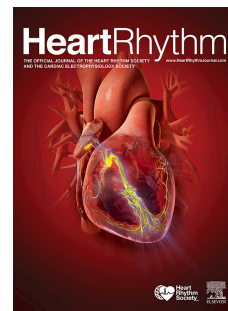


Journal Pre-proof



Subcutaneous implantable cardioverter defibrillator and defibrillation testing: a propensity-matched pilot study

Giovanni B. Forleo, MD-PhD, Alessio Gasperetti, MD, Alexander Breitenstein, MD, Mikael Laredo, MD, Marco Schiavone, MD, Matteo Ziacchi, MD, Julia Vogler, MD, Danilo Ricciardi, MD, Pietro Palmisano, MD, Agostino Piro, MD, Paolo Compagnucci, MD, Xavier Waintraub, MD, Gianfranco Mitacchione, MD-PhD, Gianmarco Carrassa, MD, Giulia Russo, MD, Silvana De Bonis, MD, Andrea Angeletti, MD, Antonio Bisignani, MD, Francesco Picarelli, MD, Michela Casella, MD-PhD, Edoardo Bressi, MD, Giovanni Rovaris, MD, Leonardo Calò, MD, Luca Santini, MD, Carlo Pignalberi, MD, Carlo Lavalle, MD, Maurizio Viecca, MD, Ennio Pisanò, MD, Iacopo Olivotto, MD, Antonio Curnis, MD, Antonio Dello Russo, MD-PhD, Claudio Tondo, MD-PhD, Charles J. Love, MD, Luigi Di Biase, MD-PhD, Jan Steffel, MD, Roland Tilz, MD, Nicolas Badenco, MD, Mauro Biffi, MD

PII: S1547-5271(21)01814-2

DOI: <https://doi.org/10.1016/j.hrthm.2021.06.1201>

Reference: HRTM 8883

To appear in: *Heart Rhythm*

Received Date: 10 May 2021

Revised Date: 22 June 2021

Accepted Date: 27 June 2021

Please cite this article as: Forleo GB, Gasperetti A, Breitenstein A, Laredo M, Schiavone M, Ziacchi M, Vogler J, Ricciardi D, Palmisano P, Piro A, Compagnucci P, Waintraub X, Mitacchione G, Carrassa G, Russo G, Bonis SD, Angeletti A, Bisignani A, Picarelli F, Casella M, Bressi E, Rovaris G, Calò L, Santini L, Pignalberi C, Lavalle C, Viecca M, Pisanò E, Olivotto I, Curnis A, Russo AD, Tondo C, Love CJ, Biase LD, Steffel J, Tilz R, Badenco N, Biffi M, Subcutaneous implantable cardioverter defibrillator and defibrillation testing: a propensity-matched pilot study, *Heart Rhythm* (2021), doi: <https://doi.org/10.1016/j.hrthm.2021.06.1201>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of

record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier Inc. on behalf of Heart Rhythm Society.

Subcutaneous implantable cardioverter defibrillator and defibrillation testing: a propensity-matched pilot study

S-ICD and defibrillation testing

Giovanni B. Forleo^{1*},MD-PhD; Alessio Gasperetti^{1,2,3*},MD; Alexander Breitenstein⁴,MD;
Mikael Laredo⁵,MD; Marco Schiavone¹,MD; Matteo Ziacchi⁶,MD; Julia Vogler⁷,MD; Danilo
Ricciardi⁸,MD; Pietro Palmisano⁹,MD; Agostino Piro¹⁰,MD; Paolo Compagnucci²,MD;
Xavier Waintraub⁵,MD; Gianfranco Mitacchione¹¹,MD-PhD; Gianmarco Carrassa¹²,MD;
Giulia Russo¹³,MD; Silvana De Bonis¹⁴,MD; Andrea Angeletti⁶,MD; Antonio
Bisignani¹⁴,MD; Francesco Picarelli⁸,MD; Michela Casella²,MD-PhD; Edoardo Bressi¹⁵,MD;
Giovanni Rovaris¹⁶,MD; Leonardo Calò¹⁵,MD; Luca Santini¹⁷,MD; Carlo Pignalberi¹⁸,MD;
Carlo Lavallo¹⁰,MD; Maurizio Viecca¹,MD; Ennio Pisanò¹³,MD; Iacopo Olivotto¹²,MD;
Antonio Curnis¹¹,MD; Antonio Dello Russo²,MD-PhD; Claudio Tondo¹⁹,MD-PhD; Charles J.
Love³,MD; Luigi Di Biase²⁰,MD-PhD; Jan Steffel⁴,MD; Roland Tilz⁷,MD; Nicolas
Badenco^{5†},MD; Mauro Biffi^{6†},MD

Affiliations:

¹Cardiology unit, Luigi Sacco University Hospital, Milan-IT

²Cardiology and arrhythmology clinic, University Hospital “Umberto I-Salesi-Lancisi”,
Ancona-IT

³Division of Cardiology, Johns Hopkins University, Baltimore, Maryland-US

⁴Cardiology department, Zurich University Hospital, Zurich-CH

⁵APHP, Hôpital Pitié Salpêtrière, Paris-FR

- 24 ⁶Cardiology unit, Sant'Orsola Hospital, University of Bologna, Bologna-IT
- 25 ⁷Cardiology department, University Hospital of Lubeck, Lubeck-GE
- 26 ⁸Cardiology department, Campus-Bio-Medico, Rome-IT
- 27 ⁹Cardiology department, Tricase Hospital, Tricase-IT
- 28 ¹⁰Cardiology department, Policlinico Umberto I, Rome-IT
- 29 ¹¹Cardiology department, Spedali Civili Brescia, Brescia-IT
- 30 ¹²Cardiomyopathy unit, Careggi University Hospital, Florence-IT
- 31 ¹³Cardiology department, Vito Fazzi Hospital, Lecce-IT
- 32 ¹⁴Cardiology department, Ferrari Hospital, Castrovillari, Cosenza-IT
- 33 ¹⁵Cardiology department, Policlinico Casilino, Rome-IT
- 34 ¹⁶Cardiology department, San Gerardo Hospital, Monza-IT
- 35 ¹⁷Cardiology department, Ospedale G.B. Grassi, Ostia-IT
- 36 ¹⁸Cardiology department, Ospedale San Filippo Neri, Rome-IT
- 37 ¹⁹Department of Biomedical, Surgical and Dental Sciences, University of Milan, Milan-IT;
- 38 Department of Clinical Electrophysiology and Cardiac Pacing, Centro Cardiologico Monzino
- 39 IRCCS, Milan-IT
- 40 ²⁰Montefiore Medical Center, Albert Einstein College of Medicine, Bronx, New York-US
- 41 *shared first co-authorship; † shared last co-authorship.

42

43 **Corresponding Author:**

44 Marco Schiavone

45 Luigi Sacco University Hospital

46 Via G.B. Grassi, 74 20157 Milan, IT

47 marco.schiavone11@gmail.com

48 **Word count: 5000**

49 **Funding source:** none.

50 **Disclosures:** LS is a consultant/speaker for Boston Scientific. CT is a member of Boston
51 Scientific advisory board. JS has received consultant and/or speaker fees Boston Scientific
52 and has received grant support through his institution from Boston Scientific. LDB is a
53 consultant for Boston Scientific. Other authors report no disclosures.

Journal Pre-proof

54 ABSTRACT**55 Background**

56 To date, only few comparisons between subcutaneous implantable cardioverter defibrillator
57 (S-ICD) patients undergoing vs. not undergoing defibrillation testing (DT) at implantation
58 (DT+ vs DT-) have been reported.

59 Objective

60 Aim of the study was to compare long-term clinical outcomes of two propensity-matched
61 cohorts of DT+ and DT- patients.

62 Methods

63 Among consecutive S-ICD patients, implanted across 17 centers from January 2015 to
64 October 2020, DT- patients were 1:1 propensity-matched for baseline characteristics with
65 DT+ patients. The primary outcome was a composite of ineffective shocks and cardiovascular
66 mortality. Appropriate and inappropriate shock rates were deemed secondary outcomes.

67 Results

68 Among 1290 patients, a total of 566 propensity-matched patients (n=283 DT+; n=283 DT-)
69 served as study population. Over a median follow-up of 25.3 months, no significant
70 differences in primary outcome event rates were found (n=10 DT+ vs n=14 DT-; p=0.404) as
71 well as for ineffective shocks (n=5 DT- vs n=3 DT+; p=0.725). At multivariable Cox
72 regression analysis, DT performance was neither associated with a reduction of the primary
73 combined outcome, nor of ineffective shocks at follow-up. A high PRAETORIAN score was
74 positively associated with both the primary outcome (HR=3.976 [1.339–11.802] p=0.013) and
75 ineffective shocks alone at follow-up (HR=19.030 [4.752–76.203] p=0.003).

76 Conclusion

77 In two cohorts of strictly propensity-matched patients, DT performance was not associated
78 with significant differences in cardiovascular mortality and ineffective shocks. The

79 PRAETORIAN score resulted capable of correctly identifying a large percentage of the
80 patients at risk of ineffective shock conversion in both cohorts.

81

82 ClinicalTrials.gov Identifier=NCT0473876

83

84 **Keywords:** defibrillation testing; S-ICD; praetorian score; sudden cardiac death; propensity

85 matching.

Journal Pre-proof

86 INTRODUCTION

87 In the last decade, the subcutaneous implantable cardioverter defibrillator (S-ICD) has
88 become an established alternative to transvenous implantable cardioverter defibrillators (TV-
89 ICD) among patients without indications for pacing or resynchronization therapy¹. Although
90 defibrillation testing (DT) was once required at the time of TV-ICD implantation, this practice
91 is nowadays no longer routinely recommended¹. As the S-ICD is a more recent technology,
92 DT is instead deemed to be necessary in these patients. The predominant role of DT is related
93 to the assessment of appropriate sensing of ventricular arrhythmias (VAs), as well as to the
94 assurance of adequate defibrillation energy requirements needed for effective termination of
95 ventricular tachycardia (VT) and ventricular fibrillation (VF), thereby testing system integrity
96 at implant, which is crucial to deliver effective defibrillation. The clinical utility of DT during
97 ICD implants has been gradually questioned². Arguments against DT encompass the risks of
98 DT-related complications, and mostly the inability to predict shock efficacy and long-term
99 outcomes³⁻⁵. Indeed, the real safety margin of a 80J S-ICD has been suggested to be largely
100 superior to a 40J DT in nearly 90% of patients, thus questioning the role of defibrillation
101 testing as per the manufacturer recommendation⁶. The latest consensus statement
102 recommends the use of defibrillation testing in patients undergoing S-ICD implantation¹,
103 while after the SIMPLE⁷ and NORDIC-ICD trials⁸, implanting a TV-ICD without performing
104 DT is considered standard clinical practice for most patients.

105 Recent studies have shown that a new risk stratifying tool (the PRAETORIAN score,
106 PS) may help to identify patients with S-ICD at high risk of conversion failure⁹, and that DT
107 seems not to impact the safety of defibrillation therapy and overall patients' survival¹⁰.
108 Therefore, the need for routine DT implementation in S-ICD systems has been furtherly
109 questioned, even if this lack of association between DT and effective defibrillation, as well as
110 long-term survival outcomes, reflect findings derived only from registries or non-randomized

111 studies¹⁰⁻¹². This study aims to present long-term outcomes of two multicentered, propensity-
112 matched cohorts of patients implanted with S-ICD, according to performance of DT.

Journal Pre-proof

113 **METHODS**

114 The ELISIR project (Experience from the Long-term Italian S-ICD registry) is a European,
115 multi-center, open-label, independent, and physician-initiated observational registry, currently
116 involving 17 Centers¹⁰. This manuscript has been approved by the institutional review board
117 and has been drafted in accordance with the tenets of the Helsinki Declaration, as revised in
118 2013.

119

120 *Registry population*

121 From January 2015 to October 2020, all consecutive patients meeting current guideline
122 indications for ICD implantation and undergoing implantation of a S-ICD device (*Boston*
123 *Scientific*) at 17 European institutions were enrolled in the registry. Patients were divided into
124 two cohorts, according to the performance of DT at the time of implant. For patients
125 undergoing DT (DT+ group), VF was induced using transthoracic 50 Hz burst pacing. No
126 specifics regarding shock energy output were given per-protocol: the majority (91.2%) of the
127 enrolled DT+ group received a first 65J shock with a standard shock polarity, and a 65J shock
128 with a reverse shock polarity was then given in case of conversion-failure. The remaining
129 (8.8%) patients were instead performed with a < 65J incremental shock protocol, as per
130 previously reported clinical practice⁶. Briefly, in those cases, a first shock at 40J in direct
131 polarity was delivered; in the case of ineffective defibrillation, a second shock was delivered
132 at 80J, followed by external rescue shocks. Additional defibrillation testing using energies
133 between 40J - 80J, or testing reverse polarity, were left to the discretion of the implanting
134 physician. No specifics regarding DT setting were given per protocol: the use of either
135 general anesthesia or deep sedation was left at the discretion of each single operator.

136

137

138 *Study population*

139 The aim of the current study was to compare the clinical outcomes of two propensity-matched
140 cohorts of DT+ and DT- patients. From the overall registry population, all patients with both a
141 follow-up time shorter than 6 months from implantation and no primary outcome events in
142 the available follow-up were excluded. A total of 329 DT- patients were present in the
143 registry at the time of the study. DT- patients were 1:1 propensity-matched for age, gender,
144 specific arrhythmic substrate, LVEF, primary or secondary prevention implantation with
145 patients from the DT+ cohort. After matching, the final study population was composed of
146 two cohorts (DT+ and DT- cohorts, respectively), of 283 propensity-matched patients each
147 (**Figure-1**). All patients with ineffective shocks during long-term follow-up in the DT- cohort
148 present in the registry were included in the current study.

149

150 *Data collection*

151 In addition to the variables used for propensity matching, we collected data regarding
152 demographics, personal medical history, cardiovascular risk factors, and peri-procedural
153 information. If a post-implant 2-views chest X-ray was available, the PS was calculated and
154 patients were classified at low-, intermediate-, and high-risk of conversion failure in
155 accordance to the score definition⁹. Briefly, according to its definition, PS was calculated
156 following four steps: 1) the number of coil widths of fat tissue between the nearest half of the
157 S-ICD coil and the sternum ribs was determined (≤ 1 coil-width=30 points; 2 coil-widths=60
158 points; 3 coil-widths=90 points; >3 coil-widths=150 points); 2) the position of the S-ICD
159 generator in relation to the mid-line was determined (generator on or posterior of the mid-
160 line=x1; anterior of the mid-line=x2; $\frac{1}{2}$ length anterior=x4); 3) the amount of fat tissue
161 between the nearest point of the generator and the thoracic wall was determined (< 1
162 generator-width=x1; ≥ 1 generator-width=x1.5); 4) in patients with a BMI of ≤ 25 kg/m², 40

163 points were subtracted in the case of a score of ≥ 90 in step 4. Patients with a PS < 90 , $90 < 150$
164 or ≥ 150 were regarded having a low, intermediate or high-risk of conversion failure,
165 respectively. Follow-up strategy was left to each center's policy, with most patients being
166 evaluated at 1-, 6-, 12- months, and every 6 months thereafter. All device therapy delivered
167 over the entire follow-up, both appropriate and inappropriate interventions, and/or arrhythmia
168 recorded during in-hospital and/or remote follow-up and/or in-clinic device interrogation were
169 collected, as well as cardiovascular and overall mortality.

170

171 *Outcome definition*

172 The primary outcome of the study was defined as a composite of ineffective shocks and
173 cardiovascular mortality during follow-up; an ineffective shock was defined as a shock
174 therapy delivered by an S-ICD on an adequately recognized shockable rhythm, incapable of
175 correctly cardioverting the patient. As secondary outcomes, ineffective, appropriate, and
176 inappropriate shock rates, as well as the combined rates of appropriate and inappropriate
177 shocks across the two cohorts were assessed.

178

179 *Statistical analysis*

180 Continuous variables were reported as mean \pm standard deviation (s.d.) or as median [inter-
181 quartile range (1st-3rd quartile)] if normally or non-normally distributed, respectively.
182 Categorical variables were reported as count (%). Propensity matching has been performed
183 using the nearest neighbor method without replacement, using common support and a caliber
184 set at 0.005. Comparisons have been performed using a X^2 test or a Fisher's Exact Test
185 between categorical variables, and a Student's t test or a Mann-Whitney U-test between
186 numerical variables, as appropriate according to their distribution. Event-free survival was
187 plotted using Kaplan-Meier estimates and a log-rank test was used to compare them. A Cox

188 regression was used to assess the associations between baseline and procedural characteristics
189 and clinical outcomes. Univariable analyses were performed at first; all variables reaching a
190 threshold p-value 0.10 were then fit into a multivariable model to adjust for confounders. A
191 two-sided p-value <0.05 was considered significant throughout the manuscript. Analysis has
192 been performed using STATA 14.0 (StataCorp LLC, College Station, TX).

193

Journal Pre-proof

194 **RESULTS**195 *Baseline characteristics of the study population*

196 From an overall registry population of 1290 patients, a total of 566 propensity-matched
197 patients (n=283 DT+; n=283 DT-) were extracted from the registry and enrolled in the current
198 study, to serve as study population. After propensity-matching, the two cohorts resulted
199 comparable for both matched and unmatched baseline data (median age 55 [46–64] vs 56
200 [48–64], p=0.273; males 78.1% vs 79.2%, p=0.759; primary prevention 78.5% vs 77.4%,
201 p=0.761; in the DT+ and DT- cohort, respectively). No significant differences between
202 underlying etiology were found in the two groups after matching. Matching-related bias
203 reduction has been reported in **Table-S1** and **Figure-S1**. Complete baseline characteristics of
204 the DT+ and DT- cohorts have been reported in **Table-1**.

205

206 *Peri-procedural characteristics*

207 The implantation technique was similar between the two groups, with the two-incision
208 technique (93.6% vs 90.5%, p=0.262) and intramuscular placement (83.0% vs 83.4%,
209 p=0.910) being the most commonly performed. **Table-S2** summarizes reasons for DT
210 avoidance. Both the shock and conditional zone programming were similar in the two
211 cohorts; the standard shock polarity was chosen in 98.9% (n=267) and 98.5% (n=266) of
212 patients (p=0.865) in the DT+ and DT- cohorts, respectively. The primary sensing vector was
213 the most frequently set at implant (65.7% vs 69.3%, p=0.865), with 80J maximum output for
214 1st shock therapy programmed in all patients (this data was available in 80.7% of the entire
215 cohort). Adequate radiological imaging to calculate a PS was available in 410 (72.4%)
216 patients, with the overall study population resulting mostly at a low risk of conversion failure
217 (n=339); no significant differences in the risk distribution were observed (p=0.339). An
218 extensive listing of peri-procedural characteristics of the two cohorts is reported in **Table-S3**.

219 *Outcomes during follow-up*

220 Over a median follow-up of 25.3 [15.2–38.5] months, 47 complex ventricular arrhythmias
221 (n=33 VT; n=14 VF) were adequately recognized and treated in the two cohorts representing
222 similar rates of appropriate shocks (7.8% vs 8.8%; p=0.648). A total of 24 (4.2%) patients
223 presented a primary outcome event (n=10 in the DT + cohort, n=14 in the DT – cohort); we
224 did not observe any significant difference in primary outcome event rates between the two
225 cohorts (p=0.404) (**Figure-2A**). Eight ineffective shocks (1st shock in all cases) were reported,
226 without any statistically significant difference between the two cohorts (n=5 in the DT- cohort
227 vs n=3 DT+ cohort; p=0.725) (**Figure-2C**). Multiple shocks were required for adequate
228 cardioversion in 6 patients, while in two patients an adequate cardioversion was not achieved
229 (n=1 from DT- cohort, who received cardio-pulmonary resuscitations maneuvers and was
230 rescued by a successful in-hospital external DC shock; n=1 from DT+ cohort, resulting in
231 patient's death). A PS was available in all patients in whom ineffective shocks were reported,
232 and its distribution resulted as follows: n=1 PS of 30; n=1 PS of 60; n=2 PS of 120; n=2 PS of
233 180; n=1 PS of 270; n=1 PS of 300. Fifty-two inappropriate S-ICD therapies were delivered
234 during follow-up (n=22 in the DT- cohort, n=30 in the DT+ cohort; p=0.244), with atrial
235 fibrillation (n=26) and T wave oversensing (n=18) representing the most common triggers.
236 The overall follow-up data has been reported in **Table-2**.

237

238 *Primary outcome, ineffective, inappropriate and appropriate shocks predictors*

239 DT performance was neither associated with a reduction of the primary combined outcome,
240 nor of ineffective shocks at follow-up. A high PS was positively associated with both the
241 primary outcome (HR=3.976 [1.339–11.802] p=0.013) and ineffective shocks at follow-up
242 (HR=19.030 [4.752–76.203] p=0.003). AF was significantly associated with appropriate
243 shocks at follow-up (HR=1.731 [1.276–2.350] p<0.001), while age and primary prevention

244 were negatively associated with this secondary outcome; as for inappropriate shocks, only age
245 resulted to be significant in negatively predicting this secondary outcome at multivariate
246 analysis (HR=0.971 [0.951–0.991] p=0.006). The main findings of multivariate analysis for
247 all study outcomes have been reported in **Table-3**. The whole output of the regression for the
248 primary and secondary outcomes has been reported in **Table-S4/S5**.

Journal Pre-proof

249 **DISCUSSION**

250 Our multicenter study presents long-term outcomes from 566 propensity-matched patients
251 undergoing S-ICD implantation, with and without the performance of DT at the time of
252 implant, extracted from the largest unsponsored S-ICD registry. Among an overall population
253 of 1290 patients, propensity matching was performed for age, gender, arrhythmic substrate,
254 LVEF, and primary/secondary prevention for S-ICD placement.

255 The main results of the study were as follows:

- 256 - Over a median follow-up of 25.3 months, the DT+ and DT- cohorts resulted
257 comparable in terms of a combined outcome of cardiovascular mortality and
258 ineffective shocks;
- 259 - A total of eight ineffective shocks were observed, with a comparable distribution in
260 the two cohorts. Six out of eight ineffective shocks were experienced in patients with a
261 moderate or high risk of ineffective conversion estimated by the novel PS;
- 262 - DT performance was neither associated with a reduction in the primary outcome nor
263 in the rate of ineffective shocks;
- 264 - Among the unmatched variables, a high-risk status as per post-implantation PS was
265 the only strong independent predictor for both the primary combined outcome of the
266 study and ineffective shocks during follow-up.

267

268 **Rationale, study population, and main results**

269 Current available studies directly assessing the impact of DT performance on the long term
270 efficacy of S-ICD therapies are limited to the small sample sized previous experiences¹⁰⁻¹².

271 These studies did not find any significant difference in long-term outcomes between the
272 patients receiving and not receiving DT at implant. However, limited sample sizes and/or the
273 presence of baseline differences between the two cohorts prevented the presentation of

274 stronger evidence on the topic. In order to address the impact of DT on long-term outcomes
275 on patients implanted with S-ICD, we derived two cohorts of propensity-matched patients,
276 according to the performance of DT at the time of implantation. As expected from the strict
277 matching strategy employed, the 566 propensity-matched patients resulted highly balanced at
278 the post-matching assessment, with only a very low and statistically nonsignificant residual
279 bias. This is of paramount importance, since age, sex and mostly underlying cardiac
280 conditions may have an obvious significant impact on overall mortality and ICD-related
281 outcomes, as also assessed in randomized trials enrolling TV-ICD patients^{13,14}.

282

283 **Outcomes and DT**

284 In current clinical practice, DT is usually performed at the time of implantation, theoretically
285 aiming to assess the extent of the defibrillation safety margin. Nonetheless, this strategy
286 presents several limitations, mostly due to the probabilistic nature of DT, which results from
287 the variations of the amount of tissue in its vulnerable period at the moment of the shocks¹⁵.
288 Indeed, during failed shocks, the volume of myocardium in its vulnerable phase is known to
289 be significantly larger than during successful defibrillation shocks, with identical near-
290 threshold shock strengths. During VF, the amount of myocardium in its vulnerable period
291 changes at any moment, so that several VF inductions might be potentially required to
292 establish the true defibrillation threshold, with potential inconsistent or inconclusive
293 results^{15,16}. Indeed, according to standard settings, S-ICD deliver 80J shocks. Thus, this
294 programming assures a consistent safety margin beyond the “traditional” energy of up to 65J,
295 which may therefore result in a failure to predict the real rate of ineffective shocks by DT
296 (i.e., underestimating truly successful VF conversions). Moreover, recent observations
297 highlighted that the safety margin of the current S-ICD release is $\geq 40\text{J}$ in nearly 90% of
298 patients (far greater than in TV-ICD recipients), somehow reducing DT usefulness as an

299 estimate of patient safety at follow-up⁶. Furthermore, clinical arrhythmias are often different
300 from those induced during DT; these arrhythmias may indeed be triggered by a framework of
301 conditions (i.e. stress-induced ischemia, a high adrenergic drive, acidosis due to heart failure
302 or other concomitant illnesses) which can dramatically increase ventricular defibrillation
303 threshold and promote arrhythmia recurrences, heavily impacting on shock conversion
304 efficacy. These conditions are difficult to be replicated in the controlled conditions of DT, that
305 represents “a best-of-cases scenario”. Additionally, DT performance requires anesthesiologic
306 support and, although uncommon, complications associated with DTs have been
307 reported^{10,17,18}. Hence, the possibility of forgoing DT after S-ICD implantation has been
308 postulated¹⁹.

309 The present manuscript represents an incremental step towards reducing some
310 concerns regarding the need of DT performance after S-ICD implantations, further expanding
311 the preliminary data previously presented by our group. In our study, the DT+ and DT-
312 cohorts were comparable both regarding the primary outcome as well as regarding ineffective
313 shock rates. In addition, DT performance at implant was not significantly associated with a
314 reduction in the combined primary outcome or in ineffective shocks. The results of our study
315 are in line with and strengthen the currently available preliminary experiences assessing this
316 topic^{11,12}. Although not a randomized trial, this study represents the largest and most robust
317 comparison between two DT+ and DT- cohorts, with strict propensity-matching, implemented
318 in order to minimize unaccounted baseline bias between the cohorts, and representing the
319 nearest analysis to a randomized clinical trial. According to our data, patients in whom a DT
320 was not performed (i.e. due to an advanced and clinical unstable situation and/or to patient
321 refusal) do not seem to be at higher risk of ineffective shock conversion and/or cardiovascular
322 mortality. The upcoming PRAETORIAN-DFT trial will provide the final evidence needed to
323 forego DT in S-ICD placement.

324 **PRAETORIAN score**

325 The PS is a recent risk score stratification tool introduced by *Quast et al.*⁹, potentially
326 harboring the capability of an immediate post-procedure risk stratification for shock
327 conversion failure. The overall risk derived by the PS in our cohort was mostly low,
328 indicating a good overall quality of the implantations in these expert centers. As reported by
329 the work from *Quast et al.*⁹, patients implanted in large-volume centers by expert
330 proceduralists should result in a low risk of DT failure.

331 A pivotal experience from *Francia et al.*²⁰ recently assessed the impact of device
332 placement technique on PS and S-ICD conversion effectiveness at implant. In their report, the
333 combination of a two-incision technique and intramuscular device allocation allowed to
334 obtain a deeper coil placement and a more posterior generator deployment, preventing a too
335 anterior misplacement of the S-ICD can; this resulted in lower PS for patients implanted with
336 this technique. In their experience, although being associated with a lower post-shock
337 impedance, interestingly, the PS did not correlate with conversion failure at 65J²⁰. Of the
338 eight patients experiencing ineffective shocks during follow-up in our study, three underwent
339 DT at implantation, correctly converting a device-induced VF. All three had a high PS (180,
340 270, and 300, respectively), which is associated with a high risk of conversion failure.
341 Overall, six out of eight patients with an ineffective conversion at follow-up had a high PS,
342 consistently with the observation that lower scores are associated with a higher safety
343 margin⁶. Our findings and data reported by *Francia et al.* are in disagreement only if DT is
344 considered an absolute proxy for real-world shock interventions capability. Given the
345 different characteristics of DT-induced and clinical arrhythmias, the PS may in fact not
346 correlate with DT results, while still retaining a high predictive value for clinical conversion
347 failure. In our cohort, an elevated PS proved to be a strong independent predictor of both the
348 primary combined outcome and of ineffective conversion. Nevertheless, it should be

349 mentioned that, as this tool has been conceived, it represents a pure post-procedural
350 evaluation, which can only lead to an early (but not immediate) S-ICD repositioning when a
351 high-risk of conversion failure is detected, requiring a full re-intervention and potentially
352 resulting in patient discomfort. Further analyses on this topic and on its eventual earlier intra-
353 procedural evaluation are definitely needed, but our preliminary data on this novel tool seem
354 very promising.

355

356 **Study limitations**

357 This is an observational non-randomized study with a relative low incidence of ineffective
358 shocks experienced during follow-up; the observed results need to be validated by larger
359 upcoming prospective randomized clinical trials, one of which is currently ongoing
360 (PRAETORIAN-DFT). However, to our knowledge, the presented DT- cohort is the largest
361 description of a long-term follow-up cohort of patients undergoing S-ICD implantation
362 without DT performance available to date, and, although relatively underpowered, it should
363 be considered as a pilot study. Finally, it should be noted that our findings are mostly derived
364 from high-volume centers, with expert proceduralists working at referral centers for S-ICDs.
365 Since defibrillation energy requirements, as well as ineffective shocks and PS, may be very
366 dependent on S-ICD device/lead positioning and individual proceduralist's skills, these
367 findings may not be directly replicable in lower volume centers.

368 CONCLUSIONS

369 In two cohorts of propensity-matched patients, DT performance was not associated with a
370 significant difference in the primary combined outcome of cardiovascular mortality and
371 ineffective shocks over a median follow-up of 25.2 months. The PS was capable of correctly
372 identifying a large percentage of the patients at risk of ineffective shock conversion in both
373 cohorts. Randomized controlled studies, with a larger sample size and longer duration of
374 follow-up, are highly needed to further validate these results.

Journal Pre-proof

375 **REFERENCES**

- 376 1. Wilkoff BL, Fauchier L, Stiles MK, et al. 2015 HRS/EHRA/APHS/SOLAECE expert
377 consensus statement on optimal implantable cardioverter-defibrillator programming and
378 testing. *Heart Rhythm*. 2016;13:e50–e86.
- 379 2. Estes NAM. Defibrillation testing: Should the paradigm shift? *Journal of the American*
380 *College of Cardiology*. 2012;60:988–989.
- 381 3. Brignole M, Raciti G, Bongiorni MG, et al. Defibrillation testing at the time of
382 implantation of cardioverter defibrillator in the clinical practice: A nation-wide survey.
383 *Europace*. 2007;9:540–543.
- 384 4. Michowitz Y, Lellouche N, Contractor T, et al. Defibrillation threshold testing fails to
385 show clinical benefit during long-term follow-up of patients undergoing cardiac
386 resynchronization therapy defibrillator implantation. *Europace*. 2011;13:683–688.
- 387 5. Gold MR, Higgins S, Klein R, et al. Efficacy and temporal stability of reduced safety
388 margins for ventricular defibrillation: Primary results from the Low Energy Safety Study
389 (LESS). *Circulation*. 2002;105:2043–2048.
- 390 6. Biffi M, Bongiorni MG, D’Onofrio A, et al. Is 40 Joules Enough to Successfully
391 Defibrillate With Subcutaneous Implantable Cardioverter Defibrillators? *JACC: Clinical*
392 *Electrophysiology*. 2021 Jan 21:S2405.
- 393 7. Healey JS, Hohnloser SH, Glikson M, et al. Cardioverter defibrillator implantation
394 without induction of ventricular fibrillation: A single-blind, non-inferiority, randomised
395 controlled trial (SIMPLE). *The Lancet*. 2015;385:785–791.
- 396 8. Bänsch D, Bonnemeier H, Brandt J, et al. Intra-operative defibrillation testing and clinical
397 shock efficacy in patients with implantable cardioverter-defibrillators: The NORDIC
398 ICD randomized clinical trial. *European Heart Journal*. 2015;36:2500–2507.
- 399 9. Quast AFBE, Baalman SWE, Brouwer TF, et al. A novel tool to evaluate the implant
400 position and predict defibrillation success of the subcutaneous implantable cardioverter-
401 defibrillator: The PRAETORIAN score. *Heart Rhythm*. 2019;16:403–410.
- 402 10. Ricciardi D, Ziacchi M, Gasperetti A, et al. Clinical impact of defibrillation testing in a
403 real-world S-ICD population: Data from the ELISIR registry. *Journal of Cardiovascular*
404 *Electrophysiology*. 2021;32:468-476.
- 405 11. Al-Ghamdi B, Shafquat A, Alruwaili N, Emmanuel S, Shoukri M, Mallawi Y.
406 Subcutaneous Implantable Cardioverter Defibrillators Implantation Without
407 Defibrillation Threshold Testing: A Single Center Experience. *Cardiology Research*.
408 2017;8:319–326.
- 409 12. Peddareddy L, Merchant FM, Leon AR, Smith P, Patel A, El-Chami MF. Effect of
410 defibrillation threshold testing on effectiveness of the subcutaneous implantable
411 cardioverter defibrillator. *PACE*. 2018;41:996–1000.
- 412 13. Healey JS, Hohnloser SH, Glikson M, et al. Cardioverter defibrillator implantation
413 without induction of ventricular fibrillation: A single-blind, non-inferiority, randomised

- 414 controlled trial (SIMPLE). *The Lancet*. 2015;385:785–791.
- 415 14. Bänsch D, Bonnemeier H, Brandt J, et al. Intra-operative defibrillation testing and clinical
416 shock efficacy in patients with implantable cardioverter-defibrillators: The NORDIC
417 ICD randomized clinical trial. *European Heart Journal*. 2015;36:2500–2507.
- 418 15. Yashima M, Kim YH, Armin S, et al. On the mechanism of the probabilistic nature of
419 ventricular defibrillation threshold. *Am J Physiol Heart Circ Physiol*. 2003;284:H249-
420 55.
- 421 16. Russo AM, Chung MK. Defibrillation testing is necessary at the time of implantable
422 cardioverter defibrillator implantation. *Circulation: Arrhythmia and Electrophysiology*.
423 2014;7:337–346.
- 424 17. Prutkin JM, Wang Y, Escudero CA, et al. Prevalence, predictors and complications with
425 defibrillation threshold testing in pediatric patients: Results from the NCDR.
426 *International Journal of Cardiology*. 2020;305:44–49.
- 427 18. Friedman DJ, Parzynski CS, Varosy PD, et al. Trends and In-Hospital Outcomes
428 Associated With Adoption of the Subcutaneous Implantable Cardioverter Defibrillator in
429 the United States. *JAMA cardiology*. 2016;1:900–911.
- 430 19. van der Stuijt W, Quast ABE, Knops RE. Defibrillation testing during implantation of the
431 subcutaneous implantable cardioverter-defibrillator: a necessary standard or becoming
432 redundant? *Netherlands Heart Journal*. 2020;28:122–127.
- 433 20. Francia P, Biffi M, Adduci C, et al. Implantation technique and optimal subcutaneous
434 defibrillator chest position: a PRAETORIAN score-based study. *Europace*.
435 2020;22:1822-1829.

436

437 **Table-1**
438

Baseline characteristics of the study cohort			
	DT+ (n=283)	DT- (n=283)	p
Age (years), median [IQR]	55 [46–64]	56 [48–64]	0.273
Male, n (%)	221 (78.1)	224 (79.2)	0.759
BMI, median [IQR]	25.7 [23.5–28.7]	26.0 [23.1–28.0]	0.813
Diabetes, n (%)	62 (21.9)	71 (25.1)	0.372
Hypertension, n (%)	146 (51.6)	129 (45.6)	0.153
Sport practice, n (%)	10 (3.5)	15 (5.3)	0.306
LVEF (%), mean±s.d.	33.9±13.1	34.7±12.2	0.442
Chronic kidney disease, n (%)	32 (11.3)	37 (13.1)	0.521
Dialysis, n (%)	9 (3.2)	10 (3.5)	0.815
Primary Prevention Implant, n (%)	222 (78.5)	219 (77.4)	0.761
Underlying Cardiac Disease			
<i>Ischemic cardiomyopathy</i> , n (%)	119 (42.0)	120 (42.4)	0.932
<i>Dilatative cardiomyopathy</i> , n (%)	93 (32.9)	94 (33.2)	0.929
<i>Hypertrophic cardiomyopathy</i> , n (%)	10 (3.5)	9 (3.2)	0.816
<i>Arrhythmogenic cardiomyopathy</i> , n (%)	7 (2.5)	7 (2.5)	1.000
<i>Brugada syndrome</i> , n (%)	11 (3.9)	13 (4.6)	0.676
<i>Idiopathic ventricular fibrillation</i> , n (%)	12 (4.2)	11 (3.9)	0.831
<i>Other</i> , n (%)	31 (11.0)	29 (10.2)	0.784
Atrial fibrillation, n (%)	77 (27.2)	86 (30.4)	0.403
Beta-blockers, n (%)	235 (83.1)	240 (84.8)	0.567
Amiodarone, n (%)	31 (11.0)	38 (13.4)	0.368

439

440 BMI=body mass index; DT=defibrillation testing; LVEF=left ventricular ejection fraction

441 **Table-2**
442

Follow-up data			
	DT+ (n=283)	DT- (n=283)	p
Follow-up time (months), median [IQR]	25.1 [15.9–36.3]	25.5 [15.1–41.0]	0.273
Primary outcome events, n (%)	10 (3.5)	14 (5.0)	0.404
<i>Cardiovascular mortality, n (%)</i>	7 (2.5)	9 (3.2)	0.612
<i>Ineffective shock, n (%)</i>	3 (1.1)	5 (1.8)	0.725
Appropriate shocks, n (%)	22 (7.8)	25 (8.8)	0.648
<i>Sustained VT, n (%)</i>	16 (5.6)	17 (6.0)	0.858
<i>Ventricular fibrillation, n (%)</i>	6 (2.1)	8 (2.8)	0.583
Inappropriate shocks, n (%)	30 (10.6)	22 (7.8)	0.244
<i>Atrial fibrillation, n (%)</i>	15 (5.3)	11 (3.9)	0.422
<i>TWO, n (%)</i>	10 (3.5)	8 (2.8)	0.632
<i>Myopotentials, n (%)</i>	3 (1.1)	2 (0.7)	0.653
<i>Lead Noise, n (%)</i>	1 (0.3)	0	1.000
<i>VAD interference, n (%)</i>	1 (0.3)	1 (0.3)	1.000

443
444 DT=defibrillation testing; TWO=T-Wave Oversensing; VAD=ventricular assist device;
445 VT=ventricular tachycardia

446 **Table-3**

447

448

Multivariate analysis for study outcomes

	Primary combined outcome			Ineffective shocks			Appropriate shocks			Inappropriate shocks		
	aHR	C.I.	p	aHR	C.I.	p	aHR	C.I.	p	aHR	C.I.	p
Age				0.963	[0.920–1.008]	0.108	0.970	[0.951–0.989]	0.003	0.971	[0.951–0.991]	0.006
Male												
Ischemic CM										0.792	[0.405–1.546]	0.494
Hypertension										0.559	[0.281–1.110]	0.096
BMI												
Diabetes												
AF	1.674	[0.733–3.822]	0.221				1.731	[1.276–2.350]	<0.001			
Primary prevention							0.330	[0.180–0.603]	<0.001			
LVEF												
DT performance												
High risk at PS	3.976	[1.339–11.802]	0.013	19.030	[4.752–76.203]	<0.001						

449

450 AF=atrial fibrillation; BMI=body mass index; CM=cardiomyopathy; DT=defibrillation testing; LVEF=left ventricular ejection fraction;

451 PS=PRAETORIAN score.

452 **FIGURE LEGEND**

453

454 **Figure-1.** Workflow chart showing the selection process for the study population.

455

456 **Figure-2.** Panel A) Between the DT+ and DT- cohort, no significant differences in terms of

457 survival from combined ineffective shocks and cardiovascular mortality (primary outcome)

458 were observed. Panel B–C–D) Survival from B) appropriate, C) inappropriate, and D)

459 combined appropriate and inappropriate shocks (secondary outcomes) did not differ between

460 the DT+ and DT- cohorts.

Journal Pre-proof

