

An APEX search for carbon emission from NGC 1977 proplyds

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ABSTRACT

We used the *Atacama Pathfinder Experiment (APEX)* telescope to search for C I 1-0 (492.16 GHz) emission towards eight proplyds in NGC 1977, which is an FUV radiation environment two orders of magnitude weaker than that irradiating the Orion Nebular Cluster (ONC) proplyds. C I is expected to enable us to probe the wind launching region of externally photo-evaporating discs. Of the eight targets observed, no 3σ detections of the C I line were made despite reaching sensitivities deeper than the anticipated requirement for detection from prior APEX CI observations of nearby discs and models of external photo-evaporation of quite massive discs. By comparing both the proplyd mass loss rates and C I flux constraints with a large grid of external photo-evaporation simulations, we determine that the non-detections are in fact fully consistent with the models if the proplyd discs are very low mass. Deeper observations in C I and probes of the disc mass with other tracers (e.g. in the continuum and CO) can test this. If such a test finds higher masses, this would imply carbon depletion in the outer disc, as has been proposed for other discs with surprisingly low C I fluxes, though more massive discs would also be incompatible with models that can explain the observed mass loss rates and C I non-detections. The expected remaining lifetimes of the proplyds are estimated to be similar to those of proplyds in the ONC at 0.1 Myr. Rapid destruction of discs is therefore also a feature of common, intermediate UV environments.

Key words: accretion, accretion discs – planets and satellites: formation – protoplanetary discs – circumstellar matter.

1 INTRODUCTION

Massive stellar clusters typically host massive stars, which dominate the production of ultraviolet (UV) photons in a cluster (e.g. Lada & Lada 2003; Adams 2010). This injection of energy into the surroundings is referred to as feedback and has a dramatic effect, driving hot bubbles that can inhibit the star formation episode that gave rise to the cluster (e.g. Walch et al. 2012; Dale 2015; Dale, Haworth & Bressert 2015; Geen et al. 2015, 2016; Ali, Harries & Douglas 2018; Ali 2021) and sculpt the pillars and bright rims that constitute some of the most stunning imagery in astronomy (Miao et al. 2006; Deharveng et al. 2012; Ercolano et al. 2012; McLeod et al. 2015). This strong UV radiation field is also capable of heating and dispersing circumstellar protoplanetary discs, and indeed we observe that discs in high UV environments, such as the central Orion Nebular Cluster (ONC) and NGC 2024, exhibit cometary outflows

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of material due to this 'external photo-evaporation' (O'dell & Wen 1994; Bally et al. 1998; Henney & O'Dell 1999; Henney et al. 2002; Ricci, Robberto & Soderblom 2008; Haworth et al. 2021). The term 'proplyd' (originally used to describe all discs as a portmanteau of 'protoplanetary disc') has now been adopted to describe only these cometary-shaped strongly photoevaporating discs. The effect of mass loss from external photoevaporation on the disc is important, since it could limit the mass reservoir for planet formation, the time-scale over which planet formation can take place and by truncating the disc could affect the viscous evolution of the entire disc and the possible radii for planet formation (e.g. Henney & Arthur 1998; Richling & Yorke 2000; Ansdell et al. 2017; Rosotti et al. 2017; Eisner et al. 2018; Haworth et al. 2018a; Winter et al. 2018; Concha-Ramírez et al. 2019; Boyden & Eisner 2020; van Terwisga et al. 2020; Sellek, Booth & Clarke 2020).

The UV field in a cluster spans a continuum of values, and the ONC proplyds likely represent the conditions towards one extreme of that distribution in Galactic star-forming regions (Fatuzzo & Adams 2008; Winter et al. 2020a). Theoretical models predict that external

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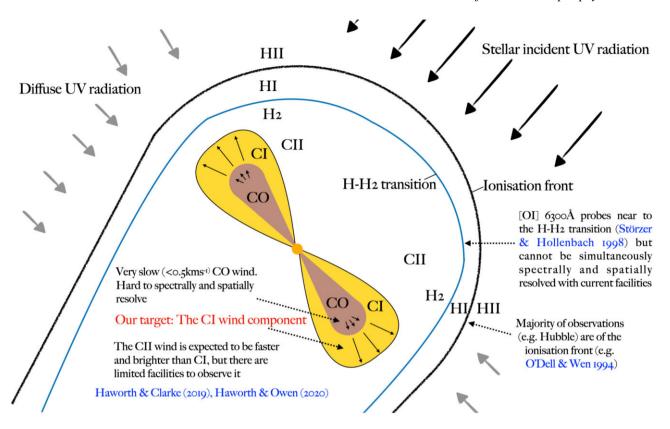


Figure 1. A cartoon of a proplyd with a breakdown of the composition, velocity, and anticipated observability different wind components. The ionization front has been observed since the mid-90's with Hubble. The H-H2 transition has been probed for a similar time indirectly via the [O I] 6300 Å forbidden line. However, for probing the inner parts of an external photo-evaporative wind, we have been much more limited. CO is only present in the slowest parts of the wind before being dissociated, making it a poor tracer. C II probes a large part of the fast wind, but can only be observed spectrally with SOFIA. C I, on the other hand, should probe the faster inner part of the wind and could do so in a spectrally and spatially resolved way with ALMA. (Störzer & Hollenbach 1998).

photoevaporation can be important for disc evolution over a wide range of UV environments, dependent mostly upon the disc size (since material in the outer part of large discs is only weakly bound to the star Adams et al. 2004; Facchini, Clarke & Bisbas 2016; Haworth et al. 2018b). The UV field strength is characterized by the Habing field, unit G_0 which is 1.6×10^{-3} erg cm⁻² s⁻¹ over the wavelength range 912-2400 Å (Habing 1968). The ONC represents a $\sim 10^5$ G₀ environment, whereas the solar neighbourhood is ~ 1 G₀. Recently, evidence for external photo-evaporation has been found in much weaker environments than the ONC. Bally, Youngblood & Ginsburg (2012) and Kim et al. (2016) discovered proplyds in close proximity of a B star in NGC 1977 (a $\sim 3 \times 10^3 \, \text{G}_0$ environment). Haworth et al. (2017) also suggest that the outer halo of the large disc IM Lup (Panić et al. 2009; Cleeves et al. 2018) could also be due to a slow external wind in a $<10\,G_0$ environment (this is an exception because the disc is so extended that it is only very weakly gravitationally bound to the star). HD163296 also shows evidence for an outer wind at around 400 au in a weak ($<10 \,\mathrm{G}_0$) environment (Teague, Bae & Bergin 2019; Teague et al. 2021)

When a disc is strongly irradiated, mass is lost over a significant fraction of its surface. Since the irradiation is directional (approximately a planar field) this results in a cometary morphology which is referred to as a proplyd (see fig. 1 for a cartoon and Henney & O'Dell 1999; Kim et al. 2016; Haworth et al. 2021, for examples). In weaker UV environments, mass is only driven from the more weakly bound outer disc rather than the disc surface (Haworth & Clarke 2019), so the cometary morphology disappears and the disc/wind is

hard to distinguish from a non-evaporating disc. Furthermore, the wind is typically going to be more extended than the commonly observed mm continuum, which is known to be more compact than the gas disc (e.g. Facchini et al. 2017; Trapman et al. 2019; Sanchis et al. 2021; Toci et al. 2021) and the commonly observed CO is also dissociated before the wind obtains an easily spectrally resolved velocity (e.g. Haworth et al. 2018b; Haworth & Clarke 2019). New tracers are therefore required to identify external photo-evaporation in intermediate UV environments when externally photo-evaporating discs do not look like proplyds. To this end, Haworth & Owen (2020) predicted that atomic carbon is a good kinematic probe of the inner wind. A cartoon schematic of a proplyd and the locations of various tracers is shown in Fig. 1.

Haworth & Owen (2020) demonstrated that the CI 1-0 line offers unambiguous signatures of a wind in position-velocity (PV) diagrams and can be used to diagnose components of the flow velocity, constrain the flow temperature, and when coupled with models can be used to estimate the mass loss rate. The goal of this paper is to use the *Atacama Pathfinder Experiment* (*APEX*) telescope (which does not have the spatial resolution to undertake all of the diagnostics proposed by Haworth & Owen 2020) to constrain the atomic carbon line fluxes of known evaporating discs for future ALMA observations.

Kama et al. (2016) obtained the majority of existing CI observations towards discs, which were also obtained using APEX. They surveyed nearby systems, detecting CI 1-0 in 6 of 12 targets. They interpreted their observations with DALI astrochemistry/radiative

Table 1. Summary of our CI 1-0 observations. We have no 3σ detections, but provide the RMS noise achieved at $\Delta v = 0.48 \,\mathrm{km \, s^{-1}}$ channel widths. The integrated line flux estimate is an upper limit assuming a peak brightness temperature of the RMS and the expected typical FWHM in the CI region of $4 \,\mathrm{km \, s^{-1}}$. The mass loss rate is estimated from ionization balance using the radius of the ionization front and incident ionizing flux. K band magnitudes are from the UKIDSS-DR9 catalog and stellar mass estimates made using pre-main-sequence isochrones and mass tracks from Baraffe et al. (2015).

Target	RA (J2000)	Dec. (J2000)	Time on source (min)	RMS (mK)	Upper limit on line flux from RMS assuming 4km s^{-1} FWHM (K km s $^{-1}$)	Mass loss rate estimate $(10^{-8} \mathrm{M}_{\odot} \mathrm{yr}^{-1})$	Stellar mass (M_{\odot})	K (mag)
KCFF2016-1	5h35m24s.14	-4°50′09″.2	23.3	27.85	0.12	_	~0.2	11.72 ± 0.001
KCFF2016-2 (V2438-Ori)	5h35m25s.50	-4°51′20″.1	35.0	22.43	9.6×10^{-2}	17.8	~ 0.4	10.70 ± 0.001
KCFF2016-3	5h35m28s.81	-4°50′22″.0	17.6	28.05	0.12	2.4	≲0.020	16.40 ± 0.042
KCFF2016-4	5h35m28s.07	-4°50′02″.1	58.3	10.81	4.6×10^{-2}	3.3	≲0.015	17.77 ± 0.149
KCFF2016-5	5h35m23s.38	-4°51′18″.1	11.6	33.95	0.14	4.6	≲0.015	17.14 ± 0.082
KCFF2016-6 (V2390 Ori)	$5^{h}35^{m}22^{s}.5$	-4°52′36″.5	34.3	19.03	8.1×10^{-2}	3.6	~ 0.5	10.59 ± 0.001
KCFF2016-7	5 35 ^m 23 ^s .13	-4°48′27″.7	17.5	35.8	0.15	3.1	~ 0.15	14.68 ± 0.001
The spindle (V418 Ori)	5h35m28s.69	-4°48′16″.4	11.7	26.35	0.11	~1	≲1.0	13.48 ± 0.003

transfer simulations (Bruderer et al. 2012; Bruderer 2013). They (and Bruderer et al. 2012) found that only HD 100546 was consistent with having a carbon abundance like that in the interstellar medium (ISM). All others have to be depleted in carbon by 1-2 orders of magnitude. Favre et al. (2013) also inferred a deficiency of up to two orders of magnitude depletion in C¹⁸O abundance, which could be due to it being reacted out, freeze out, or isotopologselective CO photodissociation (Miotello, Bruderer & van Dishoeck 2014). Carbon depletion has also been inferred in other systems, for example by comparing CO and HD mass estimates (McClure et al. 2016) and in the recent MAPS ALMA large program, (Öberg et al. 2021; Bosman et al. 2021). Overall, we proceed with a high uncertainty on the carbon abundance and an expectation that it is likely typically depleted. If carbon depletion is confirmed, it could also have important implications for understanding mass estimates using carbon bearing molecules. Given the low fluxes due to probable depletion, Kama et al. (2016) concluded that ALMA would be required over APEX for surveying C1 in discs beyond the closest systems.

This paper presents the results of APEX observations to gauge the C I brightness towards known externally photo-evaporating discs in the intermediate UV environment of NGC 1977, where the main UV source is the B star 42 Ori. The goal is to lay the foundation for ALMA observations in C I of externally photo-evaporating discs to utilize the diagnostics proposed by Haworth & Owen (2020).

2 OBSERVATIONS

The APEX NFLASH instrument was used in service mode to observe eight proplyds in the intermediate UV environment around the B star 42 Orionis in NGC 1977 (program ID: 108.21WZ, *Probing the external photoevaporation of planet-forming discs with CI*, PI: Haworth). Our targets were the seven proplyds identified by Kim et al. (2016), which refer to as KCFF2016-1 to KCFF2016-7, and the single proplyd 'the spindle' identified by Bally et al. (2012).

Our initial proposal was to utilize the 460 and 230 receivers of NFLASH to simultaneously observe C I 1-0 and CO 2-1, respectively, however the installation of that dual observing capability was delayed by the COVID-19 pandemic. We therefore focused on the C I 1-0 (492.16 GHz) observations only. Based on 2D radiation hydrodynamic simulations and synthetic observations from Haworth & Owen (2020), which had similar line fluxes to the APEX C I observations of Kama et al. (2016), the anticipated peak brightness temperature in the 12.7 arcsec beam was 0.16 K and so an RMS noise of 32 mK (anticipated SNR of 5) was requested for a channel width of

 $0.5\,\mathrm{km\,s^{-1}}$ (hereafter when we refer to the RMS it is for this channel width unless specified otherwise). The observations themselves used wobbler switching mode.

The observations were taken on 2021 August 23-September 2 in good conditions (pwv 0.33–0.5 mm), meaning that the SNR achieved was better than anticipated. However, the first target, KCFF2016-2, resulted in a non-detection. We therefore adopted a strategy of observing all targets down to at least 35 mK (at $\Delta v = 0.48 \,\mathrm{km \, s^{-1}}$) and then choosing any promising looking targets to go deeper on. Overall this led to seven non-detections with RMS in the range 19.02–35.8 mK (at $\Delta v = 0.48 \,\mathrm{km \, s^{-1}}$, but we also had no detections when smoothing) and a 2.1σ line for one target (KCFF2016-4) at an RMS of 5.19 mK for $\Delta v = 1.86 \,\mathrm{km}\,\mathrm{s}^{-1}$ (RMS of 10.81 mK for $\Delta v = 0.48 \,\mathrm{km}\,\mathrm{s}^{-1}$) which if real would have a line flux of $4.25 \times 10^{-2} \,\mathrm{K\,km\,s^{-1}}$, peak brightness temperature of 10.9 mK and line full width at half maximum (FWHM; line width) of $3.66 \,\mathrm{km}\,\mathrm{s}^{-1}$. Note that this line width is consistent with what is expected for external photo-evaporation ($\sim 4 \,\mathrm{km \, s^{-1}}$ Haworth & Owen 2020). Alternatively, if we assume that the possible line is just noise and use the RMS as an upper limit on the peak temperature of the line and assume a Gaussian line width of 4 km s⁻¹, we get an upper limit on the integrated line of $2.2 \times 10^{-2} \,\mathrm{K \, km \, s^{-1}}$. Since a $\sim 4 \,\mathrm{km \, s^{-1}}$ line width is well motivated by hydrodynamic models, we also assumed that value to estimate upper limits on the integrated line flux for the other targets. A summary of the observation parameters and these limits on the integrated flux using the $\Delta v = 0.48 \,\mathrm{km \, s^{-1}}$ RMS values is given in Table 1.

We estimate the mass loss rate for each system using the criterion of photo-ionization equilibrium at the ionization front (Johnstone, Hollenbach & Bally 1998), which relates the mass loss rate to the size of the cometary cusp of the proplyd (the radius of the ionization front, $R_{\rm IF}$) and ionizing flux incident upon the proplyd

$$\left(\frac{\dot{M}_w}{10^{-8}\,\mathrm{M}_\odot\,\mathrm{yr}^{-1}}\right) = \left(\frac{1}{1200}\right)^{3/2} \left(\frac{R_\mathrm{IF}}{\mathrm{au}}\right)^{3/2} \left(\frac{d_\mathrm{sep}}{\mathrm{pc}}\right)^{-1} \left(\frac{\dot{N}_{ly}}{10^{45}\,\mathrm{s}^{-1}}\right)^{1/2}. \quad (1)$$

We adopt $\dot{N}_{ly} = 2 \times 10^{45}$ as the ionizing photon output from 42 Ori (Bally et al. 2012) and use the projected separations $d_{\rm sep}$ and ionization front radii from table 1 of Kim et al. (2016).

In the discussion that follows, we will compare the mass loss rates and constraints on C I integrated line fluxes with a subset of models from a new version of the FUV Radiation Induced Evaporation of Discs (FRIED) grid of externally photo-evaporating disc models (Haworth et al. 2018b, Haworth et al., in preparation). The details of the modelling are given below, but we note here that the new models we consider are for discs with central stars of 0.1, 0.3, and

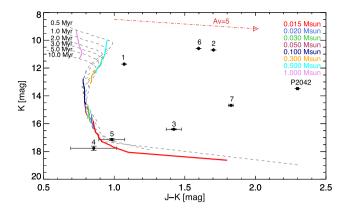


Figure 2. A colour magnitude diagram with photometry for the known proplyds in NGC 1977. The evolutionary tracks (coloured solid lines) are Baraffe et al. (2015) evolutionary models for masses from 0.015 to $1.0\ M_{\odot}$. The grey dashed lines are isochrones for 0.5. 1.0, 2.0, 3.0, 5.0, and 10.0 Myr. Reddening (e.g. due to the disc inclination) shifts the J-K colour to the right in this plot, with a reddening vector provided towards the top-centre of the plot. The K-band magnitudes alone coupled with the evolutionary tracks allow us to make stellar mass estimates. KCFF 3, 4, and 5 are hence expected to have extremely low masses (see Table 1).

 $0.6\,M_\odot$. We therefore require a rough constraint on the stellar mass to determine which model set each target is best compared against.

We place constraints on the host star masses for proplyds using J, H, K photometry from the UKIDSS-DR9 catalog (Lawrence et al. 2007) and the pre-main-sequence isochrones and mass tracks from Baraffe et al. (2015) calculated for the UKIDSS J. H. K filters. We estimate ranges of the masses for the KCFF2016-3, 4, and 5 using their JHK magnitudes for $A_V = 0.5$, 5.0, and 20.0 mag using a reddening of R = 5.5, which fitted better for young stars in the Trapezium cluster (Fang et al. 2021). Fig. 2 shows the (J-K), K colourmagnitude diagram for the NGC 1977 proplyds, a reddening vector, and Baraffe et al. (2015) pre-main-sequence evolutionary tracks up to 10 Myr. Based on the K-band magnitudes (which are relatively insensitive to the reddening as highlighted by the vector in Fig. 2) and model predictions, we find that KCFF2016-3, 4, and 5 are remarkably low mass objects $\leq 0.015 \text{ M}_{\odot}$, which correspond to brown dwarf or super planetary (free-floating planet) masses. However, given such low masses are perhaps surprising, in our analysis of those lowest mass systems using simulations of external photoevaporation, we will use models with a stellar mass of $0.1 \, M_{\odot}$. This is conservative since lower mass stars have their discs unbound in external photoevaporation more easily.

Drawing eight random stars from a Kroupa (2001) initial mass function (IMF) yields at least four of eight stars with masses $\leq \! 0.1 \, M_{\odot}$ around 57 per cent of the time, but three of eight stars with masses $\leq 0.015 \, \mathrm{M}_{\odot}$ only around 2.1 per cent of the time. So it is unlikely that we have fortuitously observed three such low mass objects simultaneously under a Kroupa (2001) IMF. We identify three possible explanations for this. First, it could be that they are simply slightly higher mass (three of eight stars at $<0.1 \,\mathrm{M}_{\odot}$ is drawn 82 per cent of the time). Secondly, the sub-stellar IMF is not well constrained at the very low mass end (down to $\leq 0.03 \,\mathrm{M}_{\odot}$; Chabrier 2003; Kroupa et al. 2013), so it could be that there is a change in the form of the distribution there. Discs around low mass objects are weakly bound and hence easily externally photo-evaporated, so if $\leq 0.03 \, M_{\odot}$ objects are more abundant they may just be easier to identify in the vicinity of strong UV sources because of the dispersing disc. If we modify the Kroupa (2001) IMF to include

a component that scales as M^{-2} below $\leq 0.03 \,\mathrm{M}_{\odot}$, we draw three of four objects with $<0.015 \,\mathrm{M}_\odot$ 67 per cent of the time. Proplyds could therefore provide a unique opportunity to study the extremely low mass end of the sub-stellar mass function. In support of this idea, Drass et al. (2016) infer a bimodal distribution for the IMF in the Orion Molecular Cloud with a secondary peak at 0.025 M_{\tilde{\tilde{Q}}}. Gennaro & Robberto (2020) also found that the standard IMF underpredicts the number of very low mass objects (albeit without a bimodal distribution). Furthermore, Miret-Roig et al. (2022) find a rich population of free floating planets towards Upper Sco. As mentioned above, it is plausible that a large underlying low mass population exists and that it is just easier to detect in strong UV environments due to the comparatively bright proplyds. Finally, a high UV environment might favourably produce low mass objects by stripping their natal star-forming material (Whitworth & Zinnecker 2004).

We also note that other proplyds with very low (perhaps even planetary) masses have been discovered elsewhere, such as the $<13\,M_{\rm jup}$ (M9.5) proplyd 133-353 in the ONC (Robberto et al. 2010; Fang et al. 2016). So, although the number of low mass objects in our sample is unexpectedly high, they are not unique as proplyds.

3 MODELLING AND DISCUSSION

To recap, our observations of eight targets yielded no strong detections. Atomic carbon emission from these discs is therefore weaker than anticipated based on the similar observations of non-proplyds by Kama et al. (2016) and the models of Haworth & Owen (2020). Here, we discuss why that is the case, supported by radiation hydrodynamic models of externally irradiated discs and the implications this has for external photo-evaporation.

3.1 Radiation hydrodynamic simulations and model C I flux estimates

To assist in the interpretation of our observations, we use 1D spherical radiation hydrodynamic simulations of external photo-evaporation with the TORUS-3DPDR code (Bisbas et al. 2015; Harries et al. 2019). Using 1D models allows us to explore the anticipated line flux over a wide parameter space and has been demonstrated to give mass loss rates that are in good agreement with 2D models Haworth & Clarke (2019). Note that the CI flux in the 2D models is slightly higher (~50 per cent) compared to analogous 1D simulations.

The approach to running these 1D models is thoroughly detailed in Haworth et al. (2018b), where a large collection of them was generated in the FRIED public grid of mass loss rates. To summarize briefly though, they involve iteratively solving grid-based hydrodynamics and photodissociation region (PDR) physics. A disc is imposed with surface density of the form

$$\Sigma(R) = \Sigma_{1au} \left(\frac{R}{au}\right)^{-1} \tag{2}$$

which is then irradiated and the steady state flow from the disc solved for along the mid-plane. Mass loss rates are estimated by assuming that the mid-plane flow applies over the whole solid angle subtended by the disc outer edge from the star assuming azimuthal symmetry (see also Adams et al. 2004; Facchini et al. 2016). The models included here are a small subset of a new grid that is being prepared for a next generation version of FRIED (Haworth et al. in preparation). They cover stellar masses from 0.1 to $3\,M_\odot,\,\Sigma_{1\,au}$ from 10 to $10^5\,\mathrm{g}\,\mathrm{cm}^{-2},\,\mathrm{UV}$ fields from 10 to $10^5\,\mathrm{G}_0$ and disc radii

from 1 to 500 au (the disc radius is that at which we fix a boundary condition to the wind). The new FRIED grid will permit a selection of whether grain growth in the disc has occurred and a choice of polycyclic aromatic hydrocarbon (PAH) abundance. Here, we only consider models where grain growth in the disc has occurred, which means less dust is entrained in any wind. We assume a dust-to-gas mass ratio in the wind of 10^{-4} and a cross-section $\sigma_{\rm FUV} = 5.5 \times ^{-23} \, {\rm cm}^2$. The PAH abundance in the outer disc is poorly constrained, but a key heating contributor, so we use a PAH-to-dust mass ratio that is half that of the ISM. We do not include a detailed look at the models themselves here, but note that they have been checked for consistency against the Haworth et al. (2018b) calculations.

Because the PDR chemistry is solved as a part of the dynamical model, it is trivial to estimate the CI line flux using the PDR abundances and temperature distribution. We assume that the CI emission predominantly comes from the wind, so ignore any emission that would have come from within the disc itself. We do this because within the disc itself the PDR chemical network is not a complete description of the chemistry. Taking this approach means that our estimates of the CI emission are conservatively low. Note that in our 2D models CI emission from anywhere is permitted and gives fluxes to within around a factor 2 of the 1D models. To estimate the CI line flux from these 1D models, we use the PDR abundance of atomic carbon, but assume local thermodynamic equilibrium to solve the level populations and assume that the line is optically thin.

Specifically, the emission coefficient in any given cell of the grid is

$$j_{\nu} = \frac{1}{4\pi} n_{\rm u} A_{\rm ul} h \nu \tag{3}$$

where n_u is the number of carbon atoms in the upper state of the transition (so dependent upon the spatially varying PDR calculation of the carbon abundance), $A_{\rm ul}$ is the Einstein A coefficient of the transition from u to l and v is the transition frequency. Since we are assuming the line is optically thin, the total emergent intensity is obtained simply by integrating the emission coefficient from the disc outer edge, through the wind to the outer edge of the grid $I_v = \int j_v dl$. This intensity is then converted to a mock APEX integrated flux in K kms⁻¹ by assuming that the disc is not spatially or spectrally resolved and that the emission comes from a characteristic surface that is a function of the disc size (πR_d^2) . That is, if the flux at distance D in W m⁻² is

$$F_0 = \frac{\pi R_d^2}{D^2} \int j_{\nu} dl \tag{4}$$

The unresolved flux in $K \text{ km s}^{-1}$ is then

$$F = 1.149 \times 10^{29} F_0 \left(\frac{\theta_{\text{APEX}}}{\text{arsec}}\right)^{-2} \left(\frac{\nu}{\text{GHz}}\right)^{-3}$$
 (5)

where θ_{APEX} is the APEX 12.7 arcsec beam.

The rationale for using these models is to determine the broad scaling of the CI flux with UV field strength and the star-disc parameters. Furthermore, in the case of the proplyds, we also have observational mass loss estimates (from equation 1) so we can compare models and observations using trends in both the CI flux and mass loss rates. The goal is not to use the models to provide detailed modelling of any given system.

3.2 Why is the CI emission fainter than expected?

There are various possible reasons that the CI emission could be fainter than we anticipated based on the Kama et al. (2016)

observations and the small sample of 2D models from Haworth & Owen (2020). These possibilities include

- (i) If the NGC 1977 proplyds are very compact
- (ii) If the NGC 1977 proplyds are very low mass
- (iii) If there is depletion of carbon from the gas phase in the outer disc (e.g. due to freeze out and radial drift).

Point (iii) is interesting both because carbon depletion was concluded to be possibly important to explain the fluxes observed by Kama et al. (2016) and because CI and CII emission lines are important coolants in the inner wind of irradiated discs, so depletion of carbon could conceivably lead to higher temperatures and hence higher mass loss rates. Carbon depletion was also found to lead to higher internal photo-evaporation rates by Wölfer et al. (2019), though in that case it is due to the fact that carbon is a significant opacity source to the X-rays. Note that evidence for carbon depletion has come from CO observations towards discs, where the discrepancy cannot be fully accounted for by invoking freeze out (e.g. Ansdell et al. 2016; Long et al. 2017).

However, first we have to assess whether the low CI flux could simply be a result of the proplyds being heavily truncated or depleted of mass, which is known to have happened for proplyds in the core of the ONC and in NGC 2024 (e.g. Eisner et al. 2018; Boyden & Eisner 2020; van Terwisga et al. 2020). To this end, we exploited the fact that each of our models provides both a CI flux and mass loss rate, both of which are constrained for the NGC 1977 proplyds. Furthermore, the mass loss rate in the models is somewhat sensitive to the host star mass, which in practice is uncertain for each target but does have some constraint. We can therefore determine whether the combined mass loss rates and CI fluxes are at least consistent compared to the models.

3.2.1 Models in CI flux – mass loss rate space

The information we have on the proplyds in NGC 1977 is primarily from their mass loss rate [based on the size of the ionization front cusp, equation (1)] and the new CI flux constraints. In Fig. 3, we therefore compare our models and the observations in CI flux – mass loss rate space. The mass loss rate is sensitive to the stellar mass, disc mass, and disc radius, so is a convenient way of encapsulating all three parameters.

The symbol sizes of the simulation points in Fig. 3 represent the disc radius, with the smallest points being 20 au and increasing in 20 au intervals up to 80 au. The circles and squares are models in a 10^3 and 10^4 G₀ environment, respectively (the anticipated typical UV field that the NGC 1977 proplyds are exposed to is ~ 3000 G₀ Kim et al. 2016). The colour scale represents the surface density normalization at 1 au $[\Sigma_{1au}$ from equation (2)]. For reference, the disc mass would be $M_d = 2\pi R_d \Sigma_{1 au} \times (1a u)$, so large yellow points represent the most massive discs and small black points the least massive discs. From top to bottom, the panels are for discs orbiting 0.1, 0.3, and $0.6 \, \mathrm{M}_\odot$ stars, respectively. The black points/limits represent the NGC 1977 proplyd data.

Both the C I 1-0 flux and mass loss rate generally increase with increasing disc mass and radius, with brightest proplyds in C I undergoing the highest mass loss (though at low stellar masses the C I 1-0 integrated flux does remain approximately constant above $\sim\!10^{-6}\,M_{\odot}\,yr^{-1}$). For the lowest mass discs there can be an exception, with larger discs giving lower C I fluxes because where the disc is truncated the surface density is so low. This is a known minor issue with the approach taken by FRIED, since in reality in such a scenario

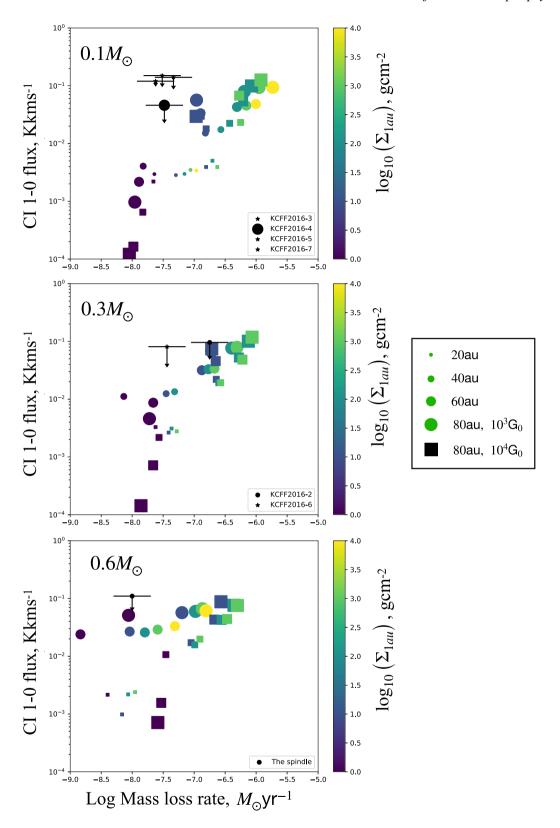


Figure 3. The coloured points are next generation FRIED models in C I flux-mass loss rate space. Circles and squares are for models in a 10^3 and 10^4G_0 environment, respectively, and the symbol sizes represent different disc radii. The colour scale represents the surface density at 1 au (and hence disc mass), where the surface density profile is given by equation (2). The black points are the observed C I flux constraints based on the $\Delta v = 0.48 \, \mathrm{km \, s^{-1}}$ RMS values and mass loss rates for NGC 1977 proplyds. KCFF2016-4 has a radius constraint which is reflected in the symbol size.

the radiation penetrates deeper into the disc and the wind would end up launched from smaller radii (Owen & Altaf 2021). This turns out not to be an issue in practice when studying disc evolution because the outer parts of such a disc would quickly be lost to the wind.

3.2.2 Observational constraints on NGC 1977 proplyds in C I flux – mass loss rate space

The black upper limits in Fig. 3 represent our observations. In each panel, we have only included the observed points where the host star mass is closest to the model stellar mass (where for the lowest mass objects, which could be brown dwarfs or even planetary mass we have assumed $0.1\,M_\odot$, see Section 2 for a discussion on this) and have further only included points where there is an estimate of the mass loss rate. The mass loss rate errors assume a factor of 50 per cent uncertainty in the true separation of the proplyd from 42 Ori (they are in close projected separation and are the lowest UV environment proplyds known, so they cannot get much more distant than the projected separation with the mass loss rate dropping, which would result in loss of proplyd morphology). The point for KCFF2016-4 is scaled in size to represent the upper limit radius constraint on the disc by Kim et al. (2016).

The key message from overlaying these upper limits on Fig. 3 is that for the combination of proplyd mass loss rates and sensitivities achieved, the models are entirely consistent with getting only non-detections.

This conclusion could only be realized by combining mass loss rates with the CI flux estimate and the large parameter space of models that the 1D calculations of FRIED (and its upcoming successor) enable. In particular, the drop off in flux at very low disc masses was not explored in the prior 2D models used to motivate the observational requirements (recall also that 2D models predict marginally higher CI fluxes, by up to around 50 per cent).

Again using Fig. 3, further interpretation for the lack of detection is that it is due to discs being extremely heavily depleted, especially for the low ($\leq 0.4\,M_{\odot}$) stellar mass proplyds. KCFF2016–2 is the only target possibly consistent with a more massive disc, with the models supporting a possible Σ_{1au} up to 10^3 g cm⁻².

Looking at the mass loss rate and flux, KCFF2016-3, 4, 5, 6, and 7 are all degenerated in disc radius, but are consistent almost exclusively only with the models that have $\Sigma_{1 \text{ au}} = 1 \text{ g cm}^{-2}$. Now it is likely that in such an extreme instance of disc dispersal, the disc deviates from a simple power law surface density profile, but for illustrative purposes if we are generous and use $\Sigma_{1 \text{ au}} = 10 \text{ g cm}^{-2}$ and $R_d = 80$ au in an R^{-1} surface density profile the resulting mass is only 0.45 M_{Jup} (Jupiter masses). With corresponding typical mass loss rates of $\sim 4 \times 10^{-8} \,\mathrm{M}_{\odot} \,\mathrm{yr}^{-1}$ that would give a naive depletion time-scale $M_{\rm disc}/\dot{M}_{\rm wind}$ of around 10 kyr. Even with the generous disc masses and radii used in this estimate, these low mass stars (or brown dwarfs/massive planets) are expected to have discs that could quickly be completely dispersed. It is important to note that the inferred wind depletion time-scale of a disc in a 'proplyd-state' does not reflect the true lifetime of the disc if the disc leaves the region of strong irradiation within a comparable time-scale, or if the disc truncates to a radius where external photo-evaporation is no longer effective.

To get a slightly more detailed picture of the subsequent evolution, we also ran a disc evolutionary model using the code of Booth et al. (2017) and Sellek et al. (2020). This calculates the disc viscous evolution, including external photo-evaporation by interpolating over the FRIED grid, with disc initial conditions based on a Lynden-Bell &

Pringle (1974) surface density profile

$$\Sigma = \Sigma_0 \left(\frac{R}{R_{\rm C}}\right)^{-1} \exp\left(-\frac{R}{R_{\rm C}}\right) \tag{6}$$

where $R_{\rm C}$ is some characteristic radius in the disc. Based on the observations of the low stellar mass proplyds, we assume a disc mass of $0.4 M_{\rm Jup}$, a stellar mass of $0.1 {\rm M}_{\odot}$ and $R_{\rm c} = 10$ and 20 au. We use a viscous α of 10^{-3} , though note that the lifetime of the disc will be sensitive to this value (Winter et al. 2020b). The evolution of the mass loss rate, disc mass, and disc radius is given in Fig. 4, with each model terminating when the mass loss rate is $< 10^{-10} \, M_{\odot} \, yr^{-1}$. With the caveat that at such low disc masses the surface density profile may well differ, it does provide a more detailed demonstration of the fact that these low stellar mass proplyds are on the verge of being completely dispersed on a time-scale of order 0.05-0.2 Myr. The lifetime estimate is longer than that using the current observed mass loss rate since the mass loss rate decreases substantially with the disc radius. Note that an increase in disc radius occurs when the rate of viscous spreading at the disc outer edge is faster than the rate of external photo-evaporation (Clarke 2007).

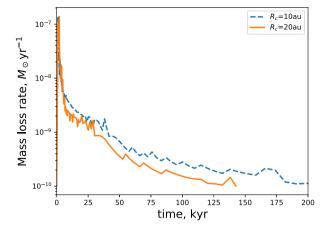
Although these NGC 1977 proplyd lifetimes are short, they are not unusually short for proplyds. The ONC proplyd lifetimes are typically expected to be about 0.1 Myr (e.g. Henney & O'Dell 1999). This led to the well-known 'proplyd lifetime problem', the issue being that if proplyds only survive for a short time, we should not catch them in the act of undergoing external photo-evaporation. Winter et al. (2019) demonstrated that this issue can be circumvented by ongoing migration of discs into the higher UV part of the cluster and/or the sequential exposure of embedded discs to the UV field as the star-forming cloud is dispersed. Fang et al. (in preparation) will publish a census of YSOs in NGC 1977 that shows there are indeed many that are at wider separations than the proplyds and/or embedded at the periphery of the expanding radiation feedback driven bubble which could replenish the proplyd population over time, providing a resolution to the proplyd lifetime problem in NGC 1977 in the manner proposed by Winter et al. (2019).

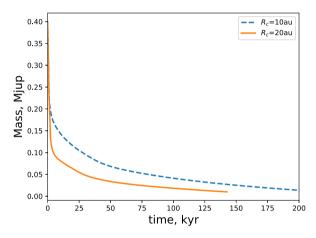
The NGC 1977 proplyds are of particular interest because they are unambiguous examples of external photo-evaporation (i.e. they are proplyds) in the weakest UV environment known to date. Their $\sim\!3000\,G_0$ environment is around two orders of magnitude weaker than in the core of the ONC. The implications of the low masses and hence typical proplyd lifetimes suggested by Fig. 3 would be that even in an intermediate UV environment (and perhaps the most common kind of UV environment Fatuzzo & Adams 2008; Winter et al. 2020a) low mass stars are severely depleted, and rapidly, by external photo-evaporation. Independent mass constraints (e.g. in the continuum, see below) will confirm this.

In line with theoretical expectations, the higher mass stars (centre/lower panel), which would have more bound discs are consistent with higher disc masses and brighter discs. We will discuss further in Section 3.3 that these systems (KCFF2016-2 and the spindle Bally et al. 2012) should offer the best chance for detection in deeper observations.

3.3 Next steps with ALMA/APEX

These APEX observations (which were undertaken in excellent conditions) and modelling have demonstrated that the higher sensitivity of ALMA is necessary to obtain detections of the C I 1-0 line and that KCFF2016-2 and the Spindle (Bally et al. 2012) are the most suitable targets for deeper observations to actually obtain detections. KCFF2016-2 requires a line flux constraint of $\sim\!\!2\times10^{-2}\,\mathrm{K\,km\,s^{-1}}$





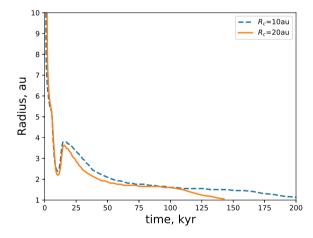


Figure 4. The evolution of the mass loss rate, disc mass, and radius for a disc with properties similar to those expected for the low stellar mass proplyds of NGC 1977.

(peak of $\sim 5\,\mathrm{mK}$, requiring around 1.5 h on the ALMA 7m array for a 3σ detection) to rule out the models and hence require carbon depletion to explain any non-detection. They also provide expectations for the required sensitivity to obtain detections for the low mass proplyds (more like $10^{-3}\,\mathrm{K\,km\,s^{-1}}$, peak of 0.23 mK), however achieving such a sensitivity requires unrealistic integration times.

The low mass object KCFF2016-4 does have a tentative 2σ line in our APEX data of the expected width and LSR velocity which, if real,

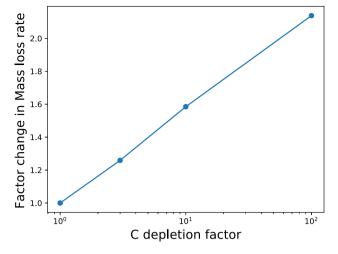


Figure 5. Change in external photo-evaporative mass loss rate as a function of degree of carbon depletion. The C I line flux from the wind scales linearly with the C depletion.

would be inconsistent with the models in the upper panel of Fig. 3. Our models predict practical deeper integration would still result in a non-detection, so confirming (or otherwise) the tentative detection would also provide a key test of the models. A prudent next step would therefore be deeper integration of CI 1-0 for KCFF2016-2 and KCFF2016-4.

In addition to going deeper with C I 1-0, in the case of KCFF2016-2, 3, 4,5, 6, and 7, the disc mass is anticipated to be so low that *any* constraints on the disc gas/dust mass and radius would be extremely valuable for determining how the final phase of disc clearing around low mass stars in common UV environments proceeds and for confirming the implied short proplyd lifetimes (like those of proplyds in the ONC) in NGC 1977. Even though CO and the dust continuum do not provide the most reliable measure of the disc mass, e.g. compared to HD (e.g. Kama et al. 2020), the constraints they could quite easily place on the dust/gas masses would still be very valuable.

3.4 What would the consequences of carbon depletion be?

Our models suggest that the non-detections for NGC 1977 proplyds in this paper are simply due to their discs being extremely depleted in mass. However, in the event that future observations demonstrate that this interpretation is wrong (e.g. by mass estimates from other tracers, there are currently no other constraints on the mass of the NGC 1977 proplyds) depletion of the carbon itself from the gas phase could provide an alternative explanation. Carbon depletion by, for example freeze out, was already proposed by Kama et al. (2016) to explain the lower than expected fluxes for massive, extended, isolated discs.

To provide an initial assessment of the impact of carbon depletion on the line flux and mass loss rate, we ran a small number of additional 1D external photo-evaporation models with the global carbon abundance depleted by a factor 3, 10, and 100 (factors consistent with low CO versus HD mass estimates; e.g. Bergin & Williams 2017). The models chosen were 100 au discs with $\Sigma_{1\,\mathrm{au}}=10^3\,\mathrm{g\,cm^{-2}}$ around a solar mass star. The impact on the estimated line flux is a linear scaling with the degree of carbon depletion. That is a factor 100 decrease in the carbon abundance gives a factor 100 weaker integrated line flux. Atomic and ionized carbon lines are important coolants in external photo-evaporation, so their removal also influences the mass loss rate, but as we illustrate in Fig. 5 this is not by a large factor. A factor 100 decrease in the line flux

corresponds to just over a factor 2 increase in the mass loss rate. Note that Wölfer et al. (2019) also found an enhancement in internal photo-evaporative mass loss rates due to carbon depletion, however in that case it is due to the fact that carbon is a significant opacity source to the X-rays that drive internal photo-evaporation.

4 SUMMARY AND CONCLUSIONS

We observed eight known proplyds in the vicinity of a B star in NGC 1977 in CI emission using APEX. Our goal was to constrain the line flux to inform future ALMA observations that would enable us to probe and characterize the inner part of the externally driven wind. In particular, CI has been proposed as a possible identifier and diagnostic of external winds when external photo-evaporation is less extreme and so does not lead to a cometary proplyd. Our objective was to test its utility using proplyds in an intermediate UV environment. The line fluxes were weaker than anticipated, resulting in no clear detections. However, the combination of CI flux and mass loss rate constraints with large grids of external photo-evaporation models provides a useful tool for interpreting proplyds. We draw the following main conclusions from this work.

- 1) Comparing our NGC 1977 proplyd C I 1-0 upper limits and mass loss rates with a wide parameter space of simulations of external photo-evaporation, we find that the non-detections are entirely consistent with the models. The fluxes being weaker than expected are simply due to the proplyds being severely depleted of mass, particularly for the proplyds associated with stars $\lesssim 0.1 M_{\odot}$.
- 2) The anticipated remaining lifetime of these low mass discs due to external photo-evaporation is ~ 0.1 Myr, so on a time-scale comparable to the expected remaining lifetime of proplyds in the ONC. NGC 1977 is a UV environment two orders of magnitude weaker than in the ONC, excited only by a B type star, but still exhibits the so-called proplyd lifetime problem. NGC 1977 does have a more extended YSO population that can circumvent this though by replenishing the photo-evaporating population continuously (Winter et al. 2019, Fang et al. in preparation).
- 3) The stellar masses of the proplyds are intriguingly low, with 3 out of $8 \le 0.015 \, \mathrm{M}_\odot$, placing them in the low mass end of the brown dwarf or even the super-planetary regime. This is not consistent with random sampling from the IMF. Rather we suggest that either the IMF changes at the extreme low mass end of the sub-stellar regime ($<0.03 \, \mathrm{M}_\odot$) and that those objects are only easily identified when externally photo-evaporated, or that radiation-driven dispersal of the natal gas has favourably produced very low mass objects. These possibilities are not new ideas, with proplyds around very low mass stars having been observed elsewhere (Robberto et al. 2010; Fang et al. 2016) and with the suggestion of a bimodal IMF (Drass et al. 2016), or at least an underprediction of the standard IMF (Gennaro & Robberto 2020) in Orion for low mass stars, consistent with our low mass objects.
- 4) Further measurements (e.g in the continuum or CO) will confirm that the low C I fluxes and observationally inferred mass loss rates do indeed result from low mass discs. In the event that such observations suggest comparatively massive discs, the explanation for lower than anticipated C I fluxes would most likely be carbon depletion, for example by freeze out as suggested by Kama et al. (2016) to explain the low C I flux in observations towards discs in low UV environments. We made a first assessment of the impact of C depletion upon external photo-evaporation, finding it could readily reduce the line flux, with only a small corresponding increase in the mass loss rate due to the reduced cooling by carbon emission lines. However, our expectation of a low disc mass is based both on the

C I flux and mass loss rate, so if the the discs are observed to be relatively massive this would also come into conflict with the models since they predict that the observed mass loss rates can only stem from low mass discs (with the exception of KCFF2016-2, which could be higher mass according to the models).

Ultimately, NGC 1977 is a fascinating laboratory for understanding external photo-evaporation in an environment that is far from extreme and may even be typical. Further observations of the YSO population and winds in the region is vitally important for understanding disc evolution and planet formation in the context of their stellar clusters.

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DATA AVAILABILITY

The proprietory period on the data in this paper ends on 2022 September 6, at which time it will become publicly available through the ESO Science Archive Facility. Before then, the authors are happy to share the data on request. A publication presenting the next-generation FRIED models is currently being prepared, at which point the grid will be made publicly available.

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