

On two dissimilarity-based measures of functional beta diversity

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ABSTRACT

In this paper, we propose two related versions of a dissimilarity-based measure of functional beta diversity, together with the associated tests for differences in beta diversity among different groups of samples. Both measures are based on the optimal functional matching between the species in two samples. As such, they are tightly connected to Hurlbert's seminal work on encounter-based diversity measures. The behavior of the proposed measures is illustrated with one worked example on the functional turnover of Alpine species along a successional gradient. Results show that both measures proved able to detect the functional turnover of vegetation along the chronosequence. The method, for which we provide a simple R function, further allows to evaluate the functional contribution of single sampling units to the overall beta diversity of any kind of species assemblages.

1. Introduction

Beta diversity measures the variability in species composition among a set of sampling units and is considered to be a key signature of the ecological processes that make species assemblages more or less similar to one another (Anderson et al., 2011; Bennet and Gilbert, 2016). Since the pioneering work by Whittaker (1972), there have been intense discussions on how to measure beta diversity and how to test for differences in beta diversity among different groups of samples. For reviews, see e.g. Lande (1996), Koleff et al. (2003), Anderson (2006); Anderson et al. (2011), Jost (2007), Tuomisto (2010a, 2010b), Chase et al. (2011), Chao and Chiu (2016), Legendre and De Cáceres (2013), Ricotta (2017), Chao and Ricotta (2019) and references therein.

Irrespective of how beta diversity is measured, an important requisite for diversity measures is their ecological interpretability. According to the seminal paper of Stuart Hurlbert (1971), meaningful diversity indices should have a straightforward biological interpretation: "We therefore can muddle along with a plethora of indices, each supported by at least one person's intuition and a few recommended by fashion, or we can sharpen our thoughts and rephrase our questions in terms of biologically meaningful properties [...]" (Hurlbert, 1971 p. 579).

Among these properties, the probability of intra- and interspecific encounters is a variable of interest, as it is directly related to the potential ecological interactions among all individuals and species in the

community (Hurlbert, 1971; Patil and Taillie, 1982). This encounter-based approach is even more important for functional diversity where, unlike for classical diversity measures, the species are not considered equally dissimilar from each other. In a sense, dealing with functional diversity measures, the potential amount of ecological interactions among different individuals is ideally related to their functional resemblance.

In this paper, we thus propose two different versions of a dissimilarity-related index of functional beta diversity, together with the associated tests for differences among different groups of samples. Both indices are based on the optimal functional matching between the species in two samples. As such, they are tightly connected to Hurlbert's encounter-based approach.

2. A dissimilarity-based index of functional beta diversity

Given a set of N samples, let p_{jk} be the relative abundance of species $j = 1, 2, \dots, S$ in sample $k = 1, 2, \dots, N$ such that $0 \leq p_{jk} \leq 1$ and $\sum_j p_{jk} = 1$. The information on the species functional organization within samples is usually represented by a symmetric $S \times S$ matrix of pairwise functional dissimilarities d_{ij} between species i and j in the range $[0, 1]$ (with $d_{ij} = d_{ji}$ and $d_{ii} = 0$) which represent the multivariate differences in the character states among the S species.

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To calculate a dissimilarity-based index of functional beta diversity, the first step consists in calculating the pairwise functional dissimilarity D_{hk} between any pair of samples h and k . To this end, Ricotta et al. (2021) first used an algorithmic measure originally developed by Kosman (1996) and Gregorius et al. (2003) to calculate genetic distances between populations. The measure is based on the optimal matching between the species abundances in h and k so as to minimize the overall functional dissimilarity between both samples.

The dissimilarity index D_{hk} is calculated as follows: given two samples h and k , with n individuals in both samples, each individual in h is matched to an individual in k in order to get n pairs that minimize the sum of functional dissimilarities between the individuals in each pair (Kosman and Leonard, 2007). The pairs are built such that all individuals in both samples are used only once. The overall functional dissimilarity between the two samples is then obtained as the mean dissimilarity between each pair of individuals (i.e. by dividing the sum of functional dissimilarities by the n pairs of individuals). However, since the number of individuals in h and k is generally not the same, to get a complete matching between the samples, this procedure is usually performed on the species relative abundances in both samples. The algorithmic dissimilarity D_{hk} can be thus interpreted as the minimum cost per individual needed to change the character states of the species in sample h to the states of the species in k (Gregorius et al., 2003).

Finding the optimal matching between the species abundances in h and k is known as the assignment problem, a special type of linear programming or linear optimization problem (Dantzig and Thapa, 1997). Dealing with species relative abundances, the functional dissimilarity between samples h and k can be formulated as (Gregorius et al., 2003):

$$D_{hk} = \min_{\pi} \sum_i^S \sum_j^S d_{ij} \times \pi(i, j) \quad (1)$$

where $\pi(i, j)$ is the relative abundance of species i in sample h that is matched with species j in sample k . Since D_{hk} is essentially a mean dissimilarity between matched pairs of individuals, if the functional dissimilarity d_{ij} between each pair of individuals is in the range $[0, 1]$, the resulting mean dissimilarity also ranges between 0 and 1. Kosman (2014) further showed that if all species in h and k are considered maximally dissimilar from each other (i.e. if $d_{ij} = 1$ for all species i in sample h and species j in sample k), D_{hk} will be equal to $D_{hk} = \frac{1}{2} \sum_{i,j}^S |p_{ih} - p_{jk}|$.

A simple way to generalize D_{hk} to more than two samples, which is usually adopted in community ecology for calculating the beta diversity of a set of N samples (but see e.g. Diserud and Ødegaard, 2007), consists in calculating the mean value of D_{hk} for all possible pairs of samples:

$$\beta_N = \frac{\sum_{k>h}^N D_{hk}}{N(N-1)/2} \quad (2)$$

Once beta diversity has been calculated, the next step is how to test for differences in beta diversity among different groups of samples. To this end, Anderson (2006) proposed a multivariate analogue of Levene's (1960) test, which is directly connected to the way β_N is calculated. The test can be considered in two steps: first, starting from the functional dissimilarities between all pairs of sampling units D_{kn} , the dissimilarity D_k of each individual sample from its group centroid in multivariate space is calculated according to McArdle and Anderson (2001). Next, the average of these dissimilarities among groups is compared using ANOVA. A P -value can be then obtained with either the traditional tables on F -distribution or by using a permutation procedure (Anderson, 2006).

A drawback of this method is that the dissimilarity of individual samples from the group centroid depends on the number of samples in each group. Take for example a group composed of five maximally dissimilar samples, i.e. with $D_{hk} = 1$ for all $h \neq k$. In this case, the dissimilarity D_k of each individual sample from its group centroid is equal to

$D_k = 0.632$. By contrast, for ten maximally dissimilar samples, $D_k = 0.671$ (for details, see Anderson, 2006). Accordingly, this test works correctly only with fully balanced designs with the same number of samples in each group.

To overcome this problem, a possible solution may consist in substituting D_k with the mean dissimilarity of each individual sample k from all other $N - 1$ samples in the same group:

$$D_{\bar{k}} = \frac{\sum_{h \neq k}^N D_{hk}}{N-1} \quad (3)$$

The same approach was used by Violle et al. (2017) and Kosman et al. (2019) to calculate the mean distance in trait space of a species to all other species in a community. The main advantage of $D_{\bar{k}}$ over D_k is that $D_{\bar{k}}$ is not influenced by the number of samples in each group. Like for the Anderson (2006) test, the average of these dissimilarities among groups can be then compared using standard ANOVA (see the example in Supplementary material, Appendix 1).

3. A second index of beta diversity

A second method for deriving a measure of multiple-site functional dissimilarity among sampling units may consist in calculating the dissimilarity of Kosman (1996) and Gregorius et al. (2003) $D_{k\eta}$ between the species relative abundances in sample k and the species relative abundances in an hypothetical complementary sample η . This complementary sample is obtained by pooling together the species relative abundances of all $N - 1$ samples that are different from k such that the relative abundance of species j in η is calculated as:

$$p_{j\eta} = \frac{\sum_{h \neq k}^N p_{jh}}{N-1} \quad (4)$$

According to this leave-one-out approach, η can be interpreted as the compositional centroid of the $N - 1$ samples that differ from k in Euclidean space (see Champely and Chessel, 2002). A multiple-site measure of beta diversity can be then obtained by taking the mean of the dissimilarities $D_{k\eta}$ over the N samples:

$$\beta_{\eta} = \frac{\sum_k^N D_{k\eta}}{N} \quad (5)$$

If beta diversity is calculated according to Eq. 5, a test for differences in beta diversity among different groups of samples can be then performed in the usual way, by comparing the mean values of $D_{k\eta}$ within each group with ANOVA.

4. Worked example

4.1. Data

To illustrate the behavior of the proposed measures, we used a data set of Alpine vegetation sampled by Caccianiga et al. (2006) along a primary succession at the foreland of the Rutor Glacier (Northern Italy). The data set has been already used in previous studies on community structure and diversity (Ricotta et al., 2016; Ricotta et al., 2020) and is composed of 45 species in 59 plots of approximately 25 m². All data are available in Ricotta et al. (2016, Appendix S2). The species abundances in each plot were measured with a five-point ordinal scale transformed to ranks. The plots were classified into three successional stages based on the age of the glacial deposits: early-successional stage (17 plots), mid-successional stage (32 plots), and late-successional stage (10 plots).

For all 45 species sampled at the three successional stages, we used six quantitative traits that are related to their successional status along the primary succession: canopy height (CH; mm), leaf dry mass content

(LDMC; %), leaf dry weight (LDW; mg), specific leaf area (SLA; $\text{mm}^2 \times \text{mg}^{-1}$), leaf nitrogen content (LNC; %), and leaf carbon content (LCC; %). All traits can be found in Caccianiga et al. (2006, Table 2).

First, we used the Euclidean distance to compute a matrix of pairwise functional distances between the 45 species from the six functional traits. For this purpose, all trait values for the 45 species were standardized to zero mean and unit standard deviation. The output functional distances were then scaled in the range $[0, 1]$ by dividing each distance by the maximum value in the distance matrix.

Using the algorithmic approach of Kosman (1996) and Gregorius et al. (2003), we next calculated the beta diversity components (i.e. dissimilarities) $D_{\bar{k}}$ and $D_{k\eta}$ for each sample in each successional stage. All calculations were performed with a new R script (available in the electronic Supplementary material, Appendix 1 and 2 of this paper) that modifies the R function `dislptransport` in Ricotta et al. (2021, Appendix S3). We finally tested for differences in beta diversity among the three successional stages by comparing the average of these dissimilarities among groups with ANOVA. *P*-values were obtained by using a permutation procedure. Among the many available permutation procedures in ANOVA designs (Anderson, 2004; Anderson and Ter Braak, 2003), we used the simplest approach, which consists in permuting individual observation units among the three successional stages of the Rutor chronosequence. To this end, we reshuffled $17 + 32 + 10 = 59$ observed dissimilarities $D_{\bar{k}}$ and $D_{k\eta}$ into random groups of 17, 32, and 10 units, respectively (9999 permutations) and recalculated the *F*-values for each permutation. The same permutation procedure, was then used to perform a post-hoc pairwise *t*-test with Holm correction of the values of $D_{\bar{k}}$ and $D_{k\eta}$ between the three successional stages.

4.2. Results

The results of the permutational ANOVA on the values of $D_{\bar{k}}$ and $D_{k\eta}$ among the three successional stages were in both cases highly significant ($F(D_{\bar{k}}) = 71.56, p < 0.001$ and $F(D_{k\eta}) = 18.91, p < 0.001$). For both dissimilarity coefficients $D_{\bar{k}}$ and $D_{k\eta}$, the within-group dispersion (or beta diversity) progressively decreased along the primary succession (Fig. 1). As shown by Caccianiga et al. (2006) and Ricotta et al. (2016), the significantly higher beta diversity of the early-successional samples may be due to the random dispersal mechanisms that drive the colonization of the moraine ridges in the first successional stages (abiotic filter). In contrast, the lower beta diversity of the mid- and late successional samples is associated to a lower level of stochasticity in the colonization process of the later successional stages and hence to an increased level of functional homogeneity among different sampling units (biotic filter).

Note that, since $D_{\bar{k}}$ is essentially an average dissimilarity between pairs of samples, while $D_{k\eta}$ is the dissimilarity between a given sample *k*

and a complementary sample η that is obtained by pooling together the species relative abundances of all samples that are different from *k*, the values of $D_{k\eta}$ are generally lower than the values of $D_{\bar{k}}$ (see Fig. 1).

5. Discussion

In this paper we proposed two measures of functional beta diversity, β_N and β_η which originate from Whittaker's (1972) suggestion that beta can be summarized from a dissimilarity coefficient between pairs of samples (see also Chao and Chiu, 2016). The proposed measures are tightly connected to each other to the point that both of them can be considered 'variazioni sul tema' of the same approach. In particular, $D_{k\eta}$ represents the dissimilarity of sample *k* from the pooled set of species in the $N - 1$ samples that differ from *k*. Therefore, this index, together with the corresponding beta diversity β_η , is directly related to the notion of originality (or distinctiveness, Pavoine et al., 2017). A sample is functionally original if its functional characteristics are rare in the pooled set of samples. The index is also related to the notion of complementarity of a sample compared to a reference set of samples: complementarity being the gain in biodiversity units provided by adding an area (or sample) to a set of areas (samples) (Faith et al., 2004). These two notions (originality and complementarity) are used in conservation biology to identify sites with distinct species/functional/phylogenetic composition (and thus sites for which conservation actions should be a priority because of their distinct composition) (e.g. Mishler et al., 2014).

From the perspective of conservation biology, Kosman et al. (2019) recently proposed an additional indicator for estimating functional differences among samples: functional uniqueness, or singularity. Based on this approach, a sample that is on average quite distant from most samples but functionally similar to another sample has a lower conservation priority compared to a sample with the same average distance to other samples but without a close neighbor in functional space. To summarize this property, Violle et al. (2017) calculated the minimum pairwise distance between a focal sample and all other samples, while the singularity measure of Kosman et al. (2019) is based on variation in distances of the focal sample to all other samples, not just the nearest neighbor in trait space. Nonetheless, irrespective of how singularity is calculated, it can be easily derived from the distances D_{hk} in Eq. 1.

Unlike the vast majority of functional dissimilarity measures used in community ecology, the algorithmic index of Kosman (1996) and Gregorius et al. (2003), is not based on the excess of among-sample diversity compared to within-sample diversity (e.g. Chao et al., 2014; Chiu and Chao, 2014; Pavoine and Ricotta, 2014). Therefore, it is very flexible as it can be based on any between-species dissimilarity measure of choice without restrictions on their geometrical properties (see e.g. Pavoine and Ricotta, 2014). Also, the index of Kosman (1996) and Gregorius et al. (2003) satisfies an important requisite for functional dissimilarity measures which requires that dissimilarity remains unchanged if a given species *j* is replaced by two functionally identical species with the same total abundance of *j*. For mathematical details, see Leinster and Cobbold (2012); Pavoine and Ricotta (2019). From an ecological viewpoint, this means that the measures that conform to this requisite summarize the functional dissimilarity among samples irrespective of the identity of the species that support these functions. Accordingly, this algorithmic dissimilarity is closer to the essence of functional dissimilarity than the measures that do not conform to this requisite.

Regarding the test for differences in functional beta diversity among different groups of samples, the principle is the same as that of Anderson (2006). However, the values of $D_{\bar{k}}$ and $D_{k\eta}$ are not influenced by the number of samples in each group. In addition, we do not need to calculate the functional centroid of each group, and this renders the test much easier to perform, especially if the dissimilarities D_{hk} and $D_{k\eta}$ are not embeddable in Euclidean space without distortion (for details, see McArdle and Anderson, 2001).

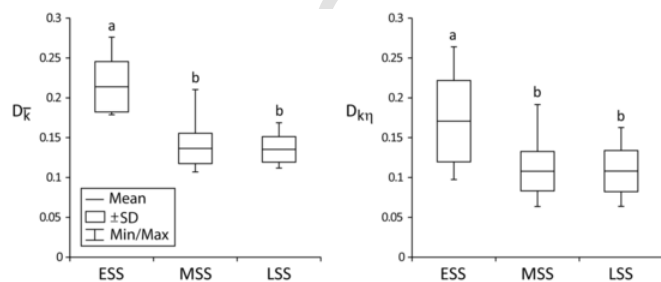


Fig. 1. Box plots of the beta diversity components (dissimilarity coefficients) $D_{\bar{k}}$ and $D_{k\eta}$ for the three successional stages of the Alpine vegetation of the Rutor glacier. ESS = early-successional stage; MSS = mid-successional stage; LSS = late-successional stage. Different letters a and b indicate significantly different distributions at $p < 0.001$ for $D_{\bar{k}}$ and $p < 0.01$ for $D_{k\eta}$ (permutational *t*-test with Holm adjustment for multiple tests based on 9999 randomizations).

Nonetheless, alongside the pros, there are also a few potential cons for this test: like for the Anderson test, the values of $D_{\bar{k}}$ and $D_{k_{ij}}$ are not fully independent of each other. This is because, for a given sample k the quantity $D_{\bar{k}}$ ($D_{k_{ij}}$) is obtained by averaging all dissimilarities D_{hk} (all species relative abundances p_{jh}) over all $N - 1$ samples that are different from k (see Eq. 3 and 4, respectively). This nonindependence may become relevant for small numbers of samples such that in the most critical situation of $N = 2$, the values of $D_{\bar{k}}$ and $D_{k_{ij}}$ are identical for both samples.

Even more importantly, the randomization process associated to this test, while being statistically sound, has only little biological foundation. Beta diversity describes the spatial variability in species composition and is considered to be a key signature of a number of community assembly processes, such as dispersal, habitat filtering, intra- and inter-specific competition, or the species responses to environmental conditions (Bennet and Gilbert, 2016). Therefore, while the permutation of the dissimilarities $D_{\bar{k}}$ and $D_{k_{ij}}$ among sampling units has no clear biological meaning, a biologically sound null model should provide some indication on whether differences in beta diversity among groups of samples are actually related to deterministic assembly processes that deviate from stochastic patterns of species co-occurrence (Chase et al., 2011). This may be achieved, for example, by restricted permutation of species occurrences among the samples in each group. However, to construct an adequate randomization test that correctly addresses the ecological questions under study without confounding within group heterogeneity with between group heterogeneity, some additional work is needed. In the meantime, the tests described in this paper may represent an acceptable, though ecologically imperfect solution to the problem.

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The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ecoinf.2021.101458>.

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