

European Heart Journal - Quality of Care and Clinical Outcomes

Comparison of HAS-BLED and ORBIT Bleeding Risk Scores in AF Patients treated with NOACs: A Report from the ESC-EHRA EORP-AF General Long-Term Registry --Manuscript Draft--

Manuscript Number:	EHJ-QCCO-D-21-00269R1
Full Title:	Comparison of HAS-BLED and ORBIT Bleeding Risk Scores in AF Patients treated with NOACs: A Report from the ESC-EHRA EORP-AF General Long-Term Registry
Short Title:	Bleeding Risk Scores in AF NOACs Users
Article Type:	Original Article
Keywords:	Atrial Fibrillation; bleeding risk; HAS-BLED; ORBIT.
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Abstract:	<p>Introduction: Bleeding risk assessment is recommended in guidelines for the management of atrial fibrillation (AF). HAS-BLED score was proposed prior to non-vitamin K antagonist oral anticoagulants (NOACs) and has been suggested that the ORBIT score may be superior in predicting bleeds in NOAC users. We aimed to compare the HAS-BLED and ORBIT scores in contemporary AF patients treated with NOACs.</p> <p>Methods and Results: We analyzed patients enrolled in the ESC-EHRA EORP-AF General Long-Term Registry. HAS-BLED and ORBIT scores were computed based on original schemes. The primary outcome was the occurrence of Major Bleeding (MB). A total of 3018 patients (median age 70; 39.6% females) were included: median [IQR] HAS-BLED and ORBIT scores were 1 [1-2] and 1 [0-2], respectively; 356 (11.8%) patients were at high risk for MB using HAS-BLED (≥ 3) and 123 (4.1%) using ORBIT (≥ 4). Overall, 60 (2.0%) MB events were recorded, with an incidence of 1.1 per 100 patient-years.</p> <p>Both HAS-BLED and ORBIT were associated with outcome, modestly predicting MB (AUC 0.653, 95% CI 0.593-0.714 and AUC 0.601, 95% CI 0.526-0.677, respectively). Calibration plots showed that both scores were poorly calibrated, particularly the ORBIT score, which showed consistent poorer calibration. Time-dependent reclassification analysis showed a trend towards incorrect lower risk reclassification using ORBIT compared to HAS-BLED.</p> <p>Conclusion: In this real-life contemporary cohort of AF patients treated with NOACs, the ORBIT score did not provide reclassification improvement, showing even poorer calibration compared to HAS-BLED. Our findings do not support the preferential use of</p>

	ORBIT in NOAC-treated AF patients.
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Additional Information:	
Question	Response
Please confirm that this study complies with the Declaration of Helsinki (see the Instructions to Authors for more information).	Yes
Please confirm you have approval from all co-authors to submit this manuscript?	Yes
Confirm that the manuscript has been submitted solely to this journal and is not published, in press, or submitted elsewhere.	Yes
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	Gregory YH Lip

REPLY TO REVIEWERS

Reviewer 1

Prediction of major bleeding by HAS-BLED and ORBIT was compared in 3018 patients receiving NOACs in EORP-AF. Both HAS-BLED and ORBIT were modestly predictive of major bleeding, but both scores were poorly calibrated. Time dependent reclassification analysis showed a trend towards incorrect lower risk reclassification using ORBIT compared to HAS-BLED. The authors concluded that the findings do not support the preferential use of ORBIT in NOAC-treated AF patients.

COMMENTS

1. Nicely presented and clinically relevant data

>>>REPLY: Many thanks for your comments.

2. Methods line 7: please provide reference for EORP-AF: Potpara TS, et al. Cohort profile: the ESC EURObservational Research Programme Atrial Fibrillation III (AF III) Registry. Eur Heart J Qual Care Clin Outcomes. 2021 May 3;7(3):229-237.

>>>REPLY: We added this (see Page 5, Lines 7-9).

3. Major bleeding defined as “intracranial hemorrhage and major extracranial hemorrhage during follow-up.” What is meant by “major extracranial haemorrhage”?

>>>REPLY: We added details on definition of major extracranial haemorrhage (see Page 7, Lines 6-8).

4. Only 20 major bleeding events during a mean of about 2 years follow-up. Why so low?

>>>REPLY: We have commented about this in Discussion section (see Page 12, Lines 16-24). Our data reflect the general low incidence of major bleeding reported in contemporary AF patients’ cohorts. Such a low incidence of major bleeding in contemporary AF patients could probably reflect both the introduction and the implementation of NOACs, as well as the general and overall improvement in AF patients’ management.

a) How confident are we that major bleeding events were recorded

>>>REPLY: The absence of a central adjudication of events represents a major limitation to the manuscript, as stated in the Limitations section. Given the important consequences related to the occurrence of a major bleeding we believe that is quite unlikely that a major bleeding would not be reported by a patient. We have discussed this in Limitations section (see Page 15, Lines 17-22).

b) What was the breakdown of major bleeding events between intracranial and extracranial haemorrhage

>>>REPLY: We added this information (see Page 9, Lines 15-16).

c) How did the low event rate compromise the ability of this study to show a significant difference between the two risk prediction models

>>>REPLY: Thank you. Compared to the derivation cohorts (e.g., EuroHeart Survey which were from a dataset of a different era, >15 years ago), we found lower rates of bleeding events. Although this may have had an influence in our ability to compare the predictive ability of the two scores, our data emphasises how both these scores may be sub-optimally calibrated in a more modern contemporary NOAC-treated AF cohort like our study (see Page 15, Lines 22-25 and Page 16, Lines 1-3).

5. Please provide mortality/stroke data. What assumptions were made about bleeding in patients who died?

>>>REPLY: We added data on ischemic stroke and all-cause death events (Page 9, Lines 19-20). As reported, the analysis of follow-up was performed according to an intention-to-treat approach. Follow-up was censored at the end of observation or at occurrence of death, whichever occurred first (see Page 7, Lines 11-13).

1 **Comparison of HAS-BLED and ORBIT Bleeding Risk Scores in AF Patients**
2 **treated with NOACs: A Report from the ESC-EHRA EORP-AF General Long-**
3 **Term Registry**

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10 7 on behalf of ESC-EHRA EORP-AF Long-Term General Registry Investigators¹⁰
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1 **ABSTRACT**

2 **Introduction:** Bleeding risk assessment is recommended in guidelines for the
3 management of atrial fibrillation (AF). HAS-BLED score was proposed prior to non-
4 vitamin K antagonist oral anticoagulants (NOACs) and has been suggested that the
5 ORBIT score may be superior in predicting bleeds in NOAC users. We aimed to
6 compare the HAS-BLED and ORBIT scores in contemporary AF patients treated with
7 NOACs.

8 **Methods and Results:** We analyzed patients enrolled in the ESC-EHRA EORP-AF
9 General Long-Term Registry. HAS-BLED and ORBIT scores were computed based
10 on original schemes. The primary outcome was the occurrence of Major Bleeding
11 (MB). A total of 3018 patients (median age 70; 39.6% females) were included:
12 median [IQR] HAS-BLED and ORBIT scores were 1 [1-2] and 1 [0-2], respectively;
13 356 (11.8%) patients were at high risk for MB using HAS-BLED (≥ 3) and 123 (4.1%)
14 using ORBIT (≥ 4). Overall, 60 (2.0%) MB events were recorded, with an incidence of
15 1.1 per 100 patient-years.

16 Both HAS-BLED and ORBIT were associated with outcome, modestly predicting MB
17 (AUC 0.653, 95% CI 0.593-0.714 and AUC 0.601, 95% CI 0.526-0.677,
18 respectively). Calibration plots showed that both scores were poorly calibrated,
19 particularly the ORBIT score, which showed consistent poorer calibration. Time-
20 dependent reclassification analysis showed a trend towards incorrect lower risk
21 reclassification using ORBIT compared to HAS-BLED.

22 **Conclusion:** In this real-life contemporary cohort of AF patients treated with NOACs,
23 the ORBIT score did not provide reclassification improvement, showing even poorer
24 calibration compared to HAS-BLED. Our findings do not support the preferential use
25 of ORBIT in NOAC-treated AF patients.

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2 **Keywords:** atrial fibrillation; bleeding risk; HAS-BLED; ORBIT.

3

1 **INTRODUCTION**

2 Clinical guidelines on the management of atrial fibrillation (AF) recommend the
3 evaluation of bleeding risk factors, in order to address modifiable risk factors for
4 mitigation, and flag up high bleeding risk patients for early review and follow-up¹⁻³.
5 Furthermore, the assessment of bleeding risk need to be re-evaluated during follow-
6 up visits, since the risk of bleeding need to be considered as dynamic rather than
7 static^{2,4}.

8
9 Among the various bleeding risk scores, the HAS-BLED score is currently
10 recommended by most of international guidelines¹⁻³, on the basis of its simplicity,
11 better predictive profile and validation across the patient pathway (untreated,
12 antiplatelets, anticoagulants) compared to the various other bleeding scores^{5,6}. The
13 2021 UK National Institute for Health and Care Excellence' (NICE) updated
14 recommendation for clinical management of AF patients⁷ promoted the use of the
15 ORBIT bleeding risk score to evaluate bleeding risk in patients treated with non-
16 vitamin K antagonist oral anticoagulants (NOACs) due to better calibration compared
17 to other bleeding risk scores (albeit 'with very low to low quality data')⁷.

18
19 As the HAS-BLED score was initially proposed in the era prior to NOACs, the aim of
20 the present study was to formally compare the HAS-BLED and ORBIT bleeding risk
21 scores in AF patients actually treated with NOACs in a large prospective real-world
22 cohort of European AF patients.

23

24

1 METHODS

2 To perform this analysis, we used the dataset from the 'European Society of
3 Cardiology - European Heart Rhythm Association' (ESC-EHRA) EURObservational
4 Research Programme in AF (EORP-AF) General Long-Term Registry. The ESC-
5 EHRA EORP AF General Long-Term Registry is a prospective multicentre
6 observational registry held by the ESC and endorsed by the EHRA, with the General
7 Long-Term Registry preceded by the EORP-AF General Pilot Registry⁸⁻¹¹ and
8 followed by the ESC-EHRA EORP-AF III Registry¹², in the context of the
9 independent observational research from the ESC. The EORP-AF General Long-
10 Term Registry is a prospective, observational, multicentre registry established by
11 ESC in 27 participating countries. The study enrolled 11,096 consecutive patients
12 with AF presenting in 250 cardiology practices, in both in- and outpatient settings.
13 The detailed description of the study design, baseline characteristics and 1-year
14 follow-up results have been provided previously^{13,14}. Briefly, all patients enrolled had
15 AF documented within 12 months before enrolment based on objective
16 electrocardiographic evaluation. All patients were aged ≥ 18 years and provided
17 written informed consent. Enrolment was undertaken from October 2013 to
18 September 2016, with 1-year and 2-year follow-up. Institutional review board
19 approved the study protocol for each country and subsequently for each enrolling
20 site, and the study was performed according to the EU Note for Guidance on Good
21 Clinical Practice CPMP/ECH/135/95 and the Declaration of Helsinki.

22

23 For the purposes of this paper, we included in this analysis all those patients enrolled
24 into the ESC-EHRA EORP-AF General Long-Term Registry which were prescribed
25 with NOACs at baseline and had available data about HAS-BLED and ORBIT

1 bleeding scores with available follow-up information about events occurring
2 throughout the follow-up observation.

3
4 Thromboembolic risk was defined according to CHA₂DS₂-VASc score¹⁵. Based on the
5 new NICE guidelines⁷, 'Low risk' was defined as a CHA₂DS₂-VASc score 0 in males
6 and 1 in females; 'moderate risk' was defined for a CHA₂DS₂-VASc 1 in males; 'high
7 risk' was defined as CHA₂DS₂-VASc ≥ 2 . Symptomatic status was defined according
8 to EHRA score¹⁶. Chronic AF was defined as long-standing persistent and permanent
9 AF, while non chronic AF was defined as first detected, paroxysmal and persistent AF.

10

11 *Bleeding Scores*

12 HAS-BLED and ORBIT bleeding scores were both calculated on the basis of the
13 original validated schemes^{17,18}. In EORP-AF, the HAS-BLED score was originally
14 calculated during baseline evaluation automatically by the electronic case report
15 form (eCRF), based on clinical variables and medical interview. ORBIT was
16 calculated retrospectively based on information collected into the final dataset.
17 Clinical variables used to compile both the scores were reported in Supplementary
18 Materials (Table S1). High bleeding risk was defined for a HAS-BLED ≥ 3 and for an
19 ORBIT ≥ 4 ^{17,18}, respectively.

20

21 *Follow-Up and Outcomes*

22 All patients discharged alive after the baseline evaluation entered the follow-up.
23 During follow-up all incident major adverse clinical events were recorded by each
24 investigator and entered the eCRF at 1-year and 2-years follow-up visits. Follow-up
25 was permitted also by telephone interview with the patient or next of kin in the case

1 the patients was deceased or unable to perform the interview. More details about
2 follow-up procedures were already reported elsewhere¹⁴.

3
4 Major bleeding was considered as the primary study outcome for this analysis. Major
5 bleeding was defined based on occurrence of intracranial hemorrhage and major
6 extracranial hemorrhage during follow-up. Major extracranial bleeding was defined
7 as a bleeding event causing a drop in haemoglobin level >2 g/L, requiring blood
8 transfusion or hospitalization occurring in any major organ system. Evaluation of
9 bleeding outcomes was performed by each investigator and not adjudicated
10 centrally. Follow-up analysis was performed according to an intention-to-treat
11 approach. Follow-up was censored at the end of observation or at occurrence of
12 death, whichever occurred first. Additionally, we reported the occurrence of ischemic
13 stroke and all-cause death.

14 15 *Statistical Analysis*

16 Continuous variables were expressed as median and interquartile ranges.
17 Categorical variables were expressed as counts and percentages. Difference in
18 survival according to risk scores were assessed with Kaplan-Meier curves and Log-
19 Rank test. Cox proportional hazard analysis were also performed to assess the
20 occurrence of bleeding events according to both high risk categories and continuous
21 scores. The Cox model was adjusted for female sex, EHRA score and type of AF.

22
23 For each score we also produced ROC curves and calculated the area under the
24 curve (AUC) and 95% CI for AUC were estimated using the method by De-Long &
25 De-Long¹⁹. Calibration plots were produced calculating the incidence rate (IR) of

1 bleeding events for each score category in our cohort, and then plotting these IRs
2 against those reported in the derivation cohorts^{17,18}.

3

4 Reclassification analysis were performed with HAS-BLED as reference; integrated
5 discrimination improvement (IDI), net reclassification improvement (NRI) and the
6 median improvement were calculated with a time-dependent approach, using scores
7 in continuous, and according to the method described by Pencina et al.²⁰, with the
8 survIDINRI package. Decision Curve Analysis was also performed according to
9 previously reported method²¹. Two-sided p values <0.05 were considered statistically
10 significant. All analyses were performed with SPSS statistical software version
11 27.0.1 for MacOS and R 4.0.3 for Windows²², using pROC²³, rms²⁴, rmda²⁵ and
12 survIDINRI²⁶ packages.

13

14

1 RESULTS

2 From the overall cohort originally enrolled in EORP-AF, a total of 3,018 patients were
3 included (median [IQR] age 70 [62-77] years; 1196 (39.6%) female). Median [IQR]
4 CHA₂DS₂-VASc score was 3 [2-4], and 2180 (72.2%) were at high thromboembolic
5 risk. Main baseline characteristics are shown in Table 1.

6
7 Distribution of bleeding scores are shown in Table 2. The mean (SD) HAS-BLED
8 score was 1.3 (1.0) [median [IQR] score 1 [1-2]], with 356 (11.8%) at high bleeding
9 risk. The mean ORBIT score was 1.0 (1.2) [median [IQR] 1 [0-2]], with 123 (4.1%) at
10 high bleeding risk. Very low bleeding risk was found in 605 (20.0%) using HAS-
11 BLED and in 1495 (49.5%) with the ORBIT score.

12 13 *Incidence of Major Bleeding*

14 Over a mean (SD) 680.79 (174.32) days of follow-up a total of 20 major bleeding
15 events were reported (9 intracranial bleedings and 51 major extracranial
16 haemorrhages), with an overall incidence of 1.1 per 100 patient-years. Incidence
17 rates according to the bleeding risk scores are reported in Table 2. Major bleeding
18 rates progressively increased according to both continuous HAS-BLED and ORBIT
19 score points (Table 2). We also recorded a total of 54 ischemic stroke events (0.96
20 per 100 patient-years) and 224 all-cause death events (3.93 per 100 patient-years).
21 Based on the HAS-BLED score, major bleeding incidence in the low bleeding risk
22 group was 0.92 per 100 patient-years, increasing to 2.26 per 100 patient-years in the
23 high bleeding risk group. For the ORBIT score incidence rate in low bleeding risk
24 group was 0.94 per 100 patient-years, increasing to 4.58 per 100 patient-years in the

1 high risk group. Kaplan-Meier curves [Figure 1] show that for both scores, the high
2 bleeding risk category had a greater cumulative bleeding risk than the low risk group.

3

4 *Risk Scores and Major Bleeding*

5 In the Cox regression analysis (Table 3), both the risk scores were associated with
6 occurrence of major bleeding as continuous scores in univariate analysis and after
7 multivariate adjustments. Similarly, the high bleeding risk category was significantly
8 associated with the occurrence of major bleeding for both scores.

9

10 For the HAS-BLED score, those with high baseline bleeding risk (i.e., HAS-BLED ≥ 3)
11 had >2-fold increase in risk (Hazard Ratio [HR] 2.26, 95% confidence interval [CI]
12 1.23-4.15) compared to the low bleeding risk group, after adjustment for female sex,
13 EHRA score and type of AF (chronic vs. non-chronic). For the ORBIT score, high
14 baseline bleeding risk (i.e., ORBIT ≥ 4) had >4-fold increase in risk (HR 4.62, 95% CI
15 2.25-9.46) compared to ORBIT score <4, after adjustment for female sex, EHRA
16 score and type of AF.

17 Of the 1495 patients (49.5%) categorised as 'very low' bleeding risk using the ORBIT
18 score, re-stratifying these patients by HAS-BLED score showed that 63.3% were at
19 moderate-high bleeding risk. In this subgroup of patients ('very low' bleeding risk
20 using ORBIT score), HAS-BLED score was significantly associated with risk of major
21 bleeding (HR 1.90, 95% CI 1.26-2.85), after adjustment for female sex, EHRA score,
22 and type of AF.

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24 *Predictive Ability, Reclassification Analysis and Calibration Plots*

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1 ROC curve analyses showed that both the scores were able to predict the
2 occurrence of major bleeding events with a moderate ability, with HAS-BLED score
3 reporting a numerically higher AUC (or c-index) value than the ORBIT score (AUCs
4 0.653, 95% CI 0.593-0.714 and AUC 0.601, 95% CI 0.526-0.677, respectively).
5 Visual inspection of the two ROC curves seems to suggest a superiority in predicting
6 the occurrence of major bleeding for HAS-BLED score than ORBIT score [Figure
7 S1], but this difference was not statistically significant using the De-Long and De-
8 Long test, $p=0.216$. In the cohort of 1495 (49.5%) patients with very low bleeding risk
9 according to ORBIT score, the HAS-BLED showed a good predictive performance
10 (AUC 0.701, 95% CI 0.614-0.788) [Figure S2].
11
12 Reclassification analyses are reported in Table 4. Projecting the risk stratification
13 performance at 1 year of follow-up, no difference was found between the two scores.
14 At 2 years of follow-up while a non-significant trend for lower risk reclassification
15 capacity, in terms of NRI, with loss in median improvement for the ORBIT score
16 compared to the HAS-BLED score. Examination of the DCA curves [Figure S3] did
17 not show any relevant difference in net benefit by using one score or the other.
18
19 Results from the model calibration analysis comparing observed major bleeding
20 rates in the EORP-AF registry with reported bleeding rates in the original derivation
21 populations for HAS-BLED and ORBIT scores are shown in Figure 2. The HAS-
22 BLED score showed good calibration for the low-risk score strata, with poor
23 calibration in the higher bleeding risk strata. In comparison, the ORBIT score showed
24 poorer calibration than the HAS-BLED score throughout all risk strata.

1 DISCUSSION

2 In this analysis, we found that in NOAC-treated AF patients, the use of the HAS-
3 BLEED score identified almost 12% of patients with a high risk of bleeding and 20% of
4 patients with a very low risk of bleeding, while using the ORBIT score only identified
5 4% of patients at high risk of bleeding and half of the entire cohort was considered at
6 very low risk of bleeding. Second, both the scores were significantly associated with
7 major bleeding and predicted the event with a similarly modest capacity (c-indexes
8 0.60-0.65), consistent with a general performance of clinical risk scores. In the
9 reclassification analysis, we found only a non-significant trend for poorer
10 reclassification with the ORBIT score, while the analysis of the calibration plots
11 showed that both the scores were not well calibrated in this cohort for the high
12 bleeding risk strata, which was worse for the ORBIT score compared to the HAS-
13 BLEED score. These findings do not support the preferential use of ORBIT in NOAC-
14 treated AF patients.

15
16 In this contemporary cohort of NOAC-treated AF patients, the incidence of major
17 bleeding was low, consistent with other previous contemporary studies of AF
18 patients management^{27,28}. In an analysis derived from the GARFIELD-AF registry,
19 the overall incidence of major bleeding was 1.31 per 100 patient-years²⁷. In the
20 follow-up of the GLORIA-AF registry phase II, the overall incidence of major bleeding
21 was 0.97 per 100 patient-years²⁸. Such a low incidence of major bleeding in
22 contemporary AF patients could reflect both the introduction and the implementation
23 of NOACs, as well as the general and overall improvement in AF patient
24 management.

1 [In AF management](#), the assessment of bleeding risk is essential for all the patients,
2 both at baseline and throughout their follow-up¹⁻³. The appropriate and responsible
3 use of bleeding risk scores is [really](#) to draw attention to modifiable bleeding risk
4 factors that can be mitigated, and to flag up the high bleeding risk patients for early
5 review and proactive regular followup^{29,30}. This strategy has been tested
6 prospectively in the mAFA-II trial, which was a cluster randomised trial where the
7 mAFA intervention (which used the HAS-BLED score to mitigate modifiable bleeding
8 risks and arrange follow-up of high bleeding risk patients) was compared to usual
9 care³¹. This showed that major bleeding was lower in the intervention arm, compared
10 to usual care; importantly, the use of OAC increased over 12 months in the
11 intervention arm, but declined in the usual care arm³¹. Overall patients in the
12 intervention arm showed a lower risk of adverse outcomes³².

13

14 Many bleeding risk scores have been published thus far³³, and the HAS-BLED score
15 has been found to be superior or performing equally compared to more complex risk
16 scores or clinical approaches exclusively focused on modifiable bleeding risk
17 factors³⁴⁻³⁷. Several systematic review and meta-[analyses](#) examining the differential
18 ability of the various bleeding risk scores [have been published](#), and all indicate that
19 the HAS-BLED score provided better predictive ability, and expressing the best
20 balance between sensitivity and specificity^{5,38-40}. The ORBIT score was originally
21 derived from a largely anticoagulated cohort from the 'Outcomes Registry for Better
22 Informed Treatment of Atrial Fibrillation' (ORBIT-AF) study, with the aim to provide a
23 simpler score with better predictive ability, with good calibration^{18,41}. The [published](#)
24 evidence thus far suggests that the ORBIT score does not provide any profound

1 advantage compared to the HAS-BLED score^{34,42-44}, despite a slightly superior
2 calibration [in some studies](#)^{18,41}.

3
4 In terms of practical application, the ORBIT score largely focuses on non-modifiable
5 bleeding risk factors, while the HAS-BLED score includes both modifiable and non-
6 modifiable bleeding risk factors, which would have an impact on how patients would
7 be categorised as low or high risk (see Figure 3 for illustrative case, also comparing
8 with 2 other bleeding scores, ATRIA and HEMORRH₂AGES³³). Indeed, categorising
9 a patient as 'low risk' usually means 'no action' while a 'high bleeding risk' alerts from
10 electronic medical records triggers a healthcare professional to action a follow-up
11 plan. This is also reinforced by our exploratory analysis [in the present study](#) on the
12 'very low risk' patients according to ORBIT score, in which HAS-BLED [was](#) still
13 strongly associated with occurrence of major bleeding, with a good predictive
14 performance. [Bleeding risk is also](#) dynamic rather than static, with the risk changing
15 with age and incident comorbidities; [hence,](#) the assessment of bleeding risk at each
16 visit can also significantly impact the clinical course of the patients with AF^{45,46}.

17
18 In this context, our data reinforce and strengthen previous evidence, showing how
19 even in a contemporary cohort of NOAC treated patients, the ORBIT score
20 categorises a very high proportion of patients in the 'very low risk' category, not
21 providing any gain in terms of predictive ability. While the original authors underlined
22 the ability of ORBIT score as to be able to identify the 'real' high-risk patients, this is
23 in contrast with the practical [use of](#) a bleeding risk score, [as](#) discussed above.

24

1 The [recent](#) update of the NICE clinical guidelines for the management of AF
2 patients⁷ recommends the use of ORBIT bleeding score in NOAC treated patients,
3 due to the ‘better calibration with this score’ but ‘with very low to low quality data’⁷. In
4 the evidence review conducted by NICE
5 ([https://www.nice.org.uk/guidance/ng196/evidence/evidence-reviews-april-2021-
6 9081923437](https://www.nice.org.uk/guidance/ng196/evidence/evidence-reviews-april-2021-9081923437)) their recommendation [was essentially](#) based on four studies^{18,41,47,48}.
7 Two were derived from the highly selected cohorts of the NOAC phase III trials^{18,41},
8 one of which was in the specific setting of patients with a previous stroke⁴⁷ and 3 in
9 mixed cohorts taking vitamin K antagonists and NOACs^{18,41,47}. The NICE guidelines
10 clearly state that there [was](#) no difference in terms of predictive ability, showing
11 similar pooled AUCs for the two scores, and no difference in terms of reclassification.
12 In this context, our data [for the high bleeding risk strata](#) also underline the poorer
13 calibration for the ORBIT score in [our](#) AF patients taking NOACs.

15 *Limitations*

16 The main limitation of the current study is related to the observational nature of the
17 registry itself. [The absence of a central events adjudication with an investigator-
18 based reporting of the adverse outcomes represents another limitation, which entails
19 caution in interpreting the current results. Whilst an underreporting of adverse
20 outcomes can still be possible, given the important consequences of a major
21 bleeding event on patients’ health we believe that is quite unlikely that a major
22 bleeding event would not be reported^{49,50}. \[Compared to the derivation cohorts we
23 found lower rates of bleeding events; this may have influenced the comparisons of
24 the predictive ability of the two scores. Also, we show their sub-optimally calibration
25 in this more contemporary NOAC-treated AF cohort, being unsuitable for accurate\]\(#\)](#)

1 bleeding event rate estimation. Instead, bleeding risk scores should appropriately
2 focus on modifiable bleeding risk factors for mitigation, and to flag up the high
3 bleeding risk patients for review (Figure 3).
4

5 **CONCLUSIONS**

6 In this real-life contemporary cohort of AF treated with NOACs, the ORBIT score did
7 not provide reclassification improvement or better calibration compared to HAS-
8 BLED. Both scores were associated with a risk of MB and were sub-optimally
9 calibrated for those in high bleeding risk strata, particularly poor with the ORBIT
10 score. Also, the use of ORBIT score categorised a great proportion of patients into
11 the very low risk category. Our findings do not support the preferential use of ORBIT
12 score in NOAC-treated AF patients.
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1 SUPPLEMENTARY MATERIALS

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3 Supplementary Methods

4 *Assessment of Bleeding Risk Scores*

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6 **Figure S1: Bleeding Risk Scores ROC Curves for Major Bleeding Occurrence**

7 **Figure S2: HAS-BLED Score ROC Curve in ORBIT Very Low Risk Patients**

8 **Figure S3: DCA Curves for Bleeding Risk Scores**

9

10 **APPENDIX: EURObservational Research Programme Atrial Fibrillation (EORP-
11 AF) Long-Term General Registry Committees and Investigators**

12

1 **ACKNOWLEDGEMENTS**

2 EORP Oversight Committee, Executive and Steering Committees (National
3
4 3 Coordinators) of the EURObservational Research Programme (EORP) – Atrial
5
6 4 Fibrillation General Long-Term (EORP-AF Gen LT) Registry of the European Society
7
8 5 of Cardiology (ESC). Data collection was conducted by the EORP department by
9
10 6 Patti-Ann McNeill as Project Officer, Viviane Missiamenou as Data Manager. Overall
11
12 7 activities were coordinated and supervised by Doctor Aldo P. Maggioni (EORP
13
14 8 Scientific Coordinator).
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22 10 **FUNDING**

23
24 11 Since the start of EORP, the following companies have supported the programme:
25
26 12 Abbott Vascular Int. (2011-2021), Amgen Cardiovascular (2009-2018), AstraZeneca
27
28 13 (2014-2021), Bayer (2009-2018), Boehringer Ingelheim (2009-2019), Boston
29
30 14 Scientific (2009-2012), The Bristol Myers Squibb and Pfizer Alliance (2011-2016),
31
32 15 The Alliance Daiichi Sankyo Europe GmbH and Eli Lilly and Company (2011-2017),
33
34 16 Edwards (2016-2019), Gedeon Richter Plc. (2014-2017), Menarini Int. Op. (2009-
35
36 17 2012), MSD-Merck & Co. (2011-2014), Novartis Pharma AG (2014-2020), ResMed
37
38 18 (2014-2016), Sanofi (2009-2011), SERVIER (2010-2021), Vifor (2019-2022).
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46 20 **COMPETING INTERESTS**

47
48 21 **GB** received small speaker’s fees from Medtronic, Boston, Boehringer Ingelheim and
49
50 22 Bayer; **GYHL** has been consultant and speaker for BMS/Pfizer, Boehringer
51
52 23 Ingelheim and Daiichi-Sankyo. No fees are directly received personally. All other
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54 24 authors have nothing to declare.
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1 **AVAILABILITY OF DATA**

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2 All relevant data regarding the study are included in the manuscript.

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1 **FIGURES LEGENDS**

2

3 **Figure 1: Kaplan-Meier Curves for Bleeding Risk Scores**

4 Legend: HAS-BLED) Log-Rank= 9.044, p=0.003; ORBIT) Log-Rank= 22.932,
5 p<0.001.

6

7 **Figure 2: Calibration Curves for Bleeding Risk Scores in EORP-AF Cohort**

8 Legend: EORP-AF= EURObservational Research Programme in Atrial Fibrillation.

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10 **Figure 3: Illustrative Case for Baseline Bleeding Risk Evaluation in AF Patients**

11 Legend: AF= Atrial Fibrillation; BP= Blood Pressure; ESC= European Society of
12 Cardiology; NSAIDs= Non-Steroidal Anti-Inflammatory Drugs; OAC= Oral
13 Anticoagulant.

1 **Table 1: Baseline Characteristics of Patients Included in the Analysis**

	EORP-AF LT General Registry
	N= 3018
Age, years median [IQR]	70 [62-77]
Female Sex , n (%)	1196 (39.6)
BMI, kg/m² median [IQR]	27.8 [25.0-31.5]
CrCl, mL/min median [IQR]	77.6 [58.3-100.0]
SBP, mmHg median [IQR]	130 [120-142]
DBP, mmHg median [IQR]	80 [70-88]
Chronic AF , n (%)	684 (22.7)
Heart Failure , n (%)	938 (31.1)
Hypertension , n (%)	1809 (59.9)
Diabetes Mellitus , n (%)	632 (20.9)
CAD , n (%)	642 (21.3)
PAD , n (%)	202 (6.07)
Stroke/TIA , n (%)	277 (9.2)
Previous Bleeding , n (%)	159 (5.3)
Liver Disease , n (%)	49 (1.6)
CKD , n (%)	209 (10.2)
CHA₂DS₂-VASc , median [IQR]	3 [2-4]

2 **Legend:** AF= Atrial Fibrillation; BMI= Body Mass Index; CAD= Coronary Artery
3 Disease; CKD= Chronic Kidney Disease; CrCl= Creatinine Clearance; DBP=
4 Diastolic Blood Pressure; EORP-AF= EURObservational Research Programme in
5 Atrial Fibrillation; n iii909k988iii, ' IQR= Interquartile Range; LT= Long-Term; PAD=
6 Peripheral Artery Disease; SBP= Systolic Blood Pressure; TIA= Transient Ischemic
7 Attack.

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1 **Table 2: Bleeding Scores Distribution and Incidence of Major Bleeding**

	HAS-BLED		ORBIT	
Mean (SD)	1.3 (1.0)		1.0 (1.2)	
Median [IQR]	1 [1-2]		1 [0-2]	
High Bleeding Risk, N (%)	356 (11.8%)		123 (4.1%)	
	N (%)	MB (N, [IR])	N (%)	MB (N, [IR])
0	605 (20.0)	2 (0.2)	1495 (49.5)	23 (0.8)
1	1199 (39.7)	20 (0.9)	732 (24.3)	10 (0.7)
2	858 (28.4)	24 (1.5)	357 (11.8)	10 (1.5)
3	290 (9.6)	9 (1.7)	311 (10.3)	8 (1.5)
4	59 (2.0)	4 (4.2)	80 (2.7)	4 (3.0)
5	7 (0.2)	1 (11.6)	38 (1.3)	5 (9.5)
6	-	-	5 (0.2)	0 (0)
7	-	-	-	-
8	-	-	-	-
9	-	-	-	-

2 **Legend:** IQR= Interquartile Range; IR= Incidence Rate; MB= Major Bleeding.

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1 **Table 3: Association between Bleeding Risk Scores and Risk of Major Bleeding Occurrence**

	Univariate Analysis			Multivariate Analysis*		
	HR	95% CI	p	HR	95% CI	p
<u>HAS-BLED</u>						
Continuous Score	1.78	1.41-2.25	<0.001	1.74	1.37-2.21	<0.001
≥3 (vs. <3)	2.43	1.34-4.42	0.004	2.26	1.23-4.15	0.008
<u>ORBIT</u>						
Continuous Score	1.43	1.21-1.69	<0.001	1.42	1.20-1.68	<0.001
≥4 (vs. <4)	4.79	2.36-9.73	<0.001	4.62	2.25-9.46	<0.001

2 **Legend:** *adjusted for female sex, EHRA score and type of AF; AF= Atrial Fibrillation; CI= Confidence Interval; EHRA= European
3 Heart Rhythm Association; HR= Hazard Ratio.

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1 **Table 4: Reclassification Analysis for Bleeding Risk Scores about Major Bleeding Occurrence**

<u>ORBIT vs. HAS-BLED</u>	IDI (95% CI)	p	NRI (95% CI)	p	MI (95% CI)	p
1 year FU	-0.001	0.757	-0.069	0.465	-0.002	0.120
	(-0.009 / 0.009)		(-0.193 / 0.138)		(-0.004 / 0.002)	
2 years FU	-0.002	0.691	-0.117	0.093	-0.002	0.093
	(-0.018 / 0.015)		(-0.301 / 0.018)		(-0.013 / 0.001)	

2 **Legend:** CI= Confidence Interval; FU= Follow-Up; IDI= Integrated Discrimination Improvement; MI= Median Improvement; NRI=

3 Net Reclassification Index.

1 **Comparison of HAS-BLED and ORBIT Bleeding Risk Scores in AF Patients**
2 **treated with NOACs: A Report from the ESC-EHRA EORP-AF General Long-**
3 **Term Registry**

4
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32

1 **ABSTRACT**

2 **Introduction:** Bleeding risk assessment is recommended in guidelines for the
3 management of atrial fibrillation (AF). HAS-BLED score was proposed prior to non-
4 vitamin K antagonist oral anticoagulants (NOACs) and has been suggested that the
5 ORBIT score may be superior in predicting bleeds in NOAC users. We aimed to
6 compare the HAS-BLED and ORBIT scores in contemporary AF patients treated with
7 NOACs.

8 **Methods and Results:** We analyzed patients enrolled in the ESC-EHRA EORP-AF
9 General Long-Term Registry. HAS-BLED and ORBIT scores were computed based
10 on original schemes. The primary outcome was the occurrence of Major Bleeding
11 (MB). A total of 3018 patients (median age 70; 39.6% females) were included:
12 median [IQR] HAS-BLED and ORBIT scores were 1 [1-2] and 1 [0-2], respectively;
13 356 (11.8%) patients were at high risk for MB using HAS-BLED (≥ 3) and 123 (4.1%)
14 using ORBIT (≥ 4). Overall, 60 (2.0%) MB events were recorded, with an incidence of
15 1.1 per 100 patient-years.

16 Both HAS-BLED and ORBIT were associated with outcome, modestly predicting MB
17 (AUC 0.653, 95% CI 0.593-0.714 and AUC 0.601, 95% CI 0.526-0.677,
18 respectively). Calibration plots showed that both scores were poorly calibrated,
19 particularly the ORBIT score, which showed consistent poorer calibration. Time-
20 dependent reclassification analysis showed a trend towards incorrect lower risk
21 reclassification using ORBIT compared to HAS-BLED.

22 **Conclusion:** In this real-life contemporary cohort of AF patients treated with NOACs,
23 the ORBIT score did not provide reclassification improvement, showing even poorer
24 calibration compared to HAS-BLED. Our findings do not support the preferential use
25 of ORBIT in NOAC-treated AF patients.

1

2 **Keywords:** atrial fibrillation; bleeding risk; HAS-BLED; ORBIT.

3

1 INTRODUCTION

2 Clinical guidelines on the management of atrial fibrillation (AF) recommend the
3 evaluation of bleeding risk factors, in order to address modifiable risk factors for
4 mitigation, and flag up high bleeding risk patients for early review and follow-up¹⁻³.
5 Furthermore, the assessment of bleeding risk need to be re-evaluated during follow-
6 up visits, since the risk of bleeding need to be considered as dynamic rather than
7 static^{2,4}.

8
9 Among the various bleeding risk scores, the HAS-BLED score is currently
10 recommended by most of international guidelines¹⁻³, on the basis of its simplicity,
11 better predictive profile and validation across the patient pathway (untreated,
12 antiplatelets, anticoagulants) compared to the various other bleeding scores^{5,6}. The
13 2021 UK National Institute for Health and Care Excellence' (NICE) updated
14 recommendation for clinical management of AF patients⁷ promoted the use of the
15 ORBIT bleeding risk score to evaluate bleeding risk in patients treated with non-
16 vitamin K antagonist oral anticoagulants (NOACs) due to better calibration compared
17 to other bleeding risk scores (albeit 'with very low to low quality data')⁷.

18
19 As the HAS-BLED score was initially proposed in the era prior to NOACs, the aim of
20 the present study was to formally compare the HAS-BLED and ORBIT bleeding risk
21 scores in AF patients actually treated with NOACs in a large prospective real-world
22 cohort of European AF patients.

23

24

1 **METHODS**

2 To perform this analysis, we used the dataset from the 'European Society of
3 Cardiology - European Heart Rhythm Association' (ESC-EHRA) EURObservational
4 Research Programme in AF (EORP-AF) General Long-Term Registry. The ESC-
5 EHRA EORP AF General Long-Term Registry is a prospective multicentre
6 observational registry held by the ESC and endorsed by the EHRA, with the General
7 Long-Term Registry preceded by the EORP-AF General Pilot Registry⁸⁻¹¹ and
8 followed by the ESC-EHRA EORP-AF III Registry¹², in the context of the
9 independent observational research from the ESC. The EORP-AF General Long-
10 Term Registry is a prospective, observational, multicentre registry established by
11 ESC in 27 participating countries. The study enrolled 11,096 consecutive patients
12 with AF presenting in 250 cardiology practices, in both in- and outpatient settings.
13 The detailed description of the study design, baseline characteristics and 1-year
14 follow-up results have been provided previously^{13,14}. Briefly, all patients enrolled had
15 AF documented within 12 months before enrolment based on objective
16 electrocardiographic evaluation. All patients were aged ≥ 18 years and provided
17 written informed consent. Enrolment was undertaken from October 2013 to
18 September 2016, with 1-year and 2-year follow-up. Institutional review board
19 approved the study protocol for each country and subsequently for each enrolling
20 site, and the study was performed according to the EU Note for Guidance on Good
21 Clinical Practice CPMP/ECH/135/95 and the Declaration of Helsinki.

22

23 For the purposes of this paper, we included in this analysis all those patients enrolled
24 into the ESC-EHRA EORP-AF General Long-Term Registry which were prescribed
25 with NOACs at baseline and had available data about HAS-BLED and ORBIT

1 bleeding scores with available follow-up information about events occurring
2 throughout the follow-up observation.

3
4 Thromboembolic risk was defined according to CHA₂DS₂-VASc score¹⁵. Based on the
5 new NICE guidelines⁷, 'Low risk' was defined as a CHA₂DS₂-VASc score 0 in males
6 and 1 in females; 'moderate risk' was defined for a CHA₂DS₂-VASc 1 in males; 'high
7 risk' was defined as CHA₂DS₂-VASc ≥ 2 . Symptomatic status was defined according
8 to EHRA score¹⁶. Chronic AF was defined as long-standing persistent and permanent
9 AF, while non chronic AF was defined as first detected, paroxysmal and persistent AF.

10

11 *Bleeding Scores*

12 HAS-BLED and ORBIT bleeding scores were both calculated on the basis of the
13 original validated schemes^{17,18}. In EORP-AF, the HAS-BLED score was originally
14 calculated during baseline evaluation automatically by the electronic case report
15 form (eCRF), based on clinical variables and medical interview. ORBIT was
16 calculated retrospectively based on information collected into the final dataset.

17 Clinical variables used to compile both the scores were reported in Supplementary
18 Materials (Table S1). High bleeding risk was defined for a HAS-BLED ≥ 3 and for an
19 ORBIT ≥ 4 ^{17,18}, respectively.

20

21 *Follow-Up and Outcomes*

22 All patients discharged alive after the baseline evaluation entered the follow-up.
23 During follow-up all incident major adverse clinical events were recorded by each
24 investigator and entered the eCRF at 1-year and 2-years follow-up visits. Follow-up
25 was permitted also by telephone interview with the patient or next of kin in the case

1 the patients was deceased or unable to perform the interview. More details about
2 follow-up procedures were already reported elsewhere¹⁴.

3

4 Major bleeding was considered as the primary study outcome for this analysis. Major
5 bleeding was defined based on occurrence of intracranial hemorrhage and major
6 extracranial hemorrhage during follow-up. Major extracranial bleeding was defined
7 as a bleeding event causing a drop in haemoglobin level >2 g/L, requiring blood
8 transfusion or hospitalization occurring in any major organ system. Evaluation of
9 bleeding outcomes was performed by each investigator and not adjudicated
10 centrally. Follow-up analysis was performed according to an intention-to-treat
11 approach. Follow-up was censored at the end of observation or at occurrence of
12 death, whichever occurred first. Additionally, we reported the occurrence of ischemic
13 stroke and all-cause death.

14

15 *Statistical Analysis*

16 Continuous variables were expressed as median and interquartile ranges.

17 Categorical variables were expressed as counts and percentages. Difference in
18 survival according to risk scores were assessed with Kaplan-Meier curves and Log-
19 Rank test. Cox proportional hazard analysis were also performed to assess the
20 occurrence of bleeding events according to both high risk categories and continuous
21 scores. The Cox model was adjusted for female sex, EHRA score and type of AF.

22

23 For each score we also produced ROC curves and calculated the area under the
24 curve (AUC) and 95% CI for AUC were estimated using the method by De-Long &
25 De-Long¹⁹. Calibration plots were produced calculating the incidence rate (IR) of

1 bleeding events for each score category in our cohort, and then plotting these IRs
2 against those reported in the derivation cohorts^{17,18}.

3

4 Reclassification analysis were performed with HAS-BLED as reference; integrated
5 discrimination improvement (IDI), net reclassification improvement (NRI) and the
6 median improvement were calculated with a time-dependent approach, using scores
7 in continuous, and according to the method described by Pencina et al.²⁰, with the
8 survIDINRI package. Decision Curve Analysis was also performed according to
9 previously reported method²¹. Two-sided p values <0.05 were considered statistically
10 significant. All analyses were performed with SPSS statistical software version
11 27.0.1 for MacOS and R 4.0.3 for Windows²², using pROC²³, rms²⁴, rmda²⁵ and
12 survIDINRI²⁶ packages.

13

14

1 **RESULTS**

2 From the overall cohort originally enrolled in EORP-AF, a total of 3,018 patients were
3 included (median [IQR] age 70 [62-77] years; 1196 (39.6%) female). Median [IQR]
4 CHA₂DS₂-VASc score was 3 [2-4], and 2180 (72.2%) were at high thromboembolic
5 risk. Main baseline characteristics are shown in Table 1.

6

7 Distribution of bleeding scores are shown in Table 2. The mean (SD) HAS-BLED
8 score was 1.3 (1.0) [median [IQR] score 1 [1-2]], with 356 (11.8%) at high bleeding
9 risk. The mean ORBIT score was 1.0 (1.2) [median [IQR] 1 [0-2]], with 123 (4.1%) at
10 high bleeding risk. Very low bleeding risk was found in 605 (20.0%) using HAS-
11 BLED and in 1495 (49.5%) with the ORBIT score.

12

13 *Incidence of Major Bleeding*

14 Over a mean (SD) 680.79 (174.32) days of follow-up a total of 20 major bleeding
15 events were reported (9 intracranial bleedings and 51 major extracranial
16 haemorrhages), with an overall incidence of 1.1 per 100 patient-years. Incidence
17 rates according to the bleeding risk scores are reported in Table 2. Major bleeding
18 rates progressively increased according to both continuous HAS-BLED and ORBIT
19 score points (Table 2). We also recorded a total of 54 ischemic stroke events (0.96
20 per 100 patient-years) and 224 all-cause death events (3.93 per 100 patient-years).
21 Based on the HAS-BLED score, major bleeding incidence in the low bleeding risk
22 group was 0.92 per 100 patient-years, increasing to 2.26 per 100 patient-years in the
23 high bleeding risk group. For the ORBIT score incidence rate in low bleeding risk
24 group was 0.94 per 100 patient-years, increasing to 4.58 per 100 patient-years in the

1 high risk group. Kaplan-Meier curves [Figure 1] show that for both scores, the high
2 bleeding risk category had a greater cumulative bleeding risk than the low risk group.

3

4 *Risk Scores and Major Bleeding*

5 In the Cox regression analysis (Table 3), both the risk scores were associated with
6 occurrence of major bleeding as continuous scores in univariate analysis and after
7 multivariate adjustments. Similarly, the high bleeding risk category was significantly
8 associated with the occurrence of major bleeding for both scores.

9

10 For the HAS-BLED score, those with high baseline bleeding risk (i.e., HAS-BLED ≥ 3)
11 had >2-fold increase in risk (Hazard Ratio [HR] 2.26, 95% confidence interval [CI]
12 1.23-4.15) compared to the low bleeding risk group, after adjustment for female sex,
13 EHRA score and type of AF (chronic vs. non-chronic). For the ORBIT score, high
14 baseline bleeding risk (i.e., ORBIT ≥ 4) had >4-fold increase in risk (HR 4.62, 95% CI
15 2.25-9.46) compared to ORBIT score < 4 , after adjustment for female sex, EHRA
16 score and type of AF.

17 Of the 1495 patients (49.5%) categorised as 'very low' bleeding risk using the ORBIT
18 score, re-stratifying these patients by HAS-BLED score showed that 63.3% were at
19 moderate-high bleeding risk. In this subgroup of patients ('very low' bleeding risk
20 using ORBIT score), HAS-BLED score was significantly associated with risk of major
21 bleeding (HR 1.90, 95% CI 1.26-2.85), after adjustment for female sex, EHRA score,
22 and type of AF.

23

24 *Predictive Ability, Reclassification Analysis and Calibration Plots*

1 ROC curve analyses showed that both the scores were able to predict the
2 occurrence of major bleeding events with a moderate ability, with HAS-BLED score
3 reporting a numerically higher AUC (or c-index) value than the ORBIT score (AUCs
4 0.653, 95% CI 0.593-0.714 and AUC 0.601, 95% CI 0.526-0.677, respectively).
5 Visual inspection of the two ROC curves seems to suggest a superiority in predicting
6 the occurrence of major bleeding for HAS-BLED score than ORBIT score [Figure
7 S1], but this difference was not statistically significant using the De-Long and De-
8 Long test, $p=0.216$. In the cohort of 1495 (49.5%) patients with very low bleeding risk
9 according to ORBIT score, the HAS-BLED showed a good predictive performance
10 (AUC 0.701, 95% CI 0.614-0.788) [Figure S2].

11
12 Reclassification analyses are reported in Table 4. Projecting the risk stratification
13 performance at 1 year of follow-up, no difference was found between the two scores.
14 At 2 years of follow-up while a non-significant trend for lower risk reclassification
15 capacity, in terms of NRI, with loss in median improvement for the ORBIT score
16 compared to the HAS-BLED score. Examination of the DCA curves [Figure S3] did
17 not show any relevant difference in net benefit by using one score or the other.

18
19 Results from the model calibration analysis comparing observed major bleeding
20 rates in the EORP-AF registry with reported bleeding rates in the original derivation
21 populations for HAS-BLED and ORBIT scores are shown in Figure 2. The HAS-
22 BLED score showed good calibration for the low-risk score strata, with poor
23 calibration in the higher bleeding risk strata. In comparison, the ORBIT score showed
24 poorer calibration than the HAS-BLED score throughout all risk strata.

25

1 **DISCUSSION**

2 In this analysis, we found that in NOAC-treated AF patients, the use of the HAS-
3 BLED score identified almost 12% of patients with a high risk of bleeding and 20% of
4 patients with a very low risk of bleeding, while using the ORBIT score only identified
5 4% of patients at high risk of bleeding and half of the entire cohort was considered at
6 very low risk of bleeding. Second, both the scores were significantly associated with
7 major bleeding and predicted the event with a similarly modest capacity (c-indexes
8 0.60-0.65), consistent with a general performance of clinical risk scores. In the
9 reclassification analysis, we found only a non-significant trend for poorer
10 reclassification with the ORBIT score, while the analysis of the calibration plots
11 showed that both the scores were not well calibrated in this cohort for the high
12 bleeding risk strata, which was worse for the ORBIT score compared to the HAS-
13 BLED score. These findings do not support the preferential use of ORBIT in NOAC-
14 treated AF patients.

15

16 In this contemporary cohort of NOAC-treated AF patients, the incidence of major
17 bleeding was low, consistent with other previous contemporary studies of AF
18 patients management^{27,28}. In an analysis derived from the GARFIELD-AF registry,
19 the overall incidence of major bleeding was 1.31 per 100 patient-years²⁷. In the
20 follow-up of the GLORIA-AF registry phase II, the overall incidence of major bleeding
21 was 0.97 per 100 patient-years²⁸. Such a low incidence of major bleeding in
22 contemporary AF patients could reflect both the introduction and the implementation
23 of NOACs, as well as the general and overall improvement in AF patient
24 management.

1 In AF management, the assessment of bleeding risk is essential for all the patients,
2 both at baseline and throughout their follow-up¹⁻³. The appropriate and responsible
3 use of bleeding risk scores is really to draw attention to modifiable bleeding risk
4 factors that can be mitigated, and to flag up the high bleeding risk patients for early
5 review and proactive regular followup^{29,30}. This strategy has been tested
6 prospectively in the mAFA-II trial, which was a cluster randomised trial where the
7 mAFA intervention (which used the HAS-BLED score to mitigate modifiable bleeding
8 risks and arrange follow-up of high bleeding risk patients) was compared to usual
9 care³¹. This showed that major bleeding was lower in the intervention arm, compared
10 to usual care; importantly, the use of OAC increased over 12 months in the
11 intervention arm, but declined in the usual care arm³¹. Overall patients in the
12 intervention arm showed a lower risk of adverse outcomes³².

13

14 Many bleeding risk scores have been published thus far³³, and the HAS-BLED score
15 has been found to be superior or performing equally compared to more complex risk
16 scores or clinical approaches exclusively focused on modifiable bleeding risk
17 factors³⁴⁻³⁷. Several systematic review and meta-analyses examining the differential
18 ability of the various bleeding risk scores have been published, and all indicate that
19 the HAS-BLED score provided better predictive ability, and expressing the best
20 balance between sensitivity and specificity^{5,38-40}. The ORBIT score was originally
21 derived from a largely anticoagulated cohort from the 'Outcomes Registry for Better
22 Informed Treatment of Atrial Fibrillation' (ORBIT-AF) study, with the aim to provide a
23 simpler score with better predictive ability, with good calibration^{18,41}. The published
24 evidence thus far suggests that the ORBIT score does not provide any profound

1 advantage compared to the HAS-BLED score^{34,42–44}, despite a slightly superior
2 calibration in some studies^{18,41}.

3

4 In terms of practical application, the ORBIT score largely focuses on non-modifiable
5 bleeding risk factors, while the HAS-BLED score includes both modifiable and non-
6 modifiable bleeding risk factors, which would have an impact on how patients would
7 be categorised as low or high risk (see Figure 3 for illustrative case, also comparing
8 with 2 other bleeding scores, ATRIA and HEMORRH₂AGES³³). Indeed, categorising
9 a patient as ‘low risk’ usually means ‘no action’ while a ‘high bleeding risk’ alerts from
10 electronic medical records triggers a healthcare professional to action a follow-up
11 plan. This is also reinforced by our exploratory analysis in the present study on the
12 ‘very low risk’ patients according to ORBIT score, in which HAS-BLED was still
13 strongly associated with occurrence of major bleeding, with a good predictive
14 performance. Bleeding risk is also dynamic rather than static, with the risk changing
15 with age and incident comorbidities; hence, the assessment of bleeding risk at each
16 visit can also significantly impact the clinical course of the patients with AF^{45,46}.

17

18 In this context, our data reinforce and strengthen previous evidence, showing how
19 even in a contemporary cohort of NOAC treated patients, the ORBIT score
20 categorises a very high proportion of patients in the ‘very low risk’ category, not
21 providing any gain in terms of predictive ability. While the original authors underlined
22 the ability of ORBIT score as to be able to identify the ‘real’ high-risk patients, this is
23 in contrast with the practical use of a bleeding risk score, as discussed above.

24

1 The recent update of the NICE clinical guidelines for the management of AF
2 patients⁷ recommends the use of ORBIT bleeding score in NOAC treated patients,
3 due to the ‘better calibration with this score’ but ‘with very low to low quality data’⁷. In
4 the evidence review conducted by NICE
5 ([https://www.nice.org.uk/guidance/ng196/evidence/evidence-reviews-april-2021-
6 9081923437](https://www.nice.org.uk/guidance/ng196/evidence/evidence-reviews-april-2021-9081923437)) their recommendation was essentially based on four studies^{18,41,47,48}.
7 Two were derived from the highly selected cohorts of the NOAC phase III trials^{18,41},
8 one of which was in the specific setting of patients with a previous stroke⁴⁷ and 3 in
9 mixed cohorts taking vitamin K antagonists and NOACs^{18,41,47}. The NICE guidelines
10 clearly state that there was no difference in terms of predictive ability, showing
11 similar pooled AUCs for the two scores, and no difference in terms of reclassification.
12 In this context, our data for the high bleeding risk strata also underline the poorer
13 calibration for the ORBIT score in our AF patients taking NOACs.

14

15 *Limitations*

16 The main limitation of the current study is related to the observational nature of the
17 registry itself. The absence of a central events adjudication with an investigator-
18 based reporting of the adverse outcomes represents another limitation, which entails
19 caution in interpreting the current results. Whilst an underreporting of adverse
20 outcomes can still be possible, given the important consequences of a major
21 bleeding event on patients’ health we believe that is quite unlikely that a major
22 bleeding event would not be reported^{49,50}. Compared to the derivation cohorts we
23 found lower rates of bleeding events; this may have influenced the comparisons of
24 the predictive ability of the two scores. Also, we show their sub-optimally calibration
25 in this more contemporary NOAC-treated AF cohort, being unsuitable for accurate

1 bleeding event rate estimation. Instead, bleeding risk scores should appropriately
2 focus on modifiable bleeding risk factors for mitigation, and to flag up the high
3 bleeding risk patients for review (Figure 3).

4

5 **CONCLUSIONS**

6 In this real-life contemporary cohort of AF treated with NOACs, the ORBIT score did
7 not provide reclassification improvement or better calibration compared to HAS-
8 BLED. Both scores were associated with a risk of MB and were sub-optimally
9 calibrated for those in high bleeding risk strata, particularly poor with the ORBIT
10 score. Also, the use of ORBIT score categorised a great proportion of patients into
11 the very low risk category. Our findings do not support the preferential use of ORBIT
12 score in NOAC-treated AF patients.

13

1 **SUPPLEMENTARY MATERIALS**

2

3 **Supplementary Methods**

4 *Assessment of Bleeding Risk Scores*

5

6 **Figure S1: Bleeding Risk Scores ROC Curves for Major Bleeding Occurrence**

7 **Figure S2: HAS-BLED Score ROC Curve in ORBIT Very Low Risk Patients**

8 **Figure S3: DCA Curves for Bleeding Risk Scores**

9

10 **APPENDIX: EURObservational Research Programme Atrial Fibrillation (EORP-**
11 **AF) Long-Term General Registry Committees and Investigators**

12

1 **ACKNOWLEDGEMENTS**

2 EORP Oversight Committee, Executive and Steering Committees (National
3 Coordinators) of the EURObservational Research Programme (EORP) – Atrial
4 Fibrillation General Long-Term (EORP-AF Gen LT) Registry of the European Society
5 of Cardiology (ESC). Data collection was conducted by the EORP department by
6 Patti-Ann McNeill as Project Officer, Viviane Missiamenou as Data Manager. Overall
7 activities were coordinated and supervised by Doctor Aldo P. Maggioni (EORP
8 Scientific Coordinator).

9

10 **FUNDING**

11 Since the start of EORP, the following companies have supported the programme:
12 Abbott Vascular Int. (2011-2021), Amgen Cardiovascular (2009-2018), AstraZeneca
13 (2014-2021), Bayer (2009-2018), Boehringer Ingelheim (2009-2019), Boston
14 Scientific (2009-2012), The Bristol Myers Squibb and Pfizer Alliance (2011-2016),
15 The Alliance Daiichi Sankyo Europe GmbH and Eli Lilly and Company (2011-2017),
16 Edwards (2016-2019), Gedeon Richter Plc. (2014-2017), Menarini Int. Op. (2009-
17 2012), MSD-Merck & Co. (2011-2014), Novartis Pharma AG (2014-2020), ResMed
18 (2014-2016), Sanofi (2009-2011), SERVIER (2010-2021), Vifor (2019-2022).

19

20 **COMPETING INTERESTS**

21 **GB** received small speaker’s fees from Medtronic, Boston, Boehringer Ingelheim and
22 Bayer; **GYHL** has been consultant and speaker for BMS/Pfizer, Boehringer
23 Ingelheim and Daiichi-Sankyo. No fees are directly received personally. All other
24 authors have nothing to declare.

25

1 **AVAILABILITY OF DATA**

2 All relevant data regarding the study are included in the manuscript.

3

4

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8

9

1 **FIGURES LEGENDS**

2

3 **Figure 1: Kaplan-Meier Curves for Bleeding Risk Scores**

4 Legend: HAS-BLED) Log-Rank= 9.044, p=0.003; ORBIT) Log-Rank= 22.932,
5 p<0.001.

6

7 **Figure 2: Calibration Curves for Bleeding Risk Scores in EORP-AF Cohort**

8 Legend: EORP-AF= EURObservational Research Programme in Atrial Fibrillation.

9

10 **Figure 3: Illustrative Case for Baseline Bleeding Risk Evaluation in AF Patients**

11 Legend: AF= Atrial Fibrillation; BP= Blood Pressure; ESC= European Society of
12 Cardiology; NSAIDs= Non-Steroidal Anti-Inflammatory Drugs; OAC= Oral
13 Anticoagulant.

1 **Table 1: Baseline Characteristics of Patients Included in the Analysis**

	EORP-AF LT General Registry
	N= 3018
Age, years median [IQR]	70 [62-77]
Female Sex , n (%)	1196 (39.6)
BMI, kg/m² median [IQR]	27.8 [25.0-31.5]
CrCl, mL/min median [IQR]	77.6 [58.3-100.0]
SBP, mmHg median [IQR]	130 [120-142]
DBP, mmHg median [IQR]	80 [70-88]
Chronic AF , n (%)	684 (22.7)
Heart Failure , n (%)	938 (31.1)
Hypertension , n (%)	1809 (59.9)
Diabetes Mellitus , n (%)	632 (20.9)
CAD , n (%)	642 (21.3)
PAD , n (%)	202 (6.07)
Stroke/TIA , n (%)	277 (9.2)
Previous Bleeding , n (%)	159 (5.3)
Liver Disease , n (%)	49 (1.6)
CKD , n (%)	209 (10.2)
CHA₂DS₂-VASc , median [IQR]	3 [2-4]

2 **Legend:** AF= Atrial Fibrillation; BMI= Body Mass Index; CAD= Coronary Artery
3 Disease; CKD= Chronic Kidney Disease; CrCl= Creatinine Clearance; DBP=
4 Diastolic Blood Pressure; EORP-AF= EURObservational Research Programme in
5 Atrial Fibrillation; n iii909k988iii, ' IQR= Interquartile Range; LT= Long-Term; PAD=
6 Peripheral Artery Disease; SBP= Systolic Blood Pressure; TIA= Transient Ischemic
7 Attack.

8

1 **Table 2: Bleeding Scores Distribution and Incidence of Major Bleeding**

	HAS-BLED		ORBIT	
Mean (SD)	1.3 (1.0)		1.0 (1.2)	
Median [IQR]	1 [1-2]		1 [0-2]	
High Bleeding Risk, N (%)	356 (11.8%)		123 (4.1%)	
	N (%)	MB (N, [IR])	N (%)	MB (N, [IR])
0	605 (20.0)	2 (0.2)	1495 (49.5)	23 (0.8)
1	1199 (39.7)	20 (0.9)	732 (24.3)	10 (0.7)
2	858 (28.4)	24 (1.5)	357 (11.8)	10 (1.5)
3	290 (9.6)	9 (1.7)	311 (10.3)	8 (1.5)
4	59 (2.0)	4 (4.2)	80 (2.7)	4 (3.0)
5	7 (0.2)	1 (11.6)	38 (1.3)	5 (9.5)
6	-	-	5 (0.2)	0 (0)
7	-	-	-	-
8	-	-	-	-
9	-	-	-	-

2 **Legend:** IQR= Interquartile Range; IR= Incidence Rate; MB= Major Bleeding.

3

1 **Table 3: Association between Bleeding Risk Scores and Risk of Major Bleeding Occurrence**

	Univariate Analysis			Multivariate Analysis*		
	HR	95% CI	p	HR	95% CI	p
<u>HAS-BLED</u>						
Continuous Score	1.78	1.41-2.25	<0.001	1.74	1.37-2.21	<0.001
≥3 (vs. <3)	2.43	1.34-4.42	0.004	2.26	1.23-4.15	0.008
<u>ORBIT</u>						
Continuous Score	1.43	1.21-1.69	<0.001	1.42	1.20-1.68	<0.001
≥4 (vs. <4)	4.79	2.36-9.73	<0.001	4.62	2.25-9.46	<0.001

2 **Legend:** *adjusted for female sex, EHRA score and type of AF; AF= Atrial Fibrillation; CI= Confidence Interval; EHRA= European

3 Heart Rhythm Association; HR= Hazard Ratio.

4

5

1 **Table 4: Reclassification Analysis for Bleeding Risk Scores about Major Bleeding Occurrence**

<u>ORBIT vs. HAS-BLED</u>	IDI (95% CI)	p	NRI (95% CI)	p	MI (95% CI)	p
1 year FU	-0.001 (-0.009 / 0.009)	0.757	-0.069 (-0.193 / 0.138)	0.465	-0.002 (-0.004 / 0.002)	0.120
2 years FU	-0.002 (-0.018 / 0.015)	0.691	-0.117 (-0.301 / 0.018)	0.093	-0.002 (-0.013 / 0.001)	0.093

2 **Legend:** CI= Confidence Interval; FU= Follow-Up; IDI= Integrated Discrimination Improvement; MI= Median Improvement; NRI=

3 Net Reclassification Index.

Figure 1

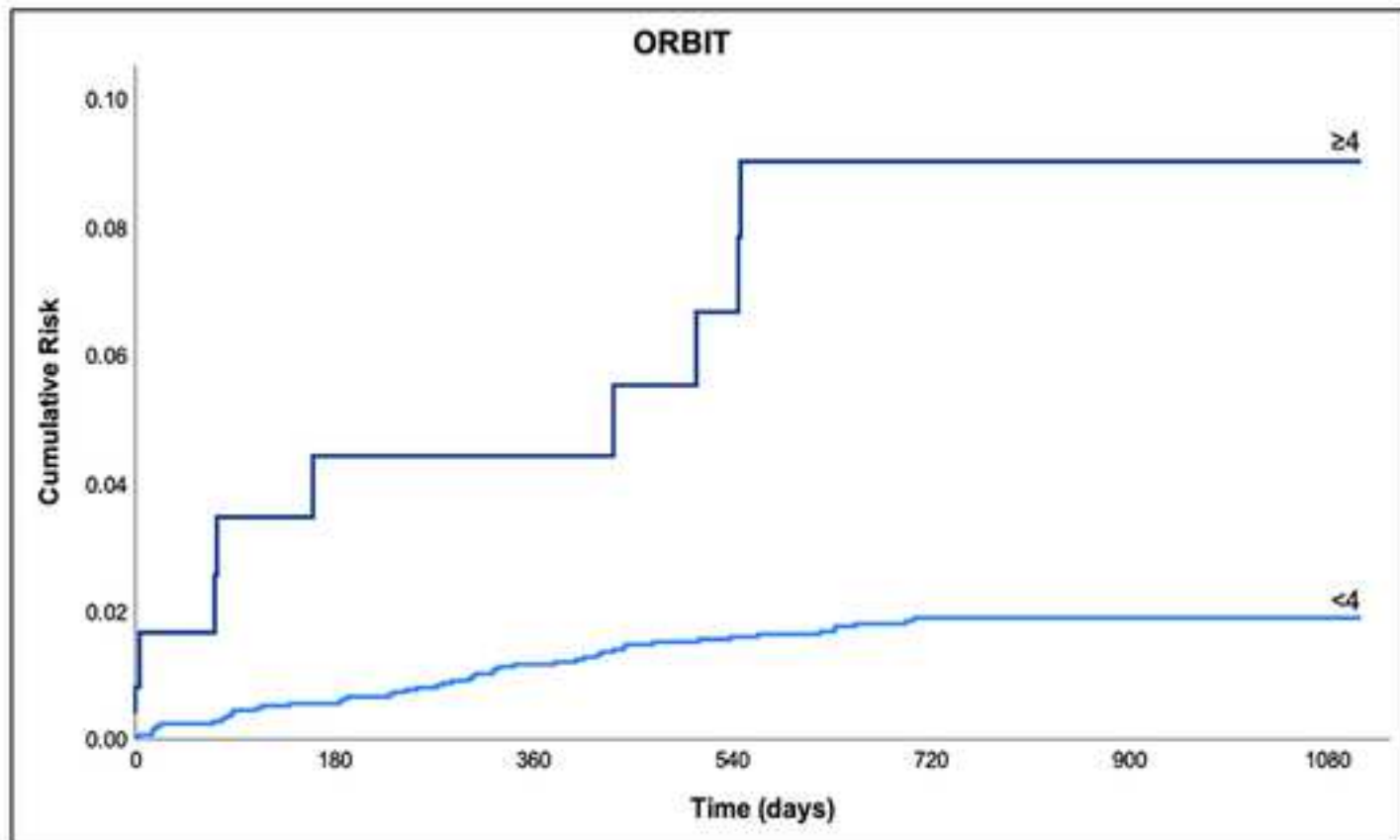
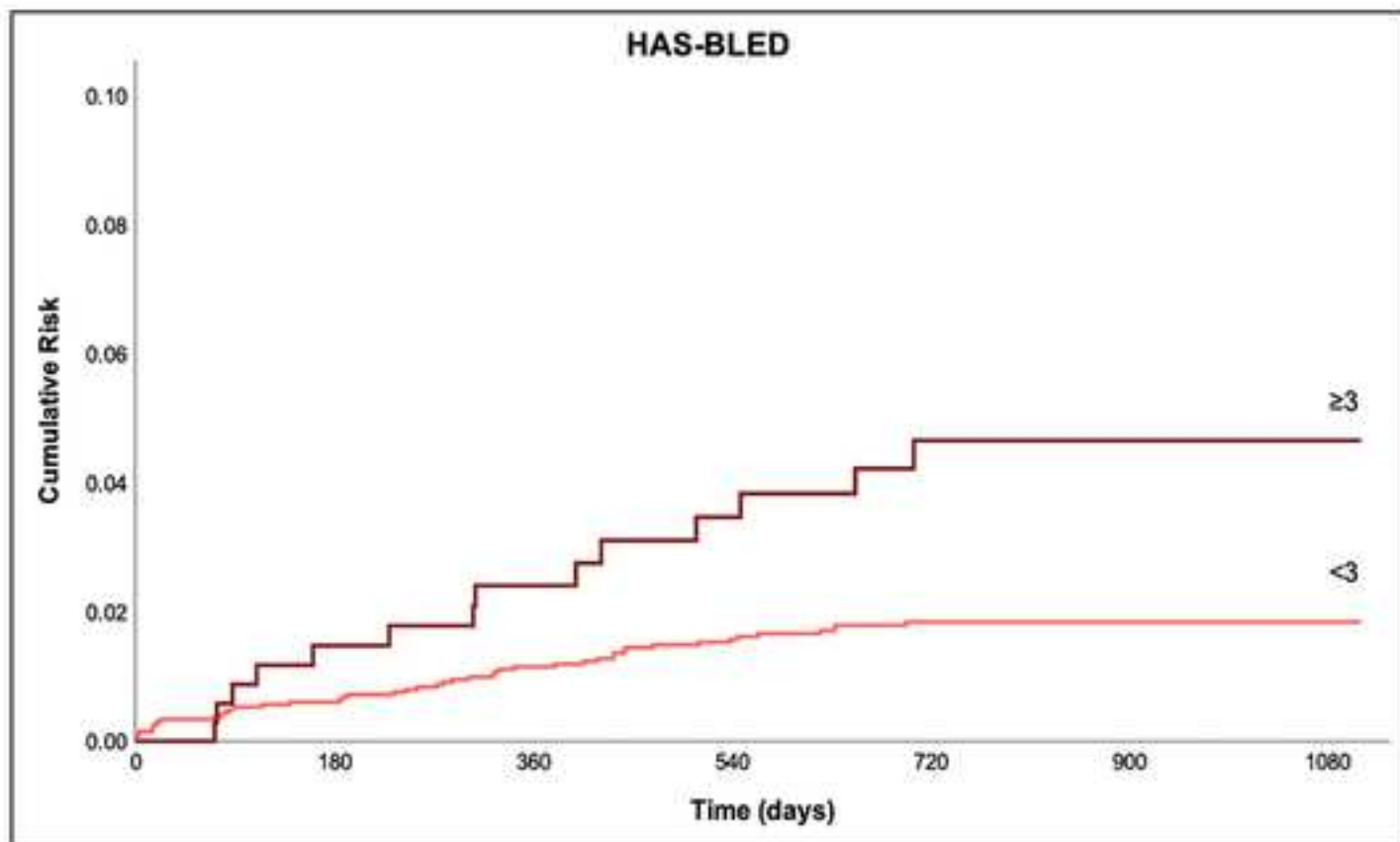
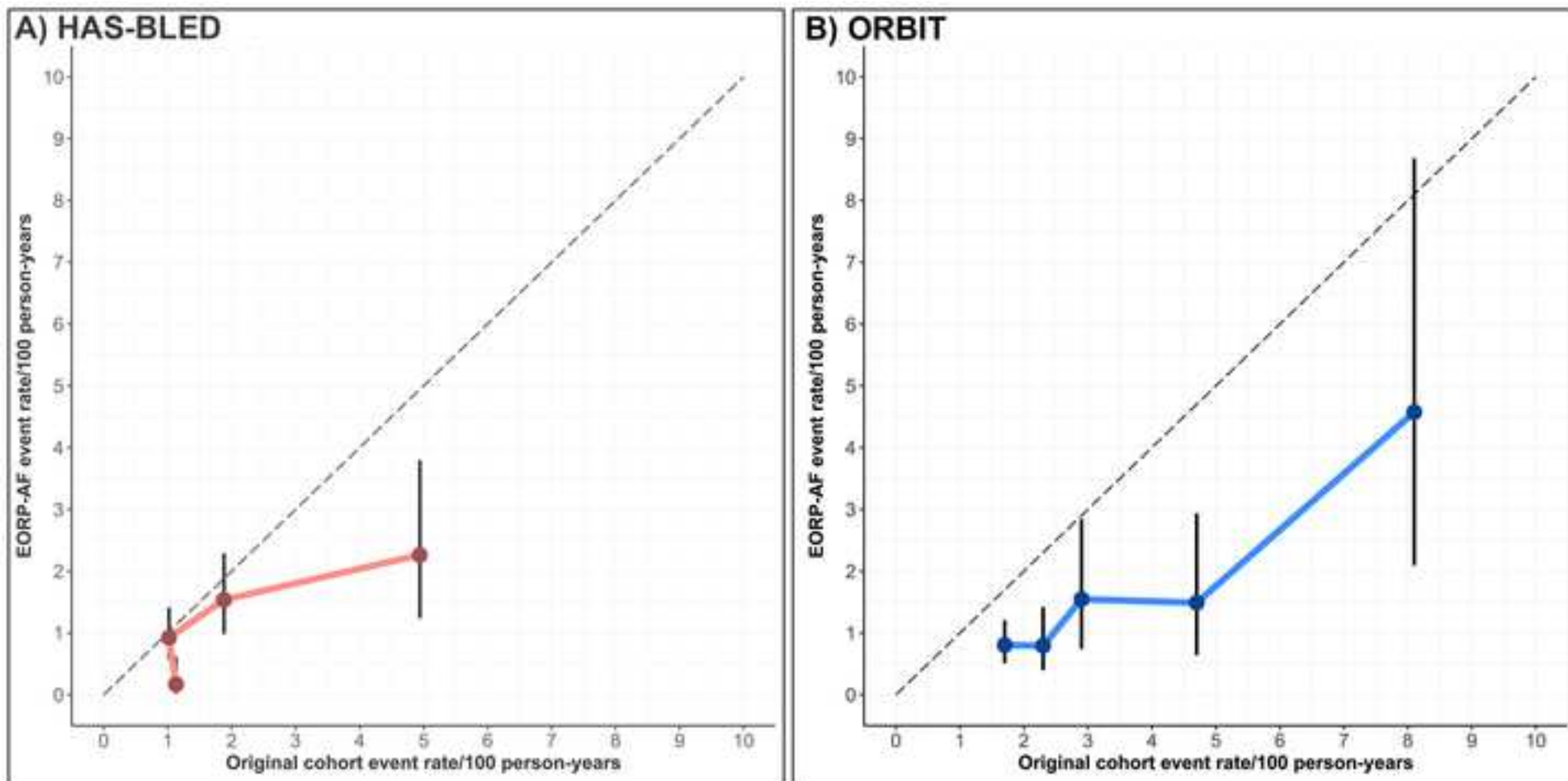
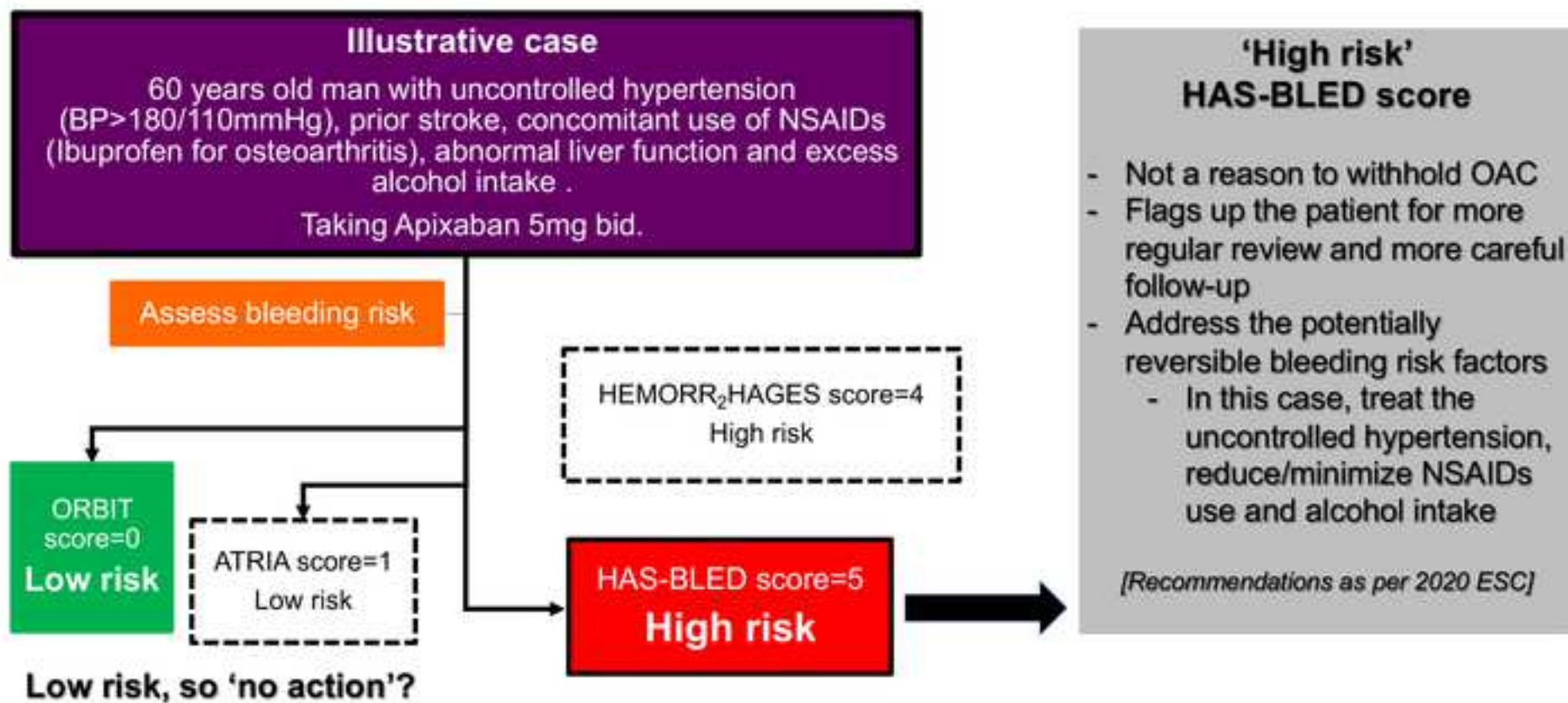


Figure 2



Bleeding Risk Evaluation in AF Patient and Subsequent Management



Comparison of HAS-BLED and ORBIT Bleeding Risk Scores in AF Patients treated with NOACs: A Report from the ESC-EHRA EORP-AF General Long-Term Registry

Marco Proietti MD PhD, Giulio Francesco Romiti MD, Marco Vitolo MD, Tatjana S Potpara MD PhD, Giuseppe Boriani MD PhD, Gregory YH Lip MD on behalf of ESC-EHRA EORP-AF Long-Term General Registry Investigators

Supplementary Materials

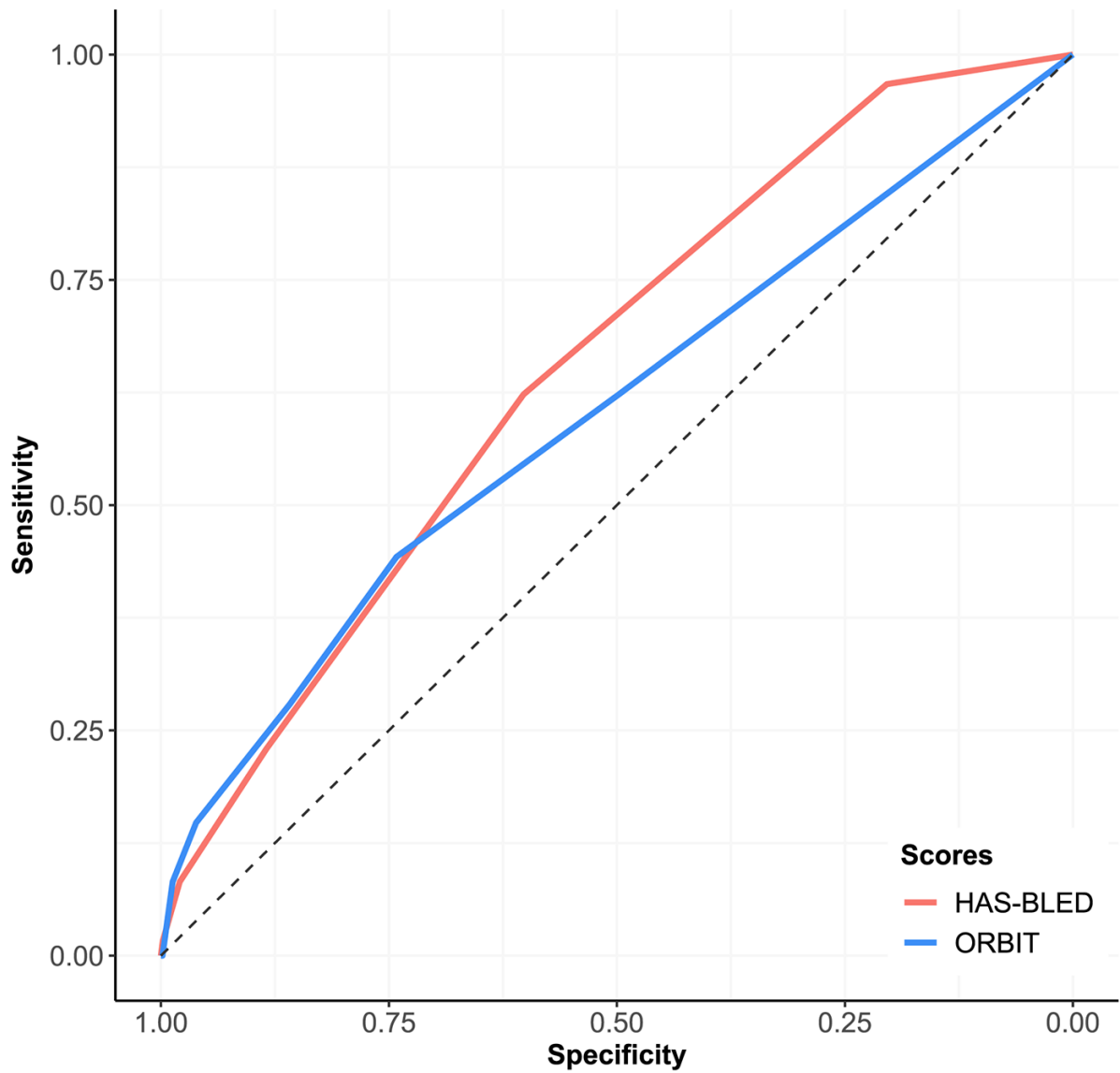
SUPPLEMENTARY METHODS

Assessment of Bleeding Risk Scores

The HAS-BLED score was developed in 2010 to devise a simple and clinically-driven risk score to assess major bleeding risk in AF patients treated with VKA⁸. One point each was allocated for the presence of hypertension, impaired renal or liver function, history of stroke, history of bleeding, labile international normalized ratio (INR), elderly (age>65 years), concomitant use of antiplatelet agents or non-steroidal anti-inflammatory drugs and alcohol consumption (more than 8 units a week).

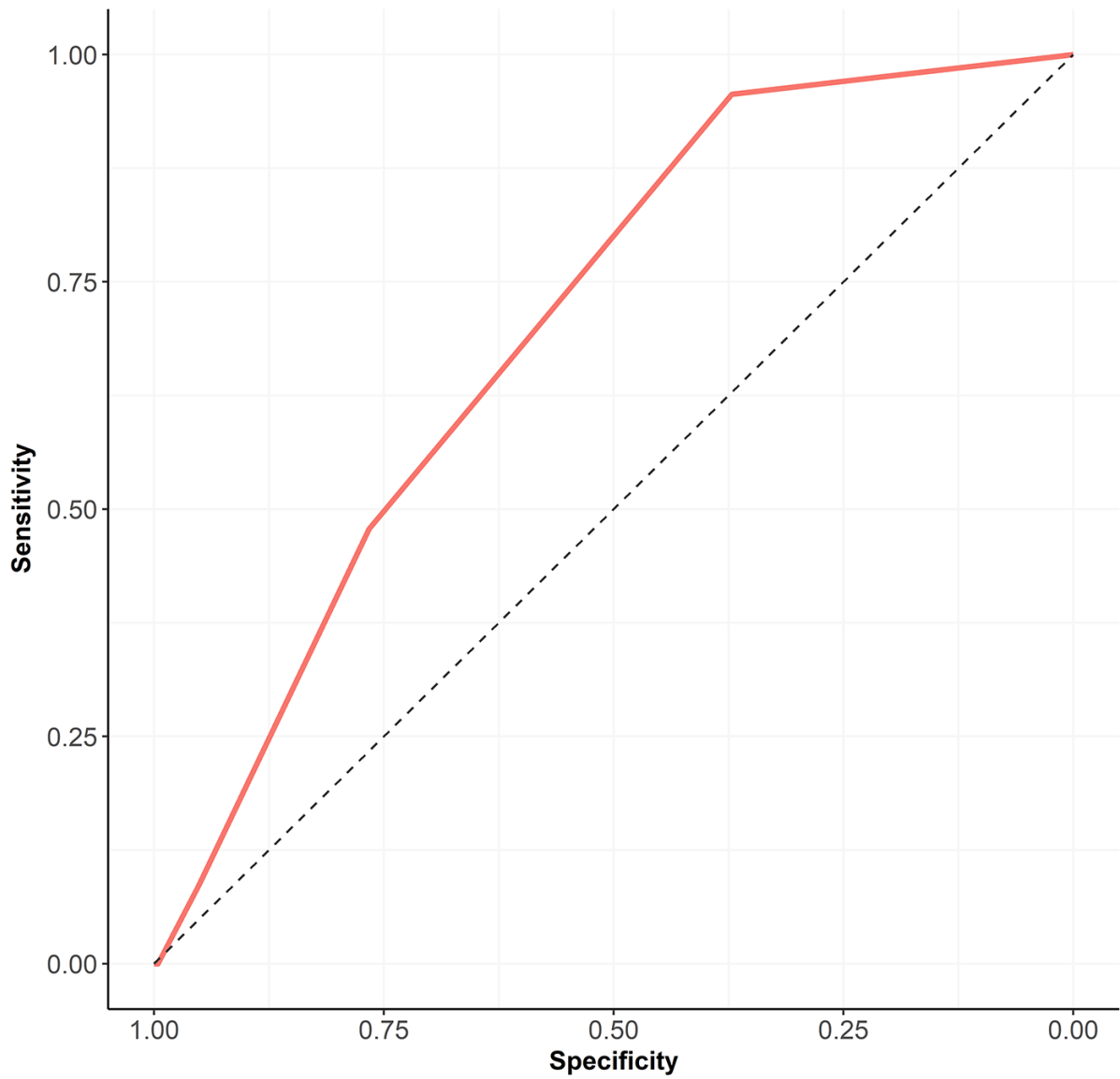
The ORBIT score was developed from the “Outcomes registry for better informed treatment of atrial fibrillation” study cohort^{10,11}, and calculated as follows: 1 point each for Age older than 74, insufficient kidney function (defined as estimated glomerular filtration rate below 60 mg/dL/1.73 m²) and treatment with any antiplatelet drug, while 2 points were assigned to a positive clinical history for bleeding and the presence of anaemia or abnormal haemoglobin (<13 mg/dL for males and <12 mg/dL for females) or reduced haematocrit (<40% for males and <36% for females).

Figure S1: Bleeding Risk Scores ROC Curves for Major Bleeding Occurrence



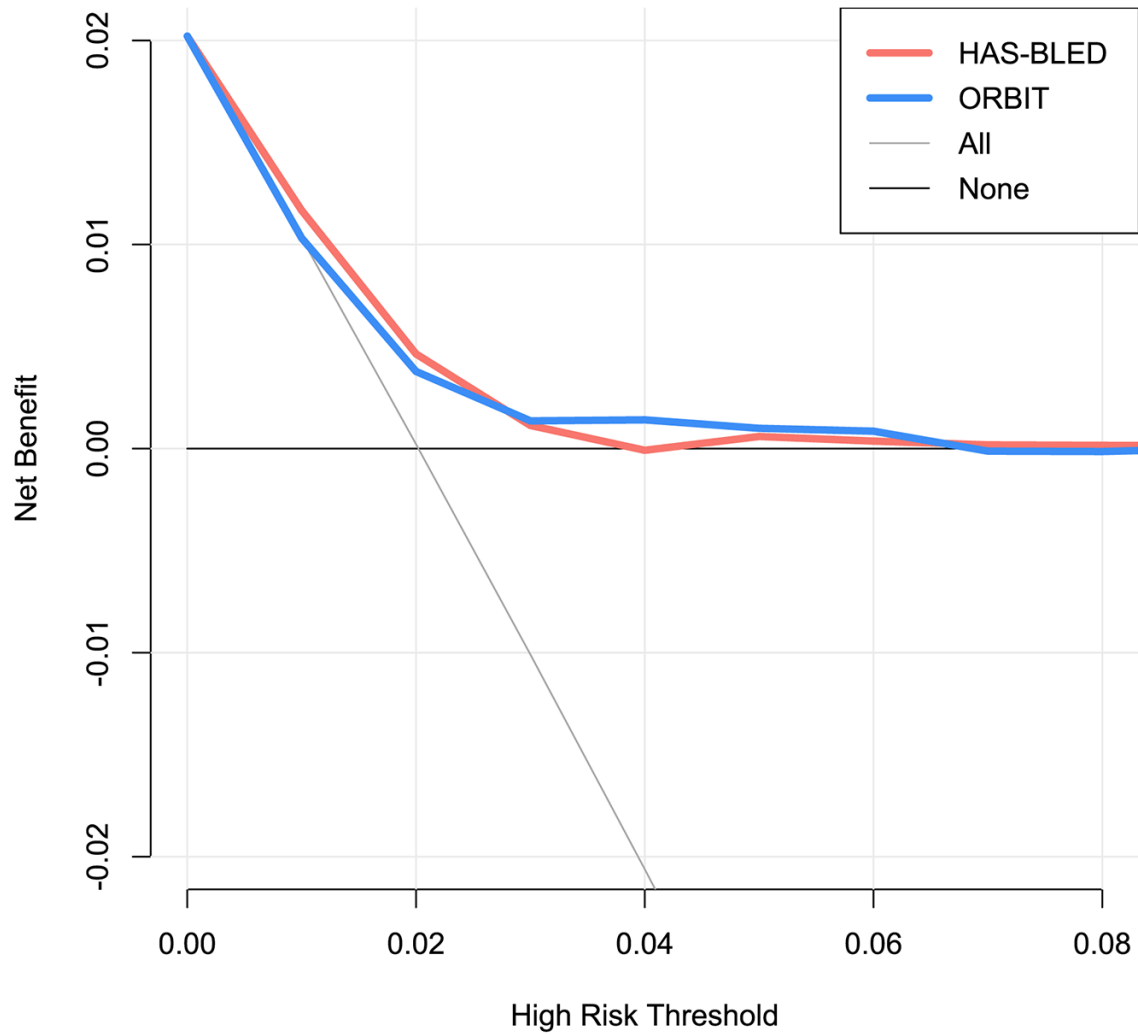
Legend: ROC= Receiving Operating Characteristics.

Figure S2: HAS-BLED Score ROC Curve in ORBIT Very Low Risk Patients



Legend: ROC= Receiving Operating Characteristics.

Figure S3: DCA Curves for Bleeding Risk Scores



Legend: DCA= Decision Curve Analysis.

APPENDIX

EURObservational Research Programme Atrial Fibrillation (EORP-AF) Long-Term General Registry Committees and Investigators

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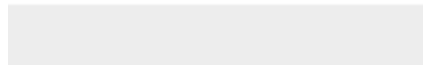
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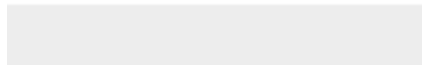
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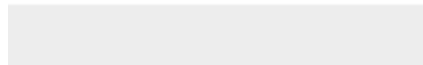
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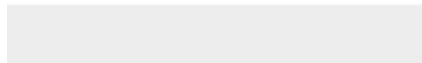
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