#### SARS-CoV-2 infection versus vaccination in pregnancy: Implications for maternal and 1

#### 2 infant immunity

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- Maria Giulia Conti<sup>1</sup>, Sara Terreri<sup>2</sup>, Gianluca Terrin<sup>1</sup>, Fabio Natale<sup>1</sup>, Carlo Pietrasanta<sup>3,4</sup>, 4
- Guglielmo Salvatori<sup>5</sup>, Roberto Brunelli<sup>1</sup>, Fabio Midulla<sup>1</sup>, Vassiliki Papaevangelou<sup>6</sup>, Rita 5
- Carsetti<sup>2,7,†</sup>, Asimenia Angelidou<sup>8,9,10</sup><sup>†</sup> 6

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- <sup>1</sup> Department of Maternal and Child Health, Policlinico Umberto I, Sapienza University of 8
- 9 Rome, Rome, Italy
- <sup>2</sup> Diagnostic Immunology Research Unit, Multimodal Medicine Research Area, Bambino Gesù 10
- Children's Hospital, IRCCS, Rome, Italy 11
- <sup>3</sup> NICU, Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Milan, Italy 12
- <sup>4</sup> Department of Clinical Sciences and Community Health, University of Milan, Milan, Italy 13
- <sup>5</sup> Neonatal Intensive Care Unit and Human Milk Bank, Department of Neonatology, Bambino 14
- Gesù Children's Hospital, IRCSS, Piazza Sant'Onofrio, 4, 00165 Rome, Italy 15
- <sup>6</sup> Third Department of Pediatrics, National and Kapodistrian University of Athens, Athens, 16
- Greece. 17
- <sup>7</sup> Microbiology and Diagnostic Immunology Unit, Bambino Gesù Children's Hospital, IRCCS; 18
- Piazza Sant'Onofrio, 4, 00165, Rome, Italy 19
- <sup>8</sup> Department of Neonatology, Beth Israel Deaconess Medical Center, Boston, United States 20
- <sup>9</sup> Precision Vaccines Program, Division of Infectious Diseases, Boston Children's Hospital 21
- <sup>10</sup> Harvard Medical School 22
- † These authors contributed equally to this work 23

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#### **Corresponding author:** 25

- 26 Asimenia Angelidou, MD PhD
- Instructor in Pediatrics, Harvard Medical School 27
- 28 4 Blackfan Circle, HIM Building, Rm 836, Boston MA 02115
- 29 Email: Asimenia. Angelidou@childrens. harvard.edu

#### Abstract

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- 2 **Background**: SARS-CoV-2 infection has been associated with adverse maternal and neonatal
- 3 outcomes, yet uptake of SARS-CoV-2 vaccines during pregnancy and lactation has been slow.
- 4 As a result, millions of pregnant and lactating women and their infants remain susceptible to the
- 5 virus.
- 6 Methods: We measured Spike-specific immunoglobulin G (anti-S IgG) and A (anti-S IgA) in
- 7 serum and breastmilk (BM) samples from 3 prospective mother-infant cohorts recruited in two
- 8 academic medical centers. The primary aim was to determine the impact of maternal SARS-
- 9 CoV-2 immunization vs infection and their timing on systemic and mucosal immunity.
- 10 **Results**: The study included 28 mothers infected with SARS-CoV-2 in late pregnancy (INF), 11
- uninfected mothers who received 2 doses of the BNT162b2 vaccine in the latter half of
- pregnancy (VAX-P) and 12 uninfected mothers who received 2 doses of BNT162b2 during
- lactation (VAX-L). VAX dyads had significantly higher serum anti-S IgG compared to INF
- dyads (p<.0001), while INF mothers had higher BM:serum anti-S IgA ratios compared to VAX
- mothers (p=.0001). Median IgG placental transfer ratios were significantly higher in VAX-P
- 16 compared to INF mothers (p<0.0001). There was a significant positive correlation between
- maternal and neonatal serum anti-S IgG after vaccination (r=0.68, p=0.013), but not infection.
- 18 **Conclusions**: BNT161b2 vaccination in late pregnancy or lactation enhances systemic immunity
- through serum anti-S Ig, while SARS-CoV-2 infection induces mucosal over systemic immunity
- 20 more efficiently through BM Ig production. Next generation vaccines boosting mucosal
- 21 immunity could provide additional protection to the mother-infant dyad. Future studies should
- focus on identifying the optimal timing of primary and/or booster maternal vaccination for
- 23 maximal benefit.

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24 **Keywords:** breastmilk, COVID-19, newborn, pregnancy, SARS-CoV-2 vaccination

#### 1 Background

Pregnant women are vulnerable to infectious diseases owing to a distinct maternal-fetal immune 2 tolerance physiology [1]. The balancing act between host self-defense against infection and 3 immune acceptance of paternal-fetal antigens increases their vulnerability to infectious diseases 4 5 compared to their non-pregnant counterparts [2]. A study from the Centers for Disease Control 6 and Prevention (CDC) suggests that pregnant women with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection are more likely to require intensive care or mechanical 7 ventilation than nonpregnant women of reproductive age [3], while two large retrospective 8 multicenter studies in the US found that women with COVID-19 giving birth had higher rates of 9 mortality, sepsis, mechanical ventilation, ICU admission, and preterm birth than women without 10 COVID-19 [4-6]. COVID-19 diagnosis in the 2<sup>nd</sup> vs 3<sup>rd</sup> trimester of pregnancy differentially 11 affected the immune response at delivery, suggesting that the timing of immune stimulation is an 12 important parameter [7]. More specifically, women infected during the 3<sup>rd</sup> trimester exhibited 13 cytokine signatures that clinically correlated with a high incidence of late pregnancy- and 14 postpartum-related complications, particularly, preeclampsia and fetal growth restriction [8]. 15 Despite poor maternal perinatal outcomes, vertical SARS-CoV-2 infection is rare [9] and the 16 prevalence of neonatal SARS-CoV-2 infection is low compared to other age groups, likely due to 17 placental protective mechanisms limiting viral entry [10]. However, neonates remain susceptible 18 19 to horizontally transmitted infection owing to their distinct immune system [11]. Transplacental antibody (Ab) transfer, starting around 28 weeks of gestation, is the main form of passive 20 21 immunization for young infants, with protection persisting for the first 3-5 months of life. 22 Reduced transplacental Ab transfer ratios have been reported after natural SARS-CoV-2 23 infection compared to other viral infections such as influenza [12], along with short-lived 24 durability of vertically acquired IgG titers after birth [13]. Considering the above, infants born

- preterm -especially before 28 weeks of gestation- or whose mothers contracted SARS-CoV-2
- 2 around the time of delivery, may lack protective IgG antibodies and remain at risk for
- 3 horizontally transmitted postnatal infection. An important route of passive protection for the
- 4 infant after birth is *via* consumption of breastmilk (BM). Indeed, BM contains IgA produced by
- 5 plasma cells and memory B cells migrated from maternal respiratory and gut mucosal sites to the
- 6 lactating breast, thus conferring neonatal mucosal protection [14]. This mechanism of maternal
- 7 mucosal protection *via* BM was also suggested after maternal SARS-CoV-2 infection [15].
- 8 Immunological outcomes after maternal SARS-CoV-2 vaccination during pregnancy and
- 9 lactation are sparse but urgently needed to inform maternal immunization practices. We present
- data on vaccine- vs natural infection-induced anti-S Ab titers in the serum and BM of pregnant
- and lactating mothers and their newborns. Our primary goal was to determine relative Ab
- presence in maternal serum and BM after maternal perinatal SARS-CoV-2 infection or
- vaccination, and how their timing may affect systemic and mucosal Ab transfer from the mother
- to the newborn.

#### Methods

- 16 Study Design
- We designed a prospective study including 3 convenience cohorts of mothers and their infants:
- 1) pregnant women infected with SARS-CoV-2 during the third trimester (INF) as evidenced by
- 19 a positive molecular test at the time of delivery, 2) pregnant women vaccinated with two doses of
- 20 BNT162b2 mRNA vaccine during the latter half of pregnancy (VAX-P), and 3) lactating women
- 21 who received two doses of BNT162b2 mRNA vaccine after delivery (VAX-L) (Figure 1). INF
- and VAX-P mothers were followed at Policlinico Umberto I Hospital, Sapienza University of
- Rome, Italy, from October 2020 to December 2021, while VAX-L mothers were recruited at

- 1 Bambino Gesù Children's Hospital from February to April 2021. The study protocol was
- 2 conducted in conformity with the Declaration of Helsinki for medical research involving human
- 3 subjects and was approved by the Ethical Committee of Policlinico Umberto I Hospital in Rome,
- 4 Italy (Reference number 6206).

### **5** Sample collection

- 6 Maternal peripheral blood as well as neonatal (cord and peripheral) blood samples were collected
- as shown in **Figure 1**. Each newborn born to an INF mother routinely had peripheral blood
- 8 drawn at 48 hours of life (2d) as part of the hospital protocol, while healthy non-exposed
- 9 newborns born to VAX-P mothers were not routinely phlebotomized after birth, so cord blood
- was collected instead. Serum specimens were collected from INF mothers at 2 days after
- delivery (median of 5 days after infection) and 60 days after infection, from VAX-P mothers 60
- days post-second vaccine dose and from VAX-L mothers 10 days post-second vaccine dose.
- 13 Cord blood was collected from the umbilical vein after delivery and peripheral blood was
- collected by venipuncture into serum separator tubes. Blood was centrifuged at 1400 rpm for 5
- minutes at room temperature. In clinically stable mothers who were willing to pump milk, BM
- was collected after nipple disinfection using a manual sterile pump. Serum and BM samples
- were aliquoted into cryogenic vials and stored at -80°C until further analysis.

## Antibody assays

- 19 Total and SARS-CoV-2 anti-S human IgG and IgA antibodies were evaluated on serum and BM
- samples using the anti-SARS-CoV-2 ELISA commercial kit (EUROIMMUN Medizinische
- Labor Diagnostika AG, Lübeck, Germany). All serum samples were diluted 1:100 according to
- 22 the manufacturer's instructions [15]. Values were then normalized for comparison with a
- calibrator. Results were evaluated by calculating the ratio between the extinction of samples and

- the extinction of the calibrator. Results are reported as the ratio between OD samples and OD
- 2 calibrator.

### 3 Statistical analysis

- 4 Demographics were summarized with descriptive statistics (median and IQR or min-max for
- 5 continuous values). Immunological and clinical variables were compared between the different
- 6 cohorts and study times. Values were compared by the non-parametric two-tailed Mann-
- 7 Whitney U-test. A p-value < 0.05 was considered statistically significant. Statistical analyses
- 8 were performed with GraphPad Prism 8.0 (GraphPad Software) and IBM Statistical Package for
- 9 Social Science software version 25.0 (SPSS Inc. Chicago, IL, USA).

#### 10 Results

- We analyzed serum and BM specimens from mothers infected with SARS-CoV-2 during
- pregnancy (INF) and mothers who were uninfected and vaccinated with 2 doses of BNT162b2
- mRNA vaccine either during pregnancy (VAX-P) or postpartum, during lactation (VAX-L)
- 14 (Figure 1). Samples from INF mothers were analyzed at two time points: 2 days (INF\_2d) and 2
- months (INF\_2mo) after delivery. Relevant demographic and pregnancy characteristics of
- infected and vaccinated mothers are provided in **Table 1**. Among pregnant participants, the
- mean gestational age at the first vaccine dose was 26 weeks, with 5 women (45%) receiving their
- 18 first vaccine dose in the second trimester and 6 (55%) in the third trimester. The exact timing of
- 19 serum and BM sampling in relation to infection or vaccination (2<sup>nd</sup> dose) is summarized in **Table**
- 20 **1**.
- 21 Anti-S IgG in maternal serum was significantly higher in vaccinated vs infected mothers (**Figure**
- 22 **2, Table 2**). Inter-individual variability in IgG concentrations was greater in infected, compared
- 23 to vaccinated women (Figure 2A). In INF mothers, positivity for SARS-CoV-2 was detected at

- the time of delivery, when 43% of them had no detectable IgG in the serum. Anti-S IgG
- 2 significantly increased two months later, reflecting the normal kinetics of the immune response
- 3 to a recent infection. Mothers vaccinated in the post-partum period had significantly higher
- 4 serum anti-S IgG levels compared to those vaccinated during pregnancy (**Figure 2A**). Similarly,
- 5 anti-S IgA levels in maternal serum were significantly higher in VAX-L compared to all other
- 6 cohorts (p<0.0001) (**Figure 2B**). The high levels of IgG and IgA in VAX-L mothers may be
- 7 explained by the short interval between vaccination and sampling.
- 8 Neonates of mothers vaccinated in the late 2<sup>nd</sup> or early 3<sup>rd</sup> trimester of pregnancy [median time
- 9 from vaccination to sample collection = 56 days (IQR= 45.5)] demonstrated a significant
- elevation in serum anti-S IgG levels compared to neonates born to mothers with SARS-CoV-2
- infection in the late 3<sup>rd</sup> trimester [median time from infection to sample collection= 5 days
- 12 (IQR=5.75) for INF\_2d and 67 days (IQR 5.75) for INF\_2mo] (**Figure 2C**). In contrast, neonatal
- anti-S IgA levels were undetectable in all cohorts (Figure 2D). Three infants born to INF
- mothers had confirmed SARS-CoV-2 infection either by nasopharyngeal molecular testing or
- serology, one of which was possibly vertically- and 2 postnatally-acquired and demonstrated
- high anti-S IgG and IgA levels at 2 months of age, likely resulting from their own immune
- 17 reaction to the virus. In agreement with the observation that only IgG are transported through the
- placenta during the last months of pregnancy [16], in the VAX-P cohort we found a positive
- 19 correlation between neonatal and maternal serum anti-S IgG, but not IgA (Figure 2E-F). As
- 20 neonates of INF mothers did not have detectable serum anti-S IgG at 2d and detection of
- 21 transplacentally-transferred Ab as not expected at 2 months, no correlation was evaluated
- between INF\_2d and INF\_2mo.

- 1 BM anti-S IgG levels remained low and unchanged across time after SARS-CoV-2 infection, but
- 2 were significantly higher in women vaccinated either in pregnancy or during lactation (**Figure**
- 3 3A). As expected, in all cohorts BM anti-S IgA was more abundant than IgG (Figure 3B). BM
- 4 anti-S IgA were significantly higher in VAX-L vs VAX-P or INF\_2mo mothers (**Figure 3B**).
- 5 IgG and IgA BM:maternal serum ratios were higher in INF\_2d compared to all other cohorts
- 6 (Figure 3C-D). There was a significant correlation between the levels of serum and BM anti-S
- 7 IgG in both cohorts of vaccinated mothers, while no correlation was found between serum and
- 8 BM anti-S IgA (**Figure 3E-H**).

#### Discussion

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Neonates of mothers vaccinated during pregnancy demonstrated a significant elevation in serum anti-S IgG levels compared to neonates born to mothers experiencing SARS-CoV-2 infection during late pregnancy. This finding could reflect a favorable transplacental Ab transfer ratio after vaccination or be the consequence of higher levels of maternal serum IgG and a longer time for placental transfer in the vaccinated group. Others have also shown that vaccine-induced humoral responses (Spike and RBD IgA, IgG and IgM) in the sera and BM of pregnant and lactating women were statistically significantly greater than those induced by natural infection [17] suggesting that vaccination during pregnancy may confer enhanced immunity compared to natural infection. Transfer of anti-S IgG across the placenta increases with time lapsed from vaccination [18, 19] and infection [20, 21], a finding corroborated by our data. In addition to enhanced transplacental Ab transfer, early vs. late third-trimester maternal SARS-CoV-2 immunization has been associated with increased neonatal neutralizing Ab levels [22], providing a functional readout of SARS-CoV-2 immunity. The advantage of earlier rather than later immunization in pregnancy has been well documented when examining influenza and pertussis

- 1 immunization [23, 24]. Serum anti-S IgA levels were significantly elevated in mothers
- 2 vaccinated postpartum compared to mothers vaccinated during pregnancy who had almost
- 3 undetectable levels. Since the intervention (vaccination) was the same, we hypothesize that this
- 4 observation may be explained by the unique kinetics of IgA, which rapidly declines after SARS-
- 5 CoV-2 vaccination, compared to IgG which decays at a slower rate [25].
- 6 BM anti-S IgG levels revealed a similar pattern to serum anti-S IgG, except that the magnitude
- 7 of the Ab response was much lower in BM. This finding is to be expected as only a fraction of
- 8 systemic antibodies reach the BM either by active transport or transudation [26]. BM IgA
- 9 primarily derives from mucosal plasma cells and memory B cells migrated to the mammary
- gland, and locally produced IgA is transported to the milk by transcytosis [27]. Early presence of
- anti-S IgA in the BM of INF mothers, who also had significantly higher BM:serum Ab ratios
- compared to the vaccinated groups, indicates that natural infection more efficiently induces
- mucosal immune responses [28, 29], compared to BNT162b2 mRNA vaccination which
- primarily drives serum Ab production and activates systemic immunity [30]. Anti-S IgA in the
- 15 BM of vaccinated mothers derives from vaccine-generated memory B cells and plasma cells
- migrated to the inflammatory environment of the lactating breast [31, 32].
- 17 After infection or vaccination, antibodies transferred via the BM are important in the protection
- against respiratory infections during early life, and especially viral infections [33, 34]. Systems
- serology profiling of matched serum and colostrum samples of lactating mothers infected with
- 20 SARS-CoV-2 during pregnancy revealed preferential transfer of IgA and IgM in BM with
- 21 limited IgG transfer [35]. In a prospective cohort of pregnant women infected with SARS-CoV-2
- 22 in late pregnancy, BM was shown to contain not only anti-S IgA, but also immune complexes
- composed of the viral Spike bound to maternal anti-S IgA that may have actively triggered the

- infant's local mucosal immune response [15]. The kinetics and duration of the SARS-CoV-2 Ab response in human BM may differ between infected and vaccinated mothers. More specifically,
- 3 infection was associated with a highly variable IgA-dominant anti-RBD response that was
- 4 sustained through at least 90 days, while vaccination was associated with an IgG-dominant
- 5 response that declined overtime [36]. Even though most studies to date involve mRNA COVID
- 6 vaccines, it is conceivable that anti-SARS-CoV-2 IgA and IgG levels in human milk after
- 7 vaccination may be dependent on vaccine type and previous SARS-CoV-2 exposure [37].
- 8 Our study has some limitations including a small sample size, study of a single vaccine type and
- 9 no long-term follow-up of infants to measure duration of protection. Moreover, mucosal
- immunity in newborn saliva was not evaluated. Future studies should evaluate short- and long-
- term clinical outcomes of maternal vaccination in addition to Ab concentrations to assess clinical
- benefits from these antibodies and identify correlates of immune protection conferred by mRNA
- vaccines. Alongside systemic immunity, mucosal immunity should also be investigated in the
- context of the immune response to vaccines given in infancy. More studies are needed to
- understand the durability of Ab transfer following both maternal infection and vaccination to
- guide vaccine design and deployment in the future for protection of the neonate.
- 17 <u>Clinical considerations for vaccination against SARS-CoV-2 in pregnancy</u>
- 18 Immunization during pregnancy confers antigen-specific immunity not only to the mother but
- also to her offspring. At this time, infant immunization vs SARS-CoV-2 is complicated by: a) the
- 20 low incidence of clinically evident COVID-19 disease in exposed newborns [38] making vaccine
- 21 efficacy clinical trials harder to conduct, b) the relatively low risk of short-term serious direct
- 22 COVID-19 effects in neonates [38], necessitating a very low risk and considerable benefit from
- vaccination, and c) the distinct neonatal immune system characterized by suboptimal responses

- to most early-life vaccines necessitating booster doses during the first year of life for protection
- 2 [11]. Given high risk of SARS-CoV-2-related direct harms in pregnant women and the indirect
- 3 harms on their offspring [38, 39], maternal immunization vs SARS-CoV-2 is a critical
- 4 prevention strategy with significant benefits for the mother-infant dyad. With rising cumulative
- 5 rates of SARS-CoV-2 infection and increasing prevalence of variants, positions by medical and
- 6 scientific communities have evolved to recommend COVID-19 vaccination during pregnancy.
- 7 Information from universal surveillance systems and national registries [40, 41] shows that
- 8 COVID-19 vaccination during pregnancy is not associated with increased pregnancy or delivery
- 9 complications. A large retrospective population-based Israeli cohort of pregnant women showed
- that vaccination with BNT162b2 mRNA during the 2<sup>nd</sup> or 3<sup>rd</sup> trimester was associated with
- significantly lower risk for SARS-CoV-2 infection compared to no vaccination during the 28-70
- days of follow up after the 1<sup>st</sup> vaccine dose (adjusted hazard ratio of 0.22 [95% CI, 0.11-0.43])
- 13 [42]. Randomized clinical trials evaluating the safety, tolerability, and immunogenicity of
- mRNA-based SARS-CoV-2 vaccines in pregnant women are now underway (NCT04754594).
- Until such data becomes available, population-derived statistics can be utilized to infer benefit-
- risk ratios for pregnant women.
- Our study which included cohorts of women during pregnancy and postpartum provides
- immunological data supporting vaccination of mothers during pregnancy or after delivery.
- 19 Vaccine receipt by the early third trimester of pregnancy a) prevents severe infection and its
- sequela in the mother by conferring robust systemic immunity and b) protects the newborn via
- 21 transplacental Ab transfer. Vaccine receipt after delivery and during the lactation period a)
- 22 prevents severe infection and its sequela in the mother by conferring robust systemic immunity
- and b) may contribute to neonatal mucosal immunity via BM Ab transfer or production by

- 1 mammary gland plasma cells. Our results support the notion that vaccination is of substantial
- 2 benefit during pregnancy, given the risk SARS-CoV-2 infection poses to both mother and infant.
- 3 A combination of vaccination before and after delivery may comprise an optimal strategy to
- 4 maximize maternal immunization benefit to the offspring. Future efforts should focus on
- 5 development of vaccine technologies that also robustly activate mucosal immunity.

#### 6 Conclusions

- 7 Our study demonstrated the efficient transfer of SARS-CoV-2 anti-S IgG across the placenta in
- 8 women vaccinated with the BNT162b2 mRNA vaccine during the latter half of pregnancy, to
- 9 their neonates, with a strong positive correlation between maternal serum and cord blood Ab
- concentrations. Despite its strong systemic antibody response, BNT162b2 had a small effect on
- mucosal immunity via BM IgA, a gap that should be addressed by next generation vaccines. A 2-
- dose vaccination during the 2<sup>nd</sup> and 3<sup>rd</sup> trimester of pregnancy followed by a booster dose post-
- partum may represent a safe strategy for preventing perinatal COVID-19 disease and conferring
- both systemic IgG- and mucosal IgA-mediated immunity *via* BM provision to the infant.
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- and Incidence of SARS-CoV-2 Infection in Pregnant Women. JAMA **2021**; 326(8): 728-
- 28 35.

## 2 Table 1. Characteristics of maternal-infant cohorts.

	Unvaccinated mothers who tested positive for SARS-CoV-2 during late pregnancy (INF, N = 28)	SARS-CoV-2 uninfected mothers who received 2 doses of BNT162b2 mRNA vaccine during pregnancy (VAX-P, N = 11)	SARS-CoV2 uninfected mothers who received 2 doses of BNT162b2 mRNA vaccine after delivery (VAX-L, N = 12)	
Maternal age, median (IQR), years	32 (6.5)	35 (4.5)	34 (2.5)	
SARS-CoV2 infection severity		na	na	
Asymptomatic, No. (%)	6 (21)	na	na	
Symptomatic, No. (%)	22 (79)	na	na	
Hospitalized and/or received medication for COVID-19, No. (%)	9 (32)	na	na	
Delivery indicated for worsening maternal COVID-19 illness, No (%)	0	na	na	
Twin pregnancies, No.	2	1	0	
Enrolled newborns, No.	30	12	12	
Female newborns, No. (%)	12 (40)	3 (25)	6 (50)	
Birthweight, grams, median (IQR)	3175 (745)	2960 (510)	3545 (583)	
Gestational age at delivery, median (IQR), completed weeks	39 (2.75)	38 (2)	40 (2)	
Weeks of gestation at SARS-CoV-2 vaccination (1st dose), median (IQR)	na	26 (4.75)	na	
Weeks of gestation at SARS-CoV-2 vaccination	na	29 (4.75)	na	

(2nd dose), median (IQR)						
Days post-delivery at SARS-CoV-2 vaccination (1st dose), median (IQR)		na	na	233.5 (134)		
Days post-delivery at SARS-CoV-2 vaccination (2nd dose), median (IQR)		na	na	254.5 (134)		
	INF_2d N=28	INF_2mo N=26		2		
Days from infection <sup>a</sup> or 2 <sup>nd</sup> vaccine dose to cord blood/neonatal blood sampling, median (minmax)	5 (2-19)	67 (44- 104)	56 (21, 98)	na		
Days from infection <sup>a</sup> or 2 <sup>nd</sup> vaccine dose to maternal blood sampling, median (min-max)	5 (2-19)	67 (44- 104)	58 (23, 100)	9 (2-17)		
Days from infection <sup>a</sup> or 2 <sup>nd</sup> vaccine dose to breastmilk sampling, median (minmax)	5 (2-19)	65 (62- 79)	60 (25, 102)	9 (2-17)		

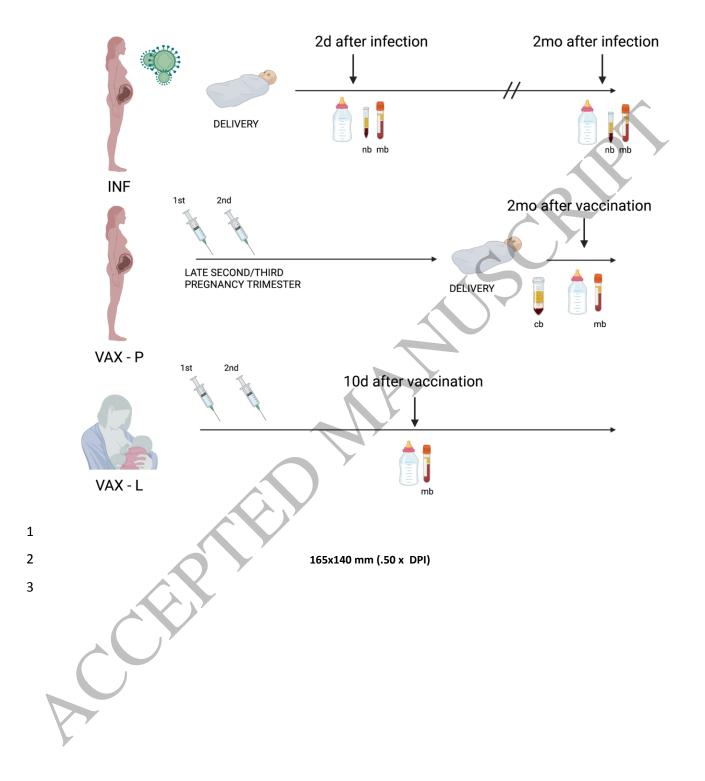
<sup>&</sup>lt;sup>a</sup> Days from infection were calculated from the date of positive SARS-CoV-2 testing if asymptomatic, or from the date of symptom onset in symptomatic cases.

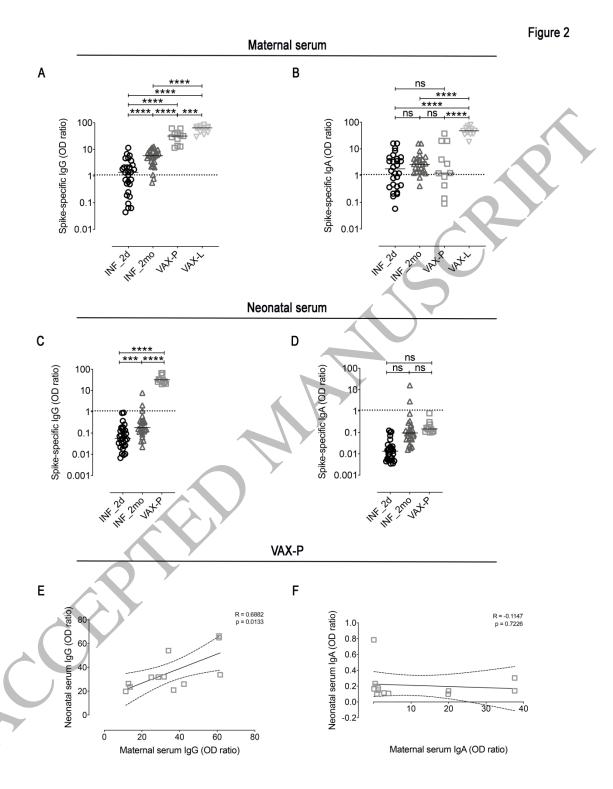
# Table 2. Relative spike-specific (anti-S) antibody concentrations in maternal serum, cord blood/neonatal serum and breastmilk from infected or vaccinated maternal cohorts.

	Unvaccinated mothers who tested positive for SARS-CoV-2 during late pregnancy (2d)		Unvaccinated mothers who tested positive for SARS- CoV-2 during late pregnancy (2mo)		Vaccinated mothers (2 doses of BNT162b2 mRNA vaccine) during pregnancy		Vaccinated mothers (2 doses of BNT162b2 mRNA vaccine) after delivery		P value
Anti-SARS-CoV-2 antibody (OD ratio)	N	Median (IQR)	N	Median (IQR)	N	Median (IQR)	N	Median (IQR)	
Maternal serum anti-S IgG	28	1.4 (2.2)	26	5.8 (5.6)	11	31.8 (28.7)	12	64.1 (26.9)	****<0.0001
Maternal serum anti-S IgA		1.8 (4.2)		2.6 (2.9)		1.2 (19.5)		47.8 (22.7)	****<0.0001
Cord blood/ Neonatal serum anti-S IgG	30	0.06 (0.1)	26	0.18 (0.3)	12	31.8 (24.8)	na	na	****<0.0001
Cord blood/ Neonatal serum anti-S IgA		0.01 (0.02)		0.09 (0.2)		0.15 (0.11)		na	****<0.0001
Breastmilk anti-S IgG	6	0.1 (0.22)	10	0.1 (0.06)	10	0.2 (0.38)	12	0.7 (0.8)	***0.0001
Breastmilk anti-S IgA		1.7 (2.6)		0.7 (0.75)		1.1 (1.7)		1.6 (2)	*0.046

## 1 Figure legends

- 2 Figure 1. Study design and sample collection. The three convenience cohorts used for
- 3 immunological analysis are depicted. INF were mothers infected in late pregnancy, VAX-P were
- 4 mothers vaccinated against SARS-CoV-2 in the late second or third trimester of pregnancy and
- 5 VAX-L were mothers vaccinated against SARS-CoV-2 during lactation. nb= neonatal peripheral
- 6 blood; mb= maternal peripheral blood; cb=cord blood. Figure was created in BioRender.com.
- 7 Figure 2. Anti-S IgG and IgA measurements in maternal and neonatal serum. Dot plots
- show maternal serum anti-S IgG (A) and IgA (B) across study groups (INF\_2d n=28; INF\_2mo
- 9 n=26; VAX-P n=11; VAX-L n=12). Serum anti-S IgG (C) and IgA (D) from neonates born to
- mothers infected or vaccinated during pregnancy (INF\_2d n = 30; INF\_2mo n = 27; VAX-P n = 100
- 11 11). There is a significant correlation between VAX-P maternal and neonatal serum anti-S IgG
- 12 (E), but not anti-S IgA (F). There was no correlation between INF\_2mo maternal and neonatal
- serum anti-S Ab. In graphs A-D, results are reported as optical density (OD) ratios and the dotted
- line represents the assay detection threshold (OD ratio=1.1). Median values are plotted, and
- statistical significance was determined using unpaired Mann-Whitney tests (compare ranks). For
- 16 correlation graphs, p value and Pearson r are reported. \*p < 0.05; \*\*p<0.01; \*\*\*p< 0.001;
- 17 \*\*\*\*p<0.0001.
- 18 Figure 3. Anti-S IgG and IgA levels in maternal breastmilk (BM). A) BM anti-S IgG shows a
- 19 similar pattern to serum but lower magnitude of the Ab response. **B**) BM anti-S IgA are
- significantly higher in VAX-L compared to VAX-P or INF mothers. BM-to-maternal serum ratio
- 21 for each cohort is shown for anti-S IgG C) and IgA D). There is a significant correlation between
- BM and maternal serum anti-S IgG concentrations in VAX-P (**E**) and VAX-L (**G**), but not anti-S
- IgA concentrations in the same cohorts (**F** and **H**, respectively). Dotted lines indicate the mean
- value of anti-S IgA (OD ratio=0.2) and IgG (OD ratio=0.1) from control BM samples provided
- by uninfected unvaccinated mothers (n = 7). Median values are plotted, and statistical
- 26 significance was determined using unpaired Mann-Whitney tests (compare ranks). For
- 27 correlation graphs, p value and Pearson r are reported. \*p < 0.05; \*\*p<0.01; \*\*\*p< 0.001;
- 28 \*\*\*\*p<0.0001.





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