

1 **SHORT PAPER**

2 **NEOPLASTIC DISEASE**

3 **Short title: Accuracy of Cytological Examination of Feline Lymphoma**

4 **Running head: M Gambini *et al***

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6 **Cytology of Feline Nodal Lymphoma: Low Interobserver Agreement and Variable Accuracy**

7 **in Immunophenotype Prediction**

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20 **Summary**

21 Nodal lymphomas are less common in cats than in dogs and, consequently, no specific studies have

22 been published. Cytology is the first step in the diagnosis of nodal lymphoma but is highly

23 subjective. Morphological features have been introduced for the cytological classification of canine

24 lymphomas but not for cats. Therefore, the aim of this study was to evaluate interobserver

25 agreement on various cytological features of feline nodal lymphomas and to investigate the

26 accuracy in predicting B or T immunophenotypes. Four veterinary cytologists examined 25 feline

27 nodal and mediastinal lymphoma cytological samples by adapting the criteria used for the  
28 evaluation of canine lymphomas and setting histopathology and immunohistochemistry as the gold  
29 standard. High interobserver variability was found in the evaluation of most features except for the  
30 presence or absence of cytoplasmic vacuoles, which were more common in B cell lymphomas.  
31 Cytology training centre was the major factor influencing the extent of agreement among  
32 evaluators. Diagnostic accuracy in predicting lymphoma immunophenotype varied from 35% to  
33 75% and did not appear to be correlated with the experience of the evaluators. We conclude that  
34 cytological criteria, commonly used to describe canine lymphomas, are not adaptable to the  
35 counterpart feline neoplasms. Cytology-based immunophenotyping of feline lymphomas from  
36 different laboratories, and different cytologists within the same laboratory, differ substantially and  
37 should not be considered reliable. Specific cytological criteria are needed to describe feline  
38 lymphoma.

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40 *Keywords:* accuracy; cytology; feline nodal lymphoma; interobserver agreement

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42 Nodal lymphoma is common in dogs and is most often diagnosed by cytology (Zandvliet, 2016).  
43 Conversely, cytological diagnosis of lymphoma is challenging in cats (Blackwood, 2013) and this  
44 may be particularly true for nodal lymphomas, likely because specific diagnostic criteria have been  
45 poorly described due to the low prevalence of this disease presentation (Gabor *et al*, 1998; Moore,  
46 2013).

47 In dogs, cytology is considered to be a reliable technique for diagnosing lymphoma, given  
48 the remarkable prevalence of high-grade cases (Fournel-Fleury *et al*, 1997; Ponce *et al*, 2010).  
49 However, the classification of these tumours, based on their cytological features, is characterized by  
50 variable interobserver agreement, ranging from fair to almost perfect, depending on the  
51 classification system applied (Teske and van Heerde, 1996). Therefore, further laboratory analyses,  
52 such as immunohistochemistry (IHC) or flow cytometry, are generally required to confirm the

53 diagnosis and determine immunophenotype and lymphoma subtype (Burkhard and Bienzle, 2015).  
54 However, some specific cytological features have been described in the dog as potentially  
55 suggestive of T or B cell origin including cell size, cytoplasmic colour and granules, nuclear shape,  
56 chromatin pattern and number, size and distribution of nucleoli (Fournel-Fleury *et al*, 1997; Ponce  
57 *et al*, 2010). To the best of our knowledge, similar specific cytological criteria have not been  
58 applied to the classification of feline nodal lymphomas and no data are available on interobserver  
59 variability in the assessment of cytological features of feline nodal lymphoma. Therefore, the aim of  
60 this study was to evaluate the diagnostic performance of cytology in predicting the phenotype of  
61 feline nodal and mediastinal lymphomas. Precision was assessed by calculating interobserver  
62 agreement on various cytological features that might be useful in predicting immunophenotype (ie,  
63 B or T cell), whereas accuracy was calculated for each observer by using the results of IHC as a  
64 gold standard.

65 We retrospectively investigated the database and archives of the Veterinary Teaching  
66 Hospital of the University of Milan and the Department of Comparative Biomedicine and Food  
67 Science of the University of Padua from January 2010 to January 2019. The inclusion criteria were  
68 a definitive diagnosis of nodal or mediastinal lymphoma, and the availability of at least one good-  
69 quality cytological smear from a lymph node (LN) or mediastinal mass for review and one  
70 formalin-fixed paraffin-embedded (FFPE) tissue block of the corresponding lesion. Mediastinal  
71 masses were also included in the study, because lymphomas in this site may also arise from  
72 mediastinal or sternal LNs (Fabrizio *et al*, 2014). From each FFPE tissue block, at least five  
73 sections were cut. One section was stained with haematoxylin and eosin (HE) and four were used  
74 for IHC utilizing primary antibodies for CD20 directed against mature B cells (epitope-specific  
75 rabbit antibody; Thermo Fisher Scientific, Cheshire, UK; 1:800), CD79 directed against all stages  
76 of B cells (monoclonal mouse anti-human, clone HM57; Dako, Atlanta, Georgia, USA; 1:100),  
77 CD3 directed against T cells (mouse monoclonal, clone F7.2.38, Dako; 1: 100) and CD5 directed  
78 against T cells (monoclonal mouse anti-human, clone SP19; Abcam, Cambridge, UK; prediluted

79 and ready to use). IHC was performed with an automatic immunostainer (Ventana Benchmark XT;  
80 Roche Diagnostics, Monza, Italy). All reagents were dispensed automatically except for the primary  
81 antibodies, which were manually dispensed.

82 The diagnosis of lymphoma was confirmed in all cases by a European College of Veterinary  
83 Pathologists (ECVP) board-certified pathologist (PR) based on routine histopathology and IHC.  
84 The latter was further used to immunophenotype lymphomas as B cell or T cell types.

85 All cytological specimens were stained by the May–Grünwald Giemsa technique and blindly  
86 evaluated by four cytologists with different experience: an ECVCP board-certified clinical  
87 pathologist (SC, evaluator 1), an ECVCP board-certified anatomical pathologist (MC, evaluator 3)  
88 and their respective PhD students (SB, evaluator 2, and MG, evaluator 4). All evaluators were  
89 aware of the final diagnosis of nodal lymphoma, but not of the subtype nor the immunophenotype  
90 of the neoplasms. Before the beginning of the study, the cytologists conferred to standardize the  
91 description and the corresponding categorization of the morphological features that had to be  
92 evaluated for each cytological specimen. The morphological features evaluated were based on those  
93 used to evaluate canine lymphomas with the addition of other criteria, including cell homogeneity,  
94 the presence of vacuoles or perinuclear halos and presence of accessory non-neoplastic cells (Table  
95 1, Fig. 1). In general, deeply bluish cytoplasm, a perinuclear halo and a round nucleus with visible  
96 nucleoli, were considered suggestive of B cell phenotype, whereas slightly basophilic cytoplasm  
97 and the presence of cytoplasmic granules, an indented, convoluted or irregular nucleus without  
98 nucleoli and higher numbers of plasma cells and eosinophils were considered suggestive of T cell  
99 phenotype. Despite the lack of a supposed link with phenotype, the other morphological features  
100 were considered in the study because they are commonly included in cytological reports in the  
101 laboratory practice. Evaluators were free to decide on phenotype, based on the prevalence of these  
102 criteria, current literature reports and their personal experience.

103 Overall, interobserver agreement on the morphological features was calculated using free-  
104 marginal Fleiss' kappa, using an online calculator (<http://justusrandolph.net/kappa/>). The

105 coefficients were interpreted according to Landis and Koch (Landis and Koch, 1977) as follows:  $\leq 0$ ,  
106 no agreement;  $>0.00$  and  $\leq 0.20$ , low agreement;  $\geq 0.21$  and  $\leq 0.40$ , fair agreement;  $\leq 0.41$  and  $\geq 0.60$ ,  
107 moderate agreement;  $\geq 0.61$  and  $\leq 0.80$ , substantial agreement;  $\geq 0.81$  and  $\leq 1.00$ , almost perfect  
108 agreement. Considering that the different level of expertise of the operators may have influenced  
109 our results, we assessed the agreement between the two board-certified operators, between the two  
110 PhD students and between each board-certified evaluator and the respective PhD student. Cohen's  
111 kappa was calculated accordingly using an online calculator  
112 (<https://www.graphpad.com/quickcalcs/kappa1/>) and interpreted according to Landis and Koch  
113 (Landis and Koch, 1977). Finally, the level of accuracy of each evaluator in predicting  
114 immunophenotype was investigated. The accuracy in correctly diagnosing lymphoma  
115 immunophenotype was calculated as the number of correctly identified cases divided by the total  
116 number of cases and expressed as a percentage.

117 Overall, 36 cases fulfilled the initial criteria for inclusion in the study. However, five of  
118 these cases were excluded because at least one examiner considered the quality of the cytological  
119 specimen to be suboptimal, while six cases were excluded because the immunophenotype could not  
120 be determined on the basis of IHC results due to poor fixation and conservation of the FFPE  
121 samples or because of re-diagnosis as lymphoid hyperplasia. Thus, 25 samples were finally enrolled  
122 in the study, including 13 (52%) B cell and 12 (48%) T cell lymphomas.

123 The results of the analysis of the overall interobserver agreement on the morphological  
124 features of the 25 cases are listed in Table 2. The level of agreement was fair to moderate for nine  
125 of the 15 parameters evaluated, whereas it was almost perfect for the presence or absence of  
126 cytoplasmic granules and vacuoles, substantial for the number of eosinophils and low for the  
127 presence or absence of a perinuclear halo or chromatin pattern. No agreement was found among  
128 evaluators when asked to predict immunophenotype after morphological assessment.

129 High levels of agreement were detected among the four operators when evaluating the  
130 presence or absence of cytoplasmic granules and the number of eosinophils. However, these results

131 were likely affected by the low prevalence of samples with these characteristics. Indeed, granules  
132 were detected only in two (8%) samples (one by two evaluators and the other by a single evaluator).  
133 Similarly, eosinophils were detected in high numbers ( $\geq 3$  in 5 high-power fields) in only two (8%)  
134 samples by three evaluators.

135 Almost perfect interobserver agreement was found also for the presence or absence of  
136 cytoplasmic vacuoles. Vacuoles were detected in nine (36%) samples (six by all four operators, two  
137 by three operators and one by two operators). Interestingly, B cell lymphomas were overrepresented  
138 in this subset of samples (seven samples; 78%), highlighting the presence of cytoplasmic vacuoles  
139 as a feature of potential value for predicting immunophenotype. Further studies on a larger scale are  
140 required to confirm this hypothesis.

141 The results of pairwise interobserver agreement between the evaluators are shown in Table  
142 3. In general, the level of agreement was highly variable and usually slight or fair. Specifically,  
143 when considering the two board-certified evaluators, only slight to fair agreement was found for  
144 almost all the parameters evaluated (12 of 15; 80%), whereas agreement was almost perfect for the  
145 presence or absence of cytoplasmic vacuoles. Similar results were obtained when evaluating the  
146 agreement between the two PhD students and between evaluator 1 and their PhD student.  
147 Conversely, better agreement was obtained when comparing evaluator 3 and their PhD student, with  
148 moderate to substantial agreement on 10 of 15 features (66.7%), slight to fair agreement on three  
149 parameters (20%) and no agreement on the presence or absence of cytoplasmic granules. According  
150 to the latter finding, it is noteworthy that evaluators 1 and 3 did not report in any case the presence  
151 of granules or perinuclear halos, respectively. This likely affected the results of the comparison with  
152 other evaluators on evaluation of these features. In particular, the apparent discrepancy between  
153 Fleiss' kappa coefficient and Cohen's kappa coefficient in relation to the presence of granules might  
154 rely on the fact that the latter analysis was not performed for all the possible evaluator pairings. The  
155 low prevalence of granules might have additionally influenced results.

156 The low kappa values obtained between the board-certified evaluators and between the PhD  
157 students suggest that experience is not a leading factor influencing interobserver agreement.  
158 Conversely, teaching centre seems to be a major influencing factor. Indeed, higher kappa values  
159 were obtained between each board-certified evaluator and the respective PhD student, rather than  
160 between the two board-certified evaluators.

161 Regarding immunophenotype prediction for evaluators no. 1, 2, 3 and 4, the accuracy in  
162 correctly diagnosing a lymphoma as B or T was 36% (95% confidence interval [CI] 18.0–57.5%),  
163 56% (95% CI 34.9–75.6%), 64% (95% CI 42.5–82.0%) and 76% (95% CI 54.9–90.6%),  
164 respectively. Thus, the accuracy of immunophenotype prediction seems to have been operator  
165 dependent, varying from less than 40% to more than 75%. This finding indicates that the  
166 morphological features generally used for tentative immunophenotype prediction in dogs do not  
167 apply to feline nodal lymphoma. Therefore, we highly recommend applying immunophenotyping  
168 techniques, such as IHC or flow cytometry, to define the immunophenotype of feline lymphomas.  
169 This is considered to be essential even for canine lymphomas (Burkhard and Bienzle, 2015),  
170 although interobserver agreement in dogs is higher than that observed in the current study (Teske  
171 and van Heerde, 1996).

172 The causes for the low agreement found among the observers in this study need further  
173 elucidation. One possible explanation for our results might be that samples from feline lymphomas  
174 are often composed of heterogeneous populations of cells. Therefore, the choice of different regions  
175 during the microscopic evaluation by each evaluator could have strongly biased the results. This  
176 finding further underlines that cytology alone should be used with caution in predicting feline  
177 lymphoma immunophenotype and that laboratory testing is essential for accurate  
178 immunophenotyping.

179 Interestingly, an unexpected finding was that PhD students had a higher accuracy in  
180 immunophenotype prediction than their respective tutors. Young cytologists may be more prone to  
181 adsorb knowledge from different schools, thereby compensating for their limited experience.

182 Furthermore, evaluators with longer experience might have been biased by their former evaluation  
183 habits, although all reached an initial consensus on the classification of each morphological feature,  
184 trying to mitigate as far as possible confounding factors related to their variable experience in  
185 haemato-oncology.

186 The major limitation of the present study is the low number of cases included, which likely  
187 derived from the low prevalence of nodal lymphomas in cats and from the lack of a consistent  
188 diagnostic approach for feline lymphoma, based on cytology and histopathology. Additionally,  
189 intraobserver agreement was not evaluated, unlike in previous studies on canine lymphomas (Teske  
190 and van Heerde, 1996).

191 In conclusion, high interobserver variability affects the evaluation of morphological features  
192 of feline nodal lymphomas, thus preventing comparison of the results from different laboratories  
193 and even among different cytologists within the same laboratory. This variability may be even  
194 higher in a routine diagnostic setting, considering that in the current study the evaluators conferred  
195 before commencement of the study to standardize the morphological criteria used to describe the  
196 cells, and that this may have enhanced the level of agreement. Although we only included samples  
197 with a final diagnosis of lymphoma, the inclusion of non-lymphomatous lesions would likely have  
198 resulted in even lower agreement among operators.

199 Our results confirm the limitations of cytology in the immunophenotyping of feline  
200 lymphoma and that further tests are essential. Our observations should encourage veterinarians  
201 towards the discussion and creation of a shared definition and cytological classification of feline  
202 nodal lymphomas.

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### Conflict of Interest Statement

The authors declare no conflict of interest with respect to the research, authorship or publication of this manuscript.

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## 242 **Legends to Figures**

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244 Fig. 1. Cytological features of feline nodal and mediastinal lymphomas as determined by consensus  
245 among four examiners. (A) T cell lymphoma. Homogeneous cell population composed of small  
246 (black circle) to medium (white circle) neoplastic cells, characterized by round (black arrow) or  
247 indented (white arrow) nuclei with homogeneous (black arrowhead) or partially clumped (white  
248 arrowhead) chromatin. May–Grünwald Giemsa. Bar, 50  $\mu\text{m}$ . (B) T cell lymphoma. Homogeneous  
249 cell population composed of small to medium neoplastic cells characterized by abundant slightly  
250 basophilic cytoplasm with intracytoplasmic magenta granules (black circle) and partially clumped  
251 (black arrowhead) or clumped (white arrowhead) chromatin. May–Grünwald Giemsa. Bar, 50  $\mu\text{m}$ .  
252 (C) B cell lymphoma. Heterogeneous cell population including large neoplastic cells characterized  
253 by irregular (black arrow) or convoluted nuclei (white arrow) with prominent nucleoli (black  
254 arrowhead). May–Grünwald Giemsa. Bar, 33.5  $\mu\text{m}$ . (D) B cell lymphoma. Heterogeneous cell  
255 population including medium to large neoplastic cells characterized by scant to moderately  
256 abundant, deeply basophilic cytoplasm (black arrow), perinuclear halo (black arrowhead) and  
257 intracytoplasmic clear vacuoles (white arrowhead). May–Grünwald Giemsa. Bar, 33.5  $\mu\text{m}$ .

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