

# **The role of the serotonin transporter in prefrontal cortex glutamatergic signaling following short- and long-access cocaine self-administration**

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## **Conflicts of Interest**

The authors declare no conflict of interest in relation to the work herein described.

## Abstract

It is now well accepted that vulnerability to drug addiction relies on substantial individual differences. We previously demonstrated that rats lacking the serotonin transporter ( $SERT^{-/-}$ ) show increased cocaine intake and develop signs of compulsivity. However, the underlying neural mechanisms are currently not fully understood. Given the pivotal role of glutamate and prefrontal cortex in cocaine seeking behaviour, we sought to investigate the expression of proteins implicated in glutamate neurotransmission in the prefrontal cortex of naïve and cocaine-exposed rats lacking SERT. We focused on the infralimbic (ILc) and prelimbic (PLc) cortices, which are theorized to exert opposing effects on the control over subcortical brain areas.  $SERT^{-/-}$  and wild-type ( $SERT^{+/+}$ ) rats were subjected to short access (ShA) or long access (LgA) cocaine self-administration and sacrificed 24 hrs later for *ex vivo* molecular analyses. We found that both ShA and LgA intake of cocaine was higher in  $SERT^{-/-}$  compared to control rats. In the ILc homogenate of  $SERT^{-/-}$  rats, we observed a sharp increase in glial glutamate transporter 1 (GLT-1) after ShA, but not LgA, cocaine intake. This was paralleled by ShA-induced increases in GluN1, GluN2A, GluN2B NMDA receptor subunits and their scaffolding protein SAP102 in the ILc homogenate of these knock-out animals: however, such changes were not paralleled by increased expression of SAP102 in the post-synaptic density, presumably leading to unstable synapses. In the PLc, we found no major changes in the homogenate both after baseline and after ShA and LgA cocaine intake; conversely, the expression of **GluN1 and GluN2A NMDA** receptor subunits was increased in the postsynaptic density under ShA conditions whereas the expression of the same determinants were reduced under LgA conditions. These results point to **SERT** as a critical regulator of glutamate homeostasis as SERT deletion has dysregulated the glutamate synapse in a way that differs between the subregions investigated, the duration of cocaine exposure as well as the cellular compartment analyzed.

## Introduction

Drug addiction is classified as a compulsive and relapsing psychiatric disease, characterized by the transition from limited to compulsive use. Such transition may result from a negative emotional state that becomes manifest during abstinence<sup>1</sup>. One molecule that plays a key role in this transition to compulsivity is serotonin<sup>2</sup>. The plasmalemmal serotonin transporter (SERT), whose main function is to uptake serotonin back into the presynaptic terminal, plays a critical role in negative emotionality as well as drug intake. In fact, the lack of SERT in animals has been closely associated with increased anxiety<sup>3</sup> and with a higher intake of cocaine<sup>4</sup>. We have recently focused our attention on cocaine self-administration behaviors using different conditions of psychostimulant intake that mimic both limited or compulsive drug use, the so-called short access (ShA) or long access (LgA) cocaine, respectively<sup>2,4-6</sup>. We found that cocaine intake was increased in SERT knockout (SERT<sup>-/-</sup>) rats under both ShA and LgA conditions, and that anxiety was increased 24 hrs into withdrawal from both conditions. This implies that increases in both regular and compulsive cocaine intake is, at least in part, driven by increased anxiety, adding critical information with respect to the neural mechanisms involved in the pathophysiology underlying addictive behavior.

The medial prefrontal cortex (mPFC) plays an essential role in drug seeking, abstinence and relapse, as inferred from rodent studies<sup>7-9</sup>. In addition, it is well established that the mPFC plays also a critical role in decision making and behavioral flexibility. mPFC receives excitatory glutamatergic inputs from the sensory systems, and adjusts them to the signals received from the hippocampus and amygdala<sup>10</sup>. When the activity of mPFC is dysregulated, the balance between the promotion or inhibition of a given function may be altered as well<sup>11</sup>. The glutamate system plays a key role in the functioning of the mPFC. For instance, withdrawal from cocaine self-administration is associated with an increase in extracellular glutamate levels<sup>12</sup> and an upregulation of the GluN2B receptor subunit<sup>13</sup>. Furthermore, we have previously shown that repeated cocaine injections render the glutamatergic synapse of the mPFC sensitive to stress, an event that is suggested to play a central role in the reinstatement of cocaine seeking<sup>14,15</sup>. To this end, investigating the role of mPFC to drug seeking is critical as, for instance, cortical hypofrontality appears to be associated with cognitive impairments in cocaine addicts contributing to compulsive drug seeking<sup>16-19</sup>.

From an anatomical point of view, the mPFC is divided into infralimbic (ILc) and prelimbic (PLc) cortices. Notably, although these two brain regions are in close proximity within the brain, they play different roles, sometimes even opposite, highlighting a functional dichotomy of these two brain regions. For instance, the PLc is recruited for the initiation of a conditioned fear response, whereas the ILc initiates, through extinction training, the suppression of conditioned fear responding<sup>20,21</sup>. Similar to fear conditioning, these two brain regions serve distinct functions in the response to the psychostimulant

cocaine. In fact, the PLc appears to be critical for the initiation of cocaine seeking, whereas the ILc is engaged by extinction learning to suppress cocaine seeking <sup>22-24</sup>. Surprisingly, we know very little about cocaine-induced plasticity in the ILc and PLc, especially in terms of changes of excitatory signaling.

We have previously investigated glutamate homeostasis in the habenula of SERT<sup>-/-</sup> rats <sup>25</sup>. It has been established that cocaine enhances the glutamatergic transmission in those neurons projecting from habenula to the rostral tegmental area <sup>26</sup> that, in turn, influence the serotonergic tone via inhibition of the dorsal raphe nucleus <sup>27</sup>. We found that the deletion of SERT altered cocaine-induced glutamate homeostasis of the habenula. However, the habenula does not function in isolation and evidence exists showing that glutamatergic determinants of the mPFC are altered in SERT<sup>-/-</sup> rats, both at baseline and following cocaine exposure <sup>5,28</sup>. To the best of our knowledge, no evidence exists on the role of SERT in the regulation of the glutamatergic synapse in the IL versus PL cortical subregions.

To fill this gap, SERT<sup>+/+</sup> and SERT<sup>-/-</sup> rats were exposed to both ShA (1h/day, 0.5 mg/kg/infusion) or LgA cocaine self-administration protocol (6h/day, 0.5 mg/kg/infusion) to mimic limited consumption or the loss of control over drug intake, respectively <sup>29,30</sup>. By comparing cocaine-naïve rats to rats exposed to ShA or LgA of cocaine, we evaluated the effects of SERT removal on critical determinants of glutamate homeostasis such as: 1) the glial glutamate transporter GLT-1, which is responsible of the termination of glutamate neurotransmission by mediating reuptake of glutamate back into the presynaptic terminal; 2) the different subunits of the NMDA receptor (GluN1, GluN2A and GluN2B), which represent the main glutamate receptor complex responsible of calcium influx into the cell <sup>31</sup>, as well as the scaffolding proteins PSD95 and SAP102, which are responsible of the anchoring of NMDA receptor tight to the post-synaptic density <sup>32</sup>. We evaluated the expression of these molecules in the whole homogenate, which informs us primarily about translational changes, as well as in the post-synaptic density (PSD), which gives us a clue of synaptic localization of receptors and the respective scaffolding proteins. In doing so, we took advantage of unused brain material collected from naïve and ShA and LgA cocaine SERT<sup>-/-</sup> as well as wild-type rats in a previous study <sup>33</sup>, and examined the glutamate synapse in the ILc and PLc.

## **Material and Methods**

**Animals.** SERT<sup>-/-</sup> rats (SLC6A41Hubr) were generated by N-ethyl-N-nitrosourea (ENU) induced mutagenesis <sup>34</sup> and outcrossed with commercially available Wistar rats (Harlan, Ter Horst, the Netherlands) for at least ten generations <sup>35</sup>. Male SERT<sup>-/-</sup> rats and their wild-type (SERT<sup>+/+</sup>) counterparts were subjected to short and long access (ShA and LgA) cocaine self-administration according to the procedures derived from Caffino et al. 2019 (ShA: SERT<sup>+/+</sup>: n=6, SERT<sup>-/-</sup>: n=6 and LgA: SERT<sup>-/-</sup>: n=6, SERT<sup>+/+</sup>: n=6) <sup>33</sup>. All procedures were

carried out in agreement with the current National Research Council Guide for the Care and Use of Laboratory Animals and were approved by local Institutional Animal Care and Use Committees. All efforts were made to reduce the number of animals used and their suffering.

**Cocaine self-administration.** Briefly, one week after surgery, rats were trained to self-administer cocaine (0.5 mg/kg/infusion) under a fixed ratio 1 (FR1) schedule of reinforcement for details: <sup>6,9</sup>. Two days after cocaine self-administration training, rats were allowed to self-administer cocaine during daily 6 h sessions (extended or Long Access (LgA) group of rats), or 1 h sessions (limited of Short Access (ShA) group of rats) for a total of 15 days <sup>30</sup>. Additional groups of cocaine-naive SERT<sup>-/-</sup> and SERT<sup>+/+</sup> rats also underwent intravenous catheterization, were handled daily, and received daily infusion of heparinized saline, but were not exposed to the self-administration chambers <sup>6,9</sup>.

**Tissue collection.** Twenty-four hours following the last cocaine self-administration session, rats were sacrificed by decapitation, brains were quickly collected, and stored at -80°C. Using the rat brain atlas of Paxinos and Watson (2005), the prelimbic cortex (PLc) and infralimbic cortex (ILc, coordinates between bregma +4.20 mm and bregma +2.52, Fig 1) were punched from frozen brain sections of 220 µm using a sterile 1-mm-diameter needle <sup>36</sup>. Punches from the right and left hemisphere were pooled. Prelimbic and infralimbic tissue was stored at -80°C until being processed for molecular analysis (see below).

### **Protein extraction and Western blot analyses**

Proteins were extracted as previously described <sup>37</sup> with minor modifications. Briefly, bilateral punches of ILc and PLc regions of mPFC were homogenized in a teflon-glass potter in cold 0.32M sucrose buffer pH 7.4 containing 1 mM HEPES, 1 mM MgCl<sub>2</sub>, 1 mM NaHCO<sub>3</sub> and 0.1 mM PMSF, in presence of commercial cocktails of protease (Roche, Monza, Italy) and phosphatase (Sigma-Aldrich, Milan, Italy) inhibitors and an aliquot of each homogenate was then sonicated. The remaining homogenate was centrifuged at 13000 g for 15 min obtaining a pellet. This pellet was resuspended in buffer containing 75 mM KCl and 1% Triton X-100 and centrifuged at 100,000 x g for 1 h. The resulting supernatant, referred as Triton X-100 soluble fraction (TSF), was stored at -20 °C; the pellet, referred as post-synaptic density (PSD) or Triton X-100 insoluble fraction (TIF), was homogenized in a glass-glass potter in 20 mM HEPES, protease and phosphatase inhibitors and stored at -20 °C in presence of glycerol 30%. Total proteins have been measured in the total homogenate and in the TIF fraction according to the Bradford Protein Assay procedure (Bio-Rad, Milan, Italy), using bovine serum albumin as calibration standard.

Equal amounts of proteins of the homogenate (10  $\mu$ g) and of TIF fraction (8  $\mu$ g) were run on a sodium dodecyl sulfate-8% polyacrylamide gel under reducing conditions and then electrophoretically transferred onto nitrocellulose membranes (GE Healthcare, Milan, Italy). Blots were blocked 1 h at room temperature with 10% non-fat dry milk in TBS +0,1% Tween-20 buffer and incubated with antibodies against the proteins of interest.

The conditions of the primary antibodies were the following: anti GLT1 (1:5000, AbCam, UK), anti GluN1 (1:1000, Invitrogen, Carlsbad, CA, USA), anti GluN2B (1:1000, Santa Cruz Biotechnology, Santa Cruz, CA, USA), anti GluN2A (1:1000, Invitrogen), anti SAP102 (1:1000, Cell Signaling Technology Inc.) and anti  $\beta$ -Actin (1:10000, Sigma-Aldrich).

Results were standardized using  $\beta$ -actin as the control protein, which was detected by evaluating the band density at 43kDa. Immunocomplexes were visualized by chemiluminescence using the Chemidoc MP Imaging System (Bio-Rad Laboratories). Gels were run 2 times each and the results represent the average from 2 different runs.

### **Statistical analysis**

Data were collected in individual animals (independent determinations) and are presented as means  $\pm$  standard errors.

To enable visual comparisons across genotypes with different degrees of expression of glutamatergic synapse components, values are presented as percent of the control group, namely the SERT<sup>+/+</sup>-naive group that was not exposed to cocaine self-administration. Molecular changes produced by genotype and cocaine exposure alone as well as by their combination were analyzed using a two-way ANOVA, with genotype and cocaine self-administration as independent variables. When dictated by relevant interaction terms, Fisher's least significant difference (LSD) test was used to characterize differences among individual groups of rats. However, when no interaction between genotype and cocaine self-administration was observed, only the main effects were reported. Significance for all tests was assumed at  $p < 0.05$ .

## Results

### Cocaine intake

As we reported previously, no genotype differences were observed during the acquisition of cocaine self-administration. Under ShA conditions, the daily number of cocaine infusion was higher in SERT<sup>-/-</sup> versus SERT<sup>+/+</sup> rats (genotype effect:  $F_{(1,22)}=11,11$   $p=0.003$ ), leading to a higher total cocaine intake in SERT<sup>-/-</sup> (172 +/- 24 infusions) versus SERT<sup>+/+</sup> (81 +/- 13 infusions) rats (t-test:  $p=0.003$ ). When the rats were allowed to self-administer cocaine under LgA, the daily number of cocaine infusions was higher in SERT<sup>-/-</sup> versus SERT<sup>+/+</sup> rats (genotype x session effect:  $F_{(14,336)}=1.95$ ,  $p=0.021$ ), leading to a higher total cocaine intake in SERT<sup>-/-</sup> (1209 +/- 88 infusions) versus SERT<sup>+/+</sup> (823 +/- 157 infusions) rats (t-test:  $p=0.040$ ). Under both ShA and LgA conditions, the number of inactive lever presses were similar in both genotypes.

### Expression levels of the glial glutamate transporter in the homogenate of ILc and PLc and following ShA and LgA to cocaine in SERT<sup>+/+</sup> and SERT<sup>-/-</sup> rats

We first evaluated the expression of the main glial glutamate transporter responsible for the clearance of glutamate from the synaptic cleft, i.e. GLT-1, in the homogenate of ILc and PLc of SERT<sup>-/-</sup> and SERT<sup>+/+</sup> under naive conditions and following the different paradigms of cocaine self-administration. In the ILc, two-way ANOVA revealed a main effect of cocaine access ( $F_{(2,29)}=13.24$ ,  $p<0.0001$ ) and a cocaine access x genotype interaction ( $F_{(2,29)}=8.666$ ,  $p=0.0011$ ; Fig 2a). Further intergroup sub-testing indicated that the ShA procedure significantly enhanced GLT-1 expression in SERT<sup>-/-</sup> rats (+82%,  $p<0.0001$  vs SERT<sup>-/-</sup>-naive; +78%,  $p<0.0001$  vs SERT<sup>-/-</sup>-LgA) but not in SERT<sup>+/+</sup> rats (-7%,  $p=0.6363$  vs SERT<sup>+/+</sup>-naive), whereas the LgA procedure significantly reduced GLT-1 expression in SERT<sup>+/+</sup> rats (-39%,  $p=0.0143$  vs SERT<sup>+/+</sup>-naive; -32%,  $p=0.001$  vs SERT<sup>+/+</sup>-ShA) but not in SERT<sup>-/-</sup> rats (+4%,  $p=0.681$  vs SERT<sup>-/-</sup>-naive). In the PLc, two-way ANOVA of GLT-1 revealed only a main effect of cocaine access ( $F_{(2,29)}=3.787$ ,  $p=0.0346$ ; Fig 2b). Due to its peculiar localization on astrocytes, no analyses were undertaken for GLT-1 in the post-synaptic density.

### Expression levels of GluN1 NMDA receptor subunits in the ILc and PLc homogenate and post-synaptic density following ShA and LgA to cocaine in SERT<sup>+/+</sup> and SERT<sup>-/-</sup> rats

We then analyzed protein expression of the obligatory subunit of the NMDA receptor, i.e. GluN1, in the ILc and PLc of SERT<sup>-/-</sup> and SERT<sup>+/+</sup> under naive conditions and following different paradigms of cocaine self-administration (Fig 3), in both the whole homogenate and the post-synaptic density. In the homogenate of the ILc, two-way ANOVA revealed a main effect of cocaine access ( $F_{(2,29)}=18.61$ ,  $p<0.0001$ ) and a cocaine

access x genotype interaction ( $F_{(2,29)}=3.775$ ,  $p=0.0349$ ; Fig 3a). Examining the individual treatment effects, similarly to GLT-1, we found that the ShA procedure significantly enhanced GluN1 expression in SERT<sup>-/-</sup> rats (+46%,  $p=0.0002$  vs SERT<sup>-/-</sup>-naive; +64%,  $p<0.0001$  vs SERT<sup>-/-</sup>-LgA) but not in SERT<sup>+/+</sup> rats (+5%,  $p=0.6256$  vs SERT<sup>+/+</sup>-naive), whereas the LgA procedure significantly reduced GluN1 expression in SERT<sup>+/+</sup> rats (-27%,  $p=0.0182$  vs SERT<sup>+/+</sup>-naive; -32%,  $p=0.0055$  vs SERT<sup>+/+</sup>-ShA) but not in SERT<sup>-/-</sup> rats (-18%,  $p=0.139$  vs SERT<sup>-/-</sup>-naive). In the post-synaptic density (PSD) of the ILc, two-way ANOVA of GluN1 showed a significant cocaine access x genotype interaction ( $F_{(2,29)}=4.443$ ,  $p=0.0207$ ; Fig 3b). The deletion of SERT significantly reduced GluN1 localization in the PSD of naïve rats (-30%,  $p=0.024$  vs SERT<sup>+/+</sup>-naive). Differently from the homogenate, the ShA procedure reduced GluN1 only in SERT<sup>+/+</sup> animals (-35%,  $p=0.0089$  vs SERT<sup>+/+</sup>-naive), while LgA increased the expression of GluN1 in SERT<sup>-/-</sup> (+27%,  $p=0.0492$  vs SERT<sup>-/-</sup>-naive) and not in SERT<sup>+/+</sup> animals (-22%,  $p=0.0855$  vs SERT<sup>+/+</sup>-naive).

In the homogenate of the PLc, two-way ANOVA revealed a main effect of cocaine access ( $F_{(2,29)}=18.61$ ,  $p<0.0001$ ) and of genotype ( $F_{(2,29)}=18.61$ ,  $p<0.0001$ ; Fig 3c); whereas in the PSD two-way ANOVA analysis of GluN1 showed a significant cocaine access x genotype interaction ( $F_{(2,28)}=12.0$ ,  $p=0.0002$ ; Fig 3d). Interestingly, the ShA daily cocaine exposure differently influenced the expression of GluN1 in SERT<sup>+/+</sup> versus SERT<sup>-/-</sup> rats. In particular, the ShA procedure reduced GluN1 in SERT<sup>+/+</sup> (-20%,  $p=0.0323$  vs SERT<sup>+/+</sup>-naive) while increasing it in SERT<sup>-/-</sup> animals (+25%,  $p=0.0179$  vs SERT<sup>-/-</sup>-naive; +37%,  $p=0.0008$  vs SERT<sup>-/-</sup>-LgA). Moreover, LgA reduced GluN1 in SERT<sup>-/-</sup> rats only (-37%,  $p=0.0008$  vs SERT<sup>-/-</sup>- ShA).

### **Expression levels of GluN2A and GluN2B NMDA receptor subunits in the ILc and PLc homogenate and post-synaptic density following ShA and LgA to cocaine in SERT<sup>+/+</sup> and SERT<sup>-/-</sup> rats**

Next, we investigated the expression of two accessory subunits of the NMDA receptor: GluN2A and GluN2B (Fig 4 and 5, respectively). Two-way ANOVA analysis of GluN2A and GluN2B expression in the homogenate of ILc revealed a main effect of cocaine access (GluN2A:  $F_{(2,28)}=4.971$ ,  $p=0.0142$ ; GluN2B:  $F_{(2,29)}=3.368$ ,  $p=0.0484$ ) and a significant cocaine access x genotype interaction (GluN2A:  $F_{(2,28)}=6.648$ ,  $p=0.0043$ , Fig 4a; GluN2B:  $F_{(2,29)}=13.79$ ,  $p<0.0001$ , Fig 5a). Further intergroup subtesting indicated that the ShA procedure significantly enhanced both GluN2A and GluN2B expression in SERT<sup>-/-</sup> rats (GluN2A: +75%,  $p=0.0011$  vs SERT<sup>-/-</sup>-naive; +70%,  $p=0.0036$  vs SERT<sup>-/-</sup>-LgA; GluN2B: +54%,  $p=0.0002$  vs SERT<sup>-/-</sup>-naive; +64%,  $p<0.0001$  vs SERT<sup>-/-</sup>-LgA) but not in SERT<sup>+/+</sup> rats (GluN2A: -27%,  $p=0.6363$  vs SERT<sup>+/+</sup>-naive; GluN2B: -24%,  $p=0.0555$  vs SERT<sup>+/+</sup>-naive). Unlike the ShA procedure, the LgA procedure significantly reduced only GluN2A expression in SERT<sup>+/+</sup> rats (GluN2A: -47%,  $p=0.0108$  vs SERT<sup>+/+</sup>-naive; GluN2B: -6%,  $p=0.6014$  vs SERT<sup>+/+</sup>-naive) but not in SERT<sup>-/-</sup> rats (GluN2A: +5%,  $p=0.7731$  vs SERT<sup>+/+</sup>-naive;

GluN2B: -10%,  $p=0.4398$  vs SERT<sup>-/-</sup>-naïve). In the PSD fraction of the ILc, expression of GluN2A and GluN2B revealed a significant cocaine access effect (GluN2A:  $F_{(2,28)}=6.000$ ,  $p=0.0068$ ; GluN2B:  $F_{(2,26)}=9.407$ ,  $p=0.0008$ ) and a cocaine access x genotype interaction (GluN2A:  $F_{(2,28)}=4.318$ ,  $p=0.0232$ , Fig 4b; GluN2B:  $F_{(2,26)}=12.93$ ,  $p=0.0001$ , Fig 5b). For GluN1 expression, the deletion of SERT significantly reduced GluN2A and GluN2B levels in naïve animals (GluN2A: -47%,  $p=0.0068$  vs SERT<sup>+/+</sup>-naïve; GluN2B: -42%,  $p=0.0017$  vs SERT<sup>+/+</sup>-naïve). In SERT<sup>+/+</sup> rats, cocaine self-administration, independently from the duration of the daily psychostimulant exposure, reduced protein levels of both subunits (GluN2A: -58%,  $p=0.0011$  SERT<sup>+/+</sup>-ShA vs SERT<sup>+/+</sup>-naïve, -66%,  $p=0.0003$  SERT<sup>+/+</sup>-LgA vs SERT<sup>+/+</sup>-naïve; GluN2B: -54%,  $p=0.0002$  SERT<sup>+/+</sup>-ShA vs SERT<sup>+/+</sup>-naïve, -58%,  $p<0.0001$  SERT<sup>+/+</sup>-LgA vs SERT<sup>+/+</sup>-naïve), whereas the LgA procedure increased selectively GluN2B in SERT<sup>-/-</sup> rats (+30%,  $p=0.0197$  vs SERT<sup>-/-</sup>-naïve, +49%,  $p=0.0004$  vs SERT<sup>-/-</sup>-ShA).

In the homogenate of the PLC, two-way ANOVA of GluN2A revealed only a genotype effect ( $F_{(2,28)}=10.98$ ,  $p=0.0026$ , Fig 4c), whereas a significant genotype and cocaine access effect were observed for GluN2B expression (genotype:  $F_{(1,28)}=31.71$ ,  $p<0.0001$ , treatment:  $F_{(2,28)}=11.34$ ,  $p=0.0002$ , Fig 5c). In the PSD fraction of the PLC, two-way ANOVA of GluN2A and GluN2B revealed a main effect of cocaine access (GluN2A:  $F_{(2,29)}=18.21$ ,  $p<0.0001$ ; GluN2B:  $F_{(2,28)}=4.415$ ,  $p=0.0216$ ), genotype (GluN2A:  $F_{(1,29)}=19.98$ ,  $p=0.0001$ ) and a genotype x cocaine access interaction (GluN2A:  $F_{(2,29)}=19.18$ ,  $p<0.0001$ , Fig 4d; GluN2B:  $F_{(2,28)}=4.868$ ,  $p=0.0153$ , Fig 5d). Interestingly, the deletion of SERT increased both GluN2A and GluN2B expression in naïve animals (GluN2A: +61%,  $p=0.0286$  vs SERT<sup>+/+</sup>-naïve; GluN2B: +40%,  $p=0.0308$  vs SERT<sup>+/+</sup>-naïve). Both ShA and LgA cocaine self-administration did not alter GluN2A and GluN2B expression in SERT<sup>+/+</sup> rats (GluN2A: +10%,  $p=0.7003$  SERT<sup>+/+</sup>-ShA vs SERT<sup>+/+</sup>-naïve, +15%,  $p=0.5796$  SERT<sup>+/+</sup>-LgA vs SERT<sup>+/+</sup>-naïve; GluN2B: +3%,  $p=0.8887$  SERT<sup>+/+</sup>-ShA vs SERT<sup>+/+</sup>-naïve, +2%,  $p=0.9020$  SERT<sup>+/+</sup>-LgA vs SERT<sup>+/+</sup>-naïve), whereas in SERT<sup>-/-</sup> rats the duration of the self-administration sessions differently modulated NMDA subunits localization in the PSD. In these animals, GluN2A levels were increased following ShA (+143%,  $p<0.0001$  vs SERT<sup>-/-</sup>-naïve, +234%,  $p<0.0001$  vs SERT<sup>-/-</sup>-LgA), and reduced following LgA procedure (-91%,  $p=0.0018$  vs SERT<sup>-/-</sup>-naïve). GluN2B levels, instead, were reduced only in SERT<sup>-/-</sup> exposed to LgA sessions (-82%,  $p=0.0004$  vs SERT<sup>-/-</sup>-naïve; -67%,  $p=0.0134$  vs SERT<sup>-/-</sup>-ShA).

### **Expression levels of the scaffold protein SAP102 in the ILc and PLC homogenate and post-synaptic density following ShA and LgA to cocaine in SERT<sup>+/+</sup> and SERT<sup>-/-</sup> rats**

To further characterize the impact of the combination of SERT deletion and cocaine self-administration on the stability of NMDA receptor in the ILc and PLC, we evaluated SAP102 protein levels, a scaffolding protein

that anchors and stabilizes NMDA receptors in the post-synaptic membrane.

In both homogenate and PSD fraction of the ILC, two-way ANOVA of SAP102 revealed a main effect of cocaine access (homogenate:  $F_{(2,29)}=8.072$ ,  $p=0.0016$ ; PSD:  $F_{(2,28)}=4.415$ ,  $p=0.0029$ ) and a genotype x cocaine access interaction (homogenate:  $F_{(2,29)}=7.256$ ,  $p=0.0117$ , Fig 6a; PSD:  $F_{(2,28)}=3.419$ ,  $p=0.0469$ , Fig 6b). Further intergroup subtesting indicated that the deletion of SERT reduced both homogenate SAP102 expression (-32%,  $p=0.0021$  vs SERT<sup>+/+</sup>-naïve) and its localization at the PSD (-32%,  $p=0.0387$  vs SERT<sup>+/+</sup>-naïve). In line with the effects observed in NMDA receptor subunits levels, SAP102 expression is differently modulated by the duration of the self-administration session. In particular, the ShA procedure was associated with an increase in SAP102 in the homogenate of SERT<sup>-/-</sup> rats (+39%,  $p=0.0003$  vs SERT<sup>-/-</sup>-naïve; +31%,  $p=0.0041$  vs SERT<sup>-/-</sup>-LgA) while the LgA procedure was associated with a decrease in SAP102 only in SERT<sup>+/+</sup> rats (-25%,  $p=0.0137$  vs SERT<sup>+/+</sup>-naïve). In the PSD fraction, instead, exposure to ShA sessions reduced SAP102 levels in SERT<sup>+/+</sup> (-42%,  $p=0.0083$  vs SERT<sup>+/+</sup>-naïve) but not in SERT<sup>-/-</sup> rats (-14%,  $p=0.3336$  vs SERT<sup>-/-</sup>-naïve). The LgA procedure increased SAP102 expression only in SERT<sup>-/-</sup> rats (+36%,  $p=0.0199$  vs SERT<sup>-/-</sup>-naïve; +50%,  $p=0.0022$  vs SERT<sup>-/-</sup>-ShA).

In the homogenate of the PLC, in line with observations for the NMDA receptor subunits expression, two-way ANOVA of SAP102 showed a significant effect of cocaine access ( $F_{(2,28)}=6.339$ ,  $p=0.0054$ ) and genotype ( $F_{(1,28)}=16.04$ ,  $p=0.0004$ , Fig 6c), whereas in the PSD fraction a significant genotype x cocaine access interaction was observed ( $F_{(2,26)}=4.471$ ,  $p=0.0214$ , Fig 6d). Examining individual cocaine access effects, SAP102 levels were reduced only in SERT<sup>-/-</sup> rats following exposure to the LgA procedure (-26%,  $p=0.0261$  vs SERT<sup>-/-</sup>-naïve; -35%,  $p=0.0103$  vs SERT<sup>-/-</sup>-ShA).

## Discussion

Our data show that deletion of SERT<sup>35</sup> influences the homeostasis of the glutamatergic synapse in both the ILc and PLc and confer a different reactivity to the two different cocaine exposure regimens. Taking together our behavioral and molecular findings, we depict a situation that points to the SERT as a master regulator of basal glutamate homeostasis, primarily in the ILc. Notably, its removal dictates a profile of responsivity to cocaine that is different based on the two subregions of the prefrontal cortex, the different modality of cocaine self-administration as well as the cellular district taken into account.

In the ILc of SERT<sup>+/+</sup> rats, we found that ShA cocaine did not affect the glial glutamate transporter GLT-1, while LgA cocaine intake caused a decrease in GLT-1 expression levels. Previous studies reported a reduced GLT-1 expression in the nucleus accumbens after cocaine self-administration for 2hrs/day for 2 weeks combined with three weeks of extinction<sup>38</sup>. Since this was associated with a decrease in glutamate uptake<sup>38</sup>, it is possible that a decrease in GLT-1 expression leads to an overflow of glutamate. While cocaine exposure in a conditioned place preference test also decreased GLT-1 in the nucleus accumbens, it did not affect GLT-1 in the dorsomedial prefrontal cortex<sup>39</sup>. Here, we extend the nucleus accumbens findings to the ILc<sup>40</sup>.

In the ILc of SERT<sup>-/-</sup> rats, GLT-1 protein levels were unaffected under baseline conditions, increased after ShA cocaine intake and normalized again under LgA conditions. The former may reflect an attempt to adjust homeostasis to the presence of cocaine, leading to a decrease in glutamate levels, while the latter may reflect a failure to maintain the adjustment. Intriguingly, in the ILc, hyperresponsivity of SERT<sup>-/-</sup> rats to the ShA regimen is maintained in the glutamate markers here examined, suggesting an overall hyperactivation in the whole homogenate of SERT<sup>-/-</sup> rats set in motion by ShA that is, instead, lost under LgA. Based on previous studies, we hypothesize that the LgA cocaine intake in SERT<sup>-/-</sup> rats may be driven by other factors (eg. CRF and BDNF changes in the central amygdala)<sup>6</sup>.

Interestingly, this hyperactivation seen in the whole homogenate under ShA conditions disappears when examining the post-synaptic density. This is a critical point of discussion as the analysis of the homogenate and post-synaptic density provide different types of information on the homeostasis of the glutamate synapse: in fact, while changes in the whole homogenate reflect translational changes, in the post-synaptic density they are indicative of altered localization. We hypothesize that the overall high peak in the expression of these glutamatergic molecular determinants following ShA may represent a (mal)adaptive response presumably secondary to decreased glutamate levels (see above), which may lead to increased synthesis of these glutamatergic proteins extrasynaptically. This may serve to regulate volume glutamate transmission but, perhaps, not translate to altered synaptic glutamate transmission. Thus,

although the expression of NMDA receptor subunits is increased in the ILc postsynaptic density, such up-regulation is not paralleled by an increased expression of the scaffolding protein specific for the NMDA receptor complex, i.e. SAP102. This presumably leads to unstable synapses. Taken together, these results do indicate that, in the ILc of SERT<sup>-/-</sup> rats, there is an overall dysregulation of the glutamatergic synapse.

The PLC is characterized by a different situation. In SERT<sup>+/+</sup> rats, no changes in any of the glutamatergic components (except for GluN1 in the postsynaptic density) were found. In the homogenate of naïve SERT<sup>-/-</sup> rats no changes in glutamatergic system determinants were observed as well. Yet, in the postsynaptic density we found an overall trend towards increased expression of glutamatergic markers following ShA, which was significant for GluN2A and GluN2B. Since GLT-1 levels were not altered in this brain subregion, we cannot point to the receptor up-regulation as a neuroadaptive response to glutamate efflux. Hence, a different mechanism may come into play to foster such an up-regulation. At variance from the ILc, the regulation of the glutamatergic markers following ShA or LgA in the in the homogenate of PLC is not significantly different between genotypes, with the exception of the GluN2A subunit. Conversely, in the PLC post-synaptic density of SERT<sup>-/-</sup> rats, we observed an increase in the expression of GluN1 and GluN2A following ShA and a steep decline in all receptors following LgA, suggesting a bidirectional homeostatic regulation in response to LgA. This indicates that, in this fraction, the glutamate complex NMDA receptor/SAP102 is oppositely regulated by ShA or LgA and suggests that, in this subregion, ShA-exposed rats are able to mount a neuroadaptive response that wanes following LgA, both in term of synthesis or receptor localization. Focusing instead on LgA-exposed SERT<sup>-/-</sup> rats, a clear discrepancy catches the eye; in fact, an increased localization and stabilization of GluN2B-containing NMDA receptors and their related scaffolding protein SAP-102 was observed in the post-synaptic density of ILc in SERT<sup>-/-</sup> rats, whereas we found an opposite effect in the post-synaptic density of PLC in SERT<sup>-/-</sup>: since it has been shown that selective inhibition of GluN2B-containing NMDARs in PLC prevents the BDNF-mediated inhibition of cocaine seeking <sup>41</sup>, it is tempting to speculate that the herein observed reduced localization and stabilization of GluN2B/SAP-102 complex induced by LgA in the post-synaptic density of PLC in SERT<sup>-/-</sup> promotes the escalation of cocaine seeking.

In conclusion our findings suggest that SERT influences the homeostasis of the glutamate synapse both under basal conditions and following repeated cocaine-self-administration. From our data it appears that removal of SERT reorganizes and destabilizes the cortical glutamate synapse, presumably providing a ground for the higher intake of cocaine exhibited by SERT<sup>-/-</sup> rats. Since SERT<sup>-/-</sup> rats consume a higher amount of cocaine it is presumably the interaction between the removal of SERT and exposure to cocaine that have generated the observed patterns of glutamatergic changes in ILc and PLC. The ILc is generally

considered to inhibit subcortical areas, and the PLc to stimulate subcortical areas <sup>42</sup>. Previous studies showed that impaired fear extinction in SERT<sup>-/-</sup> rodents is associated with reduced expression of the neuronal activity marker c-Fos in the ILc but not PLc <sup>43</sup>, increased theta synchronization between the ILc and lateral amygdala <sup>44</sup>, and an increase in the apical dendritic branches of ILc pyramidal neurons <sup>45</sup>. These findings do point out that deletion of SERT affects the structure and function of the ILc, potentially comparable to the finding of reduced connectivity between the prefrontal cortex and amygdala reported in humans carrying the short allelic variant of the serotonin transporter promoter polymorphism <sup>46</sup>. Since SERT<sup>-/-</sup> rats not only show impaired fear extinction, but also an impairment in the extinction of cocaine seeking behaviour after ShA and LgA cocaine self-administration <sup>4,47</sup>, functional changes in the ILc may contribute to their difficulties to refrain from cocaine intake and thereby their increased cocaine intake specifically under LgA conditions. Further changes in the PLc postsynaptic density, such as decreased GluN2B receptor expression, may aggravate this effect.

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### **Author's contribution**

MV and BvR performed the animal studies. LC, FM and FT performed the molecular analyses. LC and MV did the statistical analyses. LC, FM and FT and BvR managed the literature searches. LC, MV, JH and FF designed the study, wrote the protocol and interpreted the data. LC, MV, JH and FF wrote the manuscript. All authors contributed to and have approved the final manuscript.

## Figure legends

**Figure 1** Specific coordinates of infralimbic (ILc) and prelimbic (PLc) cortices.

**Figure 2** Interaction between SERT deletion and cocaine self-administration on the glial glutamate transporter 1 (GLT-1) in the ILc and prelimbic PLc cortices.

Protein levels of GLT-1 in ILc (panel a) and PLc (panel b) are expressed as percentages of SERT<sup>+/+</sup>-naive rats. Below the graphs, representative immunoblots are shown for GLT-1 (62 kDa) protein in the homogenate of ILc (panel a) and PLc (panel b), respectively.

Histograms represent the mean  $\pm$  SEM of five to six rats per group. \*p<0.05 versus SERT<sup>+/+</sup>-naive; #p<0.05 versus SERT<sup>+/+</sup>-ShA; §§§ p<0.001 versus SERT<sup>-/-</sup>-naive; £££ p<0.001 versus SERT<sup>-/-</sup>-LgA (two-way ANOVA followed by Fisher's LSD multiple comparisons test).

**Figure 3** Interaction between SERT deletion and cocaine self-administration on the NMDA receptor obligatory subunit 1 GluN1 in the ILc and PLc.

Protein levels of GluN1 in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d) are expressed as percentages of SERT<sup>+/+</sup>-naive rats. Below the graphs, representative immunoblots are shown for GluN1 (120 kDa) receptor in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d), respectively.

Histograms represent the mean  $\pm$  SEM of five to six rats per group. \*p<0.05, \*\*p<0.01 versus SERT<sup>+/+</sup>-naive; ##p<0.01 versus SERT<sup>+/+</sup>-ShA; §p<0.05, §§§p<0.001 versus SERT<sup>-/-</sup>-naive; £££ p<0.001 versus SERT<sup>-/-</sup>-LgA (two-way ANOVA followed by Fisher's LSD multiple comparisons test).

**Figure 4** Interaction between SERT deletion and cocaine self-administration on the accessory NMDA receptor subunit 2A GluN2A in the ILc and PLc.

Protein levels of GluN2A in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d) are expressed as percentages of SERT<sup>+/+</sup>-naive rats. Below the graphs, representative immunoblots are shown for GluN2A (180 kDa) receptor in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d), respectively.

Histograms represent the mean  $\pm$  SEM of five to six rats per group. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001 versus SERT<sup>+/+</sup>-naive; §§p<0.01, §§§p<0.001 versus SERT<sup>-/-</sup>-naive; £££ p<0.001 versus SERT<sup>-/-</sup>-LgA (two-way ANOVA followed by Fisher's LSD multiple comparisons test).

**Figure 5** Interaction between SERT deletion and cocaine self-administration on the NMDA receptor subunit 2B (GluN2B) in the ILc and PLc.

Protein levels of GluN2B in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d) are expressed as percentages of SERT<sup>+/+</sup>-naive rats. Below the graphs, representative immunoblots are shown for GluN2B (180 kDa) receptor in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d), respectively.

Histograms represent the mean  $\pm$  SEM of five to six rats per group. \* $p < 0.05$ , \*\* $p < 0.01$  versus SERT<sup>+/+</sup>-naive; <sup>§</sup> $p < 0.05$ , <sup>§§§</sup> $p < 0.001$  versus SERT<sup>-/-</sup>-naive; <sup>\$\$\$</sup> $p < 0.001$  versus SERT<sup>-/-</sup>-ShA; <sup>£££</sup>  $p < 0.001$  versus SERT<sup>-/-</sup>-LgA (two-way ANOVA followed by Fisher's LSD multiple comparisons test).

**Figure 6** Interaction between SERT deletion and cocaine self-administration on the NMDA receptor-related scaffolding protein SAP102 in the ILc and PLc.

Protein levels of SAP102 in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d) are expressed as percentages of SERT<sup>+/+</sup>-naive rats. Below the graphs, representative immunoblots are shown for SAP102 (102 kDa) scaffolding protein in the homogenate and post-synaptic density of ILc (panel a, b) and PLc (panel c, d), respectively.

Histograms represent the mean  $\pm$  SEM of five to six rats per group. \* $p < 0.05$ , \*\* $p < 0.01$  versus SERT<sup>+/+</sup>-naive; # $p < 0.05$  versus SERT<sup>+/+</sup>-ShA; <sup>§</sup> $p < 0.05$ , <sup>§§§</sup> $p < 0.001$  versus SERT<sup>-/-</sup>-naive; <sup>§</sup> $p < 0.05$ , <sup>§§</sup> $p < 0.01$  versus SERT<sup>-/-</sup>-ShA; <sup>££</sup> $p < 0.01$  versus SERT<sup>-/-</sup>-LgA (two-way ANOVA followed by Fisher's LSD multiple comparisons test).

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