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3 **Histologic grade has a higher-weighted value than nodal status as predictor of outcome**

4 **in dogs with cutaneous mast cell tumors and overtly metastatic sentinel lymph nodes**

5 Dina Guerra,¹ Eugenio Faroni,¹ Silvia Sabattini,¹ Chiara Agnoli,¹ Carmit Chalfon,¹
6 Damiano Stefanello,² Sara Del Magno,¹ Veronica Cola,¹ Valeria Grieco,² Laura
7 Marconato¹

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10 1. Department of Veterinary Medical Sciences, University of Bologna, Ozzano
11 dell'Emilia (Bologna), Italy

12 2. Dipartimento di Medicina Veterinaria, Università degli Studi di Milano, Lodi,
13 Italy

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16 **Corresponding author:**

17 Laura Marconato; laura.marconato@unibo.it

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20 **Running head:** Histological grade beats nodal status

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24 **Abstract**

25 In canine cutaneous mast cell tumors (cMCTs), histologic grade and clinical stage are the most
26 important prognostic factors, with high-grade tumors and metastatic lymph nodes (LNs)
27 significantly influencing the evolution of disease. However, it is uncertain whether histologic
28 grade and clinical stage should be given equal weighting value in patient prognostication and
29 management.

30 Dogs with low- and high-grade cMCTs and at least one overtly metastatic sentinel LN
31 undergoing standardized treatment, consisting of surgical excision of the cMCT,
32 lymphadenectomy and chemotherapy, were retrospectively included. The aim was to determine
33 whether, at the same clinical stage, histologic grade retained prognostic relevance.

34 Sixty dogs were included: 26 had a high-grade cMCT tumor and 34 had a low-grade cMCT.
35 Median follow-up was 367 days (range, 187-748) in the high-grade group, and 1208 days
36 (range, 180-2576) in the low-grade group. Median time to progression was significantly shorter
37 in the high-grade group than in the low-grade group (214 days versus not reached; $P < 0.001$),
38 as well as tumor-specific survival (545 days versus not reached; $P < 0.001$). On multivariable
39 analysis, a high histologic grade and incomplete margins retained prognostic significance for
40 both tumor progression and tumor-specific death.

41 In dogs with cMCT and at least one overtly metastatic LN undergoing multimodal treatment,
42 histologic grade significantly correlated with outcome. Overall prognosis was not unfavorable,
43 even in the high-grade group, further supporting that a multimodal therapeutic approach,
44 addressing primary tumor and sentinel LN, should be offered. Whether chemotherapy should
45 be incorporated in the therapeutic planning of low-grade cMCTs remains to be defined.

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47 **Keywords**

48 Canine, lymphadenectomy, mastocytoma, Kiupel high-grade, sentinel lymph node metastasis

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51 **Word count: 3492**

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54 **Introduction**

55 Histologic grade and clinical stage are the most important prognostic indicators for outcome in
56 canine cutaneous mast cell tumors (cMCTs).¹⁻³

57 Histologic grade is universally accepted and used by clinicians in treatment decision-making,
58 as several studies have demonstrated its independent prognostic value.^{2,4} Since 2011, cMCTs
59 have been classified according to a 2-tier system into low-grade and high-grade tumors.¹

60 Clinical stage is also used by clinicians for patient management. WHO stage 2 disease refers to
61 the presence of regional lymph node (LN) metastasis. Recently, standardized histologic criteria
62 have been proposed to more consistently characterize nodal involvement, and 4 histologic
63 patterns have been identified: HN0 (non-metastatic LN), HN1 (pre-metastatic LN), HN2 (early
64 metastasis) and HN3 (overt metastasis).⁵

65 Presence and extension of LN metastasis influence the evolution of disease as much as other
66 clinical criteria referred to the primary tumor. It could be assumed that the risk of developing
67 metastases increases with histologic grade, because the more undifferentiated the cancer is at
68 diagnosis, the more cells presumably have the capability to metastasize.¹ Regrettably, the
69 relationship between histologic grade and nodal status is not straightforward, as low-grade
70 cMCTs may be overtly metastatic^{2,4} and high-grade cMCTs may stage negatively.⁷ This can
71 lead to underestimation of the risk in the first case (e.g., assuming that a low-grade cMCT does
72 not spread, leading to undertreatment), and overtreatment in the second, carrying the risk of
73 adverse events in the face of no substantial outcome benefit.

74 Traditionally, dogs with high-grade cMCTs with or without HN3 LN are not cured by surgery
75 and/or radiation therapy, advocating for the addition of adjuvant chemotherapy.^{8,9} On the other
76 hand, based on the current knowledge, it is difficult to anticipate the prognosis for dogs with
77 low-grade cMCTs and HN3 LN. Thus, a frequent clinical dilemma is whether to administer or
78 not chemotherapy to these patients. Furthermore, it has been recently shown that
79 lymphadenectomy is therapeutic for dogs with low-grade cMCT and HN2 LN, and prophylactic
80 for those with HN0/1 LN.^{10,11}

81 In the present study, the prognostic relevance of HN3 LN was analyzed in a series of dogs with
82 low-grade and high-grade cMCTs treated with surgical excision of the primary tumor, sentinel
83 lymphadenectomy and chemotherapy. The aim was to determine whether, at the same clinical
84 stage, histologic grade retains relevance in the decision-making process.

85

86

87 **Material and methods**

88 Medical records referred to two Oncology Units (*masked for review*) were reviewed to
89 identify dogs with treatment-naive, firstly occurring, histologically confirmed cMCT of any
90 histologic grade with one or more sentinel HN3 LN according to Weishaar⁵ and no distant
91 spread.

92 To be eligible for inclusion, dogs had to undergo complete staging work-up and wide surgical
93 excision of the primary cMCT and simultaneous lymphadenectomy of the sentinel LN(s),
94 regardless of size and mobility.

95 Sentinel LNs were identified by means of lympho-CT or scintigraphy and/or nodal methylene
96 blue dye uptake after peritumoral injection.^{12,13} All “hot” and/or “blue” LNs were removed and
97 submitted for histopathology; only dogs with at least one HN3 LN were ultimately included.

98 LNs were histologically evaluated by multiple pathologists that were not aware of sentinel LN
99 mapping results.

100 Standard recommendations following incomplete resection of high grade MCTs include
101 revision surgery where possible or postoperative definitive radiation therapy. All dog owners
102 were offered re-excision or radiation therapy, but were included following declining pursuit of
103 adequate local control.

104 Information on clinical stage was obtained by means of the following: hematological and
105 biochemical analysis; cytologic evaluation of the cutaneous nodule; thoracic radiographs;
106 abdominal ultrasound, and fine-needle aspirates of liver and spleen regardless of their
107 sonographic appearance. The primary tumor was graded according to Kiupel into low-grade or
108 high-grade.¹

109 All dogs received adjuvant medical therapy, consisting of vinblastine (2-3 mg/m² IV every 2
110 weeks, depending on the dog’s weight, for 8 cycles) and prednisone (1 mg/kg PO once daily
111 for the entire length of the protocol) or toceranib (2.4 mg/kg on a Monday-Wednesday-Friday

112 schedule for 6 months), depending on c-kit mutational status, if available, and clinician's and
113 owner's preference.

114 After completion of treatment, dogs were followed-up by means of clinical rechecks every 3
115 months for the first year, and every 6 months thereafter. Imaging was repeated whenever
116 indicated. Only dogs with a minimum follow-up time of 180 days from surgery were included
117 in the analysis, unless a documented event (recurrence or death) occurred prior to 180 days.

118 Dogs with concurrent multiple and/or subcutaneous MCTs, those with distant metastasis or
119 those receiving neoadjuvant or post-operative radiation therapy were excluded from the study.

120

121 Background information recorded for each dog included: signalment (i.e, breed, age, sex,
122 weight), clinical substage (i.e, asymptomatic [substage a] or symptomatic [substage b]),
123 primary tumor description (i.e, location, longest diameter, presence of ulceration), presence of
124 clinically altered regional LNs, histologic grade, histopathologic evaluation of surgical margins
125 (complete, or incomplete, if aggregates of mast cells were seen within 1 mm of the surgical
126 margin), Ki-67 index (if performed); c-kit mutational status (exons 8, 9, 10, 11) (if performed),
127 number of removed HN3 LN(s), type of medical treatment.

128 Regarding outcome, local relapse (LR) was defined as the cytologic evidence of a recurrent
129 cMCT within 2 cm from the previous scar. Nodal relapse (NR) was defined as presence of
130 newly diagnosed metastatic LNs confirmed by cytology. Distant relapse (DR) was defined as
131 the occurrence of cytologically-confirmed visceral metastasis.

132 Date of death or last follow-up examination, and cause of death were registered.

133 The care of the dogs included in the study was in accordance with institutional guidelines. All
134 owners provided written informed consent.

135

136 **Statistical analysis**

137 Descriptive statistics were used in the analysis of dogs and tumor characteristics. When
138 appropriate, data sets were tested for normality by use of the D'Agostino and Pearson omnibus
139 normality test. No data had normal distribution and were therefore expressed as median (range).
140 Dogs were then categorized into low-grade or high-grade groups, and the distribution of
141 demographic features and possible prognostic variables among these groups were assessed with
142 the Mann Whitney U test or the Chi-square test/ Fisher's exact test for continuous and
143 categorical variables, respectively.

144 Time to progression (TTP) was calculated from the date of surgery to the first occurrence of
145 one or more of LR, NR or DR or to the last visit. Tumor-specific survival (TSS) was calculated
146 from the date of surgery to the date of death for tumor-related causes or to the last visit. If tumor
147 progression or death for tumor-related causes did not occur, dogs were censored for the
148 respective statistical analysis.

149 Survival plots were generated according to the Kaplan-Meier product-limit method. TTP and
150 TSS of dogs in the low-grade group and those of dogs in the high-grade group were compared
151 by means of the log-rank test.

152 The influence of potential prognostic variables on tumor progression and tumor-related death
153 was investigated with univariable and multivariable Cox proportional hazards model. Only
154 covariates that were significant at univariable analysis were included in the multivariable
155 (adjusted) regression model. The considered variables included breed (predisposition to
156 biologically aggressive MCTs, i.e. Shar-pei, Labrador retriever and Golden retriever),³⁶ sex,
157 age, weight, substage, anatomic location of the primary cMCT (biologically aggressive
158 locations, i.e. head and neck, inguinal/perineal area, mammary region and digits), macroscopic
159 tumor longest diameter, ulceration, clinically altered LNs, histologic grade, surgical margins,
160 and number of removed HN3 LNs. For age and weight, the median was used as the cut-off
161 value. For tumor diameter, a cut-off value of 3 cm was selected based on previous studies.^{2,14}

162 Data were analyzed by use of commercial software programs (SPSS Statistics v. 26, IBM,
163 Somers, NY, and Prism v. 5.0, GraphPad, San Diego, CA). P values ≤ 0.05 were considered
164 significant.

165

166 **Cell Line Validation Statement**

167 No cell lines were used in the current study.

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169

170 **Results**

171 Dogs and tumor characteristics

172 A total of 60 dogs fulfilled the inclusion criteria: 34 (56.7%) dogs had a low-grade cMCT, and
173 26 (43.3%) had a high-grade tumor. When comparing demographic features and possible
174 prognostic factors, dogs in the high-grade group were significantly older than those in the low-
175 grade group ($P < 0.001$; Table 1).

176

177 *Dogs with low-grade cMCTs*

178 In the low-grade group, there were 10 (29.4%) mixed-breed dogs. Among the remaining dogs,
179 the most represented breeds were French Bulldog (n=4; 11.8%), Labrador Retriever (n=3;
180 8.8%), and Golden Retriever (n=3; 8.8%). Median age was 6.5 years (range, 3-13), and median
181 weight was 18.5 kg (range, 1.9-42.0). Sixteen dogs (47.1%) were males (7 neutered) and 18
182 (52.9%) were females (16 spayed; Table 1).

183 Only one (2.9%) dog had signs of systemic effects of cMCT (vomiting, diarrhea, pruritus;
184 substage b), which resolved after surgery. The remaining were asymptomatic (substage a).

185 The tumors were located on limbs (n=11; 32.3%), head and neck (n=10; 29.4%),
186 inguinal/perineal area (n=7; 20.6%), mammary region (n=4; 11.8%), digital (n =1; 2.9%) and

187 trunk (n=1; 2.9%). Median tumor diameter was 2.4 cm (range, 0.5-9.0); 21 (61.8%) tumors
188 were not ulcerated.

189 Overall, 11 (32.3%) dogs had clinically normal LNs, while 23 (67.7%) dogs had clinical nodal
190 enlargement. Sentinel LN mapping was performed by peritumoral injection of methylene blue
191 in all dogs; 3 (7%) dogs also underwent lympho-CT, and 2 (4.6%) dogs underwent scintigraphy.
192 Twenty-seven (79.4%) dogs had one sentinel HN3 LN removed, 6 (17.6%) dogs had two HN3
193 LNs, whereas one (2.9%) dog had four HN3 LNs. Among dogs having more than 1 HN3 LN
194 removed, a single lymphocenter was involved in 3 cases, and different lymphocenters in 4
195 cases. Overall, removed HN3 LNs included 15 (34.9%) inguinal, 10 (23.3%) popliteal, 7
196 (16.3%) submandibular, 4 (9.3%) prescapular, 3 (7%) retropharyngeal, 2 (4.6%) axillary and 2
197 (4.6%) medial iliac.

198 Histopathologic evaluation revealed complete surgical margins in 25 (73.5%) cMCTs, and
199 incomplete margins in 9 (26.5%) cases. Second surgery or radiation therapy was suggested, but
200 declined by the owners of these 9 dogs. Five (14.7%) dogs had Ki67 evaluated, and one of them
201 had a value >23.¹⁵ Mutational analysis was available for 24 (70.6%) cMCTs: 4 cMCTs were
202 mutated (ITD on exon 11), while the remaining 20 were wild type.

203 Thirty-two (94.1%) dogs received vinblastine and prednisone, and 2 (5.9%) received toceranib.

204

205 *Dogs with high-grade cMCTs*

206 Among dogs with high-grade cMCTs, 9 (34.6%) were mixed-breed. Among the remaining
207 dogs, the most represented breed was the Bernese Mountain dog (n=3; 11.5%). Median age was
208 10 years (range, 3-14), and median weight was 23 kg (range, 4,9-50). Fourteen (53.8 %) dogs
209 were males (6 neutered) and 12 (46.2 %) were spayed females (Table 1).

210 Four (15.4%) dogs were symptomatic. In all these cases, symptoms resolved after surgery.

211 The cMCTs were located on limbs (n=10; 38.5%), inguinal area (n=7; 26.9%), head and neck
212 (n=5; 19.2%), mammary region (n=2; 7.7%), digits (n=1; 3.9%) and trunk (n=1; 3.9%). Median
213 tumor diameter was 2 cm (range, 1-12); 12 tumors (46.2%) were ulcerated.
214 Overall, 6 (23.1%) dogs had clinically normal LNs, while 20 (76.9%) dogs had clinical nodal
215 enlargement.
216 Sentinel LN mapping was performed by peritumoral injection of methylene blue in all dogs; 4
217 (12.1%) dogs also underwent lympho-CT.
218 Twenty (76.9%) dogs had one sentinel HN3 LN removed, 5 (19.2%) had two HN3 LNs, and 1
219 (3.8%) dog had three HN3 LNs. Among dogs having more than 1 HN3 LN removed, a single
220 lymphocenter was involved in 2 cases, and different lymphocenters in 4 cases. Overall, removed
221 HN3 LNs included 15 (45.6%) inguinal, 8 (24.2%) prescapular, 4 (12.1%) submandibular, 3
222 (9.1%) popliteal, 1 (3%) axillary, 1 (3%) medial iliac, and 1 (3%) retropharyngeal.
223 Surgical margins were histopathologically complete in 16 (61.5%) dogs and incomplete in 10
224 (38.5%). Second surgery or radiation therapy was recommended, but declined by the owners of
225 these 10 dogs.
226 Three (11.5%) dogs had Ki67 evaluated, and none had a value >23. Mutational analysis was
227 available for 12 (46.2%) cMCTs: 7 cMCTs were mutated (ITD on exon 11), while the
228 remaining 4 were wild type.

229 Twenty dogs (76.9%) received vinblastine and prednisone, and 6 (23.1%) received toceranib.

230

231 Outcome

232 In the low-grade group, the median follow-up time was 1208 days (range, 180-2576). Three
233 (9%) dogs experienced LR after 29, 177, and 216 days; 2 of them had incomplete margins.
234 Seven dogs with incomplete margins did not recur after a median follow-up of 1143 days
235 (range, 180-1650). In six (18%) dogs, NR was registered after a median of 211 days (range, 52-
236 420), while 3 (9%) dogs developed DR after 52, 224, and 241 days. For all dogs experiencing

237 NR, the lymphocenter closest to the previously removed LN(s) was found involved. Overall,
238 tumor progression was registered in 7 (21%) dogs. One dog developed concurrent LR and NR,
239 and one dog developed concurrent NR and DR. Median TTP was not reached.

240 Of the 7 dogs with progressive disease, 2 (28.6%) received additional treatment, consisting in
241 lomustine (n=1) and toceranib (n=1). The time to death from progressive disease was 84 and
242 432 days for these dogs, whereas the median time to death from progressive disease was 45
243 days (range, 23-170) for the dogs not receiving additional treatment.

244 At data analysis closure, 22 (65%) dogs were alive, 6 (18%) had died because of tumor-
245 unrelated causes, and 6 (18%) had died because of MCT-related causes. Median TSS was not
246 reached.

247 In the high-grade group, the median follow-up time was 367 days (range, 187-748). Nine (31%)
248 dogs experienced LR after a median of 162 days (range, 25-663); 6 of them had incomplete
249 margins. Three dogs with incomplete margins did not recur after 292, 848 and 1079 days. In 12
250 (46%) dogs, NR was registered after a median of 199 days (range, 50-663), while 5 (19%) dogs
251 developed DR after a median of 63 days (range, 34-320). Similarly to dogs in the low-grade
252 group, the lymphocenter closest to the previously removed LN(s) was found involved in those
253 experiencing NR. Overall, tumor progression was registered in 17 (65%) dogs. Three dogs
254 developed concurrent LR and NR, and one dog developed concurrent NR and DR. Median TTP
255 was 214 days (95% CI, 154-274).

256 Of the 17 dogs with progressive disease, 8 (47.1%) received additional treatment, consisting in
257 surgery (n=1); lomustine (n=1); toceranib (n=3), radiation therapy and lomustine (n=1),
258 radiation therapy and toceranib (n=1), and toceranib and vinblastine (n=1). The median time to
259 death was 160 days (range, 32-602) for these dogs and 26 days (range, 1-144) for the dogs not
260 receiving additional treatment.

261 At data analysis closure, 8 (31%) dogs were alive, 3 (12%) had died because of tumor-unrelated
262 causes, and 15 (58%) had died because of MCT-related causes. Median TSS was 545 days (95%
263 CI, 187-902).

264 According to the Kaplan-Meier method with log rank comparisons (Figures 1,2), TTP and TSS
265 were significantly shorter in the high-grade group than in the low-grade group, with a P value
266 lower than 0.001 in both cases.

267 Univariable analysis using Cox proportional hazards regression model are presented in Table
268 2. Variables associated with increased risk of tumor progression were age \geq 8.5 years (HR: 3.5;
269 P=0.006), female sex (HR: 2.6; P=0.029), presence of clinical signs (HR: 6.5; P=0.001), high-
270 grade (HR: 5.8; P<0.001), and incomplete margins (HR: 2.3; P=0.039). Age \geq 8.5 years (HR:
271 3.4; P=0.011), female sex (HR: 2.8; P=0.029), presence of clinical signs (HR: 7.3; P=0.001),
272 high-grade (HR: 6.2; P<0.001), and incomplete margins (HR: 2.9; P=0.014) were significantly
273 associated with an increased risk of tumor-related death.

274 On multivariable analysis (Tables 3, 4), histologic high-grade and incomplete margins were the
275 only variables retaining prognostic significance for both tumor progression and tumor-specific
276 death.

277

278

279 **Discussion**

280 One of the best-established prognostic factors in canine cMCT is histologic grade, which
281 represents the morphological assessment of tumor biologic characteristics and has been shown
282 to be able to generate important information related to the biologic behavior.¹ Although several
283 studies have shown that histologic grade is useful for predicting patient survival,^{1,2,4,16} the basic
284 problem remains that the prognostic value of histologic grade has been studied in series of dogs
285 that are heterogeneous in terms of clinical stage and treatment.¹⁷

286 Thus, the present study evaluated the prognostic value of histologic grade in dogs with cMCTs
287 and at least one overtly metastatic (HN3) LN concerning tumor progression and TSS. To do so,
288 the study was conducted on a homogeneous population of dogs undergoing complete staging
289 and a multimodal treatment, consisting of resection of the primary tumor, sentinel
290 lymphadenectomy and adjuvant medical treatment, for which long-term follow-up data were
291 available.

292 All parameters, which are currently applied during routine work-up as staging variables or
293 retrieved after surgery, and are ultimately used to define possible postoperative treatment, were
294 evaluated, including signalment, presence of clinical signs, tumor anatomic location, size,
295 ulceration, and surgical margins.

296 In this population of dogs, histologic grade and the status of surgical margins significantly
297 correlated with outcome, as at the same clinical stage, dogs with low-grade cMCTs and those
298 with complete surgical margins had a better outcome.

299 The importance of initial free resection margins has been reported elsewhere.^{18,19} Scar re-
300 excision or radiation therapy was offered in the case of incomplete surgical margins; however,
301 reintervention was declined by all owners. Notably, in the low-grade group only 2 out of 9
302 (22.2%) dogs with incomplete margins recurred, and this local recurrence rate does not differ
303 much from the one obtained after re-excision or radiation therapy.^{18,20} Conversely, 6 out of 10
304 (60%) dogs with high-grade cMCTs and incomplete surgical margins recurred. This is in
305 accordance with the literature, reporting a significantly higher risk of local recurrence in high-
306 grade cMCTs compared with low-grade tumors with equal surgical margins.²¹

307 In the current series of dogs with overtly metastatic nodal disease, overall prognosis was not
308 unfavorable, not only for dogs with low-grade cMCTs, but also for those with high-grade
309 cMCTs, with a median TSS >500 days in the latter group, despite a higher proportion of
310 ulcerated tumors and systemic signs. This was surprising, as metastatic high-grade cMCTs have
311 been historically considered aggressive and basically incurable.

312 Unfortunately, it is difficult to fully compare our data with historical data, because many
313 published studies have not evaluated a homogeneous patient population, rather have included
314 different grades, different stages and different treatments with no stratification tentative.

315 One of the main differences in the therapeutic approach between the dogs reported in the present
316 study and previously published populations was the focus on obtaining a good regional control,
317 by surgically removing all potentially involved LNs. In the study by Krick *et al.* local/loco-
318 regional control was not a significant prognostic factor for dogs with grade 2 and 3 cMCTs and
319 the median survival time of dogs with stage II tumors was less than one year. However, the
320 treatments received by the dogs in that study were extremely heterogeneous and poorly detailed,
321 and no definition of loco-regional treatment was provided.²²

322 More recent publications demonstrated that, if treated appropriately, a metastatic LN does not
323 necessarily implicate a worse prognosis;^{23,24} and there is increasing evidence that an adequate
324 loco-regional intervention translates into an effective tumor control and improved outcome.^{10,18}

325 Thus, this should be a primary aim in the treatment of cMCTs, even more so in high-grade
326 tumors. Given the survival results obtained in the present study, it would seem prudent to
327 recommend surgical removal of the sentinel LNs identified based on mapping procedure, at
328 least of those that are easily accessible. In comparison, radiation therapy may increase costs and
329 prolongs the duration of the overall treatment, in addition to logistic issues regarding the limited
330 availability of radiation therapy facilities. Also, irradiation of regional LNs without LN
331 mapping exposes the patient to an untargeted treatment with possible side effects, may leave
332 disease behind and obviously the histopathologic status (HN1 vs HN2 vs HN3) cannot be
333 determined confidently.

334 Furthermore, according to the literature, outcome of high-grade tumors is improved if a
335 multimodal treatment is carried out. If treated by surgery only at the level of the primary tumor
336 (with no LN removal), high-grade cMCTs have been associated with a median ST of <4
337 months.¹ The addition of chemotherapy to surgery significantly improved outcome. In an early

338 study, dogs with high-grade cMCTs of different clinical stages (I-II) undergoing surgery and
339 chemotherapy had a median progression-free survival and overall survival of 133 and 257 days,
340 respectively.⁸ In a more recent study, 16 dogs with high-grade cMCT and LN metastasis that
341 underwent surgical excision of the primary tumor, irradiation of the metastatic LN and
342 chemotherapy, had a median PFS and OS of 125 and 330 days, respectively.¹⁷

343 When evaluating dogs with low-grade cMCTs, median TTP and TSS were not reached, and
344 few events occurred. Those events that did occur, did so late in the disease course. It has been
345 retrospectively shown that dogs with low-grade cMCTs and low-volume metastatic nodal
346 disease (HN2) do not need adjuvant chemotherapy.¹⁰ To avoid treatment-related bias, in this
347 series of dogs, adjuvant medical treatment was always administered, but it is currently unknown
348 whether chemotherapy is really necessary in the case of low-grade cMCTs and HN3 LN, if both
349 the primary tumor and the metastatic node are removed.

350 This important observation provides further insight into the appropriate management strategies
351 of dogs with cMCTs. High-grade tumors, with their risk of early recurrence and death, require
352 consideration for prompt use of adjuvant chemotherapy, whereas dogs with low-grade cMCTs
353 could be offered a long-term follow-up without chemotherapy. This needs to be confirmed in
354 future prospective trials.

355 Additionally, based on the current findings, the oncology community should make the effort to
356 revise the current WHO clinical staging system, which has not been updated for decades, by
357 including histologic grade in the staging criteria aimed at allocating patients to risk groups and
358 offering guidance to therapy. We believe that treatment decisions based on the WHO staging
359 system, which measures the anatomic extent of the tumor, can be improved by the addition of
360 histologic grade, which measures the intrinsic biologic features of the tumor and reflects the
361 potential of a cMCT to metastasize or cause death. Integration of histologic grade into the
362 staging system has been accepted for many human cancers, including breast carcinoma and
363 osteosarcoma.²⁵⁻²⁷ For cMCTs, the maximum benefit of grade assessment would be in dogs

364 with WHO stage I to III disease. According to a recent prospective study, histologic grade had
365 no prognostic relevance in dogs with stage IV disease. Indeed, in dogs with ascertained visceral
366 metastases, therapy and prognosis did not change according to the histologic grade.²⁸

367

368 This study was limited by its retrospective design within this bi-center trial and relatively small
369 size of cases.

370 Further limitations should be noted.

371 First, the identification of sentinel LNs was not standardized. Sentinel LNs are more frequently
372 multiple rather than single. Thus, tumors may be drained by multiple LNs within a single basin
373 or by multiple basins, thereby complicating the recognition and, consequently, the management
374 of the sentinel LN(s).²⁹ In the current study, peritumoral injection of methylene blue was used
375 to identify sentinel LNs. Even if a careful search was made for blue lymphatic channels leading
376 to blue-stained LNs, a single LN was more often removed. It cannot be excluded that additional
377 sentinel LNs were unrecognized and left behind.

378 While debate exists regarding the optimal technique for sentinel LN mapping, the use of dual
379 methods (dye and advanced imaging) has been suggested to optimize sentinel LN detection.^{13,30-}
380 ³¹ However, in routine clinical practice, LN mapping is often restricted to methylene blue dye,
381 mainly due to logistic issues related to radioisotopes, technical challenges and financial
382 constraint.

383 In people with various types of cancer, the presence of an overly metastatic LN advocates for
384 further nodal dissection, as it impacts prognosis.³²⁻³⁴ Although not reported in the veterinary
385 literature, it is likely that the number of HN3 LNs also influences prognosis. For many human
386 cancers, the number of positive LNs is included in the definition of the N categories within the
387 TNM staging system, and the N status shows significant correlation with patient prognosis,
388 dictating the need for further nodal dissection.³⁵⁻³⁷ The same may hold true for many canine

389 solid cancers, including cMCTS. Future studies are warranted to explore the optimal number
390 of LN resection for accurate staging and more survival benefits.

391 Second, dogs that did not have adequate tumor control were included in the study. While there
392 is plenty of data supporting that adequate local control improves prognosis,¹⁸⁻²⁰ re-excision of
393 the surgical scar or radiation therapy are not always accepted by owners, thus our population of
394 dogs better reflected daily routine. Also, the two groups were well balanced in terms of
395 completeness of margins.

396 Third, more than 40% of the dogs with disease progression received additional treatments,
397 which have prolonged survival and may have affected the analysis of prognostic factors.

398 Fourth, mutational status and Ki67 proliferation activity were not routinely performed.
399 Proliferation markers and the presence of ITD mutations may have added important prognostic
400 information.

401 Last, we decided to only grade cMCTs according to Kiupel, as it has been shown that the
402 Patnaik grading system is suboptimally reproducible between different pathologists.^{38,39} It may
403 be possible that different combinations between the two grading systems may further improve
404 prognostication, as already published.⁴

405 In conclusion, the results of the current study have unraveled additional characteristics of cMCT
406 biology and have provided further evidence that the biologic features captured by histologic
407 grade are important in determining tumor behavior and in providing predicting tools in clinical
408 practice, even in the presence of other negative prognostic factors.

409

410

411 **Data availability Statement**

412 The data that support the findings of this study are available from the corresponding author
413 upon reasonable request.

414

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416 **References**

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Table 1. Demographic information and distribution of variables potentially associated with prognosis of 60 dogs with cutaneous mast cell tumors and overtly metastatic regional lymph node(s). Differences in data distribution were assessed with Chi-square test/Fisher's exact test (categorical variables) or Mann-Whitney U test (continuous variables).

Variable	Low grade cMCTs (n = 34)	High grade cMCTs (n = 26)	P
Breeds predisposed to biologically aggressive cMCTs			
<i>Yes</i>	7 (20.6%)	2 (7.7%)	0.166
<i>No</i>	27 (79.4%)	24 (92.3%)	
Sex			
<i>Male</i>	16 (47.1%)	14 (53.8%)	0.602
<i>Female</i>	18 (52.9%)	12 (46.2%)	
Age (years)			
<i>Median (range)</i>	7 (3-13)	10 (3-14)	<0.001*
Weight (kg)			
<i>Median (range)</i>	18.5 (1.9-42.0)	23.0 (4.9-50.0)	0.416
Substage			
<i>a</i>	33 (97.1%)	22 (84.6%)	0.084
<i>b</i>	1 (2.9%)	4 (15.4%)	
Anatomic location			
<i>Trunk, limbs</i>	12 (35.3%)	11 (42.3%)	0.580
<i>Others</i>	22 (64.7%)	15 (57.7%)	
Diameter (cm)			
<i>Median (range)</i>	2.4 (0.5-9.0)	2.0 (1.0-12.0)	0.614
Ulceration			
<i>Yes</i>	13 (38.2%)	12 (46.2%)	0.538
<i>No</i>	21 (61.8%)	14 (53.8%)	
Number of removed LN(s)			
<i>1</i>	22 (64.7%)	13 (50.0%)	0.252
<i>>1</i>	12 (35.3%)	13 (50.0%)	
Margins			
<i>Complete</i>	25 (73.5%)	16 (61.5%)	0.322

<i>Incomplete</i>	9 (26.5%)	10 (38.5)	
Number of HN3 LN(s)*			
<i>1</i>	5 (38.5%)	7 (53.8%)	0.431
<i>>1</i>	8 (61.5%)	6 (46.2%)	

41. *: percentage calculated on the total number of dogs having >1 LNs removed.

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Table 2. Univariable Cox regression analysis of variables potentially associated with increased risk of tumor progression and tumor-specific survival in 60 cutaneous mast cell tumors and overtly metastatic regional lymph node(s).

Variable	Tumor progression		Tumor-specific death	
	Hazard Ratio (95% CI)	<i>P</i>	Hazard Ratio (95% CI)	<i>P</i>
Breed predisposed to biologically aggressive cMCTs	0.94 (0.32-2.76)	0.905	0.68 (0.20-2.32)	0.535
Female sex	2.60 (1.10-6.12)	0.029*	2.79 (1.11-6.98)	0.029*
Age >8.5 years	3.51 (1.44-8.58)	0.006*	3.41 (1.32-8.80)	0.011*
Weight >20.0 kg	1.53 (0.68-3.45)	0.306	1.05 (0.45-2.49)	0.906
Substage b	6.55 (2.16-19.83)	0.001*	7.29 (2.34-22.76)	0.001*
Biologically aggressive anatomic location	0.89 (0.40-2.02)	0.788	0.79 (0.33-1.88)	0.590
Tumor diameter ≥3 cm	1.35 (0.60-3.06)	0.467	1.58 (0.66-3.77)	0.304
Ulcerated tumor	1.97 (0.88-4.39)	0.100	1.88 (0.80-4.45)	0.148
Removal of >1 LN	1.54 (0.67-3.50)	0.309	1.26 (0.51-3.13)	0.613
High grade tumor	5.79 (2.31-14.50)	<0.001*	6.18 (2.29-16.70)	<0.001*
Incomplete margins	2.34 (1.04-5.23)	0.039*	2.94 (1.25-6.95)	0.014*
Presence of >1 HN3 LN†	2.04 (0.83-5.01)	0.122	2.52 (0.93-6.84)	0.070

558 Abbreviations: CI, confidence interval. *significant
559 † evaluated in dogs having >1 LN removed.

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561 **Table 3.** Multivariable Cox regression analysis for risk of tumor progression. Significant variables
 562 at univariable analysis were included in the model.

Variable	Tumor progression	
	Hazard Ratio (95% CI)	P
Female sex	1.72 (0.71-4.19)	0.231
Age >8.5 years	2.18 (0.78-6.05)	0.135
Substage b	2.35 (0.70-7.86)	0.166
High grade tumor	3.30 (1.19-9.15)	0.022*
Incomplete margins	2.40 (1.03-5.63)	0.044*

575 Abbreviations: CI, confidence interval. *significant

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 577 **Table 4.** Multivariable Cox regression analysis for risk of tumor-specific death. Significant
 578 variables at univariable analysis were included in the model.

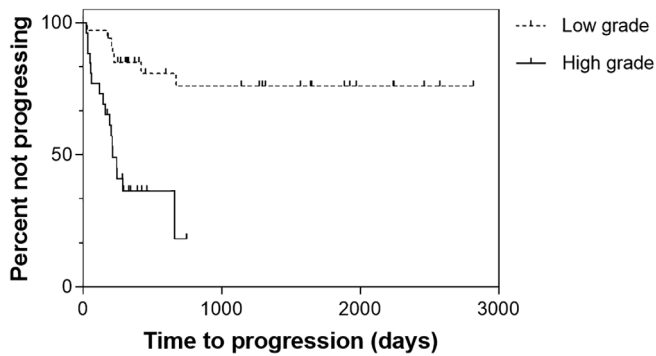
Variable	Tumor-specific death	
	Hazard Ratio (95% CI)	P
Female sex	1.96 (0.78-4.94)	0.154
Age >8.5 years	2.52 (0.82-7.72)	0.106
Substage b	2.51 (0.75-8.40)	0.134
High grade tumor	3.44 (1.19-10.00)	0.023*
Incomplete margins	2.78 (1.11-7.01)	0.030*

591 Abbreviations: CI, confidence interval. *significant

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593 Figure.

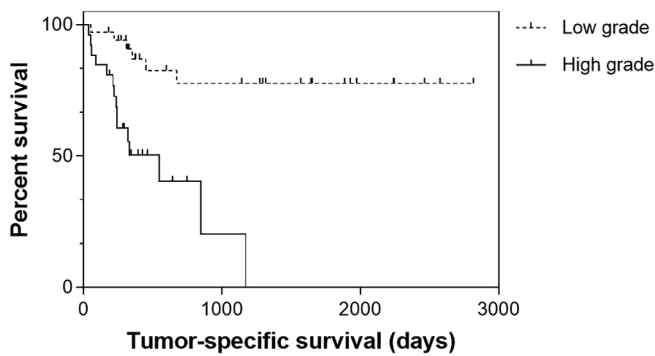
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596 **Figure 1.** Time to progression (TTP) for 60 dogs with cMCT and HN3 sentinel lymph node
597 undergoing surgical excision of the primary tumor, lymphadenectomy and chemotherapy. TTP
598 is significantly shorter for dogs with high-grade cMCT (solid line) than for dogs with low-grade
599 tumors (dashed line, $P < 0.001$).

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602 **Figure 2.** Tumor-specific survival (TSS) for 60 dogs with cMCT and HN3 sentinel lymph node
603 undergoing surgical excision of the primary tumor, lymphadenectomy and chemotherapy. TSS
604 is significantly shorter for dogs with high-grade cMCT (solid line) than for dogs with low-grade
605 tumors (dashed line, $P < 0.001$).

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