





Haematopoietic stem cell transplantation in adult soft-tissue sarcoma: an analysis from the European Society for Blood and Marrow Transplantation

Christoph E Heilig ^{1,2,3}, Manuela Badoglio,⁴ Myriam Labopin,⁵ Stefan Fröhling,^{1,2} Simona Secondino,⁶ Jürgen Heinz,⁷ Emmanuelle Nicolas-Virelizier,⁸ Didier Blaise,⁹ Clément Korenbaum,¹⁰ Armando Santoro,¹¹ Mareike Verbeek,¹² William Krüger,¹³ Salvatore Siena ^{14,15}, Jakob R Passweg,¹⁶ Massimo Di Nicola,¹⁷ Jose Rifón,¹⁸ Peter Dreger,³ Ulrike Koehl,^{19,20} Christian Chabannon,²¹ Paolo Pedrazzoli,²² On behalf of the European Society for Blood and Marrow Transplantation (EBMT), Cellular Therapy & Immunobiology Working Party

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For numbered affiliations see end of article.

Correspondence to

Dr Christoph E Heilig;
christoph.heilig@nct-heidelberg.de

ABSTRACT

Background The role of high-dose chemotherapy with autologous stem cell transplantation (ASCT) in the treatment of soft-tissue sarcoma (STS) remains an unsettled issue. Prospective clinical trials failed to prove a benefit of the procedure but were limited by small and heterogeneous patient cohorts. Thus, it is unknown if ASCT may be a valuable treatment option in specific patient subgroups.

Methods The purpose of this study was to investigate the value of ASCT according to histological subtype in STS patients who were registered in the European Society for Blood and Marrow Transplantation database between 1996 and 2016.

Results Median progression-free (PFS) and overall survival (OS) in the entire cohort of 338 patients were 8.3 and 19.8 months, respectively, and PFS and OS at 5 years were 13% and 25%, respectively. Analysis of outcomes in different subgroups showed that younger age, better remission status before transplantation and melphalan-based preparative regimen were predictive of benefit from ASCT, whereas histology and grading had no statistically significant impact.

Conclusions Outcomes after ASCT compared favorably to those of recent trials on conventional chemotherapies and targeted therapies in STS, including histology-tailored approaches. ASCT, thus, should be reinvestigated in clinical trials focusing on defined patient subgroups.

INTRODUCTION

Soft-tissue sarcomas (STSs) are a group of rare, mesenchymal malignancies, which account for about 1% of adult malignancies.^{1,2} The current WHO classification differentiates more than 70 histological subtypes of STS, with leiomyosarcoma, liposarcoma, synovial sarcoma and undifferentiated pleomorphic

sarcoma being most common.³ Although a substantial proportion of patients with localised disease can be cured with surgery and adjuvant radiotherapy and/or chemotherapy, the prognosis of patients with metastatic disease remains dismal with a median survival of less than 2 years in recent studies.^{4–7} Several drugs have shown activity in STS with doxorubicin and ifosfamide being the most active in terms of objective response. The notion of a dose–response relationship for ifosfamide, for example,^{8–10} fueled interest in high-dose chemotherapy (HDCT) as a treatment option for STS, but none of the few trials performed to date could prove a benefit of intensified treatment with autologous stem cell transplantation (ASCT). However, most studies were performed as single-arm phase II trials and included all STS histological subgroups.^{11–14} The only published randomised phase III trial reporting on 87 patients did not show a benefit for ASCT, but also was done in a highly heterogeneous population with 18 different histologies included.¹⁵ Likewise, a meta-analysis of 294 patients included 19 different histologies, and no attempt was made to decipher a possible benefit restricted to some histological subtype.¹⁶ As there is growing evidence that clinical course and response to specific treatments differs significantly between histological subgroups of STS,^{2, 17–20} we aimed to investigate the efficacy of HDCT and ASCT in distinct histological subtypes of STS.

Key questions**What is already known about this subject?**

- ▶ Soft-tissue sarcomas (STSs) are a heterogeneous group of mesenchymal tumours with variable biology and clinical course. Clinical trials including all histological subtypes may, therefore, miss potential benefits of a specific treatment in a particular histological subtype.
- ▶ High-dose chemotherapy and autologous stem cell transplantation (ASCT) have proven value in the treatment of only a few solid tumours and have also been investigated in STS with negative results. However, due to the heterogeneity of STS, it remains unclear if certain histological subgroups may derive benefit from ASCT.
- ▶ To date, no study thoroughly investigated predictors of benefit from ASCT in a sufficiently large STS patient cohort.

What does this study add?

- ▶ This, to our knowledge, is the most extensive retrospective study of ASCT in STS patients and the first to thoroughly investigate potential predictors of benefit from this treatment.
- ▶ Median progression-free and overall survival in this pretreated patient cohort were 8.3 and 19.8 months, respectively, which compares favourably to recent non-transplant treatments for STS, although most patients included in the analysis were transplanted before 2006 when treatment options for STS patients were quite limited.
- ▶ Predictors of benefit from ASCT were younger age, better remission status before transplantation and melphalan-based preparative regimens.

How might this impact on clinical practice?

- ▶ Based on this retrospective analysis, ASCT cannot be recommended as routine treatment for the STS subgroups investigated.
- ▶ The findings of favourable outcomes associated with ASCT and potential predictors of benefit in a heterogeneous population of STS patients support the reinvestigation of ASCT in randomised trials with histological stratification.

METHODS**Patient population**

The European Society for Blood and Marrow Transplantation (EBMT) is a non-profit organisation established in 1974 to allow scientists and physicians involved in clinical SCT to share their experience and develop cooperative studies. The EBMT is divided into working parties, whose mission is the implementation of EBMT scientific and educational policy, the development and management of scientific proposals with the support of the Data and Executive Offices and assisting the definition of guidelines and policies. The Cellular Therapy and Immunobiology Working Party that includes the solid tumour subcommittee is dedicated to preclinical, translational and clinical (including retrospective) studies, including ASCT and allogeneic SCT, active and adoptive immunotherapy. EBMT centres, which are distributed in over 60 countries, are required to send patient data, including demographic and clinical, to the central EBMT database on a yearly basis. Informed consent for transplantation and data collection was obtained locally according to regulations applicable at the time of transplantation. Since 1 January

2003, all transplant centres have been required to obtain written informed consent prior to data registration with the EBMT following the Helsinki Declaration 1975. Policies were recently updated to comply with EU General Data Protection Regulation.

The present retrospective study analysed the EBMT registry data regarding adult patients with STS who underwent a first HDCT and ASCT between 1996 and 2016. All centres with eligible patients were requested to provide additional data including details on pretreatment, post-ASCT treatments and histology. Analyses were each carried out including all patients with the relevant information available for the respective analyses.

Primary outcomes were overall survival (OS; time to death from any cause) and progression-free survival (PFS; defined as survival with no evidence of relapse or progression). PFS and OS were measured from the date of first ASCT.

Statistical analysis

Probabilities of OS and PFS were calculated using the Kaplan-Meier method. Univariate analyses were done using the log-rank test. Factors studied were histological subtype of STS, grading, status prior transplant, age and gender, year of ASCT and preparative regimen. A Cox proportional hazards model was used for multivariate regression. All variables associated with one outcome in univariate analysis were included in the Cox model. In order to test for a centre effect, we introduced a random effect or frailty for each centre into the model.^{21 22} Results were expressed as the HR with the 95% CI. Statistical analyses were performed with SPSS V.24.0 (SPSS) and R 3.6.2 (R Core Team (2019)). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>.

RESULTS**Patient and treatment characteristics**

A total of 338 patients met the eligibility criteria of this study. Median age at first ASCT was 37.3 years (range 18–69), 201 (59%) of patients were male. Most common histologies were leiomyosarcoma (n=66), synovial sarcoma (n=52), angiosarcoma (n=40) and liposarcoma (n=34). In 120 patients, no further information was available regarding histological subclassification. These patients, together with diagnoses occurring in 10 or less cases were grouped together as ‘other sarcomas’ in further analyses.

Regarding patients with respective information available, 45.7% had metastatic disease at diagnosis (n=92 with available information); 66.4%, 30.6% and 89.4% had prior surgery, radiotherapy and/or chemotherapy, respectively (n=140, n=134, and n=142 with available information, respectively). The median number of chemotherapy regimens before ASCT was 1 (range 1–7), with 24.6% being treated with two or more lines. Remission status prior ASCT was complete remission/no evidence of disease

(CR) in 20.1%, partial remission in 39.1%, stable disease in 10.2% and progressive disease in 30.7% of patients. Patients transplanted in CR were younger but otherwise showed similar characteristics compared with patients not in CR prior ASCT (online supplemental table 1).

Preparative regimens were various, with platinum/etoposide/ifosfamide being used most frequently (42.5%). Stem cells were mobilised mostly with anthracycline-based or platinum-based chemotherapy (45.5%) in combination with G-CSF (Granulocyte-Colony Stimulating Factor; 98%); >95% of ASCTs were performed using mobilised peripheral blood stem cells. Relevant patient and treatment characteristics are summarised in table 1.

Outcomes after ASCT

The median follow-up of survivors was 8.2 years. PFS and OS at 5 years were 12.6% and 25.2%, respectively, and median PFS and OS were 8.3 and 19.8 months, respectively. In univariate analyses, remission status prior ASCT was a significant predictor for better outcomes (figure 1). Patients in CR before ASCT had PFS and OS of 14.1 (95% CI 10.7 to 17.5) and 44.1 months (95% CI 15.3 to 72.9), respectively, whereas patients with documented non-CR status prior ASCT had PFS and OS of 7.2 (95% CI 5.8 to 8.5) and 17.8 months (95% CI 15.8 to 19.9), respectively (online supplemental table S1). Grading had no significant impact on outcomes while younger age was associated with improved survival (online supplemental figure S1). Patients treated with platinum-based preparative regimens had inferior PFS at 2 years than patients treated with melphalan based and other regimens (12% vs 25% vs 24%, respectively), but without significant impact on OS. Leiomyosarcoma patients had inferior PFS compared with patients with synovial sarcoma and angiosarcoma (7.4%, 15% and 21.3%, respectively, table 2, online supplemental figure S1).

Cox regression analysis regarding the factors histology, age, remission status prior ASCT and preparative regimen were performed and showed better remission status prior ASCT to independently predict better PFS and OS, whereas histology had no impact on outcomes. Younger patients had better OS, whereas patients treated with melphalan-based preparative regimens experienced a significant better PFS, but not OS than the other patients (table 3).

Treatment-related mortality (TRM), secondary malignancies and clinical course post-ASCT

Death without relapse occurred in seven patients, with all cases occurring in patients being transplanted before 2003. Six patients died of infectious complications after a median of 10 days after ASCT (range 4–121) and one after a myelodysplastic syndrome at 4.6 years post-ASCT. Out of 301, 244 (81.1%) patients had experienced relapse or progression at a median of 7 months after last ASCT. Data on treatments after ASCT were available in 93 patients. 36 patients had surgical resections and 27 had radiotherapy. Seventy-two per cent of the patients were treated with a median number of 1 (range 1–3) chemotherapy regimens.

Table 1 Patient and treatment characteristics

| Characteristic | n=338 | |
|--|------------------------------|------|
| Age at first ASCT, years | | |
| median (range) (IQR) | 37.3 (18.1–69.6) (27.6–49.7) | |
| Histology | n | % |
| Leiomyosarcoma | 66 | 19.5 |
| Synovial sarcoma | 52 | 15.4 |
| Angiosarcoma* | 40 | 11.8 |
| Liposarcoma | 34 | 10.1 |
| Desmoplastic small round cell tumour | 10 | 3 |
| other STS† | 136 | 40.2 |
| Tumour grading | n | % |
| Grade 1 | 4 | 3.8 |
| Grade 2 | 18 | 17.3 |
| Grade 3 | 82 | 78.8 |
| missing | 234 | – |
| Remission status before ASCT | n | % |
| Complete response/no evidence of disease | 55 | 20.1 |
| Partial response | 107 | 39.1 |
| Stable disease | 28 | 10.2 |
| Progressive disease | 84 | 30.7 |
| Missing | 64 | – |
| Year of first ASCT | n | % |
| 1996–2000 | 152 | 45.0 |
| 2001–2005 | 111 | 32.8 |
| 2006–2016 | 75 | 22.2 |
| Preparative regimen | n | % |
| PEI/CEI | 71 | 42.5 |
| Other platinum based | 31 | 18.6 |
| Melphalan based | 42 | 25.1 |
| Other | 23 | 13.8 |
| missing | 171 | – |
| Remission status after last ASCT | n | % |
| Complete response/no evidence of disease | 94 | 58.4 |
| Partial response | 19 | 11.8 |
| Stable disease | 22 | 13.7 |
| Progressive disease | 26 | 16.1 |
| missing | 177 | – |

*Including haemangiosarcoma and lymphangiosarcoma.

†Including: fibrosarcoma: n=8, malignant fibrous histiocytoma: n=3, sarcoma NOS: n=2, malignant peripheral nerve sheath tumour: n=2, fibromyxoid sarcoma: n=1, sarcoma not further subclassified: n=120. ASCT, autologous stem cell transplantation; CEI, Carboplatinum, Etoposide, Ifosfamide; NOS, not otherwise specified; PEI, Cisplatinum, Etoposide, Ifosfamide; STS, soft-tissue sarcoma.

DISCUSSION

Despite the advent of new drugs and the implementation of a multidisciplinary approach for the treatment of STS in the past decades, nearly all patients with metastatic STS and a substantial proportion of patients with localised STS

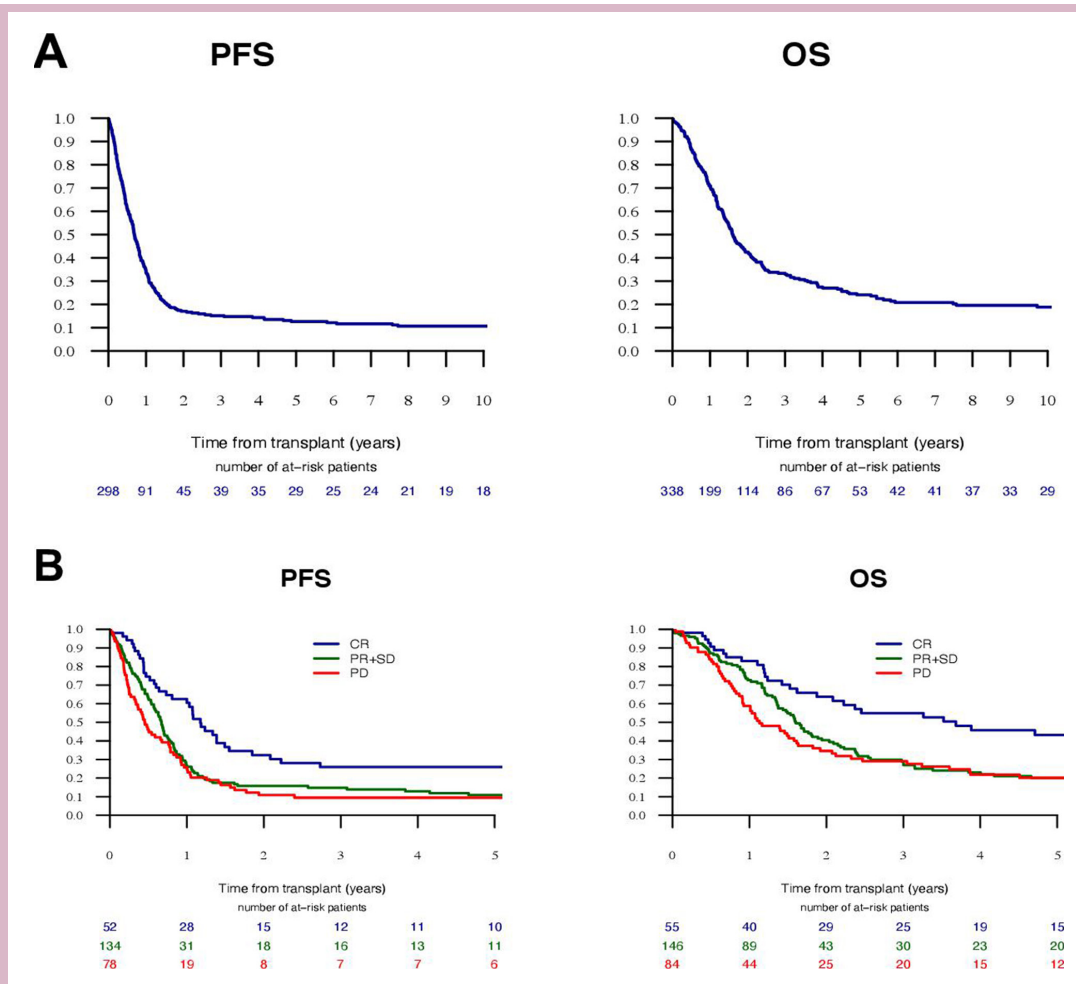


Figure 1 Kaplan-Meier estimates of PFS and OS in (A) the whole-study population and (B) stratified according to remission status prior ASCT. ASCT, autologous stem cell transplantation; OS, overall survival; PFS, progression-free survival.

die of the disease. Whereas a dose–response correlation has been shown for chemotherapy in STS, the effect of further dose escalation with HDCT and ASCT is unclear, since the studies performed in the past included relatively small and heterogeneous patient populations. Our study, reporting on a retrospective data analysis of ASCT in STS, is one of the largest series in the field and, to our knowledge, the first one to attempt a thorough investigation of predictors for benefit of ASCT. Another large study, a metaanalysis of 62 trials on ASCT including 294 patients with 19 different STS histologies,^{16 23} also included 109 patients with desmoplastic small round cell tumour, a disease with a unique biology and clinical course,^{24–26} and thus is not representative for the more common STS histologies. Regarding OS, only a rough estimate was given with 20%–51% and 32%–40% of patients being alive at 2 and 3 years, respectively, which is in accordance with the OS probabilities of 44% and 35% at 2 and 3 years, respectively, in our study. The only randomised trial of ASCT in STS patients performed so far included 87 patients with various histologies and showed no benefit of ASCT vs standard dose treatment (SDT), with a median OS of 26.1 vs 28.2 months, respectively,¹⁵ which

is superior to the median OS of 19.8 months observed in our study. However, in the aforementioned trial, only patients with an objective response to first-line chemotherapy were randomised between SDT and ASCT, and only half of those randomised to ASCT were actually treated per protocol. In addition, one-third of these patients had surgery prior to randomisation and were randomised in CR; thus, the data on inferior outcomes associated with ASCT in this trial are difficult to interpret, and the possibility that some subgroups might benefit from ASCT cannot be excluded. In contrast, the purpose of our study was to investigate factors that might predict benefit from ASCT to generate hypotheses for future prospective clinical trials. We, therefore, aimed to analyse a large population and included all STS patients reported to the EBMT from multiple centres in various countries, without excluding specific age groups, preparative regimens, or patients with chemorefractory disease.

Most patients in the aforementioned trials as well as our study were transplanted before 2006. Our data show a substantial higher OS in patients transplanted after 2005, which did not reach statistical significance, but is supported by the notion that experience in ASCT

Table 2 Univariate analyses

| | PFS | | OS | |
|------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | 2 years | 5 years | 2 years | 5 years |
| Age at first ASCT, years | | | | |
| ≤37.3 (median) | 21.6% (15.1–28.8) | 18.6% (12.5–25.5) | 50.6% (42.1–58.6) | 31.1% (23.4–39) |
| >37.3 | 12.4% (7.5–18.6) | 6.2% (2.8–11.5) | 37.6% (29.5–45.6) | 18.9% (12.4–26.4) |
| P value | 0.006 | | 0.03 | |
| Patient sex | | | | |
| Male | 15% (10–21) | 12.4% (7.8–18) | 42.2% (34.5–49.7) | 22.3% (15.9–29.3) |
| Female | 20% (13.1–28) | 13% (7.4–20.2) | 47.3% (37.9–56.1) | 29.4% (21.1–38.2) |
| p value | 0.38 | | 0.26 | |
| Histology | | | | |
| Leiomyosarcoma | 9.2% (3.4–18.7) | 7.4% (2.4–16.3) | 34.2% (21.7–47.1) | 18.4% (8.9–30.6) |
| Liposarcoma | 18% (6.6–33.8) | 13.5% (3.9–29.1) | 52.5% (34–68.2) | 20.6% (8.2–36.9) |
| Synovial sarcoma | 22.5% (11.2–36.2) | 15% (6.1–27.6) | 45.3% (29.8–59.6) | 24.9% (12.9–39) |
| Angiosarcoma | 21.3% (9.4–36.4) | 21.3% (9.4–36.4) | 42.9% (25.9–58.9) | 31.8% (16.4–48.4) |
| Other sarcoma | 17.1% (10.9–24.6) | 11.3% (6.3–18.1) | 46.3% (37.3–54.8) | 27.8% (19.8–36.4) |
| P value | 0.49 | | 0.63 | |
| Remission status prior ASCT | | | | |
| CR/NED | 32.4% (19.7–45.7) | 25.9% (14.5–38.9) | 63.7% (48.6–75.4) | 43.2% (28.7–56.9) |
| PR+SD | 15.8% (9.9–22.9) | 10.9% (6–17.5) | 40.3% (31.4–49.1) | 20.1% (13.1–28.1) |
| PD | 10.8% (5.1–19.1) | 9.5% (4.2–17.4) | 34.6% (24.1–45.3) | 20.1% (11.8–30.1) |
| P value | 0.001 | | 0.002 | |
| Tumour grading | | | | |
| Grade 2 | 16.7% (4.1–36.5) | 5.6% (0.4–22.4) | 71.4% (44.3–87) | 33.3% (11.2–57.6) |
| Grade 3 | 18.5% (10.5–28.3) | 17% (9.4–26.6) | 50.4% (38.1–61.5) | 25.9% (15.9–37) |
| P value | 0.62 | | 0.7 | |
| Preparative regimen | | | | |
| Platinum based | 12% (6.2–19.7) | 9.6% (4.5–16.9) | 49.2% (38.2–59.4) | 23% (14.4–32.8) |
| Melphalan based | 24.6% (12.2–39.1) | 21.8% (10.3–36.1) | 47.9% (31.4–62.6) | 36.6% (21.6–51.8) |
| Other | 24.5% (9–43.9) | 12.2% (2.3–31.2) | 39.1% (19–58.8) | 16.8% (4.3–36.2) |
| P value | 0.059 | | 0.25 | |
| Year of ASCT | | | | |
| 1996–2000 | 18.3% (12.1–25.5) | 11.8% (6.8–18.4) | 40.8% (32.4–48.9) | 20.6% (14–28) |
| 2001–2005 | 15.1% (8.6–23.3) | 11.5% (5.9–19.2) | 42.8% (32.5–52.7) | 26.1% (16.9–36.2) |
| 2006–2016 | 17.2% (8.9–27.8) | 15.5% (7.6–25.8) | 55% (40.8–67.2) | 35.8% (22.8–49) |
| P value | 0.51 | | 0.07 | |

Bold numbers denote statistical significance ($p < 0.05$).

ASCT, autologous stem cell transplantation; CR, complete remission; NED, no evidence of disease; OS, overall survival; PFS, progression-free survival; PR, partial remission; SD, stable disease.

influences outcomes, and thus is relevant when comparing transplant results over decades and, importantly, when putting our study in the context of more recent trials on non-transplant treatments in STS.^{27 28}

Median PFS and OS of the total population of our study were 8.3 and 19.8 months, respectively. Yet, patients transplanted in CR clearly experienced better outcomes and are not comparable to patients with macroscopic residual disease regarding outcomes. However, in patients with remission status other than CR prior ASCT, PFS and OS still were 7.2 and 17.8 months, respectively, and thus

compare very well with recent data regarding conventional chemotherapies or targeted therapies: in latest phase 3 trials in metastatic STS, median PFS and OS in first line ranged about 5–7 and 13–20 months, respectively^{4–7} and around 2–5 and 11–13 months, respectively, in second-line trials.^{29–31} Likewise, the outcomes of our cohort compare favourably to the reported PFS and OS of about 4 and 12 months, respectively, of over 2500 STS patients treated with first-line anthracycline-based chemotherapy in trials of the EORTC.^{32 33} When taking into account that most of the patients in our study had

**Table 3** Cox regression analyses

| | 145 patients | | 157 patients | |
|-----------------------------|---------------------|---------------|---------------------|---------------|
| | PFS | | OS | |
| | HR (95% CI) | P value | HR (95% CI) | P value |
| Age at first ASCT | 1.1 (0.93 to 1.31) | 0.24 | 1.21 (1.02 to 1.43) | 0.029 |
| Histology | | | | |
| Leiomyosarcoma (reference) | | | | |
| Liposarcoma | 0.86 (0.43 to 1.72) | 0.67 | 0.75 (0.37 to 1.55) | 0.44 |
| Synovial sarcoma | 1.2 (0.63 to 2.26) | 0.58 | 1.4 (0.72 to 2.72) | 0.32 |
| Angiosarcoma | 1.24 (0.66 to 2.32) | 0.50 | 1.25 (0.64 to 2.47) | 0.51 |
| Other sarcoma | 1.24 (0.76 to 2.01) | 0.40 | 1.11 (0.65 to 1.89) | 0.70 |
| Remission status prior ASCT | | | | |
| CR/NED (reference) | | | | |
| PR+SD | 1.49 (0.92 to 2.41) | 0.10 | 1.48 (0.87 to 2.53) | 0.15 |
| PD | 2.78 (1.62 to 4.77) | 0.0002 | 3 (1.69 to 5.32) | 0.0002 |
| Preparative regimen | | | | |
| Platinum based (reference) | | | | |
| Melphalan based | 0.61 (0.38 to 0.97) | 0.036 | 0.85 (0.52 to 1.4) | 0.53 |
| Other | 0.7 (0.41 to 1.22) | 0.21 | 1.2 (0.68 to 2.13) | 0.52 |

Bold numbers denote statistical significance ($p < 0.05$).

ASCT, autologous stem cell transplantation; CR, complete remission; NED, no evidence of disease; OS, overall survival; PD, progressive disease; PFS, progression-free survival; PR, partial remission; SD, stable disease.

high grade sarcomas, were transplanted at relapse, and, most importantly, were treated at times when the therapeutic options for STS were much more limited, and TRM of ASCT was higher than today,³⁴ these results are remarkable.

Due to lacking data, we cannot exclude a potential impact of local and/or systemic treatments after ASCT on outcomes. However, post-ASCT treatments unlikely affect PFS, and the problem of an unknown impact of poststudy treatments is inherent to every trial.

Our data show differences in PFS in some histologies in univariate analyses: Compared with leiomyosarcoma, more patients with synovial sarcoma and even more with angiosarcoma were free from progression at 2 and 5 years, without reaching statistical significance. Although this fits well to the notion that synovial sarcomas and maybe also angiosarcomas are more chemosensitive than other histological subtypes,^{33 35} we were not able to prove a significant impact of histology in multivariate analyses. However, in view of data supporting histotype tailored treatment of STS,^{2 20 30 36-39} we assume the still small patient numbers of our study, rather than an irrelevance of histology to be the cause of these results.

Our finding of remission status prior ASCT being predictive for better outcome after ASCT is a recurrent observation across many groups of malignant diseases, but as most studies on ASCT in STS excluded patients refractory to standard-dose chemotherapy, this has not yet been shown in a sufficient patient number to our knowledge.

Finally, our data show superior PFS in patients treated with melphalan-based vs platinum-based preparative chemotherapy. Notably, the aforementioned randomised trial which found no benefit of ASCT in STS, did employ a platinum-based preparative regimen.¹⁵ This may be a finding with clinical implications, as platinum-based salvage regimens are still in use today, whereas melphalan in fact has no role in STS aside from its use in isolated limb perfusion.^{40 41}

In summary, our study provides evidence that age and remission status prior to transplantation are predictors of favourable outcome after ASCT in STS and suggests melphalan-based preparative regimens to be superior to platinum-based therapies. However, our data do not allow for conclusions as to whether specific histological subgroups benefit more from ASCT than others. Thus, ASCT should not be performed in routine clinical practice. However, as metastatic STS remains an incurable disease with few treatment options, we believe that a well-designed clinical trial of HDCT and ASCT in STS is worthwhile. Based on our data, we suggest investigating melphalan-based conditioning and ASCT versus SDT in patients with chemosensitive disease. Importantly, only a histologically stratified trial may answer the question if and which STS patients derive benefit from ASCT.

Author affiliations

¹Department of Translational Medical Oncology (TMO), National Center for Tumor Diseases (NCT) and German Cancer Research Center (DKFZ), Heidelberg, Germany

²German Cancer Consortium (DKTK), Heidelberg, Baden-Württemberg, Germany

- ³Department of Medicine V, University Hospital Heidelberg, Heidelberg, Baden-Württemberg, Germany
- ⁴EBMT Paris study office, Department of Haematology, Hospital Saint-Antoine, Paris, Île-de-France, France
- ⁵Saint Antoine Hospital, Université Pierre et Marie Curie, Paris, Île-de-France, France
- ⁶Medical Oncology, I.R.C.C.S. San Matteo University Hospital Foundation, Pavia, Italy
- ⁷Medicine I, University Medical Center Freiburg, Freiburg, Baden-Württemberg, Germany
- ⁸Hematology Department, Centre Léon Bérard, Lyon, Rhône-Alpes, France
- ⁹Institut Paoli Calmettes, Département D'Hématologie, Centre de Recherche en Cancérologie de Marseille, Marseille, Provence-Alpes-Côte d'Azur, France
- ¹⁰Medical Oncology and Cellular Therapy, Hospital Tenon Medical Oncology, Paris, Île-de-France, France
- ¹¹Department of Oncology-Haematology, Humanitas Cancer Center, IRCCS, Milan, Italy
- ¹²Internal Medicine III, Technical University of Munich, München, Bayern, Germany
- ¹³Haematology/Oncology, University Medicine Greifswald, Greifswald, Mecklenburg-Vorpommern, Germany
- ¹⁴Niguarda Cancer Center, Grande Ospedale Metropolitano Niguarda, Milan, Italy
- ¹⁵Oncology and Hemato-Oncology, Università degli Studi di Milano, Milano, Italy
- ¹⁶Division of Hematology, University Hospital Basel, Basel, BS, Switzerland
- ¹⁷Unit of Immunotherapy and Innovative Therapeutics, Fondazione IRCCS Istituto Nazionale Tumori, Milan, Italy
- ¹⁸Hematology Service and Cell Therapy Area, Clínica Universidad de Navarra, Pamplona, Navarra, Spain
- ¹⁹Institute of Clinical Immunology, Fraunhofer Institute for Cell Therapy and Immunology IZI, Leipzig, Sachsen, Germany
- ²⁰Institute of Cellular Therapeutics, Hannover Medical School, Hannover, Niedersachsen, Germany
- ²¹Centre de Thérapie Cellulaire, Département de Biologie du Cancer, Institut Paoli-Calmettes, Inserm CBT-1409, Marseille, Provence-Alpes-Côte d'Azur, France
- ²²Internal Medicine and Medical Therapy, Università degli Studi di Pavia, Pavia, Lombardia, Italy

Twitter Christoph E Heilig @ChrisHeiligMD, Stefan Fröhling @TMO_Heidelberg and Christian Chabannon @CChabannon

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ORCID iDs

Christoph E Heilig <http://orcid.org/0000-0001-8869-1421>
Salvatore Siena <http://orcid.org/0000-0002-2681-2846>

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