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Haematologica 2020 [Epub ahead of print]

Citation: Bruno Fattizzo, Marc Michel, Anna Zaninoni, Juri Giannotta, Stephanie Guillet, Henrik Frederiksen, Josephine M.I. Vos, Francesca R. Mauro, Bernd Jilma, Andrea Patriarca, Francesco Zaja, Anita Hill, Sigbjørn Berentsen, and Wilma Barcellini. Efficacy of recombinant erythropoietin in autoimmune haemolytic anaemia: a multicentre international study.

Haematologica. 2020; 105:xxx

doi:10.3324/haematol.2020.250522

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Efficacy of recombinant erythropoietin in autoimmune hemolytic anemia: a multicenter international study

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Running title: erythropoietin in autoimmune hemolytic anemia

Keywords: erythropoietin; warm autoimmune hemolytic anemia; cold agglutinin disease; cytokines; bone marrow response.

To the Editor,

Autoimmune hemolytic anemia (AIHA) is due to autoantibody mediated hemolysis with or without complement (C) activation [1,2]. There is increasing attention on the role of bone marrow compensation [3,4], since inadequate reticulocytosis is observed in 20-40% of cases and correlates with poor prognosis [3,5]. Moreover, features resembling bone marrow failure syndromes have been described in patients with chronic and relapsed/refractory AIHA, including dyserythropoiesis and fibrosis [6,7]. Recombinant erythropoietin (rEPO) is an established treatment of myelodysplastic syndromes, whilst it has been used in a limited number of AIHA cases [8,9].

In this study, we systematically evaluated the safety and efficacy of EPO treatment in a multicentric, international European cohort of AIHA patients. The protocol was approved by the Ethics Committees of Human Experimentation, and patients gave informed consent in accordance with the Declaration of Helsinki. We included 51 patients with primary or secondary AIHA treated with recombinant EPO, either alone or concomitantly with other therapies. Patients had been treated from June 2007 until October 2019 at 9 centers in Italy, France, Norway, Austria, UK, Denmark, and The Netherlands. Efficacy of EPO was evaluated at 15 and 30 days, and then at 3, 6 and 12 months. Response was defined as complete response (CR) (Hb>12 g/dL and normalization of all hemolytic markers), partial response (PR) (Hb>10 g/dL or at least 2 g/dL increase in Hb, and no transfusion requirement). Finally, retrospective data on AIHA diagnosis and course were obtained from clinical charts. Statistical analysis was performed using Student's t-test for continuous variables and Chi-squared or Fisher's exact tests for categorical ones.

Table 1 shows patients' characteristics at diagnosis: 66% of cases were aged >60 years and the male to female ratio was 1. Main AIHA types (warm, cold, mixed, and DAT negative) were represented. Five cases were secondary to a lymphoproliferative disorder (2 chronic lymphocytic leukemia, 2 Waldenstrom macroglobulinemia, and 1 marginal zone lymphoma), without active disease at the time of commencement of EPO. At commencement of erythropoietin, the majority of patients (90%) had been pretreated with at least 1 therapy line, and the median time from diagnosis to EPO was 24 months (0.03-187). Sixty-seven % of cases received EPO treatment because of non-response to a concomitant therapy, including steroids (N=24), rituximab (9), cytotoxic immune-suppressor (8), or sutimlimab (1). Patients who had been treated with rituximab received this drug within a median of 1 month (range 0-5 months) before EPO. The hematologic parameters were similar to those observed at diagnosis, with 37% of cases displaying severe anemia and 53% LDH levels >1.5xULN. Inadequate reticulocytosis was observed in the majority of patients (31/42). Regarding bone marrow characteristics, erythroid hyperplasia was present in the majority (hypercellularity of all lineages in 53%), with dyserythropoietic features in 40% and reticulin fibrosis in 9% of patients. A lymphoid infiltrate was demonstrated in 24 cases, being greater than 10% only in patients with underlying lymphoproliferative disease. In the primary forms, lymphoid infiltrate was polyclonal (T-cells in 7 cases, B-cells in 2, and mixed in 6), but for 5 CAD patients who showed typical monoclonal CAD-associated lymphoid cells. The median endogenous EPO was 32 U/L (9.3-1328) greater than the normal range, but inadequate in 88% of AIHA subjects considering Hb levels. Renal function was normal in all patients but two (for whom endogenous EPO levels were not available). As shown in Figure 1A, an expected negative correlation was present between EPO and Hb levels ($r=-0.64$, $p=0.0004$). However, EPO levels in AIHA were reduced compared with expected values of controls with other types of anemia [10]. Moreover, EPO levels were significantly reduced in transfusion dependent patients versus transfusion independent ones (30 U/L, 8-91, versus 44 U/L, 10-1328; $p=0.05$). Finally, BMRI negatively correlated with EPO levels ($r=-0.42$, $p=0.03$), and positively with Hb ($r=0.28$, $p=0.05$). Regarding efficacy of rEPO treatment (Figure 1B), overall response rate (ORR) was 55% at 15 days, 71% at month+1, 73% at month+3, 76% at month+6, and 78% at month+12 with a progressive increase of CR rate. Median Hb and reticulocyte increase from baseline was 24 (2-83) g/L ($p<0.001$)

and $25(0-220) \times 10^9/L$ at month+1; $30(0-94) \text{ g/L}$ ($p < 0.001$) and $33(0-353) \times 10^9/L$ at month+3; and $42(9-94) \text{ g/L}$ ($p = 0.01$) and $21(0-218) \times 10^9/L$ at month+6. Hb increased independently of AIHA type and number of previous therapy lines (Supplementary Figure). Response rates were greater in patients who started rEPO within the first year from AIHA diagnosis compared with patients with a longer AIHA history (85% vs 64%, $p = 0.06$). Likewise, a better response was observed in primary versus secondary AIHA patients (77% versus 44%), although the difference was not statistically significant. Concerning rEPO discontinuation and outcome, 23 patients were still on EPO and 28 had discontinued treatment at the last follow up. Reasons for discontinuation were long standing CR ($N = 14$), suboptimal response ($N = 12$, Hb increase $< 20 \text{ g/L}$ or $\text{Hb} < 100 \text{ g/L}$), and AIHA relapse ($N = 2$). During treatment, 2 patients experienced a thrombotic event (1 thrombosis of a peripheral inserted central vein catheter and 1 pulmonary embolism concomitant to AIHA relapse in a splenectomized patient). The occurrence of thrombotic episodes is a concern in AIHA, being observed in up to 20% of patients [1-4,11], and associated with intravascular hemolysis, complement activation, and previous splenectomy [1-4]. On the other hand, rEPO treatment has been associated with thrombotic diathesis although in our patients, other risk factors were also present.

In summary, EPO therapy was able to increase Hb levels (median Hb increase greater than 2 g/dL) in more than 70% of patients, both frontline and in relapsed/refractory chronic disease. Most responses were complete and long-lasting, allowing EPO discontinuation in about one third of responders. Almost all responses were observed between month+1 and +3, with more than half as soon as day+15. EPO efficacy was higher in patients treated within the first year from diagnosis, suggesting that the duration of the immune-suppressive therapy is particularly detrimental for bone marrow responsiveness. Accordingly, a worse response was observed in secondary forms, where both underlying condition and cytotoxic therapy may have affected bone marrow function. Additional possible confounders of EPO efficacy may be concomitant treatments or recent therapy with rituximab. However, EPO was started because of persistent non-response to these agents and an early response promptly observed, suggesting a primary role of EPO stimulation.

For the first time, we showed that EPO levels were inappropriately low compared to hemoglobin levels in the majority of patients. Even if reduced, endogenous EPO maintained a negative correlation with Hb values, reticulocyte counts and bone marrow responsiveness index. As expected, patients who were transfused showed lower levels of endogenous erythropoietin, possibly indicating that the feed-back loop (anemia- hypoxia – erythropoietin production) is intact in AIHA. Interestingly, patients with AIHA showed significantly reduced EPO values as compared to other types of anemia. These findings are similar to what was described for immune thrombocytopenia, where low or inappropriately normal levels of endogenous thrombopoietin have been demonstrated [12] and led the way to TPO-receptor agonists use. The question is why AIHA patients show reduced EPO levels as compared to other anemias. It may be speculated that reticulocyte response to hemolysis, by reversing the relative hypoxia (of the anemic state), may give a negative feed-back to the kidney. This may result in inhibition of EPO production, similar to what was observed in transfused patients. Another possible mechanism may be due to the quick instauration of anemia in AIHA where massive erythrocyte destruction may occur in a few hours, whilst bone marrow compensation requires more time [1-4]. Finally, it may be hypothesized the existence of a “stunned bone marrow” unable to build up a prompt response to anemia as observed in patients with septic state [13]. In this setting, a temporary EPO stimulation may be preferred to additional immunosuppression.

In a fraction of cases, serum levels of $\text{TNF-}\alpha$, IL10, IL6, IL17, $\text{TGF-}\beta$, and $\text{IFN-}\alpha$ were evaluated (Figure 2) and showed a different pattern in patients versus matched controls. As already reported, a shift towards T-helper 2 (Th2) and T-helper 17 phenotype was observed, with reduced $\text{TNF-}\alpha$ and increased levels of IL6, IL10, $\text{TGF-}\beta$, and IL17, consistently with a prevalent humoral autoimmune

response. Despite the limited number of cases and the known variability of cytokine levels, we found interesting correlations with endogenous erythropoietin levels. In particular, TNF- α , a known negative regulator of erythropoiesis, positively correlated with EPO levels and negatively with reticulocyte response. The Th2 cytokines IL6 and IL17 were positively related to reticulocytosis mirroring the degree of autoimmune attack. Finally, TGF- β has a negative impact on Hb levels, confirming its well-known inhibitory and detrimental effect [14]. All these cytokine abnormalities may play a role in the inflammatory milieu and bone marrow dysregulation of AIHA. An interesting hypothesis is that an autoimmune attack may also occur against bone marrow precursors, resulting in peripheral reticulocytopenia and accounting for the severity of AIHA [6,15]. In this context, therapy with recombinant EPO may interrupt the vicious circle, by priming the reticulocyte compensation, sustaining erythropoiesis and leaving time for the resolution of the autoimmune flare avoiding excessive immune-suppression.

ACKNOWLEDGEMENTS

Authorship Contributions

BF and WB designed the study, followed patients, collected and analyzed data, wrote the paper and revised the paper for important intellectual content.

MM, JG, SG, HF, FRM, BJ, AP, FZ, AH, and SB followed patients, collected data, and revised the paper for important intellectual content.

AZ performed cytokines studies and revised the paper for important intellectual content.

Declaration of interests

All Authors declare that they have no financial nor personal relationships with other people or organisations that could inappropriately influence (bias) their work.

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Data at diagnosis	All patients (N=51)
Age years, median(range)	68 (25-92)
M/F	24/27
Secondary AIHA, N(%)	5 (9)
<i>Type of AIHA</i>	
CAD, N(%)	21 (41)
WAIHA IgG, N(%)	11 (22)
WAIHA IgG+C, N(%)	15 (29)
MIXED, N(%)	3 (6)
DAT neg, N(%)	1 (2)
<i>Haematologic parameters at diagnosis</i>	
Hb g/L, median(range)	72 (40-118)
LDH U/L, median (range)	477 (174-6000)
Ret x10 ⁹ /L, median(range)	137 (10-310)
BMRI, median(range)	77 (4-193)
<i>Previous therapy lines</i>	
Treated , N(%)	46 (90)
N of lines, mean±SD	2.3±1.4
steroids, N(%)	41 (80)
rituximab, N(%)	35 (68)
splenectomy, N(%)	5 (9)
immunosuppressor, N(%)	23 (45)
time from diagnosis to EPO, months, median (range)	24 (0.03-187)
Bone marrow features	
N=32	
Cellularity %, median (range)	50 (20-95)
Hypercellularity, N(%)	17 (53)
Dyserythropoiesis, N(%)	13 (40)
Lymphoid infiltrate %, median (range)	5 (0-90)
Reticulinic fibrosis MF1, N(%)	3 (9)
Data at EPO start	
N=51	
Haematologic parameters	
Hb g/L, median(range)	85 (37-109)
LDH U/L, median (range)	344 (193-1030)
Ret x10 ⁹ /L, median(range)	117 (11-310)
BMRI, median(range)	85 (5-222)
Concomitant therapy, N(%)	34 (67)
time on EPO days, median (range)	188 (11-1550)

Primary autoimmune hemolytic anemia (AIHA) was defined by hemolytic anemia and positive direct antiglobulin test (DAT), in the absence of associated overt lymphoproliferative, infectious, autoimmune, or neoplastic diseases. None of the patients had a drug-induced AIHA. Patients were classified as warm (wAIHA; DAT positive for IgG or IgG+C), cold agglutinin disease (CAD; DAT positive for C only, with high titer cold agglutinins), mixed (DAT positive for IgG+C with high titer cold agglutinins) and atypical (DAT negative, DAT positive for IgA only, warm IgM, mitogen stimulated -DAT positive only). The efficacy of the compensatory erythroblastic response was expressed as absolute reticulocyte count as well as bone marrow responsiveness index (BMRI = absolute reticulocyte count x patient's Hb/normal Hb)[Russo R, Gambale A, Langella C, et al. Am J Hematol. 2014;89(10):E169–E175]. Ret reticulocytes; EPO erythropoietin.

FIGURE LEGENDS

Figure 1. Relationship between endogenous erythropoietin (EPO) and Hb levels (A), and response evaluation after recombinant EPO treatment (B).

A. Continuous line shows the relationship in patients with autoimmune hemolytic anemia. Black circles represent patients responding to recombinant erythropoietin and white circles non responders: no significant differences were observed between these two groups. As controls, 49 aplastic anemia patients (white triangles) are shown, with the corresponding correlation (dotted-dashed line); dashed line represent patients with other types of anemia, including iron and vitamin deficiency [$\log(\text{Epo})=4.478-(0.284 \times \text{Hb})$] [Bergamaschi et al, Haematologica 2008].

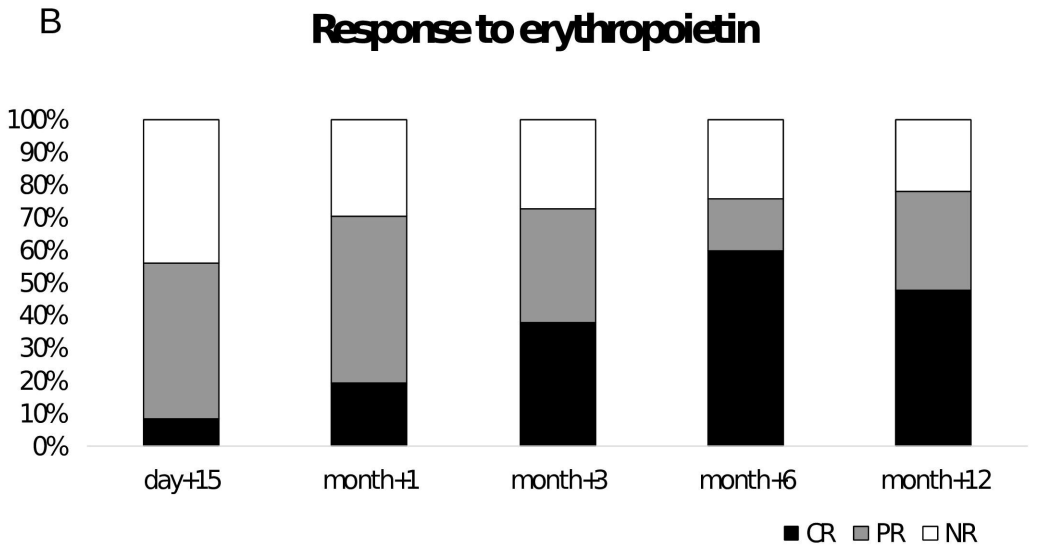
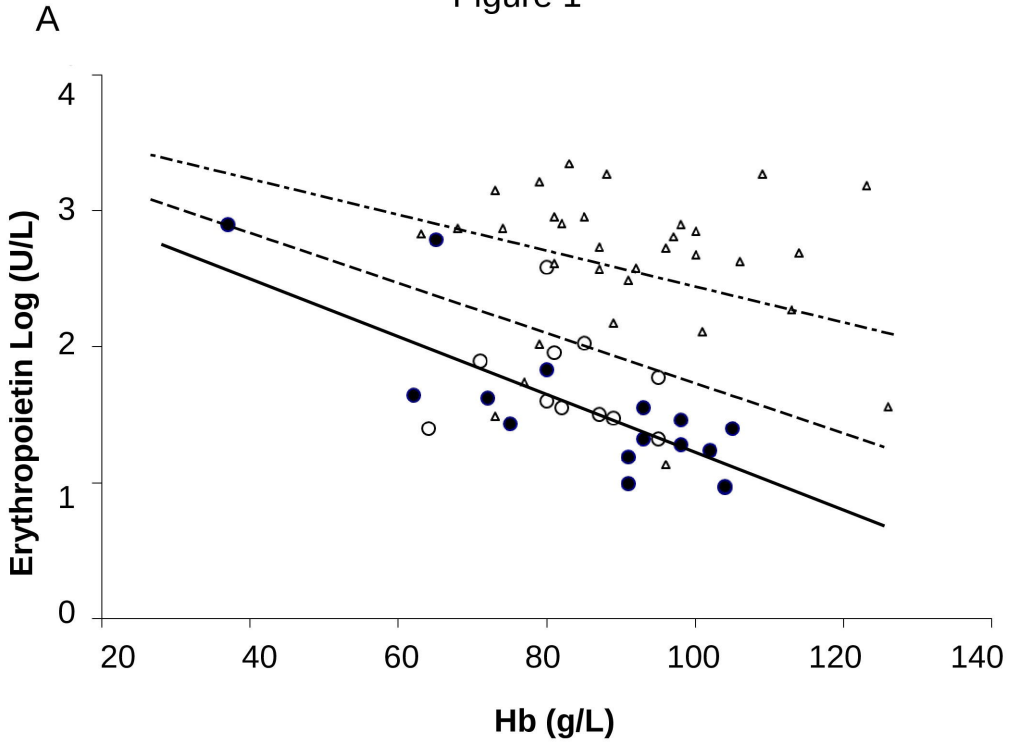
B. overall response rate to rEPO at different time points (CR complete response, PR partial response, NR non response). Patients were treated for a median of 7 months and received mainly epoetin alpha 40,000 IU/week (N=17, 33%) or darbepoetin alpha 20-300 mcg/week (N=20, 39%); a minority of cases received epoetin zeta 30,000 IU/week (N=6, 12%) or beta 30,000 IU/week (N=1, 2%). Two patients received epoetin alpha 4,000 IU/week because of coexistent chronic kidney disease.

Figure 2. Cytokine serum levels in patients with autoimmune hemolytic anemia and relationship with hematologic parameters and endogenous erythropoietin levels.

Upper panel: serum cytokine individual values of AIHA patients before therapy with rEPO. Grey areas indicate mean \pm 1SD of 40 age and sex matched healthy controls. TNF- α level was lower in AIHA than in controls ($p<0.001$), whereas IL10, IL6, IL17, and TGF- β levels were all higher ($p<0.001$, $p<0.001$, $p=0.014$, and $p=0.002$, respectively); IFN- γ level was comparable between patients and controls. Cytokines were evaluated using commercial ELISA kits.

Lower panel: correlation between endogenous EPO, bone marrow reticulocytes index (BMRI), Hb and cytokines. TNF- α positively correlated with endogenous EPO ($r=0.77$, $p=0.005$), and negatively with BMRI ($r=-0.052$, $p=0.05$). Moreover, IL6 and IL17 positively correlated with BMRI ($r=0.45$, not significant; $r=0.53$, $p=0.04$, respectively). Similar correlations were observed for reticulocytes (TNF- α : $r=-0.051$, $p=0.05$; IL6: $r=0.53$, $p=0.04$; IL17: $r=0.61$, $p=0.02$). Finally, a negative correlation was observed between TGF- β and Hb values ($r=-0.63$, $p=0.04$). Dashed line: negative correlation; continuous line: positive correlation.

Figure 1



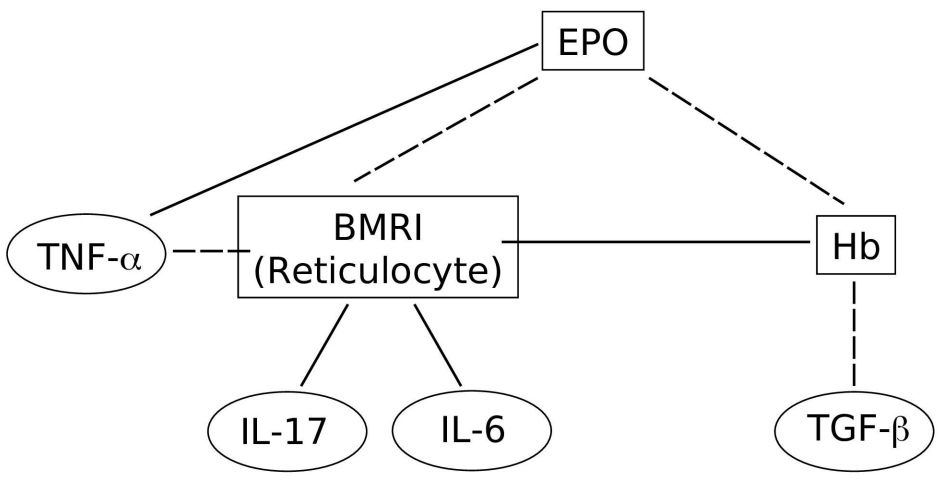
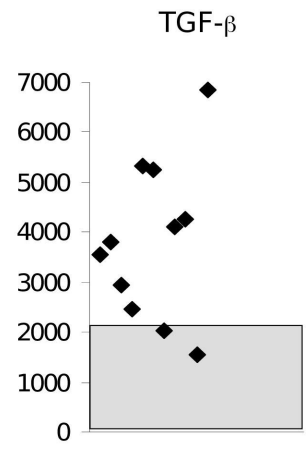
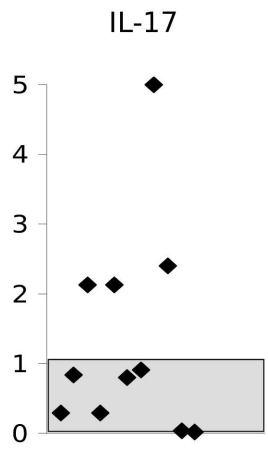
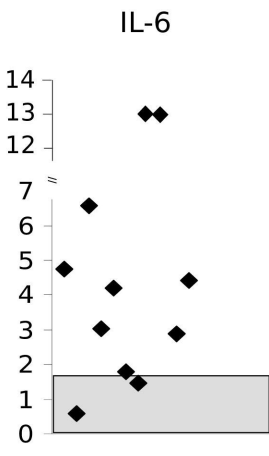
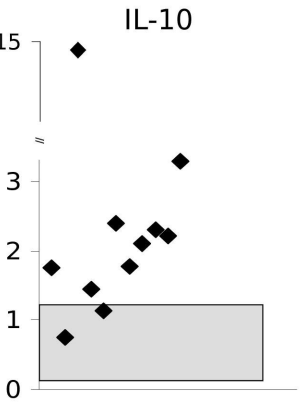
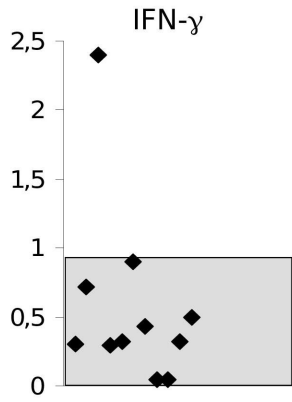
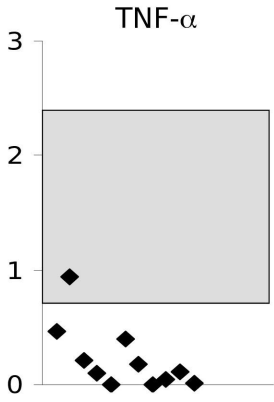
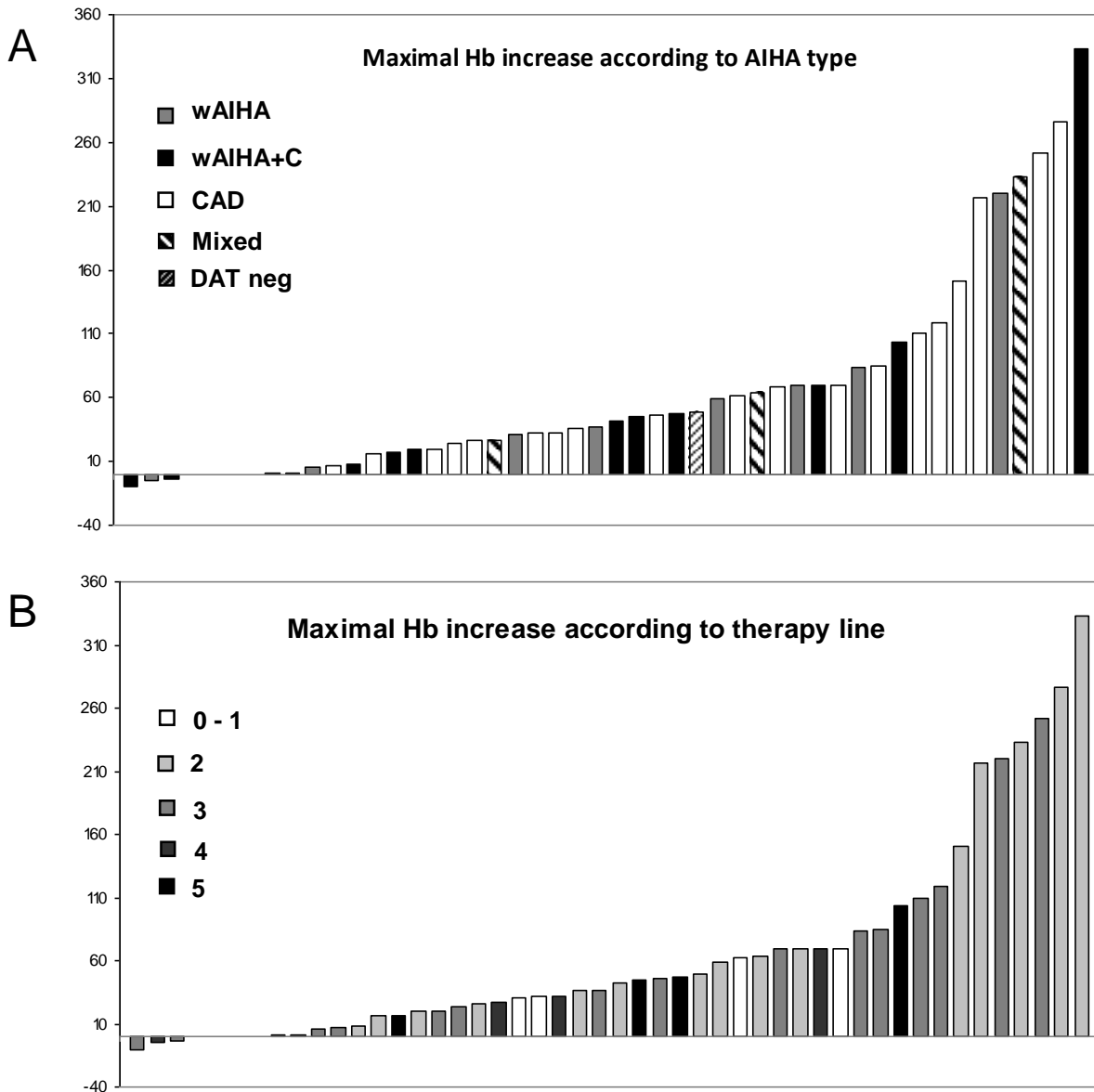


Figure 1S

Maximal hemoglobin increase from baseline after erythropoietin treatment



Panel A: individual maximal Hb increase from baseline according to autoimmune hemolytic anemia type (wAIHA warm autoimmune hemolytic anemia; wAIHA+C warm autoimmune hemolytic anemia with complement positivity; CAD cold agglutinin disease; DAT neg direct antiglobulin test negative).

Panel B: individual maximal Hb increase from baseline according to number of previous therapy lines.