# 1 **Review**

# 2 **Carotenoids in female and male reproduction**

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# 4 Highlights:

- 5 Carotenoids are natural pigments with essential role in promoting tissue growth, female, and
- 6 male reproductive function
- 7 Mammals cannot synthetize carotenoids thereby they need to take them from the diet
- 8 Carotenoids have a steroidogenic function and act as regulators of folliculogenesis and
- 9 oogenesis
- 10 Retinol and retinoic acid are essential in the development of mature sperm

## 11 Carotenoids in female and male reproduction

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#### 29 Abstract

30 Carotenoids are among the best-known pigments in nature, confer color to plants and animals, and are mainly derived from photosynthetic bacteria, fungi, algae, plants. Mammals cannot 31 synthesize carotenoids. Carotenoids' source is only alimentary and after their assumption, they 32 are mainly converted in retinal, retinol and retinoic acid, collectively known also as pro-vitamins 33 34 and vitamin A, which play an essential role in tissue growth and regulate different aspects of the reproductive functions. However, their mechanisms of action and potential therapeutic effects are 35 still unclear. This review aims to clarify the role of carotenoids in the male and female reproductive 36 37 functions in species of veterinary interest. In female, carotenoids and their derivatives regulate not only folliculogenesis and oogenesis but also steroidogenesis. Moreover, they improve fertility 38 by decreasing the risk of embryonic mortality. In male, retinol and retinoic acids activate molecular 39 40 pathways related to spermatogenesis. Deficiencies of these vitamins have been correlated with degeneration of testis parenchyma with consequent absence of the mature sperm. Carotenoids 41 have also been considered anti-antioxidants as they ameliorate the effect of free radicals. The 42 43 mechanisms of action seem to be exerted by activating Kit and Stra8 pathways in both female 44 and male. In conclusion, carotenoids have potentially beneficial effects for ameliorating ovarian 45 and teste function.

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Keywords: carotenoids; retinol; retinoic acid, antioxidants; mammals; veterinary species;
folliculogenesis; oogenesis; steroidogenesis, fertility; embryo development; spermatogenesis

- 49
- 50 List of abbreviations
- 51 all trans RA: T-RA
- 52 anti-Müllerian hormone: AMH
- 53 cellular retinoic acid-binding proteins: CRABPs, CRABPI
- 54 cyclic adenosine-3',5'-monophosphate: cAMP
- 55 cytochrome P450 Family 26 Subfamily B Member 1: CYP26B1
- 56 doublesex and mab-3 related transcription factor 1: Dmrt1
- 57 follicle-stimulating hormone: FSH
- 58 glutathione peroxidase: GSH-Px
- 59 gonadotropin-releasing hormone: GnRH
- 60 inducible nitric oxide synthase: iNOS
- 61 inhibitor of DNA binding 4: Id4
- 62 KIT proto-oncogene, receptor tyrosine kinase: KIT
- 63 luteinizing hormone: LH
- 64 mechanistic target of rapamycin: mTOR
- 65 meiotic recombination protein: REC8
- 66 nanos C2HC-type zinc finger 2 and 3: Nanos2, Nanos3
- 67 neurogenin-3: Ngn3
- 68 neurotrophic factor receptor: Gfrα1
- 69 nitrous Oxide: NO/NOS
- 70 peroxisome proliferator-activated receptor alpha: PPARα
- 71 phosphoinositide 3-kinase: PI3K
- 72 POU Class 5 Homeobox 1: Pou5f1
- 73 proto-oncogene, receptor tyrosine kinase: KIT
- 74 reactive oxygen species: ROS
- 75 recombination protein: Rec8

- 76 retinoic acid: RA
- 77 retinoic acid-binding proteins: CRABPs
- 78 retinoic acid induced 14: RAI14
- 79 retinoic acid receptors: RAR / RARs / RARalpha / RARbeta / RARgamma / RXRbeta / RXR /
- 80 RARg2
- 81 retinoic acid-stimulated receptor 6 and 8: STRA6, STRA8
- 82 retinol-binding protein: RBP
- 83 spermatogenesis and oogenesis-specific basic helix-loop-helix 1: Sohlh1
- 84 spermatogenesis and oogenesis-specific basic helix-loop-Synaptonemal Complex Protein 3:
- 85 Scp3
- 86 stimulated retinoic acid 8: STRA8
- 87 superoxide dismutase: SOD
- 88 synaptonemal Complex Protein 3: Scp3
- zinc finger and BTB domain containing 16: Zbtb16
- 90

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## 113 1. Introduction

114 Carotenoids are fat-soluble substances (Maurya et al., 2021) and are among the best-115 known pigments in nature, confer color to plants and animals (Miyashita and Hosokawa, 2019). 116 About 750 natural pigments are known in this class; they are derived from photosynthetic bacteria, 117 fungi, algae, and plants (Wang, 2014).

118 1.1. Biochemical characteristics

The majority of carotenoids are characterized by a polyene structure with eight isoprene
 units and a carbonaceous skeleton of 40 atoms (Namitha and Negi, 2010) as shown in Figure 1
 (β -carotene as an example). This skeleton may be characterized by cyclic end-groups and may
 be complemented with oxygen-containing functional groups.

123 Carotenoids are hydrophobic molecules with very low water solubility. Polar functional 124 groups attached to the polyene chain can change the polarity of carotenoids, which affects their 125 localization in biological membranes and their interactions with various molecules (Jomova and Valko, 2013). Their chemical structure divides carotenoids into hydrocarbons termed carotenes 126 127 and oxygen derivatives of the hydrocarbons, called xanthophyll (Maoka, 2020). Among the 50 kinds of carotenes present in nature (Britton et al., 2004) the best known are  $\alpha$ -carotene,  $\beta$ -128 carotene,  $\psi$ -carotene (y-carotene), and lycopene (Figures 1 and 2); these latter contain only the 129 original hydrocarbon chain with any functional group (Saini et al., 2015). 130

131 1.2. Source, absorption, and metabolism

132  $\beta$ -carotene (trans-1,18-bio-[2,6,6-trimethyl-cyclohex1-en-1-yl]-3,7,12,16-133 tetramethyloctadeca-1,3,5,7,9,11,13,15,17-nonaen) is considered as a precursor of vitamin A, 134 belonging to the group of provitamins A, like  $\alpha$ -carotene and  $\beta$ -cryptoxanthin (Stahl and Sies, 135 2005).

Mammals cannot synthesize carotenoids and obtain them from their diet (Walter et al., 2010), for this reason, the main route of carotenoids intake for humans and animals is alimentary. Carotenoids are present in plant foods but various feed additives and dietary supplements containing synthesized carotenoids are also widely used (Hodge and Taylor, 2022).

Currently, methods are known for the synthesis of carotenoids from bacteria (*Escherichia*coli) (Dong et al., 2017), algae (*Spirulina* or *Arthrospira platensis*) (Gutiérrez-Salmeán et al.,
2015), fungi (*Blakeslea trispora*) (Bindea et al., 2018), plants (*Daucus carota, Cucurbita* spp.)
(Marcelino et al., 2020), as well as with the use of genetic engineering techniques (Walter and
Strack, 2011).

β-carotene is one of the most important sources of vitamin A, accounting for at least 30%
of the dietary vitamin A human intake, for some populations it may represent the only provitamin
resource (Weber and Grune, 2012). β-carotene is abundant in fresh and quality forages; however,

it is lacking in hay and corn silage (Kamimura et al., 1991). Cattle introduce vitamin A mainly as
 β-carotene from forages and as supplemented retinol ester in formula feed.

Appreciable amounts of these molecules are destroyed in the rumen (Weiss, 1998),
therefore the prevalent amount of β-carotene and retinol taken from the diet in this species comes
from the intestine (Ikeda et al., 2005).

153 Intestinal absorption and bioavailability of carotenoids is influenced by different types of 154 matrix such as lipids and fibers (Borel, 2003; Mamatha and Baskaran, 2011; Pasquier et al., 1996; Tyssandier et al., 2001). The active ingredients contained in spices also influence the absorption 155 and bioavailability of carotenoids. Recent findings showed the influence of spices active principles 156 on intestinal uptake, bioconversion of retinol, and basolateral secretion of carotenoids at 157 enterocyte level using Caco-2 cells (Shilpa et al., 2021). Eicosapentanoic acid inhibits intestinal 158 159 β-carotene absorption by down-regulation of scavenger receptor class B, type I expression via peroxisome proliferator-activated receptor alpha (PPARa) dependent mechanism (Mashurabad 160 161 et al., 2016).

162 Yuan et al. (2020) reported that more than 40% migrates through the circulatory system 163 into parenchymal organs, particularly liver and ovaries.

The molecular structure of β-carotene determines its biological role: it protects cell
structures from the transformation caused by aggressive factors such as toxins and oxidants
(Gutiérrez-Salmeán et al., 2015) and from the altering effects of reactive oxygen species,
contributing to membranes' integrity and functional stability (Aragona et al., 2021).

β-carotene in mammals is transformed into retinal and other forms, such as retinol and
 retinoic acid (RA) (Figure 3).

170 The retinol is esterified and transported to the liver where it is stored (Chew et al., 1984). 171 The biosynthetic steps leading to the biological transformation of  $\beta$ -carotene consist in the retinol 172 being oxidized to retinaldehyde (constitutes the visual pigment rhodopsin) and subsequently in 173 the synthesis of RA (Gottesman et al., 2001). RA is considered the active form of retinol (Jiang et 174 al., 2018).

175 Retinal is necessary for the functioning of the organs of vision while retinol and RA provide 176 tissue growth and regulate the reproductive function (Sergeev et al., 2017).

177 As a result of a complex biochemical synthesis, retinol is transported to the liver in the form of chylomicrons, binding with transthyretin and retinol-binding protein (RBP) and constituting 178 179 a three elements complex. This complex is the main source of vitamin A that tissues need for 180 their functions (Gottesman et al., 2001). The main distinguishing feature of  $\beta$ -carotene is its ability to accumulate into tissue depots. Further, under the influence of enzymes in the liver and 181 intestines, it turns into vitamin A. This occurs only in the quantities necessary for the body at each 182 183 physiological stage. It is important to note that β-carotene does not have the toxic effect 184 characteristic of excess or overdose of vitamin A (Klyuchnikov, 2007).

185 RA is a hormone-like compound. It regulates gene expression by activating specific nuclear receptors (RARs), which are ligand-controlled transcription factors. RA and its isoforms 186 187 are believed to interact with two separate subgroups of nuclear receptors, retinoic acid receptors 188 (RARalpha, RARbeta, RARgamma) and retinoid X receptors (RXRalpha, RXRbeta, RXRgamma). 189 They act as heterodimers with the retinoid X receptor (RXR), constituting RAR-RXR heterodimers. 190 The formation of ligand-receptor complexes will either activate or repress specific target genes 191 by binding to specific response elements present in the proximity of the promoter region (Mohan et al., 2002). 192

193There are also non-classical receptors that mediate RA function, namely the peroxisome194proliferator-activated receptor beta/delta (Jiang et al., 2018).

In particular, RA regulates the expression of genes for several growth factor receptors,
 including retinoic acid-stimulated receptor 6 (Stra6). Stra6 is a high-affinity membrane receptor
 for RBP and mediates the transport of vitamin A from the blood into cells (Eroglu and Harrison,
 2013).

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## 1.3. Effect on the reproductive system

200 Recently, several reviews described the biotransformation of carotenoids in animals 201 (Abdelnour et al., 2019; Meza-Herrera et al., 2013) and humans (Jamro et al., 2019; Li et al., 202 2019; Palini et al., 2014). Hemken and Bremel (1981) highlighting the possible difference in the carotenoid's metabolism between ruminants and monogastric animals. Another review 203 204 (Damdimopoulou et al., 2019) summarized the current knowledge about retinoids in 205 folliculogenesis and steroidogenesis in post-pubertal mammalian ovaries. D'Ambrosio et al. 206 (2011) thoroughly described the interaction of retinoids with enzymes and carrier proteins, that 207 determines the metabolism of retinoids. Bhardwaj et al. (2021) described the role of natural antioxidant compounds in infertility problems, with a chapter dedicated to carotenoids and vitamin 208 A, as well as how to use them safely. The role of retinoids in the endometrium are described by 209 210 Jiang et al. (2018).

211 Contrasting results exist on the  $\beta$ -carotene role on reproduction. Some authors (Akordor et 212 al., 1986; Hye et al., 2020; Oliveira et al., 2015) reported the absence of effect on reproduction. 213 Others, described an increase of 13-cis retinoic acid, a teratogenic metabolite for the fetus, 214 following the administration in the mother of a 13-trans retinoic acid excess, a β-carotene derivative (Goldberg, 2011). These results suggested that the administration of these carotenoids, 215 216 as a dietary supplement, should be monitored, especially in the first trimester of pregnancy 217 (Goldberg, 2011). In contrast, many studies reported that  $\beta$ -carotenes improve reproduction rates (De Bie et al., 2016). Other studies demonstrated that the additional use of  $\beta$ -carotene decrease 218 the number of abortions in sows (Spiegler et al., 2012) and cows (Ascarelli et al., 1985). In 219 220 addition, there is evidence that the dietary administration of β-carotene was able to reduce 221 embryonic mortality in the presence of vitamin A in gilts (Schweigert et al., 2002).  $\beta$ -carotene improved fertility (Chen et al., 2021), stimulated estrus behavior (Meza-Herrera et al., 2013) and
decreased the service period (Gossen and Hoedemaker, 2005), preserving the reserves of luteal
retinol necessary to carry out the luteal steroidogenic activity (Schweigert, 2003).

In the following sections we will summarize the information about the effect of carotenoids, on mammals' reproductive function. In particular, the role of carotenoids in male and female reproductive processes in species of veterinary interest will be discussed. In particular, the role of the most relevant carotenoids will be discussed with respect to folliculogenesis, follicular and luteal steroidogenesis, oocyte maturation, corpus luteum, embryo and pregnancy for the female. Whereas, for the male, the impact of carotenoids on spermatogenesis and their antioxidant role on sperm quality and parameters will be discussed.

232 2. Females

## 233 2.1. Folliculogenesis

234 Folliculogenesis is the development of follicles in the ovaries of the female, from primordial 235 to preovulatory. The early stages of folliculogenesis involve molecular mechanisms that target 236 molecules such as the mechanistic target of rapamycin (mTOR), phosphoinositide 3-kinase 237 (PI3K), and those of the mammalian Hippo signaling pathway (Gershon and Dekel, 2020; Shah 238 et al., 2018). The initial stages of folliculogenesis do not depend on gonadotropins. After the initial 239 activation, the synthesis of growth factors, activins and anti-Müllerian hormone (AMH) begins in 240 the follicles and can already act on them both locally and through the hypothalamic-pituitary system. These components are secreted by the ovarian granulosa cells. As the antral cavity 241 242 forms, follicular growth becomes increasingly dependent on gonadotropins.

Various studies proved that RA is involved in the processes of neuro-humoral regulation of the human reproductive cycle (Kawai et al., 2016) and that β-carotene supplementation reduced ovulation failure in bovine repeat breeders (Khemarach et al., 2021). Ikeda et al. (2005) reported that both β-carotene and retinol are present in bovine follicular fluid, with a ratio directly correlated to blood concentration.

In contrast to vitamin A, β-carotene concentrations in plasma, corpus luteum and follicular
fluid were significantly correlated with each other (Haliloglu et al., 2002) (Table 1).

Furthermore, these two forms of carotenoids would be trapped in the follicle by their carrier proteins, thereby explaining the different concentrations found in the follicle (Brown et al., 2003; Schweigert and Zucker, 1988). Moreover, retinol concentrations were higher in larger follicles than in small ones, with an intense immunoreaction in pre-antral follicles (Brown et al., 2003). In contrast, the intrafollicular  $\beta$ -carotene concentration was negatively correlated with the follicle diameter (Haliloglu et al., 2002).

In a study of Hidalgo et al. (2005), cows, receiving vitamin A injections and showing normal
 vitamin A blood concentrations, developed follicles containing high follicular fluid. This study

suggested that the follicle is able to incorporate vitamin A, 4 days after its administration. The same authors stated that the volume of fluid collected is not influenced by retinoid treatment (Hidalgo et al., 2005), as reported in pigs (Whaley et al., 2000). In addition,  $\beta$ -carotene accumulated in the corpus luteum (Haliloglu et al., 2002) can be considered as a retinol source in the follicles (Bondi and Sclan, 1984).

Kawashima et al. (2012) stated that β-carotene is one of the important nutritional factors for the
 resumption of reproductive function after parturition in dairy cows.

In dairy cows, β-carotene has an immunomodulatory function and decreases the incidence
 of mastitis (Chew et al., 1982) and placental retention (Michal et al., 1994).

267 Moreover, the conversion rate of β-carotene to vitamin A in granulosa cells is enhanced 268 by follicular growth, and intrafollicular concentration of vitamin A correlated positively with 269 estradiol concentration and follicle diameter (Schweigert and Zucker, 1988).

Lower energy supply and plasma levels of β-carotene in the peripartum influence the
 resumption of ovarian follicular activity after delivery in dairy cows, leading to the ovulatory activity
 block (Kawashima et al., 2012).

In dairy cows, an increased administration of β-carotene in the close-up dry state led to
follicles ovulation in the first follicular wave (Kawashima et al., 2012).

In contrast, despite  $\beta$ -carotene supplementation ( $\beta$ -carotene 1g/d) and its increased plasma concentrations in the pre-partum period, other authors found no effects on the resumption of ovulatory activity in dairy cows (Kaewlamun et al., 2011).

Fujihara et al. (2018) found that RA activated the growth of primordial follicles in cats during co-incubation with ovarian cell culture. However, it did not affect ovarian viability. RA regulates the development of the ovarian follicle, stimulates the proliferation of granulosa cells (Demczuk et al., 2016).

The β-carotene addition to the diet of goats outside the reproductive season (50
mg/goat/day, from April to May) promoted the active development of follicles (Lopez-Flores et al.,
2020).

285Overall, the general consensus is that the role of β-carotene and its derivatives appears286to be positive with respect to follicular growth and maturation.

287 2.2. Steroidogenesis

Pituitary gonadotropic hormones interact with follicular components to stimulate follicle development and oogenesis. These hormones act both in an autocrine and paracrine manner (i.e., IGF-1 and 2) (Lopez-Flores et al., 2020).

291 RA (10<sup>-10</sup>M) and retinol (10<sup>-8</sup>M) synergistically enhanced the function of follicle-stimulating 292 hormone (FSH) in inducing luteinizing hormone (LH) receptors (Bagavandoss and Midgley, 293 1988). In addition, the combination of these molecules stimulated the formation of cyclic 294 adenosine-3',5'-monophosphate (cAMP) and progesterone (Bagavandoss and Midgley, 1988). However, at higher concentrations, both retinoids suppressed these effects of FSH (Bagavandoss and Midgley, 1988). The endometrium secretes the retinol binding protein (RBP) under the action of progesterone (Trout et al., 1992). Moreover, in human ovarian surface epithelium-C2 cells, RA greatly increased  $3\beta$ -hydroxysteroid dehydrogenase mRNA levels (Papacleovoulou et al., 2008).

300 Vitamin A deficiency negatively affects steroidogenesis. The use of retinoids increases the 301 synthesis of progesterone *in vitro* by luteal cells in cattle. A study on cattle luteal cells described 302 that retinoids protect the cytochrome P450, the enzyme involved in the transformation of 303 cholesterol, from free oxygen radicals damage (Brown et al., 2003).

 $\beta$ -carotene improved bovine luteal cells steroidogenesis when present at low doses (0.1 micromol/l), whereas it was inhibitory at higher concentrations (1 or 2 micromol/l) (Arikan and Rodway, 2000). Moreover, in the same experiment, the encapsulation of  $\beta$ -carotene in cyclodextrin was an efficacious method to provide this molecule to the cells (Arikan and Rodway, 2000).

Cumulus cells contained endogenously active retinoid receptors and participated to the RA synthesis using the precursor retinol. According to Mohan et al. (2003), retinoids previously administered *in vivo* or *in vitro* can have a receptor-mediated effect on cumulus-granulosa cells.

Carotene can inhibit the activation of the estrogen receptors, so confirming its role in the neurohumoral regulation of the reproductive cycle. In cattle orally supplemented with carotene, it was found that this molecule increased the expression of genes involved both in the activity of cellular gonadotropes and in the regulation of gonadotropin-releasing hormone (GnRH) (Haliloglu et al., 2002).

Supplementation with different  $\beta$ -carotene doses (0.4, 2, or 10 mg) in cats, during the 8 weeks prior to estrus, increased plasma progesterone concentrations between day 6 and 10 after ovulation increasing until day 14 in cats fed a diet with the maximum  $\beta$ -carotene dose (10 mg) (Chew et al., 2001).

In mares, synthetic  $\beta$ -carotene supplementation (1 g/d) for 15 days, starting from parturition, enhanced steroidogenesis, leading to a better resumption of cyclicity (Trombetta et al., 2010). In contrast, Watson et al. (1996) showed that ponies did not absorb synthetic  $\beta$ carotene, with a consequent deficit of ovarian response.

The data summarized above indicate the β-carotene and its derivatives exert a positive but dose-dependent role with respect to ovarian steroidogenesis (Figure 4).

327 2.3. Oocyte maturation

Various studies reported that vitamin A improved developmental competence of oocytes in cow (Shaw et al., 1995), gilt (Whaley et al., 2000), ewe (Eberhardt et al., 1999) and rabbit (Besenfelder et al., 1993, 1996), even if the latter is a species with physiologically high blood levels of this carotenoid. The oocyte is rich in vitamin A, through its cellular derivative RA (Mohan et al., 2001). Indeed, transcripts of retinoid binding proteins and other RA receptors have been described in bovine oocytes and embryos from the early stages of their development (Mohan et al., 2002, 2003). The presence of retinoid nuclear receptor mRNA indicates the existence of a retinoid signaling mechanism in the oocyte (Figure 5). The RA receptors alpha (RAR $\alpha$ ), beta (RAR $\beta$ ), and g2 (RARg2) were immune-evidenced in bovine blastocysts (Mohan et al., 2001, 2002), demonstrating that transcripts are translated into proteins.

An active RA signaling pathway is fundamental for the onset of oogenesis (Spiller et al., 2012; Teletin et al., 2017). RA is an essential chemical involved in germ cell division, which can initiate meiosis in two ways: the first is RA stimulation of the retinoic acid 8 (Stra8) factor transcription (Damdimopoulou et al., 2019) (Figure 5). Another possible route of meiosis initiation is the activation by RA of the meiotic recombination protein (Rec8) transcription, necessary for the replication of meiotic DNA and, thus, to the successful course of meiotic prophase, (Damdimopoulou et al., 2019).

A study (Nasiri et al., 2011) reported that RA increased the rate of oocytes maturation in mice. A 2 to 4  $\mu$ M of physiological RA form (all trans RA) improved *in vitro* maturation and development rates of mouse immature oocytes. However, despite these positive effect, the use of higher doses (6-8  $\mu$ M) significantly reduced the rate of development and the quality of oocytes (Tahaei et al., 2011).

Saadeldin et al. (2019) studied the effect of trans-RA in dromedary cumulus-oocyte complex on *in vitro* maturation. The dose of 20 µM trans-RA significantly reduced the proportion of degenerated oocytes. There was a significant improvement in the process of oocyte meiosis and extrusion of the first polar body in comparison with both control and experimental groups.

355 Vitamin A plays a unique role in the maturation of the oocyte cytoplasm, in fact,  $\beta$ -carotene 356 can enhance cytoplasmic maturation due to its antioxidant properties (Ikeda et al., 2005). RA also promoted the maturation of the bovine oocytes cytoplasm due to its modulating effect on genes 357 358 expression for gonadotropin receptors, midkine, cyclooxygenase-2, and nitric oxide synthase in 359 cumulus-granulosa cells (Ikeda et al., 2005). RA via the inhibition of RARα and inducible nitric oxide synthase (iNOS) expression, activated the nitrous oxide system (NO/NOS) in cumulus-360 361 granulosa cells affecting the cytoplasmic maturation of bovine oocytes (Sirsjö et al., 2000) (Figure 5). Moreover, RA massively inhibited the expression of iNOS mRNA and NO production in porcine 362 363 immature oocytes (Hattori et al., 2002).

Duque et al. (2002) evaluated the effect of 5 nmol/L RA on *in vitro* pre-maturation and maturation of bovine oocyte-cumulus complexes. Pre-maturation in the presence of RA improved the cytoplasmic competence of *in vitro* matured bovine oocytes.

After *in vitro* fertilization and culture, the rate of bovine blastocyst development and hatching was increased in samples matured in the presence of 9-cis-RA (Deb et al., 2011). RA increased the developmental capacity of the oocyte and positively influenced the development and differentiation of the trophectoderm and the maturation of embryos *in vitro* (Hidalgo et al.,2003).

In conclusion, the carotenoids exert a positive effect on oocyte maturation, but this effectappears to be dose dependent.

374 2.4. Corpus luteum

375 The yellowish color of the corpus luteum has always been associated with the high 376 presence of  $\beta$ -carotene (Bruggemann and Niesar, 1957; Kirsche et al., 1987) and represents a 377 target of this molecule and its derivatives (Schweigert and Zucker, 1988).

In a study conducted in bovine corpora lutea at different stages of the ovarian cycle the authors demonstrated that of  $\beta$ -carotene concentration, but not of retinol, increased with luteal development (Schweigert, 2003). This would be explained by the high metabolic activity of this endocrine gland, in particular, of lipoproteins (lipoprotein bound-cholesterol) involved in steroidogenesis which retain  $\beta$ -carotene (Crociati et al., 2017).

Moreover, in cattle, the low concentrations of retinol observed during active steroidogenesis of the corpus luteum suggested a significant role for this molecule in the steroidogenic process. Schweigert et al., (2003) asserted that the dietary  $\beta$ -carotene supplementation in cows helps the corpus luteum to have sufficient retinol reserves to perform steroidogenic activity.

High  $\beta$ -carotene concentration is found in the luteal tissue and follicular fluid in cattle (Schweigert, 2003), therefore,  $\beta$ -carotene deficiency negatively affected the sexual cycle: the follicular phase (nymphomania) increased and the luteolysis processes was disrupted (Yuan et al., 2020).

The intracellular luteal RA concentrations are mostly controlled by cellular retinoic acidbinding proteins (CRABPs). Within the corpus luteum, RBP and CRABPs were observed in large luteal cells, but only RBP was observed in small luteal cells (Brown et al., 2003). In the pig, the CRABPs presence was cycle stage-dependent, the luteal cells in dioestrus expressed CRABP I (Schweigert and Siegling, 2001).

<sup>397</sup>Carotenoid metabolites are found in the microsomal membrane and in different subcellular <sup>398</sup>fractions including nuclear, mitochondrial, cytosolic, and floating lipid of the corpus luteum; in <sup>399</sup>particular, retinal and retinol were found in the corpus luteum of cows (O'fallon and Chew, 1984). <sup>400</sup>It is assumed that  $\beta$ -carotene in the corpus luteum tissues may be in the form of a retinol depot <sup>401</sup>(O'fallon and Chew, 1984). Therefore, it is metabolized during periods when the retinol necessary <sup>402</sup>for the corpus luteum activities is insufficient. In this context, it is interesting to observe that <sup>403</sup>progesterone synthesis was reduced in rats with vitamin A deficiency (Hurley and Doane, 1989).

The levels of retinol, retinyl esters and  $\beta$ -carotene in bovine follicular fluid and blood plasma were reported to be closely correlated (Hidalgo et al., 2005); however, the correlation between bovine plasma and corpus luteum was negligible, with the exception of retinol. This 407 molecule was closely correlated with plasma and follicular fluid in pigs, while the correlation 408 between plasma and corpus luteum retinol, retinyl esters and  $\beta$ -carotene was negligible (Chew et 409 al., 1984).

410 Arellano-Rodriguez et al. (2009) evaluated the effect of  $\beta$ -carotene supplementation on 411 luteal activity, in particular on goat progesterone synthesis. Feeding animals with 50 mg/d of  $\beta$ -412 carotene for 35 days before and 17 days after ovulation, increased the synthesis and secretion 413 of progesterone by the luteal tissue.

Ultimately, carotenoids are important in luteal steroidogenesis and in the correct succession of ovarian phases, including the luteal one. All this is also evidenced by the typical yellowish color of the corpus luteum, determined by the presence of carotenoids in this endocrine gland.

418 2.5. Embryos and pregnancy: beneficial effect and overdose

419 For several years, vitamin A has been associated to reproductive organs function (Eskild and 420 Hansson, 1994), embryos development and pregnancy (De Souza Mesquita et al., 2021; Quadro 421 et al., 2020; Quadro and Spiegler, 2020; Trainor, 2022). The importance of this vitamin in 422 embryonic development is demonstrated by specific offspring malformations induced by the 423 vitamin A deficiencies during gestation (Draghici et al., 2021; Gutierrez-Mazariegos et al., 2011). 424 Various studies showed the efficacy of  $\beta$ -carotene in increasing pregnancy rates in dairy cow 425 (Aréchiga et al., 1998) and in reducing retained placenta (Michal et al., 1994; Oliveira et al., 2015). Chew et al. (1982) reported that  $\beta$ -carotene, has antioxidant effects, especially in the ovaries and 426 427 uterus of cows, enhances host defense mechanisms by lymphocyte and phagocyte, and 428 decreases mastitis. Some studies (Ozaki et al., 2017; Vermot et al., 2000; Zheng et al., 2000) 429 evidenced that RA is involved in endometrial development and renewal, being important in the 430 cyclic change during the ovarian phases and also at the time of blastocyst implantation.

As pregnancy progresses, uterine vitamin A concentrations decrease and this was related to a supply of this vitamin by the placenta (Groothuis et al., 2002; Schweigert et al., 1999) or to an increasing demand of the vitamin A by the uterus and embryo (Maden, 1994).

In dairy cows, deficiency of vitamin A or its natural precursor,  $\beta$ -carotene, resulted in reduced conception rates (Hurley and Doane, 1989). The major reproductive problems in dairy cows take place during late gestation, as indicated by increased rates of abortion and retained placenta and the birth of dead, weak, or blind calves (Hurley and Doane, 1989). Continuous feeding of  $\beta$ -carotene low rations reduced reproductive efficiency and had deleterious effects on pituitary and ovarian function (Hurley and Doane, 1989).

β-carotene injections in combination with tocopherol improved the quality of embryos in
 Holstein cows with induced superovulation (Sales et al., 2008). The addition of β-carotene to the
 diet increased the concentration of this molecule in plasma, colostrum, and milk of mares and

443 also in the plasma of their foals (Kuhl et al., 2012). However, no positive effect on female fertility 444 was noted (Kuhl et al., 2012).

445 Pharmacological concentrations of RA lead to embryo toxicity, when administered shortly 446 after implantation (Huang et al., 2001; Piersma et al., 2017).

447 In pigs, retinol and RBP, were abundantly produced by the uterus, so there was a high presence 448 of these molecules in the uterine fluid (Schweigert et al., 1999). These studies evidenced a noteworthy role of retinol and RBP on the early embryo trophism, as confirmed in the ewe (Doré 449 et al., 1994), and gilt (Schweigert et al., 1999). 450

In particular, the RBP synthesis was active in uterine glands and uterine surface epithelium 451 as demonstrated in different species: sows (Adams et al., 1981; Harney et al., 1994; Wang et al., 452 2012), baboon (Fazleabas and Verhage, 1994), mares (McDowell et al., 1995), goat (Liu et al., 453 1995), mouse (Ma et al., 2012), rat (Itoh et al., 2009), cow (Costello et al., 2010; Mullen et al., 454 2012). The expression of the RBP is hormonally regulated and ovarian cycle dependent. In fact, 455 456 Schweigert and Siegling, (2001) described the localization of RBP, CRABPI, and RXR in the sow 457 genital organs, during different stages of the estrous cycle. In particular, the highest concentration 458 of RBP and RXR were observed during estrus. In the endometrium and myometrium, RXR was present throughout the entire cycle. Its highest concentration was recorded during diestrus, 459 460 whereas RBP and CRABPI were found in endometrial cells only during diestrus. Moreover, 461 CRABPI expression in oviductal tissues appeared to depend on estrogen. In contrast, in the uterus, RBP and CRABPI expression was influenced by progesterone (Schweigert et al., 1999). 462

463 In the endometrium, gene expression for RBP was sensitive to small fluctuations in 464 progesterone concentration on the 7th day of the sexual cycle. However, the authors did not find a significant correlation between progesterone concentration in the blood plasma and uterine fluid 465 and that of RBP on day 7 of the cycle (Costello et al., 2010). 466

467 Vitamin A deficiencies found in developing countries during pregnancy lead to alterations in embryogenesis (Hovdenak and Haram, 2012), however, overdose is often found in developed 468 469 countries, causing a teratogenic effect. Since 1954, Cohlan described the teratogenic effects of 470 an overdose of vitamin A during pregnancy in the rat (Cohlan, 1954). The teratogenic effects of 471 carotenoid intake were subsequently confirmed in other animals and in humans (Geelen and 472 Peters, 1979; Rosa, 1987). Moreover, pharmacological concentrations of RA lead to embryo toxicity, when administered shortly after implantation (Huang et al., 2001; Piersma et al., 2017). 473

474 During pregnancy, it must not be exceeded the 5000 IU/day of retinol supplementation to 475 avoid the overdose effect (Duerbeck and Dowling, 2012).

3. Male 476

477 3.1. Impact of carotenoids on male reproductive function and spermatogenesis

478 Several studies reported that deficiencies of vitamins A, RA and retinol have been 479 correlated with degeneration of testis parenchyma and spermatogonia with consequent loss of 480 the reproductive function. In bulls,  $\beta$ -carotene deficiency negatively affected sperm motility and 481 induced morphological alterations of the head and cytoplasmatic droplet in middle piece, 482 suggesting that these alterations were likely due to retarded spermatogenesis and spermatic 483 maturation disturbances in the epididymis (Weiss et al., 1979). In another study on dairy bulls, 484 low vitamin A diet determined not only weight loss and vision alteration, but also decreased the 485 number of mature spermatozoa in the ejaculate as well as testicular atrophy (Erb et al., 1947). 486 Vitamin A deficiency caused bad semen quality and consequent low fertility. However, as 487 observed in many studies, the loss of functional germ cells is reversible, suggesting that vitamin A actively participates in molecular pathways controlling spermatogenesis. The mechanism 488 489 underlying this phenomenon has been clarified in mouse. When male mice are knock-out to be 490 deficient of vitamin A, terminally differentiated germ cells are not present in the seminiferous 491 epithelium, where only type A spermatogonia and Sertoli cells can be found (Hogarth and 492 Griswold, 2010). Many studies have shown that administration of RA into these knock-out male 493 mouse activate the molecular pathways controlling spermatogonial differentiation to mature 494 spermatozoa (Agrimson and Hogarth, 2016; Griswold et al., 1989; Hogarth et al., 2015; Hogarth and Griswold, 2010; Van Pelt and De Rooij, 1990). 495

#### 496 3.1.1. Mechanism of action of Stra8 and Kit

The supplementation of RA to the culture of neonatal testes and undifferentiated 497 498 spermatogonia was correlated with higher expression of Stra8 and receptor tyrosine kinase (Kit) 499 transcripts (Pellegrini et al., 2008; Zhou et al., 2008). These genes are established markers of 500 differentiating spermatogonia and are important in increasing the number of cells containing 501 nuclei reminiscent of leptotene and zygotene spermatocytes (Pellegrini et al., 2008). Consistent 502 with these results, in another study, the injection of RA in newborn and adult mice induced higher 503 expression of Stra8 transcript (Snyder et al., 2010; Zhou et al., 2008), once again demonstrating 504 the activity of RA to control genes related to spermatogenesis. Overall, these results support the 505 theory that RA is synthesized from retinol in situ and degraded or stored with specific enzymes in 506 testes (Hogarth et al., 2015). In particular, the concentration of RA seems to continuously change 507 in the seminiferous epithelium resulting in a cyclic content variation of these enzymes (Sugimoto 508 et al., 2012). RA level is relatively low in stages II-VI and high from stage VII spermatogonia 509 (Hogarth et al., 2015). Whereas, in stages VII–VIII spermatogonia, RA level is high (Endo et al., 510 2015). The high concentration seems to drive the progenitor-to-A1 transition and meiosis entry, simultaneously. In conclusion, it clearly appears that RA regulates different stages of 511 512 spermatogenesis and is involved not only in spermatogonia differentiation but also in the

513 regulation of progenitor self-renewal of spermatogonia. Among the regulated genes, Kit (Koli et 514 al., 2017) and Stra8 (Raverdeau et al., 2012) (Figure 6) are direct targets of RA. To further support 515 these findings, it has also been observed that RA can inhibit Pou5f1 (Okazawa et al., 1991) and 516 Ngn3 (Hao et al., 2016) (Figure 6) which are markers of spermatogonia progenitors. Moreover, 517 RA administration in spermatogonia inhibited the expression of Gfrα1, Id4, Zbtb16, Nanos2, and 518 Nanos3 (Hao et al., 2016; Koli et al., 2017) (Figure 6). Therefore, RA has the ability to simultaneously down-regulate certain self-renewal and progenitor genes and up-regulate several 519 520 differentiating actors. However, further studies are necessary to clarify the mechanisms of action.

521

## 3.1.2. Regulation of Retinoic Acid Induced 14 on spermatogenesis

522 The use of WIN 18,446 has helped explaining the mechanisms of RA signaling in 523 spermatogenesis. This molecule inhibits the conversion of retinol to RA in the postnatal testis and 524 in the embryonic gonad. This makes WIN 18,446 an excellent factor to use to continue the 525 research into how RA regulates germ cell development in testes. Until now, RA signaling has 526 been controlled by inhibiting the receptors, using gene knockout studies or receptor antagonists. 527 However, these methods can be only applied to a particular receptor expressed in a specific cell 528 type making difficult the elimination of functional redundancy that may occur between receptors. 529 Following the research on WIN 18,446, another gene developmentally regulated by RA is Retinoic 530 Acid Induced 14 (RAI14). RAI14 was originally identified in human retinal pigment epithelial cells 531 (Kutty et al., 2001). However, in humans, RAI14 is also expressed in placenta and testes (Kutty 532 et al., 2006). RAI14 protein is composed by six ankyrin repeats and a long coiled-coil domain 533 which is at the N-terminal region and at the C-terminus, respectively, which are domains involved 534 in protein-protein interactions (Kutty et al., 2006). In rat testes, RAI14 is expressed in germinative 535 epithelium, in both the Sertoli and germ cells (Qian et al., 2013a). In the same study, it was also 536 demonstrated that RAI14 localizes at both the basal and the apical ectoplasmic specialization, 537 demonstrating that RAI1 regulates F-actin organization at this level. These results were consistent 538 with those of another study, where the knock down of RAI14 in Sertoli cells in vitro mediated by small interfering RNA in Sertoli altered cell junction functionality as well as F-actin distribution 539 540 (Qian et al., 2013a). Furthermore, in the same study, it was demonstrated, that the inactivation of 541 Rai14 affected spermatid polarity, adhesion and spermatid movement (Figure 6), because of the 542 disruption of the apical ectoplasmic specialization (Qian et al., 2013b). Finally, RAI14 has also been found to be predominantly expressed in mouse testis (Kutty et al., 2006). However, further 543 544 work will be necessary to elucidate these findings as little is still known about its RAI14 function during mouse spermatogenesis. 545

546

## 3.1.3. Involvement of Dmrt1 into the regulation of RA signaling pathway

547 Recently, the mechanisms underlying the role of RA signaling pathway have been clarified 548 in goat. In particular, the researchers discussed a possible role of Doublesex and mab-3 related transcription factor 1 (Dmrt1) in the RA signaling pathway (Figure 6). As discussed earlier, Stra8 and RA are regulators of meiosis, which one of the principal mechanisms characterizing spermatogenesis (Matson et al., 2010; Raverdeau et al., 2012). While, in female fetal gonads, RA activates transcription of Stra8 which allows the beginning of meiosis. In the fetal male gonad, these mechanisms are inhibited by Cytochrome P450 Family 26 Subfamily B Member 1 (CYP26B1) (Feng et al., 2014).

Dmrt1 has two main functions: 1) it activates spermatogonia proliferation and 555 556 differentiation before meiosis initiation and promotes expression of spermatogenesis and oogenesis-specific basic helix-loop-helix 1 (Sohlh1) (Matson and Zarkower, 2012); 2) it 557 558 coordinates mitosis and meiosis by repressing RA signaling and inhibiting Stra8 transcription 559 (Matson et al., 2010). In male gonad of dairy goat, Dmrt1 expression was significantly higher than in other tissues (Wei et al., 2018). Recent evidences have shown that RA inhibits Dmrt1 560 561 expression with negative impact on spermatogonia differentiation (Wang et al., 2016). On the 562 contrary, overexpression of Dmrt1 in vitro was associated with down-regulation of Stra8 and 563 Synaptonemal Complex Protein 3 (Scp3) and enhancement of differentiation and proliferation of 564 male goat germ cells. Therefore, these results indicate that Dmrt1 exhibits a significant effect in 565 spermatogenesis and maintenance of mammalian spermatogonia (Wei et al., 2018).

566

#### 3.1.4. Correlation of retinoic acid with gut-testis axis

567 Deficiency of RA could be also caused by altered diet and in particular there is a correlation 568 between RA absorption and gut health. In sheep, it has been proposed that the mechanisms of 569 vitamin deficiency could be due to a gut-testis axis alternations. Zhang et al. (2022) showed that 570 the use of induced excessive energy diet model altered spermatogenesis. This seems to be 571 dependent on reduced bile acid levels, which further influenced RA absorption. Overall, these 572 findings demonstrated that modification of gut microbiota and alteration of RA metabolism have 573 potential as treatments for male infertility induced by excessive-energy diet-induced metabolic 574 syndrome (Zhang et al., 2022).

575

## 3.2. Antioxidant effect of carotenoids

Carotenoids are known to exert an antioxidant effect on testicular cells thus ameliorating the impact of free radicals. In rat, β-carotene decreased the negative effect of methotrexate induced testicular injury thanks to the anti-oxidant and anti-apoptotic effects (Vardi et al., 2009). In another study, β-carotene ameliorated the effect of ethanol on hepatic cells (Peng et al., 2010). This seemed to occur through the inhibition of caspase-9 and caspase-3 expression which determined an apoptotic effect on the treated animals (Peng et al., 2010), once again showing a potential role of β-carotene as anti-oxidant.

583 Amongst the beneficial effects, it has been observed that carotenoids administration 584 improves critical semen parameters including sperm motility, membrane and DNA integrity since,

585 in vivo, they protect spermatozoa from reactive oxygen species (ROS) produced by leukocytes, reduce cryodamage to spermatozoa, block premature sperm maturation and provide an overall 586 587 stimulation to the male gamete (Bansal and Bilaspuri, 2011; Sheweita et al., 2005; Twigg et al., 588 1998). Moreover, an increasing number of reports are emphasizing on the beneficial antioxidant 589 role of carotenoid on frozen semen (Bucak et al., 2015). This effect increases when carotenoids 590 are used in combination with other antioxidant compounds such as curcumin (Reddy and Lokesh, 591 1994). Curcumin acts as antioxidant thanks to the phenolic groups which eliminate oxygenderived free radicals and superoxide anions (Piper et al., 1998; Reddy and Lokesh, 1994). The 592 593 action of curcumin on markers of oxidative stress is correlated with its properties able to determine 594 the removal of reactive oxygen and nitrogen, metal chelation, and regulation of numerous 595 enzymes. In particular, curcumin increases the activity of glutathione peroxidase (GSH-Px), 596 catalase and superoxide dismutase (SOD) enzymes that neutralize free radicals, it inhibits 597 enzymes (lipoxygenase, cyclooxygenase, xanthine oxidase) that produce ROS (Lin et al., 2000; 598 Piper et al., 1998) The use of curcumin improved spermatogenic disorders induced by scrotal 599 heat stress in mice (Lin et al., 2015). In this study, co-treatment with  $\beta$ -carotene or curcumin led 600 to repair activity, as indicated by the presence of many spermatogenic cells. In particular, the 601 combined treatment with β-carotene and curcumin resulted in recovery to almost normal testicular 602 morphology. Therefore,  $\beta$ -carotene and curcumin could be natural protective candidates to 603 protect against male infertility induced by various environmental stressors.

604 However, although many studies have demonstrated the possible use of carotenoids as 605 antioxidants, further work is necessary to clarify their mechanisms of action.

606

#### 607 4. Conclusions

608 Carotenoids are a class of natural pigments synthetized by plants, algae, and photosynthetic 609 bacteria. Mammals are not able to synthetize carotenoids and they have to take them from the diet. It is well-known that carotenoids are important in regulating tissue growth and act in 610 promoting the reproductive function of female and male. In the present work, we summarize the 611 612 findings on the mechanisms of action of carotenoids and its derivates in controlling 613 folliculogenesis and oogenesis and have a steroidogenic function in the females. Carotenoids can 614 be potentially used alone or in combination with other hormones for its moderate estrogenic effect. 615 Whereas, in the males, carotenoids activate the molecular pathways related to spermatogenesis. 616 Several studies have also shown that deficiency of these vitamins can alter the processes of 617 spermatogonia development and induce infertility with consequent absence of mature spermatozoa. Carotenoids have an antioxidant effect which seems to be exerted by ameliorating 618 619 the activity of free radicals. The mechanisms of action seem to be exerted by activating KIT and 620 STRA8 pathways in both female and male.

Overall, in the present review, we show that carotenoids can be potentially supplemented in the animal diet to favor the reproductive function. However, although considerable research supports the positive impact of carotenoids on animal reproduction, further studies are necessary to consolidate the knowledge on the properties of carotenoids and their role in the reproductive functions including the determination of the beneficial dose which should not be exceeded to avoid a toxic effect.

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The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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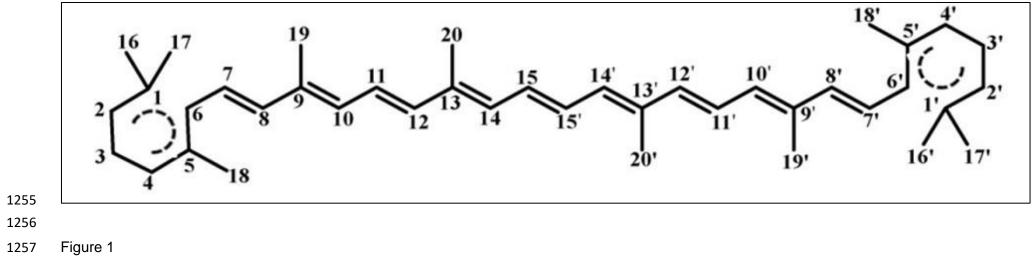
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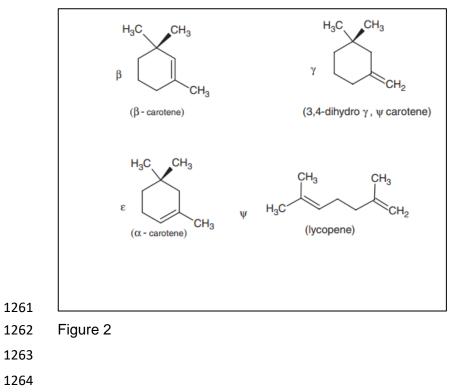
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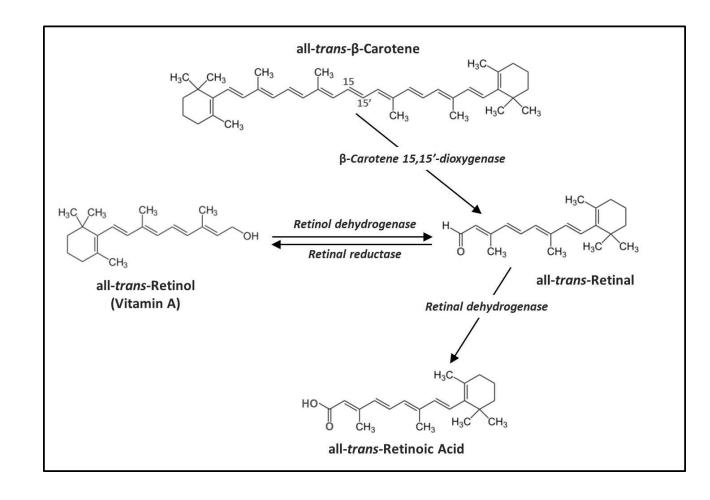
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1237	Figure legends
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1240	Figure 1. Schematic representation of the chemical structure of $\beta$ -carotene. From Saini et al.
1241	(2015).
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1243	Figure 2. Characteristic end groups of carotenoids (names of carotenoids written in bracket are
1244	examples of carotenoids). From Namitha and Negi (2010).
1245	
1246	Figure 3. β-Carotene metabolism. Enzymatic activities in italics
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1248	Figure 4. Schematic representation of the mechanisms related to RA and retinol and (A) and $\beta$ -
1249	carotene (B) on steroidogenesis
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1251	Figure 5. Schematic representation of RA signaling pathways involved in oogenesis.
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1253	Figure 6. Schematic representation of the RA mechanisms of action in the male reproduction

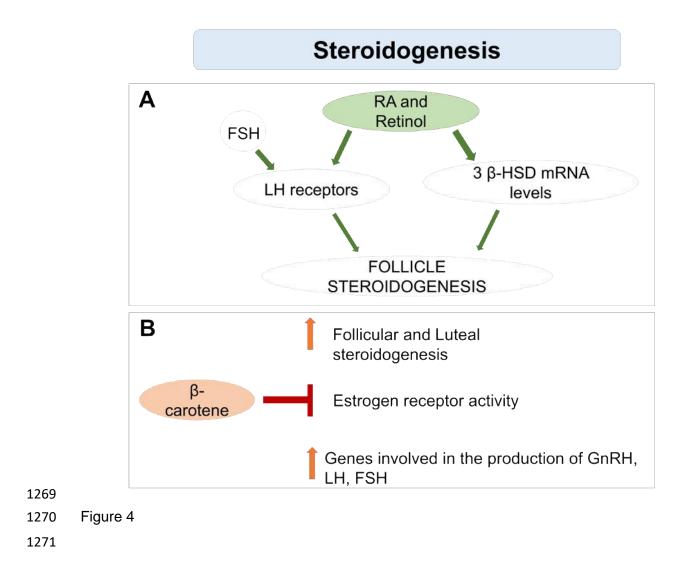
1254 function.

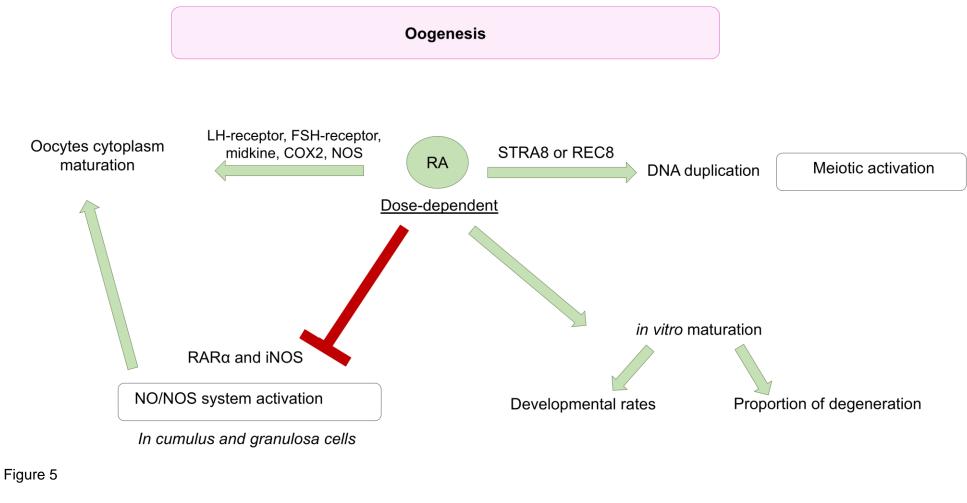


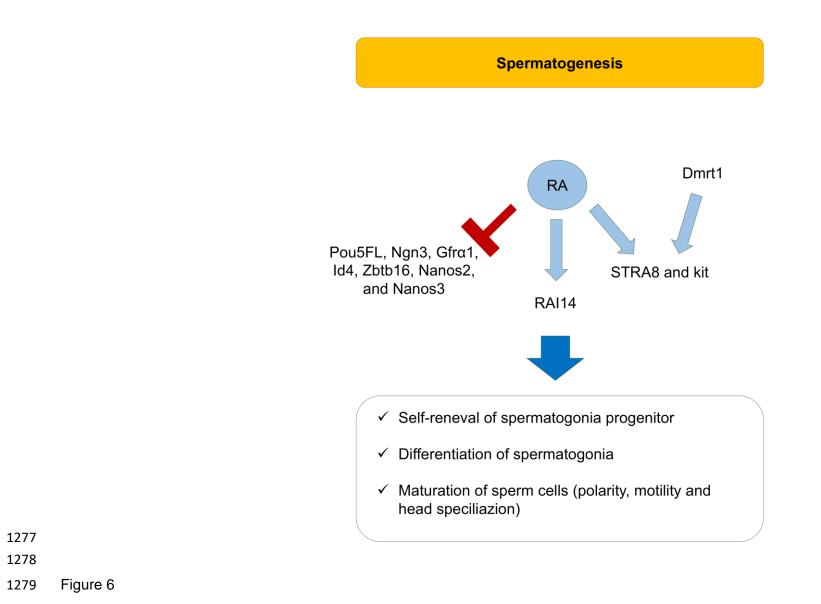




1266 Figure 3







	Plasma	/Serum	Follicu	Follicular fluid Corpus		luteum
Species	Retinol	beta- carotene	Retinol	beta- carotene	Retinol	beta- carotene
Cow	0.1 to 0.6 µg/ml (Nozièr e et al., 2006, plasma)	1 to 16 µ g/ml (Nozière et al., 2006, plasma)	0.25 μ g/ml (De Bie et al., 2016); 0.1 μ g/ml (Chew et al., 1984)	0.21 µ g/ml (De Bie et al., 2016); 0.37 µ g/ml (Chew et al., 1984); 0.41 µ g/ml (Haliloglu et al., 2002)	0.7 μ g/g wet-weight (Chew et al., 1984)	14.2 μ /g wet-weight (Chew et al., 1984)
Ewe	0.21 µ g/ml (Aytekin and Aypak, 2011, serum)	0.20 µ g/ml (Aytekin and Aypak, 2011, serum)				
Goat	0.35 µ g/ml (Yang et al., 1992, plasma) 30.84 µmol/l (Mora et al., 2000, plasma)	N.D. (Yang et al., 1992, plasma; Mora et al., 2000, plasma)				
Mare	6.58 μ g/ml (Álvarez et al., 2015, plasma)	0.67 μ g/ml (Álvarez et al., 2015, plasma)				
Gilt	0.34 µ g/ml (Anderson et al., 1995, serum)	N.D. (Brief and Chew, 1985, serum); 0.03 µ g/ml (Chew et	0.18 µ g/ml (Chew et al., 1984)	N.D. (Chew et al., 1984)	0.7 μ g/g wet-weight (Chew et al., 1984)	0.1 μ g/g wet-weight (Chew et al., 1984)

al., 1984, plasma)

Bitch	6-209 pg/dl (Pérez Alenza et al., 1998, serum)	N.D. (Chew et al., 2000, serum)	 	 
Cat	0.21-0.96 µ g/ml (Crissey et al., 2003, serum)	0.011 µ g/ml (Crissey et al., 2003, serum)	 	 

1281

1282 Table 1. Retinol and  $\beta$ -carotene concentration in plasma/serum, follicular fluid and corpus luteum

1283 of mammalian.N.D.: not determined.