

1 **Canine amniotic fluid at birth: from a discarded sample to a potential diagnostic of neonatal**  
2 **maturity**

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8  
9 **Abstract**

10 The definition of new reliable markers for neonatal maturity evaluation is crucial in canine clinical  
11 practice. Concerns about the safety of amniotic sampling in pregnant dogs have prevented its  
12 collection for diagnostic purposes. Moreover, amniotic fluid had been considered waste material  
13 until the latest studies reported amniocentesis as a reliable and safe procedure, even in the canine  
14 species. In our study, amniotic fluid (n = 63) collected at birth from ten dogs undergoing elective  
15 Caesarean sections at term was analysed to discover new potential indices of canine neonatal  
16 maturity. Based on gestational age, mothers and puppies were divided into two groups: the early  
17 group ( $\leq 65$  days from luteinizing hormone (LH) surge, n=5) and the late group ( $>65$  days from LH  
18 surge, n=5). Amniotic parameters of the lightest and heaviest puppy in individual/each litter, with a  
19 birth weight difference of at least 20% among littermates, were also compared. In particular, the  
20 content of lecithin, sphingomyelin, surfactant protein A (SP-A), cortisol, and pentraxin 3 (PTX3) in  
21 amniotic fluid, which is considered predictive of foetal development in humans, were investigated.  
22 Maternal serum SP-A and cortisol were also measured simultaneously.  
23 All amniotic parameters were detectable in canine amniotic fluid. Interestingly, the concentrations of  
24 different amniotic parameters correlated with each other. Lecithin was positively correlated with

25 sphingomyelin ( $p < 0.0001$ ), maternal SP-A ( $p < 0.0005$ ), and the ratio of amniotic and maternal cortisol  
26 ( $p < 0.004$ ). Amniotic SP-A was inversely correlated to maternal SP-A ( $p < 0.05$ ), lecithin ( $p < 0.005$ ),  
27 and lecithin-sphingomyelin ratio ( $p < 0.05$ ). A positive correlation was also recorded between amniotic  
28 and maternal cortisol ( $p < 0.008$ ). Considering that all puppies were born alive and mature, these data  
29 could provide a potential range of expected amniotic values in full-term new-born dogs. Furthermore,  
30 since gestational age was positively correlated with both maternal and amniotic cortisol ( $p < 0.0001$ )  
31 and amniotic PTX3 ( $p < 0.05$ ), amniotic fluid seems to be an attractive, innovative, and minimally  
32 invasive matrix with potential diagnostic and prognostic utility for the investigation of canine  
33 maturity.

34

35 Key words: amniotic fluid, foetal maturity, dog

36

## 37 **1. Introduction**

38 The elective caesarean section is becoming increasingly popular in both humans and dogs, even when  
39 not strictly necessary (Salas Garcia et al. 2018; Loeb and Evans, 2020). Prenatal recognition of foetal  
40 maturity is pivotal in planning the parturition date and ensuring the birth of viable and healthy  
41 neonates. A combination of clinical, hormonal, radiographic, and ultrasonographic parameters is  
42 currently used to predict foetal readiness in dogs (Siena et al., 2021). However, some limits of  
43 inaccuracy may affect the estimation, especially when the day of ovulation is unknown (Siena et al.,  
44 2021). We speculated that amniotic fluid could provide crucial information on neonatal maturity in  
45 dogs as in humans where scientific evidence already exists (Leung-Pineda and Gronowski, 2010).  
46 The lecithin/sphingomyelin ratio is commonly used to preventively diagnose neonatal respiratory  
47 distress syndrome in babies with a ratio of 2:1 or greater representative of mature foetal lungs (Varner  
48 et al., 2013; Ogbejesi and Tadi, 2021). Amniotic surfactant protein A (SP-A) is positively correlated  
49 with the lecithin/sphingomyelin ratio in human amniotic fluid and with perinatal outcomes (Pryhuber  
50 et al., 1991). SP-A concentrations are reported to increase with advancing gestation, reaching a peak

51 at term, then decreasing during spontaneous labour (Pryhuber et al., 1991; Wali et al., 1992;  
52 Chaiworapongsa et al., 2008). The lecithin/sphingomyelin ratio has also been positively correlated  
53 with amniotic cortisol in humans (Diver et al., 1982).

54 Although exogenous glucocorticoids are known to stimulate foetal lung development in several  
55 species, including dog (Vannucchi et al., Bolt et al., 2001), their role in foetal maturity is still debated  
56 (Garbrecht et al., 2006). Moreover, PTX3 is a physiologic constituent of the human amniotic fluid  
57 and is assumed to be related to gestational age and the onset of labour (Rovere-Querini et al., 2006;  
58 Cruciani et al., 2010; Martin 2014).

59 In Veterinary Medicine, the literature on amniotic fluid is lacking and mainly refers to equine and  
60 bovine (Zaremba et al., 1997; Castagnetti et al., 2007) or ovine species as a model for humans (Mimmi  
61 et al., 2015), while in dogs the potential diagnostic role of amniotic fluid is far from known (Groppetti  
62 et al., 2015; Tal et al., 2019; Plavec et al., 2022). Basic research on the composition of amniotic fluid  
63 at birth is mandatory to deepen the knowledge of the factors involved in foetal maturity that allow  
64 the identification of immature puppies, thereby improving neonatal care and favouring their chances  
65 of survival. The first aim of this study was to evaluate the presence and concentration of specific  
66 components of the amniotic fluid collected at birth. In particular, lecithin, sphingomyelin, SP-A,  
67 cortisol, and PTX3 have been investigated. An analytic method for quantifying amniotic lecithin and  
68 sphingomyelin that has never been described in dogs was validated. SP-A and cortisol were also  
69 measured in maternal serum at birth. Furthermore, a possible correlation between these amniotic  
70 parameters and some clinical aspects such as gestational age, new-borns respiratory rate as an  
71 expression of lung function, litter size, and birth weight were explored.

72

## 73 **2. Materials and methods**

74 This study complied with Italian animal experimentation and ethics laws and was also approved by the  
75 Ethical Committee of the Università degli Studi di Milano (OPBA\_77\_2017). Amniotic sampling was  
76 performed at birth at Caesarean-section on residual fluid waste in the canine species.

77

78 **2.1. Animals and clinical records**

79 Ten purebred pregnant bitches undergoing elective Caesarean-section and their 63 puppies were  
80 enrolled in this study. Breed, age, body weight at proestrus, litter size, and gestational age calculated  
81 from the deduced LH surge and the single mating day have been herein summarized (Table 1).  
82 Reproductive cycle from proestrus to parturition was monitored as previously described (Groppetti et  
83 al., 2015) to deduce luteinizing hormone (LH) surge and accurately date gestational age. Scientific  
84 literature indicates  $65\pm 2$  days from LH surge as the best time to perform elective C-section in dogs  
85 (Kim et al., 2007; Smith, 2007; Michel et al., 2011). However, the variability of pregnancy length  
86 due to maternal, foetal, and environmental factors make precise dating uncertain. Thus, accurate pre-  
87 partum monitoring is required to properly schedule surgery. In the present study, Caesarean-section  
88 was planned when parturition was deemed oncoming on the basis of maternal rectal temperature,  
89 signs of anorexia, nesting, lactation, and blood progesterone (Enzyme Linked Fluorescent Assay,  
90 MiniVidas, BioMérieux, France) (Brugger et al., 2011; Groppetti et al., 2015c), and puppies were  
91 estimated fully developed by means of X-ray and ultrasound evaluations made with a 4-8 Mz  
92 microconvex and an 8–12 MHz linear electronic multi-frequency probe (Esaote, MyLab™ Five VET,  
93 Italy) (Kim et al., 2007; Smith, 2007; Gil et al., 2015; Roos et al., 2018). Surgeries occurred 63 to 66  
94 days after the estimated LH surge that is,  $65.1 \pm 1.1$  days from LH surge and  $60.7 \pm 0.8$  days from the  
95 single mating. Based on gestational age, mothers and puppies were arbitrarily divided into two  
96 groups: early group ( $\leq 65$  days from LH surge, five dams and their 34 puppies) and late group ( $>65$   
97 days from LH surge, five dams and their 29 puppies) as shown in Table 1.

98 Immediately after birth, residual fluid from nasal and oral cavity of each puppy was removed with a  
99 bulb syringe. Pulmonary auscultation was performed within 5 minutes after delivery and respiratory  
100 rate (RR) recorded for all puppies. Each puppy was weighted at birth. In litters with a difference of  
101 at least 20% birth weight (bW) among littermates, amniotic parameters of the lightest and heaviest

102 puppy were compared. Since there is no data on the value of the variation within the litter of bW to  
103 be considered physiological, an arbitrary threshold of 20% was established as representative of the  
104 litter heterogeneity.

105

## 106 **2.2. Sample collection**

107 Amniotic fluid (AF) was collected during Caesarean-section at the moment of the puppy extraction.  
108 The fluid was aspirated with a 20 mL sterile syringe that delicately pierced the wall of the amniotic  
109 sac. The puppy was held upright with the head up and the needle inserted in the most declivous  
110 portion to avoid injuring the puppy with the syringe needle.

111 Each sample was immediately centrifuged at 500 x g for 15 min and then the supernatant was stored  
112 at -80°C until analysis (lecithin and sphingomyelin, SP-A, cortisol), while the pellet was lysed with  
113 1 mL of TRIreagent (Sigma-Aldrich, St. Louis, MO, USA) and stored at -20°C until RNA extraction  
114 (PTX3).

115 Concurrently, at the time of anaesthesia induction, a maternal blood sample (1.5 mL) was collected  
116 from the cephalic vein into serum tubes, immediately centrifuged at 1500 x g for 10 min at RT, and  
117 serum stored at -20°C until SP-A and cortisol measurement.

118

## 119 **2.3. Amniotic fluid composition analysis**

### 120 *2.3.1. Lecithin and sphingomyelin extraction and HPLC-MS analysis*

121 Lecithin and sphingomyelin extraction was performed from aliquots (0.2 mL) of amniotic fluid  
122 samples mixed with 0.4 mL chloroform followed by 0.2 mL of methanol. Samples were vortexed for  
123 1 min and centrifuged at 5000 rpm on 4°C (Centrifuge SORVALL™ ST 8 SERIES, Thermo Fisher,  
124 San Jose, CA, USA). The bottom chloroform layer was collected and dried under a stream of N<sub>2</sub>. In  
125 order to perform HPLC-Q-Extactive-Orbitrap® *High resolution mass spectrometry* analysis, samples  
126 were reconstituted in 1 mL of acetonitrile. An aliquot of each sample (0.2 mL) was transferred to an  
127 autosampler vial and injected. Chromatography was accomplished on an HPLC Surveyor MS

128 quaternary pump, a Surveyor AS autosampler with a column oven and a Rheodyne valve with a 20  
129  $\mu\text{L}$  loop system (Thermo Fisher Scientific). Analytical separation was carried out by hydrophilic  
130 interaction liquid chromatography (HILIC) using a column  $75 \times 2.6 \text{ mm}$ ,  $100 \text{ \AA}$ , Kinetex, with a  $4 \times$   
131  $2 \text{ mm}$  i.d. HILIC guard column (Phenomenex, Torrance, CA, USA). The mobile phase was run as a  
132 gradient that consisted of acetonitrile (A) and water solution of ammonium formate buffer,  $20 \text{ mM}$ ,  
133  $\text{pH } 3.2$  (B). The flow rate was  $0.25 \text{ mL/min}$ . The gradient was initiated with 95% eluent A with a  
134 linear decrease up to 50% in 10 min. This condition was maintained for 2 min. The mobile phase was  
135 returned to initial conditions at 12 min, followed by 8 min re-equilibration period (total run time: 20  
136 min). The column and sample temperatures were  $30^\circ\text{C}$  and  $5^\circ\text{C}$ , respectively.

137 The mass spectrometer Thermo Q-Exactive Plus (Thermo Scientific) was equipped with a heated  
138 electrospray ionisation (HESI) source. Capillary temperature and vaporiser temperature were both set  
139 at  $320$  and  $280^\circ\text{C}$ , respectively, while the electrospray voltage operating in positive was adjusted at  
140  $3.30 \text{ kV}$ . Sheath and auxiliary gas were 35 and 15 arbitrary units, with S lens RF level of 60. The  
141 mass spectrometer was controlled by Xcalibur 3.0 software (Thermo Fisher Scientific). The exact  
142 mass of the compounds was calculated using Qualbrowser in Xcalibur 3.0 software. The full scan  
143 (FS) and data-independent acquisition (DIA) in positive mode were used for both screening and  
144 quantification purposes. Resolving power of FS adjusted on 70,000 FWHM at  $m/z$  200, with scan  
145 range of  $m/z$  500-850, while fragmentation in DIA was performed with resolving power set at 17500.  
146 For FS, automatic gain control (AGC) was set at  $3e^6$ , with an injection time of 200 ms, while for DIA  
147 the AGC was fixed to  $2e^5$ , with the maximum injection time of 100 ms. Fragmentation of precursors  
148 was optimised with normalised collision energy (NCE) of  $15\text{eV}$ .

149 Lecithin and sphingomyelin detection was based on calculated exact mass of the protonated  
150 molecular ions along with their isotopic patterns and phosphocholine fragment ( $m/z = 184.0735$ ), and  
151 on retention time (RT) of corresponding chemical standards. Extracted ion chromatograms (EICs)  
152 were obtained following the  $m/z$  signals for the molecular ions of two phospholipids enrolled herein:  
153  $[\text{M}+\text{H}]^+$  of lecithin 760.58508 with RT at 9.89min and  $[\text{M}+\text{H}]^+$  731.60615 for sphingomyelin

154 (RT=10.07min). Lecithin and sphingomyelin chromatograms are shown in Figure 1. Quantification  
155 of both compounds was performed using external calibration curve (range 0.5-50 µg/mL) with  
156 standards of lecithin and sphingomyelin provided by Avanti Polar Lipids, Inc (Alabama, USA).  
157 Limits of detection (LODs) and quantification (LOQs) were determined at lower concentrations 0.1,  
158 0.5 and 1 µg/mL, where LOD was defined as the minimum concentration at which the molecular ion  
159 had been identified (mass error<2 ppm) in FS mode, while LOQ was set as the minimum  
160 concentration where both the molecular ion and phosphocholine fragment from DIA spectrum had  
161 been quantified. Therefore, LOD and LOQ for both compounds was set at 0.1 µg/mL and 1 µg/mL,  
162 respectively.

### 163 164 2.3.2. *Surfactant protein A*

165 Surfactant protein A (SP-A) in maternal serum and amniotic fluid were titrated using a commercial  
166 sandwich ELISA assay kit (LifeSpan BioSciences, Seattle, WA, USA) according to the  
167 manufacturer's instructions. Briefly, 100 µL of each sample was added in duplicates, and incubated  
168 for 1 hour at 37°C. Then, the supernatant was aspirated and 100 µL of detection reagent A (biotin-  
169 conjugated detection antibody) was added to each well and incubated for 1 hour at 37°C. After  
170 reagents removal, the plate was washed 3 times, and 100 µL of detection reagent B (Avidin-  
171 Horseradish Peroxidase conjugate) was added and the plate incubated for 30 minutes at 37°C. A final  
172 wash, repeated 5 times, was done and 90 µL of TMB substrate solution was added to each well, and  
173 incubated for 15 minutes at 37°C. Finally, 50 µL of stop solution was added and the plate absorbance  
174 was read at 450 nm. The minimal detectable concentration of SP-A was 6.25 pg/ml, and we obtained  
175 an interassay of 6.52% and an intra-assay variation of 6.58%.

### 176 177 2.3.3. *Cortisol*

178 Cortisol concentration in maternal serum and AF was determined using a quantitative test based on  
179 ELFA technique (Enzyme Linked Fluorescent Assay, MiniVidas, bioMérieux) as previously reported

180 (Groppetti et al., 2015b). According to the manufacturer the minimal detectable concentration of this  
181 kit is 5.51 nmol/L, the inter-assay CVs were 3.1%, and the intra-assay CVs were 3.7%. The ratio of  
182 amniotic and maternal cortisol (AF/M CORT) was calculated as mean of the amniotic cortisol value  
183 of the litter divided by maternal serum cortisol concentration.

184

#### 185 *2.4. RNA extraction, retrotranscription and Real Time PCR for PTX3 detection*

186 Total RNA was extracted using the TRI Reagent (Thermo Fisher Scientific, Waltham, MA USA)  
187 following the manufacturer's instructions. The concentration and quality of RNA was determined  
188 using a spectrophotometer (BioPhotometer, Eppendorf, Hamburg, Germany) at 260/280 nm  
189 wavelength. Total RNA (2 µg) was reverse transcribed using the High-Capacity cDNA Reverse  
190 Transcription Kit (Applied Biosystem, Foster City, CA, USA), according to the manufacturer's  
191 instructions. Dog PTX3 primers were designed based on dog PTX3 sequence XM\_003433174 using  
192 Primer Express Software (Applied Biosystems) and selected to produce amplicons spanning 2 exons.  
193 All the samples were also tested with  $\beta$ -Actin primers as housekeeping gene (Spichiger et al., 2005).  
194 Primers were purchased from Sigma-Aldrich S.r.l, Milano and their sequences are listed in Table 2.  
195 Specificity of primers was evaluated by sequencing the amplicon generated by the primers using a  
196 cDNA from placental tissue sample (Eurofins Genomics, Ebersberg, Germany). The cDNA obtained  
197 from each sample was used as a template for Real-Time PCR in an optimized 25 µl reaction volume  
198 using Sybr Green chemicals, as previously described (Riva et al., 2010). Real-Time quantitative PCR  
199 was carried out in the 7000 Sequence Detection System (Applied Biosystems), at the following  
200 thermal cycle conditions, 10 min at 95°C followed by 40 cycles of 15 s at 95°C and 1 min at 60°C,  
201 as previously described (Riva et al., 2010). Each sample was amplified by Real-Time PCR in  
202 duplicate. The expression of canine target genes was normalized using the calculated beta actin cDNA  
203 expression (mean) of the same sample and run. A duplicate no-template control (NTC) was also  
204 included in each plate. The relative quantification of each gene was calculated with the "delta Ct"



205 method (Schmittgen & Livak, 2008). The values obtained were multiplied by “10,000” to transform  
206 them into Arbitrary Unit (AU).

207

## 208 ***2.5. Statistical analysis***

209 Statistical analyses were performed using GraphPad Prism 6 (La Jolla, CA, USA) considering  
210 statistically significant values at  $p < 0.05$ . Descriptive statistics were expressed as mean  $\pm$  standard  
211 error. Shapiro-Wilk test was used to verify the distribution of data. Mann-Whitney test was used to  
212 compare PTX3 expression in amniotic fluid, amniotic cortisol and respiratory rate in early and late  
213 puppies. Two-tails rho tests of Spearman  $\rho$  were used to reveal correlations (bivariate linear  
214 correlations) among gestational age and RR versus each of the investigated amniotic parameters, as  
215 well as correlations between maternal serum SP-A and cortisol versus each of the amniotic puppy  
216 parameters.

217 Furthermore, when a birth weight difference of at least 20% was recorded among the littermates, the  
218 amniotic parameters of the lightest and heaviest puppy in the litter were compared using a Mann-  
219 Whitney test.

220

## 221 **3. Results**

### 222 ***3.1. Animals***

223 All 63 puppies, 34 males and 29 females, were born alive and mature in the appearance that is, normal  
224 in conformation, hair and nails development and attitude. Litter size ranged from 2 to 11 puppies ( $6.3$   
225  $\pm 3.2$ ). Respiratory rate ranged  $23.2 \pm 9.9$  bpm. Birth weight of puppies was 236 to 770 gr ( $438.5 \pm$   
226  $140.5$ ). Seven out of ten litters showed a variation in bW 20% or more allowing a comparison between  
227 the lightest and heaviest littermates. Five out of 63 amniotic samples were missed for technical  
228 problems, therefore amniotic analysis was performed on a total of 58 AFs.

229

### 230 ***3.2. Dog amniotic liquid composition***

231 All the molecules investigated (lecithin, sphingomyelin, SP-A, cortisol and PTX3) were detected in  
232 all the amniotic samples. In particular, the concentration of lecithin ranged from 1.5 to 49.2  $\mu\text{g/mL}$   
233 ( $19.4 \pm 12.6$ ; median 17.4  $\mu\text{g/mL}$ ), those of sphingomyelin from 0.7 to 32.1  $\mu\text{g/mL}$  ( $8.7 \pm 6.4$ ; median  
234 7.2  $\mu\text{g/mL}$ ), SP-A from 14.3 to 31.5  $\text{pg/mL}$  ( $21.5 \pm 2.8$ ; median 21.3  $\text{pg/mL}$ ), cortisol from 2.0 to 9.8  
235  $\text{ng/mL}$  ( $4.8 \pm 1.7$ ; median 4.3  $\text{ng/mL}$ ), and PTX3 from 32.6 to 1654211.6 AU ( $14797.8 \pm 28426.9$ ;  
236 median 1988.84 AU). Lecithin and sphingomyelin ratio (L/S) ranged from 0.5 to 13.4 ( $3.0 \pm 2.4$ ;  
237 median 2.5).

238

### 239 **3.3. Maternal serum SP-A and cortisol**

240 Maternal serum concentration of SP-A ranged from 11.5 to 8.9  $\text{pg/mL}$  ( $11.0 \pm 5.0$ ; median 11.2  
241  $\text{pg/mL}$ ). Maternal serum concentration of cortisol was 77.9 to 20.8  $\text{ng/mL}$  ( $39.4 \pm 14.6$ ; median 39.1  
242  $\text{ng/mL}$ ). The ratio of amniotic and maternal cortisol (AF/M CORT) ranged from 0.2 to 0.04 ( $0.12 \pm$   
243  $0.12$ ; median 0.07). Similarly, to what is described in humans (Varma et al. 1979), the individual  
244 results of AF/M CORT ratio have been divided into two subgroups by an arbitrary line at 0.16 and  
245 related to L/S ratio. Interestingly all puppies with AF/M CORT higher than 0.16 showed an L/S ratio  
246 higher than 2.8, except for one (13 out of 14 puppies), while the majority (31 out of 44) of puppies  
247 with AF/M CORT lower than 0.16 showed an L/S ratio lower than 2.8 (Figure 2, Appendix 1 and 2).

248

### 249 **3.4. Correlations among amniotic and maternal parameters**

250 Lecithin and sphingomyelin were positively correlated to each other ( $p < 0.0001$ ;  $r = 0.54$ ), to maternal  
251 SP-A ( $p < 0.0005$ ;  $r = 0.44$  and  $r = 0.46$ , respectively) and to the ratio of amniotic and maternal cortisol  
252 ( $p < 0.004$ ;  $r = 0.53$  and  $r = 0.37$ , respectively). Lecithin was negatively correlated to amniotic SP-A  
253 ( $p < 0.005$ ;  $r = -0.38$ ) and L/S was negatively correlated to both amniotic SP-A and maternal cortisol  
254 ( $p < 0.05$ ;  $r = -0.26$  and  $r = -0.28$ , respectively). Amniotic SP-A was negatively correlated to maternal  
255 SP-A ( $p < 0.05$ ;  $r = -0.32$ ), while amniotic cortisol was positively correlated to maternal cortisol

256 (p<0.008; r=0.34). Significant correlations recorded in this study are shown in Table 3. Maternal SP-  
257 A decreased with the increase of bitches' age (p<0.0001; r=-0.59). Litter size did not impact AF  
258 composition. No difference was found in the composition of the amniotic fluid between the heaviest  
259 and the lightest puppies of the same litter.

260

### 261 **3.5. Differences between early and late puppies**

262 Based on statistical comparison, the two groups of dogs, i.e. early and late, were similar in term of  
263 maternal age (p-value=0.69), body weight (p-value=0.14) and litter size (p-value=0.58).

264 Gestational age was negatively correlated with both maternal and amniotic cortisol (p<0.0001; r=-  
265 0.56 and r=-0.5, respectively), and positively with PTX3 (p<0.05; r=0.28) as shown in Table 3. In  
266 particular, early puppies had higher concentrations of amniotic cortisol than late puppies (p=0.0003,  
267 Figure 3A). On the contrary, amniotic PTX3 showed a higher tendency (p<0.1) in puppies with longer  
268 gestational age calculated from mating (Figure 3B). Respiratory rate was significantly lower in early  
269 (19.6 ± 8.3 breaths/minute) than late puppies (25 ± 9.8 breaths/minute) (p<0.005, Figure 3C).

270

## 271 **4. Discussion**

272 Technical skills required to perform amniocentesis with an acceptable risk level have limited its  
273 application in dogs, leading to poor knowledge of canine amniotic fluid composition through  
274 pregnancy. In the present study, we investigated for the first time the presence and concentration of  
275 some components of canine amniotic fluid based on human evidence. Interestingly, all the selected  
276 parameters were measurable in the dogs' amniotic and blood samples. Some of the investigated  
277 molecules were already reported in dogs but in substrates other than amniotic fluid.

278 Literature attributes foetal pulmonary development to the rise of surfactant in the amniotic fluid,  
279 mainly due to its lecithin content (Whitfield, 1973) which impedes the collapse of the pulmonary  
280 alveoli and ensures the neonate to breathe autonomously after birth (Rossi et al., 2007). Indeed,  
281 lecithin and sphingomyelin ratio (L/S) in amniotic fluid is routinely used as a prognostic marker of

282 foetal maturity in humans (St. Clair et al., 2008). L/S has also been determined in animals, with only  
283 one study in dogs reporting amniotic L/S values of  $3.62 \pm 0.44$  in puppies born from elective  
284 Caesarean-section (Silva et al., 2015). In the present study, we obtained similar values ( $2.9 \pm 2.4$ )  
285 even though the surgery was performed before the onset of the clinical signs of labour, while Silva  
286 and colleagues awaited until the onset of the first stage of parturition.

287 Currently, four types of amniotic surfactant proteins have been characterized in humans: SP-A, SP-  
288 B, SP-C, and SP-D (Chaiworapongsa et al., 2008-2). The SP-A is involved in the synthesis,  
289 regulation, and homeostasis of surfactants (Chaiworapongsa et al., 2008-2; Gupta and Gupta, 2012).  
290 In humans, the increase of SP-A during the third trimester of pregnancy is considered predictive of  
291 foetal lung maturity (Shimizu et al., 1989) and initiation of labour (Sotiriadis et al., 2015). So far,  
292 none of these proteins have been analysed in the canine amniotic fluid. Serum SP-A concentration is  
293 reported to be  $<2.0$  ng/mL in healthy dogs, and 3 to 7 ng/ml in dogs with pulmonary problems (i.e.,  
294 tumours, injuries) (Sore et al, 2013). In literature, few studies indicate serum and amniotic SP-A  
295 reference values in pregnant women that vary from about 6 to 74 ng/mL (Cho K et al, 1999; Greene  
296 et al, 2002; Kale et al., 2020) and 0.4 to 25  $\mu$ g/mL (Shimizu 1989; Miyamura et al., 1994; Cho K et  
297 al, 1999; Chaiworapongsa et al., 2008-2), respectively. SP-A concentrations detected in serum and  
298 amniotic fluid collected at Caesarean-section in our caseload were  $10.75 \pm 8.5$  ng/mL and  $21.5 \pm 2.8$   
299 pg/mL, respectively. Serum and amniotic SP-A values were inversely proportional, suggesting a  
300 different secretory mechanism between the maternal and foetal compartments. Furthermore, contrary  
301 to humans, the SP-A concentrations were much lower in amniotic fluid than maternal serum, probably  
302 due to the different placental type: haemochorial in the woman *versus* endotheliochorial in the dog  
303 (Furukawa et al., 2014), allowing a diverse crossing of these proteins.

304 Glucocorticoids seem to play a central role in foetal lung maturation either by inducing specific  
305 enzymes and lecithin in the surfactant synthesis pathway or by enhancing the release of surfactant  
306 (Kitterman et al., 1981; Post et al., 1986; Liggins, 1994; Pepe et al., 2003; Rossi et al., 2007).

307 Amniotic cortisol values agreed with previously published data in canine AF collected at birth  
308 (Groppetti et al., 2015) and were directly correlated with maternal serum concentrations. Although  
309 the maternal peripartum increase in serum cortisol is a commonly trusted signal of the starting  
310 parturition in many species, including the dog (Hoffmann et al., 2004; Baan et al 2008; Kota et al.,  
311 2013; Wang et al., 2018), irregular and high individual variability in cortisol concentrations have also  
312 been reported in the bitch and is therefore not mandatory for normal parturition (Kowalewski et al.,  
313 2020).

314 Pentraxins 3 (PTX3) belongs to an evolutionarily conserved and multi-functional superfamily of  
315 proteins involved in regulating of innate immune response against microbial invasion of the amniotic  
316 cavity. Preterm parturition, with intact or ruptured membranes, is associated with a maternal systemic  
317 inflammatory response with PTX3 as a marker of preeclampsia (Cruciani et al., 2010; Porte et al.,  
318 2019). Only a few human studies investigated PTX3 during physiological pregnancy, suggesting an  
319 increase in the maternal serum PTX3 concentrations with advancing gestational age with the  
320 concentration peaking during labour (Rovere-Querini et al., 2006; Larsson et al., 2011; Martin 2014).  
321 In veterinary medicine, the role of PTX3 is still poorly understood in pig, chickens and ruminants as  
322 anti-microbial molecule (Crisci et al., 2014; Filipe et al., 2018; Burkhardt et al., 2019). No data about  
323 PTX3 in canine amniotic samples are available. In the present study, PTX3 mRNA was detected in  
324 all AF samples, showing higher values in late puppies than in early ones. The latter supports a link  
325 between amniotic PTX3, foetal development and gestational age in dogs and humans. We hypothesise  
326 that a greater expression of PTX3 mRNA in the amniotic fluid could be due to a more marked  
327 maturity of innate immunity in the puppy.

328 Furthermore, respiratory rate of puppies was recorded at birth as a reflection of lung function. Healthy  
329 puppies are expected to have a RR equal or greater than 15 bpm (Plavec et al., 2022; Groppetti et al.,  
330 2010). We recorded a significant higher RR in late than early puppies without other correlations with  
331 amniotic and clinical parameters. As the birth weight is deemed an indicator of neonatal maturity  
332 both in humans (Kramer, 1987) and dogs (Wootton et al., 1983; Mugnier et al., 2019), we compared

333 amniotic concentrations of the lightest and the heaviest puppy in litter with a birth weight difference  
334 of at least 20% among littermates. No differences resulted, possibly due to the small number of litters  
335 with relevant ( $\geq 20\%$ ) birth weight differences among littermates (7 out 10 litters). It would be  
336 interesting to assess this aspect on a large-scale population.

337 The subsequent estimation of these amniotic parameters as potential markers of neonatal immaturity  
338 faces some restraint since for ethical reasons it was not possible to engage premature puppies in this  
339 study. In the clinical setting, the elective Caesarean-section is scheduled when the puppies are deemed  
340 mature, so our comparison involved early and late neonates based on gestational age, but the puppies  
341 were always estimated as at the end of their development. It is reasonable to speculate a more relevant  
342 impact on amniotic parameters in case of pathological conditions such as premature, late parturition  
343 or abortion. However, some interesting results emerged from this preliminary study such as an  
344 association between maternal serum cortisol and gestational age with higher values in early puppies  
345 compared to the late ones. Similarly, a rise in amniotic cortisol concentrations is reported in case of  
346 premature rupture of the membrane in humans (Cohen et al., 1976). Due to a less individual variation  
347 in the ratio of amniotic and maternal cortisol (AF/M CORT) compared to amniotic cortisol alone, in  
348 humans, AF/M CORT was proposed to distinguish immature from mature new-born babies and a cut  
349 off 0.1 was identified (Varma et al., 1979). By analogy with humans, we identify a threshold value  
350 of 0.16 to distinguish early and late puppies. In our caseload, except for one, an AF/M CORT ratio  
351 higher than 0.16 was observed only in puppies with L/S higher than 2.8. However, the reverse was  
352 not evident. Indeed, AF/M CORT values below 0.16 were not associated with a low L/S ratio. This  
353 point deserves further investigation with large-scale studies, but it could be due to the difference in  
354 the development of puppies of the same pregnancy in polytocic animals when compared to humans.  
355 Furthermore, a possible relation between maternal size/weight and the composition of amniotic fluid  
356 can be supposed. To date, no literature exists supporting this hypothesis. Only a few studies on diet  
357 and metabolic status suggested such an association (Koski and Fergusson, 1992; Cheung et al., 2018).

358

359 **5. Conclusions**

360 Although our study represents a population of 10 individuals of different breeds, our data demonstrate  
361 a strong indication of the predictive value of the canine amniotic fluid. Nonetheless, further studies  
362 are necessary to make this data a robust, minimally invasive marker of neonatal maturity. Most  
363 advances in canine amniocentesis techniques are needed to understand the diagnostic and prognostic  
364 potential of amniotic fluid in canine species to allow more conscious planning of the Caesarean-  
365 section.

366 This study showed the feasibility of lecithin, sphingomyelin, SP-A, cortisol, and PTX3 measurement  
367 in canine amniotic fluid. Although amniotic fluid collected at birth is considered a negligible  
368 biological discard in dogs, it can potentially represent an innovative and non-invasive biological  
369 sample for recognizing neonatal development. Further investigations are required to establish the  
370 continuation and development of appropriate procedures to know when and in what cases it could be  
371 possible to implement amniotic fluid testing.

372

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379 **CRedit authorship contribution statement**

380 **F. Riva:** Metodology, Data curation, Writing – original draft; **J. Filipe:** Validation, Formal analysis  
381 data Writing - review & editing; **R. Pavlovic:** Methodology, Formal analysis, Writing - review &  
382 editing; **A.M. Luciano:** Writing - review & editing, Supervision; **P. Dall’Ara:** Formal analysis,  
383 Writing - review & editing; **F. Arioli:** Methodology, Writing - review & editing; **A. Pecile:**

384 Conceptualization, Methodology, Supervision; **D. Groppetti**: Data curation, Writing – original  
385 draft, Conceptualization, Funding acquisition, Project administration

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387

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612 Table 1. Animals enrolled in this study

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Breed	Age (ys)	BW (kg)	<i>n</i> of pups	Gestational age (days)		Group
				from LH rise	from mating	
German Shepherd dog	7	34.5	8	63	60	Early
French bully	3	12.5	6	64	60	Early
French bully	3.5	11.4	2	64	60	Early
American bully	2.5	38.5	11	65	60	Early
American bully	2	23.8	2	65	60	Early
Bernese Mountain dog	3	56.2	8	66	61	Late
American bully	3	23.3	4	66	61	Late
American bully	2.5	28.5	5	66	61	Late
German Shepherd dog	5	28.3	6	66	62	Late
Rhodesian ridgeback	7	40.5	11	66	62	Late

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617 BW means maternal body weight at proestrus; *n* means number of pups; Early group means  $\leq 65$

618 days from LH surge and 60 days from the single mating; Late group means  $> 65$  days from LH

619 surge and  $\geq 61$  days from the single mating

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Gene	Protein	Sequence (5' → 3')	Amplicon	GI
PTX3	Pentraxin 3	F: GCCGGCAGGTTGTGAAAC R: CCAGATGCAGGCACTGAAAGA	112 bp	1952714952
$\beta$ -actin	$\beta$ -actin	F: TCCCTGGAGAAGAGCTACGA R: CTTCTGCATCCTGTCAGCAA	243 bp	5597004

625 Table 2: Selected primers sequences and respective amplicons size

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628 Table 3. Significant correlations recorded among amniotic and maternal parameters and with  
629 gestational age.  
630

		r	P
Amniotic lecithin	Amniotic sphingomyelin	0.5444	< 0.0001
	L/S	0.5168	< 0.0001
	Amniotic SP-A	- 0.3846	0.0029
Amniotic sphingomyelin	L/S	- 0.3835	0.0027
	PTX3	0.3133	0.0166
Amniotic SP-A	Amniotic lecithin	- 0.3846	0.0029
	L/S	- 0.2601	0.0486
	Maternal SP-A	- 0.3203	0.0126
Maternal SP-A	Amniotic lecithin	0.4379	0.0005
	Amniotic sphingomyelin	0.4565	0.0003
	Maternal age	- 0.5923	< 0.0001
Amniotic cortisol	Maternal cortisol	0.3383	0.0077
	Gestational age (from LH)	- 0.5004	< 0.0001
	Gestational age (from mating)	- 0.5651	< 0.0001
Maternal cortisol	L/S	-0.283	0.0297
	Gestational age (from LH)	- 0.5647	< 0.0001
	Gestational age (from mating)	- 0.5004	< 0.0001
AF/M CORT	Amniotic lecithin	0.5273	< 0.0001
	Amniotic sphingomyelin	0.3714	0.0038
Amniotic PTX3	Amniotic sphingomyelin	0.3133	0.0166
	L/S	- 0.2985	0.0228
	Gestational age (from mating)	0.2816	0.0137

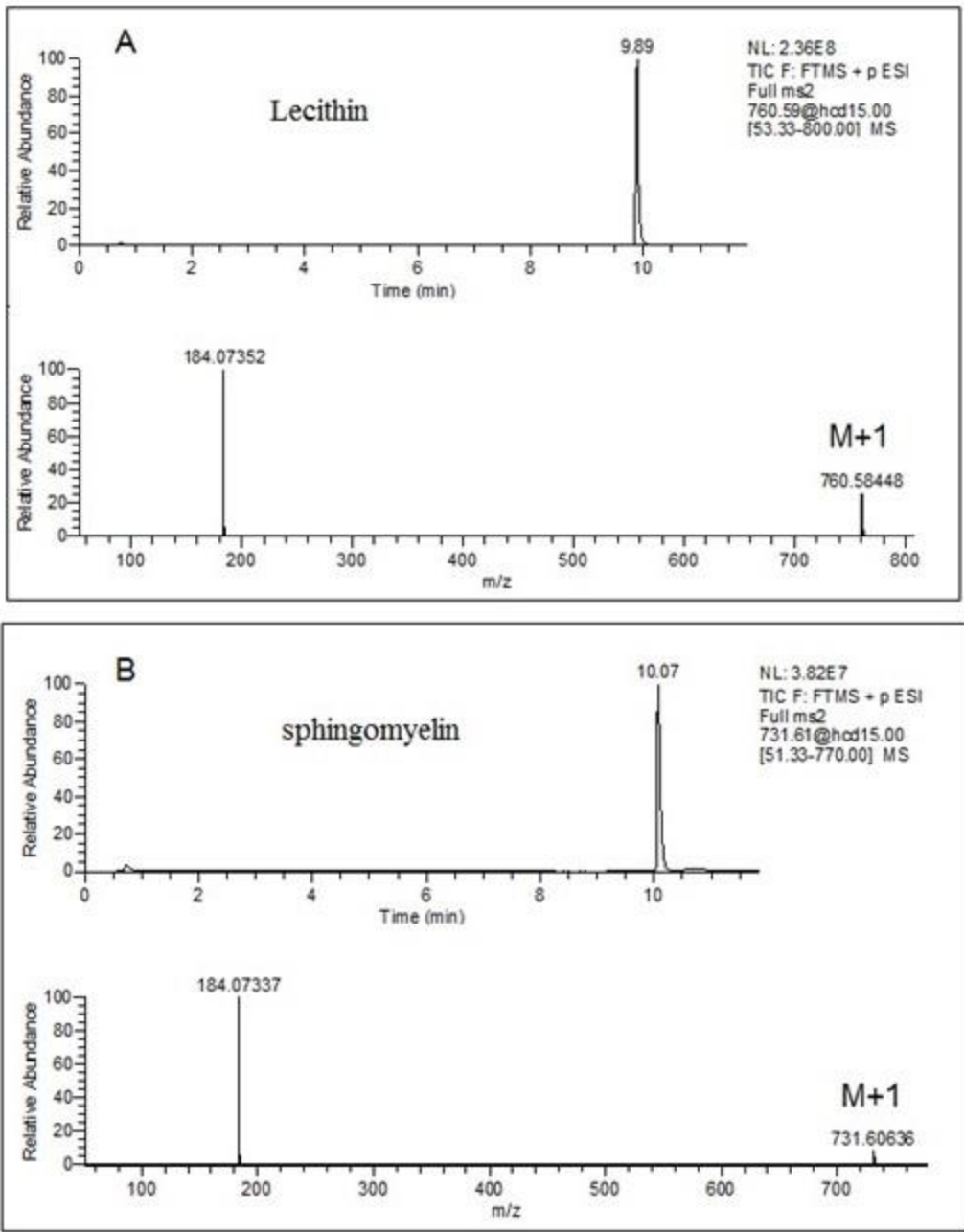
631

632 L/S indicates lecithin-sphingomyelin ratio in amniotic fluid; AF/M CORT indicates the ratio of  
633 amniotic and maternal cortisol  
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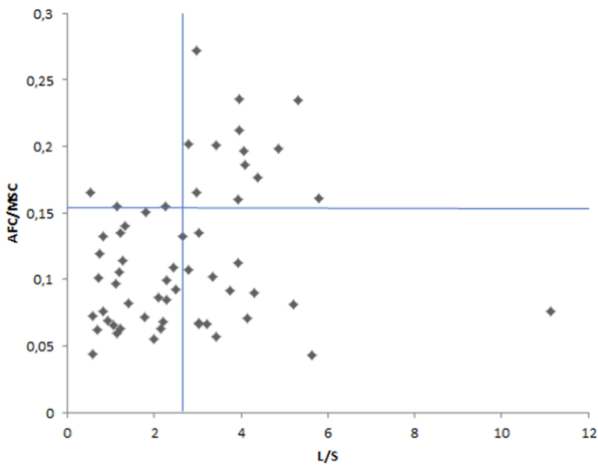
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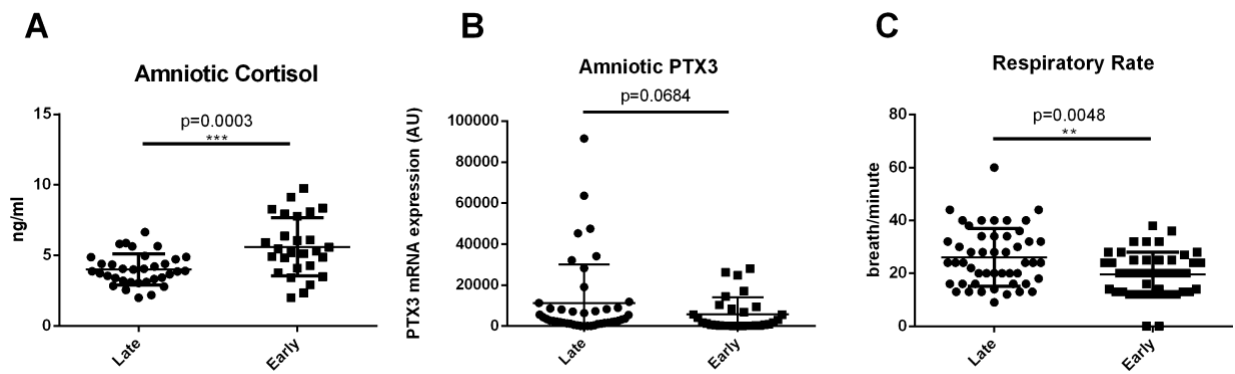
646 Fig. 2



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649 Fig. 3



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662 Appendix 1

663 Comparison of individual AF/M CORT ratio higher than 0.16 and the respectively L/S ratio

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<b>ID</b>	<b>AF/M CORT</b>	<b>L/S</b>
3.1	0.20	4.86
4.2	0.20	3.43
5.3	0.20	4.07
9.1	0.16	5.80
9.2	0.20	2.80
10.1	0.19	4.10
10.2	0.24	3.96
10.3	0.16	3.93
10.4	0.21	3.96
10.5	0.17	2.96
10.6	0.23	5.31
10.7	0.27	2.97
10.8	0.18	4.39
8.6	0.17	0.54

665

666 ID numbers identify mother (first digit) and pup (second digit); AF/M CORT is the ratio of  
667 amniotic and maternal cortisol; L/S is the lecithin and sphingomyelin ratio

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671 Appendix 2

672 Comparison of individual AF/M CORT ratio lower than 0.16 and the respectively L/S ratio

<b>ID</b>	<b>AF/M CORT</b>	<b>L/S</b>
1.3	0,07	1,78
1.4	0,07	2,21
1.5	0,06	1,23
1.6	0,07	1,06
1.7	0,05	2,00
1.8	0,06	2,14
2.4	0,09	2,49
4.1	0,15	1,81
4.3	0,11	2,78
5.1	0,10	2,28
5.2	0,04	0,59
5.4	0,08	2,27
5.5	0,13	2,64
5.7	0,15	2,25

6.1	0,10	1,13
6.2	0,12	0,73
6.3	0,07	0,92
6.4	0,08	1,39
6.5	0,11	2,43
6.6	0,10	0,72
6.7	0,11	1,28
6.8	0,09	2,11
6.9	0,06	1,15
6.10	0,11	1,18
7.1	0,06	0,69
7.2	0,08	0,83
7.3	0,07	0,58
8.1	0,15	1,14
8.2	0,13	1,21
8.3	0,14	1,33
8.4	0,13	0,83
1.1	0,07	3,21
1.2	0,08	11,14
2.1	0,07	3,03
2.2	0,07	3,03
2.3	0,13	3,03
2.5	0,08	5,20
2.6	0,04	5,63
3.2	0,11	3,94
5.6	0,07	4,14
5.9	0,09	3,75
5.10	0,06	3,43
7.4	0,09	4,30
7.5	0,10	3,35

673  
674 ID numbers identify mother (first digit) and pup (second digit)

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676

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