

1 **NEU3 activity enhances EGFR activation without affecting EGFR** 2 **expression and acts on its sialylation levels**

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18

19 **Abstract**

20 Several studies performed over the last decade have focused on the role of sialylation in the progression of
21 cancer and, in particular, on the association between deregulation of sialidases and tumorigenic
22 transformation. The plasma membrane-associated sialidase NEU3 is often deregulated in colorectal cancer
23 (CRC), and it was shown that this enzyme co-immunoprecipitates in HeLa cells with epidermal growth factor
24 receptor (EGFR), the molecular target of most recent monoclonal antibody-based therapies against CRC. To
25 investigate the role of NEU3 sialidase on EGFR deregulation in CRC, we first collected data on NEU3 gene
26 expression levels from a library of commercial colon cell lines, demonstrating that NEU3 transcription is
27 upregulated in these cell lines. We also found EGFR to be hyperphosphorylated in all cell lines, with the
28 exception of SW620 cells and the CCD841 normal intestinal cell line. By comparing the effects induced by
29 overexpression of either the wild-type or the inactive mutant form of NEU3 on EGFR, we demonstrated that
30 the active form of NEU3 enhanced receptor activation without affecting EGFR mRNA or protein expression.
31 Moreover, through western blots and mass spectrometry analysis, we found that EGFR immunoprecipitated
32 from cells overexpressing active NEU3, unlike the receptor from mock cells and cells overexpressing inactive
33 NEU3, is desialylated. On the whole, our data demonstrate that, besides the already reported indirect EGFR
34 activation through GM3, sialidase NEU3 could also play a role on EGFR activation through its desialylation.

35

36 **Key words:** cell lines, colorectal cancer, EGFR, NEU3, sialylation

37

38 **Introduction**

39 Defects in glycosylation are known to play a role in cancer malignancy (Ohtsubo and Marth 2006). In particular,
40 altered levels of sialylation have been associated with invasiveness and metastatic potential in cancer cells,
41 although how this process is involved in the regulation of tumorigenesis is poorly understood (Miyagi et al.
42 2012). Sialidases (EC 3.2.1.18) are glycohydrolases that catalyze the removal of terminal α -glycosidic-linked
43 sialic acid residues from the carbohydrate moieties of glycoproteins and glycolipids. To date, four types of
44 sialidases have been identified and characterized in humans—NEU1, NEU2, NEU3 and NEU4—which differ
45 in their subcellular localizations and enzymatic properties, including substrate specificity (Monti and Miyagi
46 2012). In particular, NEU3 localizes in plasma membranes (Zanchetti et al. 2007), where it acts not only on
47 gangliosides within its own membrane but also on gangliosides belonging to the plasma membranes of
48 neighboring cells (Papini et al. 2004). Therefore, NEU3 is an important protein for many phenomena that occur
49 at the cell surface, particularly those involved in the regulation of trans-membrane signaling (Miyagi et al.
50 2008;Monti and Miyagi 2012).

51 Several studies have shown that NEU3 is upregulated in various neoplastic diseases, including melanoma,
52 colon, renal, ovarian and prostate cancers (Monti and Miyagi 2012). Furthermore, it was observed that NEU3
53 mRNA levels were increased from 3- to 100-fold in human colon cancer tissues compared with adjacent non-
54 tumor mucosal tissues (Kakugawa et al. 2002). Finally, it was demonstrated that NEU3 could
55 promote colorectal carcinogenesis in transgenic mice (Shiozaki et al. 2009).

56 Recent evidence has uncovered a link between NEU3 and activation of the epidermal growth factor receptor
57 (EGFR) pathway (Odintsova et al. 2006; Tringali et al. 2012). In particular, it was shown in HeLa cells that
58 human sialidase NEU3 co-immunoprecipitates with EGFR (Wada et al. 2007). EGFR is a sialoglycoprotein with
59 intrinsic tyrosine kinase activity, and it possesses 12 N-glycosylation sites in its extracellular domain (Zhen et
60 al. 2003; Liu et al. 2011). Following ligand binding, EGFR is able to dimerize, and through a process known
61 as cross-autophosphorylation, it can activate a number of downstream pathways, including the
62 Ras/Raf/mitogen-activated protein kinase (MAPK) axis, which is primarily involved in cell proliferation, and the
63 phosphoinositide-3-kinase (PI3K)/PTEN/AKT axis, which is involved in cell survival and motility (Jorissen et
64 al. 2003). EGFR is deregulated in colorectal cancer (CRC) essentially following gene copy number gain (due
65 to gene amplification or polysomy), rarely by point mutations.

66 An alternative mechanism of EGFR constitutive activation is represented by ligands (e.g., AREG and EREG)
67 overexpression (Kuramochi et al. 2012).

68 Although many studies have been published concerning the role of EGFR in CRC and EGFR-targeted
69 therapies have been successfully introduced for the treatment of CRC patients, all the detailed mechanism(s)
70 that control EGFR activation are still unclear. In particular, it is unknown how sialylation levels could affect
71 receptor activation. Elucidating these mechanisms should provide novel insights into cancer biology and may
72 lead to the development of innovative therapeutic approaches, as well as to a further demonstration of the role
73 played by glycosylation for cell survival. Therefore, the aim of this study was to evaluate the effects of NEU3
74 deregulation on EGFR expression and activation in CRC cell lines.

75

76 **Materials and methods**

77

78 **Cell cultures**

79 SW48 (ATCC® CCL-231™), SW403 (ATCC® CCL-230™), SW480 (ATCC® CCL-228™), SW620 (ATCC®
80 CCL-227™), SW1116 (ATCC® CCL-233™), SW1463 (ATCC® CCL-234™), CO115, E705, MICOL24 and
81 MICOL29 (kindly provided by Fondazione IRCCS Istituto Nazionale dei Tumori, Milan, Italy) colon cancer cells
82 were grown in RPMI 1640 medium supplemented with heat-inactivated 10% fetal bovine serum (FBS), 2 mM
83 L-glutamine, 100 U/mL penicillin, 100 µg/mL streptomycin and maintained at 37°C in a humidified 5% CO₂
84 incubator. CACO-2 (ATCC® HTB-37™) colon cancer cell line and CCD841 (ATCC® CRL-1790™) healthy
85 mucosa cell line were grown in EMEM medium supplemented with heat-inactivated 10% FBS, 2 mM L-
86 glutamine, 1% non-essential amino acids, 100 U/mL penicillin, 100 µg/ml streptomycin and maintained at 37°C
87 in a humidified 5% CO₂ incubator. HT-29 (ATCC® HTB-38™) colon cancer cell line and COS-7 (ATCC®
88 CRL1651™) cells were grown in DMEM medium supplemented with heat-inactivated 10% FBS, 2 mM L-
89 glutamine, 100 U/ mL penicillin, 100 µg/mL streptomycin and maintained at 37°C in a humidified 5% CO₂
90 incubator. T84 (ATCC® CCL-248™) colon cancer cells were grown in Ham's F12/DMEM (1:1) medium
91 supplemented with heat-inactivated 5% FBS, 2 mM L-glutamine, 100 U/mL penicillin, 100 µg/mL streptomycin
92 and maintained at 37°C in a humidified 5% CO₂ incubator. DIFI human CRC cells, kindly provided by Dr Josep
93 Taberero (Vall d'Hebron Institute of Oncology, Vall d'Hebron University Hospital, Universitat Autònoma de
94 Barcelona, Spain), were grown in Ham's F12 medium supplemented with heat-inactivated 5% FBS, 2 mM L-
95 glutamine, 100 U/mL penicillin, 100 µg/mL streptomycin and maintained at 37°C in a humidified 5% CO₂
96 incubator. ATCC validated cell lines by short tandem repeat profiles that are generated by simultaneous
97 amplification of multiple short tandem repeat loci and amelogenin (for gender identification). All the reagents
98 for cell culture were supplied by Lonza (Lonza Group, Basel, Switzerland).

99

100 **RNA isolation and Q-PCR**

101 Total RNA was isolated from cells using RNeasy Mini Kits (Qiagen, Chatsworth, CA), according to
102 manufacturer's instructions. RNA was reverse-transcribed using SuperScript® II RT (Invitrogen, Carlsbad,
103 CA), oligo dT and random primers, according to the manufacturer's protocol. For quantitative real-time PCR
104 (Q-PCR), SYBR Green method was used for NEU3 and EGFR expression, TaqMan assay for AREG, EREG
105 and ST6Gal-I expression. Briefly, 50 ng cDNA was amplified with SYBR Green PCR Master Mix (Applied
106 Biosystems, Foster City, CA) or TaqMan Gene Expression Master Mix (Applied Biosystems) and specific
107 primers (100 nM) or FAM-MGB probes, using an initial denaturation step at 95°C for 10 min, followed by 40
108 cycles of 95°C for 15 s and 59°C annealing for 1 min. Each sample was analyzed for NEU3, EGFR, AREG
109 and EREG expression and normalized for total RNA content using Pol2 gene as an internal reference control.
110 The relative expression level was calculated with the Livak method ($2^{-\Delta\Delta C(T)}$) (Livak and Schmittgen 2001)
111 and was expressed as a fold change \pm SD. The accuracy was monitored by the analysis of melting curves.
112 The following primers were used: NEU3 Fw 5'-TGAGGATTGGCAGTTGG-3' and Rv 5'-
113 CCCGCACACAGATGAAGAA-3'; EGFR Fw 5'-GGTGTGTGCAGATCGCAAAG-3' and Rv 5'-
114 GACATGCTGCGGTGTTTTTCAC-3'; Pol2 Fw 5'-AGGAGCAAAGCCTGGTGT-3' and Rv 5'-
115 ACCCAAAGCTGCCAGAAGT-3'. Assay IDs were Hs00155832_m1_for AREG, Hs00914313_m1 for EREG,
116 Hs00949382_m1 for ST6Gal-I and Hs00172187_m1 for Pol2 (Applied Biosystems).

117

118 **Fluorescent in situ hybridization**

119 Fluorescent in situ hybridization (FISH) was performed on cell lines previously described. Each cell line was
120 incubated overnight at 37°C with Demecolcine Solution 10 µg/mL (Sigma-Aldrich, St. Louis, MO), treated with
121 2 mL trypsin–EDTA (Lonza) for 3–10 min at 37°C and centrifuged at 400 × g for 5 min.
122 The pellet was treated with 10 mL of KCl (0.56% in distilled water) at 37°C for 7 min, 5 mL of 5% acetic acid,
123 5 mL of methanol and 5 mL of fixative (3:1 ethanol-acetic acid), the latest repeated twice. After each step, the
124 pellet was centrifuged at 400 × g for 8 min. Finally, cells were firmly attached on glasses.
125 FISH EGFR assay was performed using the dual color probe LSIEGFR/CEP7 (Vysis, Downer's Grove, IL)
126 (Martin et al. 2009). Fluorescent signals were evaluated through an automated microscope (Zeiss Axioplan 2
127 Imaging, Oberkochen, Germany) equipped with single and triple band pass filters. Images were captured using
128 an Axiocam camera (Zeiss Axiocam MRm) and processed with the AxioVysion Software (Carl Zeiss GmbH,
129 Germany). We classified cell lines in four groups (disomic, low or high polysomic and with gene amplification)
130 using descriptive features (Martin et al. 2009; Varella-Garcia et al. 2009).

131

132 **EGFR mutational status**

133 Total DNA was isolated from cells and analyzed by direct sequencing. We investigated exons 18–21
134 corresponding to the tyrosine kinase domain, where activating point mutations may occur. Sequencing of the
135 PCR products was done using a 3130 Genetic Analyzer (Applied Biosystems) and analyzed with appropriate
136 software (SeqScape Software Version 2.5, Applied Biosystems). Each sequence reaction was performed
137 twice.

138

139 **Homology modeling**

140 HsNEU2 and HsNEU3 amino acidic sequences were aligned using ClustalW program (Thompson et al. 1994).
141 Considering the high sequence identity between the two sialidases and the availability of the NEU2 crystal
142 structure in the Protein Data Bank (PDB, www.pdb.org), we performed homology modeling of NEU3 (auto
143 mode) in the Swiss Model workspace using NEU2 (PDB ID: 2F25) as a template (Guex and Peitsch 1997;
144 Schwede et al. 2003; Arnold et al. 2006). The model was visualized using PyMOL software (The PyMOL
145 Molecular Graphics System, Version 1.5.0.4 Schrödinger, LLC, www.pymol.org).

146

147 **Vector**

148 The cDNA coding for human sialidase NEU3 was previously subcloned into plasmid pcDNA1 (Invitrogen), in
149 frame with C-terminal haemagglutinin epitope (Monti et al. 2000).

150

151 **Site-directed mutagenesis**

152 Sialidase double mutant was obtained by PCR using QuikChange Site-directed Mutagenesis Kit (Stratagene,
153 La Jolla, CA), according to recommended procedures. The following primers were used to introduce the D50A
154 and the Y370F mutations:

155 D50A Fw 5'-CGTTCTACGAGGAGAGCTGAGGATGCTCTCCAC-3',

156 D50A Rv: 5'-GTGGAGAGCATCCTCAGCTCTCCTCGTAGAACG-3';

157 Y370F Fw 5'-GTGGGCCCTGTGGCAACTCTGATCTGGCTGC-3',

158 Y370F Rv: 5'-GCAGCCAGATCAGAGTTGCCACAGGGCCCAC-3'.

159 All constructs were hosted and amplified in *E. coli* strain DH5 α ; the presence of the mutations was
160 subsequently verified by automated sequencing, using commercially available vector oligonucleotide primers.
161

162 **Transfection**

163 Cells were seeded at 1×10^6 cells/100 mm dish and transiently transfected with pcDNA3.1 vector containing
164 wild-type or double-mutant NEU3 cDNAs in a 2% serum medium using X-treme Gene 9 DNA Transfection
165 Reagent (Roche, Basel, Switzerland), according to the manufacturer's instructions. After transfection, cells
166 were grown for 36–48 h in a complete medium without EGF treatment.
167

168 **Sialidase activity assay**

169 COS-7 cells were harvested 48 h after transfection by scraping, washed in PBS and resuspended in the same
170 buffer containing 1 mM EDTA, 1 μ g/mL pepstatin A, 10 μ g/mL aprotinin and 10 μ g/mL leupeptin. Crude
171 extracts, obtained by gentle sonication, were centrifuged at $800 \times g$ for 10 min to eliminate unbroken cells and
172 nuclear components. Supernatants were subsequently centrifuged at $200,000 \times g$ for 15 min to obtain a
173 cytosolic fraction and a membrane fraction. The activity was evaluated on PBS resuspended pellets. Protein
174 concentration was determined by Bradford Assay (Coomassie Protein Assay Reagent, Bio-Rad, Hercules, CA)
175 (Bradford 1976). NEU3 sialidase activity was determined towards two different substrates (Monti et al. 2000).
176 All reaction mixtures were set-up in triplicate with 30 μ g of total protein in a final volume of 100 μ L in the
177 presence of 12.5 mM sodium citrate/phosphate buffer, pH 3.8. In all cases, 1 U of sialidase activity was defined
178 as the liberation of 1 μ mol of NeuAc/min at 37°C. Using 0.12 mM 4MU-NANA (4-methylumbelliferyl α -N-
179 acetylneuraminic acid) as artificial substrate, the amount of sialic acid hydrolyzed was evaluated by
180 spectrofluorimetric measurement of the 4-methylumbelliferone released after an incubation at 37°C up to
181 30 min stopping the reaction with 1.5 mL 0.2M glycine/NaOH, pH 10.8.

182 The activity was also measured using GD1a ganglioside by a radiochemical method. The mixture containing
183 60 nmol GD1a+[3 H]GD1a and Triton X-100 0.1% was incubated at 37°C for 1 h and then 400 μ L of
184 tetrahydrofuran was added (Monti et al. 2000). The mixture was centrifuged at $10,000 \times g$ for 5 min and 3 μ L
185 of resulting supernatant (1000 dpm/sample) were subjected to high-performance TLC on silica-gel plate with
186 chloroform/methanol/0.2% CaCl $_2$ (60:40:9 vol) as solvent system to separate the reaction products from the
187 substrate (Chigorno et al. 1986). Glycolipids separated were quantified by radiochromatoscanning (Beta
188 Imager 2000; Biospace Mesures, Paris, France).
189

190 **SDS–PAGE and western blot**

191 Thirty-six hours after transfection, SW480, DIFI, SW620 and CCD841 cells were washed with ice-cold PBS
192 and lysed in RIPA buffer, containing protease and phosphatase inhibitors and 1 mM PMSF. After lysis on ice,
193 homogenates were obtained by passing five times through a blunt 20-gauge needle fitted to a syringe and
194 then centrifuged at $15,000 \times g$ for 30 min. Supernatants were analyzed for protein content by the BCA protein
195 assay (Smith et al. 1985). SDS–PAGE and western blot were carried out by standard procedures. Sixty
196 micrograms of proteins were separated on 10% acrylamide/ bis-acrylamide SDS–PAGE, transferred onto a
197 nitrocellulose membrane (Millipore, Billerica, MA), probed with the appropriated antibodies and visualized
198 using ECL detection system (Millipore). Protein levels were quantified by densitometry of immunoblots using

199 ScionImage software (Scion Corp., Frederick, MD). We used the following primary antibodies (all purchased
200 by Cell Signaling Technology, Danvers, MA): anti-EGFR (dilution 1:1000), phospho-EGFR (Tyr1068; dilution
201 1:1000), p44/42 MAPK (Erk1/2; dilution 1:1000), phospho-p44/42 MAPK (Erk1/2) (Thr202/Tyr204; dilution
202 1:1000), Akt (dilution 1:1000), phospho-Akt (Ser473; dilution 1:1000), PTEN (dilution 1:1000) and GAPDH
203 (dilution 1:10,000). IgG HRP-conjugated secondary antibodies (purchased by Cell Signaling Technology) were
204 diluted 1:10,000.

205

206 **EGFR immunoprecipitation**

207 DIFI cells, 36 h after transfection, were washed in PBS and harvested by scraping in 50 mM Tris-HCl, pH 7.4,
208 150 mM NaCl, 5 mM EDTA, 10% glycerol, 1% NP-40 containing 1 µg/mL pepstatin A, 10 µg/mL aprotinin and
209 10 µg/mL leupeptin as protease inhibitors. Crude extracts, obtained by gentle sonication, were centrifuged at
210 15,000 Å~ g for 15 min to clarify the lysate. The protein content of supernatant was assayed by the Bradford
211 method (Bradford 1976). The volume corresponding to 1 mg of total protein extract was incubated 1 h at 4°C
212 with 20 mg of protein A-Sepharose previously re-hydrated (Amersham Pharmacia Biotech, Uppsala, Sweden)
213 to clear the lysates and centrifuged at 15,000 Å~ g for 10 min. Supernatants were incubated overnight at 4°C
214 with 2 µL of EGFR antibody with gentle rocking and incubated for 4 h at 4°C with 20 mg of protein A-Sepharose
215 previously re-hydrated (Amersham Pharmacia Biotech). After washes, immunoprecipitated were collected by
216 centrifugation, boiled in 2Å~ SDS-sample buffer without β-mercaptoethanol.

217

218 **Lectin affinity assay**

219 EGFR immunoprecipitated samples were separated on 6% acrylamide/ bis-acrylamide SDS-PAGE,
220 transferred onto a nitrocellulose membrane (Millipore) and probed with a biotinylated form of the lectin
221 SNA (Vector Laboratories, Burlingame, CA). The membrane was blocked with Carbo-free blocking solution,
222 0.1% Tween-20 (TBS-T) overnight at 4°C, and incubated with biotinylated SNA 4 µg/mL in TBS 0.05% Tween-
223 20 1 h at room temperature. After washes in TBS-T, the membrane was incubated using VECTASTAIN® elite
224 ABC reagent 30 min at room temperature. After washes in TBS-T, α2,6-sialylated EGFR was detected using
225 ECL (Millipore). Protein levels were quantified by densitometry of immunoblots using Scion Image software
226 (Scion Corp.).

227

228 **Acid silver stain**

229 EGFR immunoprecipitated samples were loaded on 6% acrylamide/ bis-acrylamide SDS-PAGE and the gel
230 was fixed for 1 h in 40% ethanol, 10% acetic acid and overnight in 5% ethanol, 5% acetic acid.

231 The gel was subsequently washed in 30% ethanol and incubated for 1 min in 0.8 M sodium thiosulfate. Then,
232 it was incubated in 12 mM silver nitrate containing 0.02% formaldehyde for 20 min.

233 After washing with water, development was carried out with 556 mM sodium carbonate containing 0.02%
234 formaldehyde and 0.02 mM sodium thiosulfate. Reaction was stopped with 50% ethanol and 12% acetic acid.

235 After washing with water, the gel was conserved at 4°C in 1% acetic acid.

236

237 **Mass spectrometry analysis**

238 Upon SDS-PAGE, the bands corresponding to EGFR protein were excised, cut into smaller pieces and dried
239 in a Speed Vac. Dried gels were reduced by 10 mM dithiothreitol (Sigma-Aldrich) at 56°C for 1 h. Following

240 cysteine derivatization by iodoacetamide (Sigma-Aldrich) at room temperature, gels were digested overnight
241 with trypsin sequencing grade (Sigma-Aldrich) (ratio 1:10 = protease:protein) at 37°C. The in-gel tryptic digest
242 was extracted with 50% acetonitrile (Sigma-Aldrich) in 0.1% trifluoroacetic acid (Sigma-Aldrich) and the
243 peptide mixture was desalted using C18ZipTip (Sigma-Aldrich). Matrix-assisted laser desorption
244 ionization/time-of-flight mass spectrometric analysis was performed by using a AutoflexIII (Bruker Daltonics,
245 Bremen, Germany) instrument equipped with a nitrogen laser (337 nm) and operated in reflector mode with a
246 matrix of α -ciano-4-hydroxycinnamic cinnamic acid (Bruker Daltonics). External standards were used for
247 calibration (Bruker peptide calibration standard).

248

249 **Viability assay**

250 Cell viability was investigated using in vitro toxicology assay kit MTT based (Sigma), according to
251 manufacturer's protocols. Cells were seeded in 96-well micro titer plates at a density of 1×10^4 cells/well and
252 cultured in complete medium without phenol red. After an incubation at 37°C for 36 h post transient
253 transfection, 10 μ L of MTT solution (5 mg/mL) was added to each well. After a further 4 h incubation time,
254 absorbance upon solubilization was measured at 570 nm using a micro plate reader to assay the effect of
255 overexpression of wild-type or inactive form of NEU3. Results were expressed as mean values \pm SD of three
256 determinations.

257

258 **Statistical analysis**

259 Correlation analyses were performed by Fisher's exact test or by Student's t-test. The significance was defined
260 as $p < 0.05$.

261

262 **Results**

263

264 **Analysis of NEU3, EGFR, AREG and EREG deregulation in human colon cancer cell lines**

265 We evaluated the correlation between NEU3, AREG and EREG expression and EGFR activation in 14
266 commercial human colon cancer cell lines, including DIFI cells, a CRC cell line used as a paradigm of EGFR
267 activation in this particular cancer due to high levels of EGFR gene amplification. We initially analyzed NEU3,
268 EGFR, AREG and EREG gene expression levels using Q-PCR by comparing mRNA levels in CRC cells with
269 those observed in healthy CCD841 intestinal mucosa cells, using a ≥ 3 -fold increase as the cut-off value. As
270 shown in Figure 1A, compared with the normal mucosa cell line, NEU3 mRNA levels were increased by 3- to
271 30-fold in all cell lines tested, with MICOL24 and CACO2 cells showing the highest levels. With respect to
272 EGFR, we observed overexpression of EGFR mRNA in 7 of the 14 (50%) tested cell lines, namely SW48,
273 SW1116, SW480, MICOL29, MICOL24, CACO2 and DIFI (Figure 1B). In contrast, of the seven cell lines that
274 did not show EGFR overexpression, three showed down-regulation of EGFR, with SW620 cells showing the
275 strongest decrease in EGFR transcript levels (Figure 1B). AREG and EREG were upregulated in all cell lines,
276 except CO115 and SW480, with a wide range of overexpression (ranging from 14- to 5000-fold for AREG;
277 from 175- to 30,000-fold for EREG). The highest AREG overexpression was found in E705, SW1463, CACO2
278 and T84 cell lines (all >1000 -fold), while EREG was found overexpressed at highest levels in E705, SW403,
279 HT29 and SW1463 cells (all $>10,000$ -fold) (Figure 1C and D).

280 Comparing mRNA expression levels of the four markers, a significant correlation was observed only between
281 AREG and EREG: the two ligands were upregulated in the same cell lines ($P = 0.01$, two tailed Fisher's exact
282 test). In contrast, NEU3, AREG and EREG mRNA levels showed no correlation to EGFR gene expression. All
283 tested cell lines contained wild-type sequences for EGFR exons 18–21, encoding the tyrosine kinase domain,
284 with the exception of SW48 cell line, which carries a G719S substitution in exon 18, leading to a constitutively
285 activation of EGFR. Subsequently, we investigated the chromosomal status of the EGFR gene in the same
286 cell lines, through FISH experiments. Two colon cancer cell lines (SW48 and E705), as well as the CCD841
287 normal intestinal mucosa cell line, were classified as FISH negative, according to the Colorado score; in
288 particular, E705 and CCD841 showed disomy, whereas SW48 showed low polysomy. The remaining 12 cell
289 lines (CO115, SW403, SW1116, SW480, SW1463, MICOL29, DIFI, MICOL24, HT29, SW620, T84 and
290 CACO2) were classified as FISH positive, two of them (MICOL24 and DIFI cell lines) being characterized by
291 EGFR gene amplification, one by concomitant high polysomy and low gene amplification (CACO2 cells) and
292 the remaining nine by high polysomy. Next, we evaluated total EGFR expression levels through western
293 blot experiments. The results are reported in Figure 2A, and the corresponding intensity ratios with respect to
294 the relative loading control are shown in Figure 2B. Five cell lines, namely SW48, SW1116, SW480, DIFI and
295 MICOL24 cells, displayed high levels of EGFR expression. Among the cell lines that did not show EGFR
296 overexpression, SW620 cells showed the lowest levels of EGFR, which was nearly undetectable in these cells.
297 In addition, we evaluated EGFR activation level using western blot experiments with monoclonal antibodies
298 against the phosphorylated form of the receptor (Figure 2A). Finally, the results are expressed as the P-
299 EGFR/total EGFR ratios and normalized to the corresponding value observed in the CCD841 normal mucosa
300 cell line (Figure 2C). We observed EGFR hyperphosphorylation in all tested cell lines, with the exception of
301 the SW620 colon cancer cells and the CCD841 normal intestinal cells. Considering the results obtained from
302 all of the EGFR characterization experiments (mRNA expression, gene sequencing, gene/ chromosomal
303 status, protein expression and phosphorylation status), we concluded the following:

- 304 (i) the CCD841 normal intestinal mucosa line did not show EGFR overexpression and lacked observable EGFR
305 phosphorylation, as expected;
- 306 (ii) the SW48 cell line—which did not show a copy number gain (FISH negative) but contained a hyperactivating
307 point mutation —displayed EGFR mRNA and protein overexpression as well as EGFR activation;
- 308 (iii) the two cell lines with strong EGFR gene amplification, DIFI and MICOL24, showed EGFR mRNA and
309 protein overexpression as well as EGFR hyperactivation;
- 310 (iv) the E705 cell line, which had a normal EGFR gene status, showed normal levels of EGFR mRNA and
311 protein expression but displayed hyperactivation of the EGFR protein;
- 312 (v) the SW620 colon cancer cell line, although characterized by high
313 polysomy, did not show EGFR protein expression, and as a consequence, lacked EGFR phosphorylation.
314 Indeed, this finding was not unexpected, as this cell line is commonly used as a negative
315 control for EGFR expression (Park et al. 2012);
- 316 (vi) of the nine remaining FISH-positive cell lines, four were characterized by overexpression of the EGFR
317 mRNA, namely SW1116, SW480, MICOL29 and CACO2, although among these, only two (SW1116 and
318 SW480) were characterized by overexpression of the EGFR protein. The five remaining FISH-positive cell
319 lines that lacked EGFR mRNA overexpression, namely CO115, SW403, SW1463, HT-29 and T84, showed no
320 EGFR overexpression.

321 However, irrespective of mRNA and protein expression levels, all these FISH-positive cell lines showed EGFR
322 hyperactivation.

323 In conclusion, excluding SW620, which showed a complete lack of EGFR expression, all tested colon cancer
324 cell lines were characterized by increased levels of the phosphorylated form of the receptor, independent of
325 mRNA/protein expression or gene status. Since we did not observe any correlation between AREG and EREG
326 expression and EGFR activation, and considering that all these cell lines also show overexpression of the
327 NEU3 mRNA, it is possible that NEU3 may influence EGFR activation.

328

329 **Rational design of an inactive form of the NEU3 sialidase**

330 Based on the work of Albohy and colleagues (Albohy et al. 2010), we carried out a structural investigation of
331 human NEU3 using molecular modeling to predict residues that might be involved in the hydrolysis of
332 sialic acid from glycolipid substrates, assuming catalytic residues within the active site would be highly
333 conserved (Taylor 1996; Buschiazzo and Alzari 2008). Starting from the human NEU2 crystal structure
334 (Chavas et al. 2005), which shows 42% sequence identity with NEU3, we created a NEU3 homology model
335 and identified residues D50 and Y370 as the acidic and nucleophilic tyrosine residues, respectively, both of
336 which are essential for NEU3 catalytic activity (Taylor 1996; Buschiazzo and Alzari. 2008) (Figure 3A). To
337 confirm the role played by these amino acids, site-directed mutagenesis experiments were carried out. A
338 plasmid containing a cDNA encoding a double-site (D50A Y370F) mutant version of NEU3 was generated and
339 transfected into COS7 cells to evaluate, in the corresponding cell extracts, sialidase enzymatic activity of the
340 mutant protein compared with wild-type NEU3, using the artificial substrate 4 MU-NANA, as well as the natural
341 substrate GD1a ganglioside. The double mutant exhibited no activity against either substrate, demonstrated
342 by the fact that the measured values were comparable with those observed in the untransfected cells (mock),
343 representing endogenous sialidase activity (Figure 3B). In contrast, as expected, upon transfection with wild-
344 type NEU3, cell extracts showed significant enzymatic activity for both substrates (Figure 3B).

345

346 **Regulation of the EGFR pathway by NEU3 sialidase activity**

347 To evaluate a possible role for NEU3 in the complex series of events triggered by EGFR activation in colon
348 cancer, specific cell lines were transfected with either the active or the inactive (double mutant) form of the
349 enzyme. Three different CRC cell lines were chosen for this experiment: DIFI cells, which are one of the most
350 investigated cellular systems for studying the EGFR pathway (Dolf et al. 1991), SW480 cells, which are widely
351 used to study CRC (Trainer et al. 1988) and SW620, a CRC cell line not expressing EGFR (Park et al. 2012).
352 Furthermore, CCD841 cells were used as healthy control cells. Both SW480 and DIFI cells showed NEU3 and
353 EGFR mRNA upregulation, compared with CCD841 cells, as well as EGFR overexpression and
354 hyperactivation; on the other hand, SW620 cells showed NEU3 overexpression but no EGFR expression,
355 confirming previous data (Figures 1 and 2). Focusing the attention on DIFI and SW480 cells, following
356 transfection with plasmids containing either wild-type or double-mutant inactive NEU3, Q-PCR experiments
357 revealed an increase in NEU3 mRNA levels of 20- and 100-fold, respectively, compared with controls (Figure
358 4A). ST6Gal-I mRNA expression was also evaluated through Q-PCR and was found unaffected by wild-type
359 active NEU3 overexpression (data not shown). Overexpression of either active or inactive NEU3 did not affect
360 endogenous EGFR mRNA levels (Figure 4A), neither total EGFR protein expression, as shown in western blot
361 experiments (Figure 4B and C). Taken together, these findings indicate that NEU3 overexpression does not

362 alterEGFR gene transcription rate nor protein expression. However, overexpression of wild-type NEU3 led to
363 a marked increase in EGFR phosphorylation as well as to a significant activation of EGFR downstream
364 pathways ERK1/2 and AKT in DIFI and SW480 cells, ERK1/2 pathway being hyperactivated at the same level
365 (2-fold) in both cell lines, whereas AKT pathway showed a stronger activation in DIFI cells (~3-fold) than in
366 SW480 cells (~1.35-fold) (Figure 4B and C). PTEN expression levels were unaffected by overexpression of
367 either wild-type or double-mutant NEU3 (Figure 4B and C). AREG and EREG mRNA expression levels were
368 unaffected as well (data not shown). Conversely, no evidence of EGFR activation and of its downstream
369 pathways was observed upon transfection with the inactive double-mutant form of NEU3, strongly suggesting
370 that EGFR activation is mediated by NEU3 sialidase activity (Figure 4B and C). EGFR pathway activation was
371 evaluated also on SW620 CRC cells and on CCD841 healthy intestinal cells. As expected, upon transfection
372 with either active or inactive NEU3, neither EGFR expression nor activation of downstream pathways was
373 observed in SW620 (Figure 5). In CCD481 healthy cells, following transfection with either active or inactive
374 NEU3, EGFR was expressed at the same level of mock cells; however, neither receptor phosphorylation nor
375 activation of ERK1/2 and AKT pathways were detected (Figure 5).

376

377 **Analysis of EGFR sialylation following sialidase NEU3 overexpression**

378 To determine whether NEU3 can directly act on EGFR, altering receptor sialylation level to affect its activation,
379 EGFR immunoprecipitation experiments were carried out in DIFI cells transfected with either the active or the
380 inactive form of NEU3. To assess sialylation levels, we used a lectin-binding assay based on biotinylated
381 Sambucus nigra agglutinin (SNA) and avidin-conjugated horseradish peroxidase. As shown in Figure 6, the
382 level of EGFR α 2,6-sialylation was reduced in cells overexpressing the active form of the NEU3 sialidase.
383 Conversely, following transfection with the inactive double-mutant form of NEU3, no reduction in EGFR
384 sialylation was detected, strongly suggesting that EGFR sialylation is regulated by NEU3.

385 These data were further confirmed by mass spectrometry: immunoprecipitated EGFR from DIFI cells
386 overexpressing either wild-type or double-mutant NEU3, as well as from mock cells, was subjected to trypsin
387 digestion. Analysis in MALDI-TOF mass spectrometry led to the identification of interesting peptides; in
388 particular, a peptide with a molecular mass of 2080.97 Da was found in cells overexpressing inactive NEU3
389 and in mock cells, but not in cells overexpressing the wild-type NEU3. This peptide was identified, using
390 GlycoMod software (Cooper et al. 2001), as the N-glycosylated (on N528) NVSR peptide, spanning residues
391 528–531, with the following predicted glycan structure: (Hex)¹ (HexNAc)² (NeuAc)¹ (NeuGc)² (Pent)¹ (Table
392 I). On the other hand, cells overexpressing wild-type NEU3 showed the presence of an ion with a molecular
393 mass of 1953.78 Da (not detected in mock cells and in cells overexpressing inactive NEU3); GlycoMod
394 software allowed its identification as the DCVSCRNVSR peptide, spanning residues 522-531, originated from
395 a missed trypsin cut at position 527 and carrying the same glycosidic chain, shortened by 2 NeuGc and 1
396 NeuAc residues (Table I).

397

398 **Overexpression of human NEU3 sialidase enhances cell viability**

399 Finally, we studied the effects of NEU3 transfection on cell viability in the above cell lines using MTT assay.
400 Results showed a significant increase in cell viability ($P < 0.01$) following transfection with the wildtype NEU3

401 enzyme, whereas no difference in cell viability was detected between transfected and control (mock) cells for
402 the inactive double-mutant enzyme (Figure 7).

403

404 **Discussion**

405 In the present study, we analyzed the effects of NEU3 sialidase deregulation on EGFR expression and
406 activation in CRC cell lines. We initially determined NEU3 expression levels in a number of cell lines commonly
407 used in colorectal carcinogenesis studies, showing that NEU3 mRNA levels were upregulated in all cell lines,
408 compared with CCD841 normal mucosa cells. These results suggest a role for this membrane-associated
409 sialidase in CRC and confirm previous data obtained in Japanese CRC patients (Kakugawa et al. 2002).

410 Since the ability of NEU3 to interact with EGFR, a receptor playing a major signaling role in CRC (Wada et al.
411 2007) had been previously demonstrated through co-immunoprecipitation assays in HeLa cells, EGFR
412 expression levels were also analyzed. EGFR transcripts were found to be overexpressed in 50% of the tested
413 cell lines, which is consistent with the body of literature for CRC patients (McKay et al. 2002).

414 Furthermore, we evaluated EGFR gene status in these cells using FISH analysis and we also analyzed EGFR
415 total protein content and phosphorylation rate using western blot techniques. Our data indicate that EGFR
416 gene status correlates with mRNA and protein levels in all cell lines with either chromosome 7 disomy or EGFR
417 gene amplification, with the exception of the SW48 cells, which are characterized by a disomic chromosomal
418 asset but also carry a hyperactivating mutation leading to constitutive EGFR activation. In contrast, we could
419 not establish any correlation between EGFR transcription and protein levels in cell lines classified as highly
420 polysomic. Moreover, using CCD841 normal colon mucosa cells as a control, we observed EGFR activation in
421 all cell lines, irrespective of mRNA or protein levels, with the exception of SW620 cells. In this cell line, although
422 an abnormally high chromosome 7 copy number is present, EGFR is not expressed, SW620 cells being
423 therefore routinely used as a negative control for EGFR expression (Park et al. 2012).

424 We also investigated the two most important EGFR ligand (AREG and EREG), for which a putative role in the
425 prediction of efficacy of EGFR-targeted therapies has been proposed (Saridaki et al. 2011; Jonker et al. 2014).
426 Although AREG and EREG expression levels were strictly correlated, in particular both ligands are
427 overexpressed in the same cell lines and of the two EREG is consistently more overexpressed than AREG,
428 no correlation was observed between their expression and EGFR mRNA, protein or activation level.

429 Considering these findings together with the fact that NEU3 mRNA was found to be upregulated in all tested
430 cell lines and that NEU3 had previously been shown to interact with EGFR (Wada et al. 2007), we suggest
431 that NEU3 might activate EGFR through a direct modification of the receptor, without affecting overall EGFR

432 mRNA or protein levels. It is well known that modifications of sialylation patterns on molecules exposed at the
433 cell surface or released into the extracellular milieu are a hallmark of malignant differentiation (Varki 2008;
434 Schauer 2009). Although the biochemical mechanisms linking sialylation and cancer are only poorly
435 understood, several studies have been published indicating a direct role for sialyltransferases (STs) and
436 sialidases (NEUs) in the biosynthesis, degradation and fine-tuning of cell sialic acid content (Hakomori 2002;
437 Wada et al. 2007; Bos et al. 2009). For example, in colon cancer cells, NEU3 may regulate cell proliferation
438 by enhancing tyrosine phosphorylation on integrin $\beta 4$ through the recruitment of Shc and Grb-2, which in turn
439 stimulates phosphorylation of focal adhesion kinase and ERK 1/2 (Kato et al. 2006). Furthermore, as a result
440 of increased ganglioside catabolism triggered by NEU3 overexpression, accumulation of lactosyl-ceramide

441 has been detected in a number of colon cancer tissue specimens (Chung et al. 2005). Many reports have
442 established the involvement of gangliosides, in particular GM3 on EGFR activation (Yang et al.
443 2011; Huang et al. 2013; Hakomori and Handa 2015). In particular, it has been well demonstrated that sialidase
444 NEU3 can decrease GM3 content, thus removing the inhibitory effect exerted by this ganglioside on the
445 receptor activation (Papini et al. 2004, 2012; Scaringi et al. 2013). In addition, to test whether sialidase NEU3
446 might play a direct role in the regulation of EGFR signaling at the cell surface, through direct actions and/or
447 interactions with specific signaling proteins, we examined the effects of NEU3 overexpression on EGFR
448 expression/activation and cell viability through in vitro transfection experiments using a completely inactive
449 double-mutant form of NEU3, as a control. For this purpose, three specific CRC cell lines from the previously
450 characterized panel were chosen: DIFI cells (Dolf et al. 1991), as the optimal cellular model for studying the
451 EGFR pathway, SW480 cells, as the most studied model for CRC development (Trainer et al. 1988), and
452 SW620, a CRC cell line not expressing EGFR; finally, CCD841 were used as control healthy cells.
453 Although EGFR mRNA and total protein levels, as well as AREG and EREG expression, were unaffected,
454 overexpression of active wildtype NEU3 markedly enhanced EGFR phosphorylation, indicating the potential
455 for direct modification (i.e., activation) of the receptor by this enzyme. Moreover, we also observed enhanced
456 activation of both EGFR downstream pathways, the MAP kinase pathway (evaluated as ERK1/2 proteins
457 activation) and the PI3K–mTOR pathway (evaluated as AKT activation). In contrast, following overexpression
458 of the inactive double-mutant NEU3, we did not observe any activation of the EGFR downstream pathways.
459 Finally, a significant increase in cell viability was only observed following overexpression of wildtype NEU3,
460 whereas the inactive double-mutant form had no effect on viability.

461 Overall, these results confirm that NEU3 overexpression leads to a strong activation of EGFR and its
462 downstream pathways, even in the context of cells with high basal levels of EGFR pathway activation, such
463 as DIFI cells. Moreover, our data show that sialidase activity is required for EGFR activation and that NEU3 is
464 involved in the regulation of EGFR sialylation level, confirming previously published data (Odintsova et al.
465 2006; Wada et al. 2007; Tringali et al. 2012).

466

467 However, sialidase activity could in principle be involved either directly, on EGFR desialylation, or indirectly,
468 through gangliosides pattern modulation. While indirect NEU3 action promoting tumorigenesis is well
469 established (Wada et al. 2007), our data show for the first time that direct EGFR desialylation by the membrane-
470 associated sialidase could also be involved, at least in CRC cell lines used in this study, in its activation. In
471 particular, mass spectrometry results confirm glycosylation of N528, previously observed by other authors
472 (Zhen et al. 2003; Wu et al. 2005; Liu et al. 2011). These studies were performed on purified commercial
473 EGFR, as well as on the receptor of different cell lines, such as A431 human epidermoid carcinoma cells and
474 CL1-0 and CL1-5 human lung cancer cells, and clearly showed the presence on N528 of a paucimannose-
475 type biantennary sialylated glycan, whose precise composition varies in different cell lines. In this perspective,
476 the presence of negatively charged sialylated glycan(s) on the extracellular domain of EGFR could influence
477 both recognition of EGF ligand, a small acidic protein, and its dimerization. Our results should also be
478 considered in the context of recent findings by Park and colleagues, showing that β -galactoside α 2-6-
479 sialyltransferase (ST6Gal-I) affects EGFR activation by altering its sialylation level (Park et al. 2012). In
480 particular, these authors demonstrated that reduced ST6Gal-I activity can activate EGFR and can also affect
481 the efficacy of tyrosine kinase inhibitors against EGFR. However, in our experiments, ST6Gal-I mRNA

482 expression was found unchanged in both DIFI and SW480 cells, showing that, at least in our cell systems,
483 NEU3 overexpression does not affect its regulation and the activation effect on EGFR could be obtained by
484 decreasing the its overall content of sialic acid through the action of an hydrolytic enzyme, NEU3, instead of
485 acting on the biosynthetic side. Intriguingly, recent data demonstrated that EGF induced phospholipase D1
486 (PLD1) activation and the resulting release of phosphatidic acid mediates activation and traslocation of NEU3
487 to the cell surface (Shiozaki et al. 2015). In this perspective, EGFR stimulation could use NEU3 sialic acid
488 removal as a positive feedback in a regulatory loop of the receptor activity. In conclusion, we propose that
489 altered sialidase expression may be a defining factor in cancer progression, which suggests several potential
490 diagnostic and therapeutic applications for this enzyme. In the field of CRC, NEU3 will likely have two
491 immediate effects: (i) its expression may alter the efficacy of monoclonal antibodies against EGFR, which
492 should be further investigated; and most importantly, (ii) NEU3 appears to be a promising, novel, druggable
493 marker for this particular kind of cancer, due to its apparent overexpression in nearly all cases of CRC.
494 Therefore, according to flexible and multipurpose strategies, designing NEU3-targeted therapies could
495 represent a significant tool to fight CRC.

496

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502

503 **Conflict of interest statement**

504 None declared.

505

506 **Abbreviations**

507 CRC, colorectal cancer; EGFR, epidermal growth factor receptor; FBS, fetal bovine serum; FISH, fluorescent
508 in situ hybridization; MAPK, mitogen-activated protein kinase; PDB, Protein Data Bank; PLD1, phospholipase
509 D1; SNA, Sambucus nigra agglutinin; STs, sialyltransferases.

510

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