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3 **Comparison of 2- and 3-category histologic grading systems for predicting the presence of metastasis at**  
4 **the time of initial evaluation in dogs with cutaneous mast cell tumors: 386 cases (2009–2014)**

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24 **ABSTRACT**

25 Objective—To compare the Kiupel (2 categories) and Patnaik (3 categories) histologic grad- ing systems for  
26 predicting the presence of metastasis at the time of initial examination in dogs with cutaneous mast cell  
27 tumors (MCTs).

28 Design—Retrospective case series.

29 Animals—386 client-owned dogs with cutaneous MCTs.

30 Procedures—Medical records of dogs with newly diagnosed, histologically confirmed cu-  
31 taneous MCTs that had undergone complete clinical staging were reviewed for clinical and histopathologic data.

32 Results—All Patnaik grade 1 MCTs (n = 52) were classified as Kiupel low-grade MCTs, and all Patnaik grade 3  
33 MCTs (43) were classified as Kiupel high-grade MCTs. Of the 291

34 Patnaik grade 2 MCTs, 243 (83.5%) were classified as Kiupel low-grade tumors, and 48 (16.5%) were  
35 classified as Kiupel high-grade MCTs. Dogs with Patnaik grade 3 MCTs were significantly more likely to have  
36 metastases at the time of initial examination than were dogs with grade 1 or 2 MCTs (OR, 5.46), and dogs  
37 with Kiupel high-grade MCTs were sig-  
38 nificantly more likely to have metastases than were dogs with Kiupel  
low-grade MCTs (OR,

39 2.54). However, 3 of 52 (5.8%) dogs with Patnaik grade 1 tumors, 48 of 291 (16.5%) dogs with Patnaik grade  
40 2 tumors, and 44 of 295 (14.9%) dogs with Kiupel low-grade tumors had metastatic disease.

41 Conclusions and Clinical Relevance—Findings indicated that in dogs with cutaneous MCTs, prognostication  
42 should not rely on histologic grade alone, regardless of grading system used, but should take into account  
43 results of clinical staging

44 In dogs, cutaneous MCTs are characterized by highly variable biological behavior, ranging from low malig-  
45 nant potential to local invasiveness and high metastatic risk.<sup>1</sup> Because of the high incidence and  
46 heterogeneity. of cutaneous MCTs, management of affected dogs is challenging. Several prognostic factors  
47 that can poten- tially be used to predict the biological behavior of MCTs have been described, with  
48 histologic grade being the most important.<sup>2–5</sup>

49 Historically, canine cutaneous MCTs have been graded according to the Patnaik system, with grade 1  
50 tumors defined as well-differentiated tumors confined to the interfollicular dermis, grade 2 tumors  
51 defined as intermediately differentiated tumors extending to the deep dermis and subcutis, and grade 3  
52 tumors defined as poorly differentiated tumors with infiltration of the subcutis and deep tissues.<sup>3</sup>  
53 Although the biological behavior of Patnaik grade 1 and 3 cutaneous MCTs can generally be anticipated,  
54 the prognosis for Patnaik grade 2 MCTs is variable. Histologically, Patnaik grade 2 cutaneous MCTs may  
55 appear heterogeneous, and there can be some histopathologic variation among and within tumors.<sup>6,7</sup>  
56 Hence, Patnaik grade 2 MCTs likely include some tumors that may behave more aggressively and for which  
57 a multimodal therapeutic approach would be beneficial. The Patnaik grading system underwent  
58 modifications in 2011, when a new grading system was proposed, triggered by changes in clinical practice  
59 and a better understanding of MCT biology and aimed at improving concordance among pathologists.<sup>5</sup>  
60 In contrast to the Patnaik grading system, the Kiupel histologic grading system consists of only 2 categories,  
61 with high-grade Kiupel MCTs characterized by at least 7 mitotic figures, 3 multinucleated cells, or 3 bi-  
62 zarre nuclei in 10 hpf or karyomegaly in 10% of cells (with assessment of the most mitotically active fields  
63 or the fields with the highest degree of anisokaryosis) and all other MCTs classified as low grade. This 2-cat-  
64 egory histologic grading system was demonstrated to be more accurate at predicting metastasis  
65 development and death than the Patnaik system.<sup>5</sup>  
66 The purpose of the study reported here was to retrospectively analyze a large series of cases to compare  
67 the 2-category Kiupel histologic grading system with the 3-category Patnaik histologic grading system in  
68 predicting the presence of metastasis at the time of initial examination in dogs with cutaneous MCTs.

## 69 MATERIALS AND METHODS

70 Case selection criteria—Members of the Italian Society of Veterinary Oncology were asked to search their  
71 records to identify dogs examined between 2009 and 2014 with previously untreated, histologically  
72 confirmed cutaneous MCTs that had undergone complete clinical staging. Dogs with multiple concurrent  
73 MCTs or with subcutaneous MCTs were excluded from the study.

74 Medical records review—Information obtained from the medical record for each dog included signal-  
75 ment, primary tumor description (location, size, pres- ence of ulceration, and histologic grade according  
76 to the Patnaik and Kiupel systems<sup>3,5</sup>), clinical stage and substage, and site of metastasis, if present.

77 Clinical staging consisted of hematologic and se- rum biochemical analyses, cytologic evaluation of  
78 fine-needle aspirates from the cutaneous nodule and regional lymph node (ie, the first lymph node in the  
79 expected lymphatic drainage basin), thoracic radiogra- phy, abdominal ultrasonography, cytologic  
80 evaluation of fine-needle aspirates from the liver and spleen, and, in dogs with metastatic disease, cytologic  
81 examination of a bone marrow aspirate.

82 Depending on clinician preference, fine-needle as- pirates of the liver and spleen were always obtained (4  
83 centers) or were only obtained when ultrasonographic abnormalities were seen or when clinical behavior  
84 of the MCT was particularly aggressive (2 centers), as pre- viously described.<sup>8,9</sup>

85 The regional lymph node was identified by either palpation or ultrasonography. Metastasis to the lymph  
86 node, liver, or spleen was diagnosed if mast cells ap- peared in clusters or sheets, occurred in very large  
87 numbers, or were morphologically atypical, consistent with previous descriptions.<sup>8</sup>

88 Histologic evaluation and classification—After re- section, all specimens were fixed in neutral-buffered 10%  
89 formalin and embedded in paraffin. Five-micrometer- thick sections were cut and stained with H&E. Special  
90 histochemical stains (Giemsa or toluidine blue) were used when necessary (eg, to identify poorly  
91 granulated mast cells in primary tumors and to ascertain metastatic involvement in lymph nodes). Grading  
92 was determined on the basis of the Patnaik and Kiupel grading sys- tems.<sup>3,5</sup> All tumor samples (including  
93 the primary cu- taneous MCT and, for some cases, the regional lymph node) were examined by experienced  
94 pathologists un- aware of the results of clinical staging. For dogs exam- ined prior to the introduction of the  
95 Kiupel grading sys- tem, MCTs were reviewed by the same pathologist who had made the initial diagnosis,  
96 and Kiupel grades were assigned. Pathologists were blinded to follow-up data while grading MCTs and  
97 strictly followed the Patnaik and Kiupel guidelines.<sup>3,5</sup>

98 Statistical analysis—When appropriate, data were tested for normality with the D’Agostino and Pearson  
99 omnibus normality test. Continuous values that were normally distributed are expressed as mean ± SD;  
100 values that were not normally distributed are expressed as median and range.

101 The  $\chi^2$  test (categorical variables) and Mann-Whitney U test (continuous variables) were used to test for as-  
102 sociations between various clinical variables and the presence of lymph node metastases. Variables that  
103 were assessed consisted of breed (most represented breeds [Boxer, Labrador Retriever, Golden Retriever,  
104 American Staffordshire Terrier, and Shar-Pei] vs all other breeds), body weight, tumor location (head and  
105 neck, trunk [including abdominal wall and proximal portions of the limbs to the elbow or knee], inguinal  
106 [including peri-neal] region, distal portions of the limbs excluding the digits, and digits), macroscopic  
107 tumor diameter (< 3 or ≥ 3 cm), ulceration, and substage. The proportions of dogs with metastases were  
108 compared among histologic grades according to the Patnaik and Kiupel systems with the  $\chi^2$  test. The  
109 likelihood of metastatic disease at the time of initial examination according to tumor grade was assessed by  
110 means of logistic regression. All statistical analyses were performed with standard software.<sup>a,b</sup> Values of P  
111 ≤ 0.05 were considered significant.

## 112 RESULTS

113 Patient and tumor characteristics—A total of 386 dogs fulfilled the criteria for inclusion in the study.  
114 Mean ± SD age was 7.7 ± 2.8 years. Two hundred twelve dogs were females (of which 92 were spayed), and  
115 174 dogs were males (of which 29 were castrated). Eighty-six dogs were of mixed breeding, with the  
116 remaining 300 dogs representing 51 breeds, including Boxer (n = 79), Labrador Retriever (65), Golden  
117 Retriever (30), English Setter (20), American Staffordshire Terrier (13), Shar-Pei (8), Beagle (7), French  
118 Bulldog (6), Bernese Mountain Dog (4), Epagneul Breton (4), Shih-Tzu (4), and 40 other breeds each  
119 represented by 1 to 3 animals. Tumors were located on the head and neck (n = 74 [19.2%]) or trunk (209  
120 [54.1%]), in the inguinal region (36 [9.3%]), or on the distal portions of the limbs (58 [15.0%]) or digits (9  
121 [2.3%]). Tumor diameter ranged from 0.4 to 20 cm (median, 3 cm); 332 (86%) MCTs were not ulcerated,  
122 and 54 (14%) were. Three hundred sixty-four (94.3%) dogs were subclinically affected (substage a),

123 whereas the remaining 22 (5.7%) dogs had systemic signs (eg, vomiting, diarrhea, pruritus, and regional  
124 edema; substage b).

125

126 Histopathologic findings and staging—Of the 386 dogs, only 33 did not undergo fine-needle aspiration of  
127 the liver and spleen, either because there were no ultrasonographic abnormalities or because there were  
128 no signs of particularly aggressive biological behavior. Overall, 319 (82.6%) dogs underwent complete clinical  
129 staging, including fine-needle aspiration of the liver and spleen. Seventy-two (18.7%) dogs underwent  
130 bone marrow evaluation.

131 On the basis of the Patnaik grading system, 52 (13.5%) dogs had grade 1 MCTs, 291 (75.4%) had grade 2  
132 MCTs, and 43 (11.1%) had grade 3 MCTs (Table 1). On the basis of the Kiupel grading system, 295 (76.4%)  
133 dogs had low-grade MCTs, and 91 (23.6%) had high-grade MCTs.

134 All Patnaik grade 1 MCTs were classified as Kiupel low-grade MCTs, and all Patnaik grade 3 MCTs were  
135 classified as Kiupel high-grade MCTs. Of the 291 Patnaik grade 2 MCTs, 243 (83.5%) were classified as Kiupel  
136 low-grade MCTs, and 48 (16.5%) were classified as Kiupel high-grade MCTs. On the basis of results of  
137 clinical staging, 70 (18.1%) dogs had regional lymph node metastasis, and 316 (81.9%) did not. Fifty dogs  
138 had lymph node metastasis diagnosed on the basis of results of both cytologic and histologic evaluation,  
139 with complete agreement between the 2 methods, and 20 had lymph node metastasis diagnosed on the  
140 basis of results of cytologic evaluation alone. Sixteen (4.1%) dogs had distant metastasis, and 370 (95.9%)  
141 did not. Of the 16 dogs with distant metastasis, 6 had metastasis to the spleen and liver; 5 had metastasis  
142 to the spleen; 2 had metastasis to the liver; 1 had metastasis to the spleen, liver, and bone marrow; 1 had  
143 metastasis to the lymph nodes; and 1 had cutaneous metastasis characterized by multiple satellite  
144 nodules around the primary MCT. Notably, 2 of the 16 dogs with distant metastasis had no regional lymph  
145 node involvement; 1 had a Patnaik grade 3 MCT classified as a Kiupel high-grade tumor, and 1 had a Patnaik  
146 grade 2 MCT classified as a Kiupel low-grade tumor. Overall, 72 of the 386 (18.7%) dogs had metastatic  
147 disease.

148 When considering the Patnaik grading system, 3 of 52 (5.8%) dogs with grade 1 MCTs and 48 of 291  
149 (16.5%) dogs with grade 2 MCTs had metastatic disease. All 3 dogs with Patnaik grade 1 MCTs had nodal  
150 metastasis. Of the 48 dogs with Patnaik grade 2 MCTs that had metastatic disease, 42 had nodal metastasis  
151 alone, 5 had nodal and distant metastasis, and 1 had distant metastasis alone. Of the 43 dogs with Patnaik  
152 grade 3 MCTs, 21 (48.8%) had metastatic disease, including 12 with nodal metastasis alone, 8 with nodal  
153 and distant metastasis, and 1 with distant metastasis alone. Percentage of dogs with metastatic disease  
154 was significantly ( $P < 0.001$ ) different between Patnaik grades 3 and 1 and between Patnaik grades 3 and 2,  
155 but not between Patnaik grades 2 and 1.

156 When considering the Kiupel grading system, 44 of 295 (14.9%) dogs with low-grade tumors had  
157 metastatic disease, including 38 with nodal metastasis alone, 5 with nodal and distant metastasis, and 1  
158 with distant metastasis alone. Of the 91 dogs with Kiupel high-grade tumors, 28 (30.8%) had metastatic  
159 disease, including 18 with nodal metastasis alone, 9 with nodal and distant metastasis, and 1 with  
160 distant metastasis alone. There was a significant ( $P = 0.001$ ) difference in the percentage of dogs with  
161 metastatic disease between Kiupel high-grade and low-grade MCTs. Forty-one of the 243 (16.9%) dogs  
162 with Patnaik grade 2 MCTs classified as Kiupel low-grade tumors had metastatic disease, including 36  
163 dogs with nodal metastasis alone, 4 dogs with nodal and distant metastasis, and 1 dog with distant  
164 metastasis alone. Seven of the 48 (14.6%) dogs with Patnaik grade 2 MCTs classified as Kiupel high-grade  
165 tumors had metastatic disease, including 6 dogs with nodal metastasis alone and 1 dog with nodal and  
166 distant metastasis. The prevalence of metastasis did not differ significantly ( $P = 0.833$ ) between dogs with  
167 Patnaik grade 2 MCTs classified as Kiupel low-grade tumors and dogs with Patnaik grade 2 MCTs classified  
168 as Kiupel high grade tumors. Similarly, the prevalence of metastasis did not differ significantly ( $P = 0.068$ )  
169 between dogs with Kiupel low-grade MCTs classified as Patnaik grade 1 (3/52 [5.8%]) and dogs with Kiupel  
170 low-grade MCTs classified as Patnaik grade 2 (41/243 [16.9%]). Conversely, among dogs with Kiupel  
171 high-grade MCTs, those with Patnaik grade 3 MCTs had a significantly ( $P < 0.001$ ) higher prevalence of  
172 metastatic disease (21/43 [48.8%]) than did those with Patnaik grade 2 MCTs (7/48 [14.6%]).

173 For the Patnaik grading system, dogs with grade 3 MCTs were significantly more likely to have metastases  
174 at the time of initial examination than were dogs with grade 2 or 1 MCTs (OR, 5.46; 95% confidence  
175 interval, 2.80 to 10.66;  $P < 0.001$ ). For the Kiupel grading system, dogs with high-grade tumors were  
176 significantly more likely to have metastases at the time of initial examination than were dogs with low-  
177 grade tumors (OR, 2.54; 95% confidence interval, 1.46 to 4.39;  $P = 0.001$ ). Variables other than histologic  
178 grade significantly associated with nodal metastasis at the time of initial examination included tumor  
179 diameter  $\geq 3$  cm ( $P < 0.001$ ), digit location ( $P = 0.002$ ), ulceration ( $P = 0.01$ ), Shar-Pei breed ( $P < 0.001$ ), and  
180 substage b ( $P < 0.001$ ). Dogs with MCTs located on the trunk had a significantly ( $P < 0.001$ ) lower  
181 prevalence of metastatic disease than did dogs with MCTs located elsewhere.

## 182 DISCUSSION

183 The purpose of the present study was to compare the 2-category Kiupel histologic grading system with the  
184 3-category Patnaik histologic grading systems in predicting the presence of metastasis at the time of ini- tial  
185 examination in dogs with cutaneous MCTs. While dogs with Patnaik grade 3 MCTs were significantly  
186 (OR, 5.46) more likely to have metastases than were dogs with grade 2 or 1 MCTs and dogs with Kiupel  
187 high-grade MCTs were significantly (OR, 2.54) more likely to have metastases than were dogs with low-  
188 grade MCTs, substantial proportions of dogs with grade  
189 2 (16.5%) and grade 1 (5.8%) tumors and dogs with low-grade tumors (14.9%) had metastases. Therefore,  
190 we concluded that in dogs with cutaneous MCTs, prog- nostication should not rely on histologic grade  
191 alone, regardless of grading system used, but should take into account the results of clinical staging. Lymph  
192 node sta- tus and histologic grade are reportedly among the most important prognostic indicators for  
193 dogs with cutane- ous MCTs, and detection of lymph node metastasis or a high histologic grade is a key  
194 factor in recommend- ing systemic treatment.<sup>2–5,10</sup> In clinical practice, some clinicians may not suggest  
195 any further staging in dogs with Patnaik grade 1 and Kiupel low-grade MCTs, on the basis of the assumption  
196 that the likelihood for me- tastasis is low.<sup>11</sup> On the basis of the findings of the pres- ent study, this  
197 assumption does not apply as a whole. A proportion of dogs will have metastatic disease despite histologic  
198 grade, thereby requiring a multimodal thera- peutic approach.



199 Various studies<sup>3–5,12,13</sup> have shown histologic grade to be an independent prognostic indicator in dogs  
200 with cutaneous MCTs and have shown better interobserver agreement with the Kiupel grading system,  
201 compared with the Patnaik grading system. However, histologic grading remains somewhat subjective,  
202 and incorrect grades may be assigned for individual MCTs, which may result in inappropriate treatment  
203 decisions.<sup>6,7</sup> Also, histologic grade does not take into account other factors with possible prognostic  
204 importance, such as tumor size and location and the presence or absence of metastases.

205 It is well accepted that Patnaik grade 3 MCTs have an aggressive biological behavior and a high metastatic  
206 potential (> 80%).<sup>2,3,12</sup> Conversely, Patnaik grade 1

207 MCTs only rarely metastasize (< 10%).<sup>2,3,14</sup> This is in agreement with the findings of the present study.

208 Dogs with MCTs classified as Patnaik grade 1 had a significantly lower prevalence of metastasis than did  
209 dogs with MCTs classified as grade 3, and dogs with MCTs classified as Kiupel low grade had a significantly  
210 lower prevalence of metastasis than did dogs with MCTs classified as Kiupel high grade. On the other  
211 hand, the biological behavior of Patnaik grade 2 MCTs is difficult to predict, with Patnaik grade 2 MCTs  
212 reported to have an intermediate metastatic potential (5% to 22%).<sup>2,3,14</sup> In the present study, 16.5%  
213 (48/291) of dogs with Patnaik grade 2 MCTs had nodal or distant metastases. Interestingly, adding the  
214 Kiupel grading system did not seem to overcome the issue of indeterminate biological behavior for  
215 Patnaik grade 2 MCTs, in that the prevalence of metastasis did not differ significantly between dogs with  
216 Patnaik grade 2 MCTs classified as Kiupel low-grade tumors (16.9%) and dogs with Patnaik grade 2  
217 MCTs classified as Kiupel high-grade tumors (14.6%). Among dogs with Kiupel high-grade MCTs, those with  
218 Patnaik grade 3 MCTs had a significantly higher prevalence of metastatic disease (48.8%) than did those  
219 with Patnaik grade 2 MCTs (14.6%). Nevertheless, our findings suggested that histologic grading on its  
220 own is not reliable enough to allow treatment decisions for dogs with cutaneous MCTs.

221 Given that additional treatment options are increasingly available,<sup>15–21</sup> the clinical management of  
222 dogs with cutaneous MCTs should be based on results of both clinical and histopathologic evaluations. As  
223 shown in the present study, metastasis may be present at the time of initial examination even in dogs

224 with Patnaik grade 1 or Kiupel low-grade MCTs. We believe that combining histologic grade with clinical  
225 stage data would provide a more accurate predictor of biological behavior than either parameter alone.

226 In agreement with the published literature,<sup>1,2</sup> the present study also found significant associations be-  
227 tween nodal metastasis and tumor diameter  $\geq 3$  cm, digit location, ulceration, Shar-Pei breed, and  
228 substage b. This highlights the suggestion that these variables may be useful adjunctive tools for  
229 predicting metastasis and more aggressive biological behavior.

230 A limitation of the present study was that the presence of distant metastasis was mainly documented by  
231 means of cytologic evaluation, rather than histologic examination. This was a multi-institutional retrospec-  
232 tive study, and staging procedures were not uniform among centers, with some clinicians performing fine-  
233 needle aspiration of the liver and spleen only in the case of ultrasonographic abnormalities or signs of  
234 aggres- sive biological behavior of the tumor. Although most (82.6%) dogs underwent complete clinical  
235 staging, it is possible that distant metastases may have been missed in some dogs. Overall, distant  
236 metastases were detected in 4.1% of the dogs, which is in agreement with recent findings.<sup>11</sup> Notably, 2  
237 dogs with distant metastasis did not have nodal involvement, further suggesting that complete clinical  
238 staging is necessary to predict prog- nosis and drive treatment.

239 Regional lymph nodes were evaluated in all the dogs in the present study, but only 50 of 70 lymph  
240 nodes with cytologic evidence of metastasis were sub- sequently surgically removed and submitted for  
241 histo- pathologic confirmation. Despite the concordance be- tween results of cytologic and histologic  
242 evaluation in these 50 dogs, it is possible that in some of the 20 dogs with cytologic evaluation alone,  
243 accumulations of reac- tive mast cells in the lymph node were misinterpreted as neoplastic. Importantly,  
244 the presence of mast cells in a draining lymph node may reflect increased trafficking of reactive cells, rather  
245 than true metastasis. The find- ing of well-differentiated mast cells in a lymph node as- piration is not  
246 necessarily sufficient to determine the ana- tomic location of the mast cells within the lymph node; hence,  
247 distinguishing metastasis from increased mast cell trafficking may be impossible on the basis of cyto- logic  
248 results alone. Nevertheless, the presence of sev- eral aggregates of mast cells and detection of mast cells  
249 with atypical morphology in some cases rendered the hypothesis of reactive mast cells unlikely in these

250 cases. As a further confounding factor, the regional lymph node may not reflect the lymph node actually  
251 receiving the draining tumor lymph, as recently described.<sup>22</sup>

252 Finally, Ki-67 expression and the presence of c-kit mutations were not evaluated in the present study.  
253 Future research should be directed at determining the possible clinical impact of Ki-67 expression in  
254 predicting the behavior of Patnaik grade 2 MCTs classified as Kiupel low-grade tumors.

255 In conclusion, determining the optimal combination of histopathologic and clinical information to de-  
256 velop a therapeutic plan in dogs with cutaneous MCTs is an evolving challenge. Although many studies  
257 indicate the usefulness of histologic grading in predicting the benefit of chemotherapy, given the financial  
258 constraints of many owners and limited access to molecular testing, studying the importance of clinical  
259 staging (along with other parameters) continues to be relevant. It is the authors' opinion that histologic  
260 grade, when assessed by means of both grading systems, is a valuable prognostic factor in dogs with  
261 cutaneous MCTs that can be assessed cost-effectively in clinical practice. However, at present, results of  
262 histologic grading should always be integrated with results of clinical staging to provide reliable  
263 therapeutic decisions.

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320 Table 1—Prevalence of metastatic disease in 386 dogs with cutaneous MCTs classified according to the  
 321 Patnaik and Kiupel histologic grading systems

Total	386/386 (100)	314/386 (81.3)	72/386 (18.7)	70/386 (18.1)	16/386 (4.1)
1	52/386 (13.5)	49/52 (94.2)	3/52 (5.8)	3/52 (5.8)	1/52 (1.9)
2	291/386 (75.4)	243/291 (83.5)	48/291 (16.5)	47/291 (16.2)	6/291 (2.1)
3	43/386 (11.1)	22/43 (51.2)	21/43 (48.8)	20/43 (46.5)	9/43 (20.9)
Kiupel grade					
High	91/386 (23.6)	63/91 (69.2)	28/91 (30.8)	27/91 (29.7)	10/91 (11.0)
Patnaik grade 2					
Kiupel high grade	48/291 (16.5)	41/48 (85.4)	7/48 (14.6)	7/48 (14.6)	1/48 (2.1)

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