Food-derived peptides with hypocholesterolemic activity: Production, transepithelial transport and cellular mechanisms

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- 2 transepithelial transport and cellular mechanisms
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12 Abstract

13 Background

In recent years, food-derived peptides have gained much attention for their potential health benefits. Some short and medium-sized peptides released from food proteins after their enzymatic hydrolysis may exhibit hypocholesterolemic activity. Hypocholesterolemic peptides act either by targeting exogenous cholesterol in the gastrointestinal (GI) tract or by modulating endogenous cholesterol levels via cholesterol metabolism pathways in the liver after being absorbed.

20 Scope and Approach

This paper provides a comprehensive review of current pieces of evidence regarding the production, transepithelial transport, and cellular mechanisms underlying the hypocholesterolemic activities of food-derived peptides.

24 Key Findings and Conclusions

The molecular mechanisms of hypocholesterolemic peptides involve bile acid 25 26 binding, inhibition of cholesterol micellar solubility, statin-like effects through the 27 modulation of 3-hydroxy-3-methyl-glutaryl-coenzyme A reductase (HMGCoAR), as well as the targeting of interactions between proprotein convertase subtilisin/kexin 28 29 type 9 (PCSK9) and low-density lipoprotein receptor (LDLR), sterol regulatory element-binding protein 2 (SREBP-2), and hepatocyte nuclear factor 1α (HNF- 1α) 30 pathways. Furthermore, some peptides exhibit multiple biological activities, such as 31 anti-inflammatory and antioxidant activities, besides cholesterol-lowering properties, 32 thereby safeguarding cellular components against high levels of cholesterol-induced 33 damage. However, since only a few studies have evaluated the in vivo effects of 34 hypocholesterolemic peptides, further studies carried out in animal models or human 35 are necessary to exploit these ingredients in the prevention and management of 36 hypercholesterolemia. 37

38 Keywords: hypocholesterolemic peptides, transepithelial transport, molecular
39 mechanisms, bioavailability, cholesterol

40

41 **1. Introduction**

42 Food proteins, in addition to comprehensive energetic and nutritional functions, are well known for their biological properties. To exert their biological effects, dietary 43 44 proteins must undergo enzymatic digestion to yield bioactive peptides, i.e. specific protein fragments typically ranging from 2 to 20 amino acid residues, which are 45 encrypted within the protein primary sequences, ultimately contributing to a 46 beneficial impact on health conditions (Xu, Hong, Wu, & Yan, 2019). For instance, 47 bioactive peptides released from proteins by enzymatic hydrolysis (exogenous or 48 endogenous proteolytic enzymes) provide several biological effects, including the 49 50 lowering of high cholesterol levels, as demonstrated in *in vitro*, *in vivo*, and human studies (Gu, et al., 2017; Lammi, Zanoni, Arnoldi, & Vistoli, 2015; Udenigwe, 51 Abioye, Okagu, & Obeme-Nmom, 2021). Hypercholesterolemia is a metabolic 52 condition characterized by elevated blood cholesterol levels, one of the most critical 53 factors of cardiovascular disease. High levels of plasma cholesterol, particularly low-54 55 density lipoprotein (LDL) cholesterol (LDL-C), may cause arteriosclerosis by developing plaques in the arteries, with implications for cardiovascular disease 56 outcomes. Available evidence shows that hypocholesterolemic peptides act either by 57 targeting exogenous cholesterol, or by modulating endogenous cholesterol levels via 58 cholesterol metabolism pathways (Boachie, Yao, & Udenigwe, 2018). 59

An increase in plasma cholesterol concentrations can be attributed to the disturbed balance between endogenous cholesterol, dietary cholesterol, and the excretion of bile acids and cholesterol in feces. Numerous studies have established that peptides exert hypocholesterolemic effects by affecting exogenous cholesterol or modulating endogenous cholesterol levels (Singh, Aluko, Hati, & Solanki, 2022). Regarding the regulation of exogenous cholesterol, the inhibition of the intestinal absorption of

dietary cholesterol is the main mechanism by which the peptides act, involving the 66 67 hindering of the enterohepatic circulation of bile acids and reduction of cholesterol 68 micellar solubility in small intestinal epithelial cells (Nagaoka, Nakamura, Shibata, & Kanamaru, 2010; J. Wang, Shimada, Kato, Kusada, & Nagaoka, 2015). Apart from 69 obtaining cholesterol through the diet, the majority of cholesterol is synthesized 70 71 endogenously in the body. The endogenous cholesterol may be modulated if the 72 peptides are absorbed into the blood circulating system and are bioavailable in the targeted organ or tissues (e.g. liver and adipose tissues). Several studies have reported 73 74 specific hypocholesterolemic mechanisms of food-derived peptides, mainly focusing on the inhibition of 3-hydroxy-3-methylglutaryl CoA reductase (HMGCoAR) 75 activity, whereas proprotein convertase subtilisin/kexin type 9 (PCSK9) has also 76 received some attention due to its association with LDL receptor (LDLR) degradation 77 (Lammi, Aiello, Boschin, & Arnoldi, 2019). Meanwhile, the effect of food-derived 78 peptides on the expression of proteins involved in cholesterol metabolism has been 79 evaluated, including transcription factors sterol regulatory element binding protein 2 80 (SREBP-2) and the hepatocyte nuclear factor 1α (HNF- 1α). 81

In light with these observations, this review takes into consideration all studies reporting the hypocholesterolemic activity of food-derived peptides with special references to their production strategies, digestion, transportation, absorption, and mechanisms of action, highlighting the roles played in the prevention and management of hypercholesterolemia (**Figure 1**).

87 2. Production of hypocholesterolemic peptides

The generation of hypocholesterolemic peptides from food can be accomplished by anumber of strategies, generally based on enzymatic hydrolysis, microbial

fermentation, or chemical hydrolysis. Enzymatic hydrolysis is the most common 90 91 method to obtain hypocholesterolemic peptides having the advantages of the use of 92 mild temperature and pH conditions, the selectivity of commercial enzymes compared with chemical hydrolysis, the absence of secondary products that may be often 93 produced during microbial fermentations, and the absence of chemicals that makes 94 95 this type of hydrolysis more sustainable for the environment (Xue, Yin, Howell, & 96 Zhang, 2021). The process of enzymatic hydrolysis is simple and easy to inactivate and, once optimized, it may provide high yields of good quality bioactive peptides. 97

98 Different enzymes used in enzymatic hydrolysis possess specific substrate preferences that determine their cleavage sites on proteins. This specificity leads to the creation of 99 distinct peptide profiles, influencing the properties of the resulting peptides. For 100 instance, trypsin is known for its preference to cleave peptide bonds following 101 positively charged amino acids, such as arginine and lysine, resulting in the 102 production of peptides with basic properties. In contrast, chymotrypsin targets 103 hydrophobic amino acids, yielding peptides with varying characteristics. Many 104 natural peptides are produced from food proteins during the normal human digestion 105 process, hydrolyzed by gastrointestinal enzymes, such as pepsin, pancreatin, trypsin, 106 α -chymotrypsin, and peptidases. Enzymes from plants, food, bacteria and fungi, and 107 commercial enzymes are also commonly used to produce peptides from various 108 sources. For instance, food-grade enzyme (i.e., Alcalase) can release 109 hypocholesterolemic peptides from different plant proteins, such as lupin, soy, 110 hempseed, and olive kernel (Cerrato, et al., 2023; Prados, Marina, & García, 2018; 111 Santos-Sánchez, et al., 2022). The type of hypocholesterolemic peptides produced 112 after hydrolysis depends on the type of protease selected, as several enzymes have 113 different cleavage sites and could produce different peptides even from the same 114

substrate. For instance, when white lupin (Lupinus albus) protein is hydrolyzed by 115 116 pepsin or trypsin, peptides with different amino acid sequences and HMGCoAR-117 inhibitory activity are produced. In fact, the hydrolysate produced by pepsin showed lower HMGCoAR-inhibitory activity in vitro (-17%) at the maximum tested dose 118 (2.5 mg/mL), whereas the trypsin hydrolysate significantly inhibited the HMGCoAR 119 120 activity in vitro by 57% at the same concentration (Lammi, Zanoni, Scigliuolo, 121 D'Amato, & Arnoldi, 2014). Moreover, the Alcalase hydrolysate from narrow-leaf lupin (Lupinus angustifolius) caused a reduction of HMGCoAR activity in vitro by 122 123 51.5% at the concentration of 2.5 mg/mL (Santos-Sánchez, et al., 2022). Interestingly, hempseed was digested with Alcalase and pepsin, and both hydrolysates showed 124 HMGCoAR-inhibitory activity. However, the hydrolysate from Alcalase decreased 125 the PCSK9 protein level in HepG2 cells (Cerrato, et al., 2023), whereas the pepsin 126 hydrolysate showed an opposite activity raising the expression of PCSK9 (Zanoni, 127 Aiello, Arnoldi, & Lammi, 2017a). Furthermore, the combination of different 128 enzymes could further influence peptide activity. The utilization of multiple enzymes 129 for hydrolysis is a widely adopted strategy due to the distinctive cleavage preferences 130 of each enzyme. This diversity in enzymatic action leads to the generation of a broad 131 range of peptides with diverse bioactive properties. This multifaceted approach not 132 only enhances the overall efficiency and yield of peptide production but also improves 133 the bioavailability of bioactive peptides, thereby increasing their potential health 134 benefits. Notably, some known hypocholesterolemic peptides from plant proteins 135 have been generated by a multi-enzyme system that simulates gastrointestinal 136 digestion, as reported for rice protein hydrolysates (Tong, et al., 2017). Thus, the 137 selection of the enzyme exerting suitable endo- and exopeptidase activities is a crucial 138 step in the production of hypocholesterolemic peptides. 139

In addition, numerous processing methods, including microwave, pulsed electric field, 140 high hydrostatic pressure, and ultrasound, can be combined with enzymatic hydrolysis 141 142 to raise protein digestibility and peptide release (Marciniak, Suwal, Naderi, Pouliot, & Doyen, 2018; Ulug, Jahandideh, & Wu, 2021). It is believed that the processing 143 techniques may cause the protein to unfold thus increasing the accessibility of the 144 145 enzyme to break the peptide bonds. A study reported that the rate of β -lactoglobulin 146 hydrolysis was raised 5–10 times under treatment at high hydrostatic pressure (300 or 450 MPa) together with specific enzymes (trypsin, chymotrypsin and a protease from 147 148 Bacillus licheniformis) (Knudsen, Otte, Olsen, & Skibsted, 2002). High pressure can affect the conformation of β -lactoglobulin, causing it to unfold and to expose some 149 hydrophobic areas, thereby increasing the enzyme-substrate collision rate. This in turn 150 strengthens enzymatic activity, increasing the rate of protein hydrolysis and 151 promoting the release of active peptides. In another study, high-pressure-assisted 152 hydrolysis with commercial enzymes was employed, increasing levels of active 153 peptides in the Spirulina platensis hydrolysates, and two HMGCoAR-inhibiting 154 peptides (RCD and SNV) were identified (Chen & Yang, 2021). Likewise, cholesterol 155 micelle formation inhibitory peptides were released from a fermented seabass 156 byproduct through high hydrostatic pressure-assisted protease hydrolysis (Chen, Lin, 157 Huang, Lin, & Lin, 2021). 158

Ovalbumin (Quirós, Chichón, Recio, & López-Fandiño, 2007), chickpea protein (Zhang, Jiang, Miao, Mu, & Li, 2012) and pinto bean protein (Garcia-Mora, et al., 2016), have been explored in this context. Besides high hydrostatic pressure, ultrasound-assisted technology has also been used in the production of hypocholesterolemic peptides because of its ability to unfold protein structure and strengthen the affinity between enzymes and proteins (Umego, He, Ren, Xu, & Ma,

165 2021). For example, mung bean hydrolysate exhibited higher inhibition of cholesterol
166 solubilization after pre-treatment with thermosonication (Ashraf, et al., 2020).
167 Ultrasound-assisted sodium bisulfite pre-treatment improved the cholesterol-lowering
168 activity of soybean protein hydrolysates after simulated gastrointestinal digestion by
169 loosening soybean protein structure and exposing more hydrophobic groups (Huang,
170 et al., 2021).

171 Moreover, peptides with different hypocholesterolemic activities may also result from these various procedures. For example, the peptides from the protein isolate of raw 172 173 cowpeas inhibit HMGCoAR activity, whereas peptides from cooked cowpeas are more effective in inhibiting micellar cholesterol solubility (Marques, Soares Freitas, et 174 al., 2015). This may be due to the treatment temperature causing greater protein 175 denaturation and release of various bioactive peptides. Overall, processing 176 technologies are being applied in the production of hypocholesterolemic peptides and 177 have been found to reduce time and costs of processing and to improve the yield of 178 bioactive peptides. 179

On the other hand, modern in silico strategies based on simulation using bioinformatic 180 tools are also supplying large amounts of data compared to the traditional empiric 181 approaches. Modern in silico strategies use computational methods, including 182 database mining, sequence analysis, structure-activity relationships, virtual screening, 183 de novo design, bioavailability prediction, and safety assessment, to predict and 184 design hypocholesterolemic peptides, streamlining the discovery process and 185 enhancing efficiency and precision. For higher hydrolysis rates and larger production, 186 continuous reactors are being developed by using membranes or immobilized 187 enzymes (Sitanggang, Sumitra, & Budijanto, 2021). Although much research has been 188

performed at laboratory scale, further research is needed to overcome the challengesrelated to large-scale production of hypocholesterolemic peptides.

191 **3.** Digestion, transportation, and absorption of hypocholesterolemic peptides

The hypocholesterolemic effect of peptides *in vitro* does not determine their cholesterol-lowering effect *in vivo*, because several physical and biological barriers have to be overcome. The hypocholesterolemic peptides can modulate *in vivo* the endogenous cholesterol only if they are transported across the intestinal barrier into the circulation in an intact or active form with adequate concentrations reaching their target organs and tissues.

198 **3.1 Gastrointestinal digestion**

The digestive enzymes in the GI tract may act upon the hypocholesterolemic peptides, 199 200 and resistance of a peptide to the digestive enzymes depends on whether there are cleavage sites for digestive enzymes in its amino acid sequence and whether these 201 cleavage sites are exposed. Most peptides generated from proteins enter the intestine, 202 which plays a key role in absorption. The intestinal brush-border membrane, highly 203 folded, provides a large surface area for metabolic activities, such as enzyme secretion 204 205 and transporter presentation. Some hypocholesterolemic peptides can be produced in the GI tract during protein digestion by multiple microbial or digestive enzymes in the 206 brush-border membrane. Generally, in vitro digestion systems can be used to produce 207 208 hypocholesterolemic peptides and study their resistance to GI degradation. Enzymes, including pepsin, trypsin, pancreatic protease, elastase, α -chymotrypsin, and 209 carboxypeptidases A and B are commonly used to mimic the process of human GI 210 digestion. For instance, two hypocholesterolemic peptides, VKP and VKK, identified 211 from freshwater clam hydrolysate with in vitro GI digestion, display bile-acid-binding 212

capacity and inhibitory activity on cholesterol micelle formation (Lin, Tsai, & Chen,
2017). Additionally, in this context, hypocholesterolemic peptides have been
generated from various sources, including GCTLN, IAF, QGF, and QDF from
cowpea bean; RCD and SNV from *S. platensis* protein; SAQ, PW, and VGGT from
sea bass hydrolysates; and GEQQQQPGM from rice protein (Chen, et al., 2021; Chen
& Yang, 2021; Marques, Fontanari, Pimenta, Soares-Freitas, & Arêas, 2015; Tong, et
al., 2017).

The stability of peptides in GI digestion depends on the length and molecular size and 220 221 structural characteristics. Firstly, the length and molecular size play a significant role. Numerous studies have shown that peptides with a molecular weight above 3 kDa are 222 more likely to be hydrolyzed by GI enzymes than those below 3 kDa. Another study 223 suggested that small peptides $(2 \sim 6 \text{ amino acids})$ are less susceptible to hydrolysis by 224 digestive enzymes, probably due to a reduced number of enzyme-susceptible peptide 225 bonds and less structural flexibility (Xu, et al., 2019). Secondly, structural properties, 226 including hydrophobicity, net charge, acid-base properties, C- and N-terminal amino 227 acid residues, amino acid sequence, and amino acid composition, all have an impact 228 on the digestive stability of peptides (Pei, et al., 2022). 229

Generally, peptides containing high content of proline residues, especially at the C-230 terminal, are more resistant to degradation by digestive enzymes (Dupont & Mackie, 231 2015). This resistance is due to proline unique structural characteristics, notably its 232 cyclic structure, which restricts the flexibility of the peptide backbone. Consequently, 233 this structural constraint hinders the proteolytic enzyme's ability to cleave effectively 234 adjacent peptide bonds. This observation aligns with experimental evidence that many 235 tripeptides with proline residues were detected in human blood plasma after oral 236 ingestion of corn and wheat hydrolysates, demonstrating marked stability to in vivo 237

digestive conditions (Akika, Megumi, Yasushi, & Kenji, 2018). Peptides containing 238 acidic amino acids were reported to display higher resistance to GI enzymes in 239 240 comparison with peptides containing neutral and basic amino acids, such as Arg, His and Lys (C. Wang, Wang, & Li, 2016). Moreover, net negatively charged peptide 241 fractions with higher acidic amino acid contents were reported to easily escape from 242 in vitro GI digestion than positively charged fractions containing a higher amount of 243 244 basic and aromatic residues (Ao & Li, 2013). This enhanced resistance to GI enzymes is attributed to the negatively charged nature of acidic amino acids, enabling them to 245 246 form ionic bonds with positively charged enzyme groups. These interactions impair the enzymatic degradation. Regarding hydrophobicity, high numbers of hydrophobic 247 amino acids, such as Val and Leu, within the peptide structure can have a profound 248 impact on their stability and render them more susceptible to enzymatic digestion, 249 particularly within the gastrointestinal environment. Peptides containing an elevated 250 concentration of hydrophobic amino acids exhibit decreased solubility and are more 251 likely to aggregate or form secondary structures due to the hydrophobic interactions 252 between their constituent amino acids. These structural changes can expose 253 vulnerable sites in the peptide, making them more accessible to digestive enzymes, 254 such as trypsin and chymotrypsin, which preferentially cleave peptide bonds adjacent 255 to hydrophobic residues. As a result, peptides rich in hydrophobic amino acids may 256 experience more extensive enzymatic hydrolysis in the intestinal milieu. A recent 257 review reported that Leu was completely absent at the C-terminal of stable peptides 258 but accounted for a large proportion of C-terminal cleavages in unstable peptides 259 (Ahmed, Sun, & Udenigwe, 2022). This finding is consistent with the specificity of 260 carboxypeptidase A1, preferentially cleaving at C-terminal hydrophobic residues such 261 as Leu. In addition, the cyclization induced by disulfide bond linkage would 262

potentially prevent susceptible peptide bonds from enzymatic cleavage during GI
digestion (Góngora-Benítez, Tulla-Puche, & Albericio, 2014).

3.2 Intestinal transport and potential bioavailability of hypocholesterolemic

266 peptides

It is important to note that there is not any specific mechanism of transport for 267 hypocholesterolemic peptides, which are transported using the same mechanisms as 268 269 other peptides (Xu, et al., 2019). Peptides can be transported across the intestinal epithelial cells through one or more routes, including peptide transport 1 (PepT1) -270 mediated routes, the paracellular route via tight junctions, transcytosis via vesicles 271 and passive transcellular diffusion. Trans-epithelial transport and routes of transport 272 of peptides vary based on the physicochemical properties, including net charge, 273 274 hydrophobicity, chain length, and sequence of the peptide (Segura-Campos, Chel-Guerrero, Betancur-Ancona, & Hernandez-Escalante, 2011). 275

Commonly, di- and tri-peptides can be actively transported intact across the brush 276 border membrane of the epithelial cells into enterocytes via PepT1, which is 277 responsible for the transportation of small peptides (< 500 Da). PepT1 is mainly 278 distributed in the intestinal brush border membrane and is a high-capacity and low-279 affinity transporter that takes advantage of the proton gradient between the intestinal 280 lumen (pH 5.5-6.0) and epithelial cells (pH 7.0). However, thousands of transported 281 282 di- and tri-peptides are reported as having anti-hypertensive, antioxidant, antidiabetic, and anti-inflammatory properties (Xu, et al., 2019), whereas few literature data have 283 reported the mechanism of transport of di- or tri-peptides with a cholesterol-lowering 284 activity. For instance, dry-cured ham derived di-peptides DA, DD, EE, ES, and LL 285 (Heres, Mora, & Toldrá, 2021), Amaranthus cruentus derived tri-peptides GGV and 286

IVG (Tovar-Pérez, Lugo-Radillo, & Aguilera-Aguirre, 2019), and cowpea bean β-287 vignin protein derived tri-peptides IAF, OGF, and ODF (M. Silva, et al., 2021), are 288 289 identified as HMGCoAR inhibitors in vitro without information about the mechanism of transport. As mentioned in a recent review, 400 di-peptides and 8,000 tri-peptides 290 can be recognized and transported by PepT1 (Xue, et al., 2021), without selecting for 291 a specific amino acid sequence. Therefore, the mechanism of transport of these 292 293 HMGCoAR inhibitory di- and tri-peptides may involve a PepT1-mediated route, but 294 this needs to be verified.

295 Paracellular transport is a passive, energy-independent mechanism for the absorption of water-soluble peptides. It involves the transportation of peptides through water-296 filled channels between enterocytes. The hydrophilicity of these peptides plays a 297 crucial role in their paracellular transport. Additionally, the paracellular route is the 298 main pathway for the transportation of low molecular weight peptides. For example, 299 peptides such as VPP derived from cheese and HLPLP derived from casein can be 300 transported intact across the Caco-2 monolayer via paracellular transport (Xue, et al., 301 2021). The presence of tight junctions, which mediate the paracellular route, explains 302 why smaller peptides exhibit a higher transport rate compared to larger ones. This is 303 due to the diameter of the pores formed by tight junctions, which measures 304 approximately 5-6 nm in the crypts and 0.4-0.9 nm in the villi of the intestinal 305 membrane. The tight junctions of Caco-2 cell monolayers contain a substantial 306 number of pores with a diameter of 1.2-2.1 nm, suggesting their ability to transport 307 peptides smaller than 27 amino acids (the estimated diameter being approximately 2.1 308 nm) (Xu, et al., 2019). However, peptides with high hydrophobicity are more easily 309 transported by simple passive transcellular diffusion or by transcytosis (Xu, et al., 310 2019; Xue, et al., 2021). A recent study (Lammi, et al., 2021), examined the intestinal 311

transport ability in the differentiated Caco-2 cell model of white lupin peptide
LILPKHSDAD with a dual HMGCoAR/PCSK9 inhibitory activity. Since
LILPKHSDAD is a decapeptide with a net charge (-1) and hydrophobicity (+17.79
kcal/mol), it might be preferentially transported by passive transcellular diffusion or
by transcytosis.

317 It is difficult to assess the transport through the passive diffusion route due to the lack 318 of regulators of this route, whereas wortmannin can be used as a transcytosis inhibitor to investigate the transcytosis route (Vij, Reddi, Kapila, & Kapila, 2016). In the 319 320 presence of wortmannin, the transport of LILPKHSDAD was significantly impaired, which suggested that LILPKHSDAD is mainly transported by the transcytotic route. 321 Another study investigated the intestinal trans-epithelial transport of the hempseed 322 peptide IGFLIIWV with hypocholesterolemic activity, and results suggested that this 323 peptide may be preferentially transported by the paracellular route and/or by 324 transcytosis due to its hydrophobic property (Bollati, et al., 2022). Generally, 325 intestinal transport and route of transport of hypocholesterolemic peptides, especially 326 via transcytosis, have been shown to depend on molecular weight, net charge and 327 hydrophobicity, with small-sized, positively charged and hydrophobic peptides being 328 generally more permeable than others (Shimizu & Ok Son, 2007). The current 329 understanding of the structural requirements for peptides in transpithelial transport is 330 limited. Nevertheless, this knowledge would be very important for providing 331 functional foods with enhanced prevention potential. Moreover, it can facilitate the 332 precise delivery of bioactive peptides, enabling the development of targeted delivery 333 systems. Addressing the gaps in knowledge regarding peptide transport is crucial for 334 evidence-based healthcare and nutrition practices. Furthermore, studying the 335 relationship between structure and transport ensures the safety and efficacy of these 336

processes for regulatory purposes. In summary, further research in this field is essential to unlock the full potential of bioactive peptides, offering opportunities for better healthcare, personalized nutrition, and more effective therapies, ultimately advancing human health. This underscores the importance of conducting comprehensive investigations into the structure-transport relationship by using wellestablished bioavailable peptides and physiologically relevant intestinal models.

343 Furthermore, in vivo bioactivities of some peptides may be also directly associated with their fragments generated by the action of peptidases during intestinal transport 344 345 (Daroit & Brandelli, 2021; Karaś, 2019). For example, the peptide LPKHSDAD was produced by hydrolysis of LILPKHSDAD by Caco-2 cell peptidases and transported 346 across the cell monolayer via a passive diffusion mechanism or the paracellular route, 347 not by intracellular transcytosis being unaffected by wortmannin. LPKHSDAD was 348 also proved to exert a hypocholesterolemic behavior and shared the same mechanism 349 of action with its native peptide (Lammi, et al., 2021). However, in some cases, 350 metabolism under the action of peptidases may generate a fragment whose activity is 351 enhanced and/or shifted to different targets. The HMGCoAR inhibitory peptide 352 353 LTFPGSAED from white lupin protein hydrolysates was reported to be hydrolyzed to LTFPG by Caco-2 cell peptidases, and both the native peptide and its fragment were 354 transported across the cell monolayer (Lammi, et al., 2020). In particular, 355 LTFPGSAED was transported across the cell monolayer by the transcellular route, 356 whereas the mechanism of transport of LTFPG may involve the paracellular route. 357 Although LTFPG showed a poor ability to reduce the in vitro HMGCoAR activity, it 358 is an effective hypotensive peptide whose activity has been demonstrated both *in vitro* 359 and in vivo. Based on in vitro bioactivity, the transported peptides are strong 360

361 candidates for further evaluation of hypocholesterolemic properties and/or other
362 health-promoting activities *in vivo*.

363 In addition, despite their permeability across the intestinal epithelium, many bioactive peptides are not bioavailable in substantial amounts in vivo. An 8.5% decrease in 364 plasma PCSK9 level followed by a cholesterol-lowering effect was observed in 365 366 mildly hypercholesterolemic humans who consumed 30 g of lupin protein/day for 4 weeks (Lammi, Zanoni, Calabresi, & Arnoldi, 2016). Although this may suggest that 367 the hypocholesterolemic peptides were absorbed, detection and quantification of the 368 369 parent peptides in serum and tissues will be crucial in validating their biostability and bioavailability. Based on the limited literature in this area, short chain hydrophobic 370 peptides are hypothesized to be more resistant to hydrolysis by intestinal brush border 371 proteases and to across the intestinal epithelium in their intact form (Daniel, 2004). 372 Some hypocholesterolemic peptides that are not absorbed through the intestine can 373 also offer health benefits by binding bile acids and inhibiting cholesterol micellar 374 solubility modulating dietary cholesterol metabolism in the gut (Nagaoka, et al., 2010). 375 Unabsorbed bioactive peptides may also influence gut microbiota population and 376 metabolism in a special way thus exerting a positive cholesterol-lowering condition 377 (Ashaolu, 2020). Given that gut microbiota has been reported to mediate other health-378 promoting effects of bioactive peptides, it is imperative to explore how the gut 379 microbiota may influence the bioavailability and activity of these peptides, 380 particularly in the context of their cholesterol-lowering properties. The gut microbiota 381 can metabolize unabsorbed bioactive peptides, potentially leading to structural 382 modifications or the generation of biologically active metabolites (Guo, et al., 2021; 383 Wu, et al., 2021; Yu, Amorim, Marques, Calhau, & Pintado, 2016). This microbial 384 metabolism plays a pivotal role in shaping the absorption and efficacy of these 385

386 peptides, ultimately impacting their hypocholesterolemic potential and broader health

- 387 benefits. Therefore, understanding the interplay between gut microbiota and bioactive
- 388 peptides is crucial in comprehending their physiological effects.

4. Molecular mechanisms of hypocholesterolemic peptides

390 4.1 Effect of food-derived peptides on bile acids and micelles

391 Hypocholesterolemic peptides interact with exogenous cholesterol through interaction

with bile acids, salts, and lipids, as summarized in **Table 1**.

During GI absorption, dietary cholesterol forms micelles with bile acids, which promote its solubility and facilitate absorption in the intestine. Therefore, by suppressing the solubility of dietary cholesterol in micelles, the process of emulsifying bile acid and cholesterol can be effectively prevented. This, in turn, inhibits the formation of cholesterol micelles and ultimately contributes to the reduction of cholesterolemia.

In recent years, several studies have shown that food-derived proteins possess the 399 400 ability to prevent bile acid reabsorption, stimulate the conversion of liver cholesterol to supplementary bile acids, and diminish the solubility of cholesterol micelles in the 401 cells that line the small intestine. This process effectively lowers excessive serum 402 elevated cholesterolemia. For instance, both major royal jelly protein 1 (MRJP1) and 403 rice bran protein have shown a remarkable ability to bind to taurocholate, effectively 404 inhibiting the micellar solubility of cholesterol in vitro, compared to casein (Kashima, 405 et al., 2014; J. Wang, et al., 2015). This hypocholesterolemic effect of both proteins 406 407 was further demonstrated in rat studies, leading to increased excretion of fecal steroids, including cholesterol and bile acids, and enhanced hepatic cholesterol 408 catabolism (Kashima, et al., 2014; J. Wang, et al., 2015). In addition, the tryptic 409

hydrolysate of MRJP1 has exhibited a capacity to increase the cholesterol 7a-410 411 hydroxylase (CYP7A1) mRNA and protein levels in hepatocytes compared with that of casein tryptic hydrolysate (Kashima, et al., 2014). Similarly, protein hydrolysates 412 derived from a range of food sources, including bovine milk β -lactoglobulin, soybean, 413 chickpea (Cicer arietinum L.), cowpea bean and olive seed, have demonstrated a 414 definite capacity to bind bile acids/salts or lipids and effectively inhibit the solubility 415 416 of cholesterol in micelles. In these studies, the β -lactoglobulin tryptic hydrolysate exhibits hypocholesterolemic effects by inhibiting the micellar solubility of 417 418 cholesterol, thereby reducing both serum and liver cholesterol levels in rats (Nagaoka, et al., 2001). Meanwhile, the identified peptide IIAEK from β -lactoglobulin has also 419 confirmed a hypocholesterolemic activity (Nagaoka, et al., 2001). In addition, a 420 hydrolysate of soy protein digested with Alcalase showed the highest inhibition of 421 micellar solubility inhibition, i.e. 48.6% when the protein hydrolysis rate reached 18% 422 (Zhong, Liu, Ma, & Shoemaker, 2007). In a mouse-feeding study, levels of LDL-C + 423 Very-low-density lipoprotein (VLDL)-C went down by 34% and 45%, respectively, 424 when mice consumed high-cholesterol diets with the soy protein hydrolysate (0.5 and 425 2.5 g/kg body weight), compared to animal fed only the high fat diet (Zhong, Liu, et 426 al., 2007). After further purification, the hydrolysate yielded an active peptide 427 sequence recognized as WGAPSL, with the highest inhibition rate of 94.3% against 428 micellar solubility (Zhong, Zhang, Ma, & Shoemaker, 2007). Moreover, the other two 429 peptides IAVPGEVA and VAWWMY (soystatin), derived from soybean glycinin, 430 exhibited a significant bile acid-binding capacity with IAVPGEVA specifically 431 enhancing cholesterol metabolism in plasma, while soystatin displayed clearly 432 inhibited micellar solubility and cholesterol absorption in rats (Nagaoka, et al., 2010; 433 Pak, Koo, Kasymova, & Kwon, 2005). In a study with chickpea protein, a hydrolysate 434

was obtained with Alcalase and Flavourzyme, exhibiting a significant inhibition rate 435 436 of up to 50% for cholesterol micellar solubility (Yust, Millán-Linares, Alcaide-437 Hidalgo, Millán, & Pedroche, 2012). Such a direct interaction with lipids was also observed for protein hydrolysates digested from olive seed, cooked cowpea and 438 439 flaxseed, respectively, all inhibiting micellar cholesterol solubility in vitro (Bao, Yuan, 440 Li, & Liu, 2022; Marques, Fontanari, et al., 2015; Prados, et al., 2018). In particular, 441 the flaxseed-derived peptide IPPF exhibited the highest cholesterol micelle solubility inhibition rate of 93.47% and effectively modulated the protein expression levels of 442 443 cholesterol transporters Niemann-Pick C1-Like 1 (NPC1L1) and ATP-binding cassette transporter G5/G8 (ABCG5/8) in Caco-2 cells, thereby inhibiting cholesterol 444 intestinal absorption (Bao, et al., 2022). 445

This mechanism was also demonstrated in hydrolysates derived from both freshwater 446 clam (Corbicula fluminea) residual meat byproducts and sea bass byproducts. The 447 hydrolysate from freshwater clam residual meat byproducts exhibited a cholic acid-448 binding ability of 35.9% and an inhibitory activity of 18.5% against cholesterol 449 micelle formation (Lin, Tsai, Hung, & Pan, 2010, 2011). Moreover, the total 450 cholesterol levels in plasma and liver were decreased by 26.1% and 50.0%, 451 respectively, in Sprague–Dawley rats with hyperlipidemia after consuming the clam 452 meat hydrolysate (16.6% in the diet) (Lin, et al., 2010, 2011). The purified peptides 453 VKP and VKK from clam meat hydrolysate had inhibitory efficiency ratios of 64.8% 454 and 10.2% mg/mL, respectively, by binding bile acids and inhibiting cholesterol 455 micelle formation (Lin, et al., 2017). 456

In another study, after lactic fermentation, the byproducts of sea bass were hydrolyzed
by high hydrostatic pressure (HHP)-assisted Protease N, followed by GI enzymatic
hydrolysis. After fractionation by gel filtration chromatography, the most active

fraction included three di-peptide inhibitors of cholesterol micelle formation, SAQ, 460 PW, and VGGT, with inhibitory efficiency ratios of 361.7, 3230.0, and 461 302.9%/mg/mL, respectively (Chen, et al., 2021). In addition, a recent study revealed 462 hypocholesterolemic effects of silk sericin-derived oligopeptides, inhibiting 463 cholesterol uptake in monolayer Caco-2 cells and decreasing serum total and non-464 465 high-density lipoprotein cholesterol (HDL-C) levels in rats, likely attributed to a direct interaction between silk sericin-derived oligopeptides and cholesterol/bile acids 466 (Lapphanichayakool, Sutheerawattananonda, & Limpeanchob, 2017). 467

468 However, there is still limited in vivo and clinical evidence to support the hypocholesterolemic effects resulting from the interactions between bile acid/lipid 469 and peptides derived from food proteins. Meanwhile, although numerous studies have 470 demonstrated the importance of the presence of hydrophobic cores in peptides for 471 cholesterol and bile acid binding (Boachie, et al., 2018), information on the structure-472 function relationship of bile acid/lipid interactions with food protein-derived peptides 473 is still scarce. Therefore, further studies on the hypocholesterolemic activity of protein 474 hydrolysates, novel peptides, and identification of their peptide sequences are 475 necessary to understand their possible mechanism of action and structure-function 476 relationship. 477

Table 1 summarizes *in vitro* and *in vivo* food-derived peptides related to exogenouscholesterol.

Peptide sequence	Protein source	Hydrolytic enzyme	In vitro or in vivo	Mechanism of action	Hypocholesterolemic effect/micellar solubility	References
Peptide mixtures	Bovine milk β- lactoglobulin	Trypsin	In vivo (rats)	Inhibition of micellar solubility of cholesterol	↓Serum and liver cholesterol levels	(Nagaoka, et al., 2001)
IIAEK	Bovine milk β- lactoglobulin hydrolysate	Trypsin	In vivo (rat)	Inhibition of micellar solubility of cholesterol	↓Serum and liver cholesterol levels	(Nagaoka, et al., 2001)
Peptide mixtures	Chickpea (Cicer arietinum L.)	Alcalae and Flavourzyme	In vitro	Inhibition of micellar solubility of cholesterol	Identification of highest cholesterol micellar solubility inhibition rate50%	(Yust, et al., 2012)
Peptide mixtures	Soy	Alcalase	In vivo (mice)	Inhibition of micellar solubility of cholesterol	The highest cholesterol micellar solubility inhibition rate was 48.6% (when the protein hydrolysis rate reached 18%) ↓Serum level of LDL-C + VLDL-C (decreased by 34% and 45%, respectively, at 0.5 and 2.5 g/kg b.w.)	(Zhong, Liu, et al., 2007)
WGAPSL	Soy protein hydrolysate	Alcalase	In vitro	Inhibition of micellar solubility of cholesterol	The highest cholesterol micellar solubility inhibition rate was 94.3%	(Zhong, Zhang, et al., 2007)

480 Table 1. Effects of food-derived peptides on cholesterol reduction via interaction with bile acids, salts, and lipids in the gastrointestinal tract.

IAVPGEVA	Soy (11S-glob hydrolysa	glycinin pulin) tte	Pepsin	In vitro	Binding to bile acids	↓Reabsorption of bile acids ↑—Cholesterol metabolism in plasma	(Pak, et al., 2005)
Soystatin (VAWWMY)	Soy glyci	nin		In vitro and in vivo (rats)	Binding to bile acids, and inhibition of micellar cholesterol solubility and cholesterol absorption	↓Micellar solubility and cholesterol absorption in rats	(Nagaoka, et al., 2010)
Oligopeptides	Sericin cocoon)	(silk	Protease (from <i>Bacillus</i> species)	In vitro and in vivo (rats)	Binding to bile acids/salts or lipids, and inhibition of micellar cholesterol solubility	↓Cholesterol solubility in lipid micelles ↓Cholesterol uptake in monolayer Caco-2 cells ↓Serum total and non-HDL cholesterol levels in rats (three doses: 10 mg kg ⁻¹ day ⁻¹ , 50 mg kg ⁻¹ day ⁻¹ , and 200 mg kg ⁻¹ day ⁻¹ , respectively)	(Lapphanich ayakool, et al., 2017)
Protein (MRJP1) and MRJP1 tryptic hydrolysate	Royal jell	ly	Trypsin	<i>In vitro</i> and <i>in vivo</i> (rats)	Binding to bile acids/salts, and inhibition of micellar cholesterol solubility	↓Micellar cholesterol solubility <i>in</i> <i>vitro</i> ↑Liver bile acids levels, and CYP7A1 mRNA and protein ↑Fecal bile–acid and cholesterol excretion in rats	(Kashima, et al., 2014; Mureşan, et al., 2022)

Protein	Rice bran		<i>In vitro</i> and <i>in vivo</i> (rats)	Binding to bile acids and inhibition of micellar cholesterol solubility	↓Micellar cholesterol solubility <i>in</i> <i>vitro</i> ↓Serum total cholesterol levels in rats ↑Excretion of fecal cholesterol and bile acids in rats	(J. Wang, et al., 2015)
Peptide mixtures	Olive seed	Alcalase	In vitro	Inhibition of micellar cholesterol solubility	↓Micellar cholesterol solubility Inhibition of cholesterol esterase and lipase enzymes	(Prados, et al., 2018)
IPPF	Flaxseed (Linum usitatissimum)	Protease M	In vitro	Inhibition of intestinal cholesterol absorption in Caco-2 cells and hepatic cholesterol synthesis in HepG2 cells	↓Micellar cholesterol solubility (The highest cholesterol micelle solubility inhibition rate was 93.47%) Caco-2 cells: (cholesterol transporters) ↓NCP1L1 protein levels ↑Protein levels of ABCG5 and ABCG8 in HepG2 cells (cell transporters) ↓mRNA levels of SREBP-2 and HMGCoAR	(Bao, et al., 2022)

Peptide mixtures	Freshwater clam meat	Protamex	<i>In vitro</i> and <i>in vivo</i> (rats)	Binding to bile acids and inhibited formation of cholesterol micelles	A binding capacity of 35.9% with cholic acid and an inhibitory capacity of 18.5% against cholesterol micelle formation, <i>in</i> <i>vitro</i> ↓Cholesterol (content in plasma and liver reduced by 26.1% and 50.5%, respectively)	(Lin, et al., 2010, 2011)
VKP and VKK	Muscles of freshwater clams hydrolysate	Pepsin	In vitro	Bind to bile acids and inhibit formation of cholesterol micelles	The inhibitory efficiency ratios are 64.8% and 10.2% mg/mL, respectively	(Lin, et al., 2017)
Peptide mixtures	Sea bass byproducts	High hydrostatic pressure (HHP)- assisted Protease N hydrolysis after lactic fermentation	In vitro	Inhibit formation of cholesterol micelles	The inhibitory activity on formation of cholesterol micelles is 88.4%	(Chen, et al., 2021)
SAQ, PW and VGGT	Sea bass hydrolysates	Gastrointestinal digestion	In vitro	Inhibit the formation of cholesterol micelles	The inhibitory efficiency ratios are 361.7, 3230.0, and 302.9%/mg/mL, respectively	(Chen, et al., 2021)

481 Micellar solubility observed in food-derived peptides in the different *in vitro* and *in vivo* models. ↑, increased; ↓, decreased.

482

484 4.2 Modulation of endogenous cholesterol levels via cholesterol synthesis

- 485 pathways
- 486 **Table 2** summarizes the *in vitro* and *in vivo* effects of food-derived peptides related to
- the cholesterol synthesis pathway, as also illustrated in **Figure 2**.

488

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489 Table 2. Effects of food-derived peptides on cholesterol reduction via modulate of cholesterol synthesis pathway.

Peptide sequence	Protein source	Hydrolytic enzyme	In vitro or in vivo	Mechanism of action	Hypocholesterolemic effect	References
Peptide mixtures	Chia protein	Alcalase, Flavourzyme and sequential Alcalase- Flavourzyme	In vitro	Inhibition of HMGCoAR activity	↓ <i>In vitro</i> HMGCoAR activity (More effective at 3 mg/mL)	(Coelho, Soares- Freitas, Arêas, Gandra, & Salas- Mellado, 2018)
HPP and SGQR	Silkworm pupae protein	Neutral proteinase	In vitro	Inhibition of HMGCoAR activity	↓mRNA and protein level of HMGCoAR (1.2- to 1.7-fold decrease at 0.5 mg/mL)	(Sun, et al., 2021)
DA, DD, EE, ES, and LL	Dry-cured ham	Generated during manufacturing	In vitro	Inhibition of HMGCoAR activity (statin-like interactions of the dipeptides with HMGCoAR)	↓ <i>In vitro</i> HMGCoAR activity (More than 40% at 1 mM)	(Heres, et al., 2021)
RCD and SNV	Spirulina platensis	Gastrointestinal digestion	In vitro	Inhibition of HMGCoAR activity	\downarrow <i>In vitro</i> HMGCoAR activity (IC ₅₀ : 6.9 µM and 20.1 µM, respectively)	(Chen & Yang, 2021)

Lunasin	Soybean		In vitr (HepG2 cells)	o Inhibition activity	of	HMGCoAR	↓HMGCoAR activity ↑LDLR expression	(Galvez, 2012)
Peptide mixtures	Olive seed	Alcalase	<i>In vitro</i> an <i>in viv</i> (mice)	d Inhibition o activity	of	HMGCoAR	↓HMGCoAR activity ↑LDLR expression (At dose of 200 or 400 mg/kg/day)	(Prados, Orellana, Marina, & García, 2020)
Peptide mixtures	Cowpea (raw and cooked beans)	Gastrointestinal digestion	In vitro	Inhibition activity and solubility	of micell	HMGCoAR ar cholesterol	↓ <i>In vitro</i> HMGCoAR activity ↓The micellar solubility of cholesterol (Peptides from the protein isolate of raw cowpeas inhibit HMGCoAR activity, whereas the peptides from cooked cowpeas are more effective in inhibiting the micellar cholesterol solubility)	(Marques, Soares Freitas, et al., 2015)
GCTLN	Cowpea bean	Gastrointestinal digestion	In vitro	Inhibition activity and solubility	of micell	HMGCoAR ar cholesterol	↓ <i>In vitro</i> HMGCoAR activity ↓Micellar cholesterol solubility	(Marques, Fontanari, et al., 2015)
IAF, QGF, and QDF	Cowpea bean β-vignin protein	Gastrointestinal digestion	In vitro	Inhibition activity (Lower ch through mechanism)	of olester a	HMGCoAR ol synthesis statin-like	↓ <i>In vitro</i> HMGCoAR activity (At 500 µM concentration, IAF, QGF, and QDF reduced HMGCoAR activity by 69%, 77% and 78%)	(M. Silva, et al., 2021; M. B. d. C. e. Silva, et al., 2018)

GGV, IVG, and VGVL	Amaranthus cruentus	Multi-enzyme system	In vitro	Inhibition of HMGCoAR activity	↓ <i>In vitro</i> HMGCoAR activity (IC ₅₀ of VGVL: 50 µM)	(Soares, Mendonça, De Castro, Menezes, & Arêas, 2015)
GEQQQQPGM	Rice protein α- globulin	Pepsin and Trypsin sequential <i>in vitro</i> digestion	In vivo (hamsters)	Lower plasma LDL cholesterol	↓LDL cholesterol (100 mg/kg b.w.)	(Tong, et al., 2017)
Peptide mixtures	Pigeon pea milling by- product	Pepsin and pancreatic enzymes	In vivo (rats)	Inhibition of HMGCoAR activity	↓mRNA expression levels (SREBP2, HMGCoAR, PPARγ, and CYP7A1) ↑mRNA expression levels (LDLR, PPARα, LPL, Insig1, and Insig2)	(Kumar, Muthu Kumar, & Tiku, 2021)
PFVKSEPIPETNNE	Pigeon pea milling by- product	Pepsin and pancreatic enzymes	<i>In vitro</i> and <i>in vivo</i> (rats)	Inhibition of HMGCoAR activity Increase SREBP-2 and LDLR protein levels	<i>In vitro</i> (HepG2 cells): ↓ <i>In vitro</i> HMGCoAR activity ↑mRNA and protein expression of HMGCoAR, LDLR and SERBP-2 2 ↑LDL uptake <i>In vivo</i> (Wistar rats): less effective in reducing liver cholesterol	(Kumar, et al., 2021; Kumar, et al., 2019)
Peptide mixtures	Chickpea	Alcalase	In vivo (high-fat diet-induced obese rats)	Inhibition of HMGCoAR activity and micellar cholesterol solubility	↓HMGCoAR ↑LDLR	(Shi, Hou, Guo, & He, 2019)

VFVRN	Chickpea	Identified from chickpea peptides using a pharmacophore model	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity	↓ <i>In vitro</i> HMGCoAR activity (0.4 mM inhibited HMGCoAR by 64.38%) in HepG2 cells	(Shi, et al., 2019)
Peptide mixtures Peptide mixtures	White lupin (<i>Lupinus</i>	Pepsin Trypsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity and PCSK9-LDLR binding, <i>in vitro</i> Increasing SREBP-2 and LDLR protein levels and decreasing PCSK9 production via HNF-1α protein	↓ <i>In vitro</i> HMGCoAR activity (17% reduction by peptic peptides and 57% by tryptic peptides at 2.5 mg/mL) ↓PCSK9-LDLR binding ↓PCSK9 ↓HNF-1α ↑SREBP-2 ↑LDLR expression ↑LDL uptake ↑Activation of PI3K/Akt/GSK3β kinases	(Lammi, Aiello, et al., 2016; Lammi, Zanoni, Aiello, Arnoldi, & Grazioso, 2016; Lammi, Zanoni, Calabresi, et al., 2016; Lammi, et
YDFYPSSTKDQQS	White lupin (<i>L. albus</i>) β-conglutin	Pepsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity (Modulates cholesterol metabolism in HepG2 cells via SREBP-1 activation)	↓ <i>In vitro</i> HMGCoAR activity ↑LDLR expression ↑LDL uptake ↑SREBP-1	(Lammi, Zanoni, Arnoldi, & Aiello, 2018)

GQEQSHQDEGVIVR	Lupin (<i>albus</i>) β-conglutin	Trypsin	In vitro (HepG2 cells)	Modulates the mutant PCSK9 ^{D374Y} pathway, a dual mechanism of action involving either the modulation of the PCSK9 ^{D374Y} or LDLR pathways	\downarrow PCSK9 ^{D374Y} -LDLR binding (IC ₅₀ : 285.6 ± 2.46 μM) \downarrow PCSK9 ^{D374Y} -FLAG protein \downarrow HNF-1α \downarrow <i>In vitro</i> HMGCoAR activity (IC ₅₀ : 99.5 ± 0.56 μM)	(Grazioso, Bollati, Sgrignani, Arnoldi, & Lammi, 2018;
					↓HMGCoAR ↑LDLR ↑LDL uptake ↑SREBP-2	Lammi, Bollati, Lecca, Abbracchio, & Arnoldi, 2019)
GQRQWKQAEGVMVR	Analogs of GQEQSHQDEG VIVR (Computational design)	Computational design	In vitro	Inhibits the mutant PCSK9 ^{D374Y} activity	↓PCSK9 ^{D374Y} -LDLR binding (IC ₅₀ : 147.8 ± 3.23 μ M)	(Lammi, Sgrignani, Roda, Arnoldi, & Grazioso, 2019)

LILPKHSDAD	Lupin (Lupinus	Pepsin	In vitro	Inhibition of HMGCoAR	↓In vitro HMGCoAR activity	(Zanoni,
	albus)		(HepG2	activity and PCSK9-LDLR	(IC ₅₀ : 147 µM)	Aiello,
	β-conglutin		cells)	binding;	↓PCSK9	Arnoldi, &
				raises SREBP-2 and LDLR	↓HNF-1α	Lammi,
				protein levels and decreases	↓PCSK9-LDLR binding	2017b)
				PCSK9 production via the	↑pHMGCoAR (Ser 872)	
				HNF-1α protein	↑pAMPK (Thr 172)	
					↑LDLR	
					↑LDL uptake	
					↑SREBP-2	
LPKHSDAD	Metabolite of	Pepsin and	In vitro	Inhibition of HMGCoAR	↓In vitro HMGCoAR activity	(Lammi, et
	LILPKHSDAD,	intestinal	(HepG2	activity and PCSK9-LDLR	(IC ₅₀ : 175.3 µM)	al., 2021)
	during epithelial	peptidases	cells)	binding,	↓PCSK9	
	transport			raises SREBP-2 and LDLR	↓HNF-1α	
	experiments			protein levels and decreases	↓PCSK9-LDLR binding	
				PCSK9 production via HNF-1α	(IC ₅₀ : 1.7 μM)	
				protein	↑pHMGCoAR (Ser 872)	
				-	↑pAMPK (Thr 172)	
					↑LDLR	
					↑LDL uptake	

↑SREBP-2

LYLPKHSDRD, LILPKASDAD, and LILPKHADAD	Analogs of LILPKHSDAD	Computational design	In vitro	Inhibition of HMGCoAR activity and PCSK9-LDLR binding, raises SREBP-2 and LDLR protein levels and lowers PCSK9 production via effect on HNE-1a protein	Showed the same/similar effects of LILPKHSDAD \downarrow <i>In vitro</i> HMGCoAR activity (IC ₅₀ : 88.9 µM, 74.4 µM, and 73.8 µM) \downarrow PCSK9-LDLR binding (IC ₅₀ : 0.7 µM, 9.0 µM, and 1.45	(Lammi, et al., 2022)
					μM)	
LTFPGSAED	Lupin (<i>Lupinus</i> <i>albus</i>) β-conglutin	Pepsin	In vitro (HepG2 cells)	Inhibits HMGCoAR activity Increasing SREBP2 and LDLR protein levels	↓ <i>In vitro</i> HMGCoAR activity (IC ₅₀ : 68 μM) ↑pHMGCoAR (Ser 872) ↑pAMPK (Thr 172) ↑LDLR ↑LDL uptake ↑SREBP-2	(Zanoni, et al., 2017b)
LTFPG	Metabolite of LTFPGSAED, during epithelial transport experiments	Pepsin and intestinal peptidases	In vitro	Inhibition of HMGCoAR activity	\downarrow HMGCoAR activity (Inhibits the enzyme by 4.7 ± 0.3 and 10.3 ± 0.8% at 100 and 250 μ M)	(Lammi, et al., 2020)

Peptide mixtures	Lupin (Lupinus angustifolius)	Alcalase	In vivo (western diet-fed ApoE ^{-/-} mice)	Hypocholesterolemic effects in Western diet-fed ApoE ^{-/-} mice by modulation of LDLR and PCSK9 pathways	↓ HMGCoAR activity (Decrased by 51.5 ± 0.6% at 2.5 mg/mL) ↓PCSK9 ↓HNF-1α ↓HMGCoAR ↑pHMGCoAR (Ser 872) ↑pAMPK (Thr 172) ↓LDLR ↑LDL uptake ↓SREBP-2	(Santos- Sánchez, e al., 2022)	₹t
IAVPGEVA, IAVPTGVA, and LPYP	Soy glycinin	Pepsin or Trypsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity Increased SREBP2 and LDLR protein levels via the activation of AMPK and ERK 1/2	\downarrow In vitro HMGCoAR activity (IC ₅₀ : 222 ± 90, 274 ± 95, and 300 ± 150 µM) ↑LDLR ↑LDL uptake ↑SREBP-2 ↑pAMPK (Thr 172) ↑pHMGCoAR (Ser 872) ↑pERK 1/2 (Thr 202/Tyr 204)	(Lammi, Zanoni, & Arnoldi, 2015)	¢
YVVNPDNDEN and YVVNPDNNEN	Soy β-conglycinin	Pepsin or Trypsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity Increased SREBP2 and LDLR protein levels	↓-HMGCoAR activity (IC ₅₀ : 150 and 200 μM) ↑LDLR ↑LDL uptake ↑SREBP-2	(Lammi, Zanoni, Arnoldi, e al., 2015)	et

Peptide mixtures (Including 90 i peptides belongin proteins)	dentified ag to 33	Hempseed (Canabis sativa)	Pepsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity (Hypocholesterolemic effects with a statin-like mechanism)	 ↓ HMGCoAR activity ↑ pHMGCoAR (Ser 872) ↑ pAMPK (Thr 172) ↑ LDLR ↑ LDL uptake ↑ SREBP-2 ↑ PCSK9 	(Zanoni, et al., 2017a)
Short-chain mixture, medium-chain mixture, and total hydrolysate	peptide peptide	Hempseed	Alcalase	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity. Increased SREBP-2 and LDLR protein levels and reduced PCSK9 production via HNF-1α protein	↓HMGCoAR activity ↓PCSK9 ↓HNF-1α ↑LDLR ↑SREBP-2	(Cerrato, et al., 2023)
IGFLIIWV		Hempseed (Cannabis sativa)	Pepsin	In vitro (HepG2 cells)	Inhibition of HMGCoAR activity Increased SREBP-2 and LDLR protein levels and lower PCSK9 production via HNF-1α protein	↓ HMGCoAR activity ↓PCSK9 ↓HNF-1α ↑pHMGCoAR (Ser 872) ↑pAMPK (Thr 172) ↑LDLR ↑LDL uptake ↑SREBP-2	(Li, et al., 2022)

Lunasin (a 43-amino acid Soybean	In	vitro	Inhibits PCSK9 expression by	↓PCSK9 at mRNA and protein	(Fernánd	lez-
polypeptide)	(HepG	2	down-regulating HNF-1 α and	levels	Tomé	&
	cells) and in		enhances LDLR expression via	↓HNF-1α	Hernánde	ez-
	vivo		PI3K/Akt-mediated activation	↑LDLR	Ledesma	l,
	(ApoE	-/	of SREBP-2 pathway	↑LDL uptake	2019; G	u, et
	mice)				al., 2017)

Hypocholesterolemic effects observed in food-derived peptides in the different *in vitro* and *in vivo* models. ↑, increased; ↓, decreased.

493 **4.2.1 HMGCoAR-inhibiting effect of food-derived peptides**

The most common pharmacological strategy for hypercholesterolemia control is 494 495 based on the inhibition of HMGCoAR, the rate-controlling enzyme in the mevalonate pathway an a key factor in endogenous cholesterol biosynthesis, thereby elevating the 496 LDLR expression, increasing the LDL particle uptake from the circulation. The most 497 representative oral agents targeted to HMGCoAR for the prevention and treatment of 498 cardiovascular diseases associated to hypercholesterolemia are the statins, such as 499 lovastatin, simvastatin, pravastatin, fluvastatin, atorvastatin, pitavastatin and 500 rosuvastatin, all reversible competitive inhibitors of HMGCoAR. Although statins are 501 effective medications for primary and secondary prevention of CVD and are taken by 502 approximately 25% of the world older population (> 65 years), patients treated with 503 statins may have undesirable side effects, such as muscle pain, including muscle 504 weakness, myalgia, stiffness, cramps, and arthralgia (Reiner, 2014). Moreover, other 505 limitations of statins are the considerable variability of individual LDL-C reduction 506 after statin therapy (varying from 5 to 70%) and the inability to reduce LDL-C to 507 desirable and safe levels for ~ 50% of the patients (Taylor & Thompson, 2016). 508 Limitations of statins have stimulated research towards discovering new drugs for 509 cholesterol management, and food-derived peptides, due to their very low toxic or 510 adverse effects. 511

512 Over the years, some food-derived peptides were found to inhibit HMGCoAR activity 513 *in vitro*, and/or to lower endogenous cholesterol levels *in vivo* statin-like effects with 514 other mechanisms. For instance, white lupin protein hydrolysates digested by pepsin 515 or trypsin were found to reduce HMGCoAR activity *in vitro* (-17% for peptic peptides 516 and -57% for tryptic peptides at 2.5 mg/mL) and improved the capacity of HepG2

cells to take up LDL-C from the extracellular environment by way of the LDLR 517 (Lammi, et al., 2014). Furthermore, white lupin peptides LILPKHSDAD and 518 519 LTFPGSAED inhibited HMGCoA activity *in vitro* with an IC₅₀ values of 147 μ M and 68 μM, respectively; an *in-silico* investigation further predicted the potential binding 520 mode to the catalytic site of this enzyme (Zanoni, et al., 2017b). Peptides 521 LPKHSDAD and LTFPG are the metabolites of LILPKHSDAD and LTFPGSAED, 522 respectively, during epithelial transport experiments, which also shown the inhibitor 523 activity of HMGCoAR in vitro (Lammi, et al., 2021; Lammi, et al., 2020). In addition, 524 525 this feature was shown in vitro with computational design analogs of LILPKHSDAD, including LYLPKHSDRD (IC₅₀ ~ 88.9 μ M), LILPKASDAD (IC₅₀ ~ 74.4 μ M), and 526 LILPKHADAD (IC₅₀ ~ 73.8 µM) (Lammi, et al., 2022). Similarly, HMGCoAR-527 inhibitory peptides were also found in hempseed protein. A study on a culture of 528 HepG2 cells demonstrated that the cholesterol-lowering effect of hempseed protein 529 hydrolysate digested by pepsin, is due to the inhibition of HMGCoAR activity with a 530 statin-like mechanism (Zanoni, et al., 2017a). Moreover, the HMGCoAR inhibitory 531 activities were observed also with hempseed protein derived short-chain peptide 532 mixture (IC₅₀ ~ 0.18 mg/mL), medium-chain peptide mixture (IC₅₀ ~ 0.25 mg/mL), 533 and total hydrolysate (IC₅₀ ~ 0.38 mg/mL), generated by Alcalase. Especially, the 534 short-chain peptide mixture is more active on cholesterol metabolism pathway 535 through the modulation of LDLR activity (Cerrato, et al., 2023). Another study 536 identified IGFLIIWV from hempseed protein, a multifunctional octapeptide, with 537 antioxidant and anti-inflammatory activities, inhibiting HMGCoAR activity in vitro 538 dose-dependently (IC₅₀ ~ 59 μ M) (Cerrato, et al., 2023). 539

540 One of the major sources of peptides in the search of the cholesterol-lowering effects 541 targeted to HMGCoAR is soybean protein. Three peptides (IAVPGEVA,

IAVPTGVA, and LPYP) produced from soy glycinin can inhibit HMGCoAR activity 542 with IC₅₀ of 222, 274, and 300 µM in vitro, respectively (Lammi, Zanoni, & Arnoldi, 543 544 2015). The two soy β -conglycinin-derived peptides YVVNPDNDEN and YVVNPDNNEN exhibited higher HMGCoAR inhibitory activity with IC₅₀ of 150 545 and 175 µM, respectively (Lammi, Zanoni, Arnoldi, et al., 2015). Moreover, Lunasin, 546 547 a 43-amino acid polypeptide initially isolated from soybean, has been shown to significantly reduce HMGCoAR expression in HepG2 cells grown in cholesterol-free 548 media (Galvez, 2012). 549

550 In addition, peptides released from raw or cooked cowpea bean, chickpea, and olive seed, are capable of decreasing the HMGCoAR activity in addition to their ability to 551 reduce micellar cholesterol solubility (Marques, Soares Freitas, et al., 2015; Prados, et 552 al., 2018; Prados, et al., 2020; Shi, et al., 2019). Moreover, smaller peptides, such as 553 GCTLN (Marques, Fontanari, et al., 2015), IAF, QGF, and QDF (M. Silva, et al., 554 555 2021; M. B. d. C. e. Silva, et al., 2018) derived from cowpea bean protein, GGV, IVG and VGVL isolated from Amaranthus cruentus protein (Soares, et al., 2015), VFVRN 556 derived from chickpea protein (Shi, et al., 2019), and DA, DD, EE, ES, and LL 557 derived from dry-cured ham (Heres, et al., 2021), were also found to inhibit 558 HMGCoAR activity in vitro and some showed statin-like interactions with 559 HMGCoAR. Small peptides, especially di- and tri-peptides, are generally considered 560 to be carried across the intestinal epithelium by the pepT1 transporter or by other 561 transport routes in an intact form, and be bioavailable where activity is needed 562 (Daniel, 2004), exerting a hypocholesterolemic effect. Based on these findings, 563 although food-derived peptides hold for the promise treatment of 564 hypercholesterolemia by targeting the cholesterol biosynthetic pathway, there is 565

566 limited knowledge on their structure–activity relationship, bioavailability, and *in vivo*

related research, thus making it necessary to conduct further investigations.

568 4.2.2 PCSK9-mediated effects of food-derived peptides

As a promising therapeutic target for endogenous cholesterol regulation, PCSK9 has 569 gained increasing attention, and its biological mechanism for cholesterol modulation 570 571 is also now well-established. PCSK9 is a major regulator of hepatocyte LDLR concentrations by inhibiting the receptor recycling pathway, thus causing elevation of 572 plasma LDL-C levels, subsequently accelerating atherosclerosis. Specifically, the 573 LDLR is responsible for the cellular uptake and subsequent degradation of LDL, 574 playing a crucial role in cholesterol homeostasis. Extracellular LDL can bind to the N-575 terminal domain of LDLR to form an LDL: LDLR complex internalized by a 576 receptor-mediated endocytosis and then migrated to the endosome, where the low pH 577 condition drives the LDLR to release LDL and recycle it back to the cell surface. 578 Subsequently, separated LDL is shifted to the lysosome where it is degraded to 579 provide cholesterol or amino acids to the cell. PCSK9 can facilitate the catabolism of 580 the LDLR within the lysosomes and block its normal recycling to the hepatocyte 581 582 surface (Lambert, Charlton, Rye, & Piper, 2009). Therefore, inhibition of PCSK9 reduces the LDLR degradation, thereby lowering LDL-C plasma concentrations, 583 584 offering an additional therapeutic option for patients with primary and secondary cardiovascular prevention. 585

586 Over the years, considerable research has been devoted to discovering peptides for 587 PCSK9 regulation. In the case of white lupin, in addition to the HMGCoAR inhibitory 588 property, protein hydrolysates have the ability to impair the protein-protein interaction 589 between PCSK9 and LDLR *in vitro* and to reduce PCSK9 protein levels in HepG2

cells (Lammi, Zanoni, Aiello, et al., 2016). Meanwhile, another protein hydrolysate 590 generated from narrow-leaf lupin by Alcalase showed hypocholesterolemic effects in 591 western diet-fed Apo $E^{-/-}$ mice through the modulation of PCSK9 and LDLR 592 pathways (Santos-Sánchez, et al., 2022). Two peptides LILPKHSDAD and 593 GQEQSHQDEGVIVR, isolated from the white lupin protein hydrolysate, 594 competitively bound to PCSK9 at micromolar concentrations and could normalize the 595 LDL uptake (Lammi, Zanoni, Aiello, et al., 2016). LILPKHSDAD showed a higher 596 inhibitory activity on the protein-protein interaction between PCSK9 and LDLR with 597 598 an IC₅₀ of 1.6 µM and, further, lowered PCSK9 protein levels and secretion in HepG2 cell. Moreover, the inhibitory activity on the interaction between LDLR and PCSK9 599 for the metabolite LPKHSDAD (IC₅₀ ~ 1.7μ M) of LILPKHSDAD, as well as of its 600 analogs LYLPKHSDRD (IC₅₀ ~ 0.7 μ M), LILPKASDAD (IC₅₀ ~ 9.0 μ M), and 601 LILPKHADAD (IC₅₀ ~ 1.45 µM), were also observed (Lammi, et al., 2021; Lammi, 602 et al., 2022). In addition, the peptide GQEQSHQDEGVIVR not only intervened on 603 the PPI between PCSK9 and LDLR with an IC₅₀ of 320 μ M, but also inhibited the 604 PCSK9^{D374Y}:LDLR interaction with an IC₅₀ of 285.6 µM (Lammi, Sgrignani, et al., 605 2019). In contrast, the most active compound against wild-type PCSK9, 606 LILPKHSDAD, was inactive against PCSK9D374Y, identified as the familial 607 hypercholesterolemia (FH) associated gain-of-function PCSK9 mutant (Grazioso, et 608 al., 2018; Lammi, Bollati, et al., 2019). Optimization of GQEQSHQDEGVIVR by 609 design (GOROWKOAEGVMVR) raised two-fold 610 computational the PCSK9^{D374Y}:LDLR antagonist (IC₅₀ ~ 147.8 μ M)) activity and restored cellular 611 LDLR function more efficiently (Lammi, Sgrignani, et al., 2019). This inhibitory 612 behavior of white lupin protein hydrolysate and its derived peptides led to an 613

614 improved ability of treated HepG2 cells to take up extracellular LDL with a final615 hypocholesterolemic effect.

616 Although hempseed (C. sativa) pepsin hydrolysate exerts hypocholesterolemic effects with a statin-like mechanism leading to increased PCSK9 levels, the identified peptide 617 IGFLIIWV from this hydrolysate can reduce PCSK9 protein levels and subsequent 618 619 secretion of mature PCSK9 in HepG2 cells (Li, et al., 2022). Moreover, short-chain and medium-chain peptide mixtures and total hydrolysate digested by Alcalase from 620 hempseed protein were tested in HepG2 cells, resulting in a decreased expression of 621 622 PCSK9 protein (Cerrato, et al., 2023). Soybean-derived peptide Lunasin has been previously reported to inhibit HMGCoAR, and to down-regulate PCSK9 expression 623 as a new mechanism to increase cell-surface LDLR level and enhance LDL uptake 624 (Fernández-Tomé & Hernández-Ledesma, 2019; Gu, et al., 2017). Lunasin was found 625 to inhibit PCSK9 expression at the mRNA and protein levels in HepG2 cells in a 626 dose-and-time dependent manner, thereby contributing to increasing LDLR level and 627 functionally enhancing LDL uptake. ApoE^{-/-} mice receiving Lunasin by 628 intraperitoneal injection at doses of 0.125~0.5 µmol/kg/day for 4 weeks had 629 630 significantly lower PCSK9 and higher LDLR levels in the liver, as well as remarkably reduced plasma LDL-cholesterol versus controls (Gu, et al., 2017). Interestingly, 631 HMGCoAR-inhibiting peptides also inhibited or modulated the expression of PCSK9, 632 showing a unique synergistic and dual HMGCoAR/PCSK9 inhibitory ability (Lammi, 633 et al., 2021; Zanoni, et al., 2017b). The activity of these peptides indicates them as 634 promising starting points for a further optimization in the development of new 635 hypocholesterolemic compounds. Although these studies suggest the potential 636 hypocholesterolemic effects of food-derived peptides inhibiting PCSK9 expression, 637

only a few have been investigated and more efforts are necessary to exploit these dualinhibitory peptides as effective cholesterol-regulating agents.

640 **4.2.3 Regulatory effect of food-derived peptides on transcription factors**

In the cholesterol biosynthetic pathway, SREBP-2 is a crucial player, being a master 641 transcriptional regulator of cholesterol biosynthesis. SREBP-2 is synthesized as an 642 endoplasmic reticulum (ER) anchored precursor, consisting of an N-terminal 643 transcription factor domain, two transmembrane segments, and a C-terminal 644 regulatory domain that interacts with the domain of the SREBP-cleavage activating 645 protein (SCAP). To become active, the complex of SREBP-2 and SCAP membrane 646 needs to undergo a successive two-step cleavage process in the Golgi to liberate the 647 N- terminal fragment from the membrane. Subsequently, the processed SREBP-2 648 enters the nucleus as a homodimer, binds to the sterol regulatory element (SRE) 649 sequence in the promoters of target genes, including HMGCoAR, LDLR, and PCSK9, 650 and upregulates their transcription (Sato, 2010). Thus, SREBP-2 activation is 651 important for cholesterol homeostasis and food-derived peptides have been found to 652 influence SREBP-2-mediated processes with a resulting hypocholesterolemic activity. 653 654 For instance, peptides isolated from white lupin can increase the expression of LDLR at the protein level by the activation of SREBP-2 pathway, resulting in an improved 655 656 capacity of HepG2 cells to take up LDL from the extracellular environment (Lammi, et al., 2014). The up-regulation of SREBP-2 is associated with the activation of the 657 PI3K/Akt/GSK3b pathway in cultured hepatocytes. This is also a major feature of the 658 identified peptides GQEQSHQDEGVIVR (Lammi, Bollati, et al., 2019) and 659 660 LILPKHSDAD (Zanoni, et al., 2017b), effectively raising SREBP-2 and LDLR proteins followed by improvement of LDL-uptake by HepG2 cells. Soy glycinin-661

derived peptides, IAVPGEVA, IAVPTGVA and LPYP, also modulated cholesterol 662 metabolism in HepG2 cells by activation of the LDR/SREBP-2 pathway (Lammi, 663 664 Zanoni, & Arnoldi, 2015). Moreover, two peptides YVVNPDNDEN and YVVNPDNNEN from β -conglycinin also raise SREBP-2 protein levels, leading to 665 elevated LDLR and LDL uptake (Lammi, Zanoni, Arnoldi, et al., 2015). A similar up-666 667 regulation is exerted by Lunasin via PI3K/Akt-mediated activation of SREBP-2 (Gu, et al., 2017). Total protein hydrolysates, medium-chain peptide mixture and short-668 chain peptide mixtures from hempseed similarly raise SREBP-2 with concomitantly 669 670 LDLR and HMGCoAR (Cerrato, et al., 2023), a mechanism clearly described for a specific peptide, IGFLIIWV, identified in hempseed protein (Li, et al., 2022). The 671 pigeon milling by-product peptide PFVKSEPIPETNNE increases protein and mRNA 672 expression of HMGCoAR, LDLR, and SREBP-2, enhancing LDL uptake in HepG2 673 cells by modulating the LDLR/SREBP2 pathway (Kumar, et al., 2019). However, it 674 exhibits lower effectiveness in reducing liver cholesterol in high cholesterol-fed rats 675 compared to pigeon pea by-product hydrolysate, potentially attributed to the peptide 676 tissue-specific diversity and stability (Kumar, et al., 2021). 677

Unlike SREBP-2, the transcription factor HNF-1 α (hepatic nuclear factor-1 α) 678 transcriptionally upregulates PCSK9 by binding to the HNF1 site on the PCSK9 679 promoter without direct effect on LDLR and HMGCoAR expression, regulated by 680 only SREBP-2 transcription factor. HNF-1a knockdown reduces circulating PCSK9 681 protein levels and accumulation of intracellular cholesterol in HepG2 and primary 682 683 hepatocytes of normolipidemic mice (Shende, et al., 2015). The absence of HNF-1 α causes accumulation of lipid droplets and increases intracellular cholesterol-in HepG2 684 685 cells transfected with HNF-1a siRNA (Hu, Huang, Han, & Ji, 2020). Furthermore, HNF-1 α can directly upregulate the transcription of microRNA (miR)-122 to enhance 686

miR-122-inhibited SCAP expression interfering with the maturation of SREBP-2, 687 leading to a decreased lipid biosynthesis and lipid uptake by HepG2 cells (Liu, Zhu, 688 689 Jiang, Li, & Lv, 2022). HNF-1 α thus plays an important role in the regulation of intracellular cholesterol metabolism. The hempseed peptide IGFLIIWV has been 690 found to decrease the protein levels of PCSK9 by down-regulating expression of 691 HNF-1a, independent of SREBP2, thus showing a distinct hypocholesterolemic 692 mechanism in HepG2 cells (Li, et al., 2022), occurring after exposure to short-chain, 693 medium-chain peptide mixtures, and total hydrolysate (Cerrato, et al., 2023), 694 695 respectively. White lupin peptide LILPKHSDAD, its metabolite LPKHSDAD and its analogs (LYLPKHSDRD, LILPKASDAD, and LILPKHADAD) reduce PCSK9 696 levels by decreasing HNF-1a, thereby improving the functional ability of HepG2 to 697 take up extracellular LDL (Lammi, et al., 2021; Lammi, et al., 2022). HepG2 cells 698 treated with Lunasin inhibited PCSK9 expression at the mRNA and protein levels in a 699 dose-and-time dependent manner by down-regulating HNF-1 α , thereby raising 700 increasing LDLR level and LDL uptake (Gu, et al., 2017). 701

A strategic combination of food-sourced peptides that focus on various metabolic and signaling pathways will result in significant hypocholesterolemic effects, reducing the side effects associated with a single approach, particularly HMGCoA reductase inhibition.

706 5. Hypocholesterolemic peptides exhibiting multifunctional behavior

Several peptides, identified in different food protein hydrolysates, are also endowed
with significant biological activities. Lunasin (Lammi, Aiello, et al., 2019) among
others, they have potential anti-cancer activities, possibly linked to antioxidant,
hypocholesterolemic, and anti-inflammatory properties. Furthermore, a

hypocholesterolemic peptide with antioxidant and anti-inflammatory properties was 711 identified in hempseed. The octapeptide IGFLIIWV from the pepsin hydrolysate of 712 713 hempseed is transported intact by differentiated Caco-2 cells and exerts cholesterollowering effects in HepG2 cells. Briefly, it inhibits the HMGCoAR activity in vitro in 714 a dose-dependent manner with an IC₅₀ of 59 µM. Furthermore, the activation of the 715 SREBP-2 transcription factor, followed by increased LDLR protein levels, was 716 717 observed in HepG2 cells treated with IGFLIIWV at 25 µM. Similar to statins, IGFLIIWV raised the phosphorylation of adenosine monophosphate-activated protein 718 719 kinase (AMPK) at the Thr172 residue, in turn inhibiting the intracellular HMGCoAR activity through phosphorylation on the Ser872 residue (the inactive form of 720 HMGCoAR). Consequently, the increased LDLR on cell membranes improved the 721 ability of HepG2 cells to take up extracellular LDL with a positive effect on 722 cholesterolemia. The additional reduction of PCSK9 protein levels via decreased 723 transcription factor HNF-1a provides an additional cholesterol lowering mechanism 724 (Li, et al., 2022). 725

The antioxidant properties of peptide IGFLIIWV have been confirmed in vitro and at 726 cellular level (Bollati, et al., 2022). In vitro, at the concentration of 25 µM, 727 IGFLIIWV (1) scavenged the 2,2-azino-bis-(3-ethylbenzo-thiazoline-6-sulfonic) acid 728 (ABTS) radical by 146.1%, (2) had 2,2-diphenyl-1-picryl-hydrazyl-hydrate (DPPH) 729 radical scavenging activity of 29.8%, (3) scavenged the peroxyl radicals generated by 730 2.2'-azobis (2-methylpropionamidine) dihydrochloride up to 181.8% in oxygen 731 radical absorbance capacity (ORAC) test, and (4) increased the ferric reducing 732 antioxidant power (FRAP) by 299.3%. When evaluating cellular assays, IGFLIIWV 733 lowered the hydrogen peroxide (H₂O₂)-induced reactive oxygen species (ROS) and 734 lipid peroxidation by 23.2% and 44% at 25 µM versus HepG2 cells treated with H₂O₂ 735

alone, respectively. The reduction of H₂O₂-induced nitric oxide (NO) production was observed after treatment of HepG2 cells with IGFLIIWV, associated with the regulation of inducible NO synthase (iNOS). Moreover, IGFLIIWV suppressed the H₂O₂-induced oxidant stress by modulating the nuclear factor erythroid 2-related factor 2 (Nrf-2) pathway, playing a crucial role in the protection against oxidative stress and responsible for the maintenance of homeostasis and redox balance in cells and tissues.

Inflammation can be triggered by a wide variety of stimuli, including pathogens, 743 744 damaged cells, toxins, and allergens. The release of these inflammatory mediators, such as cytokines, tumor necrosis factor α (TNF- α), prostaglandins (PGs), nitric oxide 745 (NO), and leukotrienes (LTs), is a key aspect of the inflammatory process. These 746 mediators play a central role in coordinating the immune response and orchestrating 747 the various cellular and physiological processes involved in the healing and repair of 748 damaged tissue (Chakrabarti, Jahandideh, & Wu, 2014). It is also worth mentioning 749 that the balance between pro- and anti-inflammatory mediators is critical for the 750 proper inflammation resolution. As far as the anti-inflammatory activity is concerned, 751 the regulation by IGFLIIWV is consequent to its capacity to modulate production and 752 release of cytokines the nuclear factor-kB (NF-kB) and iNOS pathways. Since the 753 NF-kB pathway plays a major role in the pro-inflammatory response, IGFLIIWV (25 754 μ M) has the ability to reduce both NF- κ B and its more active phosphorylated form 755 $(p(Ser276)NF-\kappa B)$ in lipopolysaccharide (LPS)-stimulated HepG2 cells, thus 756 antagonizing the inflammatory effect. In fact, peptide IGFLIIWV has been shown to 757 effectively suppress the production of pro-inflammatory cytokines (IFN- γ : -13.1 ± 758 2.0%, TNF: $-20.3 \pm 1.7\%$, and IL-6: $-15.1 \pm 6.5\%$), while promoting also the 759 expression of the anti-inflammatory cytokine IL-10 ($\pm 2.3\%$). A reduction of 760

the iNOS protein level and NO production was observed as well (Cruz-Chamorro, etal., 2022).

763 6. Challenges and perspective

There are several challenges before hypocholesterolemic peptides can be 764 commercialized and used as dietary supplements or functional foods. The primary 765 concern is their efficacy, which can be compromised by extensive hydrolysis during 766 processing conditions as well as by proteolytic enzymes of the digestive tract. 767 Processing conditions, such as temperature and duration of hydrolysis or fermentation, 768 may result in non-reproducible peptide profiles, especially when the substrate 769 expressed proteins. The interaction 770 contains mixtures of differently of hypocholesterolemic peptides with other components such as polyphenols, 771 772 carbohydrates, and lipids may result in undesirable substances that possess toxic, allergenic, or carcinogenic properties in food products (Daliri, Lee, & Oh, 2018). 773 Moreover, some microbial proteases used in hypocholesterolemic peptide production 774 lack established safety evidence. Thus, critical hydrolysis parameters must be 775 optimized for each protein/substrate couple and each selected enzyme or enzymes 776 777 combination should be maintained constant during the reaction to ensure an efficient peptide release. 778

In recent decades, a number of papers focuses on the functional attributes of hypocholesterolemic peptides, primarily based on *in vitro* data. However, the *in vitro* efficacy of hypocholesterolemic peptides may not consistently align with their *in vivo* effect, as they can be impaired within the GI tract, vascular system, and liver. Hypocholesterolemic peptides must remain active during GI digestion, and some hypocholesterolemic peptides must be transported across the intestinal epithelial cells

into the bloodstream to exert their hypocholesterolemic effect, as discussed in Section 785 786 3. For this reason, further studies, specifically more *in vivo* research, including animal 787 and clinical studies, with a focus on hypocholesterolemic activity and toxicity, are required. More studies are needed to understand fully the biological activity of the 788 hypocholesterolemic peptides and their specific molecular mechanisms, as discussed 789 790 in Section 4. This knowledge is crucial in refining the application of 791 hypocholesterolemic peptides and optimizing their use for human health and well-792 being.

793 In addition, knowledge of hypocholesterolemic peptide stability in the GI tract and site-specific delivery at target locations in the body should be improved in future 794 research studies. This can be achieved through the development of nanoparticles or 795 nanoconjugates to encapsulate, stabilize and deliver these peptides. Various colloidal 796 systems like chitosan nanoparticles, nanoliposomes, and biopolymer-based microgels 797 798 have been recommended for this purpose (McClements, 2018). Therefore, extensive studies are needed to demonstrate significant evidence of improved bioavailability of 799 cholesterol-lowering peptides upon encapsulation. 800

Another important aspect is the regulatory approval of hypocholesterolemic peptides. 801 While, as of now, several hypocholesterolemic peptides have been identified from 802 various sources of food protein, only a few are marketed as functional foods, such as 803 LunaRich[®] X, a concentrated form of lunasin, is currently marketed by Reliv (USA) 804 as a dietary supplement for lowering cholesterol (López-Barrios, Gutiérrez-Uribe, & 805 806 Serna-Saldívar, 2014). To validate functional effects, more animal experiments and randomized human intervention trials are needed to allow the use of 807 hypocholesterolemic peptides as preventive or management treatments. 808

809 7. Conclusion

As discussed in this review, emerging reports have demonstrated that some food-810 derived peptides have demonstrated their cholesterol-lowering properties via one or 811 812 more following mechanisms: (1) binding to bile acids/salts or lipids, and inhibition of micellar cholesterol solubility, (2) blocking the mevalonate pathway and cholesterol 813 biosynthesis by inhibition of HMGCoAR activity, and (3) modulation of LDLR and 814 PCSK9 pathways. While some peptides lower cholesterol by binding to bile acids, 815 salts, or lipids and inhibiting micellar cholesterol solubility in the GI tract, others must 816 be absorbed and reach specific target tissues, such as the liver, to modulate cholesterol 817 synthesis pathway (Figure 2). Thus, stability in the GI tract, ADMET profiles 818 (including absorption, distribution, metabolism, excretion, and toxicity), which are 819 directly related to the effect of peptides on endogenous cholesterol metabolism, and 820 bioavailability should be established well, to help understand how these food-derived 821 hypocholesterolemic peptides exert their cholesterol-lowering effect. Moreover, to 822 823 date, most of the demonstrated hypocholesterolemic effects of peptides have been reported in vitro and free-cell systems. For this reason, further studies in animals 824 and/or in humans are needed to confirm these in vitro hypocholesterolemic activities. 825 In addition, the specific molecular targets of hypocholesterolemic peptides, such as 826 the CYP7A1/SREBP-2/HNF-1a/PCSK9-mediated effects, need to be identified for 827 better understanding of their structure-function relationships. Undoubtedly, this 828 review opens the field for exploring the beneficial effects of hypocholesterolemic 829 peptides and building the evidence base for future human studies, facilitating the 830 831 application of hypocholesterolemic peptides as nutraceuticals to enhance human health and well-being. 832

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841 **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personalrelationships that could have appeared to influence the work reported in this paper.

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1187

1189 **Figure Caption**

1190

- **Figure 1**. Schematic representation of the food-derived hypocholesterolemic peptides
- 1192 for lowering cholesterol.

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1194

1195 Figure2. Mechanistic pathways of food-derived hypocholesterolemic peptides in

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1196 hepatocytes.



Cholesterol-lowering activity





Highlight

- Some peptides exert their activity through the modulation of HMGCoAR.
- Some peptides inhibit the interactions between PCSK9 and LDL receptor.
- They may be used in the prevention of hypercholesterolemia.