

1 **doi: 10.1002/vetr.82**

2 **Towards an improved pain assessment in castrated horses using facial expressions (HGS) and circulating miRNAs**

3 E. Dalla Costa¹, F. Dai¹, C. Lecchi¹, F. Ambrogi², D. Lebelt³, D. Stucke⁴, G. Ravasio¹, F. Ceciliani¹, M. Minero¹

4 1 Università degli Studi di Milano, Dipartimento di Medina Veterinaria, Via Dell'Università 6, 26900 Lodi, Italy

5 2 Università degli Studi di Milano, Dipartimento di Scienze Cliniche e di Comunità, Via Vanzetti 5, 20133 Milano, Italy

6 3 Equine Research & Consulting, 07140 Sencelles, Spain

7 4 Landratsamt Ravensburg, Veterinäramt, Friedenstraße 2, 88212 Ravensburg, Germany

8

9 Corresponding author: Cristina Lecchi. Email: cristina.lecchi@unimi.it

10

11 **Abstract**

12 **Background.** Pain in horses is an emergent welfare concern and its assessment represents a challenge for equine
13 clinicians. This study aimed at improving pain assessment in horses through a convergent validation of existing tools: we
14 investigated whether an effective analgesic treatment influences the Horse Grimace Scale (HGS) and the concentration
15 of specific circulating miRNAs.

16 **Methods.** Eleven stallions underwent routine surgical castration under general anaesthesia. They were divided into two
17 analgesic treatment groups: castration with the administration of pre-operative flunixin; castration with pre-operative
18 flunixin plus a local injection of mepivacaine into the spermatic cords. HGS and levels of seven circulating miRNAs were
19 evaluated pre-, 8- and 20h post-procedure.

20 **Results.** Compared to pre-castration, HGS, miR-126-5p, miR-145 and miR-let7e increased significantly in horses
21 receiving flunixin at 8h post-castration (Friedman test, $p<0.05$). Both behavioural and molecular changes occurred in
22 horses receiving flunixin only, confirming that the addition of local mepivacaine is an effective analgesic treatment.

23 **Conclusions.** Combining the use of HGS and circulating miRNAs, particularly miR-145, could be meaningful to monitor
24 acute pain conditions in horses. Our results further validate the HGS as a method to assess acute pain in horses and point
25 out miR-145 as a promising biomarker to identify pain.

26

27 **Introduction**

28 Pain assessment in horses is an important clinical issue and a welfare concern (1). Nevertheless, pain assessment is still
29 tricky as no gold standard is available for equine clinicians. During the past decade, several pain scales including both
30 physiological and behavioural parameters were developed (see (2) for a review), among which the Composite Pain Scale
31 (CPS) and the Horse Grimace Scale (HGS) seemed the most promising. The CPS is a multidimensional scale focusing
32 on the presence of pain-related behaviours and the changes in the frequency of standard behavioural patterns and
33 physiological parameters (3). CPS is reported to be reliably applied to assess acute orthopaedic and visceral pain (4,5).
34 However, CPS needs experienced observers to assess pain-related behaviour and the palpation of the painful area. The
35 HGS, a facial-expression-based pain coding system, consists of the sum of six Facial Action Units (FAUs) (6,7). The
36 HGS is reported to be a reliable tool for identifying acute pain in different conditions (6,7), allowing to classify horses in
37 pain with an accuracy greater than 70% (8). Further validation with other indicators is required to evaluate its potential
38 application to identify the efficacy of analgesic treatments. Recently, microRNAs (miRNAs) were investigated in equine
39 medicine as an innovative approach to determine acute pain, identifying the increase of circulating miR-23b-3p, miR-
40 145-5p and miR-200b-3p in horses with acute laminitis (9). Further studies on these molecular indicators are required to
41 understand better whether their modulation is linked with acute pain perception, inflammation or both; covering this gap
42 is pivotal to start an effective pain treatment. Even though the existing pain assessment measures allow equine clinicians
43 to make considerable progress in identifying acute pain in horses, further studies are needed to understand whether these
44 measures can be used as valid tools to support clinical decisions. As miRNA modulate pain neuronal pathways, their
45 knowledge may be useful to link the molecular background behind pain to already known and established measurement
46 tools, such as HGS and CPS.

47 Castration provides a good pain model for studying convergent validity of pain indicators. Firstly, the clinical status of
48 the horse before castration is healthy and pain-free. Secondly, castration is a standard procedure, meaning that is equal
49 for all the subjects. Moreover, it is well known that animals experience both acute and chronic pain following surgical
50 castration (10–12). Finally, horse castration is a common husbandry painful procedure, with more than 240,000 horses
51 castrated in Europe annually (13). Post-castration pain has an important impact on equine welfare (2,14); however, in
52 most countries there are no regulations, nor recommendations, requiring pain-relieving treatment following castration.
53 Pain management following this procedure is still frequently suboptimal (15–17). When analgesic treatments are used,
54 phenylbutazone and flunixin are the NSAID drugs most commonly administered (16,18). As previously reported
55 (6,17,19), the administration of flunixin does not seem to provide sufficient post-castration pain relief, although in equids
56 is generally prescribed for inflammation and pain associated with soft tissue conditions (20). The administration of a local
57 anaesthetic in the spermatic cord has been demonstrated to decrease pain associated with castration (12,17,21). In their

58 study, Abass and colleagues (17) found that the administration of mepivacaine before surgical castration significantly
59 reduced post-surgical pain scores and cytokine levels.

60 Based on the above considerations, this study aimed at improving pain assessment in horses undergoing surgical castration
61 through a convergent validation of existing tools: we investigated whether an effective analgesic treatment (the use of a
62 local anaesthetic in the spermatic cords) influences the HGS and the concentration of specific circulating miRNAs.

63 Methods

64 *Animals and husbandry*

65 Eleven stallions, of different breeds and aged between 1 and 3 years (mean=2.36±0.67), were referred to the equine
66 hospital (Havelland Equine Hospital, Germany) for routine surgical castration under general anaesthesia for husbandry
67 purposes (Table 1). A physical examination and a behavioural evaluation were performed by an equine veterinarian to
68 ensure that all the stallions were healthy and without signs of cryptorchidism. For five days, all subjects were housed in
69 standard single horse boxes (4×3 m with an outside window) on wood shavings (German Horse Span Classic, Wismar,
70 Germany), and in visual contact with other conspecifics. They were fed twice a day with hay (approx. 3 kg/100 kg body
71 weight per day), and water was provided ad libitum by automatic drinkers. Food was withheld from all horses for 8 hours
72 before and 5 hours after anaesthesia (22). Horses were monitored at least 3 times a day by an experienced veterinarian or
73 nurse, who collected data about heart rate, respiratory rate, appetite, defecation, and apparent signs of pain.

74 *Procedure and analgesic treatment groups*

75 A closed technique through a scrotal approach without primary closure of the wound (23) was applied as recommended
76 by the National Equine Welfare Council (24) and the Canadian Veterinary Medical Association (14). One of two equally
77 experienced veterinary surgeons carried out all the surgeries. To evaluate the correct drug doses, horse weight was
78 estimated with a weight tape. The anaesthesia protocol was the same for all the subjects: pre-medication with Romifidine
79 (80 micrograms/Kg i.v., Romifidinehydrochloride, Sedivet, Boehringer Ingelheim Vetmedica), induction with Diazepam
80 (0.1 mg/Kg i.v., Diazepam-Ratiopharm, Ratiopharm) and Ketamine (2.2 mg/Kg i.v., Ketamin 10%, Medistar)
81 intravenously via a jugular catheter. The interval between pre-medication and induction was about 10 minutes. None of
82 these subjects needed an additional injection of ketamine to maintain general anaesthesia. The surgery lasted 10–15 min,
83 all the horses recovered from anaesthesia without assistance and under the visual supervision of a veterinary nurse. No
84 intra-operative complications were reported. All procedures were carried out in the morning, between 9 and 11. Stallions
85 received antibiotic treatment (2–4 mg Trimethoprim and 12 mg Sulfadiazine/Kg p.o. every 12 h, Synutrim 72% Pulver,
86 Vétoquinol) for three days starting from the morning before surgery. Stallions were divided into two breed-matched

87 treatment groups using a blocked randomization process: castration with pre-operative anti-inflammatory medication (1.1
88 mg/Kg i.v., Flunixin 5%, Medistar) (N=6); castration with pre-operative anti-inflammatory medication (1.1 mg/Kg i.v.,
89 Flunixin 5%, Medistar) and intra-surgical injection (after aseptic preparation of scrotal area and before scrotal incision)
90 of 10 ml mepivacaine 2% (Scandicain 2%, AstraZeneca) into each spermatic cord (N=5). The veterinarian responsible
91 for anaesthesia, aware of group allocation, administered all the medications.

92 *Horse Grimace Scale (HGS) and Composite Pain Scale (CPS) assessment*

93 Thirty minutes video-recordings were collected using two digital video cameras (Panasonic, HDC-SD99) at three-time
94 points: pre-castration (after two days of acclimatisation to the clinical environment); 8-hours and 20-hours post-castration.
95 HGS was measured as previously described (6,7,25): the image set, consisting of 99 still images, was scored by four
96 trained treatment- and time point-blind assessors. The CPS used in this study was the same used in Dalla Costa and others
97 (6) and it is reported in Table S1. CPS was scored by direct observation by a trained treatment-blind veterinarian pre-, 8h
98 and 20h post-procedure.

99 *MiRNAs measurement*

100 Blood was collected at three-time points (pre- and 8h and 20h post-procedure) by jugular venipuncture into Monovette®
101 tubes (Sarstedt Company, Nümbrecht, Germany) and serum was stored at -80°C until RNA extraction. Serum was thawed
102 on ice and centrifuged at 3000×g for 5 min at 4°C. An aliquot of 150 µl per sample was transferred to a new tube, and
103 RNA was extracted using miRNeasy Serum/Plasma Kits (Qiagen) following the manufacturer's instructions. After
104 incubation at room temperature for 5 min, 25 fmol (final concentration) of the exogenous synthetic spike-in control
105 *Caenorhabditis elegans* miRNA cel-miR-39 (Qiagen) was spiked into samples at the beginning of the extraction
106 procedure. Reverse transcription was performed in 15 µl volume reactions using the TaqMan MicroRNA Reverse
107 Transcription Kit (Applied Biosystems) using miRNA-specific stem-loop RT primers (9). The RT-qPCR experiments
108 were designed following MIQE guidelines (26). Small RNA TaqMan assays were performed following the
109 manufacturer's instructions using the selected primer/probe assays (ThermoFisher Scientific) listed in Table 2 (9). Data
110 were normalized relative to the cel-miR-39 expression. MiRNA expression levels are presented as fold changes
111 normalized to cel-miR-39 expression using the $2^{-\Delta\Delta C_q}$ formula.

112 *miRNA target prioritization*

113 The target genes of DE-miRNAs were predicted using MiRWALK 3.0 (27), which includes 3 miRNA-target prediction
114 programs (miRDB (28), miRTarBase (29) and TargetScan (30)). The analysis was performed targeting the entire gene
115 sequence (5'UTR, CDS, and 3'UTR). The list of target genes predicted by at least two out of three tools was included in

116 further analysis. The functional mRNA enrichment was performed using DAVID bioinformatics resource (31,32) and
117 biological pathways in the KEGG (33) were examined for enrichment. Since inflammatory response and alterations in
118 neuronal excitability are believed to contribute to the neuropathic pain, an enrichment of mRNA targets that encode for
119 immunologically relevant genes and synapse genes was performed comparing the target genes obtained from miRWalk
120 with the Gene List of ImmPort (<https://www.immport.org/home>) (34) and Synaptic Genes Database
121 (<https://syngoportal.org/>) (35).

122 *Statistical analysis*

123 The HGS consisted of the sum of the weighted scores across four FAUs, as reported by Dalla Costa and colleagues (8).
124 Statistical analysis was performed using SPSS 23 (SPSS Inc.). Statistical significance was accepted at $p \leq 0.05$. Inter-rater
125 reliability was evaluated using Intra-class Correlation Coefficient (ICC), also providing correspondent 95% confidence
126 intervals (36,37). To interpret the ICC measures, the guidelines proposed by Cicchetti (37) were applied to evaluate
127 agreement among observers: poor ($ICC < 0.40$); fair (between 0.40 and 0.59); good (between 0.60 and 0.74); excellent
128 (between 0.75 and 1.00). Data were tested for normality and homogeneity of variance using the Kolmogorov-Smirnov
129 and Levene test, respectively. As data were not normally distributed, non-parametric statistical tests were applied. The
130 Friedman test was used to detect differences in CPS, miRNAs concentrations and HGS in groups across time points.
131 Then, a post-hoc test (using Bonferroni correction) was used to determine whether CPS, miRNAs concentrations and
132 HGS differed in the same treatment group at 8 and 20 hours compared to baseline. Data collected on the two treatment
133 groups pre-castration were analysed with Mann-Whitney U test to investigate possible statistical differences between
134 groups. Spearman's rho test was performed to evaluate whether there was any correlation among miR-126-5p, miR-145
135 and miR-let7e. To analyse the correlation between significant variables (CPS, HGS, miR-126-5p, miR-145 and miR-let-
136 7e) a Principal Component Analysis (PCA, correlation matrix, Varimax rotation) on standardised data was applied.

137 **Results**

138 *Horse Grimace Scale (HGS) and Composite Pain Scale (CPS)*

139 ICC values on average scores for each FAU and correspondent 95%-confidence intervals are reported in Table 3. The
140 inter-observer reliability was "excellent" for "Stiffly backwards ears", "Orbital tightening" and "Prominent strained
141 chewing muscles" with average ICC values ranging from 0.82 to 0.96. "Good reliability" was demonstrated for "Tension
142 above eye area" ($ICC = 0.71$), "Mouth strained" ($ICC = 0.694$) and "Strained nostrils" ($ICC = 0.693$). The mean HGS for
143 each treatment group over time is presented in Figure 1A. Pre-castration, no differences between treatment groups were
144 found (Mann-Whitney U test, $p > 0.05$). Compared to basal, HGS increased significantly in horses receiving only pre-
145 operative administration of flunixin (Friedman test, $p = 0.003$), both at 8 (post-hoc test using Bonferroni correction,

146 p=0.030) and 20 hours (post-hoc test using Bonferroni correction, p=0.002) post-castration. No differences in HGS were
147 found over time in horses receiving preoperative administration of flunixin followed by local mepivacaine in the spermatic
148 cord.

149 The mean CPS score for each treatment group over time is presented in Figure 1B. Before castration, no statistical
150 differences were found in CPS between groups (Mann–Whitney U test, p>0.05). Compared to basal, CPS increased
151 significantly in horses receiving only pre-operative administration of flunixin (Friedman test, p=0.013) at 8 hours post-
152 castration (post-hoc test using Bonferroni correction, p=0.014). At 20 hours post-castration, no statistical differences were
153 found in CPS compared to basal values. No differences were found in CPS over time in horses receiving preoperative
154 administration of flunixin followed by local mepivacaine in the spermatic cord.

155 *Castration without mepivacaine alters the abundance of miR-126-5p, miR-145 and miR-let7e*

156 The relative abundance of seven miRNAs was quantified using RT-qPCR to identify differentially expressed (DE)
157 miRNAs. The expression levels of target miRNAs were normalized to the abundance of cel-miR-39. The selected
158 miRNAs were detected in all samples, except for miR-143, the Cq of which were ≥ 34.6 . The results provided the evidence
159 that the abundance of three circulating miRNAs – miR-126-5p, miR-145 and miR-let7e – were significantly modulated
160 in horses receiving only pre-operative administration of flunixin (e.g. without local mepivacaine treatment). In details,
161 compared to basal, miR-145, miR-let7e and miR-126-5p were significantly up-expressed in horses receiving only pre-
162 operative administration of flunixin (Friedman test, p<0.05) at 8 hours (post-hoc using Bonferroni correction, p=0.009,
163 p=0.014 and p=0.006, respectively). An overview of the results is presented in Figure 2 (A-C). No differences in miRNA
164 levels were found over time in horses receiving pre-operative administration of flunixin followed by local mepivacaine
165 in the spermatic cord. A positive correlation was observed between miR-145 and miR-Let7e ($R^2=0.724$; p=0.000) and
166 miR-126 ($R^2=0.580$; p=0.000).

167 *miRNA localization, target prediction, and pathway enrichment*

168 Predicted targets of DE-miRNAs were computationally retrieved from miRWalk resources and the mRNA enrichment
169 was performed using DAVID bioinformatics tools. The predicted mRNA targets of over-expressed miRNAs were 753
170 (407 at 3'UTR, 68 at 5'UTR and 278 at CDS), of which 40 and 70 were immune- and synapse-related, respectively. The
171 list of immune and synapse-related relevant genes (Table 4) was employed in further analysis. To elucidate the associated
172 functions of the DE-miRNAs, Gene Ontology (GO) analysis was performed, including the categories biological process
173 (BP), cellular component (CC) and molecular function (MF). Most BP items mainly included genes involved in ion
174 transmembrane transport (Figure 3A); the enriched CC converged on genes associated with an integral component of the

175 plasma membrane and channel complex (Figure 3B), while MF on channel activity (Figure 3C). The top 10 significantly
176 enriched KEGG pathways, with the exclusion of cancer and infection-related pathways, are reported in Figure 4. The DE-
177 miRNAs were identified to be predominantly involved in the calcium signalling pathway and adrenergic signalling in
178 cardiomyocytes.

179 *The relationship among CPS, HGS, miR-145, miR-Let7e and miR-126-5p*

180 CPS and HGS were analysed together with the expression levels of miR-145, miR-Let7e and miR-126-5p using a
181 Principal Component Analysis (PCA) on standardised data. The PCA revealed three main components explaining 84,68%
182 of total variation between horses 8h post-castration. The PCA identified two main factors with Eigen value greater than
183 1. Horses with high positive scores on the first Principal Component accounting for 39.20% of the total variance belong
184 to the group receiving only flunixin (Figure 5). MiR-Let7e and miR-126-5p load on the second Principal Component
185 accounting for 26.65% of the variance, but with opposite sign in respect to miR-145, CPS and HGS.

186 **Discussion**

187 Based on the hypothesis that an effective analgesic treatment would affect behavioural parameters and molecular markers,
188 our results show that behavioural parameters such as HGS and CPS and circulating levels of miR-145, miR-Let7e and
189 miR-126-5p were significantly higher at 8 post-castration in horses receiving only a pre-operative administration of
190 flunixin, further validating the HGS as a method to assess acute pain in horses undergoing surgical castration and pointing
191 out miR-145 as a promising biomarker to identify pain. Indeed, miR-145 concentration was meaningfully associated with
192 HGS and CPS. Even though the administration of general anaesthesia and flunixin should be considered for their potential
193 confounding effect, an untreated control group undergoing castration without any analgesic treatment was not included
194 in this study for ethical reasons, as this would have subjected the horses to risks of serious harm. Moreover, it was proven
195 in previous studies (6) that general anaesthesia does not affect HGS and that horses receiving a single preoperative
196 administration of flunixin differences following a routine surgical castration showed a significant increase in HGS scores.

197 Castration is a painful husbandry procedure, that can be only inconsistently mitigated through NSAIDs (6,15,17,38). The
198 combined use of NSAID with mepivacaine in the spermatic cord is effective in reducing pain associated with castration
199 (12,17,21). The present study demonstrated for the first time that HGS, scored by time- and treatment- blinded assessors,
200 discriminated between groups with different analgesic treatments. Compared to pre-castration, horses treated with a single
201 administration of flunixin showed a significant increase of the HGS up to 20h post-surgery, suggesting that pain persisted
202 much more extended than 8h (39). The additional intra-surgical injection of mepivacaine into each spermatic cord exerted
203 adequate analgesia: post-castration, both HGS and CPS scores were comparable to pre-castration ones. When used in
204 perineural analgesia, the local action of mepivacaine subsides between 1 and 2 hours (40) In the present study, the effect

205 of local anaesthesia is likely to be responsible for the relevant perioperative pain reduction over 8h post-castration. In
206 humans, a prolonged effect of perioperative local analgesia on postoperative pain up to 24 hours is well known and might
207 be explained by changes of synaptic neuroplasticity due to a reduced post-operative nerve impulse activity (41). The
208 ability of local administration of mepivacaine to reduce the levels of IL-6 and TNF- α , two pro-inflammatory cytokines
209 reflecting the severity of the injury and the inflammatory response, was previously described (17).

210 MiRNAs are upstream regulators of pain progression and maintenance due to their ability to post-transcriptionally
211 modulate the expression of genes. MiRNA dysregulation is reported in both inflammatory and neuropathic pain within
212 several experimental models and clinical pain disorders. They can, therefore, provide a useful source of pain biomarkers
213 in whole blood, plasma or serum (42). The process of peripheral sensitization or nociceptor hyperexcitability can occur
214 after peripheral nerve injuries, affecting primary afferents and involving modulation of ion channel permeability and
215 inflammatory genes. Additionally, expression signature from blood has the advantage of being minimally invasive, easy
216 to perform and repeatable over time. In the present study, we investigated the alteration of circulating miRNAs in a well-
217 established model of visceral inflammatory pain in veterinary medicine, attempting to quantify the impact of therapy by
218 pre-operative administration of flunixin with or without intra-surgical administration of the local anaesthetic mepivacaine
219 into each spermatic cord on pain manifestation and maintenance. The results showed that castration with pre-operative
220 administration of flunixin alone induced dysregulation of three circulating miRNAs, miR-145, miR-let-7e and miR-126,
221 the levels of which were restored to that of pre-operative amounts with the intra-operative administration of mepivacaine
222 in combination with the pre-operative administration of flunixin. These results suggested that molecular changes related
223 to pain occurred in the absence of local anaesthetic administration. The dysregulation of miR-145 as related to pain in
224 horse laminitis was previously reported (9). Being differently abundant in both pain models, miR-145 may be particularly
225 useful as an unspecific biomarker of pain in horses. Let-7 family, which is highly expressed in horse plasma (43), is
226 involved in stem cell differentiation and cell proliferation and differentiation and the modulation of the immune response,
227 either promoting or inhibiting the inflammatory response (44). Let-7 family is also involved in neuronal processes,
228 including differentiation (45), cell subtype specification (46), regeneration (47), and synapse formation (48), while
229 extracellular let-7 causes neurodegeneration by targeting toll-like receptor 7 (TLR7) (49). Moreover, nociceptor neurons
230 are excited by extracellular let-7b via TLR7 and its coupling to the TRPA1 ion channel, causing internal currents and
231 action potentials in dorsal root ganglion neurons and, finally, eliciting pain (50). MiR-126 modulates innate immunity
232 (51) by targeting PI3K/AKT and MAPK signalling pathways (52) and its overexpression suppressed inflammation and
233 ROS production by targeting HMGB1 (53). The Gene Ontology and the pathway enrichment analysis showed that DE-
234 miRNAs potentially regulate both pain- and immune-related genes. Calcium signalling, cGMP-PKG and MAPK
235 signalling pathways are significantly more expressed in the group receiving only flunixin. This phenomenon could be

236 explained by the overproduction of peripheral and central glutamate (Glu), due to the presence of pain perception. Within
237 the nervous system, Glu is an excitatory neurotransmitter, involved in a wide range of neural functions (54). The NMDA
238 receptors, a family of Glu receptors (GluRs), are ligand-gated ion channels playing an important role in synaptic plasticity.
239 Cells associated with trauma or disease release Glu, stimulating further Glu release by normal signalling mechanisms,
240 and the resulting excessive Ca^{2+} influx leads to aberrant over-activation of proteases and caspases (55). In the present
241 study, the pathways potentially regulated by DE-miRNAs (calcium signalling pathway, cGMP-PKG pathway and MAPK
242 signalling pathway) could be correlated at an increased intracellular influx of calcium and at the maintenance of painful
243 signal. Thus, in the horses treated only with flunixin, the failure in pain treatment could lead to the genesis of prolonged
244 pain in some individuals. Romifidine (use in this study as premedication drug in all animals) is a α -2 adrenoceptor agonist
245 commonly used to induce sedation and analgesia in horses (56). Moreover, some α -2 adrenoceptor agonists, including
246 romifidine, xylazine and detomidine, modulate the plasma amounts of glucose and some stress-related hormones and
247 metabolites, decreasing the release of insulin from pancreatic β -cells in horses (56). This action should be residual after
248 8h from the end of castration: however, a higher pain condition in the group treated only with flunixin could increase the
249 circulating cortisol levels and consequently lead to an inhibition of circulating insulin and increasing glycaemia
250 (mimicking a diabetes condition).

251 Taken together, our results further validate the HGS and circulating levels of miR-145 as specific pain indicators, thus
252 improving pain assessment in horses undergoing surgical castration. The combined use of HGS and the miR-145
253 expression in serum could help the veterinarian in the identification of horses with an acute pain condition. Furthermore,
254 our findings confirmed that the addition of local mepivacaine is useful for reducing pain in horses undergoing surgical
255 castration. As our results were collected in a research setting, further studies should address the feasibility and
256 applicability of these indicators in equine practice.

257 **References**

- 258 1. Dalla Costa E, Dai F, Lebelt D, Scholz P, Barbieri S, Canali E, et al. Welfare assessment of horses: The AWIN
259 approach. *Anim Welf*. 2016;25(4):481–8.
- 260 2. de Grauw JC, van Loon JPAM. Systematic pain assessment in horses. *Vet J*. 2016;209(December 2014):14–22.
- 261 3. Bussières G, Jacques C, Lainay O, Beauchamp G, Leblond A, Cadoré J-L, et al. Development of a composite
262 orthopaedic pain scale in horses. *Res Vet Sci*. 2008 Oct;85(2):294–306.
- 263 4. van Loon J, Back W, Hellebrekers LJ, van Weeren PR, Loon JPAM Van, Weeren V. Application of a Composite
264 Pain Scale to Objectively Monitor Horses with Somatic and Visceral Pain under Hospital Conditions. *J Equine*

265 Vet Sci. 2010 Nov;30(11):641–9.

- 266 5. van Loon JPAM, Van Dierendonck MC. Monitoring acute equine visceral pain with the Equine Utrecht
267 University Scale for Composite Pain Assessment (EQUUS-COMPASS) and the Equine Utrecht University Scale
268 for Facial Assessment of Pain (EQUUS-FAP): A scale-construction study. *Vet J.* 2015;206(3):356–64.

269 6. Dalla Costa E, Minero M, Lebelt D, Stucke D, Canali E, Leach MCMC. Development of the Horse Grimace Scale
270 (HGS) as a pain assessment tool in horses undergoing routine castration. *PLoS One.* 2014;9(3):e92281.

271 7. Dalla Costa E, Stucke D, Dai F, Minero M, Leach M, Lebelt D. Using the Horse Grimace Scale (HGS) to Assess
272 Pain Associated with Acute Laminitis in Horses (*Equus caballus*). *Animals.* 2016;6(8):47.

273 8. Dalla Costa E, Pascuzzo R, Leach MC, Dai F, Lebelt D, Vantini S, et al. Can grimace scales estimate the pain
274 status in horses and mice? A statistical approach to identify a classifier. *PLoS One.* 2018;13(8):e0200339.

275 9. Lecchi C, Dalla Costa E, Lebelt D, Ferrante V, Canali E, Ceciliani F, et al. Circulating miR-23b-3p, miR-145-5p
276 and miR-200b-3p are potential biomarkers to monitor acute pain associated with laminitis in horses. *Animal.*
277 2018;12(2):366–75.

278 10. Molony V, Kent JE, McKendrick IJ. Validation of a method for assessment of an acute pain in lambs. *Appl Anim
279 Behav Sci.* 2002 Mar;76(3):215–38.

280 11. Llamas Moya S, Boyle LA, Lynch PB, Arkins S. Effect of surgical castration on the behavioural and acute phase
281 responses of 5-day-old piglets. *Appl Anim Behav Sci.* 2008 May;111(1–2):133–45.

282 12. Portier KG, Jaillardon L, Leece EA, Walsh CM. Castration of horses under total intravenous anaesthesia:
283 analgesic effects of lidocaine. *Vet Anaesth Analg.* 2009;36(2):173–9.

284 13. European Horse Network. Position statements: European Horse Sector [Internet]. 2010. p. 4–7. Available from:
285 <http://www.europeanhorsenetwork.eu/position-statements/>

286 14. Canadian Veterinary Medical Association. Castration of horses, donkeys, and mules - Position statement
287 [Internet]. 2006. Available from: <http://www.canadianveterinarians.net/documents/castration-of-horses-donkeys-and-mules#.UdGrTflM9Zs>

288 15. Maassen E, Gerhards H. Equine castration: comparison of treatment with phenylbutazon, Traumeel and control
289 group. *Pferdeheilkunde.* 2009;25(5):451–60.

290 16. Waran N, Williams VM, Clarke N, Bridge IS. Recognition of pain and use of analgesia in horses by veterinarians

- 292 in New Zealand. N Z Vet J. 2010;58(6):274–80.
- 293 17. Abass M, Picek S, Garzon JFG, Kuhnle C, Zaghlou A, Bettschart-Wolfensberger R, et al. Local mepivacaine
294 before castration of horses under medetomidine isoflurane balanced anaesthesia is effective to reduce
295 perioperative nociception and cytokine release. Equine Vet J. 2018;50(6):733–8.
- 296 18. Price J, Eager RA, Welsh EM, Waran NK. Current practice relating to equine castration in the UK. Res Vet Sci.
297 2005 Jun;78(3):277–80.
- 298 19. Schaafsma MK. Assessment of pain in horses after surgical castration Composition of a pain scale. 2009.
- 299 20. Sanchez LC, Robertson SA. Pain control in horses: What do we really know? Vol. 46, Equine Veterinary Journal.
300 2014. p. 517–23.
- 301 21. Haga HA, Lykkjen S, Revold T, Ranheim B. Effect of intratesticular injection of lidocaine on cardiovascular
302 responses to castration in isoflurane-anesthetized stallions. Am J Vet Res. 2006;67(3):403–8.
- 303 22. Hall CW, Clarke KW. Veterinary anesthesia, 8th edition. London: Baillière Tindall; 1983.
- 304 23. Searle D, Dart AJ, Dart CM, Hodgson DR. Equine castration: review of anatomy, approaches, techniques and
305 complications in normal, cryptorchid and monorchid horses. Aust Vet J. 1999;77(7):428–34.
- 306 24. National Equine Welfare Council (NEWC). Equine industry welfare guidelines compendium for horses, ponies
307 and donkeys [Internet]. 2009 p. 1–42. Available from: <http://www.newc.co.uk/wp-content/uploads/2011/10/Equine-Brochure-09.pdf>
- 309 25. Dalla Costa E, Bracci D, Dai F, Lebelt D, Minero M. Do Different Emotional States Affect the Horse Grimace
310 Scale Score? A Pilot Study. J Equine Vet Sci. 2017;54(2017):114–7.
- 311 26. Bustin SA, Benes V, Garson JA, Hellemans J, Huggett J, Kubista M, et al. The MIQE guidelines: Minimum
312 information for publication of quantitative real-time PCR experiments. Clin Chem. 2009 Apr 1;55(4):611–22.
- 313 27. Sticht C, De La Torre C, Parveen A, Gretz N. miRWalk: An online resource for prediction of microRNA binding
314 sites. PLoS One. 2018;13(10):e0206239.
- 315 28. Wong N, Wang X. miRDB: An online resource for microRNA target prediction and functional annotations.
316 Nucleic Acids Res. 2015 Jan 28;43(D1):D146–52.
- 317 29. Hsu S Da, Lin FM, Wu WY, Liang C, Huang WC, Chan WL, et al. MiRTarBase: A database curates
318 experimentally validated microRNA-target interactions. Nucleic Acids Res. 2011 Jan;39(SUPPL. 1).

- 319 30. Agarwal V, Bell GW, Nam JW, Bartel DP. Predicting effective microRNA target sites in mammalian mRNAs.
320 Elife. 2015;4(AUGUST2015).
- 321 31. Huang DW, Sherman BT, Lempicki RA. Bioinformatics enrichment tools: Paths toward the comprehensive
322 functional analysis of large gene lists. Nucleic Acids Res. 2009;37(1):1–13.
- 323 32. Huang DW, Sherman BT, Lempicki RA. Systematic and integrative analysis of large gene lists using DAVID
324 bioinformatics resources. Nat Protoc. 2009;4(1):44–57.
- 325 33. Kanehisa M, Goto S, Sato Y, Furumichi M, Tanabe M. KEGG for integration and interpretation of large-scale
326 molecular data sets. Nucleic Acids Res. 2012;40(D1).
- 327 34. Bhattacharya S, Dunn P, Thomas CG, Smith B, Schaefer H, Chen J, et al. ImmPort, toward repurposing of open
328 access immunological assay data for translational and clinical research. Sci Data. 2018;5.
- 329 35. Koopmans F, van Nierop P, Andres-Alonso M, Byrnes A, Cijssouw T, Coba MP, et al. SynGO: An Evidence-
330 Based, Expert-Curated Knowledge Base for the Synapse. Neuron. 2019 Jul 17;103(2):217-234.e4.
- 331 36. McGraw KO, Wong SP. Forming inferences about some intraclass correlation coefficients. Psychol Methods.
332 1996;1(1):30.
- 333 37. Cicchetti DV. Guidelines, criteria, and rules of thumb for evaluating normed and standardized assessment
334 instruments in psychology. Psychol Assessment,. 1994;6(4):284–2.
- 335 38. Oel C, Gerhards H, Gehlen H. Influence of nociceptive stimuli on heart rate variability in equine general
336 anesthesia. Pferdeheilkd Equine Med. 2010;26(2):232–8.
- 337 39. Dalla Costa E, Rabolini A, Scelsa A, Canali E, Minero M. A study on inter-observer reliability of castration pain
338 assessment in horses. In: 2nd International Equine Science Meeting. 2012. p. 52.
- 339 40. Bidwell LA, Brown KE, Cordier A, Mullineaux DR, Clayton HM. Mepivacaine local anaesthetic duration in
340 equine palmar digital nerve blocks. Equine Vet J. 2010;36(8):723–6.
- 341 41. Barreveld A, Witte J, Chahal H, Durieux ME, Strichartz G. Preventive analgesia by local anesthetics: The
342 reduction of postoperative pain by peripheral nerve blocks and intravenous drugs. Anesth Analg.
343 2013;116(5):1141–61.
- 344 42. Andersen HH, Gazerani P. MicroRNAs and pain. Barbato C, Ruberti F, editors. Mapping of Nervous System
345 Diseases via MicroRNAs. CRC Press; 2016.

- 346 43. Lee S, Hwang S, Yu HJ, Oh D, Choi YJ, Kim M-C, et al. Expression of microRNAs in Horse Plasma and Their
347 Characteristic Nucleotide Composition. *PLoS One*. 2016;11(1):e0146374.
- 348 44. Jiang S. Recent findings regarding let-7 in immunity. Vol. 434, *Cancer Letters*. Elsevier Ireland Ltd; 2018. p.
349 130–1.
- 350 45. Schwamborn JC, Berezikov E, Knoblich JA. The TRIM-NHL Protein TRIM32 Activates MicroRNAs and
351 Prevents Self-Renewal in Mouse Neural Progenitors. *Cell*. 2009 Mar 6;136(5):913–25.
- 352 46. Wu YC, Chen CH, Mercer A, Sokol NS. Let-7-Complex MicroRNAs Regulate the Temporal Identity of
353 Drosophila Mushroom Body Neurons via chinmo. *Dev Cell*. 2012 Jul 17;23(1):202–9.
- 354 47. Li S, Wang X, Gu Y, Chen C, Wang Y, Liu J, et al. Let-7 microRNAs regenerate peripheral nerve regeneration
355 by targeting nerve growth factor. *Mol Ther*. 2015 Mar 5;23(3):423–33.
- 356 48. Caygill EE, Johnston LA. Temporal Regulation of Metamorphic Processes in Drosophila by the let-7 and miR-
357 125 Heterochronic MicroRNAs. *Curr Biol*. 2008 Jul 8;18(13):943–50.
- 358 49. Lehmann SM, Krüger C, Park B, Derkow K, Rosenberger K, Baumgart J, et al. An unconventional role for
359 miRNA: Let-7 activates Toll-like receptor 7 and causes neurodegeneration. *Nat Neurosci*. 2012 Jun;15(6):827–
360 35.
- 361 50. Park CK, Xu ZZ, Berta T, Han Q, Chen G, Liu XJ, et al. Extracellular microRNAs Activate nociceptor neurons
362 to elicit pain via TLR7 and TRPA1. *Neuron*. 2014 Apr 2;82(1):47–54.
- 363 51. Ferretti C, La Cava A. miR-126, a new modulator of innate immunity. *Cell Mol Immunol*. 2014;11(3):215–7.
- 364 52. Sonntag KC, Woo TUW, Krichevsky AM. Converging miRNA functions in diverse brain disorders: A case for
365 miR-124 and miR-126. Vol. 235, *Experimental Neurology*. 2012. p. 427–35.
- 366 53. Tang ST, Wang F, Shao M, Wang Y, Zhu H qing. MicroRNA-126 suppresses inflammation in endothelial cells
367 under hyperglycemic condition by targeting HMGB1. *Vascul Pharmacol*. 2017 Jan 1;88:48–55.
- 368 54. Fairman WA, Amara SG. Functional diversity of excitatory amino acid transporters: ion channel and transport
369 modes. *Am J Physiol Physiol*. 1999;277(4):F481–6.
- 370 55. Ribeiro MF, Paquet M, Cregan PS, Ferguson SGS. Group I Metabotropic Glutamate Receptor Signalling and its
371 Implication in Neurological Disease. *CNS Neurol Disord - Drug Targets*. 2012 Nov 3;9(5):574–95.
- 372 56. Kullmann A, Sanz M, Fosgate GT, Saulez MN, Page PC, Rioja E. Effects of xylazine, romifidine, or detomidine

373 on hematology, biochemistry, and splenic thickness in healthy horses. Can Vet J. 2014;55(4):334–40.

374 **Acknowledgements**

375 The authors would like to thank students of the course of Applied Statistics for sharing their thoughts on data analysis.

376 **Author Contributions**

377 The contributions of each author were as follows: C. L., F. D., M. M., E. D. C., D. L., D. S., conceived and designed the
378 experiments; C. L., D. L., D. S., E. D. C. performed the experiments; E.D.C., F.A., analysed the data; C. L., F. C. carried
379 out the laboratory analysis; C. L., F. D., M. M., E. D. C., D. L., F. A., G. R., E. C., F. C., D. S. wrote the paper.

380 **Competing interests**

381 None declared.

382 **Funding**

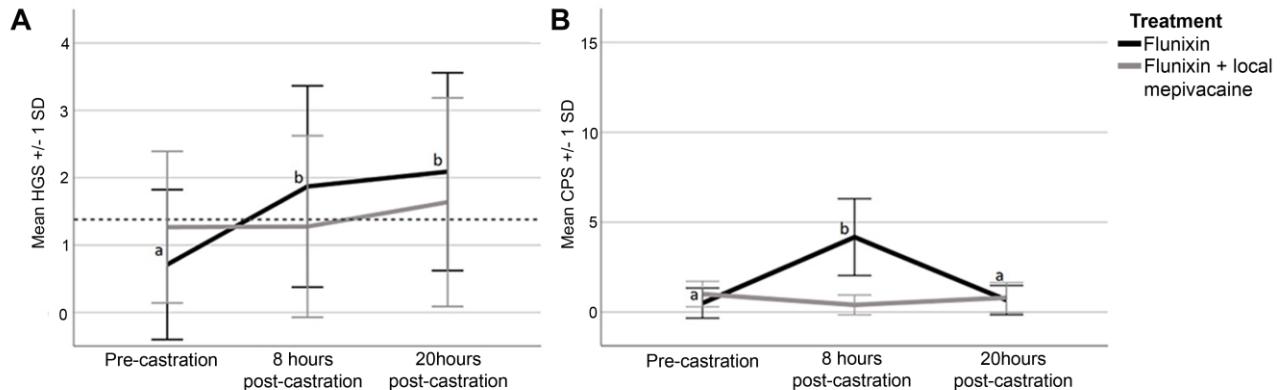
383 This project has received funding support from the Grant Line 2-Action B, awarded by Università degli Studi di Milano.
384 The Animal Welfare Indicators (AWIN) project (FP7-KBBE-2010-4) has received funding from the European Union
385 Seventh Framework Programme for research, technological development and demonstration.

386 **Ethics statement**

387 Surgical castration was carried out in compliance with the European Communities Council Directive (No. 86/609/EEC).
388 This study was registered as an animal experiment at the Brandenburg State Veterinary Authority (V3-2347-A-42-1-
389 2012) in compliance with German legislation on animal experiments. Horses underwent routine surgical castration for
390 husbandry purposes at the request of their owner voluntarily. No animals underwent surgery or were directly used to
391 record data for this study. Verbal informed consent was gained from each participant before taking part in this research.
392 Written consent was deemed unnecessary as no personal details of the participants were recorded. No animals received
393 less than the standard analgesic regimen for the study. This study employed a strict “rescue” analgesia policy: if any horse
394 was deemed to be in greater than mild pain (assessed live by an independent veterinarian), then additional, pain-relieving
395 medication would immediately be administered and the animal removed from the study. The choice of medication and
396 dosage would be based on the severity of pain identified through the clinical examination of the individual horse.

397

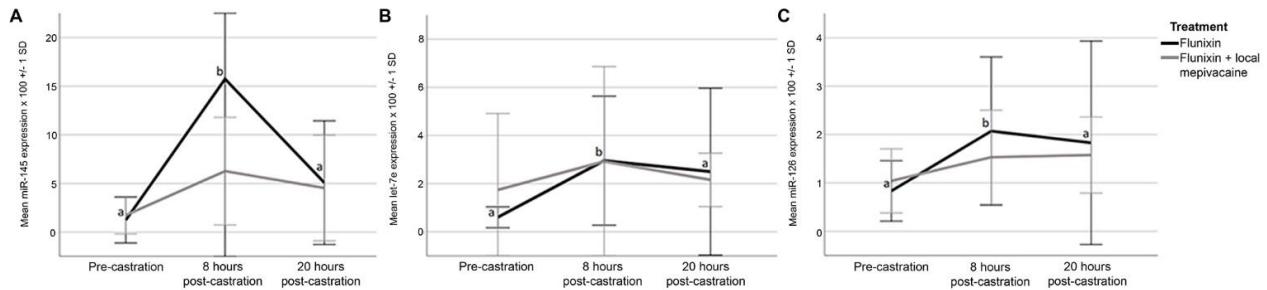
398



399

400 **Figure 1** – The charts represent mean (A) HGS \pm 1 SD and (B) CPS \pm 1 SD over time in the two treatment groups.
 401 Differences within the treatment groups are indicated as follows: a,b p<0.05 (post-hoc test using Bonferroni correction).
 402 Pain threshold as described by Dalla Costa and colleagues (8) is represented by the dashed grey line.

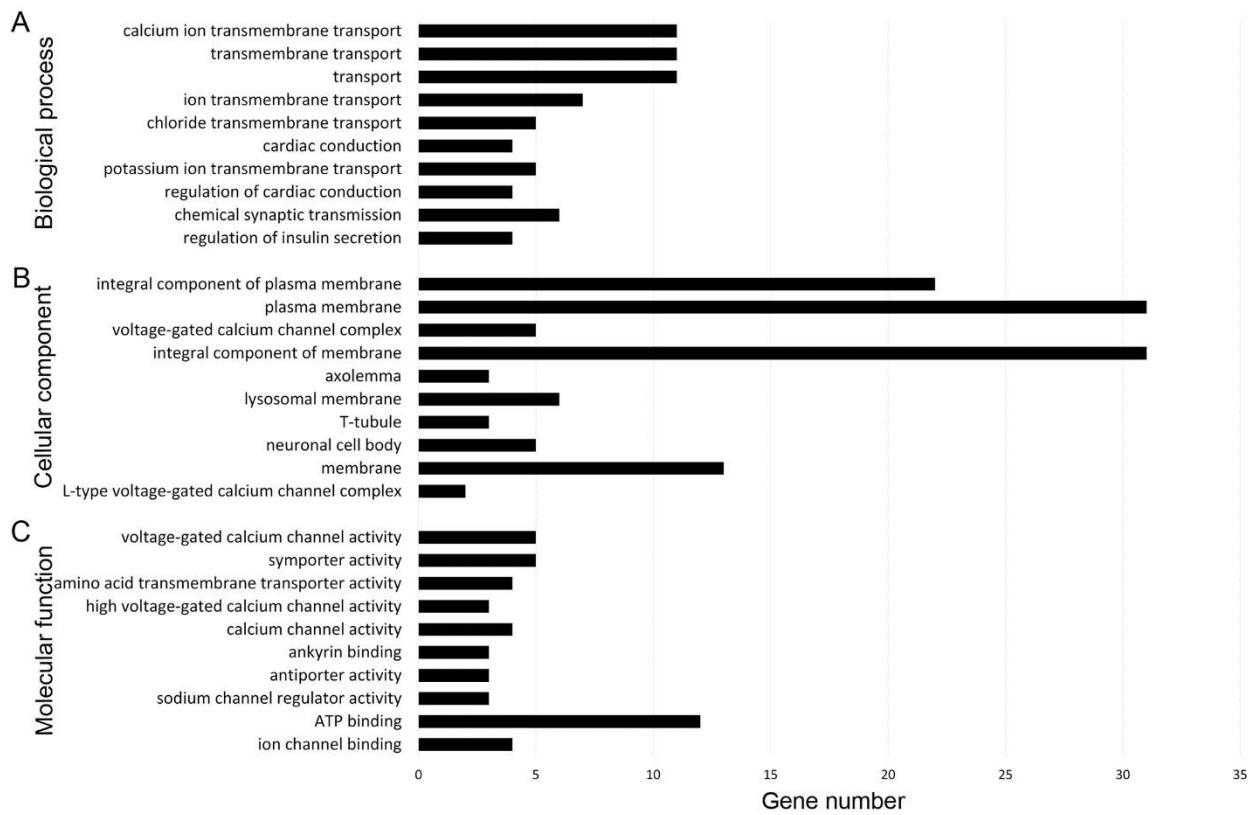
403



404

405 **Figure 2** - The charts of circulating DE-miRNAs over time in the two treatment groups of miR-145 (A), miR-let7e (B)
 406 and miR-126 (C). Significance was declared for ^{a,b} p<0.05 (post-hoc test using Bonferroni correction).

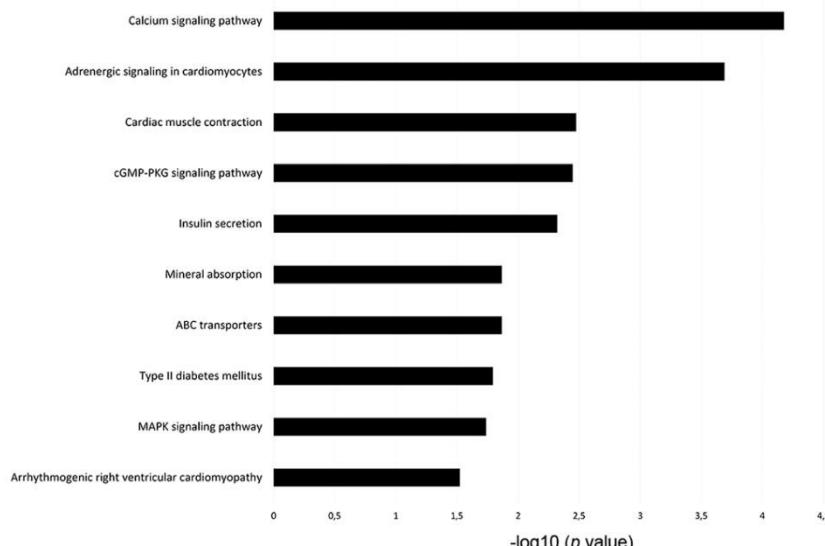
407



408

409 **Figure 3** - Enriched gene ontology (GO) of terms potentially regulated by DE-miRNAs. The target genes were annotated
 410 by DAVID at three levels: (A) biological process, (B) cellular component and (C) molecular function. The top 10
 411 significantly enriched items are shown.

412

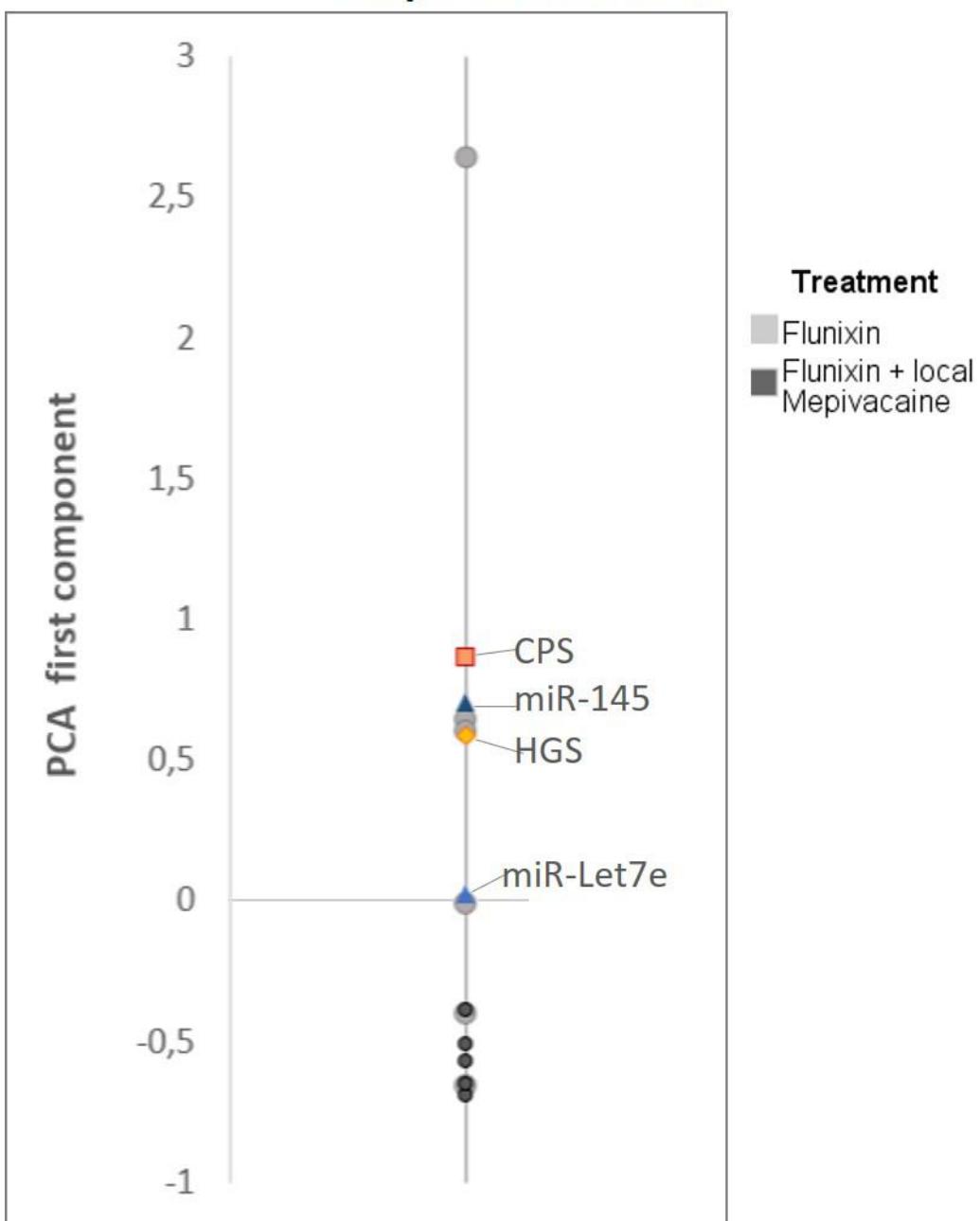


413

414 **Figure 4** - Pathway enrichment analysis for pain- and immune-related genes potentially regulated by DE-miRNAs. Genes
 415 regulated by DE-miRNAs were retrieved and enriched in KEGG using DAVID. The p-value was negative 10-base log-
 416 transformed. The top 10 enriched KEGG pathways are reported.

417

8h post-castration



418

419 **Figure 5** – Loadings of CPS, HGS, miR-145, miR-126-5p and miR-Let7e 8 hours post-castration along the first two PCA
420 Components. Horses receiving only pre-operative administration of flunixin are presented in black dots, while horses
421 receiving preoperative administration of flunixin followed by local mepivacaine in the spermatic cord are presented in
422 grey dots. Proximity in space between indicators indicates categories which are related.

423

424 **Table 1** - Breed and age distribution within the two treatment groups.

Treatment group	Breed	Age
Flunixin	Islandic Horse	3 years
	German Warmblood	1 year
	Frisian	2 years
	Quarter Horse	2 years
	German Warmblood	3 years
	Tennessee Walker	2 years
Flunixin + local Mepivacaine	Islandic Horse	3 years
	German Warmblood	3 years
	Black Forest Coldblood	3 years
	Black Forest Coldblood	2 years
	Quarter Horse	2 years

425

426

427 **Table 2** - List of TaqMan™ probes (ThermoFisher Scientific, Monza, Italy) and assay IDs.

miRNA	Assay ID	Related to	
cel-miR-39-3p	000200	Exogenous spike-in	Exogenous spike-in
hsa-miR-200b	002251	Pain	Lecchi et al., 2018 doi: 10.1017/S1751731117001525.
hsa-miR-23b	000400	Pain	Lecchi et al., 2018 doi: 10.1017/S1751731117001525
hsa-let-145-5p	002278	Pain	Lecchi et al., 2018 doi: 10.1017/S1751731117001525
hsa-miR-143	002249	Pain	Kreth et al., 2018 doi: 10.1213/ANE.0000000000002444
hsa-let-7e-5p	002406	Inflammation/immunity	Hildebrand et al., 2018. https://doi.org/10.3389/fimmu.2018.01224
hsa-miR-126-5p	000451	Inflammation	Marques-Rocha et al., 2015 doi: 10.1096/fj.14-260323
mmu-miR-221	000524	Inflammation	Marques-Rocha et al., 2015 doi: 10.1096/fj.14-260323

428

429

430 **Table 3** - Results regarding the inter-observer reliability analysis on HGS. Average scores of ICC, with the 95%-
431 confidence interval reported in brackets, are presented for each FAU of HGS.

Facial Action Units	Intraclass Correlation Coefficient (ICC)
Stiffly backwards ears	0,962 (0,952 – 0,971)
Orbital tightening	0,834 (0,789 - 0,872)
Tension above the eye area	0,712 (0,633 – 0,778)
Prominent strained chewing muscles	0,821 (0,772 – 0,862)
Mouth strained	0,694 (0,609 – 0,764)
Strained nostrils	0,693 (0,607 – 0,763)

432

433

434 **Table 4** - Immune- and synapse-related target genes of differentially expressed miRNAs. Immune-related genes were
435 retrieved from ImmPort (<https://www.innatedb.com/redirect.do?go=resourcesGeneLists>) and synapse-related genes from
436 Synaptic Genes Database (<https://syngoportal.org/>).

Immune-related genes	Synaptic-related genes
<i>ACVR1B, ACVR1C, ACVR2A, ADRB2, AEN, AP3B1, ARG2, BACH2, BMP3, CASP3, CCL7, CCR7, DDX17, FASLG, FGF11, FLT1, GDF6, GHR, IGF1, IGF1R, NGF, NR4A2, OLR1, OSMR, PLXNA4, PLXND1, PPP3CA, PRLR, PTAFR, PTGFR, SEMA4F, SEMA4G, SOCS1, TGFBR1, TGFBR2, TGFBR3, THBS1, TNFSF9, TPT1, VAV3</i>	<i>ABHD17C, ABL2, ABR, ACTB, ADGRL3, ADRB2, AKAP9, AP1G1, ARF6, ARHGEF15, ATP2B4, ATP6V1C1, BCR, BEGAIN, BSN, BTBD9, CACNA1D, CACNA1E, CACNB2, CACNB4, CAPRIN1, CAPZB, CPEB1, CRK, CRKL, CTNND1, DAGLA, DAPK1, DICER1, DLGAP4, DMD, DVL3, EEF2K, EIF4EBP2, EPHA3, ERBB4, EXOC2, FARPI, FBXO45, FZD4, GABRA6, GIT1, HIP1, IGF1, IGF1R, ITGB3, KCNC2, KCNJ11, KPNA1, LRFN4, NECTIN1, P2RX1, PLXNA4, PLXND1, PPP1R9A, PPP3CA, PRKAR2A, PTPRD, RAB5C, RAPH1, SEMA4F, SKP1, SLC1A2, SLC8A2, SLTRK4, SNAP23, STX3, SYT1, SYT11, SYT2</i>

437

438

440 **Supplementary data**

Behaviour	Criteria	Score/30
Posture	Normal movements, stands quietly with equal weight distribution among all four legs or stands resting with weight distribution among only three legs	0
	Occasional weight shift, temporarily showing discharge positions, slight muscle tremors	1
	Non-weight bearing, abnormal weight distribution	2
	Analgesic posture (attempts to urinate), prostration, muscle tremors	3
Head movement / notable gesture	Natural head movements, head straight ahead for the most part	0
	Intermittent head movements laterally or vertically, looking at flanks (1–2/5 min), lip curling/teeth grinding with or without chewing movements (1–2/5 min)	1
	Intermittent and rapid head movements laterally or vertically, frequent looking at flank (3–4/5 min), lip curling/teeth grinding with or without chewing movements (3–4/5 min)	2
	Continuous head movements, excessively looking at flank (>5 times/5 min), lip curling/teeth grinding with or without chewing movements (>5 times/5 min)	3
Movement	Stands relaxed or quiet movement	0
	Reduced movement or mild agitation	1
	Reluctance to move or moderate agitation	2
	Refusal of movement or uncontrollable forwards movement	3
Pawing on the floor	Quietly standing, no pawing	0
	Occasional pawing (1–2 times/5 min)	1
	Frequent pawing (3–4 times/5 min)	2
	Excessive pawing (>5 times/5 min)	3
Kicking at abdomen	Quietly standing, no kicking	0
	Occasional kicking at abdomen (1–2 times/5 min)	1
	Frequent kicking at abdomen (3–4 times/5 min)	2
	Excessive kicking at abdomen (>5 times/5 min), intermittent attempts to lie down	3
Auditory stimulus (click one's tongue)	Pays attention to people and noises	0
	Exaggerated response to auditory stimulus	1
	Excessively aggressive response to auditory stimulus	2
	Stupor, prostration, no response to auditory stimulus	3
Appetite (hay fed by hand)	Eats hay readily or is not allowed to eat hay	0
	Hesitates to eat hay	1
	Shows little interest in hay, eats very little or takes hay in mouth but does not chew or swallow	2
	Neither shows interest in nor eats hay	3
Sweating	No obvious signs of sweat	0
	Damp to the touch	1
	Wet to the touch, beads of sweat are apparent over the horse's body	2
	Excessive sweating, beads of water running off the animal	3
Touch response (approaching the horse slowly (left side), allowing it to sniff your hand, slowly touching the neck and withers, stroking along the back down to the flank and in direction of the painful area/genitals)	Contacting, no defence reaction to touch	0
	Contacting, trying to avoid touch through shifting of weight or taking a step to the side	1
	Contacting, trying to escape from touch by walking or trotting and / or aggressive defence by head swinging with ears pinned back	2
	aggressive defence reaction to touch with kick or bite (open mouth with exposed teeth) threatens or cannot be touched at all	3
Physiology	Criteria	Score/12
Heart rate	24-44 bpm	0
	45-52 bpm	1
	53-60 bpm	2
	> 60 bpm	3
Ventilation rate	8-13 breaths pm	0
	14-16 breaths pm	1
	17-18 breaths pm	2
	> 18 breaths pm	3
Digestive sounds	Normal	0
	Decreased motility	1
	No motility	2
	Hypermotility	3
Rectal temperature	36,9 –38,5 °C	0
	36,4–36,9 °C or 38,5–39,0 °C	1
	35,9–36,4 °C or 39,0–39,5 °C	2
	35,4–35,9 °C or 39,5–40,0 °C	3
CPS Overall Score		/42

441 **Table S1** - Composite Pain Scale (CPS) according to Bussières and others (2008) modified considering Bohnet (2007)

442 and (Søndergaard and Halekoh 2003)