

1 **Extended spectrum beta-lactamase-producing *Escherichia coli* from extraintestinal infections**
2 **in humans and from food producing animal, in Italy: a “One Health” study**

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25 **Abstract**

26 **Background:** In recent years, *Escherichia coli* producing extended spectrum beta-lactamase
27 (ESBL) has become a serious public health problem and food-producing animals (FPA) have been
28 suggested as a potential reservoir/source.

29 **Objective:** To compare ESBL-producing *E. coli* isolates from different sources.

30 **Methods:** ESBL-producing *E. coli* was collected from humans (n.480) and FPA (n.445) in Italy
31 (2016-2017). Isolates were screened for the presence of ESBL genes and classified according to
32 phylogenetic group and MLST genotyping. *mcr-1* to -5 genes were searched for in colistin resistant
33 isolates.

34 **Results:** CTX-M was the most frequent ESBL-type in both human and animal isolates. CTX-M-15
35 prevailed in humans (75%) and cattle (51.1%) but not in poultry (36.6%). CTX-M-1 was common
36 (58%) in pig. SHV-type and CMY-2-like were found in FPA, especially in poultry (17.0% and
37 29.9%, respectively). 29 isolates were *mcr-1* carriers (3 from humans and 26 from FPA). Human
38 isolates mostly belonged to phylogroup B2 (76.5%). Animal isolates were distributed among groups
39 A (35.7%), B1 (26.1%) and C (12.4%). Few animal isolates (almost all from poultry) were
40 classified into group B2 (4.3%). Most human isolates (83.4%) belonged to the pandemic ST131
41 clone and frequently carried CTX-M-15 (75.9%). ST131 was rarely detected in FPA (n.3 isolates
42 from poultry). Nineteen STs were shared in both sources with ST10, ST410 and ST69 being more
43 frequently detected.

44 **Conclusions:** According to our results the potential exchange of ESBL genes through plasmids or
45 isolates from animal to humans is feasible, underlying the need for a strict monitoring based on an
46 “One Health” approach.

47 **1 Introduction**

48 In humans, *Escherichia coli* (*E. coli*) is a member of the intestinal microbiota but also the leading
49 cause of extraintestinal infections, mostly urinary tract infections (UTI) and sepsis. The successful
50 treatments of these infections are more often tackled by antimicrobial resistance (AMR).^{1,2} Since
51 early 2000s, *E. coli* producing extended spectrum beta-lactamase (ESBL) has become a serious
52 public health threat, causing severe infections in both hospital and community settings.^{3,4} From 1%
53 to 25-50% of all invasive *E. coli* isolates reported to the European Antimicrobial Resistance
54 Network (EARS-Net) by the EU Member States are resistant to third-generation cephalosporins.
55 The highest percentage is reported in Italy.⁴ The occurrence and spread of high-risk multidrug-
56 resistant (MDR) clones/lineages of *E. coli* causing extraintestinal infections such as the sequence
57 type (ST)131 carrying resistance to third generation cephalosporin, fluoroquinolones and other
58 antimicrobial groups is of concern.⁵ A recent survey carried out in long-term care facilities
59 (LTCFs) residents in Italy showed ST131 being predominant among *E. coli* isolates from both
60 carriage and disease.^{6,7}

61 During the last decades, ESBL-producing *E. coli* isolates have been also increasingly isolated from
62 non-human sources including food-producing animals (FPA), suggesting that animals may be at
63 least in part the source of ESBL-producing *E. coli* for humans.^{8,9} Although the major ST131 clone
64 was very rarely detected in isolates from FPA, ESBL genes carried by different clones may be
65 horizontally acquired by human *E. coli* isolates. Conflicting results have been reported in the
66 literature, with several investigations indicating that human and animals' isolates can share the same
67 ESBL types and other demonstrating distinctive ESBL gene patterns according to the source.^{10,11}

68 In human clinical setting, carbapenem resistance mediated by plasmid-encoded carbapenemases is a
69 further public health risk, reducing therapeutic options in *E. coli* isolates already resistant to third
70 generation cephalosporins.^{12,13} Although the use of carbapenems is highly limited in animals and
71 carbapenemases are poorly detected in animals so far,¹⁴ the possibility of a non-human reservoirs
72 should be surveyed. The presence in both human and veterinary isolates of a plasmid-mediated

73 resistance to Colistin, which is amongst the last choice antimicrobials used to treat multidrug-
74 resistant isolates (MDR), raises questions regarding on the occurrence of Colistin resistance *mcr*
75 genes associated to ESBL-producing *E. coli*.^{15,16}
76 In 2016 year, we set up a “One Health” tailored pilot surveillance network to monitor the
77 occurrence of ESBL-producing *E. coli* in humans and FPA. In this study, we report the
78 antimicrobial susceptibility patterns, the characterization of the ESBL genes, the co-resistance to
79 Colistin and the carriage of *mcr* genes, in ESBL-producing *E. coli* isolates from both humans with
80 extraintestinal infections and FPA; we also compared genotypes of human and animal isolates
81 according to the phylogenetic group and sequence type (ST), to identify shared or distinct molecular
82 features.

83 **2 Materials and methods**

84 **2.1 Study design and bacterial isolates**

85 From March 2016 to September 2017, we conducted a multicentre cross-sectional study involving
86 15 partners from human and veterinary medicine in six Italian regions (Friuli Venezia Giulia,
87 Trentino Alto Adige, Veneto, Lombardia, Lazio, Sicilia) so to distribute the sampling throughout
88 Italy. Isolates of human origin were collected from urine or blood of outpatients and/or inpatients
89 admitted to 12 different hospitals. Each hospital laboratory was asked to monthly collect first 3-10
90 (proportional to the number of urine and/or blood cultures tested in each hospital) consecutive and
91 non-duplicate presumptive ESBL-producing *E. coli* isolates from urine or blood (4:1 ratio) detected
92 during the routine diagnostic activity.

93 The commensal ESBL-producing *E. coli* from FPA were isolated by selective culture of feces or
94 caecal intestinal content. The animals were selected by sampling among all FPA examined within
95 the animal health surveillance of 3 Institute for Animal Health; only one isolate per herd was
96 enrolled and the contribution of each Institute to the overall number of isolates was proportional to
97 the Italian production, thus Regions with higher animal production were contributing more to the

98 final sample.

99 **2.2 Detection of ESBL-producing *E. coli* and antimicrobial susceptibility testing (AST)**

100 Human *E. coli* isolates were detected and identified to the species level and antimicrobial
101 susceptibility tested according to standard laboratory procedures with automated methods in use in
102 the participant laboratories (Vitek2, bioMerieux Italia SpA, Florence, Italy, and/or BD Phoenix™
103 Becton Dickinson Italia SpA, Milan, Italy). For the animal *E. coli* isolates, samples were cultured in
104 selective enrichment broth (brain heart infusion, BHI) supplemented with 1mg/L Cefotaxime and
105 subsequently isolated on MacConkey agar, supplemented with 1mg/L Cefotaxime. Once identified
106 as *E. coli* by MALDI-TOF MS (Microflex Biotyper LT; Bruker Daltonics GmbH, Bremen,
107 Germany), only one presumptive ESBL/AmpC-producing *E. coli* isolate per sample was randomly
108 selected for further characterization. Antimicrobial susceptibility testing of the animal isolates was
109 performed by the reference broth microdilution method, using TREK Sensititre custom panel
110 ITGNEGF (Thermo-Fisher TREK Diagnostic Systems, Inc., Cleveland, OH, USA).

111 The interpretative breakpoints were based on the European Committee on Antimicrobial
112 Susceptibility Testing (EUCAST) criteria version 9.0 (https://eucast.org/clinical_breakpoints/). On
113 the basis of the breakpoints, the *E. coli* isolates were then classified as resistant (R) or susceptible
114 (S). The intermediate category, where present, was considered as susceptible.

115 For both sources, presumptive ESBL-producers were detected based on cephalosporins
116 susceptibility: isolates resistant or with reduced susceptibility to third- and/or fourth-generation
117 cephalosporins (MIC >1mg/L for at least one among Cefotaxime, Ceftazidime, and Cefepime) were
118 selected and included in the study. ESBL production was confirmed by double-disc synergy testing
119 (Total ESBL Confirm Kit, ROSCO Diagnostica A/S, Taastrup, Denmark).

120 In addition to cephalosporins, confirmed ESBL-producing isolates from human and animals were
121 tested for susceptibility to Ampicillin/Sulbactam, Piperacillin/Tazobactam, Ertapenem, Imipenem,
122 Meropenem, Ciprofloxacin, Levofloxacin, Amikacin, Gentamicin, Tigecycline, Colistin,
123 Fosfomycin, Nitrofurantoin and Trimethoprim/Sulfamethoxazole. An isolate was defined as MDR

124 when it was resistant to at least three antimicrobial agents of different classes.¹⁷

125 **2.3 Characterization of ESBL-encoding genes**

126 Phenotypically confirmed ESBL-producing isolates were tested for the presence of the main ESBL
127 and/or pAmpC gene types (*bla*_{CTX-M}, *bla*_{SHV} and *bla*_{CMY-2}) by PCR and sequencing, as previously
128 reported.¹⁸ Comparative analysis of nucleotide and deduced amino acid sequences was performed
129 by the advanced BLAST search program 2.2 at the National Center for Biotechnology Information
130 site (www.ncbi.nlm.nih.gov/blast/).

131 **2.4 Colistin resistance and *mcr* screening**

132 The ESBL-producing *E. coli* isolates of human origin were initially tested for susceptibility to
133 Colistin by automated systems (at the time of the study this method was one of the standard
134 methods for Colistin). All human ESBL-producing *E. coli* isolates with Colistin MIC >1mg/L were
135 confirmed by the reference broth microdilution method, using TREK Sensititre custom panel
136 ITGNEGF. The ESBL-producing isolates of animal origin were directly tested for colistin
137 susceptibility by the reference broth microdilution method, using the same custom panel reported
138 above. Isolates exhibiting a Colistin MIC >1mg/L were screened for the presence of *mcr*-1 to -5
139 gene by multiple PCR, as previously described.¹⁹

140 **2.5 Molecular typing of ESBL-producing *E. coli* isolates**

141 ESBL-producing *E. coli* isolates from both human and animal sources were classified to seven
142 major *E. coli* phylogenetic groups (A, B1, B2, C, D, E and F).²⁰ All isolates belonging to
143 phylogroup B2 were tested by a rapid real-time PCR assay to detect the ST131 epidemic clone and
144 the *bla*_{CTX-M-15} gene, respectively.²¹ A subset of the remaining *E. coli* isolates (including
145 approximately 40% of the total number of the isolates not belonging to ST131 and all *mcr*-positive
146 isolates) from both sources were tested by multilocus sequence typing (MLST) according to the
147 MLST website (<http://mlst.warwick.ac.uk/mlst/dbs/Ecoli>).

148 **2.6 Data analysis**

149 Data were collected, harmonized and stored. Correlations among variables were explored by Chi-
150 square test, and multivariable analysis performed with logistic regression. Odds ratios and
151 confidence intervals were provided for relevant variables with sufficient samples. Data analysis was
152 performed using the R programme version 3.6.3 with basic data management functions.

153 **3 Results**

154 **3.1 Bacterial isolates**

155 Overall, 925 phenotypically confirmed ESBL-producing *E. coli* isolates were selected and included
156 in the study: 480 (51.9%) isolates were from humans and 445 (48.1%) from FPA. The 480 ESBL-
157 producing *E. coli* isolates of human origin were collected from urine (n. 377) or blood (n. 103). The
158 445 ESBL-producing *E. coli* isolates of FPA origin were collected from 131 cattle, 120 pig and 194
159 poultry.

160 **3.2 Antimicrobial susceptibility testing**

161 Antimicrobial resistance profiles of the 925 ESBL-producing *E. coli* isolates are described in Figure
162 1. Most ESBL-producing *E. coli* isolates were resistant to Ciprofloxacin and Cefotaxime (413/480,
163 89.4% for human isolates and 199/445, 45.1% for FPA). Resistance to Gentamicin was detected in
164 37.6% of the human and in 26.3% of animal isolates. 42 isolates were resistant to Colistin (5 from
165 humans and 37 from FPA). Few isolates, almost all from humans except one from FPA, were
166 resistant to carbapenems, but none produced a carbapenemase.

167 Looking at combined resistance, most ESBL-producing *E. coli* isolates of human (269/480, 56%)
168 and animal (307/405, 69%) origin exhibited an MDR phenotype (table 1). In human isolates, the
169 most frequent phenotype was resistance to Cephalosporins, Fluoroquinolones and Aminoglycosides
170 (70/480, 14.6%). This phenotype with additional resistance to Trimethoprim-sulfamethoxazole was
171 observed in 9.6% of the isolates. In animal isolates, the predominant MDR phenotype was
172 resistance to Penicillins, Cephalosporins, Trimethoprim/Sulfamethoxazole (84/445, 18.9%). This

173 phenotype with additional resistance to Fluoroquinolones was observed in 13.5% (60/445) of the
174 isolates. Combined resistance to five antibiotics (Penicillins, Cephalosporins, Fluoroquinolones,
175 Aminoglycosides and Trimethoprim/Sulfamethoxazole) was also detected (3.3% of human and
176 10.3% of animal isolates). All but one 42 colistin resistant isolates were MDR; nearly all were
177 resistant to Penicillins and Cephalosporins.

178 **3.3 Characterization of the ESBL genes**

179 Among the 925 ESBL-producing *E. coli* isolates, 904 (97.7%) showed the presence of an ESBL
180 gene. The remaining 21 isolates (2.3%) produced ESBLs not identified by the panel used (including
181 the most frequent ESBLs, but not exhaustive). Distribution of the ESBL-types is detailed in Table
182 2. The CTX-M was the most frequent ESBL-type in both human and animal isolates. A *bla*_{CTX-M}-
183 gene was present in almost all human isolates (468/480, 97.5%) and in the 78.0% (347/445) of the
184 animal isolates, regardless of whether the gene was present alone or in combination with other
185 ESBL-types. The other ESBLs we detected included the SHV-type (4/480, 0.8%, and 34/445, 7.6%,
186 in human and animal isolates, respectively) and CMY-2-like (5/480, 1.0%, and 67/445, 15.1%, in
187 human and animal isolates, respectively).

188 The difference in the frequency of the CTX-M type between humans and animals was particularly
189 marked (OR=11.0; CI = 6.2 – 21.4; P < 0.001). Among animals, isolates from poultry carrying
190 CTX-M (107/194, 55.2%) were significantly lower than isolates from cattle (128/131, 97.7%) and
191 pig (112/120, 93.3%) (OR=0.02; CI = 0.007 – 0.08; P < 0.001). Poultry isolates contained the
192 widest spectrum of ESBL-types compared to isolates of other origin, with CMY-2-like (58/194,
193 29.9%) and SHV-12 (33/194, 17.0%) being common.

194 The predominant CTX-M group among isolates from both sources was CTX-M-1 (373/468, 79.7%
195 and 331/347, 95.4 % in human and animal of CTX-M positive isolates, respectively, regardless of
196 whether the CTX-M-1 group was present alone or in combination with other ESBL types) followed
197 by CTX-M-9 group (table 2).

198 Gene variant was known for a large subset of CTX-M positive human isolates (392/468, 83.8%)

199 and for all 347 CTX-M positive isolates from animals (figure 2). The most common enzyme was
200 CTX-M-15 representing the 75% (294/392) of all known CTX-M variants among human isolates
201 and the 50.7% (176/347) of those among animal isolates. However, considering the total number of
202 ESBL-producing *E. coli* isolates of animal origin, CTX-M-15 was found in the 39.6% (176/445) of
203 the isolates. The second most common CTX-M enzyme was different between human and animal
204 isolates, being CTX-M-27 in humans (41/392, 10.5%) and CTX-M-1 (149/347, 42.9%) in animals.
205 Stratifying by animal species and considering as total the number of isolates per animal species,
206 CTX-M-15 predominated in cattle (67/131, 51.1%) and to a lesser extent in poultry (71/194, 36.6%)
207 but it was largely overcome by CTX-M-1 in pig (70/120, 58% vs. 38/120, 31.7% for CTX-M-1 and
208 CTX-M-15, respectively) (figure 2).

209 **3.4 Isolates carrying *mcr* genes**

210 By the reference broth microdilution method, 5 isolates from humans and 37 from FPA were found
211 resistant to Colistin (MIC range: 4- \geq 8 mg/L) according to the EUCAST clinical breakpoint
212 (MIC>2mg/L). Among them, 29 isolates were *mcr*-1 carriers (3 from humans and 26 of animal
213 origin) (table 3). Two of these isolates from cattle contained more than one *mcr* gene, namely one
214 isolate with both *mcr*-1 and *mcr*-3 and the other with both *mcr*-1 and *mcr*-4. No other *mcr* genes
215 (*mcr*-2 or *mcr*-5) were detected. Overall, the proportion of *mcr* gene carrying isolates was 0.6%
216 (3/480) for human isolates and 5.8% (26/445) for animal isolates.

217 The distribution of the *mcr* genes stratified by sources and associated ESBL genes are shown in
218 table 3. The most frequently associated ESBL was CTX-M-15, followed by CTX-M-1.

219 **3.5 Molecular typing**

220 Distribution of all 925 ESBL-producing *E. coli* isolates stratifying by source into the phylogenetic
221 groups is shown in table 4. Human ESBL-producing *E. coli* isolates mostly (367/480, 76.5%)
222 belonged to phylogenetic group B2, while animal isolates were mainly distributed among groups A
223 (159/445, 35.7%), B1 (116/445, 26.1%) and C (55/445, 12.4%). Only few animal isolates were
224 classified into group B2 (19/445, 4.3%). Differences in phylogenetic distribution among animal

225 isolates according to the source were detected. Almost all B2 isolates of animal origin were
226 recovered from poultry where they represented the 8.3% (16/194) of the total isolates. Besides,
227 group A isolates were more frequent among cattle and pig isolates (57/131, 43.5% and 48/120,
228 40%, respectively) then in poultry (54/194, 27.8%).

229 Overall, ST was defined for almost 63% (579/920) of all isolates included in this study
230 (supplementary table 1). Out of 367 human isolates of the phylogroup B2, 327 (327/367, 89.1%)
231 belonged to the pandemic ST131 clone, while among B2 isolates of animal origin only 3 were
232 ST131 (3/19, 15.8%), all recovered from poultry. The majority of ST131 isolates of human origin
233 carried CTX-M-15 (208/274, 75.9%), although CTX-M-27 (37 isolates), CTX-M-14 (13 isolates),
234 CTX-M-1 (9 isolates), and other CTX-M variants were also found (data not shown). Conversely, all
235 ST131 recovered from poultry contained SHV-12 but not a CTX-M enzyme.

236 Regarding the remaining non-ST131 isolates, a ST was assigned for a subset of isolates (66 human
237 and 184 animal isolates). Non-ST131 isolates were disseminated among a number of different STs
238 (n. 30 STs for human and n. 90 STs for animal isolates) with a few isolates within each ST (number
239 of isolates per ST ranging from 1 to 12) (supplementary table 1). Notably, 19 STs (19/31 of human
240 STs, 61.3%, and 19/91 of animal STs, 20.9%) including ST131 were shared by human and animal
241 isolates with ST10, ST410, ST38, ST69, ST167 and ST1431 more frequently detected in both
242 sources (table 5).

243 Distribution of STs in the 29 *mcr*-positive isolates by source is shown in supplementary table 2. We
244 found 21 different STs, with all 3 human isolates belonging to ST131, and animal isolates having
245 high diversity of STs (26 isolates belonged to 21 different STs). However, a poultry isolate
246 belonged to ST131. The 2 isolates carrying both *mcr*-1 and *mcr*-3 or *mcr*-4 belonged to ST10 and
247 ST1011, respectively.

248 **4 Discussion**

249 Antimicrobial resistance is now considered as a one of the most worrying global problem in both

250 public and animal health. The World Health Organization (WHO) foresees that the annual
251 worldwide number of deaths caused by antimicrobial resistance will rise from 700,000 to 10
252 million, by year 2050.²² The high prevalence of ESBL-producing *E. coli* in isolates from human
253 infections is of concern and it is recommended to recognise their reservoirs and transmission routes.
254 The debate on possible animal origin of antibiotic-resistant isolates in human infections is still open,
255 but few studies have focused on this problematic with a “One Health” approach. In this study, we
256 had the opportunity to investigate the AMR, the distribution of the ESBL types, the phylogenetic
257 group and the MLST in 925 ESBL-producing isolates deriving from different source, humans and
258 animals, to describe their characteristics and shared features.

259 Comparison of the resistance phenotypes of the human ESBL-producing *E. coli* isolates from UTI
260 and sepsis with those isolated from FPA revealed that most ESBL-producing isolates from both
261 sources were MDR (56% and 69%, respectively) and can share the major resistance patterns,
262 although with different prevalence according to the source. Resistance to Cephalosporins,
263 Fluoroquinolones and Aminoglycosides was the most frequent phenotype observed in human
264 isolates, in line with data from the European Antimicrobial Resistance Surveillance Network
265 (14.6% vs 6.2% of EU/EEA population weighted mean in the period 2015-2018).²³ In animal
266 ESBL-producing *E. coli* isolates, resistance to Penicillins, Cephalosporins, Trimethoprim-
267 Sulfamethoxazole was observed more frequently.

268 Few ESBL-producing *E. coli* isolates from both sources were resistant to carbapenems. It is already
269 reported that resistance to carbapenems in *E. coli* is still uncommon in Europe;^{4,23} furthermore,
270 isolates from this study did not produce any carbapenemase, suggesting that other mechanisms,
271 such as reduced outer membrane permeability by porin loss, might be involved.

272 Our analysis of ESBL genes revealed that the CTX-M types strongly prevailed in both human and
273 animal isolates, this is consistent with previous studies highlighting that the CTX-M is now the
274 prevalent ESBL in *E. coli*, partially substituting the SHV- and TEM-types.^{24,25} However, while
275 almost all human isolates carried a CTX-M ESBL (mainly of CTX-M-1 group), animal isolates also

276 harboured relevant proportions of CMY-2 and SHV enzymes. Compared to isolates from other
277 sources poultry had a lower proportion of CTX-M types and showed a broader variety of ESBL
278 enzymes. This might suggest that pig and cattle had a certain level of similarity in distribution of
279 ESBL genes as in humans, whilst poultry differ, as reported already.^{26,27} Nevertheless, considering
280 the CTX-M variants, the CTX-M-15 enzyme, which largely predominated in human isolates, was
281 detected in consistent percentages (ranging from about 30% to 50%, according to the animal
282 species) of animal isolates including poultry. Similarly, the CTX-M-1 enzyme and other minor
283 CTX-M variants were found in both human and animal isolates although with different frequencies,
284 indicating that ESBL genes can be shared between the different sources. The possible horizontal
285 transfer of ESBL gene placed on plasmids from animal to human isolates was not investigated since
286 no plasmid analysis was carried out. This represents a limitation of our study and deserves future
287 attention.

288 Phylogenetic distribution was different by source. Human isolates were prevalently classified in
289 phylogroup B2 (76.5%), mainly for the predominance of the pandemic clone ST131, harbouring
290 ESBL of CTX-M-15 type and showing additional resistance to several antibiotics. Conversely and
291 as reported already,^{18,28} one out of three animal isolates belong to phylogroup A and one out of four
292 to B1. B2 isolates were found in few animal isolates (16 in poultry and 3 in pig), and ST131 was
293 detected in 3 isolates from poultry. According to our results, the phylogroup and the genotype
294 (ST131) more strongly associated with infections in humans was recovered exclusively in poultry
295 isolates. Else from ST131, MLST analysis shows some shared STs although each including a few
296 isolates. ST10 and ST410, both being relatively common in both sources, should be carefully
297 followed up^{18,29,30} as the latter has been recently suggested as a new high-risk clone capable to
298 patient-to-patient transmission, causing hospital outbreaks.³¹ In agreement with the results in this
299 study, an investigation recently conducted in Germany on ESBL-producing *E.coli* isolates
300 demonstrated clonal dissemination of ST410 in human and animal populations.³²

301 The spread of ESBL-producing *E. coli* frequently associated to resistance to several commonly used

302 antimicrobial agents led to the use of old antibiotics, such as Colistin,³³ as the last-resource
303 antibiotic for the treatment of MDR Enterobacterales in humans. The recent emergence of plasmid-
304 mediated colistin resistance is a challenge in human medicine since it can reduce the therapeutic
305 options for MDR caused infections.¹⁵ As result of this study the occurrence of the plasmid-located
306 colistin resistance genes *mcr* in both human and animal isolates phenotypically resistant to colistin
307 is of greatest concern. All *mcr* gene-carrying isolates of human origin belonged to ST131 while the
308 26 *mcr*-positive animal isolates were included in several STs, indicating that this mobile element is
309 now associated with the pandemic ST131 clone in humans and FPA may become a dangerous
310 reservoir for this resistance. As previously described in other studies,³⁴ we found ST10 and ST1011
311 in animal isolates harboring *mcr* genes. Since its first description in China in 2016, *mcr*-1 gene and
312 its variants have been reported worldwide in human and FPA, especially associated with ESBL
313 production in *E. coli* isolates.³⁵ The proportion of *mcr*-1-carrying isolates detected in ESBL-
314 producing *E. coli* from FPA in this study represents a serious public health threat that requires strict
315 surveillance. Although no evidence of transmission of *mcr*-1-carrying isolates from animals to
316 humans has been demonstrated, the occurrence of a *mcr*-1 ST131 isolate from poultry is of concern.

318 In conclusion, this work's findings suggest that, although ST131 clone dominating in human
319 isolates was rarely found in isolates of animal origin, subgroups of ESBL-producing *E. coli* isolates
320 from FPA may share genotypes (STs) and/or ESBL genes with isolates from humans. In addition,
321 the high proportions of *mcr*-carrying isolates detected in *E. coli* from FPAs, including one ST131
322 isolate, represents a serious public health threat that requires strict surveillance.

323

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366 **Transparency declarations**

367 None to declare.

368 **Supplementary data**

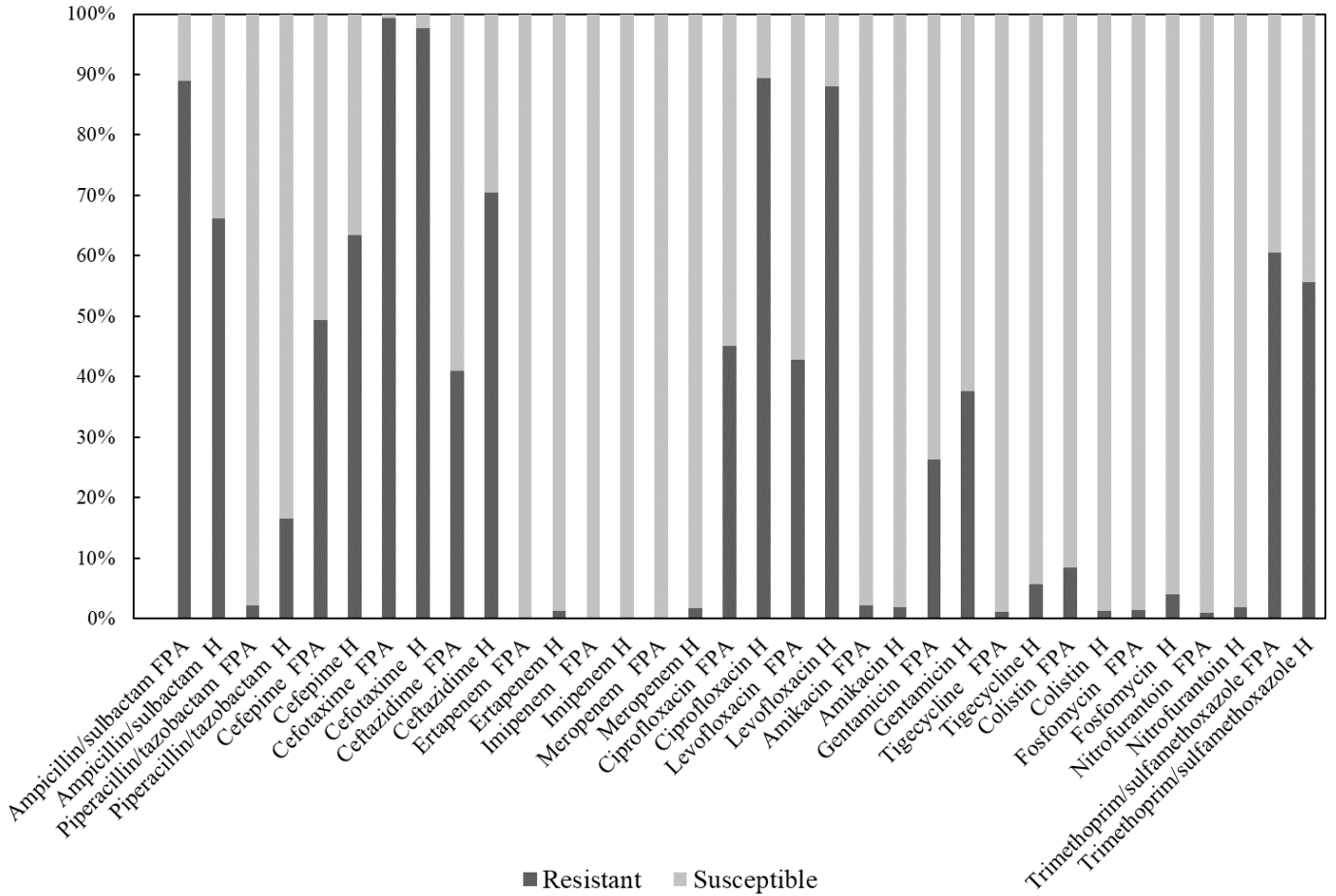
369 Tables S1 and S2 are available as Supplementary data at JAC online.

370

371 Figure 1. Antimicrobial resistance profiles of 925 ESBL-producing *E. coli* isolates (480 from
 372 humans and 445 from food-producing animals)

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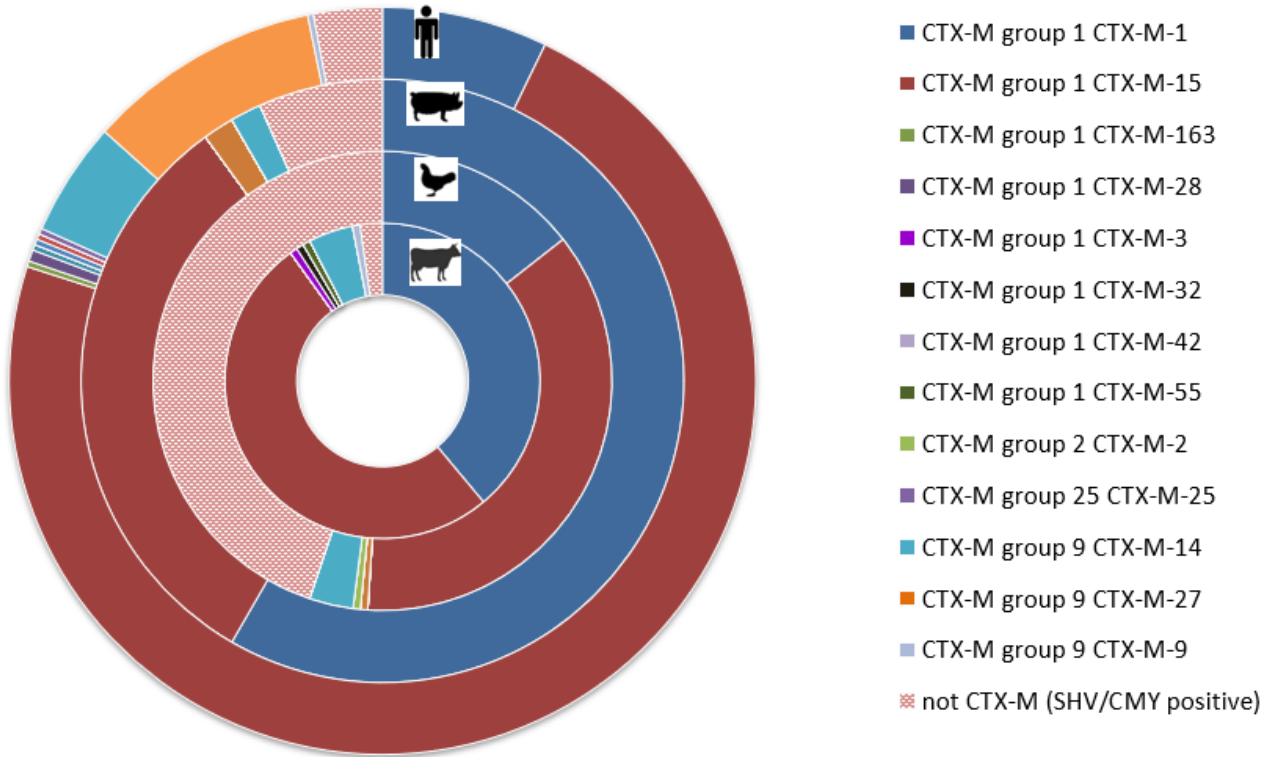
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376 Figure 2. Distribution of CTX-M-type enzymes among ESBL-producing *E. coli* isolates from
377 humans and food-producing animals (divided by species).

378



380 Table 1. Resistance combinations among ESBL- *E. coli* isolates showing a MDR phenotype
 381 stratified by source and tested against antimicrobial agents of different classes

Resistance patterns	Human (No=480)
Resistance to three antimicrobial agents of different classes	
Penicillins+Cephalosporins+Fluoroquinolones	23
Penicillins+Cephalosporins+Trimethoprim/Sulfamethoxazole	5
Penicillins+Cephalosporins+Aminoglycosides	0
Penicillins+Fluoroquinolones+Aminoglycosides	0
Penicillins+Trimethoprim/Sulfamethoxazole+Aminoglycosides	0
Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides	0
Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole	54
Cephalosporins+Fluoroquinolones+Aminoglycosides	70
Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides	0
Penicillins+Cephalosporins+Colistin	0
Cephalosporins+Fluoroquinolones+Colistin	1
total	153
Resistance to four antimicrobial agents of different classes	
Penicillins+Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole	16
Penicillins+Cephalosporins+Fluoroquinolones+Aminoglycosides	24
Penicillins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides	0
Penicillins+Cephalosporins+Trimethoprim/Sulfamethoxazole+Aminoglycosides	9
Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides	46
Penicillins+Cephalosporins+Trimethoprim/Sulfamethoxazole+Colistin	0
Penicillins+Cephalosporins+Fluoroquinolones+Colistin	1
Cephalosporins+Fluoroquinolones+Aminoglycosides+Colistin	1
Cephalosporins+Fluoroquinolones+Colistin+Tigecycline	0
total	97
Resistance to five antimicrobial agents of different classes	
Penicillins+Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides	16
Penicillins+Cephalosporins+Trimethoprim/Sulfamethoxazole+Aminoglycosides+Colistin	0
Penicillins+Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Colistin	0
Penicillins+Cephalosporins+Fluoroquinolones+Aminoglycosides+Colistin	0
Penicillins+Cephalosporins+Fluoroquinolones+Colistin+Tigecycline	0
Cephalosporins+Fluoroquinolones+Aminoglycosides+Trimethoprim/Sulfamethoxazole+Colistin	2
total	18
Resistance to six antimicrobial agents of different classes	
Penicillins+Cephalosporins+Fluoroquinolones+Trimethoprim/Sulfamethoxazole+Aminoglycosides+ Colistin	1
Penicillins+Cephalosporins+Trimethoprim/Sulfamethoxazole+Aminoglycosides+Colistin+Tigecycline	0
total	1
total number of MDR isolates	269

382 Table 2. Distribution of ESBL types among *E. coli* isolates stratified by source.

ESBL/pAmpC type	Source of isolates				
	Humans (N=480) n (%)	Animals ^a (N=445) n (%)	Cattle (N=131) n (%)	Pig (N=120) n (%)	Poultry (N=194) n (%)
CTX-M ^b	468 (97.5)	347 (78.0)	128 (97.7)	112 (93.3)	107 (55.2)
CTX-M group 1	367 (76.5)	318 (71.5)	120 (91.6)	105 (87.5)	93 (47.9)
CTX-M group 2	-	1 (0.2)	-	-	1 (0.5)
CTX-M group 9	94 (19.6)	15 (3.4)	7 (5.3)	2 (1.7)	6 (3.1)
CTX-M group 25	1 (0.2)	-	-	-	-
SHV ^b	4 (0.8)	34 (7.6)	-	1 (0.8)	33 (17.0)
SHV-12	2 (0.4)	30 (6.7)	-	-	30 (15.5)
pAmpC ^b	5 (1.0)	67 (15.1)	1 (0.8)	8 (6.7)	58 (29.9)
CMY-2-like	1 (0.2)	54 (12.1)	-	4 (3.3)	50 (25.8)
Combined ESBL					
CTX-M group 1-SHV-5	1 (0.2)	-	-	-	-
CTX-M group 1-SHV-12	1 (0.2)	2 (0.4)	-	1 (0.8)	1 (0.5)
CTX-M group 1- CMY-2-like	4 (0.8)	11 (8.1)	1 (0.8)	4 (3.3)	6 (3.1)
SHV-12- CMY-2-like	-	2 (0.4)	-	-	2 (1.0)

387 ^a Total animal isolates irrespective of the animal species388 ^b Total number of isolates positive for the gene, regardless of whether the gene was present alone or in combination with other ESBL

389 types

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391 Table 3. Isolates carrying mobile colistin resistance *mcr*-genes described according to the source,
 392 colistin resistance phenotype and associated ESBL gene

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Source	Samples (No.)	Colistin R (%)	<i>mcr</i> -1 (%)	<i>mcr</i> -1+ <i>mcr</i> -3 (%)	<i>mcr</i> 1+ <i>mcr</i> -4 (%)	CTX-M-1	CTX-M-15	CTX-M-32	CTX-M-2	CTX-M-14	CMY-2-like	SHV-12
Humans	480	5 (1.0)	3 (0.6) ^a	0	0	1	2	0	0	0	0	0
Urine	377	4 (1.1)	2 (0.5)	0	0	1	1	0	0	0	0	0
Blood	103	1 (1.0)	1 (1.0)	0	0	0	1	0	0	0	0	0
Animals	445	37 (8.3)	24 (5.4)	1 (0.2)	1 (0.2)	5	16	1	1	1	1	1
Cattle	131	8 (6.1)	6 (4.6)	1	0	0	6	1	0	1	0	0
Pig	120	13 (10.8)	8 (6.7)	0	1	3	6	0	0	0	0	0
Poultry	194	16 (8.2)	9 (4.6)	0	0	1	4	0	1	0	1	1

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403 Table 4. Phylogenetic group distribution for 925 ESBL-producing *E. coli* isolates stratified by
 404 source

405

Source	Phylogenetic group														Total
	A	(%)	B1	(%)	B2	(%)	C	(%)	D	(%)	E	(%)	F	(%)	
Humans	41	8.5	28	5.8	367	76.5	16	3.33	13	2.7	6	1.3	9	1.9	480
Animals	159	35.7	116	26.1	19	4.3	55	12.4	29	6.5	28	6.3	39	8.7	445
Cattle	57	43.5	36	27.5	0	0	15	11.5	10	7.6	6	4.6	7	5.3	131
Pig	48	40.0	26	21.7	3	2.5	19	15.8	9	7.5	5	4.2	10	8.3	120
Poultry	54	27.8	54	27.8	16	8.3	21	10.8	10	5.2	17	8.8	22	11.3	194

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409 Table 5. Sequence types shared by ESBL-producing *E. coli* isolates from humans and food-
410 producing animals

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Sequence Type	Humans (392) ^a		Animals (187) ^b	
	n	%	n	%
ST131	327	83.4	3	1.6
ST410	12	3.1	9	4.8
ST10	8	2.0	11	5.9
ST38	4	1.0	3	1.6
ST69	3	0.8	6	3.2
ST167	3	0.8	3	1.6
ST1431	3	0.8	3	1.6
ST224	2	0.5	2	1.1
ST453	2	0.5	1	0.5
ST648	2	0.5	2	1.1
ST744	2	0.5	5	2.7
ST23	1	0.3	6	3.2
ST46	1	0.3	1	0.5
ST88	1	0.3	4	2.1
ST90	1	0.3	1	0.5
ST117	1	0.3	7	3.7
ST162	1	0.3	1	0.5
ST345	1	0.3	2	1.1
ST457	1	0.3	4	2.1

413 ^a total number of human isolates tested for ST

414 ^b total number of animal isolates tested for ST

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418

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