Chiral Cyclopentadienone Fe-Complexes for the Catalytic Asymmetric Hydrogenation of Ketones

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Abstract: Three chiral cyclopentadienone Fe-complexes derived from (*R*)-BINOL (**CK1-3**) were synthesized and their structure unambiguously confirmed by X-ray analysis (**CK3**). Under suitable conditions for the in situ conversion into the corresponding Fehydroxycyclopentadienyl hydrides (Me₃NO, H₂), the new chiral complexes were tested in the catalytic asymmetric hydrogenation of ketones, showing moderate to good enantioselectivity. In particular, the complex bearing methoxy substituents at the 3,3'-positions of the binaphthyl moiety (**CK2**) proved remarkably more enantioselective than the unsubstituted one (**CK1**) and reached the highest level of enantioselectivity (up to 77% *ee*) ever obtained with chiral cyclopentadienone Fe-complexes.

Asymmetric hydrogenation (AH) is by far the most industrially relevant enantioselective transformation, owing to its operational simplicity and 100% atom economy. During its 40 year-long history, thousands of homogeneous catalysts have been developed, allowing the hydrogenation of many substrates with high enantioselectivity.^[1] However, most of the AH catalysts rely on very expensive and toxic precious metals (e.g., Ru, Rh, Ir, Pd), which may prevent in some cases their implementation on large scale.^[2] For this reason, developing new catalysts relying on cheap 'base metals' (e.g., Fe, Co, Ni, Cu) constitutes the next frontier to unleash the full potential of AH. In particular, Fe appears to be the most appealing base metal due to its abundance (5% of Earth's crust), low cost and scarce toxicity.^[3] In spite of these attractive features, the application of Fe in AH is still very limited:^[4] a few chiral catalysts have been developed for the hydrogenation of ketones^[5] and ketoimines,^[5b,6] while no examples were reported with olefins.^[7]

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Leibniz-Institut für Katalyse e. V. Albert-Einstein-Str., 29 a 18059 Rostock (Germany) In our guest for new chiral Fe-catalysts for the AH of ketones, our attention was captured by cyclopentadienone and hydroxycyclopentadienyl iron complexes, whose catalytic properties are currently the object of a growing interest.^[8] Although iron cyclopentadienone complexes are known since the 1950s,^[9] it was only in 1999 that Knölker and co-workers were able to isolate and characterize the hydroxycyclopentadienyl activated complex act-K1, obtained from Fe-cyclopentadienone **K1** by Hieber base reaction (Figure 1 a).^[10] The catalytic potential of complex act-K1 was discovered some years later by Casey and co-workers,^[11a] who reported its ability to promote the hydrogenation of carbonyl compounds and proposed a concerted outer-sphere mechanism.^[11b] One limitation of *act*-K1-type complexes is their sensitivity to air and moisture. However, it has been recently shown that this problem can be circumvented by generating complexes act-K in situ from their precursors K (stable to air, moisture and chromatography) in the presence of Me₃NO,^[12] UV light^[5c] or K₂CO₃^[13] (Figure 1 b). Therefore, unlike most other Fe-complexes used in homogeneous hydrogenation,^[5b,e-f,14] cyclopentadienone complexes K are stable pre-catalysts which do not require inert or dry atmosphere (e.g., use of a glovebox) to be handled. This attractive feature makes them suitable candidates for practical industrial use. Chiral Fecyclopentadienone complexes have been obtained either by replacing one of the CO ligands of a K complex with a chiral phosphoramidite,[5c] or by inserting a stereocenter on the ring fused to the cyclopentadienone.^[12d] However, despite their theoretical interest, both these approaches met limited success in terms of enantioselectivity (up to 31% ee in ketone AH and up to 25% ee in ketone asymmetric transfer hydrogenation, respectively).

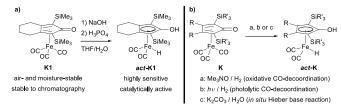


Figure 1. Knölker's synthesis of Cp-Fe activated complex act-K1 (a) and reported methods for in situ formation of Cp-Fe activated complexes act-K (b).

Our approach to the development of chiral **K**-type complexes (**CK**) envisages the use of BINOL-derived chiral cyclopentadienone ligands (Figure 2), whose design was inspired by the chiral Cp-ligands recently reported by Cramer et al. for Rh(III) catalysis.^[15]

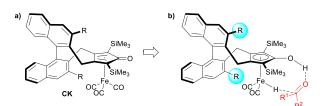
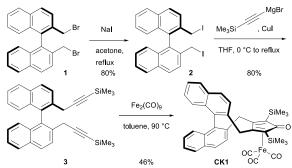


Figure 2. General structure of chiral pre-catalysts \mathbf{CK} (A) and expected importance of binaphthyl 3,3'-substituents in AH (B).

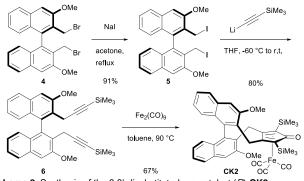
The synthesis of the 3,3'-unsubstituted complex (R)-**CK1** (Scheme 1) was carried out in three steps starting from the commercially available compound (R)-1.^[16]



Scheme 1. Synthesis of the pre-catalyst (R)-CK1.

Bis-bromide (*R*)-1 was converted into the more reactive bis-iodide (*R*)-2, which was treated with [(trimethylsilyl)ethynyl]magnesium bromide in the presence of Cul^[17] to yield the diyne (*R*)-3. The latter compound was then cyclized under the conditions reported by Renaud et al.^[12a] [Fe₂(CO)₉ at 90 °C in toluene] to yield the complex (*R*)-**CK1**, which proved to be stable in air as expected, and could be purified by chromatography.

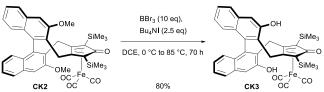
Considering the previously reported BINOL-derived catalytic systems^[15,18] and the commonly accepted mechanism of the act-**K**-catalyzed reductions,^[11b] we envisioned that 3,3'-substituents on the binaphthyl moiety would be needed to ensure an efficient transfer of stereochemical information from the catalyst's stereoaxis to the substrate. Indeed, in the commonly accepted $TS^{[11b]}$ (see Figure 2 b), the substrate is located at a remarkable distance from the cyclopentadienone-fused ring, where the stereogenic unit of **CK** complexes is located. For this reason, we decided to synthesize a 3,3'-substituted complex as shown in Scheme 2.



Scheme 2. Synthesis of the 3,3'-disubstituted pre-catalyst (R)-CK2.

The bis-bromomethyl derivative (R)-4, prepared from (R)-BINOL as described by Maruoka et al.,^[16] was converted into the

corresponding bis-iodide (R)-5, which was then reacted with [(trimethylsilyl)ethynyl]lithium to yield the diyne (R)-6 in 80% yield.^[19] Remarkably, this double alkynylation only proceeded in the presence of methoxy groups in the 3,3'-positions, which prevented us from preparing other 3,3'-substituted derivatives. Compound (R)-6 was then cyclized in the presence of $Fe_2(CO)_9$ to yield the 3,3'-dimethoxy substituted pre-catalyst (R)-CK2. Attempts to grow crystals of complexes CK1 and CK2 failed due to their high solubility in the most common organic solvents. We thus decided to convert CK2 in the corresponding 3,3'-dihydroxy derivative CK3 (Scheme 3), as we expected the latter to be easier to crystallize in apolar solvents. Quite harsh reaction conditions (BBr₃ at 85 °C with Bu₄NI as activator^[20]) were necessary to achieve full deprotection. Nevertheless, compound (R)-CK3 could be obtained in good yield (80%), which confirmed the remarkable stability of the iron cyclopentadienone complex.



Scheme 3. Synthesis of complex (R)-CK3 by demethylation of (R)-CK2.

To our delight, crystals suitable for X-ray diffraction analysis could be obtained by slow diffusion of hexane into a DCM solution of complex **CK3**.^[21]

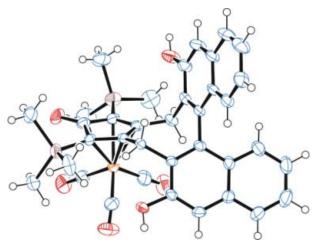


Figure 3. ORTEP diagram of the molecular structure of (R)-CK3 (thermal ellipsoids set at the 50% probability level). Co-crystallized solvent molecules are omitted for clarity.

Pre-catalysts **CK1**, **CK2** and **CK3** were initially tested in the AH of acetophenone **S1** (Table 1, entry 1-3) under the conditions described by Beller and co-workers,^[13] i.e. employing K₂CO₃ as activator to form the corresponding complexes *act*-**CK** in situ (see Figure 1 b). In each of the three experiments a moderate conversion (54-68%) was obtained, along with enantioselectivity in favor of (*S*)-1-phenylethanol **P1**.^[22] Very low conversions (< 5%) were observed in the absence of H₂ suggesting that the hydrogenation pathway is predominant over the transfer hydrogenation from *i*PrOH.^[13a,23] In agreement with our expectation, substitution at the 3,3'-positions of the binaphthyl moiety strongly affects the level of enantioselectivity: the observed ee follows the same trend of the steric bulk of 3,3'-

substituents: H (**CK1**, entry 1) << OH (**CK3**, entry 3) < OMe (**CK2**, entry 2).

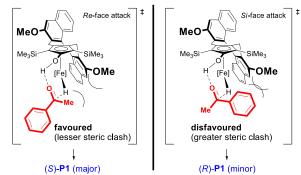


Figure 4. Stereoselection model based on the commonly accepted TS of act-K-catalyzed AH. $^{\rm [11b]}$

Remarkably, the observed stereochemical preference for (*S*)-P1 is consistent with a pericyclic TS^[11b] where **S1** orients its larger substituent (Ph) away from the binaphthyl 3-substituent (OMe) to minimize steric clash (Figure 4). The most enantioselective precatalyst **CK2** was selected for further optimization of the reaction parameters. First of all, a series of different activators were screened (Table 1, entry 4-11): while K₂CO₃ confirmed to be the most efficient inorganic base in terms of conversion (entry 2 vs. entry 4-10), the oxidative CO decomplexation with Me₃NO^[12] turned out to be the most efficient activation methodology, leading to high conversion (entry 11).

 Table 1. Test of CK pre-catalysts in the AH of acetophenone S1 and screening of different activators.^[a]

		H ₂ (30 bar) Pre-cat. CK Activator (2		он 人
	S	5:2 /PrOH / H	H ₂ O, 70 °C	P1
Entry	СК	Activator	Conv. (%) ^[b]	ee (%) ^[b,c]
1	CK1	K ₂ CO ₃	62	8
2	CK2	K ₂ CO ₃	54	49
3	CK3	K ₂ CO ₃	68	47
4	CK2	Li ₂ CO ₃	9	52
5	CK2	Na ₂ CO ₃	25	53
6	CK2	Cs ₂ CO ₃	29	51
7	CK2	LiOH	49	51
8	CK2	NaOH	35	50
9	CK2	KOH	30	52
10	CK2	K ₃ PO ₄	23	53
11	CK2	Me ₃ NO	84	50

^[a] Reaction conditions: **S1/CK**/activator = 100:1:2, P_{H2} = 30 bar, solvent = 5:2 *i*PrOH/H₂O, c_0 (**S1**) = 1.43 M, T = 70 °C, reaction time = 18 h. ^[b] Determined by GC equipped with a chiral capillary column (MEGADEX DACTBS β , diacetyl-*t*butylsilyl- β -cyclodextrin). ^[c] Absolute configuration: *S* in all cases (assigned by comparison of the optical rotation sign with literature data^[22]).

The optimization was continued by assessing the effect of changing H₂ pressure, temperature and solvent (Table 2). We found that neither increasing pressure (entry 2) nor temperature (entry 3) led to full conversion, which instead could be obtained by increasing the catalyst loading to 2 mol% (entry 5; isolated yield = 94%). Lowering the temperature to 50 °C increased the *ee* to 55%, but at the cost of conversion (entry 4). We next screened several different solvents (entry 7-15), but all of them gave lower conversions compared to the 5:2 *i*PrOH/H₂O mixture. In particular,

the presence of water turned out to be beneficial for the conversion (*cf.* entry 1 and 7).

Table 2. Optimisation of reaction parameters of the AH of acetophenone S1 promoted by pre-catalyst $CK2.^{\rm [a]}$

	_ ↓	п ₂ (R) -СК2 (1 r Ме ₃ NO (2 m		он Д	
	S1	Solvent,	т (P1	
Entry	Solvent	P (bar)	T (°C)	Conv. (%) ^[b]	ee (%) ^[b,c]
1	5:2 <i>i</i> PrOH/H ₂ O	30	70	84	50
2	5:2 /PrOH/H2O	50	70	85	51
3	5:2 /PrOH/H2O	30	80	59	50
4	5:2 <i>i</i> PrOH/H ₂ O	30	50	33	55
5 ^[d]	5:2 <i>i</i> PrOH/H ₂ O	30	70	100 ^[e]	50
6 ^[f]	5:2 /PrOH/H2O	30	70	58	51
7	<i>i</i> PrOH	30	70	15	54
8	5:2 EtOH/H ₂ O	30	70	21	49
9	5:2 CF ₃ CH ₂ OH/H ₂ O	30	70	74	42
10	5:2 DME/H ₂ O	30	70	56	52
11	5:2 dioxane/H ₂ O	30	70	56	52
12	5:2 CH ₃ CN/H ₂ O	30	70	3	53
13	5:2 DMF/H ₂ O	30	70	26	54
14	5:2 DCE/H2O	30	70	34	54
15	5:2 toluene/H ₂ O	30	70	8	53

^[a] Reaction conditions: **S1/CK2**/Me₃NO = 100:1:2, $P_{H2} = 30$ bar, c_0 (**S1**) = 1.43 M. ^[b,c] See the footnotes of Table 1. ^[d] 2 mol% **CK2** (4 mol% Me₃NO) employed. ^[e] Yield of the isolated product **P1** = 94%. ^[f] c_0 (**S1**) = 0.72 M.

Having established the optimal reaction conditions for the *act*-**CK2**-catalyzed AH, we assessed the scope of the reaction (Table 3).

As expected, electron-poor acetophenone derivatives (S2 and S4) more reactive than electron-rich were 4'methoxyacetophenone S3 (Table 3, entry 2 vs entries 1 and 3). As a general trend for the methyl ketones (Table 3, entries 1-6 and 11-13), S-selectivity was always observed and the ee increased along with the steric bulk of the other C=O substituent $(tBu > 1-Np > Cy > 2-Np \approx Ph \approx Py \approx p-X-C_6H_4 >> iBu).$ Unfortunately, the most hindered substrates also gave lower conversions (e.g., Table 3, entry 4 and 13). The hydrogenation of 3-acetylpyridine **S7** also proved to be sluggish (Table 3, entry 6), possibly due to its ability to coordinate iron. A similar trend was observed for cyclic ketones, with 1-tetralone S9 giving much higher ee (77%) and lower conversion than 2-tetralone S10 (Table 3, entry 8 vs 9). Surprisingly, the reduction of S10 and S11 showed opposite stereochemical preference (R- instead of Sproduct). Propiophenone S8 (Table 3, entry 7) gave similar conversion and slightly better ee than acetophenone S1 (Table 2, entry 4).

In summary, we have developed new BINOL-derived chiral cyclopentadienone iron complexes (**CK**), which display high stability to air, moisture and chromatography. The new compounds can be converted in situ into the corresponding hydroxycyclopentadienyl complexes *act*-**CK**, which catalyze the AH of ketones with better enantioselectivities (up to 77% *ee*) than any other reported chiral cyclopentadienone iron complex.^[5c,12d] As the obtained enantioselectivity increases with the steric bulk of the binaphthyl 3,3'-substituents of **CK**, future work will focus on the synthesis of new complexes with various 3,3'-substituents starting from the 3,3'-dihydroxysubstituted complex **CK3**.

Table 3. Substrate screening for the act-CK2-catalyzed AH.^[a]

	$R^1 \xrightarrow{O} R^2$	H ₂ (30 bar) (<i>R</i>)-CK2 (2 mol%) Me ₃ NO (4 mol%) 5:2 <i>i</i> PrOH / H ₂ O, 70 °C	
Entry	Subst.	Conv. (%) ^[b]	ee (%), ^[c] abs. conf. ^[d]
1		100 S2	46, S
2		64 S3	50, S
3	ci	100 S4	51, S
4		43 S5	68, S
5		99 S6	51, S
6		35 S7	50, S
7		97 S8	57, S
8 ^[e]		25 S9	77, S
9	S	100 ¹⁰⁰	13, <i>R</i>
10	C S	78 11	59, <i>R</i>
11	S	89 5 12	61, S
12	, ⊥ ° s	76 ⁷⁶	0
13 ^{a]} Reaction co		22 14 ate/ CK2 /MeaNO = 2	77, S

^[a] Reaction conditions: substrate/**CK2**/Me₃NO = 100:2:4, P_{H2} = 30 bar, solvent = 5:2 *I*PrOH/H₂O, c_0 (substrate) = 1.43 M, T = 70 °C, reaction time = 18 h. ^[b] Determined by GC equipped with a chiral capillary column (see the Supporting Information). ^[c] Determined by GC or HPLC equipped with a chiral capillary column (see the Supporting Information). ^[d] Assigned by comparison of the sign of optical rotation with literature data (see the Supporting Information). ^[e] Substrate/**CK2**/Me₃NO = 100:5:10.

Experimental Section

General procedure for the asymmetric hydrogenation. Hydrogenations were run in a 450 mL Parr autoclave equipped with a removable aluminum block that can accommodate up to fifteen magnetically stirred 7 mL-glass vials. The catalyst (0.005 mmol - 1 mol%, or 0.01 mmol - 2 mol%) was weighed in the glass vials, which were accommodated in the aluminum block after adding magnetic stirring bars in each of them. The block was put in a Schlenk tube, where it was subjected to three vacuum/nitrogen cycles. *i*PrOH (0.25 mL) was added in each vial and stirring was started. Me₃NO (0.01 mmol - 2 mol%, or 0.02 mmol - 4 mol%) was added into each vial as a H₂O solution (0.1 mL). After stirring for 10 min at R.T. under nitrogen, the substrate (0.5 mmol) was added to the mixtures. Each vial was capped with a Teflon septum pierced by a needle, the block was transferred into the autoclave and stirring was started. After purging four times with hydrogen at the selected pressure, heating was started. The reactions were stirred overnight under pressure of hydrogen and then analyzed for conversion and ee determination.

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- [21] For full crystallographic data, selected distances/angles, and a comparison with related complexes, see the Supporting Information.
- [22] Absolute configuration assigned by comparison of the sign of optical rotation with literature data, see: R. Patchett, I. Magpantay, L. Saudan, C. Schotes, A. Mezzetti, F. Santoro, *Angew. Chem.* **2013**, *125*, 10542-10545; *Angew. Chem. Int. Ed.* **2013**, *52*, 10352-10355.
- [23] It is well-known that Fe-hydroxycyclopentadienyl complexes act-K (either pre-isolated or generated *in situ*) can also promote ketone transferhydrogenation and alcohol dehydrogenation processes, see a) Refs. 8, 11a, 12d; b) S. A. Moyer, T. W. Funk, *Tetrahedron Lett.* 2010, *51*, 5430-5433.