

1 **VALIDITY AND FEASIBILITY OF HUMAN-ANIMAL RELATIONSHIP TESTS FOR ON-FARM**
2 **WELFARE ASSESSMENT IN DAIRY GOATS**

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12 **Abstract**

13 This study aims at establishing suitable tests to measure the quality of the Human-Animal Relationship
14 (HAR) in dairy goats for on-farm welfare assessment protocols by evaluating the predictive validity of
15 different categories of HAR tests and their feasibility in on-farm condition. Twelve commercial dairy farms
16 in Northern Italy were selected and classified as “good” (n=6) and “poor” (n=6) HAR on the basis of reports
17 from a technical advisor. Some variables were tested to measure the HAR: sneezing – the number of alert
18 sounds; voluntary approach (VA) test – in a stationary situation, the latency to the first contact by goat and
19 the % of goats that entered in contact with the test person and within a 1.5 m radius around her were
20 recorded, both continuously and at scan sample intervals; avoidance distance (AD) test – the avoidance
21 distance from a moving and approaching person and the % of tested goats, of contacts with the test person
22 followed by withdrawal or of acceptances of gentle stroke were recorded. The feasibility of each test was
23 evaluated based on costs, time consumption, safety and training requirement. Sneezes were rarely and
24 random expressed, so they do not seem suitable to be included in a protocol. Both Principal Component
25 Analysis and One-way ANOVA confirmed the predictive validity of most of the variables, which were able
26 to discriminate between “good” and “poor” HAR farms. Latency to first contact resulted valid (P=0.01) and
27 a high feasibility was reported. The indicator was easy to be recorded and the VA test could be stopped
28 immediately after the first contact, saving time. Variables from the AD test resulted valid (% tested goats:

29 P=0.006; AD mean: P=0.016; % contacts: P=0.006; % acceptance: P=0.003), although they were more time
30 consuming or required a more specific training compared to latency to first contact. The correlation among
31 variables seemed to support also a convergent validity of the tests used. The investigation pinpointed
32 promising behavioural tests to be included into on-farm welfare assessment protocols in dairy goats. Taking
33 into account species, test results and feasibility considerations, we suggest the inclusion of latency to the first
34 contact with the test person into on-farm welfare assessment protocols. However, these results should be
35 further tested in a larger number of farms of different dimensions, to overcome the limitations of this study
36 due to the small sample size and to check the effect of farm size.

37

38 **Keywords**

39 Dairy goats, human-animal relationship, welfare assessment, avoidance distance, behavioural tests

40

41 **1. Introduction**

42 The Human-Animal Relationship (HAR) is commonly defined as the degree of relatedness or distance
43 between animals and humans, i.e. their mutual perception (Waiblinger et al., 2006a). The perception and
44 consequently the responses of animals towards humans may be influenced by different factors: the genetic
45 and underlying personality traits (e.g. fearfulness/emotionality; Visser et al., 2001), that may play an
46 important role in the reactivity that animals show when interacting with humans; the experience of positive
47 handling in early life stages, that is reported to have durable effects in some species (e.g. goats; Lyons,
48 1989), but not in others (e.g. dairy cows; Boissy and Bouissou, 1988); the stockperson behaviour, that is
49 considered one of the major factors able to influence the reaction of animals towards humans. In fact, the
50 quality of HAR in farm animals may be influenced by the number, duration and nature of daily interactions
51 with the stockpeople (Estep and Hetts, 1992; Hemsworth and Coleman, 2010). Many studies have confirmed
52 the sequential relationship of the stockperson attitude, behaviour and the reaction of animals (e.g. Lensink et
53 al., 2000; for review: Waiblinger et al., 2006a). A good HAR may help to reduce the perceived aversiveness
54 of some procedures (Boivin et al., 2000; Lensink et al., 2001); on the contrary, a poor HAR may induce fear
55 and distress that worsen the perception of farm practices and negatively affect both animal welfare (Rushen
56 et al., 1999) and production (Hemsworth, 2003; Hemsworth and Barnett, 1991; Lensink et al., 2001; Lyons,

57 1989). Therefore, HAR is strictly linked to animal welfare, and should be taken into account in welfare
58 assessment schemes. To this aim, behavioural tests for assessing the quality of HAR due to previous
59 experiences are commonly adopted at farm level (Jackson and Hackett, 2007; Waiblinger et al., 2006a).
60 HAR tests used in on-farm welfare assessment mainly belong to two categories: tests measuring the reaction
61 either to a stationary person or to a moving person (Waiblinger et al., 2006a). These tests resulted valid,
62 feasible and reliable in several species (sheep: Napolitano et al., 2011; fattening bulls: Windschnurer et al.,
63 2009a; buffalos: de Rosa et al., 2005; dairy cows: Waiblinger et al., 2002, 2003; Windschnurer et al., 2008).
64 A convergent validity (Kamphaus and Frick, 2005; Waiblinger et al., 2006a) was checked in these studies as
65 different measures used to assess the quality of HAR were significantly correlated among them and/or with
66 stockperson behaviour or attitude. Avoidance distance in dairy cows was validated further by showing its
67 sensitivity to gentle human interactions (Windschnurer et al., 2009b). The above-cited tests were found
68 highly consistent across time and a good repeatability was reported among different observers.
69 Feasibility can be evaluated considering time, financial and safety requirements. As to the first two concerns,
70 tests used in the above-mentioned studies were relatively quick and simple to be adopted in on-farm
71 situation. Furthermore, no specific instruments were required to perform the tests: this is a considerable
72 advantage in economic terms. Concerning the safety of the observer, some studies reported limitations if the
73 behaviour of the animals has to be collected from inside the pen. For example, sheep were observed to run
74 towards the observer, due to their marked gregarious behaviour. This experience suggested that it was
75 advisable collecting measures with animals gathered at the manger (Napolitano et al., 2011). Similar
76 conclusions were drawn for the evaluation of HAR in fattening bulls (Windschnurer et al., 2009a): the
77 avoidance distance at the feeding rack was preferable and safer than performing the test inside the pen.
78 However, in dairy cows the validity of tests performed outside the pen seemed lower than the validity of tests
79 conducted in the pen (Waiblinger et al., 2003).

80 Both stationary and moving person tests can be performed when animals are in their home pen (familiar
81 situation) or in a test arena (unfamiliar situation). Tests conducted in an arena are not suitable for the
82 inclusion into on-farm welfare assessment protocols for feasibility reasons (e.g. moving the animals, building
83 the test arena; Rousing and Waiblinger, 2004). Moreover, in the arena the reaction of animals may be

84 influenced by a novel situation (e.g. stress induced by constraint, forced movement, isolation from the
85 familiar group; Waiblinger et al., 2006a).

86 As for goats, so far the majority of HAR studies have used tests in an arena and scarce information is
87 available about validity and feasibility of behavioural tests performed in the home pen. In this species,
88 stationary, moving and pursuing person tests were performed and different variables were collected to
89 evaluate the HAR in a test arena: latency to proximity, time in proximity, latency to contact, time in contact,
90 and approach-withdrawal (Lyons, 1989; Lyons and Price, 1987; Lyons et al., 1988). Furthermore, the latency
91 to approach a stationary person was adopted by Jackson and Hackett (2007) in a test arena to estimate the
92 positive effect of a gentle handling treatment, whereas Mattiello et al. (2010) tested goats in their home pen,
93 successfully applying the avoidance distance test to a moving person developed for cattle by Waiblinger et
94 al. (2002, 2003) and further improved by Windschnurer et al. (2008; 2009a, b). However, Muri et al. (2013)
95 reported strong avoidance behaviour and goats flocking around when the observer tried to perform the
96 avoidance distance test described by Mattiello et al. (2010).

97 Therefore, some tests are available for evaluating HAR in goats, but most of them were only used in an
98 experimental setting and are not feasible in the context of an on-farm welfare protocol, as they either are time
99 consuming, or a test arena is necessary, while for the avoidance distance test performed in the home pen
100 feasibility results by Mattiello et al. (2010) and Muri et al. (2013) are contradictory.

101 Further development of less intrusive and time consuming, but still valid methods for HAR evaluation in
102 goats would be useful for the inclusion of this welfare issue into an on-farm welfare assessment scheme for
103 this species (Battini et al., 2014). Given the circumstances, this study aims at establishing suitable tests to
104 measure the quality of the HAR in dairy goats for on-farm welfare assessment protocols. The study evaluated
105 the predictive validity of different categories of HAR tests and their feasibility in on-farm condition.

106

107 **2. Material and methods**

108 *2.1 Farms*

109 The study was conducted in January 2013 in Lombardy region (Northern Italy).

110 A technical advisor, who regularly provided assistance to dairy goat farms, was asked to select 6 farms with
111 the best HAR and 6 with the worst HAR. The evaluation of the technical advisor was based on his

112 experience with dairy goats management and his familiarity with the farms. HAR had never been specifically
113 assessed before in those farms, as this is not part of the veterinary official evaluation in Italy. The advisor
114 used his expertise and the evaluation was based on his impression on the stockperson attitude and behaviour
115 towards the animals. According to this judgment, farms were classified as having a “good” HAR (n=6) or a
116 “poor” HAR (n=6). In all the farms, lactating goats were housed in one single pen, with no access to outdoor
117 run. The mean size of the assessed pens was 73.00 ± 59.39 adult lactating goats (min 12; max 201). Although
118 the mean pen size in “good” farms (36.83 ± 10.43 ; min 12; max 84) was smaller than in “poor” farms
119 (96.83 ± 26.26 ; min 51; max 201), no statistical differences in pen size were present between “good” and
120 “poor” HAR farms and some “good” farms were larger than some “poor” farms and *vice versa*. Goats were
121 of Saanen and Alpine breeds, the two more widespread cosmopolitan dairy breeds.

122

123 2.2 Attitudinal questionnaire

124 In order to provide greater support of the advisor classification of the farms into “poor” and “good” HAR,
125 the persons in charge of the handling of the animals were asked to answer to a questionnaire (partly modified
126 from a questionnaire already adopted for dairy cows; Waiblinger et al., 2002) regarding their attitude towards
127 goats and handling of goats (Table 1). On all farms only one person per farm, the farmers themselves, were
128 caring for the goats. Stockpeople attitudes were shown to be the most important predictors of stockperson
129 behaviour (for review see Hemsworth and Coleman, 2010). The attitudinal questionnaire was thus used to
130 get information on farmer attitudes and some estimation of their behaviour.

131

132 2.3 HAR tests

133 Two researchers (the interviewer and the test person) conducted the farm visits. The interviewer was the only
134 person informed about the farm classification and she administered the questionnaire to the farmers. The test
135 person was the only person to perform the HAR tests and she was completely blind to the farm classification.
136 She conducted the tests while the interviewer was filling the questionnaire. The test person was completely
137 unknown to the animals, as she had no previous contact with them before starting the execution of the tests.
138 The test person was a young female researcher, who had previously received an appropriate training and she
139 always wore an overall similar to that used by the farmers. In order to avoid disturbance and possible

140 confounding effects, all tests were performed in absence of the farmer or of any other person working on the
141 farm.

142 Three different tests were performed following this order: 1) sneezing, 2) voluntary approach (VA) test and
143 3) avoidance distance (AD) test.

144 Sneezing: we defined “sneeze” the loud, high pitched, short and abrupt alert sound that goats produce to
145 warn their mates about an imminent danger detected (Miranda-de La Lama and Mattiello, 2010). This
146 vocalization is made with the mouth closed while the goat forcefully expels a single blast of air primarily
147 through the nostrils. So far, no scientific evidence is available to support the use of sneezing for assessing the
148 quality of HAR in goats. This indicator was included because farmers suggested alarm calls as possible
149 indicator (Battini et al., 2014). The test procedure was the following: the test person entered the barn and
150 immediately started walking through the feeding corridor, at a distance of 80 cm from the feeding rack, at a
151 speed of one step/sec (60 cm/step). The number of sneezes heard from outside the pen was recorded.

152 Immediately after this, the test person opened the pen gate, entered the pen, stopped and recorded the number
153 of sneezes heard inside the pen in the precise moment when she entered. At the end of this test, the test
154 person left the pen.

155 VA test: immediately after the sneezing test, the test person approached the pen again, waiting at the gate for
156 30 sec before re-entering; then she entered and walked to a pre-determined spot inside the pen close to the
157 wall, stopped with her back against the wall, facing the goats, for 30 sec. After this, she marked a semi-
158 circumference of 1.5 m radius by piling up some straw with the feet and then started the stopwatch. During
159 the test, the test person stood motionless (back to the wall) for 5 min, without staring into goats’ eyes. The
160 following data was recorded: latency (sec) to the first contact, which is defined as when the first goat nuzzles
161 or touches any part of the test person’s body, including clothes, boots, stopwatch, hair, etc. (if no goats got
162 into contact within 5 min, the time was stopped at 300 s); total number of goats that got into contact with the
163 test person during the 5-min test; number of goats that got into contact with the test person at 1 min-scan
164 intervals; number of goats within a 1.5 m radius around the test person, at 1 min-scan. At the end of this test,
165 the test person left the pen.

166 AD test: the test person re-entered the pen immediately after the conclusion of the previous test. The AD test
167 was adapted from the AD test conducted by Mattiello et al. (2010), following the suggestions by these

168 authors. Once in the pen, the test person stood in front of a goat at a distance of 200 cm, established a
169 reciprocal visual contact with the animal, then started to move slowly towards the animal at a speed of one
170 step/s, 60 cm/step and the arm lifted with an inclination of 45°, the hand palm directed downwards, without
171 looking into the animal's eyes, but looking at the muzzle. When the animal showed the first avoidance
172 reaction (moving backwards, turning or shaking its head), the test person recorded the distance between her
173 hand and the muzzle of the animal, with a resolution of 10 cm. This distance was estimated by sight; the
174 accuracy of the estimates had been previously assessed during the training period. If the animal could be
175 touched by the test person but immediately withdrew, this was recorded as "contact"; if, after the contact, the
176 animal accepted gently stroking of the head for at least 3 sec, this was recorded as "acceptance". After being
177 tested, each goat was identified (by marking it or reading the ear tag), in order to perform the test on all the
178 animals when possible and to avoid testing the same goat. This procedure was then repeated on other goats,
179 trying to test the whole herd. If at a certain point no more goats could be visually contacted for more than 10
180 min, the test was interrupted and the number of goats that could be tested until then was recorded.
181 The feasibility of each test was evaluated based on costs (e.g., specific equipment) and on the report from the
182 test person considering time consumption, safety and training requirement.

183

184 *2.4 Data analysis*

185 Table 2 reports the variables calculated from the HAR tests.

186 Non-parametric analysis of variance (Mann-Whitney U test; Siegel and Castellan, 1992) was carried out in
187 order to test for differences between "good" HAR and "poor" HAR farms according to the classification of
188 the technical advisor; the results of the questionnaire were not used to re-classify farms into the two classes.
189 Spearman correlation ranks were calculated and Principal Component Analysis (PCA) was performed in
190 order to investigate the relationships among all variables, except for Sneezes_OUT and Sneezes_IN, which
191 were almost never recorded.

192 One farm classified by the technical advisor as "good" HAR rendered unexpected results in all HAR tests. In
193 this farm, bucks were inside the pen when the tests were performed. We identified this as a procedural error;
194 therefore, this farm was retested without bucks after three weeks. The results presented below refer to the
195 tests performed with no bucks inside the pen.

196 Scores obtained from the answers to the questionnaire submitted to farmers (Table 1) were analysed by PCA
197 in order to check their agreement with the classification into “good” and “poor” HAR based on the reports
198 from the technical advisor. Only questions that had been successfully used in previous studies on dairy cattle
199 (e.g. Waiblinger et al., 2002; Waiblinger et al., 2006b) were included in this analysis (Questions 1, 4-7, 11).
200 These questions reflect general beliefs about goats as well as beliefs, behavioural intentions and affective
201 attitudes about handling of goats.

202 The study followed the national ethical guidelines and met all humane standards, as no manipulation was
203 necessary and only non-invasive observations were carried out on the subjects in their home pen. Farmers
204 subjected to the interview were informed on the use of data and personal details collected during the farm
205 visits. All information remained completely anonymous and limited to the specific framework of the project.
206

207 **3. Results**

208 *Questionnaire to the farmers*

209 The first two PCs of the questionnaire to the farmers explained 52.43% of total cumulative variance (Fig. 1).
210 Most of the variables describing positive attitudes and behaviour had a high positive loading on PC1, with
211 Questions 11a-11d, dealing on how much farmers indicate that they like to interact with the goats, showing
212 the highest loadings (Fig. 1b). PCA on the answers to the questionnaire administered to farmers showed a
213 trend of farms with “poor” HAR to cluster on the left side of the first PC and of farms with “good” HAR to
214 cluster on the right side (Fig. 1a). However, the separation is not well defined. One farm that had been
215 classified by the technical advisor as “poor” clustered on the extreme of the right side on the basis of the
216 answers to the questionnaire, whereas a farm classified as “good” tended to cluster with “poor” farms (Fig.
217 1a).

218

219 *Validity*

220 Means (\pm s.e.) of all the variables were calculated (Table 3). Sneezes_OUT and Sneezes_IN were recorded
221 with low frequencies, only in two farms (2.5% of Sneezes_OUT in one farm and 0.99% of Sneezes_IN in
222 another farm); therefore, they were excluded from further analysis. Statistical differences were found in six
223 variables out of ten (Table 3). Latency and AD were significantly lower in “good” HAR farms, whereas

224 %_contact_VA, %_contact_AD, %_acceptance_AD and %_tested goats_AD were significantly lower in
225 “poor” HAR farms (Table 3). Spearman correlations highlighted strong relationships among some variables
226 (Table 4). No correlation was found between %_1.5_radius_VA and %_tot_contact_VA with AD test
227 variables (AD, %_contact_AD, %_acceptance_AD). These relationships were also confirmed by PCA (Fig.
228 2), where Latency and AD present high negative loadings on PC1 (low Latency and low AD correspond to
229 “good” HAR), and are negatively correlated with the other variables (whose high values correspond to
230 “good” HAR), that have high positive loadings on PC1 (Fig. 2). Based on these loadings, “good” (on the
231 right) and “poor” (on the left) HAR farms are well separated on PC1 (Fig. 2).

232

233 *Feasibility*

234 All the tests performed did not require specific equipment, so costs were limited for their collection.
235 Sneezes_OUT and Sneezes_IN could be easily and quickly recorded in less than 60 seconds. Being recorded
236 from outside, Sneezes_OUT was also safe for the observer and not stressful for the animals. A simple
237 training was required in order to be able to recognise sneezing and distinguish it from other sounds.
238 However, their occurrence was very low.

239 No problems were encountered for performing the VA test, but it necessarily took 300 seconds, therefore the
240 time required was longer than for Sneezes. Latency was the easiest variable to record within VA test,
241 whereas counting the number of animals that approached and got in contact with the test person required an
242 accurate training and its feasibility in pens with a large number of animals still has to be verified.
243 Furthermore, the feasibility of %_1.5_radius_VA was further reduced by the time required to mark the semi-
244 circumference.

245 AD test was the more time consuming test. The average time required was 10.42 ± 1.31 min (mean \pm s.e.;
246 range: 3-17 min), mainly depending on the number of tested goats (min 6, max 33 goats), which ranged from
247 4.48% (in the largest farm) to 100.00% (in small farms). It was not always possible to test all the goats in the
248 pen, as some animals never accepted the visual contact with the test person at the starting distance. No
249 dangerous situation was reported while performing the AD test, although in some cases the presence of the
250 test person in the pen induced a large number of goats to flock around.

251

252 **4. Discussion**

253 The research identified some potentially suitable HAR tests to be included into on-farm welfare assessment
254 schemes for dairy goats, evaluating them according to predictive validity and feasibility criteria.

255 The classification suggested by the technical advisor into “good” and “poor” HAR did not completely match
256 with the answers to the questionnaire submitted to farmers. Although on dairy cattle farms similar
257 questionnaires did correlate with stockperson behaviour (Waiblinger et al., 2002), the predictive value of
258 such questionnaires is limited as indicated by only moderate correlation coefficients. Thus for some farmers
259 the questionnaire outcome may not completely reflect their actual behaviour, because (1) behaviour is
260 influenced by other factors, such as perceived and actual control over the situation, and (2) perceived social
261 norms may influence the actual behaviour and/or lead to dishonest answers to a questionnaire (Hemsworth
262 and Coleman, 2010; Waiblinger et al., 2007).

263 In fact, in our investigation, the “poor” HAR farm that clustered as being the best of the “good” HAR farms
264 had been described by the technical advisor as being managed by a farmer who had valiant theoretical ideas
265 on animal management and welfare, but could not practically actualize them. This farm actually clustered
266 with those with “poor” HAR with respect to the PCA on HAR tests, in agreement with the classification of
267 the technical advisor.

268 Although the technical advisor based his classification on stockpeople attitudes and behaviour, we cannot
269 exclude that his experience with the goats and thus goat behaviour on the farms unconsciously influenced his
270 evaluation and one may argue that the validity of results would in this case be reduced. However, his
271 classification of farms nevertheless is an independent evaluation of the HAR and thus associations with the
272 HAR tests support their predictive validity (Waiblinger et al., 2006a). Further, the questionnaire supported
273 the classification of the technical advisor according to attitudes at least for most of the farms.

274 Although farmers suggested the use of sneezes to reveal fear in response to humans perceived as a possible
275 danger, our research could not support this hypothesis, probably due to the method used to record the
276 indicator that could be not optimal. For example, the walking speed could be too slow to elicit any fear
277 reaction.

278 All VA test variables were significantly correlated among themselves; however, only %_contact_VA and
279 Latency statistically differed between “good” and “poor” HAR farms. Latency results (Table 3) are

280 consistent with those found by Jackson and Hackett (2007) who reported that gently handled goats
281 approached the experimenter more quickly compared to control goats during the latency test (228 vs 419 sec,
282 respectively). Latency was the most feasible indicator: it required no specific training, as the test person can
283 easily recognise when a goat enters in contact with him/her, and it can last a very short time (between 0 and
284 300 sec), because the test is stopped when the first contact occurs. Latency also appeared to be very safe for
285 the observer, as animals had no negative reaction nor showed aggressive behaviours towards the test person.
286 The test person should necessarily enter the pen, but being a stationary test, goats were not very agitated and
287 the test could be easily performed in all farm situations.

288 All variables recorded during the AD test were significantly different between “good” and “poor” HAR
289 farms. As expected, low AD values corresponded to high %_contact_AD, %_acceptance_AD and %_tested
290 goats_AD, as highlighted by PCA, although the correlation of this last variable with AD was not significant.
291 As suggested by Mattiello et al. (2010), the AD test included %_contact_AD and %_acceptance_AD, both of
292 which seem to be valid indicators of HAR quality. These two levels can possibly increase the sensitivity of
293 the test, as highlighted also for dairy cattle by Rousing and Waiblinger (2004), who added further levels to
294 the AD test, adapting two behavioural tests developed by Krohn et al. (1999) and Waiblinger et al. (2003).
295 As to feasibility, AD test can be time consuming if a large number of goats has to be tested. Furthermore,
296 AD requires specific training to properly move in the pen, recognise the first avoidance reaction and assess
297 the correct distance if goats withdraw, whereas both % contact_AD and % acceptance_AD could be
298 performed quite easily, as no specific training is required to instruct the test person in recognising and
299 discriminating contact and acceptance (although a general training is always required for a correct execution
300 of the test). In our research, we also considered %_tested goats_AD, which helped to complete the
301 evaluation: our results suggest that the number of animals accepting the visual contact (previously defined as
302 the beginning of the AD test) with the test person during the AD test can be affected by the quality of HAR.
303 The strong avoidance behaviour reported by Muri et al. (2013) might be related to “poor” HAR in the tested
304 farms: recording the percentage of animals that can be tested may be a useful information to understand
305 HAR quality. In fact, in some of our “poor” HAR farms, we encountered a situation similar to that described
306 by Muri et al. (2013) and we could not perform the test on all animals. This was the case, for example, in the
307 largest farm, with more than 200 goats in the pen, where less than 5% of the animals could be tested. The

308 correlation of this variable with the other variables supports its convergent validity; however, we have to
309 acknowledge the fact that goats in larger pens may behave differently than in small pens, and this may affect
310 the number of animals that could be tested. Furthermore, a large number of goats running around can result
311 in a danger situation for the observer inside the pen. The test person who performed the AD test did not
312 report any unsafe situation, but the use of AD test should be carefully evaluated.

313 A further note is necessary regarding the presence of bucks in the pen with females. When we entered the
314 pens, we observed that no females approached the test person if bucks were present. Males were generally
315 the first to approach the test person, and they inhibited the approach behaviour of female goats. Therefore,
316 the results of the tests could be compromised by the presence of bucks in the pen, lowering validity and
317 feasibility.

318 Although variables from both AD test and VA test discriminated between farms with differently assessed
319 HAR on PC1, and thus show not only a predictive, but also a convergent validity to assess the HAR on the
320 farm, they provided slightly different information, as shown by the opposite distribution on PC2 of AD
321 variables (%_acceptance_AD and %_contact_AD) and VA variables (%_tot_contact_VA and
322 %_1.5_radius_VA). PC2 might thus reflect differences in motivation or movement of the animal (active
323 approach in the VA variables, passive acceptance of human approach in the AD variables). As suggested by
324 Welp et al. (2001), the use of stationary *vs* moving person tests, or *vice versa*, should be carefully considered.
325 Stationary person tests are frequently used for on-farm welfare assessment: the test design is generally easy
326 to perform and the tests are not too time-consuming (Waiblinger et al., 2006a). Stationary person tests seem
327 more suitable for species that rarely interact with humans, although curiosity may be a stronger motivation to
328 approach the person than fear of (Waiblinger et al., 2003; Marchant et al., 1998). On the contrary, species or
329 individuals that have non-traumatic routinely contacts with humans (e.g. dairy cows) may ignore the
330 stimulus person and the different motivations (fear, disinterest) are hard to distinguish without detailed
331 behavioural observations (Waiblinger et al., 2003, 2006a). Accordingly, in dairy cows a test with a stationary
332 person in the home pen was less valid (correlated less with milker's behaviour) than avoidance distance
333 (Waiblinger et al., 2003). On the other hand, moving person tests may feign situation that animals experience
334 every day, as the presence of stockperson inside the pen is common in many species. These tests are
335 considered feasible for on-farm assessment of HAR, especially for herd assessment (Waiblinger et al.,

336 2006a). Some factors may influence the reaction during moving person tests, thus the test execution requires
337 particular attention and previous training to perform it: for example, the speed of moving or body postures of
338 the test person are some important influencing factors (Waiblinger et al., 2006a). A study conducted by Welp
339 et al. (2001) in dairy cows confirms our results and reports that both stationary and moving person tests are
340 highly correlated at herd level, indicating that they strengthen each other and they are both valid to assess the
341 HAR. However, it should be carefully considered if tests at herd level can replace each other (Welp et al.,
342 2001).

343

344 **5. Conclusion**

345 The present research is intended to be a first study towards the identification of potentially suitable methods
346 for the evaluation of HAR, to be included into on-farm welfare assessment schemes for dairy goats. Our
347 results are promising and some valid and feasible indicators for HAR evaluation can be suggested. However,
348 these results should be further tested in a larger number of farms of different dimensions to overcome the
349 limitations of this study due to the small sample size, and to check the effect of farm size.

350 The selection of the most appropriate behavioural test is strictly related to the target species. For goat, which
351 is a curious species that rarely interacts with humans in the home pen, a voluntary approach test seems to be
352 the most suitable option. Taking into account species, test results and feasibility considerations, we suggest
353 the inclusion of latency to the first contact with the test person into on-farm welfare assessment protocols.
354 However, in order to avoid eliciting strong fear reactions when entering the pen, the preliminary test
355 procedure adopted in this study (to wait at the gate before entering and to select a pre-determined spot inside
356 the pen) is necessary in order to standardise the test. Furthermore, we suggest performing behavioural tests
357 when males are not inside the pen with females or to temporarily separate bucks from the group.

358

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366 7. References

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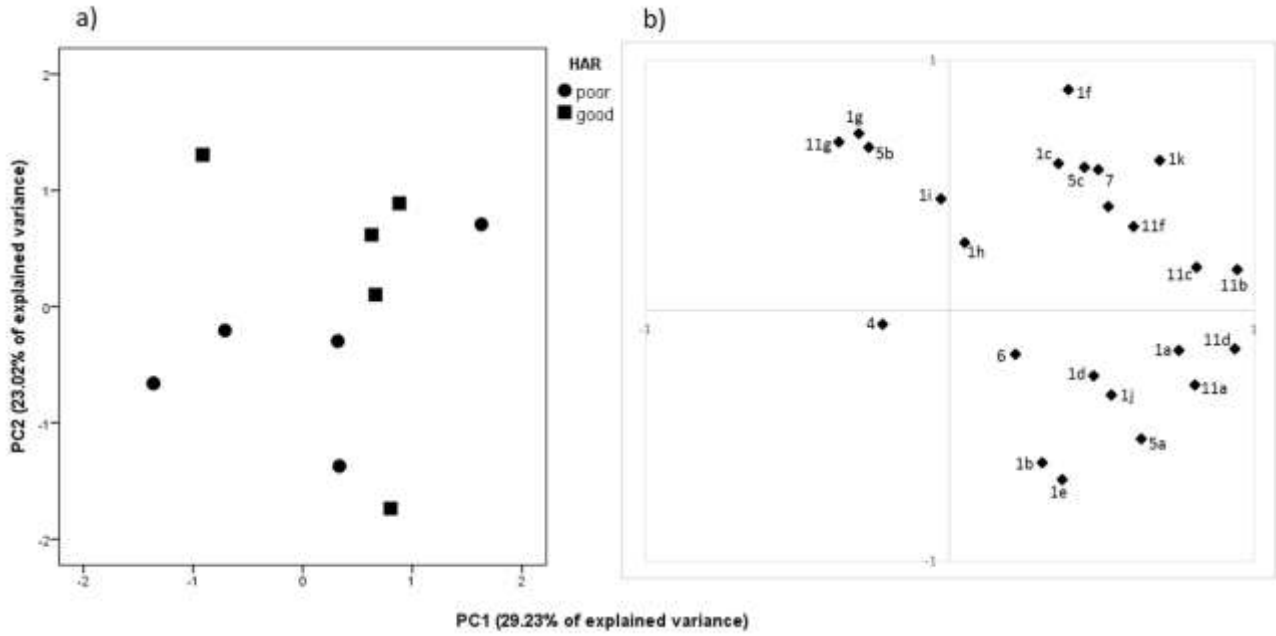
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446

447 **Figure captions**

448 Fig. 1 – PCA results (PC1 vs PC2; 52.43% of cumulative explained variance) using variables derived from
449 the answers given to the attitudinal questionnaire: a) loadings plot (variables' distribution); b) score plot
450 (farms' distribution).

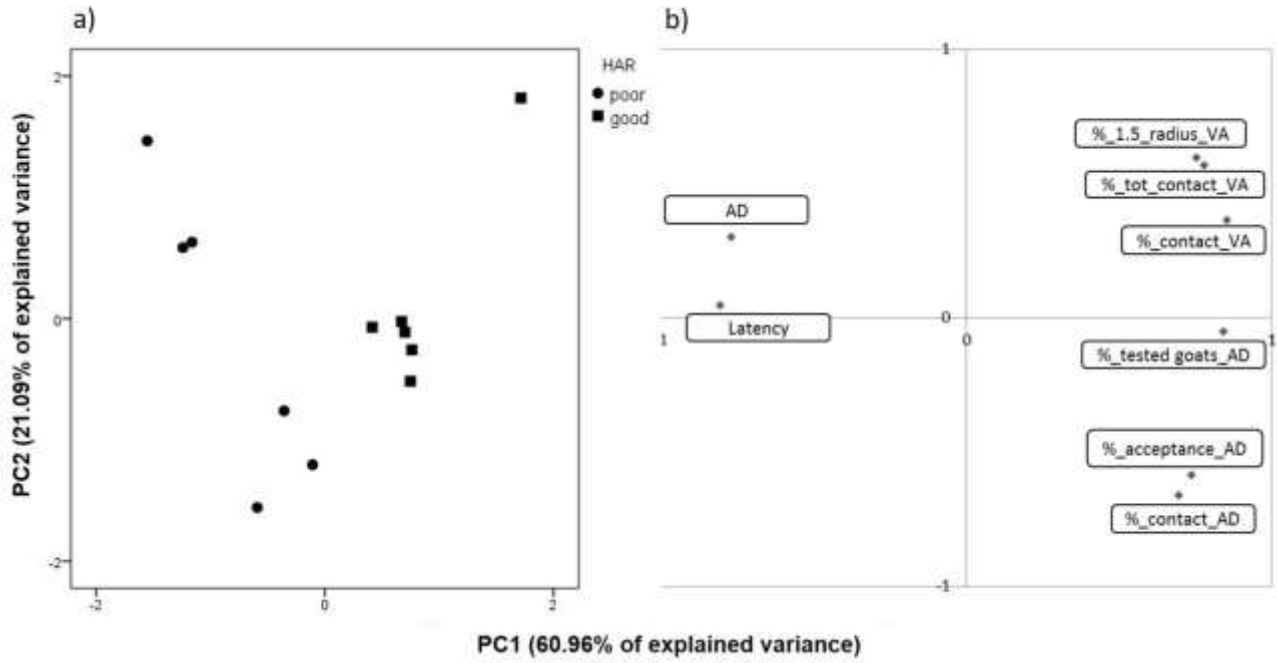


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453

454 Fig. 2 – PCA results (PC1 vs PC2; 82.05% of cumulative explained variance) using variables from HAR
455 tests on “good” and “poor” HAR farms: a) loadings plot (variables’ distribution); b) score plot (farms’
456 distribution).



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