### 1 Screening of diesters of ortho phthalic acid in printed baby bibs in the European market

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- 9 Abstract

10 A screening exercise targeting ortho-phthalates in twenty two plastic baby bibs collected in European market was performed. A GC-MS method was used with a limit of detection 11 ranging from 0.1 to 0.6 mgkg<sup>-1</sup> bib. The most frequently detected phthalates were di-n-12 butyl phthalate and di-iso-butyl phthalate, in nearly all samples. The latter was detected 13 14 often in higher concentration than the first one, suggesting its intended use. Overall, the highest levels were detected for benzyl butyl phthalate, with 6 samples presenting 15 16 concentration from 13 - 47 mgkg<sup>-1</sup> and one sample with the highest value of 65 mgkg<sup>-1</sup>. 17 Results indicate that several non-authorised phthalates are being used either in the plastic or in the printing inks. Worst case migration calculations indicate that DEP, DAP 18 and DIBP exceed the 0.01 mgkg<sup>-1</sup>, and therefore, determination of experimental 19 migration is needed to conclude on compliance of baby bibs with the European and 20 Swiss legislation. Bibs are considered, accordingly to European legislation, as FCMs and 21 22 therefore, they should comply with the applicable rules, restrictions and limits. These articles should be included in surveillance plans, focusing in monitoring bibs 23 24 composition, migration and the application by industry of good manufacturing practices.

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26 Keywords: phthalates, plastic baby bibs, potential migration, plasticisers

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#### 28 Introduction

Diesters of ortho-phthalic acid (dialkyl or alkyl esters of 1,2-benzenedicarboxylic acid), commonly referred to as phthalates, are amongst the most common organic contaminants in both the environment and consumer products. Phthalates are not chemically bound to polymer chains and therefore they may migrate into food at rates and levels, which like for other migrants, depend on the contacting conditions (Poças, 2018).

Exposure to phthalates is well known to have adverse effects on the health and 35 development of humans, especially for children. Humans can be exposed to these 36 phthalates through various routes, the most concerning being ingestion (EFSA, 2019). 37 38 Infants and young children, due to their hand-to-mouth behaviour when handling 39 different articles, are potentially more exposed than adults. Furthermore, these groups of population have specific physiological and developmental characteristics, as well as 40 nutritional needs and food consumer patterns different than adults. These differences 41 justify a particular attention in risk assessment of chemicals they are exposed to. This 42 43 has impact on the rules and criteria applicable to foods and specific materials and 44 articles intended for children consumption, use and handling.

45 Phthlates are used as plasticizers in many plastics applications including packaging and other food-contact materials (FCM), such as tubing and equipment parts used in food 46 processing, handling and storage (Pereira et al. 2019) and are also used in non-plastic 47 articles, such as printing inks, coatings and adhesives. Higher molecular weight 48 phthalates, such as di-2-ethylhexyl phthalate (DEHP), are primarily used as plasticizers 49 to soften polyvinyl chloride (PVC) products, while the lower molecular weight 50 phthalates, such as diethyl phthalate (DEP), di-n-butyl phthalate (DBP), and butyl benzyl 51 52 phthalate (BBP), are widely used as solvents and technical support agents or production 53 aids such as for example pasting agent for catalysts (Cao et al., 2010). There is a trend for replacing ortho phthalates by other plasticizers families, such as terephthalates, 54 benzoates, citrates, trimellitates, carboxyl adipates, phosphates and polymeric 55 56 plasticizers.

57 Several phthalates are authorised for use in plastic FCM under the European harmonised 58 legislation (EU, 2011). From those, DEHP, DBP and BBP are identified as substances of very high concern (SVHC) under the REACH Regulation due to their toxicity for 59 reproduction and endocrine disrupting properties for human health. DEHP is 60 61 additionally considered to be endocrine disruptor for the environment (EFSA, 2019). The Commission Regulation (EU) 2018/2005 (updates the REACH - Regulation (EC) No 62 63 1907/2006) restricts the level of the phthalates DEHP, BBP, DBP and di-iso-butyl phthalate (DIBP) in any plasticized material in articles used by consumers or in indoor 64 65 areas, including toys and childcare products, after June 2020. However, this restriction does not apply to food contact materials that are regulated by Regulation (EU) No 66 10/2011. It is worthy to note that the Regulation (EU) 2018/2005 also updated the 67 definition of "childcare" to the following: "childcare article' shall mean any product 68 69 intended to facilitate sleep, relaxation, hygiene, the feeding of children or sucking on the part of children". Plastic baby bibs are used for assisting on feeding infants and 70 toddlers, so stakeholders may wrongly assume that bibs should comply with the REACH 71 72 regulation only and ignore the need for complying with the food contact rules, which is more restrictive. While the REACH regulation defines limits for the concentration of 73 74 phthalates in the plasticised material in articles (individually or in any combination, no 75 greater than 0.1 % (m/m)), the FCM legislation sets lower concentration limits, but 76 additionally sets restrictions regarding the type of article and migration limits.

77 According European framework legislation, baby bibs are considered a food contact material (FCM) as they can reasonably be expected to be brought into contact with food 78 79 or to transfer their constituents to food under normal or foreseeable conditions of use 80 (European Union Regulation nº 1935/2004). During its use, the baby spilt food and saliva 81 on the bib, which is then typically fed again into the baby mouth, by the care-taker 82 (Galbiati et al., 2020). Additionally, babies can chew and suck the bib. This product has not been targeted in surveys regarding phthalates in food contact materials. The 83 84 objective of this work was to screen plastic baby bibs, collected in the European market, for ortho phthalates, to determine the potential of migration and to pinpoint the need 85 86 for addressing these articles in surveillance plans.

#### 87 Material and methods

#### 88 Samples

89 Twenty-two bibs were purchased from all around Europe: 4 in Italy, 5 in Spain, 5 in 90 Portugal, 3 in Ireland, 2 in Slovenia, 2 in Germany and 1 from Denmark. All samples were 91 purchased directly in specialized or non-specialized stores and none was acquired by ecommerce channel. In order to prevent any cross contamination, samples were 92 93 manipulated and stored wrapped in aluminum foil during the transport to the laboratory 94 in Portugal. The material of the bibs was confirmed by (DSC) Dynamic Scanning 95 Calorimetry (Shimadzu Corporation), through the determination of thermal transitions. Most of the bibs were made of polyethylene/vinyl acetate (PEVA) and a few of them 96 97 were in polyamide (PA) and PE. The material grammage was in average 1.5 gdm<sup>-2</sup>.

#### 98 Phthalates analysed

99 The phthalates analysed are described in Table 1. From these DBP, BBP, DEHP, DINP and 100 DIDP are authorized under the Regulation nº 10/2011 applicable to plastics in contact 101 with food, with the following respective specific migration limits (SMLs): 0.3, 30, 1.5 and 102 9 mgkg-1 for the sum of both DINP and DIDP. DAP is authorized with a migration level 103 that should be non-detectable, which in practice is assumed as 0.01 mgkg-1 as for the 104 non-authorized phthalates. The standards were supplied by Fluka® (DMP, DEP, DIBP, 105 DNOP, DIDP), Sigma Aldrich® (DAP, DBP, DEHP, DCHP), Chem Service® (DHP), Jayflex® (BBP, DINP) and AccuStandard<sup>®</sup> (DIAP). The identification of the detected phthalates 106 107 was performed by matching spectra with library NIST MS Search (Version 2.2, 2014), 108 following confirmation with standards.

### 109 Background contamination management

To minimise the analytical background levels of those phthalates that are ubiquitous,the following measures were taken:

- All the material used to handle the samples were cleaned between samples to avoidcross contamination;

- Glassware rinsed with hexane and acetone; then heated at 160 °C (overnight) prior to
use;

- Disposable glass centrifuge test tubes used for extraction and concentration steps;

- 117 Chromatographic analyses undertaken in a different laboratory from the one used for
- 118 preparation of analytical standards and handling the samples;
- 119 Residual contamination in blank injections subtracted from samples;
- 120 Solvent injection after calibration curve analysis and between every samples;
- 121 Triple super clean gas filter installed into gas supply of gas chromatograph.
- 122 Qualitative analysis of phthalates

Ca 1 g of each sample was cut (3x3 mm), weighted and extracted with 10 ml
dichloromethane (DCM) with the internal standard (Benzophenone d10) at 0.05 mgkg1. Extraction conditions were: 40 °C for 24 hours with occasional stirring, followed by
ultrasonic bath for 30 min, centrifugation (2500 rpm for 7 min) and filtration (0,45 μm
PTFE membrane) before chromatography analysis. A blank of DCM was also prepared.

# 128 Quantitative analysis of phthalates

Calibration curves, using deuterated phthalates (Sigma-Aldrich®) as internal standards,
were prepared according to the regulatory status of the specific phthalate, in the range
of 0-0.4 mgL-1 for all phthalates, except for DIDP and DINP that the range of 0-2 mgL-1
was applied. DBP-d4 (CAS n° 93952-11-5) was used as internal standard for DMP, DEP,
DAP, DIBP and DBP, DEHP-d4 (CAS n° 93951-87-2) was used for DHP, BBP and DEHP, and
DNOP-d4 (CAS n° 93952-13-7) for DNOP, DINP, DIDP. The calibration solutions were
prepared in the extraction solvent (DCM).

136 Ca 1 g of each sample in replicate was cut, weighted and extracted with 10 ml137 dichloromethane (DCM) with the internal standard solution of deuterated phthalates at

0.25 mgL-1. The same extraction conditions as for qualitative analyses were appliedfollowing chromatography analysis. A blank of DCM was also prepared.

### 140 Analysis by gas chromatography GC-MS

The analyses were performed in a system Bruker<sup>®</sup> Scion 456 TQ GC/MS, with the 141 following operation conditions in the qualitative analyses: vector gas Helium at 1 142 143 ml/min; injection temperature 320 °C; column Supelco® SLB-5ms (30m x 0,25 mm I.D. Df= 0,25 µm); oven temperature 40 °C for 5 min, rate of 10 °C/min up to 320 °C and 320 144 °C for 25 min; volume of injection of 1  $\mu$ l, splitless time 0,5 min. In the quantitative 145 analyses slightly different conditions were applied: oven temperature 80 °C for 1 min, 146 147 rate of 10 °C/min up to 320 °C and 320 °C for 8 min. Between each sample and each 148 standard solution, a run with DCM was made in order to clean the equipment.

The mass detector was operated in the electronic impact mode at 70 eV; transfer line temperature 300 °C and source temperature 320 °C. Full scan between 33 and 700 m/z for the qualitative analyses and selected ion monitoring (SIM) mode according to Table 152 1 for the selected phthalates.

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## 154 Results and Discussion

### 155 *Method performance*

156 Figure 1 shows a chromatogram of the calibration solution: the total ion current (TIC) 157 and extracted-ion chromatograms according to each phthalate. The main parameters of the method performance: limit of detection (LOD), limit of quantification (LOQ) and 158 159 linear range are reported in Table 2 for each phthalate. A good linearity of response was achieved in the range considered. The coefficients of determination (R<sup>2</sup>) were higher 160 than 0,999 for all phthalates. LOD and LOQ were calculated from the calibration curve, 161 as 3 and 10 times the standard deviation of the intercept, respectively and, when 162 transformed into the bib concentration base, were in the order 0.1 to 0.2 mgkg<sup>-1</sup> for all 163 phthalates except for DEHP, DINP and DIDP for which the values ranged between 0.3 164 and 0.6 mgkg<sup>-1</sup>. 165

#### 166 *Phthalates quantification in bibs*

The phthalates concentration in bibs are shown in Table 3. The values are expressed as
 concentration in mgkg<sup>-1</sup> bib ± standard deviation. A multi-factor ANOVA was performed
 (Fisher Least Significant Difference; p-value <0.05; different letters in the same column</li>
 mean significant differences).

The most frequently detected phthalates were DBP and DIBP, in nearly all samples, and DEP and BBP in 16 out of 22 samples. The highest levels were detected for BPP, with 6 samples presenting concentration from 13 to 47 mgkg<sup>-1</sup> and one sample with the highest value of 65 mgkg<sup>-1</sup>. DIBP was quantified in one sample at 30 mgkg<sup>-1</sup>. DBP and DEP concentration averaged around 2 mgkg<sup>-1</sup>. DEHP was detected in 6 samples, with values up to 24 mgkg<sup>-1</sup>.

177 It seems there is a fair correlation between DIBP and DBP (Pearson coefficient 0.895), with concentration values for DIBP always higher than the ones for DBP in most samples 178 (Figure 2). This seems to suggest the intended use of DIBP either in the main plastic or 179 in the printing inks in spite of being not authorized in plastics FCM, according to 180 European harmonized legislation for plastics. It is neither authorized in printing inks, 181 182 according to Swiss legislation (FSVO, 2019). DIBP is authorized by U.S. Food and Drug 183 Administration (FDA) in adhesives to be used (i) separated from the food by a functional barrier, (ii) in dry foods or (iii) when in contact with fatty and aqueous foods only through 184 edges and seams of laminates. DIBP is also addressed in the German BfR 185 186 Recommendations on Food Contact Materials to be used in plasticizer-free PVC and copolymers and in unsaturated polyester resins but only as pasting agent for catalysts 187 (BfR, 2019) and with the same restrictions applicable to DBP in accordance with the Reg. 188 189 (EU) No 10/2011 (EU, 2011). Recently, the European Food Safety Authority (EFSA) 190 updated its opinions published in 2005 on DBP, BBP, DEHP, DINP and DIDP authorised for use as plasticisers and technical support agents in plastic for food contact (EFSA, 191 2019). However, EFSA did not consider DIBP because it is not authorised for use in plastic 192 193 FCM. Nevertheless, EFSA recognized that DIBP can substantially add to the overall exposure of consumers to phthalates because of similar intake estimates compared to 194 195 DBP. Furthermore, biomonitoring studies have indicated a trend towards increased

human exposure to DIBP as a consequence of replacement for DBP (Zota et al. 2014).
Therefore, it is worthy to note that the use of DIBP in FCMs and consequent exposure
should be better characterized in spite of being a phthalate not authorized for food
contact.

200 DHP and DNOP were not detected in any of the samples analysed and DMP was detected 201 in one sample at low level. DAP was detected in 2 samples out of 22, in one sample at 202 ca 1 mgkg<sup>-1</sup> and in another sample at a level of 5 mgkg<sup>-1</sup>. This result may also be worthy 203 to further develop because DAP has a migration level that should be non-detectable. 204 DINP was detected in 3 samples with the highest concentration of 7 mgkg<sup>-1</sup> and DIDP 205 was detected in one sample only at 2.5 mgkg<sup>-1</sup>.

### 206 Phthalates migration estimates

The results of phthalates concentration in the bibs were then translated into the migration assuming a total mass transfer and the conventional ratio of 6 dm<sup>2</sup> kg<sup>-1</sup> food. These are shown in Figure 3 as a radar plot of the concentrations (after normalizing with logarithms of the concentration values). Each line represents a bib sample and a comparison is made with the specific migration limits reported in Regulation (EC) No 10/2011 (black dotted line).

Worst case migration estimates for BBP are well below the SML (30 mgkg<sup>-1</sup>) for all samples and the same applies to DBP (0.3 mgkg<sup>-1</sup>), DEHP (1.5 mgkg<sup>-1</sup>), DINP and DIDP (sum 9 mgkg<sup>-1</sup>). The non-authorised phthalates DMP, DHP and DNOP also have worstcase estimated migration levels lower than the applicable limit of 0.01 mgkg<sup>-1</sup> for all bibs tested.

The worst-case estimated migration for DEP and for DIBP, which are non-authorised phthalates, exceed the applicable value of 0.01 mgkg<sup>-1</sup> in 9 and 16 out of 22 samples, respectively. The maximum estimated migration was 0.1 mgkg<sup>-1</sup> for DEP and 0.24 mgkg<sup>-</sup> <sup>1</sup> for DIBP and both occurred in one sample only.

As indicated, DIBP was the phthalate with higher number of undue occurrences and also showing the highest levels of estimated migration. A recent systematic review, 224 corroborating previous studies, provided evidence that DIBP causes male reproductive 225 and developmental toxicity and slight evidence for female reproductive toxicity, among other effects, supporting DIBP as a children's health concern (Yost et al., 2019). 226 Furthermore, studies on the association of early life exposure to metabolites of several 227 228 phthalates, including DBP and DIBP, with obesity and cardiovascular risks in childhood suggest that early life exposure may affect child growth and adiposity - mono-isobutyl 229 230 phthalate (MiBP), the metabolite of DIBP was associated with higher total cholesterol levels at 4–6 years (Vafeiadi et al., 2018). 231

232 Calculated values for migration are recognized to overestimate the real migration values, but nevertheless are indicative of the need for surveillance particularly because 233 bibs are often wrongly not seen by industry as food contact materials, and surveillance 234 235 actions are not systematically acted. In fact, the nature of use of the article in question 236 (baby bibs) is relatively uncertain regarding the contact conditions that affect migration, 237 namely regarding the time of use. Temperature of contact can be well estimated as this 238 is not much different than the baby body temperature. The time of contact depends on 239 the frequency and duration of meals but the practice of keeping the bib on the baby between meals is not uncommon (Rajbux et al, 2020). According to the JRC Guidelines 240 241 on testing conditions for articles in contact with foodstuffs (Beldi et al., 2019), the migration test would be performed with simulants A (10% ethanol v/v), B (3% acetic acid 242 243 (w/v) and D2 (vegetable oil), with 0.5 hours of contact at 40 °C and under repeat use 244 conditions. However, it is well known that most often babies were the bib for much 245 longer time and they chew and suck the material, therefore increasing the potential for 246 intake of migrants.

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#### 248 Conclusions

This work focused on screening plastic baby bibs to detect and identify phthalates and to determine their potential migration. Results indicate that several non-authorised phthalates are being used either in the plastic or in the printing inks. The most frequently phthalates detected were DBP and DIBP, the latter present often in higher concentration

- than the first one, suggesting its intended use. Worst case migration calculations
- indicate that DEP, DAP and DIBP exceed the 0.01 mgkg<sup>-1</sup>, and therefore, determination
- of experimental migration is needed to conclude on compliance of baby bibs with the
- 256 European and Swiss legislation. Bibs are considered, accordingly to European legislation,
- as FCMs and therefore, they should comply with the applicable rules, restrictions and
- 258 limits. These articles should be included in surveillance plans, focusing in monitoring bibs
- composition, migration and the application by industry of good manufacturing practices.
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