# TICLE IN PE

Incidence, Risk Factors, and Effects on

in Patients With Traumatic Brain Injury

Outcome of Ventilator-Associated Pneumonia

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Analysis of a Large, Multicenter, Prospective, Observational Longitudinal Study 14 049 Chiara Robba, PhD; Paola Rebora, PhD; Erika Banzato, MSc; Eveline J. A. Wiegers, MSc; Nino Stocchetti, MD; David K. Menon, PhD; and Giuseppe Citerio, MD; on behalf of the Collaborative European NeuroTrauma Effectiveness Q2 Research in Traumatic Brain Injury Participants and Investigators<sup>3</sup> BACKGROUND: No large prospective data, to our knowledge, are available on ventilator-associated pneumonia (VAP) in patients with traumatic brain injury (TBI). RESEARCH QUESTION: To evaluate the incidence, timing, and risk factors of VAP after TBI 77 and its effect on patient outcome. STUDY DESIGN AND METHODS: This analysis is of the Collaborative European NeuroTrauma 79 Effectiveness Research in Traumatic Brain Injury data set, from a large, multicenter, prospective, observational study including patients with TBI admitted to European ICUs, receiving me-

- chanical ventilation for  $\geq$  48 hours and with an ICU length of stay (LOS)  $\geq$  72 hours. Char-acteristics of patients with VAP vs characteristics of patients without VAP were compared, and outcome was assessed at 6 months after injury by using the Glasgow Outcome Scale Extended. **RESULTS:** The study included 962 patients: 196 (20.4%) developed a VAP at a median interval of 86 5 days (interquartile range [IQR], 3-7 days) after intubation. Patients who developed VAP were 87 33 Q7 younger (median age, 39.5 [IQR, 25-55] years vs 51 [IQR, 30-66] years; P < .001), with a higher 88 incidence of alcohol abuse (36.6% vs 27.6%; P = .026) and drug abuse (10.1% vs 4.2%; P = .009), <sup>89</sup> more frequent thoracic trauma (53% vs 43%; P = .014), and more episodes of respiratory failure <sup>90</sup> during ICU stay (69.9% vs 28.1%; P < .001). Age (hazard ratio [HR], 0.99; 95% CI, 0.98-0.99;  $P = {}^{91}$ .001), chest trauma (HR, 1.4; 95% CI, 1.03-1.90; P = .033), histamine-receptor antagonist intake (HR, 2.16; 95% CI, 1.37-3.39; P = .001), and antibiotic prophylaxis (HR, 0.69; 95% CI, 0.50-0.96; P = .026) were associated with the risk of VAP. Patients with VAP had a longer duration of mechanical ventilation (median, 15 [IQR, 10-22] days vs 8 [IQR, 5-14] days; P < .001) and ICU LOS (median, 20 [IQR, 14-29] days vs 13 [IQR, 8-21] days; P < .001). However, VAP was not associated with  $_{97}$ increased mortality or worse neurological outcome. Overall mortality at 6 months was 22%. INTERPRETATION: VAP occurs less often than previously described in patients after TBI and 99 has a detrimental effect on ICU LOS but not on mortality and neurological outcome. CLINICAL TRIAL REGISTRATION: Clinical Trials.gov; No.: NCT02210221; URL: www.clinicaltrials.gov;
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**KEY WORDS:** mechanical ventilation; outcome; oxygenation; traumatic brain injury; ventilator-associated pneumonia

CENTER-TBI ABBREVIATIONS: = Collaborative European NeuroTrauma Effectiveness Research in Traumatic Brain Injury; GCS = Glasgow Coma Scale; GOSE = Glasgow Outcome Scale Extended;  $H_2$  = histamine; HR = hazard ratio; IQR = interquartile range; LOS = length of stay; PPI = proton pump inhibitor; TBI = traumatic brain injury; VAP = ventilator-associated pneumonia

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111 Ventilator-associated pneumonia (VAP) is defined as 112 pneumonia acquired more than 48 hours after 113 intubation and caused by a colonization of the upper 114 airway followed by subsequent replication of bacteria in 115 the lower respiratory tract.<sup>1-3</sup> It is a common iatrogenic 116 pulmonary infection in patients who are critically ill and 117 receiving mechanical ventilation.<sup>4</sup> 118

Patients with traumatic brain injury (TBI), requiring 120 intubation and mechanical ventilation mainly for posttraumatic disorders of consciousness, are at high risk of respiratory complications.<sup>1,2</sup> The incidence, risk factors, and association with the outcome of VAP among patients with and those without TBI vary widely among studies.<sup>1-4</sup> Some reports suggest that

166 VAP is associated with an increased risk for mortality, 167 poor neurological outcome, and increased hospital and 168 ICU and length stay (LOS).<sup>5,6</sup> However, there is 169 substantial uncertainty regarding the incidence and 170 risk factors for VAP development and whether they 171 affect outcome in the specific population of patients 172 with TBI.<sup>7</sup> We therefore conducted a preplanned 173 secondary analysis of data from the Collaborative 174 European NeuroTrauma Effectiveness Research in 175 Traumatic Brain Injury (CENTER-TBI) study.<sup>8</sup> We 176 aimed to investigate the incidence and timing of VAP 177 in patients with TBI, evaluate the factors associated 178 179 with its development, and examine its effect on 180 patient outcome.

#### 129 Materials and Methods

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130 The CENTER-TBI study entails a longitudinal prospective collection of 131 TBI data in patients across 63 centers in Europe between December 19, 132 2014, and December 17, 2017. The CENTER-TBI study was conducted in accordance with the amended Declaration of Helsinki, and it was 133 approved by the medical ethics committees of all participating 134 centers. Informed consent was obtained according to local regulations.<sup>4</sup> 135

136 For this study, we selected from the CENTER-TBI cohort patients with a clinical diagnosis of TBI and indication for brain CT scanning, who 137 were admitted to the ICU within 24 hours after injury, who underwent 138 intubation, who received mechanical ventilation for  $\geq$  48 hours, 139 and who had an ICU LOS  $\geq$  72 hours. VAP was defined by treating 140 physicians on the basis of the radiologic presence of pulmonary 141 infiltration and clinical symptoms or signs (such as fever, leukocytosis, purulent secretions, or hypoxemia) during mechanical 142 ventilation support for ≥ 48 hours. Pathogens were defined and 143 isolated from endotracheal aspirates or BAL fluids. 144

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Care bundles for the prevention and treatment of VAP, as well as general clinical care, antibiotic prophylaxis, and treatment principles were based on local policies. Hypoxemia was defined as a documented PaO<sub>2</sub> < 8 kPa (60 mm Hg) and/or oxygen saturation < 90%; hypotension was defined as a documented systolic BP < 90 mm Hg. Details regarding data collection and extraction have been described previously.9

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Patients' functional outcome was assessed using the Glasgow Outcome Scale Extended (GOSE)<sup>10</sup> at 6 months. An unfavorable outcome was defined as  $GOSE \leq 4$ , which includes both mortality and dependent survival. We also evaluated the ICU and hospital LOS.

This study is reported according to the Strengthening the Reporting of Observational Studies in Epidemiology reporting guidelines (https:// Q9 195 www.strobe-statement.org/index.php?id=strobe-home) (e-Table 1). The project was preregistered into the CENTER-TBI proposal Q10 197 platform in December 2018 and approved (e-Appendix 1) before 011 analysis was started.

Baseline characteristics of patients are shown distinguishing between patients who developed VAP and those who did not. Continuous variables are reported as median and interquartile ranges (IQRs) and numbers and percentages for categorical variables. To assess differences between the two groups, we used the Fisher  $\chi^2$  test for categorical variables and the Kruskal-Wallis rank sum test for the continuous ones.

Multivariable Cox regression analysis was performed to model the cause-specific hazard of the first episode of VAP. Age, sex, presence of thorax or chest trauma, antibiotic prophylaxis, histamine (H<sub>2</sub>)receptor antagonist, barbiturate and proton pomp inhibitor (PPI) intake, hypothermia, Glasgow Coma Scale (GCS) at arrival, and alcohol involved were added to the model as predictive variables. These variables were selected according to the literature and for clinical reasons. The time of VAP occurrence was defined as the difference between the day of VAP diagnosis and the day of intubation. Patients were censored at the time of extubation, death, or ICU discharge, whichever occurred first.

To describe VAP incidence and ICU LOS, we performed a multistate 216 analysis to account for competing risks and to obtain the transition 217 hazards for each of the possible transitions, defined as intubation, 218 VAP, discharge after intubation, death after intubation, discharge 219 after VAP, and death after VAP. We also estimated the VAP rate as 220 the number of VAPs divided by the time spent under intubation at

221 risk for the first occurrence of VAP in the ICU. Furthermore, we described the different behavior of the PaO2/FIO2 ratio, PaCO2, and 222 body temperature values before and after VAP occurrence by using a 223 linear mixed model for longitudinal data, with a random intercept 224 for the subject to account for multiple measurements. In this model, 225 we considered as predictors of the aforementioned values the time since intubation, the VAP diagnosis (as a time-dependent variable), 226 and the interaction between these two variables. 227

228 The Kaplan-Meier method was used to estimate overall mortality. 229 Finally, we evaluated the associations among VAP, 6-month mortality, and GOSE, with the outcome dichotomized as favorable 230 (GOSE  $\geq$  5) or unfavorable (GOSE  $\leq$  4). We first performed a 231 multivariable Cox regression analysis to assess the effect of VAP on 232 ICU and 6-month mortality, treating VAP as a time-dependent 233 Q12 variable, to avoid immortal time bias. To adjust for covariates, we 234 included predictors from the extended International Mission for Prognosis and Analysis of Clinical Trials in TBI model, as defined 235 by Lingsma et al,<sup>11</sup> which are age, GCS motor score at arrival, 236 pupillary reactivity, physiologic second insults (hypoxemia and 237

#### **Results**

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We included 962 patients in the final analysis. A flowchart shows the inclusion criteria (Fig 1).

#### Incidence, characteristics, and timing of VAP in the 244 population with TBI 245

246 There were 196 patients with VAP on a total of 247 9,204 days at risk of VAP, resulting in an overall rate of 248 21 VAPs per 1,000 ventilator days. The crude 249 cumulative incidence at 70 days from intubation was 250 20.4%, and it is reported in Figure 2 as the red-shaded 251 area (VAP or death or discharge after VAP). The 252 median interval for VAP occurrence was 5 days after 253 ICU admission (IQR, 3-7) (e-Fig 1), with the last 254 occurrence observed after 35 days of mechanical 255 ventilation. 256

257 The probability of being in the ICU with VAP increased 258 in the first 10 days and then progressively decreased. This 259 pattern was attributable to the fact that after day 7 some 260 of the patients who had developed VAP had improved 261 and started to be discharged, mainly alive and cured, as 262 263 described in the transition probabilities plot describing 264 the probability over time of patients who had undergone 265 intubation to be in the ICU with or without VAP (Fig 2). 266

Among the 196 patients with VAP, the most common 267 pathogen isolated was Staphylococcus aureus (80 cases 268<sup>Q14</sup> [40.8%]), followed by Haemophilus influenzae (47 cases 269 270 [24.0%]), and Streptococcus pneumoniae (16 cases 271 [8.2%]). Lower incidence was found for Pseudomonas 272 aeruginosa (7.7%), Klebsiella pneumoniae (7.7%), 273 Escherichia coli (7.1%), Klebsiella oxytoca (5.1%), and 274 Candida albicans (5.1%). 275

hypotension), CT scan characteristics (Marshall CT scan 276 classification, traumatic subarachnoid hemorrhage, and epidural 277 hematoma), presence of any major extracranial injury, need for 278 blood transfusions, hypernatremia in the first 3 days after admission, 279 and intracranial hypertension during the ICU stay. To evaluate the effect of VAP on 6-month GOSE score, we performed a logistic  $^{280}$ regression, adjusting for the same variables. Country-specific effects 281 have been considered in all models by adding a random effect. We 282 used analogous models classifying VAP as early or late<sup>12</sup> (within and 283 after the sixth day after intubation, respectively) and by its severity 284  $(PaO_2/FIO_2 < 200 \text{ and } \ge 200 \text{ on the VAP occurrence day}).$ 

285 To account for missing values in predictors, we used the MICE<sup>12</sup> 286 algorithm to multiply impute 50 sets of data with the method of 287 chained equations. The imputation model used all the variables that 288 we considered as predictors in the aforementioned models, as well as the outcomes we targeted for analysis (ie, events indicator and the 289 Nelson-Aalen estimator) to avoid bias. Complete case analyses are 290 reported in the supplementary material. Statistical analyses were 23 performed using software (R version 3.6).<sup>14</sup> 292



Figure 1 - Flowchart for the definition of patient inclusion criteria in our study. VAP = ventilator-associated pneumonia.

331 Daily trends of the PaO<sub>2</sub>/FIO<sub>2</sub> ratio and PaCO<sub>2</sub> values in 332 patients who developed VAP after intubation are 333 presented in Figure 3. Longitudinal analysis showed a 334 reduction of the  $PaO_2/FIO_2$  ratio of 70.9 mm Hg (P < 335 .001) on the day of VAP diagnosis, followed by an 336 increase in the following days (4.2 mm Hg per day; P <337 .001). In e-Figure 2, we also reported the  $PaO_2/FIO_2$ 338 course in patients with and those without VAP. On the 339 day of VAP diagnosis, seven patients (5%) presented 340 with  $PaO_2/FIO_2 < 100$ , and 32 (23.4%) presented with 341 342  $PaO_2/FIO_2 < 150$ . However, the occurrence of VAP did not modify  $PaCO_2$  values significantly (P = .15). 343

#### 345 Factors associated with VAP development

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346 Baseline characteristics of the patients who developed or 347 did not develop VAP during ICU LOS are presented in 348 Table 1. Patients with VAP were more often male 349 (83.7% vs 73.4%; P = .004), younger (median age, 39.5) 350 years vs 51 years; P < .0001), and in a more 351 352 neurologically severe state at arrival (GCS  $\leq 8$ 353 75.1% vs 66.3%; P = .028), with a higher incidence of 354 chest trauma (53.1% vs 43.0%; P = .014) and a more 355 frequent history of alcohol or drug abuse 356



Figure 2 - Overall transition probabilities of patients who had under-383 gone intubation. The x-axis indicates the time since intubation, and the y-axis indicates the probability of being in one of the possible states. In 384 particular, the red-shaded area represents the cumulative incidence of 385 VAP. See Figure 1 legend for expansion of abbreviation.

(36.6%  vs  27.6%; P = .026  and  10.1%  vs  4.2%; P = .009,	386
respectively). No differences were found between the	387
two groups regarding preiniury status, comorbidities,	388
neuroimaging features and pupillary reactivity	389
neuronnaging reacures, and pupiliary reactivity.	390

391 Overall, a total of 682 patients (70.9%) received 392 antibiotic prophylaxis within the first 48 hours after 393 admission; nearly one-half of them received 394 cephalosporin (319 patients) (e-Table 2). Antibiotic 395 prophylaxis was less common in the VAP group 396 (66.3% vs 72.1%; P = .136), even though the difference 397 did not reach statistical significance (Table 1). Patients 398 who developed VAP compared with those who did not 399 develop VAP more frequently received H<sub>2</sub>-receptor 400 antagonists (41.5% vs 26.5%; P < .001) and less Q17 401 frequently PPI (43.6% vs 54.4%; P = .011). e-Table 3 **Q18** 402 403 shows the medications administrated during the ICU 404 stay in patients with and those without VAP.

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Age, chest trauma, antibiotic prophylaxis, and H<sub>2</sub>receptor antagonist intake were associated independently with the risk of VAP occurrence (Table 2); complete cases are shown in e-Table 4. In particular, the hazard ratio (HR) of developing VAP in patients with thoracic trauma was 37% higher, and the 412 administration of H<sub>2</sub>-receptor antagonists increased the 413 risk of VAP by 95%. Conversely, antibiotic prophylaxis in the first 48 hours reduced the hazard of VAP by 30%. 415 Finally, increasing age was associated inversely with the 416 risk of developing VAP, with a decrease in incidence of 12% per decade. Results were consistent when the same model was fitted on the whole population of patients who were admitted to the ICU and underwent intubation.

#### Differences across countries

The incidence of VAP ranged from 40% (country 1) to 425 2% (country 12) among the countries that participated 426 in the CENTER-TBI study (Fig 4). Dichotomizing 427 countries with an incidence of VAP > or  $\leq$  30%, we 428 429 found substantial differences in different factors, including age, Injury Severity Score, Therapy Intensity 430 431 Level, fluid balance, and use of hypothermia and 432 vasopressors (e-Table 5). 433 434

#### VAP effects on patient outcomes

Patients with VAP had a longer duration of mechanical 436 ventilation (median, 15 [IQR, 10-22] days vs 8 [IQR, 5-437 438 14] days; P < .001) and ICU LOS (median, 20 [IQR, 14-439 29] days vs 13 [IQR, 8-21] days; P < .001). Overall 440 mortality at 6 months was 0.22 (95% CI, 0.20-0.25).



Figure 3 – Box plots of the PaO<sub>2</sub> values, PaO<sub>2</sub>/FIO<sub>2</sub> ratio, and PaCO<sub>2</sub> values in patients who developed VAP after intubation. Only values regarding 520 1 week before and 2 weeks after the VAP diagnosis are considered. See Figure 1 legend for expansion of abbreviation. 521

Survival estimates of patients with and those without VAP are reported in e-Figure 3.

471 Mortality in the ICU was lower for patients who 472 experienced VAP (13 [6.6%] vs 121[15.8%] deaths) (e-473 Table 6), also after adjusting for possible confounding 474 factors (HR, 0.48; 95% CI, 0.30-0.76; P = .022). During 475 the overall 6-month follow-up, we observed 27 (16%) 476 deaths in the VAP group and 174 (22.7%) in the other 477 group. After adjusting for confounding factors, we found 478 that the occurrence of VAP was not associated with an 479 480 increase in mortality (HR, 0.73; 95% CI, 0.53-1.0; P =481 .18). We observed 89 patients (53%) with poor 482 neurological outcome at 6 months in the VAP group 483 and 377 (57%) in the other group (OR, 0.98; 95% CI, 484 0.66-1.46; P = .923 after adjusting) (e-Table 6). Results 485 were consistent when the same model was fitted on the 486 whole population of patients admitted to the ICU (e-487 Table 7). No effect on neurological outcome was 488 detected when classifying VAP as early (n = 139 VAP)489 from day 3 to 6; OR, 0.98; 95% CI, 0.63-1.55; *P* = .94) or 490 late (n = 57 from day 7; OR, 0.98; 95% CI, 0.50-1.89; 491 492 P = .942). When classifying VAP according to its 493 severity, results were consistent: Moderate or severe 494 VAP with  $PaO_2/FIO_2 < 200$  (n = 63) had an OR of 0.83 495 (95% CI, 0.44-1.58; P = .572) of having a poor

neurological outcome at 6 months with respect to subjects who did not experience VAP, and mild VAP with  $PaO_2/FIO_2 \ge 200$  (n = 74) had an OR of 1.29 (95% CI, 0.72-2.32; P = .398). 526 527

#### Discussion

530 VAP is a common iatrogenic pulmonary infection in 531 patients who are critically ill and receiving mechanical 532 ventilation. To our knowledge, the literature provides no 533 large prospective study exploring the incidence of and 534 risk factors for VAP development in patients with TBI. 535 Moreover, the effect of VAP on long-term outcome still  $\frac{1}{536}$ is debated. We tried to address these issues in 537 prospectively collected data from a large cohort of 538 patients with TBI. 539

540 The key findings from our study are that the incidence 541 of VAP in patients with TBI admitted to ICU and 542 receiving mechanical ventilation is less common than in 543 previously described series and meta-analyses.<sup>15</sup> Alcohol 544 and drug abuse, as well as the energy of trauma, may 545 increase VAP occurrence after trauma. The risk factors 546 associated with VAP development include young age, 547 548 chest trauma, H2-receptor antagonist intake, and no 549 antibiotic prophylaxis. A high heterogeneity in VAP 550 development exists among countries across Europe.

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Characteristic		No (n = 766)	Yes (n = 196)	<i>P</i> Value	Missing	
Age, median (IQR), y		51 (30.2, 66)	39.5 (25, 55)	< .001	0	
Male, No. (%)		562 (73.4)	164 (83.7)	.004	0	
Smoking, No. (%)		190 (33.9)	54 (33.3)	.963	240 (24.9)	
Preinjury ASAPS classificat	ion, No. (%)			.586	50 (5.2)	
Healthy patient	, , ,	417 (57.5)	114 (61.0)			
Patient with mild system	ic disease	234 (32.3)	53 (28.3)			
Patient with severe syste	emic disease	74 (10.2)	20 (10.7)			
Previous TBL, No. (%)		46 (6,8)	15 (8,7)	.488	116 (12.1)	
Use of anticoagulants, No.	(%)	42 (5.9)	3 (1.6)	.030	61 (6.3)	
Use of antiplatelets. No. (	() ()	66 (9.2)	12 (6.5)	.303	61 (6.3)	
Alcohol involvement No (	%)	184 (27.6)	63 (36 6)	026	123 (12.8)	
Drug involvement No. (%	)	25 (4 2)	15 (10.1)	009	221 (23.0)	
Pupillary reactivity No. (%	, )	23 (112)	15 (10.1)	580	53 (5 5)	
Both reacting	,	549 (76.2)	150 (79.4)	.500	33 (3.3)	
Both unreacting		106 (14 7)	26 (13.8)			
		65 (0 0)	12 (6.0)			
		120 (18 1)	13 (0.9)			
		129 (18.1)	31 (17.3)	.888	/1 (/.4)	
Hypotension, No. (%)		127 (17.6)	34 (19.2)	.694	63 (6.5)	
Any major extracranial inj	ury, No. (%)	489 (63.8)	133 (67.9)	.334	0	
Face		216 (28.2)	53 (27.0)	.816	0	
Chest		329 (43.0)	104 (53.1)	.014	0	
Abdomen or pelvis		162 (21.1)	45 (23.0)	.651	0	
Extremities		151 (19.7)	37 (18.9)	.871	0	
External		25 (3.3)	9 (4.6)	.495	0	
Spine		171 (22.3)	45 (23.0)	.925	0	
Intubation after ICU admis	sion, No. (%)	690 (90.1)	181 (92.3)	.406	0	
Marshall score, No. (%)				.615	145 (15.1)	
Ι		40 (6.1)	10 (6.2)			
II		288 (44.0)	64 (39.5)			
III		70 (10.7)	21 (13.0)			
IV		11 (1.7)	3 (1.9)			
V		2 (0.3)	2 (1.2)			
VI		244 (37.3)	62 (38.3)			
GCS arrival $\leq$ 8, No. (%)		477 (66.3)	139 (75.1)	.028	58 (6.0)	
GCS motor score at ED an	ival, No. (%)			.029	23 (2.4)	
None		328 (43.9)	79 (41.1)			
Abnormal extension		37 (5.0)	9 (4.7)			
Abnormal flexion		36 (4.8)	20 (10.4)			
Normal flexion or withdr	awal	72 (9.6)	19 (9.9)			
Localizes to pain		148 (19.8)	44 (22.9)			
Obeys command		126 (16.9)	21 (10 9)			
Antibiotic prophylaxis No	(%)	552 (72 1)	130 (66 3)	136	0	
H <sub>2</sub> -receptor antagonist <sup>a</sup> N	(%)	180 (24 8)	77 (41)	.130	48 (5 0)	
	0. (70)	100 (24.0)	,, (+1)		+0 (5.0)	

6 Original Research

**TABLE 1** (Continued)

Characteristic         No (n = 766)         Yes (n = 196)         P Value         Missing           Barbiturates, a No. (%)         189 (25.8)         53 (27.2)         .770         35 (3.6)           Hypothermia, a No. (%)         112 (15.3)         40 (20.5)         .101         35 (3.6)           Transfusions, a No. (%)         287 (37.5)         74 (37.8)         >.999         0		VA	AP			
Barbiturates, <sup>a</sup> No. (%)         189 (25.8)         53 (27.2)         .770         35 (3.6)           Hypothermia, <sup>a</sup> No. (%)         112 (15.3)         40 (20.5)         .101         35 (3.6)           Transfusions, <sup>a</sup> No. (%)         287 (37.5)         74 (37.8)         >.999         0	Characteristic	No (n = 766)	Yes (n = 196)	P Value	Missing	
Hypothermia, <sup>a</sup> No. (%)         112 (15.3)         40 (20.5)         .101         35 (3.6)           Transfusions, <sup>a</sup> No. (%)         287 (37.5)         74 (37.8)         >.999         0	Barbiturates, <sup>a</sup> No. (%)	189 (25.8)	53 (27.2)	.770	35 (3.6)	
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	Transfusions, <sup>a</sup> No. (%)	287 (37.5)	74 (37.8)	> .999	0	

ASAPS = American Society of Anesthesiologists Physical Status; GCS = Glasgow Coma Scale; H<sub>2</sub> = histamine; IQR = interquartile range; PPI = proton724 pump inhibitor; TBI = traumatic brain injury; VAP = ventilator-associated pneumonia. 725 <sup>a</sup>First 3 days after admission.

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VAP development is not associated with increased 673 mortality or worse neurological outcome, but it prolongs 674 ICU LOS and mechanical ventilation duration. These 675 676 results come from large database, including patients 677 from different countries, thus making our results 678 representative of the current knowledge about this issue.

679 The literature reports VAP as a frequent ICU 680 complication in patients receiving mechanical 681 ventilation, with an incidence ranging from 10% to 40%, 682 and with large variations among studies and countries.<sup>16</sup> 683 684 Authors in several studies also suggest that VAP 685 adversely affects mortality.<sup>17-20</sup> A study attempting to 686 control for confounding biases demonstrated a VAP-687 attributable mortality slightly higher than 10%.<sup>20</sup> 688

689 In patients with severe TBI who are receiving 690 mechanical ventilation and are admitted to the ICU, 691 VAP seems to occur even more frequently, reaching an 692 incidence of up to 60%. In some studies, VAP has been 693 associated independently with unfavorable neurological 694 outcome.<sup>4</sup> A recently published meta-analysis reported a 695 pooled incidence of VAP of 36% (95% CI, 31%-41%) 696 and an association with VAP occurrence and 697 mechanical ventilation duration (OR, 5.45; 95% CI, 698 3.78-7.12), ICU LOS (OR, 6.85; 95% CI, 4.90-8.79), and 699 hospital LOS (OR, 10.92; 95% CI, 9.12-12.72) but not 700 701 with higher mortality.<sup>15</sup>

702 Our prospectively collected data show that VAP is less 703 common than the previously reported incidence in 704 patients with TBI but confirm that its occurrence can 705 706 increase the duration of mechanical ventilation and ICU 707 LOS by nearly a week. The lower incidence found in the 708 cohort in our study and the wide variability among 709 different centers may reflect the different diagnostic 710 criteria and treatment policies used for VAP. 711

Patients with VAP were younger, probably because they 712 713 are admitted after road traffic accidents with high-714 energy trauma and a high incidence of chest trauma, 715 with a more severe neurological presentation at arrival,

727 and with a higher incidence of drug and alcohol abuse. 728 Variation in these factors may explain (at least in part) 729 between-country differences in rates of VAP and 730 underline the importance of preinjury and in-hospital 731 factors. We found that countries with a higher incidence 732 733 of VAP had higher use of drugs and alcohol as risk 734 factors for low GCS scores and aspiration; a higher 735 severity of trauma (Injury Severity Score); and more 736 aggressive ICU treatments, such as Therapy Intensity 737 Level, vasopressors, transfusions, and more positive fluid 738 balance. 739

740 The association of VAP with thoracic trauma may be a 741 marker of trauma severity and consequent poor 742 secretion clearance, airway bleeding, and more difficult 743 ventilator management and weaning.<sup>3</sup> These findings 744 help confirm, on a larger scale, data from previous 745 smaller studies in TBI, which reported an increased risk 746 of VAP with thoracic injury.<sup>4,21</sup> 747

748 In the cohort in our study, antibiotic prophylaxis within 749 the first 48 hours after admission was common, and it 750 was independently associated with a reduced hazard of 751 VAP occurrence by 30%. Although the prophylactic 752 administration of antibiotics has been recommended by 753 several authors,<sup>22,23</sup> evidence for the intervention is 754 inconsistent,<sup>24-27</sup> and it is not currently standard of care 755 practice because of concerns that it may induce bacterial 756 757 resistance and that the risks of antibiotic prophylaxis 758 might outweigh the benefits. The association we 759 highlight does not conclusively show benefit but 760 underlines the need for a better understanding of the 761 pathogens that cause VAP in this population, as well as 762 definition of rational antibiotic protocols that allow 763 effective treatment while minimizing the risk of 764 emerging resistance.<sup>28-33</sup> On these bases, the European 765 guidelines<sup>34</sup> for the management of VAP suggest that 766 empirical treatment with narrow-spectrum antibiotics 767 should be based on individual cases, taking into 768 consideration the risks, clinical status, country, and type 769 770 of pathogens detected in the ICU.

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771 We also found that H<sub>2</sub>-receptor antagonists were 772 administered more frequently in patients who developed 773 VAP, thus suggesting an association between H<sub>2</sub>-774 receptor antagonists and development of VAP. This 775 association is debated even if there is some evidence 776 suggesting that stress ulcer prophylaxis may increase 777 VAP risk in the general ICU population.<sup>35</sup> Our results in 778 this context are in keeping with a long-standing 779 recognition that H<sub>2</sub>-blockers also may increase the rates 780 of pneumonia in patients who are hospitalized, probably 781 through increased gastric colonization in a less acid 782 environment.36-38 783

Furthermore, our results suggest that VAP has an important effect on systemic oxygenation but not on

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826 CO<sub>2</sub> values, with a transient reduction of 70 mm Hg in 827 the PaO<sub>2</sub>/FIO<sub>2</sub> ratio once VAP has developed. However, 828 PaO<sub>2</sub> values remained within an acceptable range, and 829 the development of VAP had no effect on PaCO<sub>2</sub> values, 830 which may account for a lack of effect on intracranial 831 pressure and neurological outcome. 832

Finally, we found no association between VAP and mortality or neurological outcome. Our results are in agreement with those of a recent meta-analysis.<sup>15</sup> Taken together, the severity of VAP in the cohort in our study appears to be low, with only 46% of patients with a  $PaO_2/FIO_2$  ratio < 200 mm Hg and with no important consequences for oxygenation, CO<sub>2</sub>, or cerebral perfusion pressure. VAP may be only a transitory

) .	TABLE 2	Results	of the	Predictive	Cox	Model	of	VAP
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Characteristic (n = 962)	VAP, No. (%)	Hazard Ratio (95% CI)	P Value
Age		0.99 (0.98-0.99)	.001
Sex	4		
Male	164 (22.6)	1.47 (1.0-2.16)	.05
Female	32 (13.6)	1.00	
Chest trauma			
Yes	104 (24)	1.40 (1.03-1.9)	.033
No	92 (17.4)	1.00	
Antibiotic prophylaxis			
Yes	130 (19.1)	0.69 (0.50-0.96)	.026
No	66 (23.6)	1.00	
H <sub>2</sub> -receptor antagonist intake <sup>a</sup>			
Yes	78 (28.8)	2.16 (1.37-3.39)	.001
No	110 (17.1)	1.00	
GCS at arrival $\leq 8$			
Yes	139 (22.6)	1.03 (0.73-1.47)	.858
No	46 (16)	1.00	
Alcohol involved			
Yes	63 (25.5)	1.19 (0.86-1.65)	.285
No	109 (18.4)	1.00	
PPI intake <sup>a</sup>			
Yes	82 (17.2)	0.87 (0.60-1.28)	.483
No	106 (24.3)	1.00	
Barbiturate intake <sup>a</sup>			
Yes	53 (21.9)	1.21 (0.90-1.71)	.271
No	142 (20.7)	1.00	
Hypothermia <sup>a</sup>			
Yes	40 (26.3)	0.82 (0.56-1.18)	.286
No	155 (20)	1.00	

The number of events, hazard ratios, and CIs are reported, along with the associated P value. The model estimation was performed after the multiple 824 imputation procedure. See Table 1 legend for expansion of abbreviations. 825

<sup>a</sup>First 3 days after admission.

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8 Original Research



Figure 4 - Incidence of VAP diagnosis per country. Only countries with at least 20 observations are displayed. C = country. See Figure 1 legend for expansion of abbreviation.

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phenomenon, which prolongs the acute phase of the illness (with its attendant stresses on families), but is unlikely to have an effect on outcome or mortality. Although these results might be surprising, they reflect the current state-in a nonselected population-of the occurrence of pneumonia after TBI.

912 Thus far, in the European setting, current antimicrobial 913 and supportive therapies result in effective treatment in 914 most cases, without long-term effects on patient 915 outcome. However, the increase in LOS and mechanical 916 ventilation duration has an effect on costs and health 917 918 system resource use.<sup>18</sup> Therefore, better strategies aimed 919 to prevent it are warranted, including randomized 920 studies in the TBI cohort at higher risk with use of 921 antibiotic prophylaxis and H<sub>2</sub>-receptor avoidance. 922

923<mark>Q23</mark> The main limitation of our study is its observational 924 design. Our results describe associations between 925 different factors but provide no information about 926 causality. However, the generalizability of our results is 927 underpinned by the size and the multicenter and 928 multinational nature of the CENTER-TBI study, which 929 included 52 centers across Europe. 930

931 Second, the first aim of the CENTER-TBI study was to 932 describe the neurocritical care clinical practice regarding 933 the management of TBI. Extracranial complications, and 934 in particular VAP, are a secondary analysis. As a 935

936 consequence, several data items are missing or lack precision. In particular, data are lacking on diagnosis of <sup>937</sup> 938 VAP or unmeasured confounding variables such as 939 selective digestive decontamination, oral 940 decontamination, use of PPIs, type of endotracheal 941 tubes, time of isolation of different pathogens, resistance 942 patterns of isolated pathogens, compliance with 943 spontaneous awakening trials and spontaneous 944 breathing trials, as well as the occurrence of aspiration 945 pneumonia. 946

947 To overcome all these limitations, we used a very strict 948 statistical plan, with a multiple imputation approach, 949 excluding in the first instance patients with missing 950 information on the care bundles used, as well as 951 intubation start or stop dates or early mortality. 952 However, a sensitivity analysis in which we included all 953 patients admitted to the ICU produced concordant 954 results (e-Table 7). Also, our analysis includes patients 955 **95**6 with chest trauma, which is a known risk factor for VAP 957 and could be a significant confounding factor. However, 958 we included chest trauma in the multivariate model as a 959 confounding factor, allowing us to explore the effect of 960 other risk factors more effectively. 961

Third, the number of patients receiving an antibiotic in <sup>962</sup> 963 the first 10 days after intubation or before VAP is much 964 greater than in other studies on the subject.<sup>39-41</sup> This 965 difference could explain the low incidence of VAP in the 966 cohort in our study. Finally, in our study we studied only 967 clinical risk factors and did not take into consideration 968 pathophysiologic biological mechanisms, such as 969 impaired immune function, which often occurs after 970 brain injury.<sup>42</sup> 971

## Conclusions

974 975 VAP occurs less than previously described in patients 976 who have undergone intubation after TBI and occurs in 977 the first few days after ICU admission. The development 978 of VAP did not have a detrimental effect on mortality 979 and neurological outcome but prolonged ICU LOS and 980 the duration of mechanical ventilation. ICU therapies 981 appear to modulate the incidence of VAP, which is more 982 frequent in patients given H<sub>2</sub>-blockers and less frequent 983 in those receiving antibiotic prophylaxis. Further studies 984 and randomized controlled trials are warranted to 985 confirm and extend our understanding of risk factors for 986 987 the development of VAP, promptly detect patients at 988 risk of VAP, and explore the effect of early antimicrobial 989 therapy in its prevention.

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cou	ntry where the recruiting sites were	1156				
loca	ted, including but not limited to, the	1157				
rele	vant privacy and data protection laws and	1158				
on t	the use of human materials, and all	1159				
rele	vant guidance relating to clinical studies	1160				
fron	n time to time in force including, but not	1161				
Har	monisation Harmonised Tripartite	1162				
Gui	deline for Good Clinical Practice (CPMP/	1163				
ICH	I/135/95) and the World Medical	116/				
Ass	ociation Declaration of Helsinki (Ethical	1165				
Hur	nan Subjects). Informed consent by the	1166				
pati	ents and/or the legal representative or	1167				
next	t of kin was obtained, according to the	1169				
the	core data set of the CENTER-TBI study	1160				
and	documented in the electronic case report	1170				
form	n. Ethical approval was obtained for each	1170				
com	uniting site. The list of sites, ethical unities, approval numbers, and approval	1171				
date	es can be found at https://www.center-tbi.	11/2				
eu/p	project/ethical-approval.	11/3				
The	data supporting the findings in the study	11/4				
are .	available on reasonable request from the	1175				
seni	or author (G. C.) and are stored at	1176				
b0d	43708ebef42. Imaging data can be found	1177				
at h	ttps://center-tbi.incf.org/_5cf4dbd056	1178				
0bb http	01102b6b28e; data on vitals values, at	1179				
0bb	01102b6b28f; and data regarding	1180				
mec	lications, at https://center-tbi.incf.org/_5	1181				
cf4c	le0d560bb01102b6b291.	1182				
Add	litional information: The e-Appendix, e-	1183				
Figu	Figures, and e-Tables can be found in the Supplemental Materials section of the online					
artic	ricle.					
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12 Original Research

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