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**Adjuvant medical therapy provides no therapeutic benefit in the treatment of dogs with low-grade mast cell tumors and early nodal metastasis undergoing surgery**

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## 28    **Abstract**

29    Lymph node (LN) metastasis is a negative prognostic factor in dogs with  
30    cutaneous mast cell tumors (cMCTs). While elective lymphadenectomy of  
31    metastatic LNs improves outcome, the benefit of adjuvant medical therapy in  
32    dogs with early metastatic (HN2) LNs is debated. The aim of this retrospective  
33    multicenter study was to evaluate the therapeutic benefit of adjuvant  
34    medical therapy following surgical removal of the primary low-grade cMCT  
35    (Patnaik grade 1-2 and Kiupel low-grade) and lymphadenectomy of HN2 LNs  
36    by analyzing survival rates and patterns of recurrence.

37    Seventy-three dogs were included: 42 received adjuvant medical treatment  
38    (chemotherapy and/or kinase inhibitors), and 31 did not. The median follow-  
39    up time for medically-treated dogs was 619 days: 2 experienced local  
40    recurrence, 3 nodal relapse and 4 distant relapse.

41    For dogs undergoing surgery only, the median follow-up time was 545 days.  
42    None of them experienced local recurrence, nodal or distant relapse. Time to  
43    progression was significantly shorter in dogs receiving adjuvant medical  
44    treatment ( $P = 0.021$ ). A similar tendency was observed for overall survival ( $P =$   
45    0.056).

46    The current study shows that dogs with low-grade cMCTs, that undergo  
47    surgical excision of the primary tumor and elective lymphadenectomy of the  
48    HN2 regional LN harbor a good prognosis. The use of adjuvant medical  
49    treatment in these dogs does not seem to provide any benefit in terms of  
50    progression and survival.

51

52

53 **Keywords:** mastocytoma, canine, metastatic lymph node, HN2,

54 lymphadenectomy, chemotherapy, prognosis

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56

## 57 **Introduction**

58

59 Lymph node (LN) metastasis is an important predictor for disease recurrence  
60 and death in dogs with canine cutaneous mast cell tumors (cMCTs) and the  
61 removal of LNs with metastatic cMCTs has been associated with a better  
62 prognostic outcome.<sup>1-5</sup>

63 The WHO clinical staging system is based on the anatomic extent of the cMCT  
64 and is widely used for predicting survival outcome.<sup>3,6,7</sup> According to this  
65 system, stage II cMCTs are characterized by primary tumors being confined to  
66 the dermis and regional LN involvement. However, accurate detection of  
67 nodal involvement is hampered by several factors. The closest LN in the  
68 expected drainage area may not be the sentinel node and around 40% of  
69 LNs with metastatic disease would go unnoticed, if only the anatomically  
70 closest node was sampled rather than the sentinel node.<sup>8</sup> In addition, 50% of  
71 LNs with metastatic disease remain normal sized and cannot be diagnosed  
72 by palpation.<sup>9</sup> Lastly, accurate diagnosis of metastatic disease by  
73 discriminating neoplastic and non-neoplastic mast cells in a LN can be  
74 challenging.

75 While still suffering from numerous technical difficulties, standardized  
76 histological criteria have been proposed to more consistently characterize  
77 nodal involvement, and 4 histological patterns have been identified: HN0  
78 (non-metastatic LN), HN1 (pre-metastatic LN), HN2 (early metastasis) and HN3  
79 (overt metastasis).<sup>1</sup> Unfortunately, no standardization for trimming excised  
80 nodes has been proposed and even serial sectioning nodes at 0.2 cm

81 intervals would only arbitrarily detect individual mast cells or small clusters of  
82 such cells. Furthermore, the labeling of the categories HN1 and HN2 as “pre-  
83 metastatic” or “early metastatic disease”, respectively, is misleading since  
84 these categories have not been shown to represent a progression of  
85 metastasis, but rather represent different morphologic categories that have  
86 been associated with prognostic outcome. Regardless, these nodal patterns  
87 are not included in the current WHO clinical staging system.

88 It has been recently shown that radical surgery of the primary cMCT together  
89 with regional lymphadenectomy of LNs with metastatic disease improves  
90 outcome.<sup>4</sup> However, in that study, HN2 and HN3 LNs were analyzed together,  
91 and all dogs received adjuvant medical treatment, consisting of  
92 chemotherapy, tyrosine kinase inhibitors (TKIs) or a combination of these. As a  
93 result, the benefit of adjuvant medical therapy in dogs with HN2 LNs in routine  
94 clinical practice remains to be investigated.

95 In this retrospective multicenter study, our objectives were to evaluate the  
96 therapeutic benefit of adjuvant medical therapy following surgical removal of  
97 primary low-grade (Kiupel-low and Patnaik grade 1-2) cMCT and their  
98 regional HN2 LNs by analyzing survival rates and patterns of recurrence. It was  
99 hypothesized that adjuvant medical treatment would not confer any survival  
100 benefit in these dogs.

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## 105 **Material and methods**

106

107 Medical records were reviewed to identify dogs with treatment-naïve, firstly  
108 occurring, histologically confirmed low-grade cMCT with regional LN  
109 metastasis and no distant spread.

110 To be eligible for inclusion, dogs had to undergo complete staging work-up  
111 and wide surgical excision of the primary cMCT and lymphadenectomy of  
112 the regional or sentinel LN, regardless of size and mobility. For the cases seen  
113 at one of the authors' institution (xxx), the sentinel LN was identified by means  
114 of scintigraphy; in all other cases, the regional LN was removed. The regional  
115 LN was defined as the closest LN in the expected lymphatic drainage,<sup>22</sup> and  
116 was identified either by palpation, ultrasound or surgical exploration.

117 Information on clinical stage was obtained by means of the following:  
118 hematological and biochemical analysis; cytological evaluation of the  
119 cutaneous nodule and regional LN; thoracic radiographs; abdominal  
120 ultrasound, and fine-needle aspirates of liver and spleen regardless of their  
121 sonographic appearance. Viscera were considered metastatic, if mast cells  
122 appeared in clusters or sheets, in very large numbers or atypical on  
123 morphology, as previously documented.<sup>23</sup> Regarding histological grade of  
124 the primary tumor, only Patnaik grade 1 or 2, and Kiupel low-grade cMCTS  
125 were admitted. Additionally, only dogs with HN2 LNs according to Weishaar<sup>1</sup>  
126 were included in the analysis. Slides were not reviewed, rather the reports  
127 from the individual laboratories were used for the purpose of this study. Dogs  
128 with distant metastasis were excluded.

129 Dogs were categorized into adjuvant medical therapy or no medical therapy  
130 groups. For inclusion, patients had to receive at least one treatment  
131 administration in the case of traditional chemotherapy, and at least two  
132 weeks of therapy in the case of a TKI.

133 The decision on whether or not to receive adjuvant medical therapy was  
134 made at the discretion of the clinician and owner.

135 Finally, to be included in the analysis, a follow-up of at least 6 months from  
136 surgery had to be available. Dogs who had recurrence or dead/euthanized  
137 for tumor related causes within 6 months from surgery were included in the  
138 analysis.

139 Regardless of treatment, dogs were followed-up by means of clinical  
140 rechecks every 3 months for the first year, and every 6 months thereafter.  
141 Imaging was repeated whenever indicated; specifically, at some institutions  
142 abdominal ultrasound was repeated every 6 months, regardless of the  
143 disease status, whereas at other institutions imaging was carried out in the  
144 case of symptoms occurrence or progressive disease.

145 Dogs with concurrent multiple or subcutaneous MCTs were excluded from the  
146 study.

147

148 Background information recorded for each dog included: signalment;  
149 primary tumor description (location, size, presence of ulceration); clinical  
150 substage; site of nodal involvement; histopathological evaluation of surgical  
151 margins (complete, or incomplete, if large portions of the MCT itself were  
152 present within the margins or if small groups of mast cells having the same

153 morphological features as those of the primary tumor were retrieved within  
154 histological margin sections); histologic grade of the primary cMCT according  
155 to Patnaik and Kiupel classification systems; Kit-pattern (if performed); c-kit  
156 mutational status (exons 8, 9, 10, 11) (if performed); date of surgery; medical  
157 treatment (chemotherapy, TKIs or both); use of post-operative radiation  
158 therapy (RT); local relapse (defined as the cytological evidence of a  
159 recurrent cMCT within 2 cm from previous scar); nodal relapse (defined as  
160 presence of new metastatic LNs confirmed by cytology)<sup>3</sup>; distant relapse  
161 (defined as the occurrence of cytologically-confirmed visceral metastasis);  
162 date of death or last follow-up examination, and cause of death.

163 To determine the therapeutic value of adjuvant medical treatment, the  
164 characteristics of relapse (local, nodal and distant) and the survival impact  
165 were compared between the two groups.

166

## 167 **Statistical analysis**

168

169 Descriptive statistics were used in the analysis of dogs and tumor  
170 characteristics. When appropriate, data sets were tested for normality by  
171 use of the D'Agostino and Pearson omnibus normality test. Values were  
172 expressed as mean  $\pm$  standard deviation in case of normal distribution, or as  
173 median with a range in case of non-normal distribution.

174 The distribution of demographic features and possible outcome variables  
175 between the medical treatment and no medical treatment groups were  
176 assessed with Student's T-test (numerical, parametric variables), the Mann



177 Whitney U test (numerical, non-parametric variables) or the Chi-square test/  
178 Fisher's exact test (categorical variables).

179 The considered variables included breed (predisposition to biologically  
180 aggressive MCTs, i.e. Shar-pei, Labrador retriever and Golden retriever), age,  
181 body weight, sex, anatomic location of the primary cMCT (head and neck,  
182 trunk and limbs, inguinal/perineal/mammary region and digits),  
183 macroscopic tumor longest diameter, ulceration, substage, and surgical  
184 margins. For age, weight and tumor diameter, the median was used as the  
185 cut-off value.

186

187 Time to local recurrence (TLR) was calculated from the date of surgery to  
188 the date of local recurrence. Time to nodal relapse (TNR) was calculated  
189 from the date of surgery to the date of new nodal involvement. Time to  
190 distant relapse (TDR) was calculated from the date of surgery to the date of  
191 diagnosis of visceral metastases. Time to progression (TTP) was calculated  
192 from the date of surgery to the first occurrence of one or more of local  
193 recurrence, nodal or distant relapse. Dogs with no recurrence or disease  
194 progression at the date of the last visit or death were censored.

195 Overall survival (OS) was calculated from the date of surgery to the date of  
196 death or to the date of the last visit if death did not occur. Only dogs  
197 deceased for cMCT-related causes were considered as events.

198 Survival plots were generated according to the Kaplan-Meier product-limit  
199 method. The influence of potential prognostic variables on tumor

200 progression and tumor-specific survival was investigated with univariable  
201 and multivariable Cox's regression analyses.  
202 Data were analyzed by use of commercial software programs (SPSS Statistics  
203 v. 19, IBM, Somers, NY, and Prism v. 5.0, GraphPad, San Diego, CA). P values  
204  $\leq 0.05$  were considered significant.

205

## 206 **Cell Line Validation Statement**

207 No cell lines were used in the current study.

208

209

## 210 **Results**

211

### 212 *Patient and tumor characteristics*

213 A total of 73 dogs fulfilled the inclusion criteria: 24 dogs were treated at xxx, 18  
214 dogs at xxx, 12 dogs at xxx, Italy, 7 dogs at xxx, 7 dogs at xxx, 3 dogs at xxx,  
215 and 2 dogs at xxx.

216 Forty-two (57.5%) dogs received adjuvant medical treatment, and 31 (42.5%)  
217 did not. Patient and tumor characteristics are listed in Table 1.

218 There was good balance between groups regarding demographic features  
219 and possible outcome variables (Table 1).

220

221 Among the 42 dogs receiving adjuvant medical treatment, the tumors were  
222 located on limbs (n=25; 59.5%), head and neck (n=6; 14.3%), trunk (n=5;

223 11.9%), digits (n=2; 4.8%), inguinal region (n=2; 4.8%), mammary region (n=1;  
224 2.4%) and axilla (n=1; 2.4%).

225 Forty-one (97.6%) dogs were asymptomatic at presentation (substage a),  
226 whereas one (2.4%) dog had signs of systemic effects of cMCT (vomiting,  
227 diarrhea, pruritus, and regional edema; substage b).

228 Based on the Patnaik grading system, there were 41 (97.6%) P-G2 cMCTs and  
229 1 (2.4%) P-G1 cMCT. All (100%) cMCTs were Kiupel low grade.

230 Histopathological evaluation revealed clean surgical margins in 28 (66.7%)  
231 cMCTs, and incomplete margins in 14 (33.3%) cases.

232 Mutational analysis was available for 35 (83.3%) cMCTs: 5 cMCTs were  
233 mutated (4 had an ITD on exon 11, and 1 had an ITD on exon 8), while the  
234 remaining 30 were wild type.

235 The following metastatic ipsilateral LNs were removed: popliteal (n=15; 35.7%),  
236 superficial cervical (n=8; 19%), axillary (n=8, 19%), inguinal (n=6; 14.3%), and  
237 submandibular (n=5; 11.9%). Based on the Weishaar criteria, all (100%) LNs  
238 were classified as HN2. Four (9.5%) LNs were sentinel nodes identified by  
239 scintigraphy, whereas the other 38 were regional LNs. All sentinel LNs mirrored  
240 the regional LNs.

241

242 Among the 31 dogs not receiving adjuvant medical treatment, the tumors  
243 were located on limbs (n=20; 64.5%), inguinal region (n=4; 12.9%), head and  
244 neck (n=3; 9.7%), mammary region (n=1; 3.2%), trunk (n=1; 3.2%), digit (n=1;  
245 3.2%), and axilla (n=1; 3.2%).

246 Thirty (96.8%) dogs were asymptomatic at presentation (substage a), whereas  
247 one (3.2%) dog had signs of systemic effects of cMCT (substage b).  
248 Based on the Patnaik grading system, there were 27 (87.1%) P-G2 cMCTs and  
249 4 (12.9%) P-G1 cMCT. All (100%) cMCTs were Kiupel low grade.  
250 Histopathological evaluation revealed clean surgical margins in 25 (80.6%)  
251 cMCTs, and incomplete margins in 6 (19.3%) cases. Mutational analysis was  
252 available for 12 (38.7%) cMCTs, and they were all wild type.  
253 The following metastatic ipsilateral LNs were removed: inguinal (n=10; 32.3%),  
254 popliteal (n=8; 25.8%), superficial cervical (n=8; 25.8%), axillary (n=4, 12.9%),  
255 and submandibular (n=1; 3.2%). All (100%) LNs were classified as HN2  
256 according to Weishaar et al., 2014. Fourteen (45.2%) LNs were sentinel nodes  
257 identified by scintigraphy, whereas the other 17 were identified anatomically.  
258 Eleven (78.6%) sentinel LNs mirrored the regional LNs. LNs identified as sentinel  
259 nodes by scintigraphy were significantly more represented in the group of  
260 dogs not receiving adjuvant medical treatment ( $P < 0.001$ ).

261

#### 262 *Treatment and outcome*

263 Among dogs receiving adjuvant medical treatment, 34 were treated with  
264 vinblastine (administered at 2-3 mg/m<sup>2</sup> IV every 14 days for 8 cycles) and  
265 prednisone, 7 with TKIs (toceranib administered at 2.4-2.8 mg/kg on a  
266 Monday- Wednesday- Friday schedule for a median of 6 months), and 1 dog  
267 received both.

268 Nine dogs in this group also received RT to the primary tumor bed.

269 The median follow-up time was 619 days (range, 182 to 1825 days).

Two (4.8%) dogs experienced local recurrence after 592 and 321 days, respectively. Both dogs had been diagnosed with complete surgical margins. Ki67 and c-kit mutational status were available for one dog only, and both suggested a non-aggressive biological behavior (Ki67 11%; wild-type status). Interestingly, none of the 14 dogs that had incomplete surgical margins relapsed locally after a median follow-up of 637 days (range, 239 to 1709 days). Seven (50%) of these dogs also received adjuvant radiation therapy at the surgical scar. Ki67 was available for 7 dogs, ranging from 2 to 15%. c-kit mutational status was available for 11 dogs, and only 2 had an ITD (one on exon 8 and one on exon 11).

Three (7.1%) dogs experienced nodal relapse after a median of 321 days (range, 61-592) and 4 (9.5%) developed cytologically-proven distant relapse in the lungs (n=2) and liver and spleen (n=2) after a median of 230 days (range, 218-580).

At the end of the study, 37 (88.1%) dogs were alive, and 5 (11.9%) had died because of cancer-related causes after a median of 358 days (range, 218-673). Median OS was not reached.

By removing the 9 dogs receiving RT, 24 (82.7%) dogs were alive, and 4 (14.3%) had died because of cancer-related causes after a median of 497 days (range, 218-673). Median OS was not reached.

Among dogs treated with surgery only, none received adjuvant prednisone and none was irradiated.

The median follow-up time was 545 days (range, 185 to 2603 days).

294 None of the dogs experienced local recurrence, despite 6 of them having  
295 histological evidence of incomplete margins. None of the dogs had Ki67 or c-  
296 kit mutational status analyzed. The median follow-up for these dogs was 688  
297 days (range, 316 to 2241 days).

298 Additionally, none of the dogs in the surgery only group experienced nodal  
299 relapse or distant relapse.

300 At the end of the study, 19 dogs in the group were still alive. Twelve dogs were  
301 dead for unrelated causes after a median of 693 days. Median OS was not  
302 reached.

303

304 When considered individually, there was no significant difference in TLR, TNR,  
305 TDR and OS between dogs treated with adjuvant medical treatment or  
306 surgery only. However, overall TTP was significantly worse in dogs receiving  
307 adjuvant medical treatment ( $P = 0.021$ ). Regarding OS, there was a tendency  
308 to a worse outcome in dogs receiving medical treatment ( $P = 0.056$ ; Figure 1).

309 By excluding the 9 dogs receiving RT,  $P$  values for TTP and OS were 0.015 and  
310 0.051, respectively. No additional prognostic factors were shown to be  
311 significant on survival analysis.

312

313

## 314 **Discussion**

315

316 The role of regional lymphadenectomy in dogs with cMCT remains a subject  
317 of debate.<sup>1-5,10</sup> Lymphadenectomy for overt metastatic LNs (HN3) is known to

318 confer a survival advantage. However, the benefit of elective regional  
319 lymphadenectomy in dogs with LNs harboring micrometastatic disease (HN2)  
320 is less clear. In addition to wide surgical excision of the primary cMCT, a  
321 previous study suggested that LN dissection improved outcome when  
322 compared to wide surgical excision alone.<sup>4</sup> However, in that study, patients  
323 with early (HN2) and overt (HN3) LN metastases were considered as a single  
324 group.

325 Furthermore, the role of adjuvant medical treatment following elective  
326 lymphadenectomy in dogs with a micrometastatic nodal load remains  
327 unclear. Some of these uncertainties must stem, in part, at least, from the  
328 grouping of cases that are prognostically distinct, as alluded to above with  
329 HN2 and HN3 LNs. One of the objectives of this study was to investigate a  
330 clearly-defined subset of the MCT-bearing population, with the aim of  
331 extrapolating conclusions that could confidently be applied to other patients  
332 with those defining characteristics.

333

334 The current study shows that dogs with low-grade cMCTs that underwent  
335 surgical excision of the primary tumor and elective lymphadenectomy of the  
336 HN2 regional LN harbored a good prognosis. Median OS was not reached in  
337 either group, with a minimum follow-up of 6 months after surgery.

338

339 The current study also shows that dogs undergoing adjuvant medical  
340 treatment had a shorter TTP compared with those treated with surgery only.  
341 Unexpectedly, not only did dogs not seem to benefit from adjuvant medical

342 treatment, but also the risk of tumor progression was significantly higher in  
343 dogs receiving adjuvant chemotherapy or TKIs.

344 A direct detrimental effect of the adjuvant medical therapy has not been  
345 clearly demonstrated. However, that possibility must surely be examined. It  
346 may be hypothesized that chemotherapy may have depressed the host  
347 defense immune system, thereby preventing it from identifying and killing  
348 remaining tumor cells.<sup>11-13</sup>

349 It must also be considered possible that there were clinical differences  
350 between the patients that received adjuvant medical therapy and those that  
351 did not. Although testing for known prognostic factors failed to demonstrate a  
352 statistically relevant difference between the two groups in this study, this does  
353 not constitute a proof that there were no clinical nuances which led to a  
354 different decision making process with some cases. This unconscious bias may  
355 have led to the adjuvant medical therapy group being loaded with cases  
356 which subjectively were thought to have a poorer prognosis.

357 The overall rationale for adjuvant chemotherapy for node-positive cMCTs is  
358 the delivery of a systemic modality of anti-cancer treatment that may reduce  
359 risk of death from micrometastatic disease. Since the risk of life-shortening  
360 consequences of disease progression is sufficiently low in the case cohort  
361 described in this study, the case for adjuvant chemotherapy does not stand  
362 up to scrutiny.

363

364 In the current study, the majority of recurrences after lymphadenectomy  
365 developed in distant sites such as the lung, liver and spleen. Interestingly, all 4



366 dogs that developed distant metastasis received adjuvant medical  
367 treatment. When evaluating the known prognostic factors in these dogs, only  
368 tumor longest diameter in one of them (>3 cm), anatomic location in a  
369 second dog (head), and surgical margin status (incomplete) in a third one,  
370 may have foreshadowed an aggressive biological course. These distant  
371 relapses may be caused by lymphatic and/or hematogenous spread that  
372 may already have occurred at the time of surgery or could not be prevented  
373 by dissection of the LNs or medical treatment. Conversely, the sentinel LNs  
374 may have not been recognized and removed in these dogs, leaving behind  
375 unrecognized micrometastatic disease, favoring distant spread. Alternatively,  
376 sectioning of the affected node may have simply missed more overt disease  
377 that would have resulted in an HN3 classification. These same reasons may  
378 explain the higher nodal relapse rate observed in dogs receiving medical  
379 treatment.

380

381 Local recurrence occurred in 2 (4.8%) dogs in the group receiving medical  
382 therapy, and in none of the dogs receiving surgery only. While complete  
383 tumor resection appears capable of decreasing the risk of local recurrence,  
384 both dogs experiencing relapse were reported to have clean surgical  
385 margins.

386

387 A recurrence rate of 11% has already been documented for cMCTs resected  
388 with clean margins.<sup>14</sup> Possible reasons behind this local regrowth of  
389 completely excised tumors may lie in the width of the clean margins, and on

the sample sectioning technique (radial versus tangential sectioning). Although no technique of surgical margin trimming is currently considered unconditionally the best, tangential sectioning allows the histological examination of a considerably greater percentage of the total margin surface area, and has been recently shown to detect significantly more incomplete surgical margins as compared with radial sectioning.<sup>15</sup> In the present study, both cases experiencing local relapse despite clean surgical margins were diagnosed using the radial sectioning technique.

Alternatively in some cMCTs neoplastic cells may have acquired a more invasive phenotype.

400

Interestingly, 14 (33.3%) and 6 (19.4%) dogs in the medically treated group and in the surgically treated group, respectively, had incomplete margins based on histopathology. None of these tumors relapsed after median follow-up of 637 and 688 days, respectively. It must be noted that in the medically treated group, 7 of 14 (50%) dogs with incomplete margins were irradiated at the surgical bed.

Failure to achieve histopathological clean margins in cMCT excision frequently does not lead to local relapse.<sup>16,17</sup> There are several explanations for the observed findings. It can be challenging to determine whether mast cells at the surgical margins are inflammatory cells that have been attracted by tumor-derived chemokines, or if they are neoplastic in nature.<sup>18</sup> Secondly, and similar to the definition of “clean”, there is no consensus in the literature regarding the definition of “incomplete” surgical margins.<sup>15,19</sup>

414 Stromberg and Meuten warned that “the true margin between tumor and  
415 non-neoplastic tissues is in the patient”. The pathologist examines a margin  
416 that is artificially created by surgery and histopathological processes.  
417 Therefore, what pathologists observe within their histological slides may  
418 occasionally misrepresent what is still present within the patient.<sup>20</sup>

419 The observation has been made that failure to remove metastatic LNs from  
420 patients with cMCTs increased the likelihood of local tumor recurrence. It has  
421 thus been postulated that lymphadenectomy may remove a reservoir for  
422 neoplastic mast cells, thereby impeding a process of *bidirectional* disease  
423 progression (local and distant).<sup>4</sup>

424 Fourth, the immune system may have been triggered by the surgical  
425 procedure, thereby sterilizing the margins.<sup>13</sup>

426 Finally, it has been previously documented that incompletely resected cMCTS  
427 with low proliferation activity may simply not recur.<sup>21</sup> Unfortunately in the  
428 current study, Ki67 was only performed in a minority of cMCTS, and in those a  
429 low proliferation activity was documented. However, the small number, and  
430 therefore the poor power, makes it impossible to draw definitive conclusions.

431

432 There are weaknesses to this study that limit the extrapolability of the  
433 conclusions. First, its retrospective nature only allowed capture of information  
434 that was reported in the medical records. Conformity between groups was  
435 tested by analysis of known prognostic factors. In a prospective study, clinical  
436 justifications could have been given for decisions to use or not to use

437 adjuvant medical therapy and the possibility that clinical nuance skewed the  
438 patient populations could be explored.

439 Second, although a minimum follow-up of 6 months was mandated for  
440 inclusion, the average follow-up remained less than 2 years. Local recurrence  
441 or metastatic disease that would have occurred later in the disease process  
442 remained undetected. Also, even though dogs were followed-up regularly,  
443 regardless of the treatment group, it may be possible that patients  
444 undergoing medical treatment were monitored more often, possibly catching  
445 up failures earlier.

446 Third, with relatively low patient numbers experiencing local recurrence or  
447 metastasis, the effect of medical treatment on outcome may have been  
448 ascribable to a type II error.

449 Fourth, the HN2 LN classification requires a subjective judgment. Histological  
450 review by a panel of pathologists might have led to reclassification of some  
451 cases, potentially altering the composition of either or both patient groups.  
452 Also, c-kit mutational status and Ki67 proliferation activity were not routinely  
453 performed.

454 Finally, the LN selected for removal was identified on anatomic grounds in the  
455 majority of cases; the sentinel LN was removed in only a minority. A significant  
456 reservoir of neoplastic cells may have been left behind in some animals.  
457 However, this would have lead to a higher local and distant failure in both  
458 groups.

459

460 In conclusion, by applying strict inclusion criteria, we have demonstrated that  
461 dogs with low grade (P-1/2, K-low) cMCTS and HN2 regional LNs that undergo  
462 surgical excision of the primary tumor and lymphadenectomy, have an  
463 excellent prognosis and do not seem to benefit from adjuvant medical  
464 treatment. Lymphadenectomy may afford a survival benefit via the  
465 debulking of microscopic LN metastases, as previously shown.<sup>4</sup>

466

#### 467 **Data availability Statement**

468 The data that support the findings of this study are available from the  
469 corresponding author upon reasonable request.

470

471

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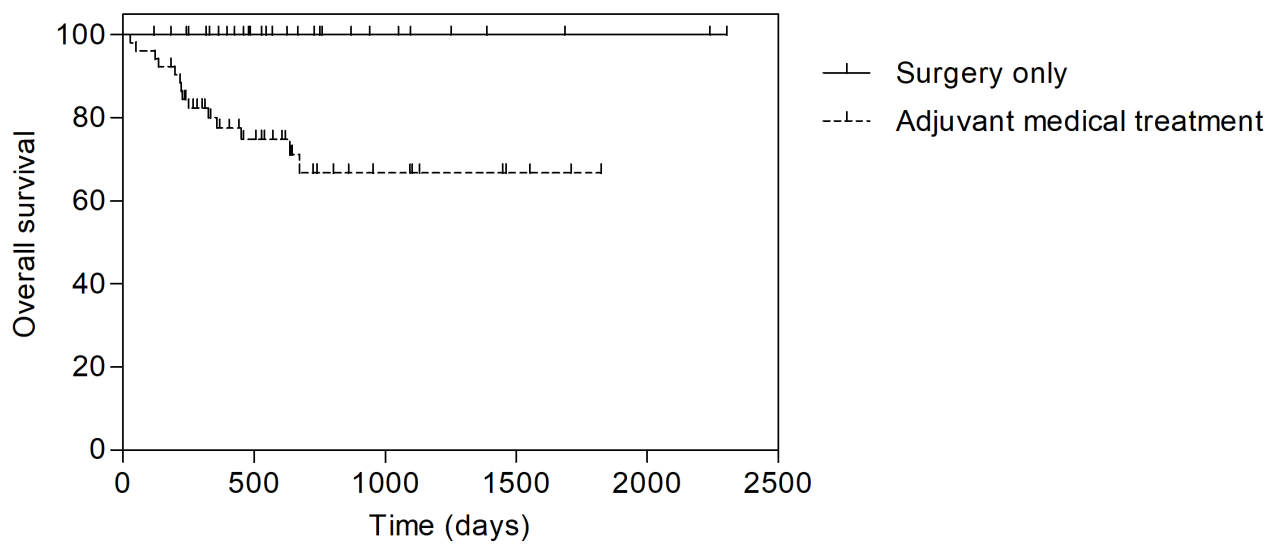
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## Figure legend

Figure 1. Kaplan–Meier survival plots for 73 dogs with low grade cutaneous MCT and HN2 regional lymph node according to Weishaar et al., 2014. There was a tendency to a worse outcome in dogs receiving adjuvant medical treatment following surgical removal and lymphadenectomy ( $P = 0.056$ ).





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575 **Table 1.** Distributions of variables potentially associated with prognosis in 73 dogs  
 576 with low grade cutaneous MCT and HN2 regional lymph node according to  
 577 Weishaar *et al.*, 2014, treated by surgery with or without adjuvant medical  
 578 treatment.  
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Variable	Adjuvant medical treatment (n = 42)	Surgery only (n = 31)	P
Breed Shar-pei, Labrador retriever and Golden retriever other	10 32	6 25	0.777
Age median (range)	8 (3-11) years	7 (4-16) years	0.323
Weight median (range)	23.4 (5.8-49.0) kg	26.0 (6.0-65.3) kg	0.514
Sex male female	18 24	14 17	>0.999
Neutering status no yes	19 23	19 12	0.237
Anatomic location			0.597

<i>head and neck</i>	6	3	
<i>trunk and limbs</i>	30	21	
<i>inguinal, mammary, digital</i>	6	7	
Tumor diameter			0.329
<i>median (range)</i>	2.0 (0.4-6.0) cm	2.2 (0.3-8.5) cm	
Ulceration			>0.999
<i>no</i>	37	28	
<i>yes</i>	5	3	
Substage			>0.999
<i>a</i>	41	30	
<i>b</i>	1	1	
Surgical margins			0.288
<i>complete</i>	28	25	
<i>incomplete</i>	14	6	
Patnaik grading			0.156
<i>grade I</i>	1	4	
<i>grade II</i>	41	27	

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