgical treatment and complications were
113 recorded. Neurological status was classified into five grades based on the severity of dysfunction
114 (Table S1; Vallefucio et al. (2014)), and the degree of instability was assessed using the three-
115 compartment model (Shores, 1992).

116

117 *Surgical procedure*

118 All surgeries were performed by a former resident in small- animal surgery or by a board- certified
119 surgeon using the same technique. All animals were placed in right lateral recumbency (both surgeons
120 were right- handed) with the forelimbs and hind limbs stretched and fixed to the operating table to
121 help reduce the fractured/luxated site. Care was exercised to position the spine horizontally. A left
122 lateral approach to the spine was used, and ostectomy of the transverse process was performed using
123 gouge forceps to expose the implantation site (Fig 5). If the fracture site was on the thoracic spine,
124 the approach was combined with dorsal intercostal thoracotomy and the thoracic pleura was
125 penetrated to permit ostectomy of the proximal quarter of the adjacent rib and luxation of the
126 costovertebral joint with gouge forceps (Fig 6). The approach was identical for the eight most caudal
127 thoracic and lumbar vertebrae (i.e., T6 to L7). In addition, for L6 and L7, a partial ostectomy of the
128 iliac crest was performed using gouge forceps to allow access to the vertebral body (Fig 7). Needles
129 (23 or 25 gauge, depending on the size of the patient) were introduced into the intervertebral discs as
130 landmarks for the delineation of vertebral bodies. The insertion points (I) were at the base of the

131 costovertebral articulation of the thoracic vertebrae and the base of the transverse process of the
132 lumbar vertebrae. An estimated angle of 90° to the sagittal plane was used freehand (which
133 corresponds approximately to a perpendicular to the operating table considering the lateral position
134 of the dog), intersecting the centre of the vertebral body and exiting from the opposite cortex. Screws
135 were inserted after tapping, with the screw head left approximately 5 to 15 mm prominent
136 (depending on the patient's size) for inclusion in the PMMA loaded with gentamicin (Amplifix 1G;
137 Amplitude). Nevertheless, the implants for drilling must be individually adjusted based on the specific
138 characteristics of each vertebra. Two or three screws were placed per vertebra if sufficient space was
139 available in the cranial, middle, or caudal parts of the vertebral body. For comminuted fractures,
140 screws were placed in the fractured vertebra or adjacent to it. A minimum of four screws (up to seven;
141 two screws cranial and caudal to the injured site) were placed before the application of PMMA. The
142 diameters of the screws were selected based on the patient's format and ranged from 1.5 to 3.5 mm.
143 A graft of adipose tissue was placed on the nerve roots to avoid direct contact with cement. Traction
144 was performed on the spine to realign the vertebrae by an assistant pulling on the forelimbs, with the
145 pelvic limbs stretched and fixed to the operating table and PMMA was applied to embed the heads of
146 the screws. Traction was maintained during the curing of the cement and irrigation with sterile saline
147 solution to prevent thermal necrosis of the surrounding tissues. In cases of spinal cord compression
148 by bone fragments, intervertebral disc disease, or hematoma in the vertebral canal, a
149 hemilaminectomy was performed for decompression. Decompression during surgery was performed
150 on a case- by- case basis by the surgeon when significant compression was observed on preoperative
151 imaging. Finally, standard closure of the operating site was performed. A chest tube was applied if
152 the thoracic pleura was penetrated to restore intrathoracic negative pressure and was removed
153 postoperatively within 24 hours. Postoperative radiography or CT (depending on the year the surgery
154 was performed) was performed to assess the implant positioning and realignment of the spine.
155 Vertebral canal invasion was also assessed.

156

157 *Follow-up*

158 Short-term follow-up was performed by clinical and neurological examinations and was defined by
159 the neurological status at 2 weeks postoperatively. Long-term follow-up was performed by
160 contacting the owners by phone or email to document the extent and timing of neurological recovery,
161 presence or absence of urinary and faecal incontinence, presence of complications and quality of life.
162 Clinical outcomes were defined at short- and long-term follow-up as (1) poor (no clinical
163 improvement or worsening, non-ambulatory, chronic pain, faecal and/or urinary incontinence, death,
164 or euthanasia), (2) guarded (mild clinical improvement, ambulatory with assistance, no pain, no or
165 mild incontinence), (3) good (ability to ambulate without assistance, no pain, no incontinence), or (4)
166 excellent (ambulatory with mild ataxia or complete recovery).

167

168 *Statistical analysis*

169 Clinical data were collected and analysed using Microsoft Excel for Mac, version 16.82. Data
170 collected for the CT and cadaveric parts of the study were analysed using a statistical analysis program
171 (Prism 10 for MacOS, v. 8.2.0, GraphPad Software Inc., La Jolla, CA, USA; JMP® Pro, v. 16.0, SAS
172 Institute, Cary, NC, USA). For all tests, significance was set at $P < 0.05$. The $(A-B)/(A-C)$ ratio was
173 used to compare the vertebrae of different sizes and shapes in the CT part of the study. The ratio was
174 log-transformed and compared between the vertebrae using a standard least squares linear regression
175 (Curran-Everett, 2013; da Costa & Johnson, 2012), and the estimate, standard error (SE), 95%
176 confidence interval (95% CI) and P-value were evaluated and recorded when significant. The (β) and
177 (γ) angles from the CT study, and the (α) angle were tested for normality with the Shapiro-Wilk's W
178 test. According to data distribution, the (β) , (γ) and (α) angles were compared between all vertebrae
179 with the Kruskal-Wallis test, and post hoc the two-stage step-up Benjamini, Krieger and Yekutieli
180 correction method was used for multiple comparisons (Benjamini et al., 2006). The estimate, SE,
181 upper and lower confidence limits (CL) and P-value were evaluated and recorded when significant.
182 For all variables tested, a power analysis was performed using the function included in the statistical

183 software. The deviation (Δ), that is, the difference between the measured angle (α) and the ideal angle
184 of 90° in the cadaveric part of the study, was evaluated using a repeated measures ANOVA with the
185 Geisser–Greenhouse correction with a mixed effect model, and a post hoc Bonferroni correction was
186 applied for multiple comparisons between each case. The same statistical approach was applied to the
187 overall set and then separately to the thoracic and lumbar vertebrae. The mean difference, 95% CI,
188 and relative P- values were reported.

189

190 **RESULTS**

191 *Computed tomography*

192 One hundred and forty- two vertebrae were assessed in 20 dogs of different breeds. Non- significant
193 results are summarised in File S2. A significant reduction in the log- transformed $(A - B) / (A - C)$
194 ratio for L6 and L7 compared to all thoracic and lumbar vertebrae analysed was detected ($P < 0.05$).
195 The corresponding P- values are reported in Tables S2 and S3. The power analysis yielded an obtained
196 power of 99% to identify the differences between the vertebrae analysed for the log- transformed $(A$
197 $- B) / (A - C)$ ratio. All the measured (β) angles were $>90^\circ$; mean values for (β) angle of each vertebra
198 are summarised in Table S4. The (β) angle was significantly smaller for L6 and L7 compared to
199 vertebrae from T5 to L4. The corresponding P- values are reported in Tables S5 and S6. The power
200 analysis yielded an obtained power of 99% to identify a difference between the vertebrae analysed
201 for the (β) angle. Likewise, all (γ) angles were $<90^\circ$; mean values for (γ) angle of each vertebra are
202 summarised in Table S4. The angle (γ) resulted in significantly smaller for L7 compared to vertebrae
203 from T13 to L4. The corresponding P- values are reported in Table S7. The power analysis yielded
204 an obtained power of 99% to identify a difference between the vertebrae analysed for the (γ) angle.

205

206 *Cadaveric study*

207 Eighty vertebrae were drilled on 10 dog cadavers of different breeds, for a total of 104 corridors. Six
208 penetrations of the vertebral canal had been detected (5.8%): one at T8 (2.22 mm), one at T9 (2.09

209 mm), one at L5 (4.09 mm), two at L6 (0.20 and 2.27 mm) one at L7 (0.62 mm). Neither the lungs nor
210 the vascular structures were on the corridor route. Details of the cadaveric study are reported in File
211 S3 and the learning curves are reported in Fig S2–S4.

212

213 ***Clinical study***

214 *Study population*

215 Thirty animals, including 22 dogs (mean \pm standard deviation (SD), 13.0 ± 11.9 kg; range 1.2 to 44.0
216 kg; median 8.5 kg) and eight cats (mean \pm SD, 4.0 ± 0.7 kg; range 3.3 to 4.9 kg; median 4.0 kg) met
217 the inclusion criteria. Domestic Shorthair was the most common breed of cat; cockers (three cases)
218 and Yorkshire terriers (two cases) were the most common breeds of dogs. The mean age was 4.2
219 years, 4.9 years for dogs and 2.4 years for cats. Road traffic accidents (nine cases, only dogs) were
220 the main cause of injury, followed by falls from a height (six cases, three dogs and three cats) and
221 bites from a dog (six cases, five dogs and one cat). On admission, the neurological status of 11/30
222 animals was grade V (nine dogs and two cats), 14/30 animals were grade IV (10 dogs and four cats)
223 and 5/30 animals were grade III (three dogs and two cats) (Table 1). Sixteen animals had concurrent
224 nonspinal injuries, including forelimb or hindlimb fractures, lung contusions, pneumothorax,
225 diaphragmatic hernia and open wounds.

226

227 *Diagnostic imaging*

228 Twelve animals underwent preoperative spinal radiographic evaluation, whereas the others underwent
229 radiographic and CT examinations. All vertebral fractures/luxations were detected between T9 and
230 L6: 12 affected the thoracic spine, 1 affected the thoracolumbar junction and 17 affected the lumbar
231 spine. In one case, vertebral subluxation with associated intervertebral disc herniation (T12- T13) was
232 observed, and in one case, a fracture of three consecutive vertebrae (T11- T12 and T13- L1) was
233 observed.

234

235 *Surgical procedure*

236 Vertebral fractures/luxations were surgically treated within 1.9 days after the trauma (range 1 to 8
237 days); within 1.5 days for animals that were grade V preoperatively; 2.2 days for grade IV and 2.7
238 days for grade III. One dog underwent surgical decompression of the spinal cord after lateral
239 stabilisation with a T12- T13 left hemilaminectomy to remove the extruded disc material. One dog
240 died intraoperatively (grade V, fracture of the dorsal arch and vertebral body of L2) and another died
241 2 hours after the end of the procedure (grade IV, fracture and luxation of T9- T10), both from
242 cardiopulmonary failure during anaesthesia. Two other dogs died 2 days after surgery after acute
243 worsening of their general condition, most likely because of the degradation of their concomitant
244 injury (pneumothorax in both; one grade III and one grade V, both had fracture and luxation of T12-
245 T13). All four dogs were treated surgically during the first 24 hours after the initial trauma (one bite
246 wound, one fall from a height and two road traffic accidents). CT revealed good positioning of the
247 screws within the vertebral bodies in all the dogs, with no invasion of the vertebral canal, pulmonary
248 or blood vessel injuries. Eleven animals underwent postoperative radiographic evaluation to assess
249 implant positioning, and 18 underwent postoperative computed tomographic examinations. No
250 invasion of the vertebral canal or other major surrounding structures was noted on imaging studies
251 postoperatively, and all screws were bicortical. A total of 26 animals, including 18 dogs and eight
252 cats, were discharged from the clinic; eight were grade V, 10 were grade IV, six were grade III and
253 two were grade II.

254

255 *Short and long- term outcome*

256 The short- and long- term grades and outcomes are summarised in Table 1. Short- term follow- ups
257 were performed through clinical examinations (n = 24). Long- term follow- up was performed by
258 phone (n = 13), email (n = 1), or clinical examination (n = 9). The median long- term follow- up time
259 was 962 days (range 43 to 4749 days). Two of the 26 animals discharged from the clinic were lost to
260 follow- up (two dogs, one preoperative grade IV and one grade V).

261 In short- term evaluation, nine of the 24 animals were grade II (37.5%), seven grade III (29.1%), six
262 grade IV (25.0%) and two grade V (8.3%). One dog with grade V disease was euthanized because of
263 a lack of improvement. Eight of the 23 animals with long- term follow- up were grade 0 (34.8%),
264 nine grade II (39.1%), five grade III (21.7%) and one grade IV (4.3%). At long- term follow- up, three
265 dogs were euthanized because of persistent neurological dysfunction (one grade IV and two grade
266 III). The outcome was considered excellent in nine of the 28 animals not lost to follow- up (32.1%),
267 good in seven (25.0%), guarded in four (14.3%) and poor in eight animals (28.6%, including the four
268 deaths prior to discharge from the clinic and the four dogs that were euthanized). Overall, 17 of the
269 30 animals that underwent surgery (56.7%) regained the ability to walk without assistance
270 postoperatively, including 3 out of the 11 animals (27.2%) that had lost deep pain perception on
271 presentation (grade V). One patient with grade V preoperatively regained the ability to walk but with
272 difficulty and may have developed spinal walking. In this case, faecal and urinary control were not
273 documented. Complications were recorded as minor (n = 3/30, 10%): wound swelling/inflammation
274 (n = 3) or major (n = 8/30, 26.7%); perioperative death (n = 4/30, 13.3%); postoperative euthanasia
275 due to lack of improvement in the neurological status (n = 3/30, 10%); rupture of the
276 polymethylmethacrylate within 2 weeks; and associated deep infection (Organ- Space Infection
277 according to the Centers for Disease Control and Prevention) (Mangram et al., 1999) and urinary
278 incontinence that led to euthanasia several months after the first surgery despite a revision surgery (n
279 = 1/30, 3.3%).

280

281 **DISCUSSION**

282 Based on the CT study, the lateral corridors described with an implantation angle of 90° were safe
283 and permitted bicortical implantation while avoiding the vertebral canal and major vascular structures
284 along the thoracic and lumbar spine in dogs. The reduction in the deviation (Δ) during the learning
285 curve and the low rate of vertebral canal invasion in cadavers (5.8%) suggested that a neurosurgeon
286 could quickly learn the appropriate technique, but it might take some time to perform it safely. Based

287 on the clinical study, the lateral corridor approach combined with screws and PMMA is effective in
288 treating vertebral fractures and/or luxations in dogs and cats. The outcomes and complication rates
289 were similar to those of other techniques (Blass & Seim, 1984; Krauss et al., 2012; Vallefuoco
290 et al., 2014).

291

292 *Computed tomographic*

293 Vallefuoco et al. reported that unilateral vertebral corridors with a constant implantation angle of 90°
294 to the sagittal plane in the vertebral body of the caudal thoracic and lumbar vertebrae (T10- L7) in
295 cats were safe (Vallefuoco et al., 2013), and the first clinical results appeared promising (Vallefuoco
296 et al., 2014). However, the transverse process or proximal rib resection was not reported in this study.
297 It seems much easier to properly identify the insertion points, particularly in the thoracic spine, by
298 performing an osteotomy. All the measured (β) angles were $>90^\circ$, revealing a dorsal safety margin of
299 about 20° on average. Similarly, all (γ) angles were smaller than 90°, revealing a ventral safe margin
300 of 7° approximately (Table S4). Hence, although narrow, these corridors offered a margin of error for
301 dorsal and ventral deviations from the ideal angle of 90°. At L6 and L7, the log- transformed $(A -$
302 $B)/(A - C)$ ratio was significantly reduced, revealing that the corridor was closer to the floor of the
303 vertebral canal for these two vertebrae. Moreover, (β) was also smaller for L6 and L7 as well as (γ)
304 for L7, revealing a smaller safety margin dorsally and a larger safety margin ventrally in L6 and L7
305 compared to other vertebrae. Based on these results, we recommend shifting the insertion point (I)
306 ventrally to the transverse process for L6 and L7, with the dorsal margin of the corridor contiguous
307 to the transverse process, to increase the safety of the corridor in the dorsal direction.

308

309 *Cadaveric study*

310 The learning curve during surgery is difficult to assess, particularly in ex vivo studies. The number
311 of complications could not be evaluated; therefore, (Δ) was used to assess the learning process
312 (Fig S1): the significantly larger (Δ) measured in cases 4 to 6 compared to the initial small deviation

313 in cases 2 and 3 suggested that after the experienced surgeon showed the technique for case 1, the
314 novice surgeon deviated from the optimal angle of 90° during cases 4 to 6, before correcting his
315 technique through cases 7 to 10. Nonetheless, the 95% CI (data shown in Figs S2–S4) show some
316 degree of deviation from the 90° optimal angle, even at the end of the learning curve. However, this
317 deviation was still within the safety angles measured in the CT study. For the lumbar spine, a wider
318 range of (α) has been recorded but still within the safety angles, probably due to the anatomical
319 peculiarities of the lumbar vertebrae. This suggests that a novice surgeon might correctly reproduce
320 the technique just learned, but that a greater number of cases would be needed to master correctly and
321 safely the drilling technique. It would be interesting to evaluate similar learning curves on a larger
322 number of cases and by multiple novice neurosurgeons, and even to include cases from experienced
323 neurosurgeons. In this study, the vertebral canal invasion during the drilling process was recorded in
324 <6% (5.8%) of cases. Considering that the surgeon performing the procedures had no prior experience
325 in neurosurgery, this complication might be considered to be actually rare. With the two-hole
326 technique, the second hole was easily reproducible caudally at the same dorsoventral level as the first
327 hole without difficulty, which would allow the placement of two screws per vertebra.

328

329 *Clinical retrospective study*

330 The unilateral stabilisation technique is biomechanically comparable to the bilateral technique in
331 terms of bending strength and stiffness (Hall et al., 2015). Therefore, using screws embedded in
332 PMMA with a unilateral approach to treat vertebral fractures and/or luxations is feasible and effective
333 in a clinical setting. In spinal fractures, bone fragments, hematomas and surrounding inflammatory
334 tissues may compress the spinal cord and necessitate decompression. The low rate of decompression
335 procedures in this study (one dog with hemilaminectomy, 1/30, 3.33%) could be due to the
336 preoperative diagnostic imaging modalities: 12 animals were evaluated with spinal radiographic
337 evaluation alone and 18 with radiographic and tomographic examinations. It is possible that the need
338 for decompression surgery has been underestimated.

339 At the last follow- up, 87.5% (14/16) of the animals with preoperative grade III and IV neurological
340 status regained the ability to ambulate without assistance, and the overall complication rate was
341 36.7% in this study. These results were similar to those obtained using previously published surgical
342 techniques (Blass & Seim, 1984; Krauss et al., 2012; McKee, 1990; Vallefucio et al., 2014). Among
343 the 11 complications, 3 were minor (wound swelling/inflammation) and 3 of the eight major
344 complications were unrelated to the surgical technique but to euthanasia several months after the
345 surgery due to a lack of improvement in the neurological status. It should be noted that three of the
346 four animals that died in the perioperative period had thoracic spine fractures and, therefore, had
347 undergone thoracotomy and proximal rib resection before positioning the implants. It is possible that
348 the four perioperative deaths were not related to the surgical technique but to the severely traumatised
349 status of the patient; however, whether a lateral thoracotomy may have contributed to the degradation
350 of these animals remains unclear. Further investigations are required to determine the association
351 between perioperative death and this surgical technique. Two grade III and one grade IV animals were
352 euthanized during the long- term evaluation because of their inability to walk without assistance
353 (grade IV and grade V preoperatively). The only major complication related to the surgical technique
354 was the rupture of the PMMA and associated Organ- Space Infection (Mangram et al., 1999), which
355 led to the euthanasia of the dog because of a persistent grade IV neurological status several months
356 after revision surgery. The authors recommended the use of a sufficient amount of PMMA,
357 particularly in large- breed dogs, to avoid breakage.

358 Animals with thoracolumbar vertebral fracture/luxation and absent nociception at presentation are
359 considered to have poor outcomes, and euthanasia is often performed because of the extremely low
360 recovery rate reported in the literature. The fact that three out of eight animals (37.5%) that were
361 grade V (excluding two animals that died perioperatively and one lost to follow- up; recovery for
362 these is unknown) regained the ability to walk without assistance questions this practice. All three
363 animals underwent surgery shortly after trauma (two in the first 24 hours and one within 30 hours).
364 In contrast, among the five other grade V animals that did not regain the ability to walk without

365 assistance, only one was operated on within 24 hours, three underwent surgery 48 hours after the
366 initial trauma and the delay was unknown for one animal. Stabilisation of the vertebral fracture/
367 luxation as soon as possible after trauma is recommended by the authors. This study suggests that the
368 prognosis for an animal with a thoracolumbar vertebral injury with absent nociception at presentation
369 may be good in some cases, especially those operated within a short period after the trauma. However,
370 this statement must be confirmed in a larger group of dogs and cats in a future study because only a
371 small number of grade V cases were included, and all the grade V animals treated in this study had
372 <50% degree of vertebral displacement on imaging. Performing a surgery immediately on a severely
373 traumatised patient is challenging because the initial sequence of damage control approach is limited.
374 A cascade of events may lead to systemic inflammatory response syndrome (SIRS), multiple organ
375 dysfunction syndrome (MODS), disseminated intravascular coagulation (DIC), acute respiratory
376 distress syndrome (ARDS), or infection, all of which would increase perioperative mortality. The
377 timing of surgery should be selected on a case- by- case basis. In recent studies of dogs involved in
378 trauma, the reported mortality rate (non- survivors to discharge from the hospital) was between 9.5%
379 and 16.8% (Hall et al., 2014; Klainbart et al., 2018; Lux et al., 2018; Streeter et al., 2009), which is
380 similar to our perioperative mortality rate of 13.3% (four deaths in the perioperative period out of 30
381 animals surgically treated). However, the 13.3% mortality rate should be interpreted with caution
382 because only animals that were surgically treated were included in the study; animals euthanized at
383 the admission or those who died before the surgery were not included, which may underestimate the
384 mortality rate. Moreover, four dogs were euthanized postoperatively because of failure to improve,
385 which increased the total mortality rate to 26.7%.

386 This study has several limitations owing to its retrospective nature for the clinical part, variability in
387 follow- up (phone interview or clinical examination) and imaging modality. The first thoracic
388 vertebrae, T1 to T5, were not assessed in the CT scan or cadaveric studies, which prevented us from
389 establishing whether the lateral corridors were safe and effective. The CT study did not evaluate
390 images of cat spines, and the cadaver part focused only on dogs; however, based on the results of

391 Vallefucio et al. these lateral corridors were also feasible and safe in cats from T10 to L7 vertebrae
392 (Vallefucio et al., 2013). Only one surgeon drilled the corridors. Therefore, it would have been
393 interesting to investigate whether the learning curve was the same for several novice neurosurgeons.
394 Drillings were performed on cadavers with intact thoracic and lumbar spines; it would have been
395 more difficult to perform the approach, visualise the landmarks and perform drillings on fractured
396 vertebrae with adjacent hematoma or damaged muscles. For the clinical part, before 2013, CT scans
397 were not available in the clinic and only radiographs were performed. This could lead to an
398 underestimation of the vertebral canal invasion due to a lower sensitivity of radiographs to detect
399 invasion compared to CT scans (Hettlich et al., 2010). However, the perpendicular nature of the
400 lateral vertebral corridor made it easily accessible on radiography (Fig 4). Not all grade V patients
401 who presented to the clinic underwent surgery: those with high degree of vertebral displacement
402 (>50%) were not operated (except for fracture of the caudal lumbar vertebrae involving the cauda
403 equina) due to suspected severe damage to the spinal cord with such a displacement and very low
404 percentage of functional recovery estimated, which induced a bias in case selection. Finally, spinal
405 walking may have been underestimated due to the retrospective nature of the study and the fact that
406 some long- term follow- up was documented by phone or email.

407 In conclusion, a thoracic and lumbar lateral vertebral corridor allowed implant placement in a
408 bicortical fashion with an easily reproducible and constant orientation angle of 90°, with complication
409 rates and outcomes similar to those of other previously published techniques. Moreover, the rate of
410 vertebral canal invasion was low, and the learning curve to drill the corridors correctly for screw
411 placement was short, which may facilitate the use of this surgical technique to treat vertebral
412 fractures/luxations during emergencies.

413

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