

# Paleoceanographic inferences from benthic foraminifera across the early Aptian Ocean Anoxic Event 1a in the western Tethys

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16 paleoceanography.

17

18 Abstract

19 The paleoenvironmental impact of the early Aptian Ocean Anoxic Event 1a (OAE 1a, ca. 121  
20 Ma) has been investigated in detail in the Cismon Core (Lombardy Basin, western Tethys) by using  
21 different geochemical and micropaleontological proxies. We provide the first high-resolution data of  
22 benthic foraminiferal assemblages through the upper Barremian - lower upper Aptian stratigraphic  
23 interval. Benthic foraminifera data are integrated with calcareous nannofossil and planktonic  
24 foraminifera records to create a comprehensive characterization of bottom and surface waters across

25 OAE 1a. Benthic foraminiferal communities are indicative of a marked change in bottom-waters  
26 around the “nannoconid decline” (latest Barremian) due to increased flux of organic matter to the  
27 seafloor and intermittent dysoxic conditions probably promoted by pulses of higher productivity during  
28 the initial Greater Ontong Java Event (GOJE). Benthic foraminifera experienced a marked crisis in  
29 abundance (“benthic foraminiferal crisis” BFC) ca. 35 kyr before the OAE 1a, in correspondence with  
30 the “nannoconid crisis” and the onset of the most intense GOJE phase. The literature survey shows that  
31 the BFC is commonly recorded before the OAE 1a onset in several stratigraphic sections worldwide,  
32 and therefore, it is here proposed as a global event. At Cismon, deep-water anoxia was reached at the  
33 OAE 1a onset and lasted for ca. 300 kyr, promoted by higher productivity and eventually enhanced  
34 water stratification during the super-greenhouse climate. The continuation of OAE 1a was marked by a  
35 benthic foraminiferal repopulation event, probably resulting from the influx of relatively cooler and  
36 oxygenated waters. In turn, the OAE 1a was marked by intermittent anoxic to dysoxic conditions,  
37 likely in response to primary productivity sustained by N-fixing bacteria. The distribution and  
38 abundance of benthic foraminifera documented in other sections across the Selli Level equivalent show  
39 different features that point to local factors such as paleodepth and increased runoff. The post-OAE 1a  
40 was characterized by dysoxic conditions coupled with moderate organic matter flux to the seafloor. At  
41 the Cismon site and worldwide, the termination of OAE 1a coincided with the return of relatively more  
42 abundant benthic taxa in response to the restoration of favorable conditions allowing the development  
43 of diversified benthic foraminiferal communities.

44

## 45 **1. Introduction**

46 The Cretaceous was punctuated by episodes of widespread deposition of organic-rich sediments  
47 (black shales) in the oceans and epicontinental seas, named Oceanic Anoxic Events (OAEs; Schlanger  
48 and Jenkyns, 1976), representing major alterations in the global carbon budget (Jenkyns, 2010). The

49 early Aptian OAE 1a (ca. 120.7 Ma, Malinverno et al., 2012) coincided with global paleoclimatic and  
50 paleoenvironmental perturbation that lasted for ca. 1.1 Myr (Malinverno et al., 2010) and probably  
51 triggered by volcanogenic CO<sub>2</sub> emissions associated with the Greater Ontong Java Event (GOJE, e.g.,  
52 Larson, 1991; Erba, 1994; Bralower et al., 1994; Larson and Erba, 1999; Jones and Jenkyns, 2001;  
53 Leckie et al., 2002; Jenkyns, 2003; Méhay et al., 2009; Tejada et al., 2009; Bottini et al., 2012, 2015;  
54 Erba et al., 2015; Naafs et al., 2016; Percival et al., 2021). The release of methane hydrates has been  
55 proposed as an additional source of <sup>12</sup>C-enriched carbon (Beerling et al., 2002; van Breugel et al., 2007;  
56 Méhay et al., 2009; Malinverno et al., 2010; Adloff et al., 2019), which possibly further contributed to  
57 the negative carbon isotope anomaly at the OAE 1a onset (e.g., Weissert, 1989; Weissert and Lini,  
58 1991; Jenkyns, 1995; Bralower et al., 1999; Erba et al., 1999, 2015; Luciani et al., 2001; Price, 2003;  
59 Ando et al., 2008; Méhay et al., 2009; Malkoç et al., 2010; Stein et al., 2011; Bottini et al., 2012, 2015;  
60 Lübke and Mutterlose, 2016; Frau et al., 2018). The positive excursion that follows the negative shift  
61 has been associated with an enhanced burial of organic carbon in marine sediments, either after  
62 increased surface-water productivity or preservation of organic matter (e.g., Arthur et al., 1987, 1988;  
63 Schlanger et al., 1987; Jenkyns, 2010; Robinson et al., 2017). These conditions led to the deposition of  
64 marine carbon-rich sediments (primarily black shales) known as the Selli Level in the Tethys (Coccioni  
65 et al., 1989), Niveau Goguel in France (Bréhéret, 1988) and Fischschiefer in the Lower Saxony Basin  
66 (Mutterlose and Böckel, 1998). To date, there is a comprehensive characterization of surface-water  
67 conditions during OAE 1a in the western Tethys (Premoli-Silva et al., 1999; Erba, 2004; Erba et al.,  
68 2019 and references therein), and there is a general understanding of bottom-water evolution across  
69 OAE 1a, including oxygenation and organic carbon flux (e.g., Kuypers et al., 2004; Pancost et al.,  
70 2004). In this regard, some indications are provided by benthic foraminifera, which are controlled by  
71 oxygen and food availability in bottom-waters (e.g., Sliter and Barker, 1972; Nyong and Olsson, 1984;  
72 van Morkhoven et al., 1986). In particular, studies on Tethyan sites (Cobianchi et al., 1999; Coccioni et

73 al., 2006; Michalík et al., 2008; Patruno et al., 2015; Józsa et al., 2016) and sections worldwide  
74 (Elkhazri et al., 2013; Bargen and Lehmann, 2014; Zorina et al., 2017) are suggestive of a decrease in  
75 benthic foraminifera abundance before OAE 1a. Several locations show scarce or absent benthic  
76 specimens during OAE 1a, possibly, in response to a major paleoenvironmental perturbation at the  
77 seafloor (Mutterlose and Böckel, 1998; Cobianchi et al., 1999; von Bargen and Lehmann, 2014).  
78 However, the relatively low sampling resolution adopted in these studies and/or the absence of a  
79 continuous benthic foraminiferal record through OAE 1a hamper a comprehensive characterization of  
80 the benthic foraminiferal response to OAE 1a. This is also verified in the Selli Level in the proposed  
81 stratotype in the Umbria-Marche Basin (Gorgo a Cerbara section, central Italy), which lacks benthic  
82 foraminifera (Patruno et al., 2015). Conversely, the Selli Level of the Cismon Core (Lombardy Basin,  
83 western Tethys, Italy) contains benthic foraminifera and, therefore, is ideal for your investigation  
84 through OAE 1a.

85 In this work, we performed a high-resolution investigation of the upper Barremian to lower upper  
86 Aptian stratigraphic interval of the Cismon Core, intending to (a) reconstruct the changes in the  
87 bottom-water oxygen conditions and organic carbon fluxes; (b) infer the paleobathymetry of the upper  
88 Barremian-lower upper Aptian sedimentary sequence of the Cismon Core; (c) assess the impact of the  
89 paleoceanographic perturbation associated with OAE 1a on the benthic foraminiferal communities; (d)  
90 integrate the benthic foraminiferal record with the calcareous nannofossil and planktonic foraminiferal  
91 datasets to produce a model of bottom- to surface-water changes across the OAE 1a in the Belluno  
92 Basin; and (e) compare the benthic foraminiferal assemblages of the Cismon Core with the records  
93 previously published from other localities to provide a comprehensive model of local vs. global  
94 paleoenvironmental perturbations across OAE 1a.

95

## 96 **2. Geological setting and lithology**

97       The Cismon Core was drilled in the Venetian Prealps (Southern Alps, NE Italy; Fig. 1), west of  
98 Feltre (Belluno Basin), along the Passo Rolle road at km 52.6 (46°02'43.46" N; 11°45'46.85" E; 398 m  
99 altitude). The studied interval covers 38.75 m and is represented by the Biancone Formation (upper  
100 Barremian to lowermost Aptian) and the Scaglia Variegata Formation (lower to upper Aptian).  
101 Lithostratigraphically, the Biancone Formation, a local equivalent of the Maiolica Limestone, consists  
102 of dominant limestones with intercalated black shales, and radiolarian-rich layers. The Scaglia  
103 Variegata Formation is characterized by marlstones, marly limestones, black shales and radiolarian  
104 beds (e.g., Erba and Larson, 1998; Erba et al., 1999).

105       The Selli Level equivalent corresponds to the OAE 1a in the Cismon Core and is found in the  
106 Scaglia Variegata Formation between 23.67 and 18.77 m (Fig. 2). The Selli Level is lithologically  
107 subdivided into three intervals: i) the lower interval (23.67 – 22.36 m) is constituted by marlstones with  
108 frequent black shales and a few discrete radiolarian beds; ii) a middle interval (22.36 – 20.41 m)  
109 consisting of limy marlstones with rare black shales and radiolarian beds; and iii) an upper interval  
110 (20.41 – 18.77 m) characterized by alternating marlstones, common radiolarian beds and black shales  
111 (Erba et al., 1999; Premoli-Silva et al., 1999). A negative  $\delta^{13}\text{C}_{\text{carb}}$  shift (1 ‰) in the carbonate fraction  
112 characterizes the base of the Selli Level and is followed by a complex  $\delta^{13}\text{C}_{\text{carb}}$  excursion (Menegatti et  
113 al., 1998; Erba et al., 1999; Bottini et al., 2015).

114       The sedimentary sequence was deposited on the Tethys southern margin (Fig. 1), on the eastward  
115 deepening slope between the Trento Plateau and the Belluno Basin, at an estimated paleo-depth of  
116 1000–1500 m during the Early Cretaceous (Weissert and Lini, 1991; Erba and Larson, 1998; Bernoulli  
117 and Jenkyns, 2009; Erba et al., 2010).

118

119 **3. Materials and methods**

120 A total of 159 samples were studied for benthic foraminifera in the interval from 46.75 m to 8.00  
121 m (Fig. 2), dated as the latest Barremian to early late Aptian (Erba et al., 1999). The benthic  
122 foraminiferal studied samples are the same as those investigated by Premoli Silva et al. (1999) and  
123 Barchetta (2015) for planktonic foraminifera. The sampling resolution varies from 5 to 50 cm in the  
124 interval from 8 m to 31.29 m and from 12 to 170 cm in the lower part (31.29 m – 46.75 m). The studied  
125 samples include different lithologies such as marly layers, radiolarian beds, marly limestones, and  
126 black shales. Following the results of Premoli Silva et al. (1999), the radiolarian beds often contain  
127 well preserved and relatively abundant planktonic and benthic foraminifera specimens, whereas the  
128 marly layers and black shales may have rare and poorly preserved specimens.

129 Originally, samples were prepared using two methods according to the lithology (Premoli Silva et  
130 al., 1999). Softer sediments were soaked in hydrogen peroxide for a few hours and then sieved through  
131 a 45- $\mu$ m mesh-size to get foraminifera, while hard-siliceous samples were soaked in a 10%  
132 hydrochloric solution and sieved through a 63- $\mu$ m mesh-size to extract radiolaria (Premoli Silva et al.,  
133 1999; Barchetta, 2015). The whole residues of the size-fraction > 63- $\mu$ m were analyzed, and all benthic  
134 foraminifera were picked in each sample. Subsequently, all specimens were identified at genus and  
135 species level, counted, and stored in Plummer slides. Thin sections studied by Premoli Silva et al.  
136 (1999) and Barchetta (2015) were not investigated in this work because benthic foraminifera are too  
137 small to be confidently identified at species and genus level.

138 Absolute abundances of benthic foraminifera were calculated after weighing the washed residues,  
139 and the results are reported as Benthic Foraminiferal Number (BFN), corresponding to the number of  
140 individuals per gram of washed residue (n/g) in each sample. Species richness (S), Dominance (D), and  
141 Shannon diversity (Hs; Shannon and Weaver, 1949) were calculated for each sample using the PAST  
142 software (Hammer et al., 2001). A confidence interval (CI: 95%) based on the Clopper-Pearson method  
143 (e.g., Suchéras-Marx et al., 2019) was applied to the benthic foraminiferal assemblages using the PAST

144 software (Hammer et al., 2001). This method assesses the statistical reliability of the analyzed data to  
145 give a credible interpretation when the populations are variable. If the relative abundance is low, the CI  
146 is wider, whereas high relative abundance values display a narrower CI (Fig. 2).

147 Benthic foraminifera were identified at the species level when possible, following the taxonomy  
148 by Ellis and Messina (1940-2015), Mjatliuk (1988), Weidich (1990), Meyn and Vespermann (1994),  
149 Holbourn and Kaminski (1997), and Patruno et al. (2015). The most common taxa identified in the  
150 Cismon Core were photographed using the Scanning Electron Microscopy (Jeol JSM-IT500) at the  
151 Department of Earth Sciences of the Università degli Studi di Milano. Benthic foraminiferal taxa were  
152 subdivided into two different morphogroups according to their life preferences: infauna and epifauna  
153 (e.g., Koutsoukos, 1989; Murray and Alve 1999; Jorissen et al., 2007). A third group called epifauna–  
154 infauna is here distinguished and includes opportunistic benthic foraminifera taxa adapted to variable  
155 ecological niches and changing environmental conditions. We adopted the benthic foraminifera  
156 paleobathymetric subdivision proposed by Nyong and Olsson (1984) and van Morkhoven et al. (1986)  
157 as follows: inner-neritic (IN: 0 – 50 m), middle-neritic (MN: 50 – 100 m), outer-neritic (ON: 100 – 200  
158 m), upper bathyal (UB: 200 – 500 m), middle bathyal (MB: 500 – 1000 m), and lower bathyal (LB:  
159 1000 – 2000 m).

160 Planktonic foraminiferal absolute abundance data and species richness are here revised after  
161 Premoli Silva et al. (1999) and Barchetta (2015). Absolute abundances in the washed residues (PFNwr)  
162 were calculated as the number of individuals per gram of washed residue (n/g), whereas absolute  
163 abundances in thin sections (PFNts) were obtained by counting the individuals in 40 fields of view  
164 randomly chosen using a 125X magnification and corresponding to a total area of 80.4 mm<sup>2</sup>.  
165 Specimens analyzed in the washed residues are subdivided into morphogroups, according to the main  
166 morphologies as follows: planispiral (genus *Globigerinelloides*, including species with globular and  
167 radially elongate chambers; Verga and Premoli Silva, 2003a, 2003b, 2005), pseudo-planispiral (genus

168 *Leupoldina* characterized by possessing radially elongate chambers ending with close, bulb-shaped  
169 extensions; Verga and Premoli Silva, 2002) and trochospiral taxa (genera *Hedbergella*, *Lilliputianella*,  
170 *Gorbachikella* and *Gubkinella* characterized by having globular to radially elongate chambers; see  
171 Huber et al., 2016 and references therein).

172

#### 173 **4. Results**

##### 174 **4.1 Benthic foraminiferal abundance and diversity**

175 A total of 73 taxa (29 genera and 44 species) of benthic foraminifera were identified in the upper  
176 Barremian – upper Aptian interval of the Cismon Core (Supplementary Table S1). The BFN ranges  
177 from 1/g to 323/g, with an exception in sample 44.53 m that contains 1359/g (Fig. 2). Samples  
178 comprised between the base of the studied interval (46.75 m) and the base of the Selli Level (23.67 m)  
179 show an average BFN of 67/g. Within the Selli Level, the interval between 23.67 m and 21.89 m is  
180 barren of benthic foraminifera, while the overlaying interval of the Selli Level from 21.77 m to 18.83 m  
181 shows an average BFN of 3/g. The BFN above the Selli Level (18.43 to 8.20 m) is relatively low, with  
182 an average value of 12/g. The S fluctuates between 1 taxon in most samples and a maximum of 26 taxa  
183 in sample 15.98 m. The average S value in the studied section is 4 taxa (Fig. 2). The D ranges from  
184 0.12 to 1 (Fig. 2): low values were mainly recorded in the upper Barremian (from 46.75 m to 32.30 m).  
185 Higher values are characteristic of the lower Aptian interval (below the Selli Level, from 27.19 m to  
186 24.33 m), and the samples from above the barren interval, until the top of the studied stratigraphic  
187 section (from 21.77 m to 8.87 m). The H<sub>S</sub> shows values from 0 to 2.2 (Fig. 2): most samples display  
188 low values, especially in the lower Aptian below the Selli Level (from 29.06 m to 23.66 m) and above  
189 the barren interval till the top of the studied stratigraphic section (from 21.77 m to 8.87 m).

190 Agglutinated foraminifera (AF) are recorded in 82% of the studied samples and constitute the  
191 most abundant group, varying from 1/g to 1365/g in abundance. Higher abundance values are detected

192 in the upper Barremian (from 46.75 m to 39.65 m), with a peak in sample 44.53 m containing 1365/g.  
193 Lower abundances of AF are observed from 21.77 m to the top of the studied interval. The calcareous  
194 benthic foraminifera (CF) are present in 66% of the samples, although with a limited abundance of 1/g  
195 (in most samples) to 55/g in sample 21.46 m. The relative abundance of CF ranges from 0.6% (35.02  
196 m) to 100% in most of the Selli Level samples (above the barren interval; Fig. 2).

197 The epifaunal morphogroup fluctuates from 1/g (16.44 m) to 704/g (44.53 m) and its relative  
198 abundance varies from 3.9% (30.63 m) to 100% (in samples 27.34 m, 26.07 m, 25.65 m, 25.25 m,  
199 24.64 m, 24.33 m, 11.02 m, 10.76 m). This morphogroup shows the highest abundances (up to 800/g)  
200 from 46.75 m to 24.12 m. A decrease in abundance of the epifaunal morphogroup is recorded in sample  
201 21.77 m, and the average abundance decreases toward the top of the studied interval. The exception is a  
202 peak value of 123/g at 15.98 m (Fig. 2). The infaunal morphogroup ranges from 1/g (16.44 m) to 133/g  
203 (26.59 m) and is more abundant in the upper Barremian and lowermost Aptian interval (mean value:  
204 16/g). Above the Selli Level, the infaunal morphogroup displays very low abundances, reaching a  
205 maximum value of 8/g (Fig. 2). The relative abundance of the infaunal morphogroup ranges from 1.2%  
206 (15.98 m) to 100%, the latter abundance being observed in samples 28.85 m, 24.99 m, 20.53 m, 19.68  
207 m, 19.01 m, 18.83 m, 9.72 m, and 9.31 m.

208 The opportunistic taxa included within the epifauna-infauna group fluctuate from 1/g (16.44 m)  
209 to 583/g (44.53 m). An increase in abundance (up to 583/g) of this group is recorded in the upper  
210 Barremian (44.53 m, 43.92 m, 43.41 m) and lower Aptian (15.98 m) interval. The relative abundance  
211 of this group ranges from 3.1% (30.85 m) to 100% (in samples 20.47 m, 20.27 m, 19.53 m, 17.08 m,  
212 18.86 m, 14.39 m; Fig. 2).

213 Six benthic foraminiferal intervals (BFI) were identified according to the variations in BFN, D,  
214 and Hs (Fig. 2). From the base to the top, the BFIs are described as follows:

- 215 • BFI-I (46.75 m – 42.48 m) is characterized by moderate to high BFN and moderate Hs and D.

- 216 • BFI-II (42.48 m – 30.85 m) shows lower BFN, contains a few barren samples, and displays  
217 intermediate Hs and low D.
- 218 • BFI-III (30.85 m – 24.12 m) displays slightly higher BFN, intermediate Hs, and higher D.
- 219 • BFI-IV (24.12 – 21.77 m) is characterized by a marked decrease in BFN and Hs, but relatively high  
220 D just below the base of the Selli Level, while benthic foraminifera are absent in the Selli Level.
- 221 • BFI-V (21.77 m – 18.77 m) shows low BFN with intercalated barren samples, low Hs, and high D.
- 222 • BFI-VI (18.77 m – 8.20 m) shows intermediate BFN with few barren samples and is characterized  
223 by moderate Hs and intermediate to high D.

224

225 **4.2 Composition of the benthic foraminiferal assemblages**

226 Agglutinated and calcareous benthic foraminifera, recorded in the Cismon Core (Figs. 2, 3, and  
227 4), were grouped according to their paleoecological affinities and abundances (Fig. 5 and Table 1).

228

229 **4.2.1 Agglutinated benthic foraminifera (AF)**

230 Two genera of agglutinated foraminifera are dominant in the Cismon Core (Fig. 5), *Rhizammina*  
231 and *Verneuilinoides*. The absolute abundance of *Rhizammina* ranges from 1/g (16.44 m) to 694/g  
232 (44.53 m). The highest abundances are recorded in the BFI-I (mean 85/g). The BFI-II shows lower  
233 abundance values of *Rhizammina*, although a minor increase is detected in the uppermost part of the  
234 interval. A few minor peaks are detected in the BFI-III, reaching 80/g (sample 32.30 m). The genus  
235 *Rhizammina* is absent in the Selli Level except for a few specimens found immediately above the  
236 barren interval. Low abundances of *Rhizammina* (mean value: 7/g) are recorded above the Selli Level  
237 (BFI-VI) except for a peak of 93/g observed at 15.98 m.

238       The genus *Verneuilinoides* is mainly represented by *Verneuilinoides* cf. *V. neocomiensis*, which  
239      displays an absolute abundance of 1/g (45.07 m) to 115/g (26.59 m). This species occurs only in the  
240      upper Barremian (BFI – I, II, and III). Peaks in abundance of *Verneuilinoides* cf. *V. neocomiensis* are  
241      recorded in a few samples from BFI-II (samples 36.66 m and 34.21 m) and BFI-III (samples 30.63 m,  
242      29.42 m, 26.82 m, and 26.59 m).

243       Other agglutinated foraminifera displaying intermediate to low absolute abundances are  
244      documented in the Cismon Core. The genus *Ammodiscus* (*A. cretaceous*, *A. infimus*) is characterized by  
245      low absolute values varying from 1/g (18.78 m) to 6/g (15.18 m). Peaks in abundance of *Ammodiscus*  
246      are reported: in BF-I, at the top of BFI-II, in the middle of BFI-III, and in BFI-VI. The genus  
247      *Bathysiphon* (*B. brosgei*, *B. vitta*) ranged from 1/g (18.78 m) to 10/g (44.53 m) and was sporadically  
248      found throughout all the BFIs. This genus reaches the highest abundance in BFI-I (44.53 m). The genus  
249      *Dorothia* ranges from 1/g (25.09 m) to 16/g (26.59 m). The most significant increases in abundance of  
250      *Dorothia* are observed in one sample of BFI-I (43.41 m) displaying 14/g and in BFI-III (26.82 m and  
251      26.59 m) with 10/g and 16/g, respectively.

252       *Glomospira charoides* and *Glomospira gordialis* are recorded in the BFI-I, BFI-II, and BFI-VI.  
253      *Glomospira charoides* displays low absolute abundances ranging from 2/g (BFI-I: 39.86 m) to 12/g  
254      (BFI-V: 15.98 m) and is the rarest taxon of the assemblages. On the contrary, *G. gordialis* displays  
255      more fluctuations in the absolute abundance with values comprised between 1/g (29.95 m) and 229/g  
256      (44.53 m). The maximum increases in abundance of *G. gordialis* are recorded in the BFI-I and BFI-II,  
257      but their peak in abundance is observed at 15.98 m (BFI-VI).

258       The genus *Haplophragmoides* (*H. kirki*, *H. gigas gigas*, *H. gigas minor*) was recorded in the BFI-  
259      I, BFI-II, BFI-III, and BFI-VI, varying in abundance values from 1/g (45.07 m, 35.02 m) to 120/g  
260      (43.41 m), and displaying peaks mainly in the BFI-I (46.75 m – 42.48 m). *Hippocrepina depressa*

261 shows low abundances ranging from 1/g (15.11 m) to 6/g (27.34 m) in the BFI-II, BFI-III, and BFI-VI.  
262 The highest abundance (6 /g; 27.34 m) of this species was recorded in the BFI-III.

263       The genus *Reophax* (*R. helveticus*, *R. liasicus*) displays low absolute abundances of 1/g (21.77 m)  
264 to 78/g (44.53 m). It is distributed through all BFIs, being more abundant in the BFI-I (44.53 m). The  
265 absolute abundance of Textularids shows values from 1/g (26.59 m) to 248/g (44.53 m) in the BFI-I,  
266 BFI-II, and BFI-III and registers the highest values in the BFI-I (44.53 m).

267       Other agglutinated taxa include *Ammobaculites* sp., *Binominella entis*, *Gaudryina dividens*,  
268 *Scherochorella minuta*, *Spiroplectinata lata*, *Tolypammina* sp., and *Tritaxia pyramidata*, all  
269 characterized by low absolute abundances (Supplementary Table S1).

270

#### 271 **4.2.2 Calcareous benthic foraminifera (CF)**

272       The genus *Astacolus* (*A. calliopsis*, *A. humilis*, *A. planiusculus*) was recorded in the BFI-I, BFI-  
273 III, BFI-V and BFI-VI intervals, with low absolute abundance values ranging from 1/g (17.78 m) to 6/g  
274 (15.98 m). This genus is recorded in the BFI-V, and BFI-VI (21.77 m – 8.20 m) within and above the  
275 Selli Level, with the highest abundance (6/g) observed at 15.98 m (Fig. 5).

276       *Gavelinella barremiana* displays intermediate absolute abundance, varying from 1/g (35.02 m) to  
277 45/g (26.82 m) across all BFIs. Increases in the abundance of *G. barremiana* were observed in the BFI-  
278 III. Conversely, *Gavelinella intermedia* was detected in the BFI-V and is characterized by low absolute  
279 abundance values ranging from 1/g (18.90 m) to 12/g (15.98 m). *Gyroidina nítida* displays low  
280 abundances across the BFI-III, BFI-V and BFI-VI intervals and, particularly below and above the Selli  
281 Level, varying from 1/g (15.11 m) to 6/g (24.12 m). The genus *Lenticulina* (*L. macrodisca*, *L.*  
282 *muensteri*, *L. pulchella*, *L. subgaultina*, *L. turgidula*) was detected in all BFIs, showing intermediate  
283 abundance values from 1/g (45.07 m) to 47/g (21.46 m), with the highest abundance in the Selli Level  
284 (21.46 m).

285       The genus *Laevidentalina* (*L. distincta*, *L. linearis*, *L. soluta*) was exclusively observed in the  
286       BFI-III, BFI-V, and BFI-VI and displayed low absolute abundances ranging from 1/g (samples 21.23  
287       m, 20.87 m, 19.01 m, 16.91 m) to 6/g (30.33 m).

288       Other calcareous taxa, such as *Dentalina comunis*, *Dentalina gracilis*, *Dentalina guttifera*,  
289       *Globulina Prisca*, *Guttulina* sp., *Gyroidina globosa*, *Lingulina* sp., *Lingulonodosaria nodosaria*,  
290       *Nodosaria* sp., *Pleurostomella reussi*, *Pyrulina* sp., *Saracenaria* spp., *Stilostomella* sp., and  
291       *Vaginulinopsis* spp., are characterized by low absolute abundance (Supplementary Table S1).

292

## 293       **5. Discussion**

### 294       **5.1 Paleobathymetry of the Cismon Core based on benthic foraminifera**

295       Benthic foraminiferal habitats are defined by the abundance of genera and/or marker species that  
296       thrive at specific water depths. Cretaceous benthic foraminiferal assemblages are thought to indicate  
297       water depths, similar to modern ocean assemblage composition, and their association with mega- and  
298       microfauna, which are also linked to sedimentary features (Sliter and Barker, 1972). The taxa found in  
299       the Cismon Core are divided into four groups based on the maximum paleo-depth range reported in the  
300       literature (Table 2).

301       **Group 1** includes taxa documented down to abyssal settings (3000 m), such as agglutinated  
302       foraminifera *Rhizammina* and *Verneuilinoides neocomiensis* that were adapted to upper bathyal to  
303       abyssal paleo-water depths (200 m – 3000 m). *Dorothia*, *Ammodiscus*, *Astacolus*, and *Bathisypnion* are  
304       inferred to have populated inner neritic to abyssal settings (0 – >3000 m), while *G. charoides* (50 –  
305       3000 m) and *Gyroidina globosa* are documented from outer neritic to abyssal environments (100 –  
306       3000 m).

307       **Group 2** consists of taxa that lived in upper bathyal to lower bathyal environments (up to 2000  
308       m), such as *Lenticulina*, *Haplophragmoides*, and *Reophax*, that are supposed to have thrived between 0

309 – 2000 m. The genus *Gavelinella* and *G. gordialis* are interpreted to have thrived in outer neritic to  
310 lower bathyal (50 – 2000 m) environments.

311       **Group 3** includes taxa that live in lower bathyal environments (1500 m), such as *Gavelinella*  
312 *intermedia* and *Gyroidina nitida*.

313       **Group 4** includes taxa that live down to 1000 m, such as *Dentalina* (0 – 1000 m),  
314 *Laevidentalina*, and *Pleurostomella* (50 m – 1000 m).

315       The benthic foraminifera taxa of Group 2 and Group 3 are the most abundant in the studied  
316 interval and indicate a lower bathyal paleo-water depth of about 1500 m. The presence of taxa  
317 belonging to Group 4 eventually constrains the paleo-depth at the Cismon site to 1000 m (Table 2), but  
318 due to the rarity of taxa belonging to Group 4, we conclude that the Cismon site was probably  
319 deposited at a paleo-depth of between 1000 and 1500 m (lower bathyal). This result is consistent with  
320 previous studies, which indicated a paleo-water depth of 1000 – 1500 m (e.g., Weissert and Lini, 1991;  
321 Erba and Larson, 1998; Erba et al., 1999).

322       Among the taxa identified in the Cismon Core, remarkable is the identification of *Gavelinella*  
323 *barremiana* in the assemblages, which suggests that this species was probably adapted to thrive in  
324 deeper water than previously reported (middle neritic to upper bathyal; e.g., Koutsoukos, 1989;  
325 Riegraf, 1989; Frenzel, 2000; Tyszka, 2006).

326

## 327 **5.2 Bottom-water dynamics across OAE 1a**

328       The Cismon Core is characterized by epifaunal and infaunal benthic foraminifera, indicating  
329 variations in bottom-water conditions (nutrient and oxygen availability) during the late Barremian-early  
330 late Aptian time interval according to the TROX model (Trophic conditions and oxygen  
331 concentrations; Jorissen et al., 1995; De Stigter, 1996; van der Zwaan et al., 1999; Jorissen et al.,  
332 2007). In particular, benthic foraminifera developed different test morphologies (planispiral,

trochospiral, biserial, etc.) that are used to identify morphogroups corresponding to specific microhabitats (e.g., Corliss, 1985; Corliss and Chen, 1988; Koutsoukos and Hart, 1990; Tyszka, 1994) (Table 1). The epifaunal morphogroup is composed of benthic foraminifera that thrived under well-oxygenated conditions such as *Rhizammina* and *Gavelinella*. *Rhizammina* is considered an indicator of low organic-flux (Koutsoukos, 1989; Koutsoukos and Hart, 1990; Tyszka, 1994; Nagy et al., 1995; Kaminski and Kuhnt, 1995; van der Ekker et al., 2000; Rückheim et al., 2006; Patruno et al., 2015) and high oxygen conditions in different oligotrophic settings (Koutsoukos, 1989; Koutsoukos and Hart, 1990; Kaminski and Kuhnt, 1995; Szarek et al., 2000; Rückheim et al., 2006; Patruno et al., 2015). However, *Rhizammina* is also considered an opportunistic taxon as, in some records, there is evidence that it thrived under low oxygen content (e.g., Kaminski and Kuhnt, 1995; Cetean et al., 2008). *Gavelinella* also shows a wide range of habitats and has been interpreted to have thrived in oligotrophic-mesotrophic environments in both well and poorly oxygenated conditions (Koutsoukos, 1989; Koutsoukos and Hart, 1990; Friedrich and Erbacher, 2006; Friedrich and Hemleben, 2007).

Infaunal taxa are thought to tolerate oxygen-depleted conditions and, in some cases, high organic fluxes. The main component of the infaunal morphogroup is the agglutinated genus *Verneuilinoides* (e.g., Rückheim et al., 2006; Patruno et al., 2015), which was also recorded in well-oxygenated environments (Kuhnt, 1995; Szarek et al., 2000), and *Reophax* (Koutsoukos, 1989; Tyszka, 1994; van Den Akker et al., 2000; Rückheim et al., 2006; Reolid et al., 2008; Cetean et al., 2011; Reolid and Ruiz, 2012). The genera *Laevidentalina* (Holbourn et al., 2001; Friedrich and Hemleben, 2007; Koch and Friedrich, 2012) and *Pleurostomella* are thought to have inhabited low oxygen water masses (Koutsoukos, 1989; Frenzel, 2000; Kaiho, 1994; Holbourn et al., 2001).

Some taxa of the epifaunal or shallow infaunal morphogroups are considered opportunistic due to tolerated high- to low-oxygen conditions (Jorissen et al., 2007). This opportunistic groups include the genera *Haplophragmoides*, *Glomospira* (Kuhnt and Kaminski, 1989; Koutsoukos and Hart, 1990;

357 Rückheim et al., 2006; Cetean et al., 2008; Reolid et al., 2008), and *Lenticulina* (Koutsoukos, 1989;  
358 Tyska, 1994; Kaiho, 1994; Frenzel, 2000; Holbourn et al., 2001; Reolid et al., 2008; Koch and  
359 Friedrich, 2012).

360 The dominance of the genus *Rhizammina* in the upper Barremian (BFI-I) indicates temporary  
361 oxic–dysoxic conditions at the seafloor under low to moderate organic carbon flux (Figs. 2, 5, 6). In the  
362 BFI-II, benthic foraminifera experienced a decrease in abundance, also indicated by the presence of  
363 several barren samples and a reduction in Hs and D values. The BFI-II is also coeval with the decline in  
364 abundance of *Rhizammina* and increased abundances of *Verneuilinoides* and *Glomospira* (Figs. 5, 6),  
365 suggesting interludes of lower oxygen levels (dysoxic conditions) and increased organic carbon flux at  
366 the water-sediment interface.

367 Benthic foraminiferal assemblages in the BFI-I and BFI-II were investigated only in centimetric  
368 marly beds; therefore, the interpretation of the bottom water conditions does not refer to the limestones  
369 of the Biancone Formation, which dominate the BFI-I and BFI-II. Thus, the marly beds are attributed  
370 to the episodic reduction of oxygen concentrations in bottom water during generally well-oxygenated  
371 conditions. The further decrease in benthic foraminiferal abundance values, diversity, and dominance is  
372 interpreted as intermittent dysoxic conditions and high organic flux at the seafloor during the BFI-III  
373 (Figs. 2, 6). In particular, we infer from the benthic foraminiferal assemblages of BFI-III that, in the  
374 latest Barremian-earliest Aptian, oxygenated conditions were interrupted by short-lived interludes of  
375 reduced oxygen levels in bottom waters and elevated organic matter flux. The low total organic carbon  
376 content (< 1 wt. %) in the BFI-II, and BFI-III, supports this interpretation. Isolated TOC peaks (e.g.,  
377 3.8 wt. % at 42.91 m and 1.8 wt. % at 41.56 m) coincide with darker, centimetric marlstone layers  
378 marked by impoverished benthic foraminiferal assemblages.

379 A pronounced decrease in benthic foraminiferal abundance, here named “benthic foraminiferal  
380 crisis” (BFC; near the base of the BFI-IV at 23.89 m, Figs. 6, 7), is recorded just before the OAE 1a

381 onset. From the BFC toward the base of the Selli Level, rare specimens belonging to *Bathysiphon*,  
382 *Gavelinella*, *Gyroidina nitida*, *Rhizammina*, and *Reophax* are found, suggesting a deterioration of  
383 bottom-water conditions coupled with the progressive decrease of the oxygen content (dysoxic–anoxic)  
384 and high organic carbon flux. Benthic foraminifera are absent from the base of the carbon isotopic  
385 segment Ap3 up to the lowermost part of segment Ap5 (Bottini et al., 2015; C3-C5 *sensu* Menegatti et  
386 al., 1998), possibly in response to anoxic bottom-water conditions, which promoted the organic matter  
387 preservation of the Selli Level black shales, as also testified by TOC enrichments (up to 6 wt%) (Figs.  
388 6, 7). These episodes were probably intermittent, as evidenced by bioturbation patterns recorded by  
389 Menegatti et al. (1998).

390 At the base of the BFI-V, in correspondence with the beginning of isotopic segment Ap5 (Bottini  
391 et al., 2015; C5-C6 *sensu* Menegatti et al., 1998), benthic foraminifera document the onset of a  
392 repopulation event (*sensu* Friedrich, 2010). The presence of the genera *Astacolus*, *Lenticulina*,  
393 *Laevidentalina*, *Rhizammina* and *Reophax* indicates a moderate, but significant, re-oxygenation of the  
394 seafloor during OAE 1a, despite the persistence of intermittent anoxic to dysoxic conditions that  
395 favored the organic matter preservation in black shales enriched in TOC content (up to 8 wt %) (Figs.  
396 6, 7).

397 The relatively higher benthic foraminiferal abundance, lower Hs, and high D detected above the  
398 Selli Level (Fig. 2; BFI-VI) are interpreted to reflect attenuated organic carbon flux and relatively  
399 oxygenated bottom-water conditions, although not fully oxygenated (Figs. 6, 7). The samples from  
400 BFI-VI mostly correspond to radiolarian layers and, consequently, are not representative of the whole  
401 Scaglia Variegata Formation and probably reflect interludes of relatively higher productivity and  
402 moderate organic flux (Premoli Silva et al., 1999).

403

404 **5.3 Late Barremian – early late Aptian paleoenvironmental changes in the western Tethys**

405        The benthic foraminifera data collected from the Cismon Core are used to trace the  
406 development of deep-water conditions in response to the paleoenvironmental perturbations associated  
407 with OAE 1a. The integration of benthic foraminifera with calcareous nannofossil and planktonic  
408 foraminifera data contributes to a comprehensive characterization of the paleoclimatic and  
409 paleoceanographic conditions of the late Barremian to the early-late Aptian time interval in the western  
410 Tethys.

411

412 **5.3.1 The late Barremian – earliest Aptian time interval**

413        The benthic foraminiferal assemblages of the BFI-I indicate that, in the late Barremian, the  
414 Cismon site was characterized by oxic–dysoxic conditions and low to moderate organic carbon flux at  
415 the seafloor. The change in benthic foraminiferal abundance and assemblage composition in the BFI-II  
416 indicate that temporary phases of moderate instability at the seafloor took place during the deposition  
417 of the upper Barremian pelagic limestones. In particular, there is evidence of short-lived interludes of  
418 relatively higher organic flux and dysoxia that led to the deposition of millimetric to centimetric dark  
419 grey-black marlstone layers (Figs. 2, 6).

420        A pronounced general impoverishment in the total benthic foraminiferal abundance occurred ca.  
421 1 m.y. before the OAE 1a onset (BFI-III) and, remarkably, corresponds to the “nannoconid decline” at  
422 31.50 m (NC, Figs. 2, 6, 7), a globally recognized biohorizon, which represents the onset of a  
423 nannoplankton crisis (Erba and Tremolada, 2004).

424        Several studies have found a link between the "nannoconid decline" and the onset of an early  
425 volcanic phase of the GOJE (Erba et al., 2015, and references therein), most likely as a result of  
426 combined surface-water acidification and pulses of higher surface-water fertility caused by injections  
427 of volcanogenic CO<sub>2</sub> and biolimiting trace metals (Larson, 1991; Erba, 1994; Larson and Erba, 1999;

428 Tejada et al., 2009; Méhay et al., 2009; Bottini et al., 2012, 2015; Erba et al., 2015; Charbonnier et al.,  
429 2016).

430 The benthic foraminifera data gathered in this study suggest that interludes of increased surface-  
431 water fertility probably also had a significant impact on the seafloor, promoting the organic matter flux  
432 and inducing temporary phases of expanded oxygen minimum zone with consequent impoverishment  
433 of the benthic foraminiferal population (BFI-III; Figs. 6, 8). It is not excluded that benthic foraminifera  
434 also directly suffered higher concentrations of toxic metals, as this happens in modern benthic  
435 foraminifera (e.g., Frontalini and Coccioni, 2012; Munsel et al., 2010; Munsel 2013). However, we  
436 underline that the Cismon site was distant from reconstructed hydrothermal sources (GOJE) and metal  
437 concentrations detected in the sediments are relatively low compared to GOJE-near sites (e.g., DSDP  
438 Site 463, see Erba et al., 2015).

439 The temporal matching of benthos and plankton responses detected in the upper Barremian of the  
440 Cismon Core is the first evidence that the GOJE-induced paleoenvironmental perturbation affected  
441 bottom- and surface-water biota concurrently (Figs. 6, 7, 8). This observation may suggest a supra-  
442 regional to global cause for the benthic foraminifera decline in abundance detected in the Cismon Core,  
443 which should be proved by examining other records worldwide.

444 So far, a correlatable change in the benthic foraminiferal assemblages and associated turnover has  
445 been documented only at Gorgo a Cerbara in the Umbria-Marche Basin (Italy) (Patruno et al., 2015), as  
446 time-interval equivalent sections studied elsewhere have been investigated at low sampling resolution  
447 (Fig. 9). At Gorgo a Cerbara and Cismon Core, benthic foraminifera assemblage composition and  
448 abundance show a marked increase in infaunal benthic species (e.g., *Verneulinoides*) during the latest  
449 Barremian, especially in the marly strata (Supplementary Figure 1). Specifically, at Gorgo a Cerbara,  
450 Patruno et al. (2015) identified a “*Verneulinoides acme*” from the topmost part of the magnetochron  
451 M0 up to the “nannoconid crisis”. The correspondence between the “*Verneulinoides acme*” and the

452 nannofossil “pentoliths peak” was interpreted to reflect higher nutrient–recycling after increased  
453 freshwater input through runoff (Bellanca et al., 2002; Bersezio et al., 2002; Erba and Tremolada,  
454 2004; Patruno et al., 2015). In the Cismon Core, abundant *Verneuilinoides* are detected in the middle  
455 part of the BFI-II and, similarly to Gorgo a Cerbara, in the BFI-III up to the “nannoconid crisis” (Fig.  
456 2, and Supplementary material). Indeed, this interval corresponds to pulses of increased surface-water  
457 fertility (Bottini et al., 2015) and pentolith peaks (Erba and Tremolada, 2004). Moreover, the  
458 planktonic foraminiferal assemblages analyzed in the washed residues confirm meso-to eutrophic  
459 conditions in the surface waters based on the occurrence of abundant opportunistic trochospiral taxa,  
460 which cyclically alternate with rare leupoldinids and with a few planispirals, the latter inferred to be  
461 indicative of more mesotrophic regimes (Premoli Silva et al., 1999; Coccioni et al., 2006) (Figs. 6, 7).

462

#### 463 **5.3.2 The benthic foraminifera crisis and repopulation across OAE 1a**

464 Benthic foraminifera display a marked decrease in the absolute abundance (BFC), just before the  
465 OAE 1a onset, and are absent from the base of the Selli Level up to the lowermost part of the carbon  
466 isotope segment Ap5 (BFI-IV; Figs. 6, 7). The onset of the BFC correlates with a GOJE volcanic pulse  
467 at the OAE 1a onset (e.g., Méhay et al., 2009; Kuroda et al., 2011; Bottini et al., 2012; Erba et al.,  
468 2015), responsible for a stepwise accumulation of volcanogenic CO<sub>2</sub> in the atmosphere, paralleled by  
469 nutrient enrichment, progressive warming (Bottini et al., 2015; Bottini and Erba, 2018), and trace metal  
470 spikes (e.g., V, Zn, and Cu; Erba et al., 2015; Figs. 6, 7, 8). Remarkably, the BFC occurred almost  
471 contemporaneously with the “nannoconid crisis” (NC), as the difference in the stratigraphic level (1  
472 cm) is due to the different sampling resolutions used for nannofossil and benthic foraminiferal  
473 analyses. This correspondence, also identified at Gorgo a Cerbara (Patruno et al., 2015; Fig. 9,  
474 Supplementary Fig. 1), testifies to the onset of highly fertile surface-water conditions, which favored  
475 higher photic zone coccoliths at the expense of nannoconids (Erba, 2004; Bottini et al., 2015; Bottini

476 and Erba, 2018) and initiated dysoxic/mesotrophic conditions under higher organic carbon flux to the  
477 seafloor.

478 During this phase, from the BFC (23.89 m) and the “nannoconid crisis” (23.90 m) to just above  
479 the base of the Selli level, planktonic foraminifera seem not to be affected and show an increase of 52/g  
480 in the washed residues and 12 specimens/FOV in thin sections at 23.66 m and 23.57 m, respectively  
481 (Fig. 6). Specifically, the integration of the data obtained from washed residues and thin sections  
482 increases the resolution of the planktonic foraminiferal record and documents a peak in the absolute  
483 abundance 10 cm above the base of the Selli level (23.67 cm). This value is immediately followed by  
484 an interval characterized by a marked decrease in abundance of planktonic foraminifera coupled with a  
485 change in the assemblage composition, which results in the assemblage being almost solely  
486 characterized by leopoldinids (Fig. 6, 7), interpreted to be tolerant taxa. The delay in the response of  
487 the planktonic foraminifera, compared to the benthic foraminifera and nannoconids, could be related to  
488 the composition of lower Aptian planktonic assemblages. These are characterized by the lack of  
489 oligotrophic and specialized taxa, being only composed of mesotrophic to eutrophic species (Coccioni  
490 et al., 2006). The interval marked by scarce to absent planktonic foraminifera correlates with the core  
491 of the interval barren of benthic foraminifera and coincides with the temporary absence of nannofossils  
492 (Fig. 7; Erba et al., 2010). Quantitative and morphometric analyses of calcareous nannofossils  
493 evidenced that the volcanogenic CO<sub>2</sub> emissions at the “nannoconid crisis” started a progressive  
494 increase in surface-water acidification and a shallowing of the calcite lysocline (Erba et al., 2010).  
495 After ~75 kyr from the beginning of the δ<sup>13</sup>C negative shift (core of carbon isotope segment Ap3), the  
496 calcite lysocline reached ~1200 m of paleowater depth with consequent severe dissolution at the  
497 sediment/water interface and an absence of calcareous nannofossils, which lasted for ~70 kyr (Erba et  
498 al., 2010; Figs. 6, 7, 8), during the most intense GOJE volcanism (Tejada et al., 2009; Bottini et al.,  
499 2012; Charbonnier et al., 2016; Percival et al., 2021). Therefore, it may be plausible that ocean

500 acidification contributed to the abrupt decline in planktonic foraminiferal abundance recorded at 23.41  
501 cm as well as to the temporary absence of benthic foraminifera (Figs. 6, 7, 8).

502 The new benthic foraminifera data at the Cismon site show that the OAE 1a paleoenvironmental  
503 perturbation, related to the GOJE volcanism, including not only maximum warming (e.g., Price 2003;  
504 Ando et al., 2008; Mutterlose et al., 2014; Bottini et al., 2015; Bottini and Erba, 2018), surface-water  
505 acidification (Wissler et al., 2003; Weisser and Erba, 2004; Erba et al., 2010), and trace-metal release  
506 (Erba et al., 2015), but also imposed deep-water anoxic “inhabitable” conditions for ca. 300 kyr in the  
507 earliest part of OAE 1a (adopting the time scale of Malinverno et al., 2010; Figs. 6, 7, 8). This is  
508 consistent with rapid oceanic deoxygenation evidenced by combined redox-sensitive geochemical  
509 proxies (Bauer et al., 2021). The GOJE activity probably induced chemical and physical changes in  
510 surface waters, stimulating primary productivity and consuming oxygen through organic matter  
511 oxidation, hence promoting dysoxic to anoxic conditions at the seafloor. Evidence of increased surface-  
512 water fertility is based on the calcareous nannoplankton Nutrient Index (NI: Bottini et al., 2015; Bottini  
513 and Erba, 2018) and is confirmed by the composition of planktonic foraminiferal assemblages that, as  
514 mentioned above, register the absence of the mesotrophic and specialized planispiral taxa (Coccioni et  
515 al., 2006) and the rarity of the more opportunistic trochospiral taxa (Premoli Silva et al., 1999), both  
516 balanced by the common occurrence of the eutrophic and low oxygen tolerant leupoldinids (Fig. 6 TOC  
517 spikes further suggest higher organic matter preservation in this interval (Fig. 6; Erba et al., 1999). We  
518 infer that the increased primary productivity generated a higher organic flux to the seafloor coupled  
519 with oxygen depletion after organic matter degradation. A contribution to surface-water fertility could  
520 have also come from hydrothermal trace metal enrichments (V, Zn, and Cu; Erba et al., 2015), riverine  
521 runoff as suggested by palynomorph assemblages (Hochuli et al., 1999), and accelerated weathering  
522 rates, as documented by the increase in siliciclastic sedimentation (Weissert, 1990) and by radiogenic  
523 osmium isotopic composition of seawater (Tejada et al., 2009; Bottini et al., 2012; Fig. 7, 8).

524 Consequently, bottom-water anoxia could have been promoted by enhanced water stratification  
525 following increased precipitation and continental runoff.

526 Benthic foraminifera indicate that after ca. 300 kyr of bottom-water anoxia, alternating anoxic to  
527 dysoxic conditions prevailed at the Cismon site until the end of OAE 1a (BFI-V; Figs. 6, 7) and favored  
528 the deposition of organic-rich sediments, as also testified by relatively high TOC content (up to 6 wt.  
529 %). The benthic foraminifera repopulation detected in the Cismon Core reflects the ability of several  
530 taxa (*Gavelinella*, *Gyroidina*, *Laevidentalina*, *Lenticulina*, *Pleurostomella*, *Reophax*, *Rhizammina*) to  
531 adapt to ameliorated but still unstable conditions, with alternating phases of anoxia and dysoxia at the  
532 seafloor (Figs. 6, 7, 8). The repopulation event was preceded by a relative cooling coincident with the  
533 end of the carbon isotope segment Ap3, the establishment of an intermediate temperature and the end  
534 of surface-water acidification (Erba et al., 2010; Bottini et al., 2015; Jenkyns, 2018; Huck and  
535 Heimhofer, 2021; Fig. 7). These changes in climatic and paleoenvironmental conditions were possibly  
536 favored by temporary inputs of relatively colder and more oxygenated waters, thereby inducing the  
537 benthic foraminifera return. Interludes of higher productivity were probably sustained by N-fixing  
538 cyanobacteria rather than by nannoplankton during BFI-V (see Kuypers et al., 2004; Dumitrescu and  
539 Brassell, 2006; Bottini et al., 2015), explaining the low nannofossil NI and the low abundances of the  
540 leupoldinids (Fig. 6).

541 In the Cismon Core, the benthic foraminiferal repopulation event coincides with a still low  
542 abundance of planktonic foraminifera, although a slight increase in species richness is registered (Fig.  
543 6). Alternated anoxic to dysoxic conditions at the seafloor in the BFI-V interval were paralleled by  
544 changes in nutrient levels in surface waters, as testified by the composition of the planktonic  
545 foraminiferal assemblages. Specifically, the opportunistic trochospiral taxa dominating the assemblages  
546 cyclically fluctuated in abundance, alternating either with the specialized planispirals or with the  
547 opportunistic and eutrophic leupoldinids. This reveals a complex paleoceanographic scenario

548 characterized by high variability in the surface-water stratification. The benthic foraminiferal  
549 repopulation coincides with relatively high calcareous nannofossil average abundance, similar to pre-  
550 OAE 1a values in the photic zone (Fig. 7). The nannofossil assemblages indicate low fertility under  
551 alternating minor cooler and warmer phases (Bottini et al., 2015).

552 Benthic foraminiferal repopulation events were documented for other OAEs, such as OAE 1b and  
553 OAE 2, in response to moderate influxes of oxygen to the seafloor, temporarily interrupting anoxic  
554 conditions (Eicher and Worstell, 1970; Erbacher et al., 1999; Holbourn and Kuhnt, 2001; Holbourn et  
555 al., 2001; Friedrich et al., 2005, 2006; Friedrich, 2010). However, it is not possible to exclude that  
556 benthic foraminifera were adapted to thrive in anoxic settings. This has been documented in new  
557 studies of modern benthic foraminifera, which show their metabolic capacity to respire nitrate under  
558 anoxic conditions (Risgaard-Petersen et al., 2006; Piña-Ochoa et al., 2010), allowing survival in anoxic  
559 waters for weeks to months through denitrification (LeKieffre et al., 2017). Benthic foraminifera from  
560 the Peruvian oxygen minimum zone also display a metabolic preference for denitrification over O<sub>2</sub>  
561 respiration (Glock et al., 2019). In the geological record, there are some examples of benthic  
562 foraminifera that could survive long-term anoxic to dysoxic bottom-water conditions in denitrified  
563 environments (Schneider-Mor et al., 2012; Quan et al., 2013; Belanger and Garcia, 2014; Meilijsen et  
564 al., 2015, 2018). Indeed, the benthic foraminiferal repopulation is concomitant with a period of  
565 denitrification documented in the Cismon Core (Kuypers et al., 2004). This suggests an increase in the  
566 nitrate and/or oxygen concentration in bottom-waters, favoring the return of benthic foraminifera even  
567 under almost anoxic conditions.

568

### 569 **5.3.3 The post-OAE 1a phase**

570 The BFI-VI above the Selli Level (Figs. 7, 8) is characterized by the re-establishment of  
571 oxygenated conditions at the seafloor, as indicated by a slight increase in the abundance of benthic

572 foraminifera. Meanwhile, in surface-waters, planktonic foraminifera display a marked increase in  
573 abundance and nannofossils also increase in abundance with the return of the nannoconids, indicating  
574 cooler and more oligotrophic surface-water conditions (Bottini et al., 2015). Similarly, abundant  
575 specialized planispiral taxa (Coccioni et al., 2006), which dominated over the trochospiral taxa and the  
576 leupoldinids, suggest more stable conditions and meso-to oligotrophic waters (Figs. 6, 7, 8). Our  
577 updated dataset, thus, advocates for a post-OAE 1a phase of coeval nannoplankton, planktonic  
578 foraminifera, and benthic foraminifera recovery under colder oligo-mesotrophic surface waters and  
579 more oxygenated conditions at the seafloor. The end of the GOJE volcanism and the burial of organic  
580 matter during OAE 1a — progressively acting as storage for excess CO<sub>2</sub> — most probably concurred to  
581 terminate OAE 1a and promote lower temperatures (Heimhofer et al., 2004; Bottini et al., 2015; Erba et  
582 al., 2015).

583

#### 584 **5.4 The worldwide benthic foraminiferal record across OAE 1a**

585 The comparison of the benthic foraminiferal data of the Cismon Core with benthic foraminiferal  
586 records across OAE 1a from different localities (Coccioni et al., 1992; Mutterlose and Böckel 1998;  
587 Cobianchi et al., 1999; Rückheim et al., 2006; Michalík et al., 2008; Bargen and Lehmann 2014;  
588 Patruno et al., 2015; Moullade et al., 2015; Zorina et al., 2017) shows similarities and differences as  
589 discussed below (Fig. 9).

590 Several sites show a marked decrease in abundance (or disappearance) of benthic foraminifera  
591 before the OAE 1a onset (Coccioni et al., 1992; Mutterlose and Böckel 1998; Cobianchi et al., 1999;  
592 Rückheim et al., 2006; Bargen and Lehmann 2014; Patruno et al., 2015; Moullade et al., 2015; Zorina  
593 et al., 2017; Michalík et al., 2008), which correlates with the marked decrease in abundance (BFC)  
594 identified in the Cismon Core (Fig. 9). We infer that the BFC was a global event that may have  
595 occurred in response to the paleoenvironmental perturbation initiated just before the OAE 1a.

596 Contrarily, during OAE 1a, the benthic foraminifera abundance and composition were diverse in the  
597 studied localities (Fig. 9). The Cismon Core is the only section that displays an interval barren of  
598 benthic foraminifera in the early phase of OAE 1a, followed by a repopulation event occurring in the  
599 middle of OAE 1a. The Selli Level equivalent of some stratigraphic sections is entirely barren of  
600 benthic foraminifera (e.g., Coccioni et al., 1992; Michalík et al., 2008; Patruno et al., 2015; Zorina et  
601 al., 2017), whereas other sites are characterized by scarce specimens (Gargano: Cobianchi et al., 1999;  
602 Lower Saxony Basin, German: Mutterlose and Böckel 1998; Bargen and Lehmann 2014). Conversely,  
603 the Jebel Ammar section (Tunisia: Elkhazri et al., 2013) contains relatively common benthic  
604 foraminifera specimens, although the section only starts above segment Ap4 (Elkhazri et al., 2013). In  
605 the Lower Saxony Basin, higher benthic foraminifera abundances are reported at the end of the carbon  
606 isotope segment C6, close to the termination of OAE 1a (Mutterlose and Böckel 1998; Rückeim et al.,  
607 2006; Bargen and Lehmann 2014). The La Bedoule section in the Vocontian Basin (France) is the only  
608 site characterized by relatively frequent benthic foraminifera throughout the Niveau Goguel (Moullade  
609 et al., 2015), probably because anoxic conditions were never reached at this locality (Moullade et al.,  
610 2015).

611 Based on the high variability in the distribution of benthic foraminifera, we conclude that, during  
612 OAE 1a, the benthic community was primarily controlled by local factors. Remarkably, the benthic  
613 foraminifera record across the Selli Level in the Cismon Core also differs from other sections located in  
614 the western Tethys basins, such as the Umbria–Marche Basin (Gorgo a Cerbara section, central Italy:  
615 Coccioni et al., 1992; Patruno et al., 2015) and the Gargano Promontory (southern Italy: Cobianchi et  
616 al., 1999), further indicating that local environmental factors, possibly influenced by global  
617 paleoenvironmental changes related to OAE 1a, control the bottom-water oxygenation during OAE 1a.

618 The post-OAE 1a phase was instead marked by a typical response of the benthic foraminifera  
619 communities. Many stratigraphic sections display a recovery in benthic foraminiferal abundances

620 around the base of the carbon isotopic segment Ap7 indicative of restored oxygenated conditions at the  
621 seafloor after the termination of the OAE 1a (Coccioni et al., 1992; Mutterlose and Böckel 1998;  
622 Cobianchi et al., 1999; Elkhazri et al., 2013; Rückheim et al., 2006; Michalík et al., 2008; Józsa et al.,  
623 2016; von Bargen and Lehmann 2014; Patruno et al., 2015; Zorina et al., 2017; Fig. 9).

624

## 625 **6. Conclusions**

626 The study of the benthic foraminiferal assemblages in the Cismon Core provides, for the first time, a  
627 high-resolution record of bottom-water paleoceanographic conditions across the late Barremian to the  
628 early late Aptian time interval. The composition and abundance of the benthic foraminiferal  
629 assemblages confirm a paleowater depth for the Cismon Core of ca. 1000-1500 m (lower bathyal). The  
630 late Barremian was characterized by oxygenated conditions interrupted by short interludes of bottom-  
631 water dysoxia and high organic flux to the seafloor. In surface waters, the increase in fertilization  
632 caused a progressive decrease of the oligotrophic nannoconids (“nannoconid decline”) and induced  
633 alternated phases of decrease and increase in abundance of the more specialized planispiral planktonic  
634 foraminiferal taxa. Before OAE 1a (ca. 35 kyr), benthic foraminifera were affected by a marked  
635 decrease in abundance, here named “benthic foraminifera crisis,” coincident with the “nannoconid  
636 crisis” and contemporaneous with the initiation of the most intense GOJE volcanic phase. A profound  
637 change in the paleoenvironmental conditions occurred at the benthic and nannoconid crises level,  
638 including surface-water ocean acidification, progressive warming, increased surface-water fertility, and  
639 established deep-water dysoxia.

640 Bottom-water anoxia was reached at the OAE 1a onset, probably promoted by higher productivity  
641 under a super-greenhouse climate. Increased precipitation and continental runoff as a result of an  
642 accelerated hydrological cycle may also have contributed to an oxygen deficiency at the seafloor via  
643 enhanced water stratification. The anoxia caused the temporary absence of benthic foraminifera for ca.

644 300 kyr. Increased surface-water fertility also affected calcareous nannofossils and planktonic  
645 foraminifera assemblage composition but not their abundance. A benthic foraminifera repopulation  
646 event occurred after ca. 300 kyr from the OAE 1a onset, probably favored by the influx of relatively  
647 cooler and oxygenated waters. Intermittent anoxic to dysoxic conditions at the seafloor characterized  
648 the continuation of OAE 1a. Possibly, the interludes of higher productivity were sustained by N-fixing  
649 bacteria rather than by calcareous nanoplankton, indicating lower surface-water fertility. Accordingly,  
650 surface-water planktonic foraminifera show a change in the composition of their assemblages with the  
651 dominance of the opportunistic trochospiral taxa. The post-OAE 1a interval was characterized by the  
652 return of relatively abundant benthic foraminifera on a global scale, in response to the restoration of  
653 favorable conditions for the development of diversified communities of benthic foraminifera.

654

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661

## 662 CRediT authorship contribution statement

663 **Victor M. Giraldo-Gómez:** Conceptualization, Investigation, Data curation, Formal analysis,  
664 Visualization, Writing- original draft preparation, Writing - review & editing. **Maria Rose Petrizzo:**  
665 Supervision, Writing- Reviewing and Editing. **Elisabetta Erba:** Supervision, Writing- Reviewing and  
666 Editing, Funding acquisition. **Cinzia Bottini:** Conceptualization, Writing- Original draft preparation,  
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668

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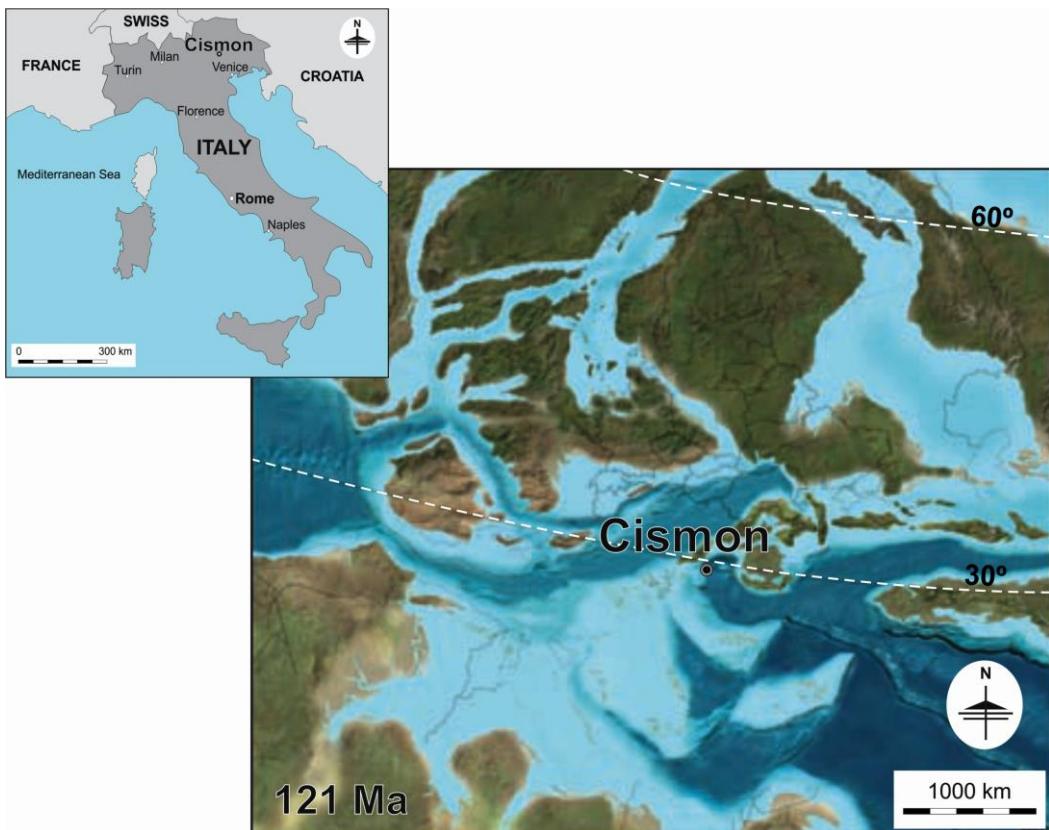
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- 1243 **Appendix A**
- 1244 **Taxonomic appendix**
- 1245 Taxonomic list of the benthic foraminifera taxa cited in the text is based on Ellis and Messina (1940–  
1246 2015), Mjatliuk (1988), Weidich (1990), Meyn and Vespermann (1994), Holbourn and Kaminski  
1247 (1997), Patruno et al. (2015).
- 1248
- 1249 **Agglutinated foraminifera:**
- 1250 *Ammobaculites* sp. Cushman, 1910
- 1251 *Ammodiscus* sp. Reuss, 1862

- 1252      *Ammodiscus cretaceus* (Reuss, 1845)
- 1253      *Ammodiscus infimus* Franke, 1936
- 1254    *Bathysiphon* sp. Sars, 1872
- 1255      *Bathysiphon brosgei* Tappan, 1957
- 1256      *Bathysiphon vitta* Nauss, 1947
- 1257    *Bimonilina* Eicher, 1960
- 1258      *Bimonilina entis* Mjatliuk, 1988
- 1259    *Dorothia* sp. Plummer, 1931
- 1260      *Dorothia hyperconica* Risch, 1970
- 1261    *Gaudryina* sp. d'Orbigny, 1839
- 1262      *Gaudryina dividens* Grabert, 1959
- 1263    *Glomospira* sp. Rzehak, 1885
- 1264      *Glomospira gordialis* (Jones and Parker, 1860)
- 1265      *Glomospira charoides* (Jones and Parker, 1860).
- 1266    *Glomospirella* sp. Plummer, 1945
- 1267    *Haplophragmoides* sp. Cushman, 1910
- 1268      *Haplophragmoides kirki* Wickenden, 1932
- 1269      *Haplophragmoides gigas gigas* Cushman, 1927
- 1270      *Haplophragmoides gigas minor* Nauss, 1947
- 1271    *Hippocrepina* Parker, 1870
- 1272      *Hippocrepina depressa* Vašíček, 1947
- 1273    *Reophax* sp. Montfort, 1808
- 1274      *Reophax helveticus* (Haeusler, 1881)
- 1275      *Reophax liasicus* Franke, 1936
- 1276    *Rhizammina* sp. Brady, 1879

- 1277 *Scherochorella* Loeblich and Tappan, 1984
- 1278       *Scherochorella minuta* Tappan, 1940 = *Reophax minutus* Tappan, 1940
- 1279 *Spiroplectinata* sp. Cushman, 1927
- 1280       *Spiroplectinata lata* Grabert, 1959
- 1281 *Tolypammina* sp. Rhumbler, 1895
- 1282 *Tritaxia* Reuss, 1860
- 1283       *Tritaxia pyramidata* Reuss, 1863
- 1284 *Verneuilinoides* sp. Loeblich & Tappan, 1949
- 1285       *Verneuilinoides* cf. *neocomiensis* (Mjatliuk, 1939)
- 1286
- 1287 **Calcareous foraminifera:**
- 1288 *Astacolus* sp. Montfort, 1808
- 1289       *Astacolus calliopsis* (Reuss, 1863)
- 1290       *Astacolus humilis* (Reuss, 1863)
- 1291       *Astacolus planiusculus* (Reuss, 1863).
- 1292 *Dentalina* sp. Risso, 1826
- 1293       *Dentalina comunis* (d'Orbigny, 1826) = *Laevidentalina comunis* (d'Orbigny, 1826)
- 1294       *Dentalina gracilis* d'Orbigny, 1840
- 1295       *Dentalina guttifera* (d'Orbigny, 1846) = *Laevidentalina guttifera* (d'Orbigny, 1846)
- 1296 *Gavelinella* sp. Brotzen, 1942
- 1297       *Gavelinella barremiana* Bettenstaedt, 1952
- 1298       *Gavelinella berthelini* Fuchs, 1967
- 1299       *Gavelinella intermedia intermedia* = *Berthelina intermedia* (Berthelin, 1880)
- 1300 *Globulina* sp. d'Orbigny, 1839
- 1301       *Globulina prisca* (Reuss, 1863)

- 1302 *Guttulina* sp. d'Orbigny, 1839
- 1303 *Gyroidina* sp. d'Orbigny, 1826
- 1304     *Gyroidina globosa* (Hagenow 1842) = *Gyroidinoides globosa* (Hagenow 1842)
- 1305     *Gyroidina nitida* (Reuss, 1850) = *Gyroidinoides nitida* (Reuss, 1844)
- 1306     *Laevidentalina* sp. Loeblich & Tappan, 1986
- 1307     *Laevidentalina distincta* (Reuss, 1960) = *Dentalina distincta* (Reuss, 1860)
- 1308     *Laevidentalina linearis* (Roemer, 1841)
- 1309     *Laevidentalina soluta* (Reuss, 1851)
- 1310 *Lenticulina* sp. Lamarck, 1804
- 1311     *Lenticulina macrodisca* (Reuss, 1863)
- 1312     *Lenticulina muensteri* (Roemer, 1839)
- 1313     *Lenticulina pulchella* (Reuss, 1863)
- 1314     *Lenticulina subgaultina* Bartenstein, 1962
- 1315     *Lenticulina turgidula* (Reuss, 1863)
- 1316 *Lingulonodosaria* Silvestri, 1903
- 1317 *Lingulonodosaria nodosaria* (Reuss, 1863)
- 1318 *Lingulina* sp. d'Orbigny, 1826
- 1319 *Nodosaria* sp. Lamarck, 1816
- 1320 *Pleurostomella* sp. Reuss, 1860
- 1321     *Pleurostomella reussi* Berthelin, 1880 = *Pleurostomella subnodososa* (Reuss, 1851)
- 1322 *Pseudonodosaria* sp. Boomgaart, 1949
- 1323     *Pseudonodosaria humilis* (Roemer, 1841)
- 1324 *Pyrulina* sp. d'Orbigny, 1839
- 1325 *Saracenaria* sp. Defrance, 1824
- 1326     *Saracenaria* sp1.

- 1327        *Saracenaria* sp2.
- 1328    *Stilosomella* sp. Guppy, 1894
- 1329    *Vaginulinopsis* sp. Silvestri, 1904
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- 1350    **Figures**



1351

1352 **Fig. 1.** Location of the Cismon today (upper left) and in the early Aptian (ca. 121 Ma).  
 1353 Paleogeographical map of the western Tethys modified from Blakey (2012), NAU Geology.  
 1354 <http://jan.ucc.nau.edu/~rcb7/>.

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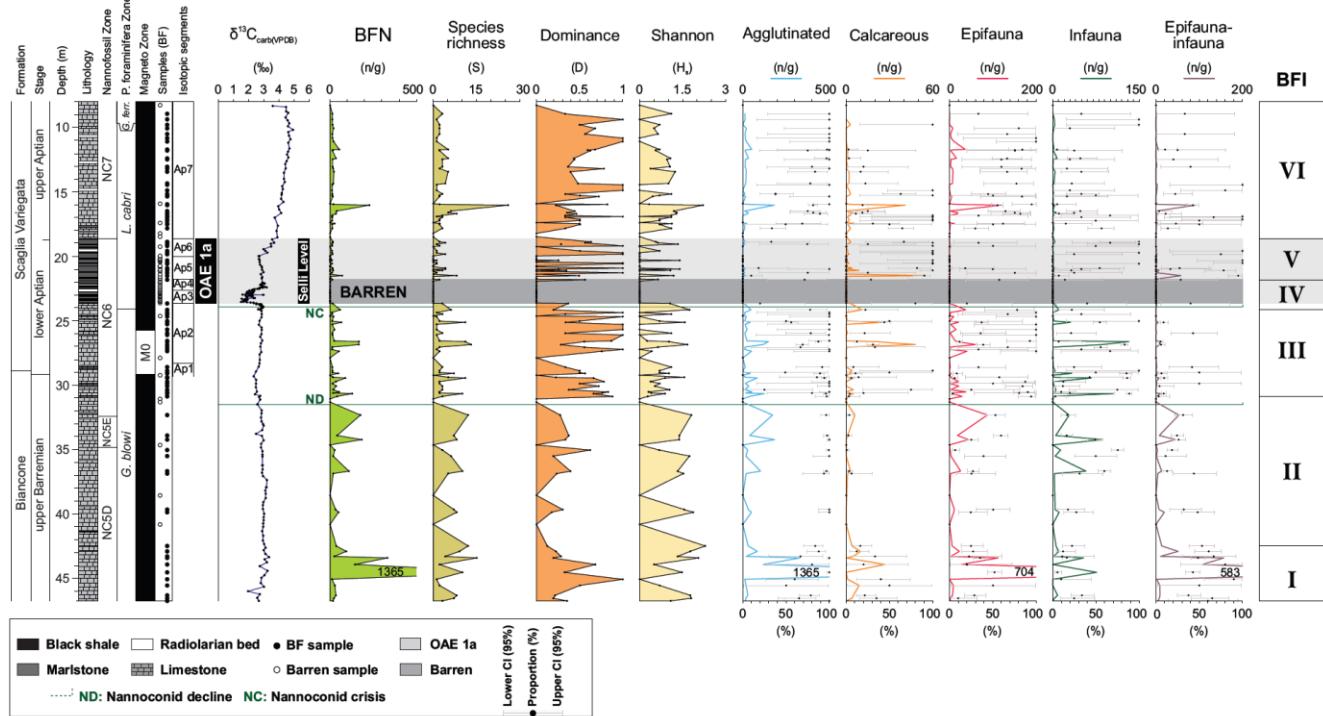
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## CISMON

## BENTHIC FORAMINIFERA



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1365 **Fig. 2.** Benthic foraminifera distribution in the upper Barremian-upper Aptian interval of the Cismon  
 1366 Core. The benthic foraminifera number (BFN), species richness (S), Shannon index (H<sub>s</sub>), Dominance  
 1367 (D), confidence interval (CI%), relative (%), and absolute (number of specimens per gram of washed  
 1368 residue = n/g) abundances of the agglutinated foraminifera, calcareous foraminifera, epifauna and  
 1369 infauna morphogroups, and epifauna-infauna are reported. The Benthic Foraminifera Intervals (BFI)  
 1370 identified in this work are displayed. Lithology, planktonic foraminifera, and nannofossil  
 1371 biostratigraphy are after Erba et al. (1999) and Bottini et al. (2015). ND = nannoconid decline at 31.50  
 1372 m; NC = nannoconid crisis at 23.90 m. Magnetostratigraphy is from Channell et al. (2000).  $\delta^{13}\text{C}_{\text{carb}}$   
 1373 data are from Erba et al. (1999) and Méhay et al. (2009). The isotopic segments Ap1-Ap7 are after  
 1374 Bottini et al. (2015). TOC is after Erba et al. (1999) and Bottini et al. (2012).

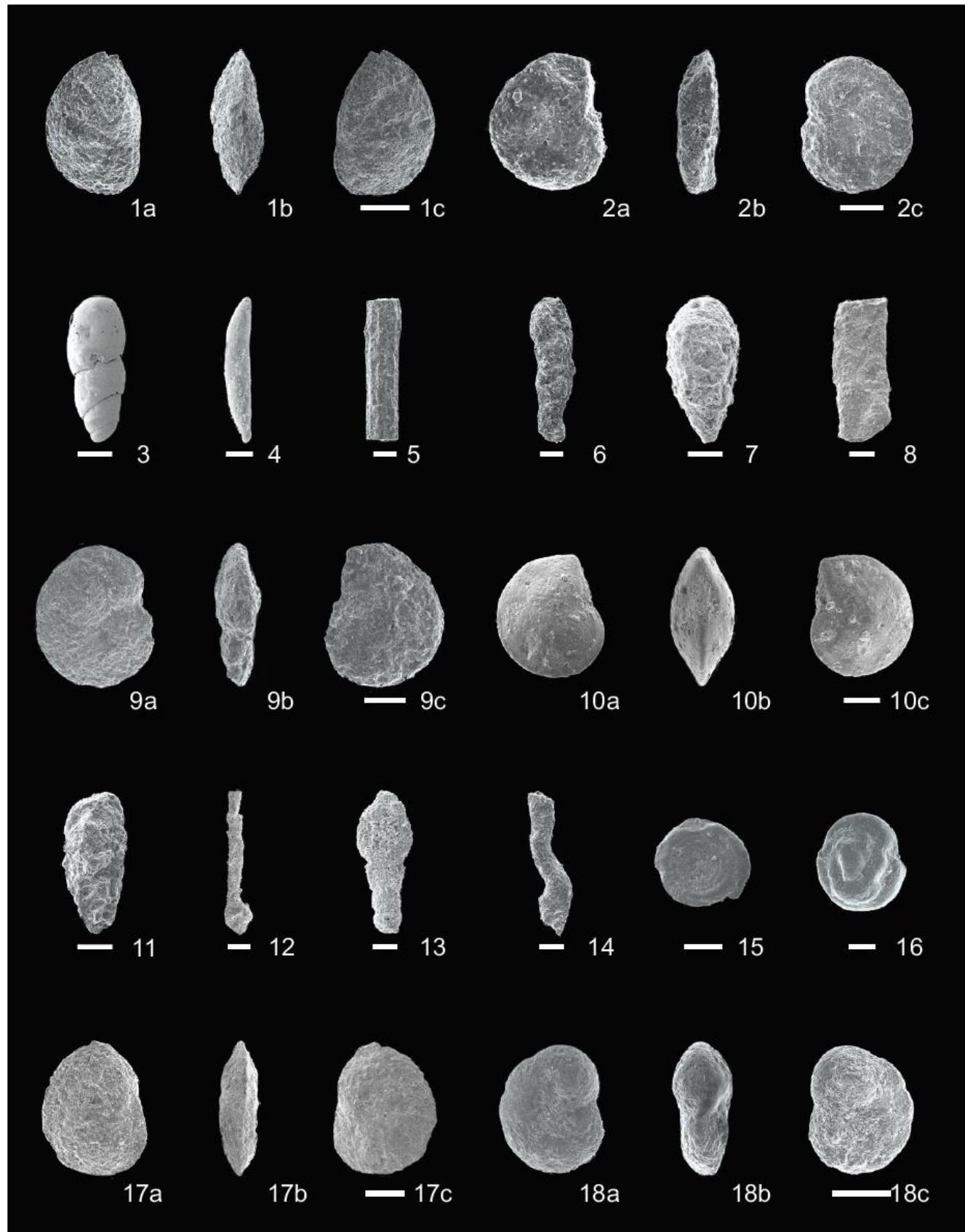
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1381 **Fig. 3.** Benthic foraminifera of the Cismon Core. Scale-bars are 100 µm. **1.** a/b/c *Astacolus*  
1382 *planiusculus* (core 12 – 12/162; 15.98 m); **2.** *Gavelinella* sp.(core 12 – 12/162; 15.98 m); **3.**  
1383 *Lingulonodosaria nodosaria* (core 13 – Rad 35; 17.78 m); **4.** *Laevidentalina soluta* (core 13 – Rad 35;  
1384 17.78 m); **5.** *Bathysiphon brosgei* (core 12 – 12/162; 15.98 m); **6.** *Scherochorella minuta* (core 162 –  
1385 16/99; 26.82 m); **7.** *Dorothia hyperconica* (core 17 – 17/211; 30.63 m); **8.** *Rhizammina* sp. (core 23 –  
1386 23/38; 44.53 m); **9.** a/b/c *Gavelinella barremiana* (core 12 – 12/162; 15.98 m); **10.** a/b/c *Lenticulina*  
1387 *muensteri* (core 14 – 14/167; 21.46 m); **11.** *Bimonilina entis* (core 16 – 16/79; 30.63 m); **12.**  
1388 *Tolypammina* sp. (core 14 – Rad 53; 21.23 m); **13.** *Reophax helveticus* (core 15 – Rad 75; 24.12 m); **14.**  
1389 *Rhizammina* sp. (core 12 – 12/162; 15.98 m); **15.** *Ammodiscus cretaceus* (core 12 – 12/162; 15.98 m);  
1390 **16.** *Glomospira charoides* (core 12 – 12/162; 15.98 m); **17.** a/b/c *Astacolus calliopsis* (core 12 –  
1391 12/162; 15.98 m); **18.** a/b/c *Gavelinella intermedia* (core 15 – 15/124; 25.09 m).

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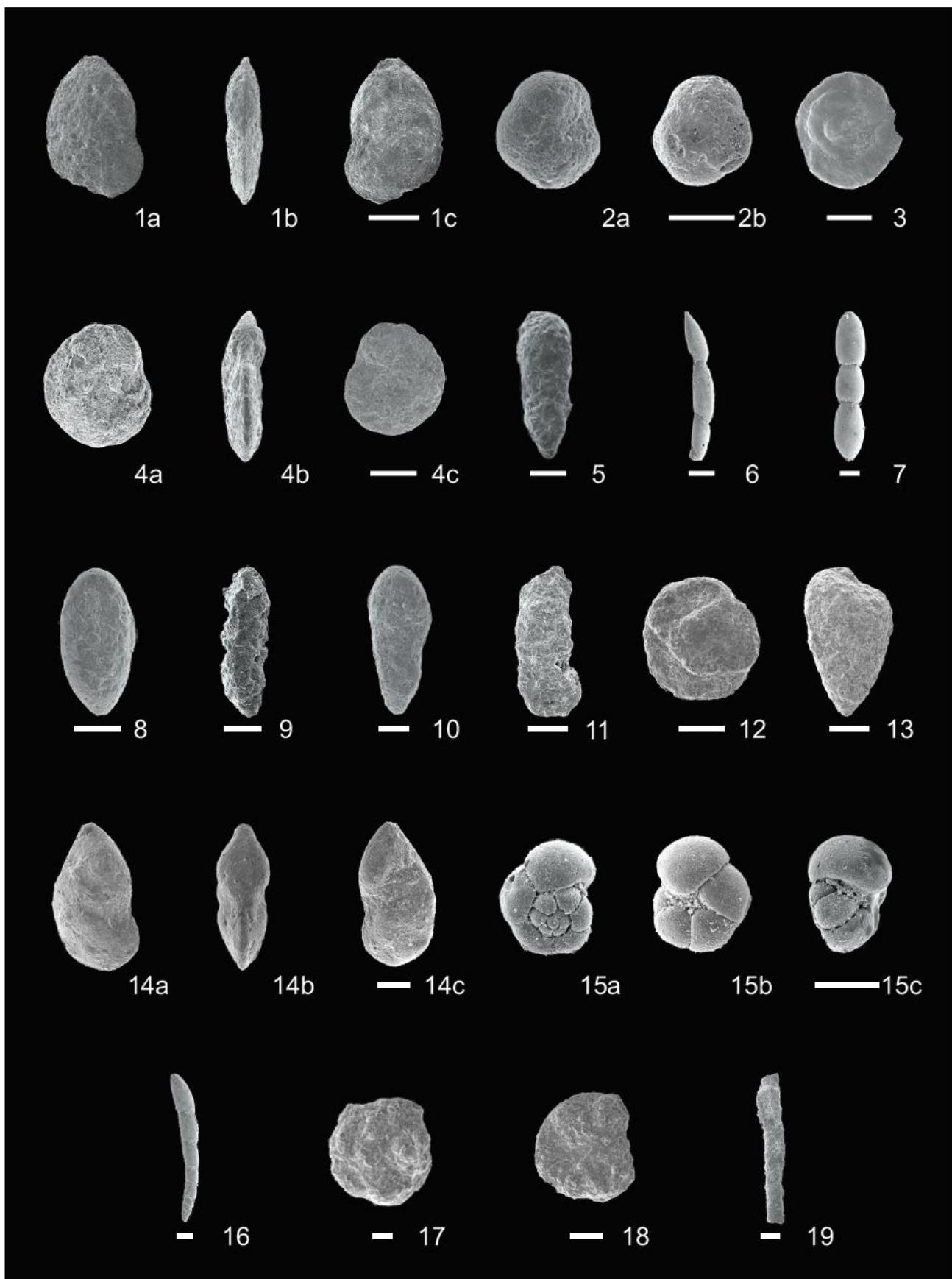
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1408 **Fig. 4.** Benthic foraminifera of the Cismon Core. Scale-bars are 100 µm. **1.** a/b/c *Lenticulina*  
1409 *subgaultina* (core 12 – 12/162; 15.98 m); **2.** a/b *Gyroidina globosa* (core 15 – 15/124; 25.09 m); **3.**  
1410 *Glomospira gordialis* (core 12 – 12/162; 15.98 m); **4.** a/b/c *Gavelinella barremiana* (core 16 – 16/98;  
1411 26.82 m); **5.** *Verneuilinoides cf. neocomiensis* (core 17 – 17/211; 30.63 m); **6.** *Laevidentalina distincta*  
1412 (core 13 – Rad 35; 17.78 m); **7.** *Pleurostomella reussi* (core 14 – Rad 50; 20.87 m); **8.** *Globulina prisca*  
1413 (core 15 – 15/124; 25.09 m); **9.** *Verneuilinoides* sp. (core 17 – 17/211; 30.63 m); **10.** *Verneuilinoides*  
1414 cf. *neocomiensis* (core 15 – 15/124; 25.09 m); **11.** *Reophax liasicus* (core 18 – 18/265; 33.89 m); **12.**  
1415 *Haplophragmoides kirki* (core 22 – 22/224; 21.23 m); **13.** *Dorothia hyperconica* (core 16 – 16/79;  
1416 42.90 m); **14.** a/b/c *Vaginulinopsis* sp. (core 1 – 14/167W; 21.46 m); **15.** a/b/c *Gyroidina nitida* (core  
1417 12 – Rad 27; 16.78 m); **16.** *Dentalina gracilis* (core 16 – 16/79; 26.59 m); **17.** *Haplophragmoides gigas*  
1418 *minor* (core 22 – 22/170; 33.89 m); **18.** *Haplophragmoides gigas gigas* (core 22 – 22/224; 43.41 m);  
1419 **19.** *Bathysiphon vitta* (core 11 – Rad 16; 14.29 m).

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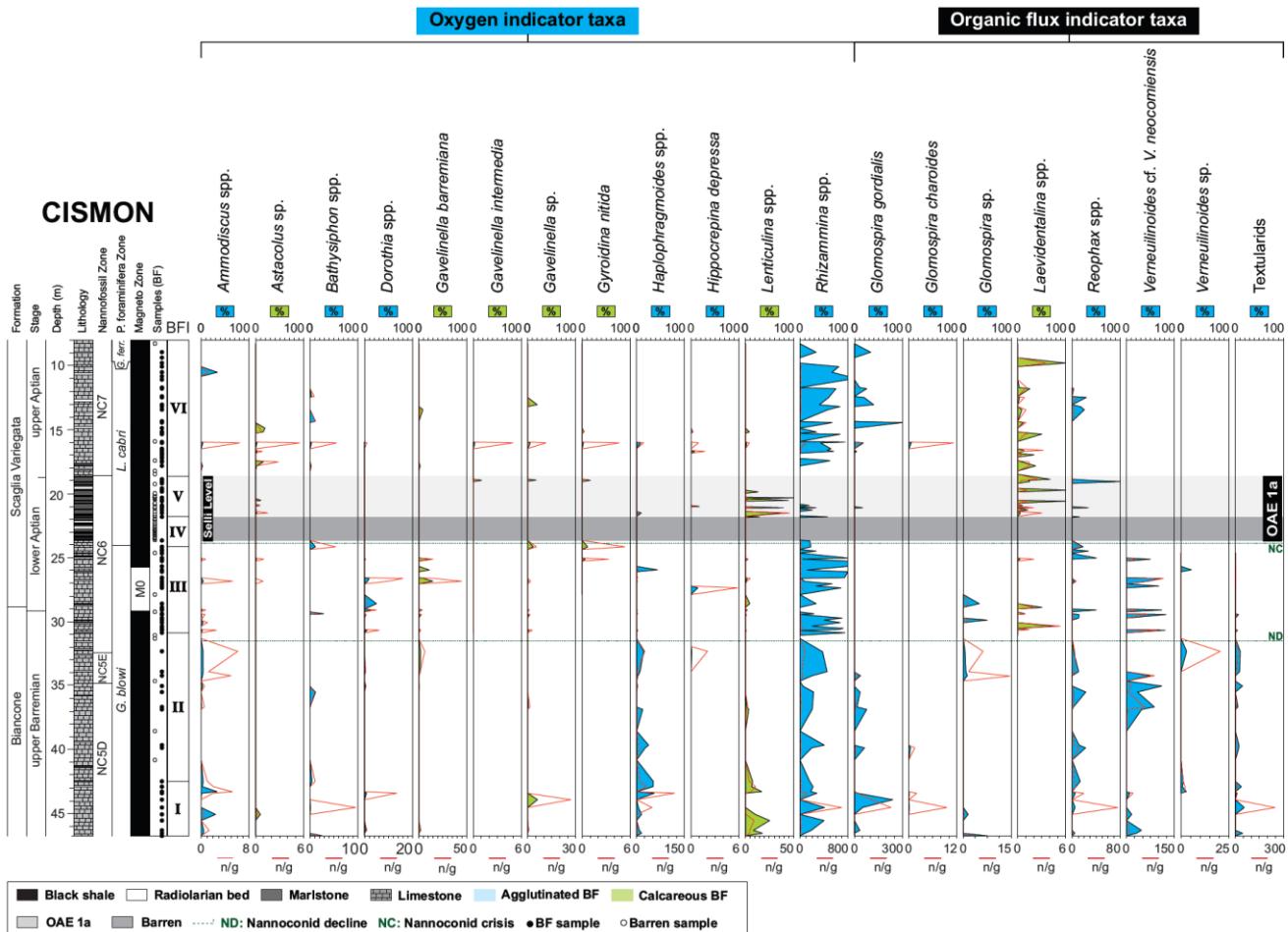
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1436 **Fig. 5.** Relative (%) and absolute (number of specimens per gram of washed residue = n/g) abundances  
 1437 of the most common benthic foraminiferal taxa (grouped at genus level) identified in the Cismon Core.  
 1438 BFI = Benthic foraminifera intervals. Lithology, planktonic foraminifera, and nannofossil  
 1439 biostratigraphy are after Erba et al. (1999) and Bottini et al. (2015). ND = nannoconid decline at 31.50  
 1440 m; NC = nannoconid crisis at 23.90 m. Magnetostratigraphy is from Channell et al. (2000).

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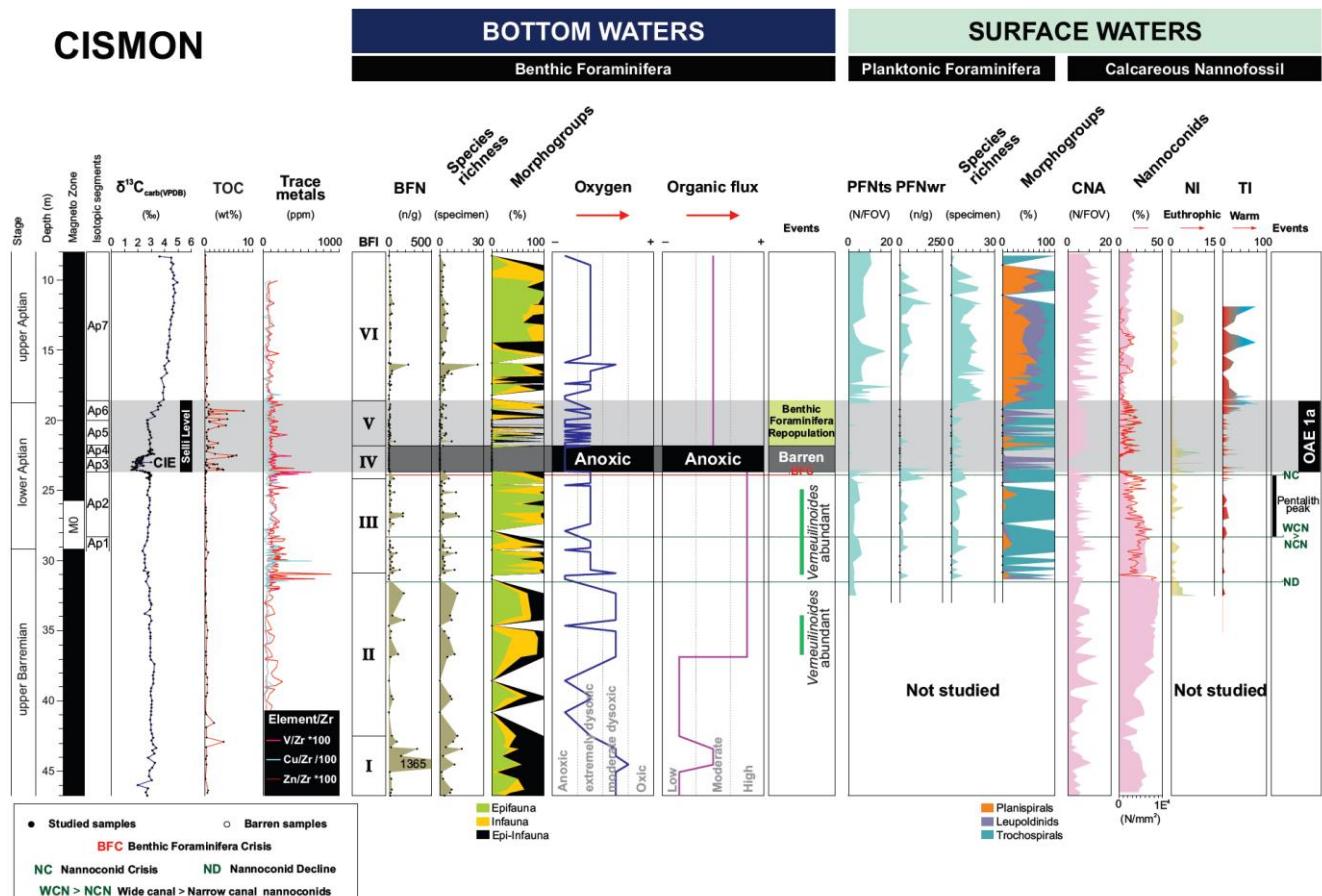
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# CISMON

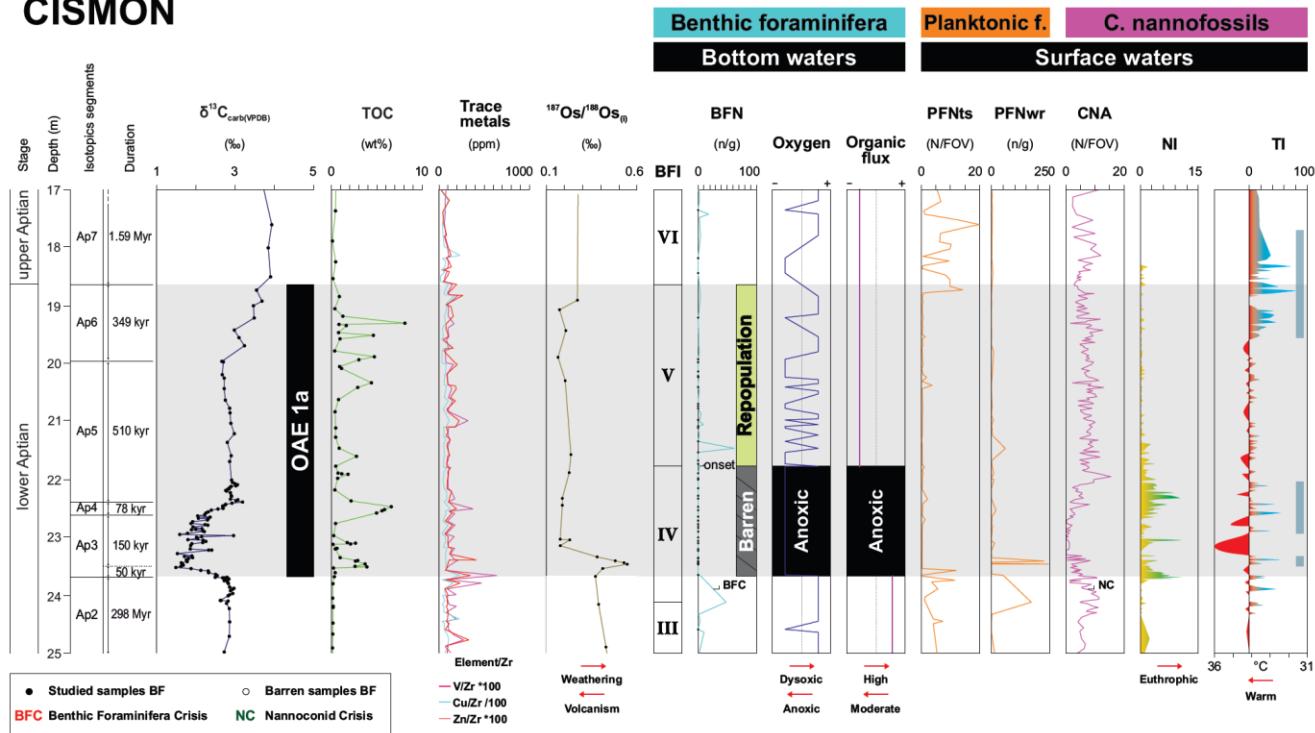


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1448 **Fig. 6.** Paleoenvironmental interpretation of the bottom-water and surface-water conditions based on  
1449 benthic foraminifera (this study), calcareous nannofossils (Erba and Tremolada, 2004; Erba et al.,  
1450 2010; Bottini et al., 2015) and planktonic foraminifera (Barchetta, 2015; this study) through the upper  
1451 Barremian-lower upper Aptian sedimentary sequence in the Cismon Core. Paleoenvironmental  
1452 interpretation of bottom-water conditions based on benthic foraminiferal assemblages (this work).  
1453 Magnetostratigraphy is from Channell et al. (2000). δ<sup>13</sup>C<sub>carb</sub> data are after Erba et al. (1999) and Méhay  
1454 et al. (2009). The isotopic segments Ap1-Ap7 are after Bottini et al. (2015). TOC is after Erba et al.  
1455 (1999) and Bottini et al. (2012). Trace metal abundances are after Erba et al. (2015). The Nannofossil  
1456 Nutrient Index (NI) and Temperature Index (TI) are from Bottini et al. (2015). BFI = Benthic  
1457 Foraminifera Intervals; BFN = benthic foraminifera absolute abundance; PFNwr = planktonic  
1458 foraminifera absolute abundance in washed residues n/40 field of view; n/g = number of specimens per  
1459 gram of washed residue; PFNts = planktonic foraminifera absolute abundance in thin sections; CNA =  
1460 calcareous nannofossils abundance; N/FOV = number of specimens per fields of view; ND =

1461 nannoconid decline at 31.50 m; NCN = narrow canal nannoconids; WCN= wide canal nannoconids;  
1462 NC = nannoconid crisis at 23.90 m; BFC = benthic foraminifera crisis at 23.89 m.  
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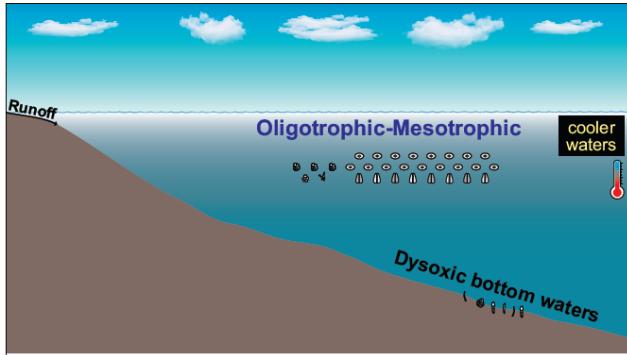
1486 **Fig. 7.** OAE 1a closeup in the Cismon Core.  $\delta^{13}\text{C}_{\text{carb}}$  data are after Erba et al. (1999) and Méhay et al.  
1487 (2009). The isotopic segments Ap2-Ap7 after Bottini et al. (2015). Duration of the isotopic segments  
1488 according to Malinverno et al. (2010). TOC is after Erba et al. (1999) and Bottini et al. (2012). Trace  
1489 metal abundances are from Erba et al. (2015). The Os-isotope curve is after Bottini et al. (2012).  
1490 Benthic foraminiferal data (oxygen, organic flux) are from this study. Planktonic foraminiferal data are  
1491 after Barchetta (2015) and this study. Calcareous nannofossil data are after Erba et al. (1999) and  
1492 Bottini et al. (2015). The Nannofoossil Nutrient Index (NI) and Temperature Index (TI) are from Bottini  
1493 et al. (2015). BFI = Benthic Foraminifera Intervals; BFN = benthic foraminifera absolute abundance;  
1494 PFNwr = planktonic foraminifera absolute abundance in washed residues, n/g = number of specimens  
1495 per gram of washed residue; PFNts = planktonic foraminifera absolute abundance in thin sections;  
1496 CNA = calcareous nannofossils abundance; N/FOV = number of specimens per fields of view; NC =  
1497 nannoconids crisis at 23.90 m, BFC = benthic foraminifera crisis at 23.89 m.

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**BFI-VI**

- low  $p\text{CO}_2$
- lower runoff
- sporadic bottom-water ventilation (BF)
- moderate organic flux (BF)

## post-OAE 1a

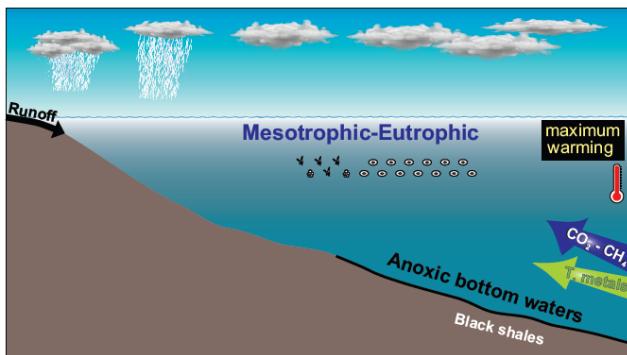
### Benthic foraminifera (BF)

- |                  |                   |
|------------------|-------------------|
| ○ Bathysiphon    | ○ Pleurostomella  |
| ○ Glomospira     | ○ Rhizammina      |
| ○ Lenticulina    | ○ Verneuilinoides |
| ○ Laevidentalina |                   |

### Planktonic foraminifera (PK)

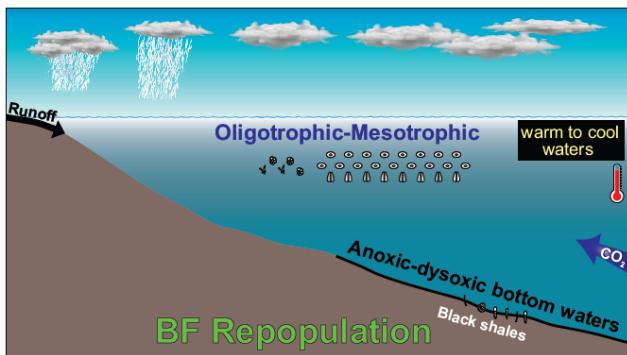
- |                      |                            |
|----------------------|----------------------------|
| ○ Planispiral        | ○ Coccoliths               |
| ○ Trochospiral       | ○ Narrow-canal Nannoconids |
| ○ Pseudo-planispiral | ○ Wide-canal Nannoconids   |

### Calcareous nannofossils



**BFI-IV**

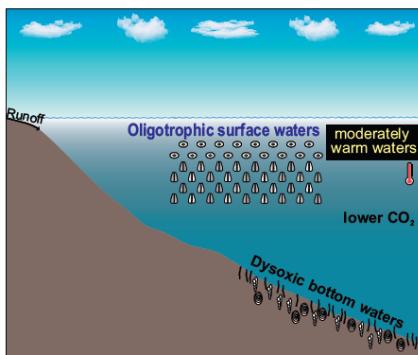
- high  $p\text{CO}_2$
- major injection of volcanogenic  $\text{CO}_2$
- increased runoff and precipitation
- black shales depositions
- anoxic bottom-waters (BF)
- surface-water oceanic acidification



**BFI-V**

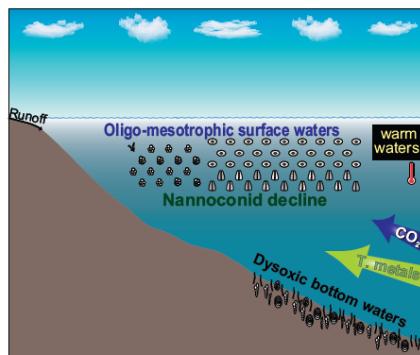
- high  $p\text{CO}_2$
- injection of volcanogenic  $\text{CO}_2$
- increased runoff and precipitation
- black shales deposits
- sporadic bottom-water ventilation (BF)
- moderate organic flux (BF)

## OAE 1a



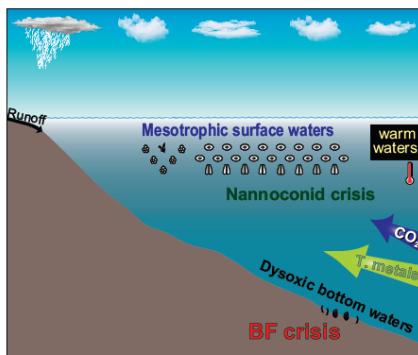
**BFI-II**

- low  $p\text{CO}_2$
- lower runoff
- sporadic bottom-water ventilation (BF)
- high organic flux (BF)
- oxygenated surface-waters (CN)



**BFI-II and III**

- injection of volcanogenic  $\text{CO}_2$
- increasing of trace metals (Pb and Cu)
- lower runoff
- sporadic bottom-water ventilation (BF)
- high organic flux (BF)
- expanded OMZ



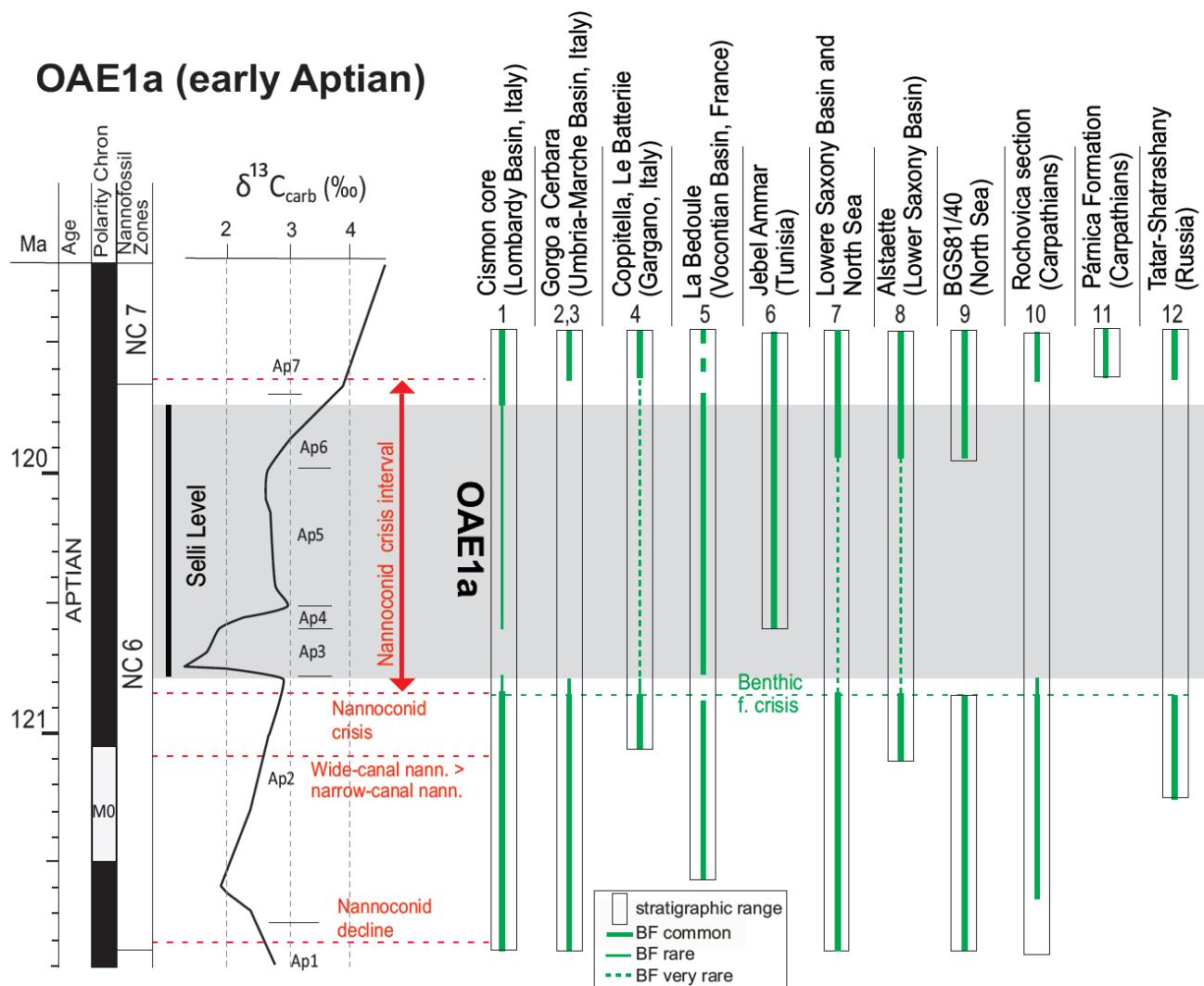
**BFI-IV (base)**

- low  $p\text{CO}_2$
- higher injection of volcanogenic  $\text{CO}_2$
- runoff and precipitation increase
- dysoxic bottom-water (BF)
- high organic flux (BF)

## pre-OAE 1a

1503 **Fig. 8.** Paleoceanographic reconstruction of bottom- and surface-water conditions in the Cismon Core  
1504 from the late Barremian to the early late Aptian. See text for explanations.  
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## OAE1a (early Aptian)



1528 **Fig. 9.** Synthesis of the benthic foraminiferal abundance data across OAE 1a according to this study  
 1529 and data from the literature, as follows: 1) this study; 2) Coccioni et al., 1992; 3) Patruno et al., 2015;  
 1530 4) Cobianchi et al., 1999; 5) Moullade et al., 2015; 6) Elkhazri et al., 2013; 7) Mutterlose and Böckel,  
 1531 1998; 8) von Bargen and Lehmann, 2014; 9) Rückheim et al., 2006; 10) Michalík et al., 2008; 11)  
 1532 Józsa et al., 2016; 12) Zorina et al., 2017.

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1537 **Tables**

<b>BENTHIC FORAMINIFERA</b>	<b>MORPHOGROUPS</b>				<b>OXYGEN</b>			<b>ORGANIC FLUX</b>			<b>REFERENCES</b>
	Epifauna	Shallow Epifauna	Shallow Infauna	Infauna	Low	Middle	High	Low	Middle	High	
<i>Ammodiscus</i>	X	X	X								1, 6, 9, 10, 11, 13, 17, 18
<i>Astacolus</i>	X		X	X							1, 12, 13, 18, 20
<i>Bathysiphon</i>	X										1, 6, 9, 10, 13, 19, 20
<i>Dentalina</i>	X		X								1, 4, 18
<i>Dorothia</i>				X							1, 3, 10, 19, 20
<i>Gavelinella</i>	X										1, 3, 10, 14, 15, 17, 20
<i>Glomospira</i>	X	X	X								1, 2, 3, 9, 10, 13, 17, 19
<i>Gyroidina</i>	X		X								1, 10, 15
<i>Haplophragmoides</i>		X	X	X							2, 3, 6, 13, 15, 17, 18, 19
<i>Hippocrepina</i>	X										6, 7
<i>Laevidentalina</i>			X	X							5, 12, 13, 14, 15, 16, 18, 20
<i>Lenticulina</i>	X		X								1, 4, 10, 12, 13, 18, 20
<i>Pleurostomella</i>			X								1, 4, 10, 12, 13, 19
<i>Reophax</i>			X	X							1, 5, 9, 13, 15, 18, 19, 21
<i>Rhizammina</i>	X										1, 2, 3, 5, 6, 8, 9, 11, 13, 15, 17, 23
<i>Verneuilinoides</i>				X							3, 7, 9, 11, 15, 22, 23

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1539 **Table 1.** Paleoecological preferences of benthic foraminifera according to their morphogroups based  
 1540 on previous studies, as follows: Koutsoukos, (1989)<sup>1</sup>; Kuhnt and Kaminski, (1989)<sup>2</sup>; Koutsoukos and  
 1541 Hart, (1990)<sup>3</sup>; Kaiho, (1994)<sup>4</sup>; Tyszka, (1994)<sup>5</sup>; Nagy el al. (1995)<sup>6</sup>; Kuhnt, (1995)<sup>7</sup>; Kaminski and  
 1542 Kuhnt, (1995)<sup>8</sup>; van Den Akker et al. (2000)<sup>9</sup>; Frenzel, (2000)<sup>10</sup>; Szarek et al. (2000)<sup>11</sup>; Holbourn et al.  
 1543 (2001)<sup>12</sup>; Alegret et al. (2003)<sup>13</sup>; Friedrich and Erbacher, (2006)<sup>14</sup>; Rückheim et al. (2006)<sup>15</sup>; Friedrich  
 1544 and Hemleben, (2007)<sup>16</sup>; Cetean et al. (2008)<sup>17</sup>; Reolid et al. (2008)<sup>18</sup>; Cetean et al. (2011)<sup>19</sup>; Koch and  
 1545 Friedrich, (2012)<sup>20</sup>; Reolid and Ruiz, (2012)<sup>21</sup>; Patruno et al. (2015)<sup>22</sup>; Józsa, (2017)<sup>23</sup>.

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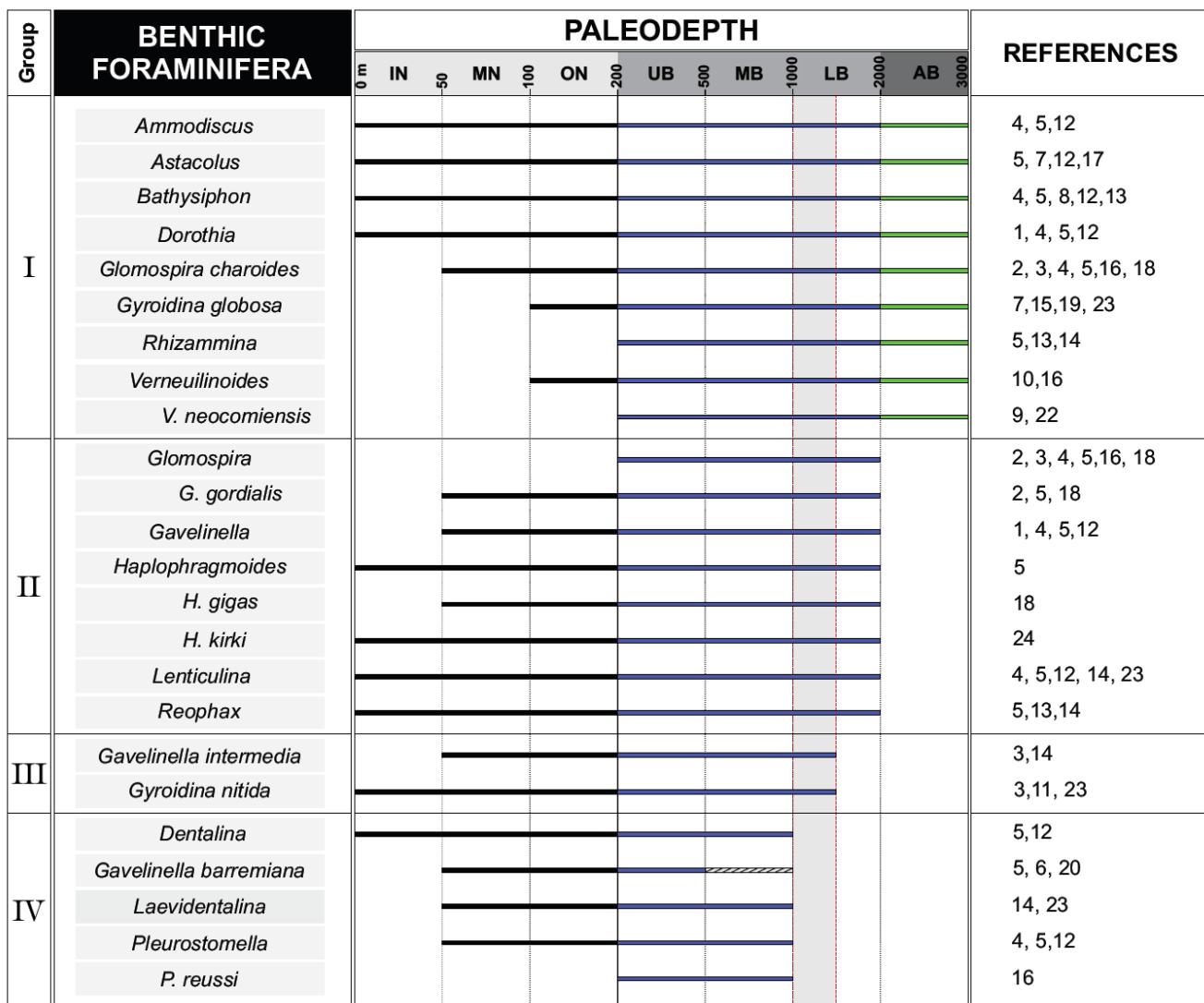
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1554 **Table 2.** Paleobathymetric preferences of benthic foraminiferal taxa identified in the Cismon Core  
1555 based on different sources, as follows: Sliter and Baker, (1972)<sup>1</sup>; Bock, (1979)<sup>2</sup>; Nygon and Olson,  
1556 (1984)<sup>3</sup>; De Azevedo et al. (1987)<sup>4</sup>; Koutsoukos, (1989)<sup>5</sup>; Riegraf, (1989)<sup>6</sup>; Saint-Marc, (1992)<sup>7</sup>; Nagy  
1557 et al. (1995)<sup>8</sup>; Kuhnt, (1995)<sup>9</sup>; Kaminski et al. (1999)<sup>10</sup>; Schnack, (2000)<sup>11</sup>; Frenzel, (2000)<sup>12</sup>; van Den  
1558 Akker, et al. (2000)<sup>13</sup>; Holbourn et al. (2001)<sup>14</sup>; Alegret et al. (2003)<sup>15</sup>; Szydło, (2004)<sup>16</sup>; Haig,  
1559 (2005)<sup>17</sup>; Kaminski and Gradstein, (2005)<sup>18</sup>; Moullade et al. (2005)<sup>19</sup>; Tyszka, (2006)<sup>20</sup>; Bindiu et al.  
1560 (2013)<sup>21</sup>; Holbourn et al. (2013)<sup>22</sup>; Aschckenazi-Polivoda et al. (2018)<sup>23</sup>. For this study will be adopted  
1561 the paleobathymetric subdivision (Nyong and Olsson, 1984 and van Morkhoven et al., 1986): inner-  
1562 neritic (IN: 0–50 m), middle-neritic (MN: 50–100 m), outer-neritic (ON: 100–200 m), upper bathyal  
1563 (UB: 200–500 m), middle bathyal (MB: 500–1000 m), lower bathyal (LB: 1000–2000 m) and abyssal

1564 (AB: > 3000 m). The grey band indicates the inferred paleobathymetry for the Cismon Core based on  
1565 the composition of the benthic foraminiferal assemblages.

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1595    **Supplementary Data**

1596        *Comparison between the benthic foraminifera and calcareous plankton records the Cismon*

1597            *Core and the Gorgo a Cerbara section (see Figure S1)*

1598        Here we provide a comparison of the most important bioevents detected in benthic foraminifera,  
1599        planktonic foraminifera, and calcareous nannofossils across the OAE 1a at Gorgo a Cerbara and  
1600        Cismon Core. The two sections show many similarities that can be extrapolated from Supplementary  
1601        Figure 1 and a few main differences that are commented as follows:

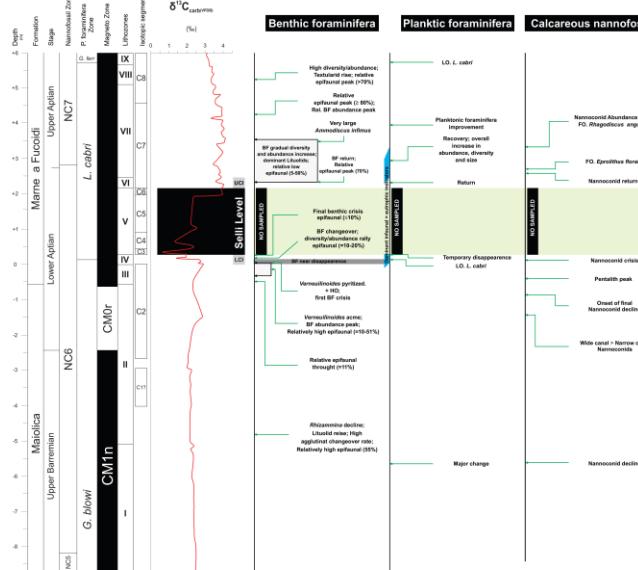
- 1602        1) The sampling resolution and the methodology adopted for the benthic foraminifera study are  
1603        different. The benthic foraminiferal sampling resolution applied at Cismon along a 38.05 m  
1604        thick section (159 samples) is from 5 to 50 cm (from 8 m to 31.29 m) and from 12 to 170 cm in  
1605        the lower part (31.29 m – 46.75 m). Thus, it is higher compared to the 42 samples along the  
1606        33.06 m-thick section at Gorgo a Cerbara.
- 1607        2) The size-fractions of the washed residues studied for benthic foraminifera are smaller for the  
1608        Cismon ( $> 63 \mu\text{m}$ ) compared to the Gorgo Cerbara ( $> 125 \mu\text{m}$ ). This observation could explain  
1609        the distribution of the genus *Verneuilinoides*, which is more abundant in the small-sized fraction  
1610         $> 63 \mu\text{m}$  of the upper Barremian samples of the Cismon Core compared to the equivalent  
1611        stratigraphic interval at Gorgo a Cerbara.
- 1612        3) In the Cismon Core, planktonic foraminifera are present within the Selli Level, although in low  
1613        numbers and few samples are barren, whereas they are completely absent in the Selli Level at  
1614        Gorgo a Cerbara (Coccioni et al., 1992; Coccioni, 2019). Moreover, at Gorgo a Cerbara, the 26  
1615        cm-thick-interval below the Selli level is characterized by a marked decrease in the planktonic  
1616        foraminiferal abundance and diversity, and the assemblage is composed of only poorly  
1617        preserved trochospiral hedbergellids (lower critical interval: Coccioni et al., 1992). On the  
1618        contrary, in the equivalent stratigraphic interval in the Cismon Core, planktonic foraminifera do

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not show a significant decrease in abundance, although, similar to Gorgo a Cerbara, the assemblage is dominated by common trochospiral taxa.

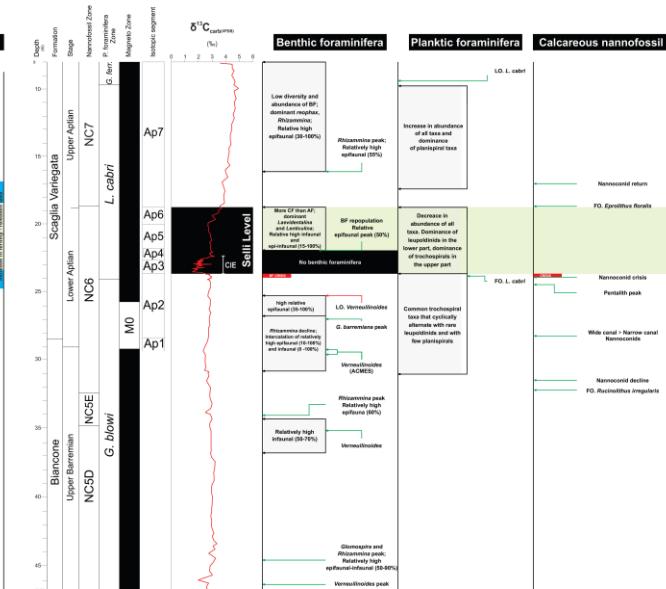
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# **GORGO A CERBARA**



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CISMON



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1623 **Supplementary data, Figure S1.** Comparison of the benthic foraminifera, planktonic foraminifera and  
1624 calcareous nannofossil assemblage data across the Barremian-Aptian interval from the Gorgo a Cerbara  
1625 section (Italy) and the Cismon Core (Italy, this study). Data from Gorgo a Cerbara: benthic  
1626 foraminifera, planktonic foraminifera, and calcareous nannofossil after Coccioni et al. (1992); Patruno  
1627 et al. (2015) and Coccioni (2019).  $\delta^{13}\text{C}_{\text{carb}}$  data are after Stein et al. (2011) and Li et al. (2016). The  
1628 carbon isotopic segments Ap1 to Ap7 are after Bottini et al. (2015). Data from Cismon: benthic  
1629 foraminifera (this work), planktonic foraminifera after Barchetta (2015) and this study, calcareous  
1630 nannofossil after Erba et al. (1999, 2010).  $\delta^{13}\text{C}_{\text{carb}}$  data after Erba et al. (1999) and Méhay et al. (2009).  
1631 The carbon isotopic segments C1-C8 are after Menegatti et al. (1998).

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1674 **Supplementary data, Table S1.** Distribution chart of benthic foraminifera at Cismon Core (xls.).  
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