The two-sorted algebraic theory of states, and the universal states of MV-algebras

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Abstract

States of unital Abelian lattice-groups—that is, normalised positive group homomorphisms to \mathbb{R} —provide an abstraction of expected-value operators. A wellknown theorem due to Mundici asserts that the category of unital lattice-groups (with unit-preserving lattice-group homomorphisms as morphisms) is equivalent to the algebraic category of MV-algebras, and their homomorphisms. Through this equivalence, states of lattice-groups naturally correspond to certain [0,1]valued functionals on MV-algebras, which are also known as states in the literature. In this paper we allow states to take values in any unital lattice-group (or in any MV-algebra, in the MV-algebraic setting) rather than just in \mathbb{R} (or just in [0, 1], respectively). We introduce a two-sorted algebraic theory whose models are precisely states of MV-algebras. We extend Mundici's equivalence to one between the category of MV-algebras with states as morphisms, and the category of unital Abelian lattice-groups with, again, states as morphisms. Thus, the models of our two-sorted theory may also be regarded as states between unital Abelian lattice-groups, to within an equivalence of categories. As our first main result, we derive the existence of the universal state of any MV-algebra from the existence of free algebras in multi-sorted algebraic categories. The significance of the universal state of a given algebra is that it provides (an algebraic abstraction of) the most general expected-value operator on that algebra—a construct that is not available if one insists that states be real-valued. In the remaining part of the paper, we seek concrete representations of such universal states. We begin by clarifying the relationship of universal states with the theory of affine representations of lattice-groups: the universal state $A \to B$ of the MV-algebra A is shown to coincide with a certain modification of Choquet's affine representation (of the unital lattice-group corresponding to A) if, and only if, B is

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semisimple. An MV-algebra is locally finite if each one of its finitely generated subalgebras is finite; locally finite MV-algebras are semisimple, and Boolean algebras are instances of locally finite MV-algebras. Our second main result is then that the universal state of any locally finite MV-algebra has semisimple codomain, and can thus be described through our adaptation of Choquet's affine representation.

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1. Introduction

We are concerned in this paper with the algebraic theory of states of MV-algebras and Abelian lattice-groups with a (strong order) unit, here called "unital Abelian ℓ -groups". Usually, states are defined as normalised positive real-valued linear functionals on Riesz spaces with unit or, more generally, normalised positive group homomorphisms to \mathbb{R} of unital Abelian ℓ -groups [10]. Part of their importance stems from the long-recognised fact that unital Abelian lattice-groups and their states provide an abstraction of bounded real random variables and of expected-value operators, respectively. To illustrate, the collection $\mathscr{M}_b(X,\mathscr{A})$ of bounded \mathscr{A} -measurable functions from X to \mathbb{R} is an Abelian ℓ -group under pointwise addition and order; and the function $X \to \mathbb{R}$ constantly equal to 1 is a unit of this ℓ -group, due to our boundedness assumption. If μ is a probability measure on \mathscr{A} , then the expected-value operator $\int_X - d\mu$: $\mathscr{M}_b(X,\mathscr{A}) \to \mathbb{R}$ is a state of the unital Abelian ℓ -group $\mathscr{M}_b(X,\mathscr{A})$.

In this paper we allow states to take values in any unital Abelian ℓ -group, and not just in the real numbers; thus, if G and H are such groups, a state of Gwith values in H is a positive group homomorphism from G to H that carries the unit of G to the unit of H. We shall see that this level of generality allows us to investigate universal constructions that, while ubiquitous in algebra, are not available if one insists that states be real-valued. We shall be interested, specifically, in the existence of a "most general state", or universal state, of a given unital Abelian ℓ -group. We will prove that such a universal state indeed always exists, as a consequence of the standard fact that free algebras exist in algebraic categories. One obstruction to this plan is that the category of unital Abelian ℓ -groups and their unit-preserving homomorphisms is not algebraic with respect to its underlying-set functor. This is because the characteristic property of the unit 1, that its multiples $n := 1 + \cdots + 1$ (n times) should eventually exceed any given element, is not even definable in first-order logic, by a standard compactness argument. Nonetheless, a well-known result of Mundici [20] tells us that the category of unital Abelian ℓ -groups is equivalent to the algebraic category of MV-algebras [6] and their homomorphisms. We shall prove a version of Mundici's result for states, rather than just for unital homomorphisms, that will allow us to carry our programme out to within an equivalence of categories.

To begin with, the theory of (real-valued) states has already been adapted to MV-algebras. The original reference for this is [22], where MV-algebraic states were introduced with the motivation of modelling the notion of "average truth degree" in many-valued logic. For a primer on states of MV-algebras see [24, 8]. In line with what is discussed above for lattice-groups, in this paper we consider states between any two MV-algebras; see Definition 2.1 below. Basic facts about the functor Γ that features in Mundici's equivalence are recalled in Section 2. In Theorem 2.2 we extend Mundici's equivalence to one between MV_{st} (the category whose objects are MV-algebras and whose morphisms are states) and A₁ (the category whose objects are unital Abelian ℓ -groups and whose morphisms are states). In Section 3 states are treated as two-sorted algebras, cf. Definition 3.1. The elementary and yet key Proposition 3.1 about their equational presentation is proved. The proposition enables us to identify the category of states ES with the category of models in Set of a finitely axiomatised two-sorted equational theory. There is a corresponding, non-algebraic category S of states between unital Abelian ℓ -groups. Theorem 3.1 then shows that the categories ES and S are equivalent. Section 4 deals with free objects (free states) in ES, and with universal states (see below for precise definitions). We describe the free object generated by a two-sorted set by universal states and binary coproducts in the category of MV-algebras (Theorem 4.1). This constitutes our first main result.

The second part of our paper is devoted to the issue of representing universal states explicitly, insofar as this is possible. For this, in Section 5 we show how Choquet's theory of affine representations [10, Chapters 5–7] relates to the construction of a universal state. Specifically, Proposition 5.1 says that the codomain of a universal state is Archimedean (or semisimple, in the case of MV-algebras) precisely when it coincides with the extended form of the affine representation that we introduce. Thus, universal states with a semisimple codomain admit of a satisfactory concrete description through affine representations. Our second main result, proved in Section 6, is that the codomain of the universal state of any locally finite MV-algebra (in particular, of any Boolean algebra) is semisimple. The proof uses the duality between finitely presented MV-algebras and the category of compact rational polyhedra with piecewise linear maps with integer coefficients as morphisms.

We assume familiarity with MV-algebras and unital Abelian ℓ -groups; see [6, 24] and [4, 10] for background information. We often adopt the standard practice in algebra of omitting underlying-set functors, if clarity is not impaired. We shall also omit parentheses in application of functors and functions, writing e.g. FI in place of F(I), when this improves readability. We assume $\mathbb{N} := \{1, 2, \ldots\}$.

2. Mundici's equivalence, for states

Let A_1^{ℓ} be the category that has unital Abelian ℓ -groups as objects and unital ℓ -homomorphisms as morphisms, and let MV be the category of MV-algebras and their homomorphisms. In [20, Theorem 3.9], Mundici established

a categorical equivalence between A_1^ℓ and MV which we recall here, without proofs. To each unital ℓ -group G we associate its $unit\ interval$

$$\Gamma(G,1) := \{ a \in G \mid 0 \leqslant a \leqslant 1 \},\tag{1}$$

equipped with the operations

$$a \oplus b \coloneqq (a+b) \wedge 1,$$

 $\neg a \coloneqq 1 - a.$

Then $(\Gamma(G,1), \oplus, \neg, 0)$ is an MV-algebra [6, Proposition 2.1.2]. We will also make use of binary operations \odot and \ominus on $\Gamma(G,1)$ defined by

$$a \odot b \coloneqq \neg(\neg a \oplus \neg b),$$

 $a \ominus b \coloneqq a \odot \neg b.$

By [6, Lemma 2.1.3(i)], the operations $+, \oplus$, and \odot in $\Gamma(G, 1)$ are related as follows:

$$a + b = (a \oplus b) + (a \odot b), \qquad a, b \in \Gamma(G, 1). \tag{2}$$

Since every unital ℓ -homomorphism $f: G \to H$ restricts to a homomorphism of MV-algebras $\Gamma(G, 1) \to \Gamma(H, 1)$, we obtain a functor

$$\Gamma \colon \mathsf{A}_1^\ell \longrightarrow \mathsf{MV}.$$
 (3)

If the unit 1 is understood, we write ΓG in place of $\Gamma(G, 1)$.

In order to describe a functor in the other direction, the notion of good sequence was introduced in [20] (see also [6, Chapter 2 and 7]). For M an MV-algebra, we say that $\mathbf{a} := (a_i)_{i \in \mathbb{N}} \in M^{\mathbb{N}}$ is a good sequence in M if $a_i \oplus a_{i+1} = a_i$ for each $i \in \mathbb{N}$, and there is $n_0 \in \mathbb{N}$ such that $a_n = 0$ for all $n \ge n_0$. We shall write (a_1, \ldots, a_k) in place of $(a_1, \ldots, a_k, 0, 0, \ldots)$; in particular, (a) is short for $(a, 0, 0, \ldots)$, given $a \in M$. Addition of good sequences $(a_i)_{i \in \mathbb{N}}$ and $(b_i)_{i \in \mathbb{N}}$ is defined by

$$(a_i)_{i\in\mathbb{N}}+(b_i)_{i\in\mathbb{N}}\coloneqq (a_i\oplus(a_{i-1}\odot b_1)\oplus\cdots\oplus(a_1\odot b_{i-1})\oplus b_i)_{i\in\mathbb{N}}.$$

The set of all good sequences in M equipped with + becomes a commutative monoid $A_M \subseteq M^{\mathbb{N}}$ with neutral element (0). By general algebra, the full inclusion of the category of Abelian groups into that of commutative monoids has a left adjoint; write $\eta_{A_M} : A_M \to \Xi M$ for the component at A_M of the unit of this adjunction. The monoid A_M can be shown to be cancellative, so the monoid homomorphism η_{A_M} is injective. To describe the elements of ΞM explicitly, let us say two ordered pairs of good sequences (\mathbf{a}, \mathbf{b}) and $(\mathbf{a}', \mathbf{b}')$ are equivalent if

$$\mathbf{a} + \mathbf{b}' = \mathbf{a}' + \mathbf{b},$$

and let us write $[\mathbf{a}, \mathbf{b}]$ for the equivalence class of (\mathbf{a}, \mathbf{b}) . Then ΞM is defined as the set of all equivalence classes of the form $[\mathbf{a}, \mathbf{b}]$ equipped with the addition

$$[\mathbf{a}, \mathbf{b}] + [\mathbf{c}, \mathbf{d}] \coloneqq [\mathbf{a} + \mathbf{c}, \mathbf{b} + \mathbf{d}],$$

with the neutral element [(0),(0)], and with the unary inverse operation

$$-[\mathbf{a}, \mathbf{b}] \coloneqq [\mathbf{b}, \mathbf{a}].$$

Moreover, the monoid A_M is lattice-ordered by the restriction of the product order of $M^{\mathbb{N}}$, and this lattice order on A_M extends (in the obvious sense, through the injection η_{A_M}) to exactly one translation-invariant lattice order on ΞM . Thus, ΞM is an Abelian ℓ -group with unit [(1), (0)].

Lemma 2.1. For any MV-algebra M, and any unital Abelian ℓ -group G, the following hold.

1. The function $\varphi_M \colon M \to \Gamma \Xi M$ given by

$$\varphi_M(a) := [(a), (0)], \quad a \in M,$$

is an isomorphism of MV-algebras.

2. The lattice-ordered monoids $G^+ := \{a \in G \mid a \geq 0\}$ and $A_{\Gamma G}$ are isomorphic through the function $g \colon G^+ \to A_{\Gamma G}$ that sends $a \in G^+$ to the unique good sequence

$$q(a) := (a_1, \dots, a_n)$$

of elements $a_i \in \Gamma G$ such that $a = a_1 + \cdots + a_n$.

3. The function $\varepsilon_G \colon G \to \Xi \Gamma G$ defined by

$$\varepsilon_G(a) := [g(a^+), g(a^-)], \quad a \in G,$$

is an isomorphism of unital ℓ -groups, where g is as in item (2) above, and, as usual, $a^+ := a \vee 0$, $a^- := -a \vee 0$.

Proof. See Theorem 2.4.5, Lemma 7.1.5, and Corollary 7.1.6 in [6]. \Box

A homomorphism $h \colon M \to N$ of MV-algebras lifts to a function $h^* \colon A_M \to A_N$ upon setting $h^*((a_i)_{i \in \mathbb{N}}) := (h(a_i))_{i \in \mathbb{N}}$. Then Lemma 2.1 and the universal construction of ΞM from A_M entail that h^* has exactly one extension to a unital ℓ -homomorphism $\Xi h \colon \Xi M \to \Xi N$. We thereby obtain a functor

$$\Xi \colon \mathsf{MV} \longrightarrow \mathsf{A}_1^{\ell}.$$
 (4)

Theorem 2.1 (Mundici's equivalence). The functors Γ and Ξ form an equivalence of categories.

Remark 2.1. In what follows we shall often tacitly identify M with $\Gamma \Xi M \subseteq \Xi M$, and thus speak of functions defined on M having an extension to ΞM , etc.

In the rest of this section we lift the equivalence of Theorem 2.1 from homomorphisms to states.

Definition 2.1 (States). For MV-algebras M and N, a function $s: M \to N$ is a state (of M with values in N) if s(1) = 1, and for each $a, b \in M$ with $a \odot b = 0$ the equality $s(a \oplus b) = s(a) + s(b)$ holds, where + is interpreted in ΞN . For unital Abelian ℓ -groups G and H, a function $s: G \to H$ is a state (of G with values in H) if s(1) = 1, and s is a group homomorphism that is positive, i.e., for each $g \in G^+$ we have $s(g) \in H^+$. We write $\mathsf{MV}_{\mathsf{st}}$ for the category whose objects are MV-algebras and whose morphisms are states, and A_1 for the category whose objects are unital Abelian ℓ -groups and whose morphisms are states.

States of MV-algebras can be defined in several equivalent ways. For instance, a function $s: M \to N$ satisfying s(1) = 1 is a state if, and only if, for each $a, b \in M$ with $a \odot b = 0$, the equalities $s(a \oplus b) = s(a) \oplus s(b)$ and $s(a) \odot s(b) = 0$ hold—see [15, Proposition 3.3]. In Section 3 we will give an equational characterisation of states (Proposition 3.1).

Remarks 2.1. (1) It is elementary that requiring the group homomorphism $s: G \to H$ to be positive is equivalent to asking that it be order-preserving.

- (2) States are a classical notion in the theory of partially ordered Abelian groups (see e.g. [10]), where they are most often assumed to have codomain \mathbb{R} . For emphasis, we refer to the latter states as real-valued. A significant example is the Lebesgue integral $\int_{[0,1]} -d\lambda$ over the unital Abelian ℓ -group of all continuous functions $[0,1] \to \mathbb{R}$. Let us stress that states do not necessarily preserve infima and suprema and, therefore, in general they are not morphisms in A_1^{ℓ} .
- (3) States of MV-algebras also are a well-studied notion (see e.g. [24, 8]), and they are usually assumed to have codomain $[0,1] \subseteq \mathbb{R}$. We refer to the latter states as *real-valued*. Analogously to the previous item, states of MV-algebras are not morphisms in MV, in general.

Lemma 2.2. Let M and N be MV-algebras, and G and H be unital Abelian ℓ -groups.

- 1. Any state of unital Abelian ℓ -groups $s: G \to H$ restricts to a function $\Gamma(s): \Gamma G \to \Gamma H$ that is a state of MV-algebras.
- 2. Any state of MV-algebras $s: M \to N$ has exactly one extension to a state of unital Abelian ℓ -groups $\Xi(s): \Xi M \to \Xi N$.

Proof. To prove the first item, observe that $0 \le a \le 1$ in G entails $0 \le s(a) \le 1$ in G, because S preserves the order; further, S(1) = 1 by definition. If $0 \le a_1, a_2 \le 1$ in G, and $a_1 \odot a_2 = 0$ in the MV-algebra ΓG , then (2) yields $a_1 \oplus a_2 = a_2 + a_2$.

For the second item, let us regard s as a function $\Gamma \Xi M \to \Gamma \Xi N$. Then s is easily seen to be order-preserving, [24, Proposition 10.2]. For $a,b \in \Gamma \Xi M$ we have s(a+b)=s(a)+s(b) as soon as a+b belongs to $\Gamma \Xi M$. Indeed, the latter happens precisely when $a \odot b=0$ by (2), and then $s(a+b)=s(a\oplus b)=s(a)+s(b)$ by the definition of state. Now existence and uniqueness of $\Xi(s)$ is granted by the general extension result [12, Proposition 1.5]. (The

hypotheses of the cited proposition require G to be directed, and to have the Riesz interpolation property; it is classical and elementary that any ℓ -group satisfies these properties.)

In light of Lemma 2.2 we consider the functors

$$\Gamma \colon \mathsf{A}_1 \longrightarrow \mathsf{MV}_{\mathsf{st}}$$

and

$$\Xi \colon \mathsf{MV}_{\mathsf{st}} \longrightarrow \mathsf{A}_1$$

that extend the homonymous ones in (3) and (4), respectively.

Theorem 2.2 (Mundici's equivalence, for states). The functors $\Gamma \colon A_1 \to \mathsf{MV}_{\mathsf{st}}$ and $\Xi \colon \mathsf{MV}_{\mathsf{st}} \longrightarrow A_1$ form an equivalence of categories.

Proof. The isomorphism $\varphi_M \colon M \to \Gamma \Xi M$ from Lemma 2.1(1) is natural: if $s \colon M \to N$ is a state of MV-algebras, the naturality square

$$\begin{array}{ccc} M & \xrightarrow{\varphi_M} & \Gamma \Xi M \\ s \downarrow & & \downarrow \Gamma \Xi(s) \\ N & \xrightarrow{\varphi_N} & \Gamma \Xi N \end{array}$$

commutes by the definitions of Ξ and Γ .

The isomorphism $\varepsilon_G \colon G \to \Xi \Gamma G$ is also natural: if $s \colon G \to H$ is a state of unital Abelian ℓ -groups, the naturality square

$$\begin{array}{ccc} G & \xrightarrow{\varepsilon_G} & \Xi \Gamma G \\ s \downarrow & & \downarrow \Xi \Gamma (s) \\ H & \xrightarrow{\varepsilon_H} & \Xi \Gamma H \end{array}$$

commutes. Indeed, $\Xi\Gamma(s)\varepsilon_G$ and $\varepsilon_H s$ are states $G \to \Xi\Gamma H$, and it follows from direct inspection of the definitions involved that they agree on the unit interval of G; but then they agree on the whole of G, by (1) and (2) in Lemma 2.2. \square

3. The two-sorted variety of states

For multi-sorted universal algebra see the pioneering [13, 5], and the textbook reference [1]. We are concerned with the two-sorted case only. Unlike [5], and like [1], we allow arbitrary multi-sorted sets as carriers of algebras—no non-emptyness requirement is enforced. The difference is immaterial for the present paper, because each of our two sorts has constants. We recall that the product category $\mathsf{Set}^2 \coloneqq \mathsf{Set} \times \mathsf{Set}$ of two-sorted sets and two-sorted functions has as objects the ordered pairs (A,B) of sets, and as morphisms

$$f: (A_1, B_1) \longrightarrow (A_2, B_2)$$

the ordered pairs $f := (f_1, f_2)$ of functions

$$f_1 \colon A_1 \to A_2,$$

 $f_2 \colon B_1 \to B_2.$

Composition of morphisms and identity morphisms are defined componentwise. We consider two sorts \mathcal{R} and \mathcal{E} of random variables and degrees of expectation, respectively, and operations as follows.

- (T1) Operations \oplus : $\mathcal{R}^2 \to \mathcal{R}$, \neg : $\mathcal{R} \to \mathcal{R}$, and 0: $\mathcal{R}^{\emptyset} \to \mathcal{R}$. Thus, these are operations of arities 2, 1, and 0, respectively, in the sort of random variables.
- (T2) Operations $\oplus : \mathcal{E}^2 \to \mathcal{E}, \neg : \mathcal{E} \to \mathcal{E}, \text{ and } 0 : \mathcal{E}^\emptyset \to \mathcal{E}.$ Thus, these are operations of arities 2, 1, and 0, respectively, in the sort of expectation degrees. They are purposefully denoted by the same symbols as their counterparts in the sort \mathcal{R} .
- (T3) One operation $s \colon \mathcal{R} \to \mathcal{E}$ from the sort of random variables to that of degrees of expectation.

Items (T1)–(T3) define a two-sorted (similarity) type. For the sake of clarity, let us spell out that a two-sorted function $(m,n)\colon (M_1,N_1)\to (M_2,N_2)$ is a homomorphism between algebras of this two-sorted type precisely when $m\colon M_1\to M_2$ and $n\colon N_1\to N_2$ are homomorphisms in the type of $\mathcal R$ and $\mathcal E$, respectively, and moreover the square

$$\begin{array}{ccc}
M_1 & \xrightarrow{s} & N_1 \\
\downarrow^m & & \downarrow^n \\
M_2 & \xrightarrow{s} & N_2
\end{array}$$
(5)

commutes.

Definition 3.1 (States as two-sorted algebras). A *state* is an algebra (M, N) of the two-sorted type (T1)–(T3) such that the following equational conditions hold.

- (S1) $(M, \oplus, \neg, 0)$ is an MV-algebra.
- (S2) $(N, \oplus, \neg, 0)$ is an MV-algebra.
- (S3) For every $a, b \in M$, $s: M \to N$ satisfies
 - (A1) $s(a \oplus b) = s(a) \oplus s(b \land \neg a),$
 - (A2) $s(\neg a) = \neg s(a)$, and
 - (A3) s(1) = 1.

Remark 3.1. The presented axiomatisation (S3) is originally inspired by that of internal states [9]. It was used already in [16] in case of states whose domains are Boolean algebras; see also [15].

Let us emphasise that in the above and throughout we denote a two-sorted algebra simply by its underlying two-sorted set (M, N). All operations— \oplus , s, and so forth—are tacitly understood. This is in keeping with standard usage in algebra.

Item (S3) in Definition 3.1 amounts to requiring that $s: M \to N$ be a state:

Proposition 3.1. Let $s: M \to N$ be a function between MV-algebras M and N. The following are equivalent.

- 1. The function s is a state.
- 2. The function s satisfies (S3) in Definition 3.1:

$$(A1)$$
 $s(a \oplus b) = s(a) \oplus s(b \land \neg a),$

$$(A2)$$
 $s(\neg a) = \neg s(a),$

$$(A3) \ s(1) = 1.$$

Proof. We will need the following equations, valid in all MV-algebras:

(MV1)
$$b \land \neg a = b \ominus (a \odot b),$$

$$(MV2)$$
 $a \oplus b = a \oplus (b \land \neg a),$

(MV3)
$$a \odot (b \wedge \neg a) = 0.$$

In detail, (MV1) holds by the definition of \wedge , since

$$b \land \neg a = b \odot (\neg b \oplus \neg a) = b \odot \neg (a \odot b) = b \ominus (a \odot b).$$

The operation \oplus distributes over \wedge by [6, Proposition 1.1.6], so

$$a \oplus (b \land \neg a) = (a \oplus b) \land (a \oplus \neg a) = a \oplus b,$$

which proves (MV2). Finally, for (MV3),

$$a \odot (b \land \neg a) = a \odot (b \ominus (a \odot b)) = (a \odot b) \odot \neg (a \odot b) = 0.$$

Assume s is a state. Then (A3) holds by definition. Further, (MV2), (MV3), and the definition of state yield

$$s(a \oplus b) = s(a \oplus (b \land \neg a)) = s(a) + s(b \land \neg a).$$

Then $s(a) + s(b \land \neg a) \in N$, and so the + above agrees in fact with \oplus by (2). Therefore, (A1) holds. Finally, since $a \odot \neg a = 0$, we can write

$$1 = s(a \oplus \neg a) = s(a) + s(\neg a),$$

which proves (A2) because 1-s(a) equals $\neg s(a)$ in ΞN . Thus, s satisfies (A1)–(A3).

Conversely, assume $s \colon M \to N$ has properties (A1)–(A3). We first prove that s is order-preserving. Assume $a \leqslant b$, or equivalently, by definition, $b = a \oplus (b \ominus a)$. Then (A1) yields

$$s(b) = s(a \oplus (b \ominus a)) = s(a) \oplus s((b \ominus a) \land \neg a) \geqslant s(a),$$

where the last inequality follows from monotonicity of \oplus in each coordinate [6, Lemma 1.1.4].

Let $a \odot b = 0$. Then (MV1) gives $b \wedge \neg a = b$ and, by (A1),

$$s(a \oplus b) = s(a) \oplus s(b).$$

It remains to check that $s(a) \odot s(b) = 0$, which implies $s(a) \oplus s(b) = s(a) + s(b)$ as is to be shown. Employing (A1), (A3), and the assumption $a \odot b = 0$, we get

$$s(\neg a) \oplus s(\neg b \land a) = s(\neg a \oplus \neg b) = s(\neg (a \odot b)) = s(\neg 0) = 1.$$

Since s is order-preserving, and since \oplus is monotone in each coordinate by [6, Lemma 1.1.4], we infer $s(\neg a) \oplus s(\neg b) \geqslant s(\neg a) \oplus s(\neg b \land a) = 1$. Then by (A2) this gives

$$s(a) \odot s(b) = \neg(s(\neg a) \oplus s(\neg b)) = 0,$$

which completes the proof.

We consider the category ES (for "Equational States") of states in the sense of Definition 3.1, and their homomorphisms. By its very definition, ES is the category of models in Set of a (finitely axiomatised) two-sorted equational theory. Thus, ES is a two-sorted variety—i.e., it is closed under homomorphic images, subalgebras, and products inside the category of all algebras of the type (T1)–(T3)—by the easy implication in Birkhoff's Variety Theorem. (See [2] for details on Birkhoff's Theorem in the multi-sorted setting.) Let us recall that "homomorphic images" here are the codomains of those homomorphisms that are surjective in each sort, and that these are exactly the regular epimorphisms [1, Corollary 3.5].

We further consider the category S of states whose objects are all states $G \to H$ of unital Abelian ℓ -groups G with values in any unital Abelian ℓ -groups H, and whose morphisms are pairs of unital ℓ -homomorphisms $G_1 \to G_2$ and $H_1 \to H_2$ making the obvious square commute. Thus, the objects of S are exactly the arrows $G \to H$ in A_1 . We define a functor

$$\Gamma^2 \colon \mathsf{S} \longrightarrow \mathsf{ES}$$

by setting $\Gamma^2(G \to H) := (\Gamma G, \Gamma H)$, for $G \to H$ an object of S, where the operation $s \colon \Gamma G \to \Gamma H$ of the two-sorted algebra $(\Gamma G, \Gamma H)$ is defined as the restriction of the given state $G \to H$ (cf. item (1) in Lemma 2.2). Concerning arrows, given the morphism $(g,h) \colon (G_1 \to H_1) \to (G_2 \to H_2)$ in S—i.e., given the pair of unital ℓ -homomorphisms $g \colon G_1 \to G_2$ and $h \colon H_1 \to H_2$ that form the required commutative square with the states $G_i \to H_i$, i = 1,2—we set

 $\Gamma^2(g,h) \coloneqq (\Gamma g, \Gamma h),$ which is evidently a morphism in ES. We also define a functor

$$\Xi^2 \colon \mathsf{ES} \longrightarrow \mathsf{S}$$

by setting $\Xi^2(M,N)$ to be the state $\Xi s \colon \Xi M \to \Xi N$ (cf. item (2) in Lemma 2.2), where $s \colon M \to N$ is the two-sorted operation of (M,N). For a morphism (m,n) from (M_1,N_1) to (M_2,N_2) , we let $\Xi^2(m,n) := (\Xi m,\Xi n)$; this is a morphism in S because Ξ is a functor.

Theorem 3.1 (Equational characterisation of states). The functors $\Gamma^2 \colon S \to ES$ and $\Xi^2 \colon ES \to S$ form an equivalence of categories.

Proof. The morphism $(\varphi_M, \varphi_N) \colon (M, N) \to \Gamma^2 \Xi^2(M, N)$ is an isomorphism in ES, where the components of (φ_M, φ_N) are as in Lemma 2.1 and thus are isomorphisms; naturality is verified componentwise, and thus follows at once from Theorem 2.2. Similarly, for every state $G \to H$ in A_1 , $(\varepsilon_G, \varepsilon_H) \colon (G \to H) \to \Xi^2 \Gamma^2(G, H)$ is an isomorphism, where ε_G and ε_H are as in Lemma 2.1, and naturality reduces to naturality in each sort, which holds by Theorem 2.2. \square

4. Free and universal states

For a set I, let us write FI for the free MV-algebra generated by I, and

$$\iota_I \colon I \to FI$$
 (6)

for the "inclusion of free generators", i.e., for the component at I of the unit of the free/underlying-set adjunction $F \dashv |-|$.

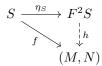
By general algebraic considerations, the functor

$$|-|_2 \colon \mathsf{ES} \longrightarrow \mathsf{Set}^2$$

that takes a state of MV-algebras to its carrier two-sorted set has a left adjoint

$$F^2 \colon \mathsf{Set}^2 \longrightarrow \mathsf{ES}.$$

The existence of this left adjoint has nothing to do with MV-algebras specifically, and is entailed by the existence of free algebras in varieties of multi-sorted algebras. The original reference for this latter result seems to be [13, Section 5], with a generalisation established in [5, Section 7]. For a two-sorted set S, write $\eta_S \colon S \to F^2S$ for the component at S of the unit of the adjunction $F^2 \dashv |-|_2$. Then η_S is characterised as the essentially unique two-sorted function $S \to F^2S$ such that, for any two-sorted function $f \colon S \to (M, N)$ with a state in the codomain, there is exactly one morphism $h \colon F^2S \to (M, N)$ in ES making the diagram



commute. In algebraic parlance, F^2S is a state freely generated by the twosorted set S; it is evidently unique to within a unique isomorphism, and therefore any state satisfying the preceding universal property will be called *the free state* generated by S.

Lemma 4.1. Consider the two-sorted set (\emptyset, S_2) , where S_2 is any set. Writing 2 for the initial MV-algebra (=two-element Boolean algebra), $(2, FS_2)$ is the free state in ES generated by (\emptyset, S_2) , where the operation s is the unique homomorphism $2 \to FS_2$.

Proof. Given a two-sorted function $(f_!, f_2) \colon (\emptyset, S_2) \to (M, N)$, where $f_! \colon \emptyset \to M$ is the unique function from \emptyset to M, write $h_! \colon 2 \to M$ for the unique homomorphism, and $h_2 \colon FS_2 \to N$ for the unique homomorphism such that $f_2 = h_2 \iota_{S_2}$, where ι_{S_2} is as in (6). Further, consider the component-wise inclusion $\iota \colon (\emptyset, S_2) \subseteq (2, FS_2)$. Then $(h_!, h_2) \colon (2, FS_2) \to (M, N)$ is a morphism in ES, because 2 is initial in $\mathsf{MV}_{\mathsf{st}}$. Also, $(f_!, f_2) = (h_!, h_2)\iota$, and $(h_!, h_2)$ is clearly unique with this property.

If M is any MV-algebra, a state

$$v_M: M \longrightarrow \Upsilon M$$

is said to be universal (for M) if for each state $s \colon M \to N$ there is exactly one homomorphism of MV-algebras $h \colon \Upsilon M \to N$ satisfying $hv_M = s$. Universal states, when they exist, are evidently unique to within a unique isomorphism. Any state satisfying the preceding universal property will therefore be called the universal state (of M).

Lemma 4.2. Consider the two-sorted set (S_1, \emptyset) , where S_1 is any set. Then the free state $F^2(S_1, \emptyset)$ generated by (S_1, \emptyset) is (isomorphic in ES to)

$$(FS_1, \Upsilon FS_1),$$

where the operation s is the universal state v_{FS_1} of FS_1 .

Proof. Let us display the components of $F^2(S_1,\emptyset)$ as the pair of MV-algebras (A,B). If M is any MV-algebra and $\mathbbm{1}$ is the terminal (=one-element) MV-algebra, we consider the object $(M,\mathbbm{1})$ of ES whose operation s is the unique homomorphism $M\to \mathbbm{1}$. Given any function $f\colon S_1\to M$, the unique two-sorted function $(f,!)\colon (S_1,\emptyset)\to (M,\mathbbm{1})$ whose first component is f (and whose second component, necessarily, is the only possible function $!\colon \emptyset\to \mathbbm{1}$) has exactly one extension to a homomorphism $(h_1,!)\colon (A,B)\to (M,\mathbbm{1})$. The second component of this homomorphism is the only possible one $!\colon B\to \mathbbm{1}$. Then $h_1\colon A\to M$ is a homomorphism that extends f, and it is the unique such: if $h'_1\colon A\to M$ extends f then $(h'_1,!)\colon (A,B)\to (M,\mathbbm{1})$ extends (f,!), and thus $h'_1=h_1$ by the uniqueness of $(h_1,!)$. This shows that f is f. In the rest of this proof we write f and drop f.

Let us next consider the operation $s \colon FS_1 \to B$ of the object (FS_1, B) , with the intent of proving that the state s is universal for FS_1 . Consider any

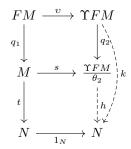
state $t: FS_1 \to N$, and the corresponding object (FS_1, N) of ES. Writing $\iota: S_1 \to FS_1$ for the insertion of free generators, we consider the two-sorted function $(\iota,!): (S_1,\emptyset) \to (FS_1,N)$. The universal property of (FS_1,B) yields exactly one homomorphism $(1_{FS_1},h_2): (FS_1,B) \to (FS_1,N)$ extending $(\iota,!)$, the first component of which is, necessarily, the identity $1_{FS_1}: FS_1 \to FS_1$. The second component h_2 therefore satisfies $h_2s = t$. Further, h_2 is the only homomorphism with this property. Indeed, if $h'_2: B \to N$ satisfies $h'_2s = t$, then $(1_{FS_1},h'_2): (FS_1,B) \to (FS_1,N)$ extends $(\iota,!)$ and so $h'_2=h_2$ by the universal property of (FS_1,B) . In conclusion, $s: FS_1 \to B$ is the universal state v_{FS_1} of FS_1 , and therefore B is ΥFS_1 . This completes the proof.

Lemma 4.1 establishes the existence of the universal state of a free MV-algebra. For the general case, we take a quotient. If $f: A \to B$ is a function, we write $\operatorname{Ker} f := \{(x,y) \in A^2 \mid fx = fy\}$. This notation is opposed to $\operatorname{ker} f := f^{-1}\{0\}$, which we also use later on in the paper and which makes sense when A and B are either MV-algebras or lattice-groups.

Corollary 4.1. Every MV-algebra has a universal state.

Proof. Let M be any MV-algebra, write FM for the free MV-algebra generated by the set M, and let $q_1 \colon FM \to M$ be the unique, automatically surjective homomorphism extending the identity function $M \to M$. By Lemma 4.2, $F^2(M,\emptyset)$ is $(FM,\Upsilon FM)$, where the operation s is the universal state v_{FM} of FM. In the rest of this proof we write v, tout court. Set $\theta_1 = \operatorname{Ker} q_1$ and $\theta_2 = \langle \{(vx,vy) \mid (x,y) \in \theta_1\} \rangle$, where $\langle - \rangle$ denotes the congruence generated by - on ΥFM . Then (θ_1,θ_2) is evidently a congruence on $(FM,\Upsilon FM)$, and it is the congruence generated by the two-sorted relation (θ_1,\emptyset) —indeed, any congruence on $(FM,\Upsilon FM)$ that contains (θ_1,\emptyset) must contain $(\theta_1,\{(vx,vy) \mid (x,y) \in \theta_1\})$, by the compatibility with v, and thus must contain (θ_1,θ_2) .

 $(x,y) \in \theta_1$), by the compatibility with v, and thus must contain (θ_1,θ_2) . We consider the quotient state $(M,\frac{\Upsilon FM}{\theta_2})$ of $(FM,\Upsilon FM)$ modulo the congruence (θ_1,θ_2) , with operation $M \to \frac{\Upsilon FM}{\theta_2}$ denoted s,



and we verify that s is the universal state of M. (We write $q_2: \Upsilon FM \to \frac{\Upsilon FM}{\theta_2}$ for the natural quotient map.) For this, let $t: M \to N$ be any state. The composite $tq_1: FM \to N$ is a state, too. Since v is universal for FM, there is exactly one homomorphism $k: \Upsilon FM \to N$ such that $kv = tq_1$. Let us show $\ker q_2 \subseteq \ker k$. Since $\ker q_2$ is θ_2 , it suffices to show that the inclusion

holds for $\{(vx,vy) \mid (x,y) \in \theta_1\}$, because the latter is a generating set of θ_2 . Given (vx,vy) with $(x,y) \in \theta_1$, from $q_1x = q_1y$ we obtain $tq_1x = tq_1y$, and thus kvx = kvy, that is $(vx,vy) \in \text{Ker } k$, as we intended to show. By the universal property of quotients (applied to MV-algebras), there is exactly one homomorphism $h \colon \frac{\Upsilon FM}{\theta_2} \to N$ with $hq_2 = k$. This homomorphism satisfies hs = t. Indeed, from $hq_2 = k$ and $kv = tq_1$ we obtain $hq_2v = tq_1$, and therefore $hsq_1 = tq_1$; but q_1 is epic, whence hs = t. Finally, if h' is any homomorphism that satisfies h's = t, then $h'sq_1 = tq_1$ and so $h'q_2v = tq_1$; applying the uniqueness property of k, we infer $h'q_2 = k$, and applying that of k we conclude k = k'. This completes the proof that k is universal for k.

Corollary 4.1 entails at once by elementary category theory (see e.g. [17, Theorem 2 in Chapter IV]) that the faithful, non-full inclusion functor

$$|-|: MV \longrightarrow MV_{st}$$

has a left adjoint

$$\Upsilon \colon \mathsf{MV}_{\mathsf{st}} \longrightarrow \mathsf{MV} \,. \tag{7}$$

Thus, ΥM is the MV-algebra freely generated by |M|.

Remark 4.1. The faithful, non-full inclusion of A_1^{ℓ} into the category of unital partially ordered Abelian groups (with morphisms the unital order-preserving group homomorphisms) is proved to have a left adjoint in [4, Appendice A.2]; the argument there is for the non-unital case, but is easily adapted. Thus, the unital Abelian ℓ -group freely generated by any unital partially ordered Abelian group exists. As a special case of this, one has that the unital Abelian ℓ -group freely generated by a unital partially ordered Abelian group that happens to be lattice-ordered exists. Upon applying the results in Section 2 to translate into the language of ordered groups, Corollary 4.1 provides an alternative proof of this result that is streamlined by the use of two-sorted algebraic theories. For clarity, we mention that Bigard, Keimel, and Wolfenstein in [4] distinguish between "universal" and free ℓ -groups: the former are in fact what we call "free", as is now standard; the latter have the further property that the universal arrow is an order embedding. Our own usage of "universal" for the components of the unit of the adjunction $\Upsilon \dashv |-|$ is meant as mere emphasis, in view of the probabilistic meaning of the construction.

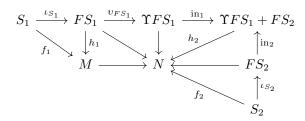
General free algebras in ES reduce to universal states and coproducts in MV; see [21] for the latter. We also say "sum" for "coproduct". We write + to denote binary sums in MV. If $A \to A + B \leftarrow B$ is a coproduct, we call the two arrows the coproduct injections (with no implication about their injectivity as functions), and we often denote them in₁ and in₂, respectively.

Theorem 4.1. For any two-sorted set (S_1, S_2) , the state

$$(FS_1, \Upsilon FS_1 + FS_2) \tag{8}$$

in ES with operation s equal to $\operatorname{in}_1 v_{FS_1}$, where v_{FS_1} is the universal state of FS_1 , and $\operatorname{in}_1 \colon \Upsilon FS_1 \to \Upsilon FS_1 + FS_2$ is the first coproduct injection, is the free state generated by $S \coloneqq (S_1, S_2)$, the component of the unit at S being $\eta_S \coloneqq (\iota_{S_1}, \operatorname{in}_2 \iota_{S_2})$ with ι_{S_i} as in (6), i = 1, 2, and $\operatorname{in}_2 \colon FS_2 \to \Upsilon FS_1 + FS_2$ the second coproduct injection.

Proof. We consider a two-sorted function $(f_1, f_2): (S_1, S_2) \to (M, N)$. With reference to the diagram below,



we have:

- Exactly one homomorphism $h_1: FS_1 \to M$ making the upper left triangle commute, by the freeness of FS_1 ;
- the state $FS_1 \to N$ given by the composition $FS_1 \to M \to N$;
- exactly one homomorphism $\Upsilon FS_1 \to N$ making the triangle at its immediate left commute;
- exactly one homomorphism $FS_2 \to N$ making the lower right triangle commute, by the freeness of FS_2 ;
- and therefore, by the universal property of coproducts, there is exactly one homomorphism $h_2: \Upsilon FS_1 + FS_2 \to N$ such that precomposing it with the coproduct injections in₁ and in₂ yields $\Upsilon FS_1 \to N$ and $FS_2 \to N$, respectively.

By construction, the pair (h_1, h_2) is a morphism in ES that satisfies $(f_1, f_2) = (h_1, h_2)(\iota_{S_1}, \operatorname{in}_2 \iota_{S_2})$. That it is the unique such follows readily from the diagram above.

5. Universal states, and Choquet's affine representation

We recall basic facts about the theory of affine representations. For background information and references see [10, Chapters 5–7]. We formulate the results in the language of ordered groups, as is traditional; they may be translated for MV-algebras via the equivalence in Theorem 2.1.

For a unital Abelian ℓ -group G, set

$$\operatorname{St} G \coloneqq \{s \colon G \to \mathbb{R} \mid s \text{ is a real-valued state}\} \subseteq \mathbb{R}^G$$
 .

Equip \mathbb{R} with its Euclidean topology, \mathbb{R}^G with the product topology, and St G with the subspace topology. Then St G, the *state space of* G, is a compact Hausdorff space, which is moreover a convex set in the vector space \mathbb{R}^G . For every $a \in G$ we consider the function

$$\hat{a} \colon \operatorname{St} G \longrightarrow \mathbb{R}$$
 (9) $s \longmapsto s(a)$.

The function \hat{a} is continuous and affine; we write A (St G) for the set of all continuous affine maps St $G \to \mathbb{R}$. Then [10, Theorem 11.21] says that A (St G) is a unital Abelian ℓ -group under pointwise addition and order, with unit the function constantly equal to 1. The map

$$e_G : G \longrightarrow A (\operatorname{St} G)$$
 (10)
 $a \longmapsto \hat{a}.$

induced by (9) is a state $G \to A$ (St G). It is an isomorphism onto its range precisely when G is Archimedean [10, Theorem 7.7]. Recall that G is Archimedean if for $a, b \in G$, $na \leq b$ for all positive integers n implies $a \leq 0$. In any case, even when G fails to be Archimedean, we call (10) the affine representation of G.

For any compact Hausdorff space X, write C(X) for the unital Abelian ℓ -group of continuous real-valued functions on X, operations being defined pointwise; the function constantly equal to 1 is the unit. For every unital Abelian ℓ -group G, the inclusion $A(StG) \subseteq C(StG)$ preserves the unit, the group structure, and the partial order. In general, however, it fails to preserve the lattice structure. Let us therefore consider the sublattice-subgroup \widehat{G} of C(StG) generated by the image of G under the affine representation map e_G in (10). Modifying the codomain of the affine representation accordingly, but retaining the same notation, we obtain the state

$$e_G : G \longrightarrow \widehat{G}$$
 (11)
 $a \longmapsto \widehat{a},$

which we call the extended affine representation of G.

Reformulating the notion of universal state of MV-algebras, a state

$$v_G: G \longrightarrow \Upsilon G$$

of the unital Abelian ℓ -groups G will be called universal (for G) if for each state $s\colon G\to H$ there is exactly one unital ℓ -homomorphism $h\colon \Upsilon G\to H$ satisfying $hv_G=s$. We will relate the extended affine representation (11) of G with the codomain ΥG of a universal state. In light of the universal property of v_G there is exactly one comparison unital ℓ -homomorphism

$$q_G \colon \Upsilon G \longrightarrow \widehat{G}$$
 (12)

that satisfies

$$q_G v_G = e_G. (13)$$

Proposition 5.1. Let G be a unital Abelian ℓ -group. The homomorphism q_G in (12) is an isomorphism if, and only if, ΥG is Archimedean.

Proof. First observe that q_G is always surjective. Indeed, $v_G[G]$ and $e_G[G]$ generate ΥG and \widehat{G} as ℓ -groups, respectively. Therefore, by (13), the map q_G throws a generating set of ΥG onto a generating set of \widehat{G} ; hence it is surjective. Further, recall that the *radical ideal* of a unital Abelian ℓ -group H is defined as

$$\operatorname{Rad} H \coloneqq \bigcap \left\{ \ker h \mid h \colon H \to \mathbb{R} \text{ is a homomorphism} \right\},\,$$

and that H is Archimedean if, and only if, $\operatorname{Rad} H = \{0\}$. It now suffices to prove that $\ker q_G = \operatorname{Rad} \Upsilon G$. Since \widehat{G} is Archimedean by construction, and unital ℓ -homomorphisms such as q_G preserve radical ideals, the inclusion $\operatorname{Rad} \Upsilon G \subseteq \ker q_G$ is clear. For the converse inclusion, suppose by contraposition that $x \notin \operatorname{Rad} \Upsilon G$, with the intent of showing $q_G x \neq 0$. By the hypothesis there is a unital ℓ -homomorphism $h \colon \Upsilon G \to \mathbb{R}$ such that $hx \neq 0$. Thus we have a real-valued state

$$s := hv_G \colon G \longrightarrow \mathbb{R}$$
.

Evaluation of elements of \widehat{G} at s produces a homomorphism

$$\operatorname{ev}_s \colon \widehat{G} \longrightarrow \mathbb{R}$$
 $f \longmapsto fs \in \mathbb{R}$

such that

$$ev_s e_G = h v_G. (14)$$

To see that (14) holds, pick $a \in G$ and compute: $(ev_s e_G)(a) = ev_s(e_G(a)) = (e_G(a))(s) = s(a)$, where the last equality is given by the definition (9). Since $s = hv_G$, (14) holds.

From (13–14) we deduce

$$\operatorname{ev}_s q_G v_G = h v_G$$
,

which by the universal property of v_G entails

$$ev_s q_G = h. (15)$$

Since $hx \neq 0$ by hypothesis, from (15) we infer $q_Gx \neq 0$, as was to be shown. \square

Remark 5.1. The question of when the Abelian ℓ -group freely generated by an Archimedean partially ordered Abelian group is itself Archimedean has long had an important place in the theory of ordered groups. Bernau [3] gave an example of an Archimedean partially ordered Abelian group, which moreover happens to be lattice-ordered, such that the Abelian ℓ -group it freely generates fails to be Archimedean. Further, Bernau obtained in [3, Theorem 4.3] a necessary and sufficient condition for the Abelian ℓ -group freely generated by a partially

ordered Abelian group to be Archimedean; the condition, which we do not reproduce here due to its length, is known as the *uniform Archimedean property* of a partially ordered group. Via the results in Section 2, Bernau's example entails that the universal state of a semisimple MV-algebra may have a non-semisimple codomain. However, we shall see in the next section that this cannot happen when the semisimple MV-algebra in question is locally finite.

6. The universal state of a locally finite MV-algebra

An MV-algebra is *locally finite* if each of its finitely generated subalgebras is finite. By general algebraic considerations, any algebraic structure is the direct union of its finitely generated subalgebras [14, Theorem 2.7]. Thus, every locally finite MV-algebra is the direct union of its finite subalgebras. We are going to prove:

Theorem 6.1. Let $v_M : M \to \Upsilon M$ be the universal state of a locally finite MV-algebra M (cf. Corollary 4.1). Then ΥM is semisimple.

The proof of this theorem will require a number of lemmas. For each integer $i \geq 1$, let $M_i \coloneqq \{0, \frac{1}{i}, \dots, \frac{i-1}{i}, 1\}$ be the finite totally ordered MV-algebra of cardinality i+1. By [6, Proposition 3.6.5], a finite MV-algebra A is isomorphic to a product $M_{k_1} \times \dots \times M_{k_n}$, for uniquely determined integers $k_1, \dots, k_n \geq 1$, $n \geq 0$. (When n = 0, A is the terminal MV-algebra $\{0 = 1\}$.) For the rest of this section we use A to denote such a finite MV-algebra.

Lemma 6.1. Let $A = M_{k_1} \times \cdots \times M_{k_n}$ be a finite MV-algebra and N be any MV-algebra. Write $\{a_1, \ldots, a_n\}$ for the atoms of A.

- 1. Any state $s: A \to N$ is uniquely determined by its values at the atoms of A.
- 2. A function $s: \{a_1, \ldots, a_n\} \to N$ has an extension to a state $A \to N$ if, and only if, $k_1s(a_1) + \cdots + k_ns(a_n) = 1$, and in that case the extension is unique.

Proof. The unital Abelian ℓ -group ΞA is the simplicial group \mathbb{Z}^n with unit the element (k_1, \ldots, k_n) , and the atoms a_i are the standard basis elements of \mathbb{Z}^n ; from this item 1 follows at once. As for item 2, the left-to-right implication follows directly from the definition of state. Conversely, assume $k_1s(a_1) + \cdots + k_ns(a_n) = 1$. For any $a \in \Gamma \Xi A \subseteq \Xi A = \mathbb{Z}^n$, we can write $a = \sum_{i=1}^n c_i a_i$ for uniquely determined integers c_i . Setting $s'(a) := \sum_{i=1}^n c_i s(a_i)$, one verifies that s' is a state, and then, by item 1, s' is the unique state extending s.

Set $\mathbf{k} := (k_1, \dots, k_n)$, for short. If Fn is the MV-algebra freely generated by the set $\{x_1, \dots, x_n\}$, there is a finitely generated (hence principal, by [6, Lemma 1.2.1]) ideal $U(\mathbf{k})$ of Fn determined by the partition-of-unity relation

$$\sum_{i=1}^{n} k_i x_i = 1, \tag{16}$$

where addition is interpreted in the unital Abelian ℓ -group ΞFn . In more detail, there is a term $\sigma(x_1,\ldots,x_n)$ in the language of MV-algebras such that, for any MV-algebra M, and for any elements $b_1,\ldots,b_n\in M$, $\sigma(b_1,\ldots,b_n)=0$ holds in M if, and only if, $\sum_{i=1}^n k_i b_i=1$ holds in ΞM . Thus, $U(\mathbf{k})$ is the ideal of Fn generated by $\sigma(x_1,\ldots,x_n)$, when the latter is regarded as an element of Fn in the usual way. For an explicit computation of σ the interested reader can consult [23]. We write $S_{\mathbf{k}}$ for the quotient algebra $Fn/U(\mathbf{k})$. By Lemma 6.1, the function

$$a_i \longmapsto x_i, \qquad i = 1, \dots, n,$$

is extended by exactly one state

$$v_A \colon A \longrightarrow S_{\mathbf{k}},\tag{17}$$

where $A = M_{k_1} \times \cdots \times M_{k_n}$.

Lemma 6.2. The state (17) is the universal state of the MV-algebra $A = M_{k_1} \times \cdots \times M_{k_n}$.

Proof. If $s: A \to N$ is any state, and $\{a_1, \ldots, a_n\}$ is the set of atoms of A, consider the assignment $x_i \mapsto s(a_i)$, $i=1,\ldots,n$. We have $\sum_{i=1}^n k_i s(a_i) = 1$ in N, because s is a state. Then, by the definition of $S_{\mathbf{k}}$ and the universal property of homomorphic images in varieties, this assignment has exactly one extension to a homomorphism $h: S_{\mathbf{k}} \to N$. For each $a_i \in A$ we have $(hv_A)a_i = s(a_i)$, which entails $hv_A = s$ because $\{a_1, \ldots, a_n\}$ is a \mathbb{Z} -module basis of $\Xi A = \mathbb{Z}^n$. Such h is unique, because if $h': S_{\mathbf{k}} \to N$ satisfies $h'v_A = s$ then h and h' must agree on the generating set $\{x_1, \ldots, x_n\}$ of $S_{\mathbf{k}}$: indeed, $h'v_A = hv_A$ entails $h'x_i = (h'v_A)a_i = (hv_A)a_i = hx_i$, $i = 1, \ldots, n$.

For the proof of the last lemma we need, Lemma 6.5 below, we shall apply geometric techniques. We use the integer $d \geq 0$ to denote the dimension of the real vector space \mathbb{R}^d . A function $f \colon \mathbb{R}^d \to \mathbb{R}$ is PL (for piecewise linear) if it is continuous with respect to the Euclidean topology on \mathbb{R}^d and \mathbb{R} , and there is a finite set of affine functions $l_1, \ldots, l_u \colon \mathbb{R}^d \to \mathbb{R}$ such that for each $x \in \mathbb{R}^d$ one has $f(x) = l_i(x)$ for some choice of $i = 1, \ldots, u$. (We note in passing that the terminology "piecewise linear" is traditional, even though "piecewise affine" would be, strictly speaking, more appropriate.) If moreover, each $l_i \colon \mathbb{R}^d \to \mathbb{R}$ can be chosen so as to restrict to a function $\mathbb{Z}^d \to \mathbb{Z}$, then f is a \mathbb{Z} -map. (This terminology comes from [24].) In coordinates, this is equivalent to asking that l_i can be written as a linear polynomial with integer coefficients. For an integer $d' \geq 0$, a function $\lambda = (\lambda_1, \ldots, \lambda_{d'}) \colon \mathbb{R}^d \to \mathbb{R}^{d'}$ is a PL map (respectively, a \mathbb{Z} -map) if each one of its scalar components $\lambda_j \colon \mathbb{R}^d \to \mathbb{R}$ is a PL function (\mathbb{Z} -map). One defines PL maps (\mathbb{Z} -maps) $A \to B$ for arbitrary subsets $A \subseteq \mathbb{R}^d$, $B \subseteq \mathbb{R}^{d'}$ as the restriction and co-restriction of PL maps (\mathbb{Z} -maps).

A convex combination of a finite set of vectors $v_1, \ldots, v_u \in \mathbb{R}^d$ is any vector of the form $\lambda_1 v_1 + \cdots + \lambda_u v_u$, for non-negative real numbers $\lambda_i \geq 0$ satisfying $\sum_{i=1}^u \lambda_i = 1$. If $S \subseteq \mathbb{R}^d$ is any subset, we let conv S denote the convex hull of S,

i.e. the collection of all convex combinations of finite sets of vectors $v_1, \ldots, v_u \in S$. A polytope is any subset of \mathbb{R}^d of the form conv S, for some finite $S \subseteq \mathbb{R}^d$, and a (compact) polyhedron is a union of finitely many polytopes in \mathbb{R}^d . A polytope is rational if it may be written in the form conv S for some finite set $S \subseteq \mathbb{Q}^d \subseteq \mathbb{R}^d$ of vectors with rational coordinates. Similarly, a polyhedron is rational if it may be written as a union of finitely many rational polytopes. It is clear that the composition of \mathbb{Z} -maps is again a \mathbb{Z} -map. Rational polyhedra and \mathbb{Z} -maps thus form a category, which we denote $\mathsf{PL}_\mathbb{Z}$. This is a non-full subcategory of the classical compact polyhedral category PL whose objects are polyhedra and whose morphisms are PL maps.

Remark 6.1. The full subcategory of $\mathsf{PL}_{\mathbb{Z}}$ whose objects are rational polyhedra lying in unit cubes $[0,1]^d$, as d ranges over all non-negative integers, is equivalent to $\mathsf{PL}_{\mathbb{Z}}$, see [19, Claim 3.5]. An analogous remark applies to PL . We shall make use of these facts whenever convenient, without further warning.

Given a subset $S \subseteq \mathbb{R}^d$, we write $\nabla_{\mathbb{Z}}S$ for the set of all \mathbb{Z} -maps $S \to [0,1]$. Then $\nabla_{\mathbb{Z}}S$ inherits from [0,1] the structure of an MV-algebra, upon defining operations pointwise; in other words, $\nabla_{\mathbb{Z}}S$ is a subalgebra of the MV-algebra C(S,[0,1]) of all continuous functions $S \to [0,1]$. It can be proved that if $X \subseteq \mathbb{R}^d$ is a rational polyhedron then $\nabla_{\mathbb{Z}}X$ is finitely presentable; see e.g. [24, Theorem 6.3], where the result is stated for $X \subseteq [0,1]^d$ —the case $X \subseteq \mathbb{R}^d$ is then a consequence of Remark 6.1. Following tradition, from now on we say 'finitely presented' instead of 'finitely presentable', even when the latter would be the proper expression. By MV_fp we denote the full subcategory of MV whose objects are finitely presented MV -algebras. Further, if $P \subseteq \mathbb{R}^d$ and $Q \subseteq \mathbb{R}^{d'}$ are rational polyhedra for some integers $d, d' \geqslant 0$, a \mathbb{Z} -map $\lambda \colon P \to Q$ induces a function

$$\nabla_{\mathbb{Z}}\lambda\colon\nabla_{\mathbb{Z}}Q\longrightarrow\nabla_{\mathbb{Z}}P$$

given by

$$f \in \nabla_{\mathbb{Z}} Q \xrightarrow{\nabla_{\mathbb{Z}} \lambda} f\lambda \in \nabla_{\mathbb{Z}} P.$$

It can be shown that $\nabla_{\mathbb{Z}}\lambda$ is a homomorphism of MV-algebras, see e.g. [19, Lemma 3.3]. We thereby obtain a functor

$$\nabla_{\mathbb{Z}} \colon \mathsf{PL}_{\mathbb{Z}} \longrightarrow \mathsf{MV}_{\mathsf{fp}}^{\mathrm{op}}.$$
 (18)

To define a functor

$$\operatorname{Max} : \mathsf{MV}^{\operatorname{op}}_{\mathsf{fp}} \longrightarrow \mathsf{PL}_{\mathbb{Z}} \tag{19}$$

in the other direction, let us assume that a specific finite presentation of an MV-algebra is given. That is, we are given a finite set $R := \{\rho_i \in Fn \mid i = 1, ..., m\}$ of elements of Fn, the free MV-algebra generated by n elements $x_1, ..., x_n$, and we consider the finitely presented quotient $Fn/\langle R \rangle$, where $\langle R \rangle$ denotes the ideal of Fn generated by R. Since every finitely generated ideal of any MV-algebra is principal by [6, Lemma 1.2.1], we may safely assume that $\langle R \rangle$ is generated by

the single element ρ . Writing $\pi_i : [0,1]^n \to [0,1]$ for the ith projection function, the assignment $x_i \mapsto \pi_i$, $i = 1, \ldots, n$, extends to exactly one homomorphism $Fn \to \nabla_{\mathbb{Z}}[0,1]^n$ by the universal property of the free algebra, and this homomorphism is an isomorphism by [6, Theorem 9.1.5]. Thus, $\nabla_{\mathbb{Z}}[0,1]^n$ is the MV-algebra freely generated by the projection functions. Under this isomorphism, ρ corresponds to an element ρ' of $\nabla_{\mathbb{Z}}[0,1]^n$, and the assignment $x_i \mapsto \pi_i$, $i=1,\ldots,n$, extends to exactly one isomorphism $Fn/\langle \rho \rangle \to \nabla_{\mathbb{Z}}[0,1]^n/\langle \rho' \rangle$. Then we set $\operatorname{Max} Fn/\langle \rho \rangle := \rho'^{-1}\{0\}$. Here, the zero set $\rho'^{-1}\{0\}$ of the \mathbb{Z} map ρ' is a rational polyhedron in $[0,1]^n$. When regarded as a topological space, by [6, Theorem 3.4.3] the polyhedron $\rho'^{-1}\{0\}$ is homeomorphic to the maximal spectral space of the MV-algebra $Fn/\langle \rho \rangle$, whence the name of the functor (19). In turn, the maximal ideals of any MV-algebra M are in bijection with the kernels of the homomorphisms $M \to [0,1]$ ([24, Theorem 4.16]). Therefore the points of $\rho'^{-1}\{0\}$ are in bijection with (the kernels of) the homomorphisms $Fn/\langle \rho \rangle \rightarrow [0,1]$. To define Max on arrows, then, consider a homomorphism $h: Fn/\langle \rho \rangle \to Fm/\langle \tau \rangle$. Precomposing with h, a morphism $Fm/\langle \tau \rangle \rightarrow [0,1]$ is sent to a morphism $Fn/\langle \rho \rangle \rightarrow [0,1]$, so that we obtain a mapping Max $h: \tau'^{-1}\{0\} \to \rho'^{-1}\{0\}$ which can be proved to be a \mathbb{Z} -map. This is the definition of the functor Max for finitely presented MV-algebras; for the general case of a finitely presentable algebra, to complete the definition one chooses a finite presentation for each algebra.

Theorem 6.2 (Duality theorem for finitely presented MV-algebras). The functors $\nabla_{\mathbb{Z}} \colon \mathsf{PL}_{\mathbb{Z}} \longrightarrow \mathsf{MV}^{\mathrm{op}}_{\mathsf{fp}}$ and Max: $\mathsf{MV}^{\mathrm{op}}_{\mathsf{fp}} \longrightarrow \mathsf{PL}_{\mathbb{Z}}$ form an equivalence of categories.

Proof. Two different proofs of this result are given in [18] and [19]. \Box

Remark 6.2. We will apply Theorem 6.2 in the sequel without explicit reference to its numbered statement, freely using such expressions as "the dual rational polyhedron X of the finitely presented MV-algebra A".

If $x \in \mathbb{Q}^d$, there is a unique way to write out x in coordinates as

$$x = \left(\frac{p_1}{q_1}, \dots, \frac{p_d}{q_d}\right)$$

with $p_i, q_i \in \mathbb{Z}$, $q_i > 0$, p_i and q_i relatively prime for each i = 1, ..., d. The positive integer

$$\operatorname{den} x \coloneqq \operatorname{lcm} \{q_1, q_2, \dots, q_d\}$$

is the denominator of x. For a non-negative integer t, a t-dimensional simplex (or just t-simplex) in \mathbb{R}^d is the convex hull of t+1 affinely independent points in \mathbb{R}^d , called its vertices; the vertices of a simplex are uniquely determined. A face of a simplex σ is the convex hull of a nonempty subset of the vertices of σ , and as such it is itself a simplex. The relative interior of σ is the set of points expressible as convex combinations of its vertices with strictly positive coefficients. A simplex is vartices are. The following notion is

fundamental to the arithmetic geometry of $\mathsf{PL}_{\mathbb{Z}}$: A simplex $\sigma \subseteq \mathbb{R}^d$ is regular if whenever x is a rational point lying in the relative interior of some face τ of σ with vertices v_1, \ldots, v_l , then $\operatorname{den} x \geqslant \sum_{i=1}^l \operatorname{den} v_i$. See [24, Lemma 2.7]. Regular simplices are also called unimodular in the literature.

Since the finite MV-algebra $A=M_{k_1}\times\cdots\times M_{k_n}$ is finitely presented, it has a dual polyhedron, which by duality must be the sum of the duals of M_{k_i} , $i=1,\ldots,n$. The dual of each M_{k_i} is immediately seen to be a single rational point p (in some \mathbb{R}^d) such that $\operatorname{den} p=k_i$. Thus, the dual of A is any finite set of points $\{p_1,\ldots,p_n\}$ such that $\operatorname{den} p_i=k_i,\ i=1,\ldots,n$. A specific coordinatisation of such a rational polyhedron is obtained as follows. Let $\{e_1,\ldots,e_n\}$ be the standard basis vectors in \mathbb{R}^n . Then $\operatorname{den} \frac{e_i}{k_i}=k_i$. Thus, $\{\frac{e_1}{k_1},\ldots,\frac{e_n}{k_n}\}$ is the rational polyhedron dual to A. The convex hull

$$\Delta_{\mathbf{k}} \coloneqq \operatorname{conv}\left\{\frac{e_1}{k_1}, \dots, \frac{e_n}{k_n}\right\}$$

is a regular simplex by direct inspection. For each $i=1,\ldots,n$, we write $\pi_i^{\mathbf{k}} \colon \Delta_{\mathbf{k}} \to [0,1]$ for the restriction of the projection function $\pi_i \colon [0,1]^n \to [0,1]$. Evidently, $\pi_i^{\mathbf{k}} \in \nabla_{\mathbb{Z}} \Delta_{\mathbf{k}}$. Observe that

$$\sum_{i=1}^{n} k_i \pi_i^{\mathbf{k}} = 1. \tag{20}$$

Lemma 6.3. For any $\mathbf{k} = (k_1, \dots, k_n)$, the dual polyhedron of the finitely presented MV-algebra $S_{\mathbf{k}}$ is $\Delta_{\mathbf{k}}$. In more detail, writing $x_i^{\mathbf{k}}$ for the generators provided by the presentation of $S_{\mathbf{k}}$, the assignment $x_i^{\mathbf{k}} \mapsto \pi_i^{\mathbf{k}}$, $i = 1, \dots, n$, extends to exactly one homomorphism $S_{\mathbf{k}} \to \nabla_{\mathbb{Z}} \Delta_{\mathbf{k}}$, and that homomorphism is an isomorphism.

Proof. Applying the definition of the functor Max in (19), the polyhedron $\operatorname{Max} S_{\mathbf{k}}$ dual to $S_{\mathbf{k}}$ is the solution set in $[0,1]^n$ of the equation $\sum_{i=1}^n k_i \pi_i = 1$ that presents $S_{\mathbf{k}}$ (or equivalently, it is the zero set of $\sigma(\pi_1, \ldots, \pi_n)$, where σ is as in the definition of $S_{\mathbf{k}}$). Computation confirms that this solution set is $\Delta_{\mathbf{k}}$; and the remaining part of the statement is a direct consequence of the duality Theorem 6.2.

Corollary 6.1. There is exactly one state

$$v_A \colon A \longrightarrow \nabla_{\mathbb{Z}} \Delta_{\mathbf{k}}$$
 (21)

that extends the assignment

$$a_i \longmapsto \pi_i, \qquad i = 1, \ldots, n,$$

and this is the universal state of the MV-algebra $A = M_{k_1} \times \cdots \times M_{k_n}$.

Proof. The existence and uniqueness of the extension follows from Lemma 6.1, upon recalling (20). That the state in question is naturally isomorphic to v_A in Lemma 6.2 follows at once from the isomorphism in Lemma 6.3.

We record a well-known elementary property of \mathbb{Z} -maps for which we could not locate a reference (however, see the related [24, Lemma 3.7]).

Lemma 6.4. Let $X \subseteq \mathbb{R}^d$ and $Y \subseteq \mathbb{R}^{d'}$ be rational polyhedra, for integers $d, d' \geqslant 0$, and let $f: X \to Y$ be a \mathbb{Z} -map. For each $x \in X \cap \mathbb{Q}^d$, the number fx is rational and den fx divides den x; in symbols, den $fx \mid \text{den } x$.

Proof. The \mathbb{Z} -map $f: X \to Y$ may be written in vectorial form as $(f_1, \ldots, f_{d'})$, with each $f_i: X \to \mathbb{R}$ a \mathbb{Z} -map. In the rest of this proof, assume all rational numbers are expressed in reduced form. Pick a point $x = (\frac{p_i}{q_i}) \in X \cap \mathbb{Q}^d$, and regard x as a column vector. By definition, each f_i agrees locally at the point x with an affine function $\mathbb{R}^d \to \mathbb{R}$ with integer coefficients. Thus, there is a column vector $c = (c_i) \in \mathbb{Z}^{d'}$ together with a $(d' \times d)$ matrix $M = (z_{ij})$ with integer entries such that

$$fx = Mx + c. (22)$$

This shows that fx is rational if x is. Further, let us write $fx = (\frac{a_i}{b_i}) \in \mathbb{Q}^{d'}$. By (22) we have $\frac{a_i}{b_i} = \sum_{j=1}^d z_{ij} \frac{p_j}{q_j} + c_i$, so that $b_i \mid \text{den } x$, and therefore $\text{lcm } \{b_i\}_{i=1}^{d'} = \text{den } fx \mid \text{den } x$.

We can now prove the promised lemma.

Lemma 6.5. If $h: A \to B$ is any injective homomorphism between finite MV-algebras, $\Upsilon h: \Upsilon A \to \Upsilon B$ is injective.

Proof. Let $\{e_1/k_1, \ldots, e_n/k_n\} \subseteq \mathbb{R}^n$ be the dual finite rational polyhedron of A, with den $e_i/k_i = k_i$, $i = 1, \ldots, n$. Similarly, let $\{e_1/t_1, \ldots, e_m/t_m\} \subseteq \mathbb{R}^m$ be the dual of B, with den $e_i/t_i = t_i$, $i = 1, \ldots, m$. Let us write

$$h^* := \operatorname{Max} h : \{e_1/t_1, \dots, e_m/t_m\} \to \{e_1/k_1, \dots, e_n/k_n\}$$

for the \mathbb{Z} -map dual to h. By Lemma 6.4 we have $k_i \mid t_j$ whenever $h^*(e_j/t_j) = e_i/k_i$. Moreover, the \mathbb{Z} -map h^* has exactly one extension to an affine map $\alpha \colon \Delta_{\mathbf{t}} \to \Delta_{\mathbf{k}}$, where $\mathbf{t} \coloneqq (t_i)_{i=1}^m$, by the elementary properties of affine functions and simplices. The computation in [24, Lemma 3.7] (or else, which is essentially the same, direct computation of the matrix form of α with respect to standard bases) confirms that α is a \mathbb{Z} -map. Since h is injective, it is a monomorphism, and thus h^* is an epimorphism by duality. But then a straightforward verification shows that h^* is a surjective function. This entails at once that its affine extension α is surjective, too. For any two continuous functions $f,g\colon\Delta_{\mathbf{k}}\to\mathbb{R}$ with $f\neq g$ we then have $f\alpha\neq g\alpha$, by the surjectivity of α . In particular, this means that the homomorphism

$$\nabla_{\mathbb{Z}}\alpha\colon\nabla_{\mathbb{Z}}\Delta_{\mathbf{k}}\longrightarrow\nabla_{\mathbb{Z}}\Delta_{\mathbf{t}},$$

which by the definition of the functor $\nabla_{\mathbb{Z}}$ carries a \mathbb{Z} -map $f \colon \Delta_{\mathbf{k}} \to [0,1]$ to the \mathbb{Z} -map $f\alpha \colon \Delta_{\mathbf{t}} \to [0,1]$, is injective. The proof is now completed by showing

that Υh is $\nabla_{\mathbb{Z}} \alpha$ to within a natural isomorphism. That is, in light of Corollary 6.1, we need to check that the square

$$A \xrightarrow{h} B$$

$$v_{A} \downarrow \qquad \qquad \downarrow v_{B}$$

$$\nabla_{\mathbb{Z}} \Delta_{\mathbf{k}} \xrightarrow{\nabla_{\mathbb{Z}} \alpha} \nabla_{\mathbb{Z}} \Delta_{\mathbf{t}}$$

commutes, which amounts to a straightforward application of the definitions which we leave to the reader. $\hfill\Box$

Finally:

Proof of Theorem 6.1. Write Σ for the directed partially ordered set of all finite subalgebras of M, so that $M = \bigcup_{A \in \Sigma} A$ (direct union). The functor Υ in (7) is left adjoint and thus preserves colimits [17, Theorem 1 in Chapter V.5]. Moreover, by Lemma 6.5, each inclusion $A \to B$ with $A, B \in \Sigma$ is sent by Υ to an injective homomorphism. Together with Corollary 6.1, this says that ΥM is a directed colimit of semisimple MV-algebras with injective transition homomorphisms. Since the radical ideal of semisimple MV-algebras is trivial, the radical of the directed colimit ΥM is trivial, too, by [6, Proposition 3.6.4]. Hence, ΥM is semisimple.

Corollary 6.2. For any locally finite MV-algebra M, the extended affine representation of M (cf. Section 5) is the universal state of M. This happens, in particular, for all Boolean algebras.

Proof. Theorem 6.1 and Proposition 5.1. The last assertion holds because of the standard fact that finitely generated Boolean algebras are finite. \Box

7. Further research

The present paper is part of a nascent programme aimed at exploring universal constructions in probability theory. While we refrain from sketching that programme here, we do point out some connections between the line of research pursued in this paper, and many-valued logic. Fuzzy Probability Logic FP(L) over infinite-valued Łukasiewicz logic was developed by Hájek [11, Chapter 8.4], and later extended by Cintula and Noguera to a two-tier modal logic aimed at modelling uncertainty [7]. The logic FP(L) formalises reasoning about properties of states, similarly to probabilistic logics designed for reasoning about probability. The main feature of FP(L) is a two-level syntax. Probability assessments are represented in the language by a unary modality 'Probably', which can be applied to Boolean formulæ only. The class of MV-algebras and states provides a possible complete semantics for FP(L); see [7] for more details. States as two-sorted algebras, as introduced here, may provide an equivalent multisorted algebraic semantics to the logic FP(L). Details will be pursued in further research.

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