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## BIHARMONIC HYPERSURFACES IN COMPLETE RIEMANNIAN MANIFOLDS

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**We consider biharmonic hypersurfaces in complete Riemannian manifolds  
and prove that, under some additional assumptions, they are minimal.**

### 1. Introduction

According to a definition first given by B. Y. Chen [1991], an isometrically immersed oriented hypersurface in Euclidean space,  $\varphi : M \rightarrow \mathbb{R}^{m+1}$  is biharmonic if its mean curvature vector field  $\mathbf{H}$  satisfies

$$\Delta \mathbf{H} = 0,$$

where  $\Delta$  denotes the Laplacian on the hypersurface. It is well known that for submanifolds of Euclidean space,  $\text{trace}(B) = m\mathbf{H} = \Delta\varphi$ , where  $B$  is the second fundamental form of the immersion. Hence, for any fixed unit vector  $\mathbf{a}$  of  $\mathbb{R}^{m+1}$ ,

$$(1) \quad m\Delta\langle \mathbf{H}, \mathbf{a} \rangle = \Delta^2\langle \varphi, \mathbf{a} \rangle$$

and the hypersurface is biharmonic if and only if each component of the immersion  $\varphi$  is a biharmonic function. Chen [1991; 1996] conjectured that a biharmonic hypersurface (in fact any biharmonic submanifold) of  $\mathbb{R}^{m+1}$  is minimal, the converse being, of course trivially true. This statement is of a local nature and the conjecture holds for hypersurfaces in  $\mathbb{R}^3$  [Chen 1991] and  $\mathbb{R}^4$  [Hasanis and Vlachos 1995; Defever 1998]. However, in general, it has been shown to be true only under some additional assumptions, sometimes of a global nature: see for instance [Akutagawa and Maeta 2013] and [Nakauchi and Urakawa 2011].

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This problem can be considered in a more general perspective. Indeed, let  $(M, g)$  and  $(N, h)$  be Riemannian manifolds and  $\varphi : (M, g) \rightarrow (N, h)$  a smooth map. Let  $\tau(\varphi)$  denote its tension field, that is,

$$\tau(\varphi) = \text{trace}(\nabla d\varphi) = \sum_{i=1}^m (\nabla d\varphi)(e_i, e_i), \quad m = \dim M,$$

where  $\nabla d\varphi$  is the generalized second fundamental tensor and  $\{e_1, \dots, e_m\}$  is a local orthonormal frame on  $(M, g)$ . Given a relatively compact domain  $\Omega \subset M$  one introduces the bienergy functional  $E_\tau^\varphi(\Omega)$  on  $\Omega$  by setting

$$E_\tau^\varphi(\Omega) = \frac{1}{2} \int_\Omega |\tau(\varphi)|^2,$$

where integration is understood with respect to the volume element of  $(M, g)$ . Then  $\varphi$  is a biharmonic map (meaning a critical point of this functional on  $M$  — i.e., on each relatively compact domain  $\Omega \subset M$ ), if and only if the bitension field

$$(2) \quad \tau_2(\varphi) = \Delta\tau(\varphi) - \sum_i R^N(\tau(\varphi), \varphi_*(e_i))\varphi_*(e_i)$$

vanishes identically. Here  $R^N$  denotes the  $(3, 1)$  curvature tensor of  $(N, h)$ .

When  $\varphi : (M^m, g) \rightarrow (N^{m+1}, h)$  is an isometric immersion of an  $m$ -dimensional hypersurface and  $\nu$  is a local unit normal vector field along  $\varphi$ , writing the mean curvature vector as

$$(3) \quad \mathbf{H} = H\nu$$

and indicating with  $B$  the second fundamental form in the direction of  $\nu$ , a heavy computation shows that (2) is equivalent to the system

$$(4a) \quad \Delta H - |B|^2 H + \text{Ric}^N(\nu, \nu)H = 0,$$

$$(4b) \quad 2B(\nabla H, \cdot)^\# + \frac{1}{2}m\nabla H^2 - 2H(\text{Ric}^N(\nu, \cdot)^\#)^T = 0,$$

where  $\# : TM^* \rightarrow TM$  denotes the musical isomorphism,  $T$  the tangential component and  $\text{Ric}^N$  the Ricci tensor of  $(N, h)$  [Ou 2010, Theorem 2.1].

At this point one easily verifies that a biharmonic hypersurface in  $\mathbb{R}^{m+1}$  in the sense of Chen is exactly a biharmonic hypersurface as defined in this more general setting. In this new perspective Chen's conjecture has been generalized to the following [Caddeo et al. 2001; 2002]:

*Let  $\varphi : (M, g) \rightarrow (N, h)$  be an isometric immersion into a Riemannian manifold of nonpositive sectional curvature. If  $\varphi$  is biharmonic then it is minimal.*

This new conjecture has been shown to be true if  $M$  is compact [Jiang 1986] or if  $H$  is constant [Ou 2010], but false in general [Ou and Tang 2012]. Here we restrict ourselves to complete noncompact biharmonic hypersurfaces and in fact we concentrate our efforts on the consequences of (4a) alone.

To avoid confusion with a terminology used for biharmonic submanifolds, we underline that in what follows by a *proper immersion* we mean an immersion that is topologically proper: preimages of compact sets are compact sets.

## 2. Statement of main results

Our first main result is the following.

**Theorem 1.** *Let  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  be an oriented, proper, isometrically immersed, biharmonic hypersurface in the complete manifold  $N$ . For some origin  $o \in N$  assume that*

$$\varphi(M) \cap \text{cut}(o) = \emptyset.$$

Having set  $\varrho = \text{dist}_N(\cdot, o)$ , suppose that the radial sectional curvature  $K_{\text{rad}}^N$  of  $N$  satisfies

$$(5) \quad K_{\text{rad}}^N \geq -G(\varrho)$$

for  $\varrho \gg 1$  and some  $G \in \mathcal{C}^2(\mathbb{R}_0^+)$  such that  $G(0) > 0$ ,  $G'(t) \geq 0$  and  $G(t) = o(t^2)$  as  $t \rightarrow +\infty$ . Let  $\nu$  be a unit normal vector field along  $\varphi$  and suppose

$$(6) \quad \text{Ric}^N(\nu, \nu) \leq 0$$

along  $\varphi$ . Then  $\varphi$  is minimal. In particular if the sectional curvature  $K_{\text{sect}}^N$  is nonpositive,  $\varphi(M)$  is unbounded in  $N$ .

As an immediate consequence of Theorem 1, using [Mari and Rigoli 2010] and [Alfías et al. 2009], we obtain:

**Corollary 2.** *Let  $\varphi : M \rightarrow \mathbb{R}^{m+1}$  be an oriented, isometrically immersed, biharmonic hypersurface. If the image  $\varphi(M)$  is contained in a nondegenerate open cone of  $\mathbb{R}^{m+1}$  or the hypersurface is cylindrically bounded as  $\varphi(M) \subset B_r(o) \times \mathbb{R}^{m-1} \subset \mathbb{R}^2 \times \mathbb{R}^{m-1}$ , then the immersion cannot be proper.*

We recall here that, fixed an origin  $o \in \mathbb{R}^{m+1}$ , the nondegenerate cone with vertex  $o$ , direction  $a$  and width  $\theta$  is the subset

$$\mathcal{C} = \mathcal{C}_{o,a,\theta} = \left\{ p \in \mathbb{R}^{m+1} \setminus \{o\} : \left\langle \frac{p-o}{|p-o|}, a \right\rangle \geq \cos \theta \right\},$$

where  $a \in \mathbb{S}^m$  is a unit vector and  $\theta \in (0, \pi/2)$ . By nondegenerate we mean that it is strictly smaller than a half-space. On the other hand, following the definition introduced in [Alfías et al. 2009], an immersed hypersurface  $\varphi : M \rightarrow \mathbb{R}^{m+1}$  is said

to be cylindrically bounded if  $\varphi(M) \subset B_r(o) \times \mathbb{R}^{m+1-p} \subset \mathbb{R}^p \times \mathbb{R}^{m+1-p}$ , where  $p \geq 2$  and  $B_r(o) \subset \mathbb{R}^p$  denotes the ball of radius  $r$ . In particular,  $p = 2$  gives the weakest requirement.

To introduce the next result we consider the operator

$$(7) \quad L = \Delta + \text{Ric}^N(\nu, \nu)$$

where  $\nu$  is a unit normal vector field along the hypersurface  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  and we let  $\lambda_1^L(M)$  denote its spectral radius. Clearly if  $\text{Ric}^N(\nu, \nu) \leq 0$  then  $\lambda_1^L(M) \geq 0$  but this latter fact can be true even if  $\text{Ric}^N(\nu, \nu) > 0$  provided this positivity compensates with the geometry of  $M$ . (For a detailed discussion see [Bianchini et al. 2012]). Thus  $\lambda_1^L(M) \geq 0$  is weaker than  $\text{Ric}^N(\nu, \nu) \leq 0$ .

**Theorem 3.** *Let  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  be a biharmonic, complete, oriented hypersurface with mean curvature  $H$ . Suppose that the operator  $L$  in (7) satisfies*

$$(8) \quad \lambda_1^L(M) \geq 0.$$

*If  $H \in L^2(M)$  then  $\varphi$  is minimal.*

This result is extended to a different class of integrability for  $H$  in Theorem 7 of Section 3 below.

Next, we consider the case when  $(N, \langle \cdot, \cdot \rangle)$  is a Cartan–Hadamard manifold, that is,  $N$  is complete, simply connected and with nonpositive sectional curvature. What follows is a gap theorem.

**Theorem 4.** *Let  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  be an isometrically immersed, oriented, biharmonic hypersurface of dimension  $m \geq 3$  into a Cartan–Hadamard manifold. Suppose that the mean curvature  $H$  satisfies*

$$(9) \quad \|H\|_{L^m(M)} < \frac{\omega_m^{1/m}}{\pi 2^{m-1}} \frac{m-1}{m(m+1)^{1+\frac{1}{m}}},$$

*where  $\omega_m$  is the volume of the unit ball of  $\mathbb{R}^m$ . Then  $\varphi$  is a minimal hypersurface.*

### 3. Proof of the main theorems and some further results

With the notations of Theorem 1 we consider the function  $v = \varrho^2 \circ \varphi$ . The assumption  $\varphi(M) \cap \text{cut}(o) = \emptyset$  implies that  $v$  is smooth on  $M$ . Clearly,

$$(10) \quad |\nabla v| \leq 2\sqrt{v}.$$

Since  $M$  is complete and noncompact and  $\varphi$  is proper we have

$$(11) \quad v(x) \rightarrow +\infty \quad \text{as } x \rightarrow \infty \quad \text{in } M.$$

To compute  $\Delta v$  we recall (see, for instance, [Jorge and Koutroufiotis 1981]) that

$$(12) \quad \Delta(\varrho^2 \circ \varphi) = (\text{Hess } \varrho^2)(\varphi_*(e_i), \varphi_*(e_i)) + \langle \nabla \varrho^2, m\mathbf{H} \rangle$$

with  $\{e_i\}$  a local orthonormal frame on  $M$ . Let  $G \in C^\infty(\mathbb{R}_0^+)$  satisfy

$$(13) \quad G(0) > 0 \quad \text{and} \quad G'(t) \geq 0 \quad \text{on } \mathbb{R}_0^+.$$

(In particular,  $G$  can be chosen to agree, for  $t$  large, with the function  $ct^d$ , where  $0 < d < 2$ , or with  $ct^2(\log t)^{-\varepsilon}$ , where  $\varepsilon > 0$ .)

If  $K_{\text{rad}}^N \geq -G$ , by the Hessian comparison theorem (see Theorem 2.3 and Remark 2.3 of [Pigola et al. 2008] for the appropriate statement that we are using here) we get

$$(14) \quad \text{Hess}(\varrho^2) \leq C\varrho\sqrt{G(\varrho)}\langle \cdot, \cdot \rangle$$

outside a compact set and for some appropriate constant  $C > 0$ . Up to modifying  $C$  we can assume that (14) is true on  $M$ . Hence, from (12) and (14) we deduce that

$$(15) \quad \Delta v \leq C^2\sqrt{v}\sqrt{G(\sqrt{v})} + 2m\sqrt{v}|H|$$

on  $M$ . Next, from (4a), letting  $u = H^2$  we get

$$(16) \quad \Delta u = 2H\Delta H + 2|\nabla H|^2 = 2|B|^2u - 2\text{Ric}^N(v, v)u + 2|\nabla H|^2.$$

Using Newton's inequality,

$$(17) \quad |B|^2 \geq m|H|^2,$$

we obtain

$$(18) \quad \Delta u + 2\text{Ric}^N(v, v)u - 2mu^2 \geq 2|\nabla H|^2 \geq 0,$$

and we are left with a solution  $u \geq 0$  of the differential inequality

$$(19) \quad \Delta u + a(x)u - 2mu^2 \geq 0$$

with

$$(20) \quad a(x) = 2\text{Ric}^N(v, v) \circ \varphi(x).$$

*Proof of Theorem 1.* First observe that since  $\varphi$  is proper and  $N$  is complete, the induced metric on  $M$  is complete. Next we follow an idea introduced in [Akutagawa and Maeta 2013]. Since  $\varphi$  is proper, for every  $T \in \mathbb{R}^+$ , the set

$$D_T = v^{-1}([0, T])$$

is compact. Suppose  $u \not\equiv 0$ . Then there exists  $x_0 \in M$  such that  $u(x_0) > 0$  and we can suppose to have chosen  $T$  sufficiently large that  $x_0 \in D_{T/2} \setminus \partial D_{T/2}$ .

We define

$$(21) \quad F(x) = (T - v(x))^2 u(x)$$

on  $D_T$ . Note that  $F \geq 0$ ,  $F \equiv 0$  on  $\partial D_T$  and  $F(x_0) > 0$ . It follows that there exists a positive absolute maximum for  $F(x)$  at some point  $\bar{x} \in D_T \setminus \partial D_T$ . At this point we have

$$(22) \quad \frac{\nabla F}{F}(\bar{x}) = 0 \quad \text{and} \quad \frac{\Delta F}{F}(\bar{x}) \leq 0.$$

From (22), a straightforward computation yields

$$(23) \quad \frac{\nabla u(\bar{x})}{u(\bar{x})} = \frac{2}{T - v(\bar{x})} \nabla v(\bar{x})$$

and

$$\frac{\Delta u(\bar{x})}{u(\bar{x})} \leq \frac{2}{T - v(\bar{x})} \Delta v(\bar{x}) - \frac{2}{(T - v(\bar{x}))^2} |\nabla v(\bar{x})|^2 + \frac{4}{T - v(\bar{x})} \frac{|\nabla u(\bar{x})|}{u(\bar{x})} |\nabla v(\bar{x})|.$$

We use (23), (15) at  $\bar{x}$  with  $\sqrt{u} = |H|$ , and (10) at  $\bar{x}$  into the above inequality to obtain (omitting  $\bar{x}$  for the ease of notation)

$$\begin{aligned} \frac{\Delta u}{u} &\leq \frac{2}{T - v} [C^2 \sqrt{G(\sqrt{v})} + 2m\sqrt{u}] \sqrt{v} + \frac{6}{(T - v)^2} |\nabla v|^2 \\ &\leq \frac{2}{T - v} [C^2 \sqrt{G(\sqrt{v})} + 2m\sqrt{u}] \sqrt{v} + \frac{24}{(T - v)^2} v. \end{aligned}$$

From (19) we then deduce

$$(24) \quad u \leq \frac{a}{2m} + \frac{C^2 \sqrt{v}}{m(T - v)} \sqrt{G(\sqrt{v})} + \frac{2\sqrt{v}}{T - v} \sqrt{u} + \frac{12}{m(T - v)^2} v.$$

Multiplying by  $(T - v(x))^2$  both sides of (24) and using that  $a(x) = a_+(x) - a_-(x)$ , that  $G$  is nondecreasing, and that  $\bar{x} \in D_T$  we have

$$\begin{aligned} F(\bar{x}) &\leq \frac{a_+(\bar{x})}{2m} (T - v(\bar{x}))^2 + \frac{C^2 \sqrt{v(\bar{x})}}{m} (T - v(\bar{x})) \sqrt{G(\sqrt{v(\bar{x})})} \\ &\quad + 2\sqrt{v(\bar{x})} \sqrt{F(\bar{x})} + \frac{12}{m} v(\bar{x}) \\ &\leq \frac{T^2}{2m} a_+(\bar{x}) + \frac{C^2 T^{3/2}}{m} \sqrt{G(\sqrt{T})} + 2\sqrt{T} \sqrt{F(\bar{x})} + \frac{12}{m} T. \end{aligned}$$

Therefore

$$F(\bar{x}) - 2\sqrt{T} \sqrt{F(\bar{x})} - TZ(T) \leq 0,$$

where

$$Z(T) = \frac{T}{2m} \sup_{D_T} a_+ + \frac{C^2}{m} \sqrt{T} \sqrt{G(\sqrt{T})} + \frac{12}{m}.$$

Note that  $Z(T) \geq 0$ . Then

$$F(x_0) \leq F(\bar{x}) \leq T(1 + \sqrt{1 + Z(T)})^2 \leq C^2 T(1 + Z(T))$$

and therefore, since  $x_0 \in D_{T/2}$ ,

$$\begin{aligned} u(x_0) &\leq \frac{C^2 T}{(T - v(x_0))^2} (T \sup_{D_T} a_+ + \sqrt{T} \sqrt{G(\sqrt{T})}) \\ &\leq \frac{C^2}{T} (T \sup_{D_T} a_+ + \sqrt{T} \sqrt{G(\sqrt{T})}) = C^2 (\sup_{D_T} a_+ + \frac{1}{\sqrt{T}} \sqrt{G(\sqrt{T})}). \end{aligned}$$

However, by assumption  $a_+ \equiv 0$  and using  $G(t) = o(t^2)$  as  $t \rightarrow +\infty$  we have

$$T^{-1/2} \sqrt{G(\sqrt{T})} = o(1) \quad \text{as } T \rightarrow +\infty.$$

Thus, letting  $T \rightarrow +\infty$  in (25), we deduce  $u(x_0) \leq 0$  which contradicts the assumption  $u(x_0) > 0$ . The contradiction shows that  $u = H^2 \equiv 0$  on  $M$ , that is,  $\varphi$  is minimal.

Suppose now that  $K_{\text{sect}}^N \leq 0$ . Since  $\varphi$  is minimal (15) becomes

$$(25) \quad \Delta v \leq C^2 \sqrt{v} \sqrt{G(\sqrt{v})}.$$

This, together with (10) and (11), guarantees the validity of the Omori–Yau maximum principle on  $M$  (see Theorem 1.9 of [Pigola et al. 2005]). Now the result follows from Theorem 3.9 of [Pigola et al. 2005].  $\square$

For the proof of Theorem 3 we need the next proposition which is a version, adapted to the present purposes, of Lemma 3.1 in [Brandolini et al. 1998].

**Proposition 5.** *Let  $(M, \langle \cdot, \cdot \rangle)$  be a complete manifold and let  $a(x), b(x) \in \mathcal{C}^0(M)$  and suppose that*

$$(26) \quad b(x) \geq 0$$

and

$$(27) \quad \lambda_1^L(M) \geq 0 \quad \text{with } L = \Delta + a(x).$$

Let  $u \in C^2(M)$  be a solution of

$$(28) \quad \Delta u + a(x)u - b(x)u = 0 \quad \text{on } M.$$

If  $u \in L^2(M)$  then  $u \equiv 0$  on  $\text{supp}(b(x))$ . In particular, if  $u$  does not change sign and  $b(x) \not\equiv 0$ , then  $u \equiv 0$ .

*Proof.* We suppose  $b(x) \not\equiv 0$  otherwise there is nothing to prove. Next, we reason by contradiction and we assume the existence of  $x_0 \in \text{supp}(b(x)) \subset M$  such that  $u(x_0) \neq 0$  and  $b(x_0) \neq 0$ . (Note that if  $u(x_0) \neq 0$  and  $b(x_0) = 0$  by continuity



we can always find  $x'_0$  sufficiently close to  $x_0$  so that  $u(x'_0) \neq 0$  and  $b(x'_0) \neq 0$ . Choose  $R \gg 1$  such that  $x_0 \in B_R$ . Let  $\psi$  be a cut-off function  $0 \leq \psi \leq 1$  satisfying

$$\psi \equiv 1 \quad \text{on } B_R, \quad \text{supp}(\psi) \subseteq B_{R+1}, \quad |\nabla \psi| \leq 2.$$

Then  $u\psi \in \mathcal{C}_0^2(M)$ ,  $u\psi \neq 0$  and by the variational characterization of  $\lambda_1^L(B_{R+1})$  we have

$$(29) \quad \lambda_1^L(B_{R+1}) \leq \frac{\int_{B_{R+1}} (|\nabla(u\psi)|^2 - a(x)(u\psi)^2)}{\int_{B_{R+1}} (u\psi)^2}.$$

Since  $\lambda_1^L(M) \geq 0$  the monotonicity property of eigenvalues yields  $\lambda_1^L(B_{R+1}) > 0$ . Next, we consider the vector field  $W = u\psi^2 \nabla u$ . A direct computation using (28) gives

$$\text{div}(W) = b(x)u^2\psi^2 - a(x)u^2\psi^2 + |\nabla(u\psi)|^2 - u^2|\nabla\psi|^2.$$

Hence by (29) and the divergence theorem

$$0 \geq \lambda_1^L(B_{R+1}) \int_{B_{R+1}} u^2\psi^2 - \int_{B_{R+1}} u^2|\nabla\psi|^2 + \int_{B_{R+1}} b(x)u^2\psi^2.$$

Rearranging, using the properties of  $\psi$  and (26) we obtain

$$\lambda_1^L(B_{R+1}) \int_{B_R} u^2 - \int_{B_R} b(x)u^2 \leq 4 \int_{B_{R+1} \setminus B_R} u^2.$$

Letting  $R \rightarrow +\infty$  and using the fact that  $u \in L^2(M)$  we deduce

$$\lambda_1^L(M) \int_M u^2 - \int_M b(x)u^2 \leq 0.$$

We reach a contradiction by observing that  $\lambda_1^L(M) \geq 0$  and in a neighborhood of  $x_0$ ,  $b(x)$  and  $u^2(x)$  are strictly positive.

The last statement follows immediately from the strong maximum principle and (28) (see the remark after the proof of Theorem 3.5 on page 35 of [Gilbarg and Trudinger 1983]).  $\square$

*Proof of Theorem 3.* We apply Proposition 5 to the solution  $H$  of (4a) with  $a(x) = \text{Ric}^N(v, v)$  and  $b(x) = |B|^2$ . By Newton's inequality (17),  $\text{supp}(H) \subseteq \text{supp}(b(x))$ , which gives a contradiction to the conclusion of Proposition 5 unless  $H \equiv 0$ ; thus  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  is minimal.  $\square$

**Corollary 6.** *Any biharmonic, isometrically immersed, complete oriented hypersurface  $M$  with mean curvature satisfying  $H \in L^2(M)$  in a space with nonpositive Ricci tensor is minimal.*

For the proof of this corollary simply observe that since  $\text{Ric}^N(v, v) \leq 0$  then  $\lambda_1^L(M) \geq 0$  for  $L = \Delta + \text{Ric}^N(v, v)$ .

With the aid of Theorem 4.6 in [Pigola et al. 2008] we can extend the range of integrability of  $H$  as follows.

**Theorem 7.** *Let  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  be a biharmonic, isometrically immersed, oriented hypersurface. For some  $\Lambda \geq \frac{1}{2}$  let  $L_\Lambda = \Delta + 2\Lambda \text{Ric}^N(v, v)$  and suppose that*

$$(30) \quad \lambda_1^{L_\Lambda}(M) \geq 0.$$

Let  $-\frac{1}{2} \leq \beta \leq \Lambda - 1$  and assume that

$$(31) \quad H \in L^{4(\beta+1)}(M).$$

Then  $\varphi$  is minimal.

**Remark 8.** If  $\Lambda = \frac{1}{2}$ ,  $L_\Lambda = L = \Delta + \text{Ric}^N(v, v)$  and  $\beta = -\frac{1}{2}$  so that condition (31) becomes  $H \in L^2(M)$ . In this way, we recover Theorem 3.

*Proof of Theorem 7.* We let  $u = H^2$ . From the differential inequality (18) and

$$|\nabla H|^2 = \frac{1}{4} \frac{|\nabla u|^2}{u}$$

we deduce that  $u$  is a nonnegative solution of

$$(32) \quad u \Delta u + 2 \text{Ric}^N(v, v) u^2 - 2mu^3 \geq \frac{1}{2} |\nabla u|^2.$$

By Theorem 1 of [Fischer-Colbrie and Schoen 1980], inequality (30) implies the existence of a positive solution  $\psi$  on  $M$  of

$$\Delta \psi + 2\Lambda \text{Ric}^N(v, v) \psi = 0.$$

We can thus apply Theorem 4.6 of [Pigola et al. 2008] with  $\varphi = \psi$ ,  $A = -\frac{1}{2}$ ,  $|\mathbf{H}| = \Lambda$ ,  $K = 0$ ,  $a(x) = 2 \text{Ric}^N(v, v)$ ,  $b(x) = 2m$  and  $\sigma = 2$ . Note that assumption (4.43) of Theorem 4.6 of [Pigola et al. 2008] is true by (31). It follows that  $u \equiv 0$ , that is,  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  is minimal.  $\square$

We remark that if we let  $L_{m/4} = \Delta + (m/2) \text{Ric}^N(v, v)$  and we assume

$$(33) \quad \lambda_1^{L_{m/4}}(M) \geq 0,$$

as a consequence of Theorem 7, if  $H \in L^m(M)$  then  $\varphi$  is minimal.

As a matter of fact, we can avoid assumption (33) and obtain the same conclusion in case  $(N, \langle \cdot, \cdot \rangle)$  is a Cartan–Hadamard manifold. This is the content of Theorem 4. Towards this end, we observe that if  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  is an isometric immersion

of dimension  $m \geq 2$ , Hoffman and Spruck [1974] have shown the validity of the following  $L^1$ -Sobolev inequality: for every  $u \in W_0^{1,1}(M)$ ,

$$(34) \quad S_1(m)^{-1} \left( \int_M |u|^{m/(m-1)} \right)^{(m-1)/m} \leq \int_M (|\nabla u| + m|H||u|)$$

with

$$(35) \quad S_1(m) = \frac{\pi 2^{m-1} (m+1)^{1+\frac{1}{m}}}{\omega_m^{1/m} (m-1)}$$

where  $\omega_m$  is the volume of the unit ball of  $\mathbb{R}^m$  (observe that in [Hoffman and Spruck 1974] the mean curvature vector field is not normalized). Having fixed  $\varepsilon > 0$ , from (34) we immediately deduce (see for instance [Pigola et al. 2008, pp. 175–176]) that for every  $v \in W_0^{1,2}(M)$

$$(36) \quad S_2(m, \varepsilon)^{-1} \left( \int_M |v|^{2m/(m-2)} \right)^{(m-2)/m} \leq \int_M \left( |\nabla v|^2 + \frac{\varepsilon^2}{4} \left( \frac{m-2}{m-1} \right)^2 m^2 |H|^2 v^2 \right)$$

with

$$(37) \quad S_2(m, \varepsilon) = \frac{4(m-1)^2}{(m-2)^2} \frac{1 + \varepsilon^2}{\varepsilon^2} S_1(m)^2.$$

*Proof of Theorem 4.* In the assumptions of the theorem and by the above discussion we have the validity of (36) on  $M$ . Next, for  $u = H^2$  we rewrite (16) in the form

$$(38) \quad u \Delta u + 2 \operatorname{Ric}^N(v, v) u^2 - 2|B|^2 u^2 = \frac{1}{2} |\nabla u|^2.$$

Since  $N$  is Cartan–Hadamard,

$$(39) \quad 2(\operatorname{Ric}^N(v, v) - |B|^2) \leq 0.$$

From (9) and the fact that  $H \in L^m(M)$  we have

$$(40) \quad u \in L^{m/2}(M) \quad \text{with } m/2 > \frac{1}{2},$$

because  $m \geq 3$ . Applying Theorem 9.12 of [Pigola et al. 2008] with  $\sigma = m/2$ ,  $\alpha = 2/m$  and  $A = -\frac{1}{2}$  to (38) we deduce that either  $u$  is identically zero or, by formula (9.41) of [Pigola et al. 2008],

$$\left( \int_M |H|^m \right)^{2/m} \geq \frac{1}{(1 + \varepsilon^2) m^2 S_1(m)^2}.$$

Note that to obtain this inequality we use (37). Thus, letting  $\varepsilon \downarrow 0^+$  we obtain

$$\|H\|_{L^m(M)} \geq \frac{1}{m S_1(m)} = \frac{\omega_m^{1/m}}{\pi 2^{m-1}} \frac{m-1}{m(m+1)^{1+\frac{1}{m}}}.$$

Using (35) in this latter we contradict (9). Thus  $u \equiv 0$  and  $\varphi : M \rightarrow (N, \langle \cdot, \cdot \rangle)$  is minimal.  $\square$

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
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