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Title: Gaining knowledge on source contribution to aerosol optical absorption properties and organics by receptor modelling

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Abstract: In this source apportionment study, an original approach based on receptor modelling was tested to relate primary and secondary organic aerosol (OA) contributions - estimated from ACSM (Aerosol Chemical Speciation Monitor) measurements - to their emission sources. Moreover, thanks to the coupling of optical and chemical variables as input to the receptor model, information such as the impact of mineral dust to the aerosol absorption in the atmosphere and estimates for the absorption Ångström exponent (α) of the sources were retrieved.

An advanced source apportionment study using the Multilinear Engine (ME-2) was performed on data collected during February 2017 in Rome (Italy), in the frame of the CARE (Carbonaceous Aerosol in Rome and Environs) experiment. A complete chemical characterisation (elements, non-refractory components, and carbonaceous components) was carried out, and the aerosol absorption coefficients $b_{ap}(\lambda)$ at 7 wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) were retrieved by an Aethalometer AE33; all these variables (chemical + optical) were used as input to the receptor model. The final constrained solution consisted of nine factors which were assigned to major sources impacting on the investigated site (hereafter sources are referred to as: biomass burning, nitrate and aged aerosol, traffic exhaust, sulphate, mineral dust, marine aerosol, traffic non-exhaust, local source, and polluted marine aerosol), comprising both local urban sources and contributions from long-range transport. The bootstrap analysis supported the goodness of the solution. Total OA concentration from ACSM was apportioned by our receptor model and afterwards compared with HOA (hydrocarbon-like organic aerosol), BBOA (biomass burning-like organic aerosol), and OOA (oxygenated organic aerosol) concentrations obtained as results from an independent source apportionment study previously performed. As an original result of this work, insights on OA contributions were thus retrieved: (1) the contribution of organic aerosol assigned by ME-2 to the traffic exhaust source was fully comparable to HOA assessed by ACSM data analysis; (2) our source apportionment results gave the relevant indication that the

OOA apportionment made on ACSM data likely includes a secondary OA contribution due to biomass burning. Other relevant results came from bap apportionment obtained by our multi-variable source apportionment approach: traffic exhaust was the main contributor to aerosol absorption in the atmosphere, but mineral dust contribution was also notable when a not negligible mineral dust transport episode was registered at the measurement site. In addition, source dependent optical absorption parameters (i.e. the absorption Ångström exponent - α - and the mass absorption cross section at different wavelengths) were retrieved without any a-priori assumption. In perspective, our modelling approach paves the way to more powerful source apportionment approaches which have the potential of providing much more insights on aerosol properties and sources.

Research Data Related to this Submission

There are no linked research data sets for this submission. The following reason is given:
Data will be made available on request

ME-2_{all}

Input data (1-2h resolution)

chemical variables
(mass, elements, EC, **OA**,
ammonium, sulphate, nitrate)

+

light absorption coefficients
(b_{ap} @ $7\lambda_s$)



ME-2 analysis

Multi-time resolution model



Final solution

9 sources. Main contributors to OA:
**Biomass burning, Nitrate and
aged aerosol, Traffic exhaust**

ME-2_{org} (Costabile et al., 2017a)

Input data

OA mass spectra



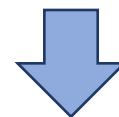
ME-2 analysis



Final solution

3 factors: **BBOA, HOA, OOA**

Comparison



Main result:

OOA separation among ME-2_{all} sources

Highlights

- Aerosol chemical and optical variables as input to advanced receptor modelling (RM)
- Source apportionment of episodic sources
- Evidence that OOA by ACSM includes secondary OA from biomass burning
- Light absorption from mineral dust, fossil fuels, and biomass burning estimated
- Assessment of source-related absorption Ångström exponent from receptor modelling

1 **Gaining knowledge on source contribution to aerosol optical absorption properties and**
2 **organics by receptor modelling**

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16

17 **Abstract**

18 In this source apportionment study, an original approach based on receptor modelling was tested to
19 relate primary and secondary organic aerosol (OA) contributions - estimated from ACSM (Aerosol
20 Chemical Speciation Monitor) measurements - to their emission sources. Moreover, thanks to the
21 coupling of optical and chemical variables as input to the receptor model, information such as the
22 impact of mineral dust to the aerosol absorption in the atmosphere and estimates for the absorption
23 Ångström exponent (α) of the sources were retrieved.

24 An advanced source apportionment study using the Multilinear Engine (ME-2) was performed on
25 data collected during February 2017 in Rome (Italy), in the frame of the CARE (Carbonaceous
26 Aerosol in Rome and Environs) experiment. A complete chemical characterisation (elements, non-
27 refractory components, and carbonaceous components) was carried out, and the aerosol absorption

28 coefficients $b_{ap}(\lambda)$ at 7 wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) were retrieved by
29 an Aethalometer AE33; all these variables (chemical + optical) were used as input to the receptor
30 model. The final constrained solution consisted of nine factors which were assigned to major
31 sources impacting on the investigated site (hereafter sources are referred to as: biomass burning,
32 nitrate and aged aerosol, traffic exhaust, sulphate, mineral dust, marine aerosol, traffic non-exhaust,
33 local source, and polluted marine aerosol), comprising both local urban sources and contributions
34 from long-range transport. The bootstrap analysis supported the goodness of the solution.

35 Total OA concentration from ACSM was apportioned by our receptor model and afterwards
36 compared with HOA (hydrocarbon-like organic aerosol), BBOA (biomass burning-like organic
37 aerosol), and OOA (oxygenated organic aerosol) concentrations obtained as results from an
38 independent source apportionment study previously performed. As an original result of this work,
39 insights on OA contributions were thus retrieved: (1) the contribution of organic aerosol assigned
40 by ME-2 to the traffic exhaust source was fully comparable to HOA assessed by ACSM data
41 analysis; (2) our source apportionment results gave the relevant indication that the OOA
42 apportionment made on ACSM data likely includes a secondary OA contribution due to biomass
43 burning.

44 Other relevant results came from b_{ap} apportionment obtained by our multi-variable source
45 apportionment approach: traffic exhaust was the main contributor to aerosol absorption in the
46 atmosphere, but mineral dust contribution was also notable when a not negligible mineral dust
47 transport episode was registered at the measurement site. In addition, source dependent optical
48 absorption parameters (i.e. the absorption Ångström exponent - α - and the mass absorption cross
49 section at different wavelengths) were retrieved without any a-priori assumption.

50 In perspective, our modelling approach paves the way to more powerful source apportionment
51 approaches which have the potential of providing much more insights on aerosol properties and
52 sources.

53

54 *Keywords:* advanced receptor modelling, optical properties, organic components, high time
55 resolution

56

57 **1. Introduction**

58 Atmospheric aerosol – or particulate matter, PM - impacts human health (WHO, 2018) and climate
59 (IPCC, 2013). Aerosol source identification and quantification are mandatory to establish mitigation
60 strategies with the aim of reducing particle concentrations in the atmosphere; in this framework,
61 receptor models are widely used for PM source apportionment (Hopke, 2016). Among them, the
62 Positive Matrix Factorization (PMF) is a weighted least square method based on non-negativity
63 constraints (Belis et al., 2019a), where an array of data can be written as the sum of products of
64 unknown variables (Paatero, 1999). The Multilinear Engine (ME-2) is a very flexible algorithm
65 developed to solve PMF problems generally defined as multilinear mathematical expressions; the
66 flexibility of ME-2 allows the implementation of advanced approaches, e.g. the multi-time
67 resolution model (Zhou et al., 2004; Ogulei et al., 2005; Crespi et al., 2016; Forello et al., 2019).
68 High-time resolution measurements allow the investigation of short-scale processes in the
69 atmosphere; their exploitation as input data to receptor modelling helps in the identification of
70 sources so that high time resolution temporal patterns of the sources and also episodic emissions
71 can be retrieved.

72 Over the last decades, the development of high time resolution aerosol mass spectrometers has
73 allowed an increasing detailed chemical and physical characterisation of atmospheric aerosol
74 (Canagaratna et al., 2007); indeed, atmospheric single particles are constituted by millions of
75 molecules, giving a very large signal for mass spectrometers (Murphy, 2007). In recent years, on-
76 line mass spectrometers like the HR-AMS (High Resolution Aerosol Mass Spectrometry) and the
77 ACSM (Aerosol Chemical Speciation Monitor) were proved to be capable of routine stable
78 operation for long periods of time (Ng et al., 2011). The huge amount of data produced can be
79 processed via positive matrix factorization (Ulbrich et al., 2009; Canonaco et al., 2013). PMF

80 analysis on organic fragments was useful to further classify groups of organic aerosol components
81 like HOA (hydrocarbon-like organic aerosol), BBOA (biomass burning-like organic aerosol), and
82 OOA (oxygenated organic aerosol) (Fröhlich et al., 2015) based on their chemical affinity (DeCarlo
83 et al., 2010). In this way, primary and secondary organic contributions can be distinguished, but the
84 origin of secondary aerosol components remains difficult to assess. At the state of the art, few
85 source apportionment studies combine high time resolution measurements of the organic aerosol
86 fraction with the inorganic one retrieved by other analytical techniques (Sofowote et al., 2018; Belis
87 et al., 2019b; Jeong et al., 2019).

88 Following the original approach described in Forello et al. (2019), in this work a high-time
89 resolution dataset comprising both organic and inorganic chemical species and the multi-
90 wavelength aerosol absorption coefficients was used as input to the advanced receptor model. The
91 interest for such a detailed dataset lies in the possibility of a further test on the approach above
92 mentioned in a case-study characterised by aerosols with a variety of properties and sources and –
93 in particular – impacted by episodes occurring on short timescales. In addition, from this dataset –
94 as far as we know, here for the first time - the model retrieved the optical absorption contribution
95 and absorption Ångström exponent of mineral dust. Last but not least, results from the ME-2
96 analysis were compared with ACSM separation of the organic aerosol fraction obtaining the
97 relevant indication that the OOA apportionment made on ACSM data likely includes a secondary
98 OA contribution due to biomass burning.

99

100 **2. Material and methods**

101 *2.1 Site description*

102 The CARE (Carbonaceous Aerosol in Rome and Environs) measurement campaign was carried out
103 in Rome (Italy; latitude: 41.88°, longitude: 12.49°), in the middle of the Mediterranean sea, at an
104 urban background site from 1st to 28th February 2017. Due to its position and meteorological
105 conditions, the site can be affected by long-range transport of air masses from the sea - Rome is

106 about 30 km from the nearest coast – and from the Sahara desert, but also from local urban sources
107 (Valentini et al., 2020; and references therein).

108

109 *2.2 Online and offline measurements*

110 A detailed description of the equipment deployed during the CARE experiment is reported in
111 Costabile et al. (2017a). In the following, only instrumentation relevant to the data used in this
112 paper are summarised.

113

114 *2.2.1 Mass*

115 Hourly PM_{2.5} mass concentration was reconstructed from particle number size distribution (PNSD)
116 data measured combining a scanning mobility particle sizer and an aerodynamic particle sizer
117 (Costabile et al., 2019). Size distributions from these instruments were merged following the
118 methodology reported in Khlystov et al. (2004) and a size-dependent effective particle density was
119 used to obtain the mass. Details on the mass retrieval procedure and validation can be found in
120 Costabile et al. (2017a) and Alas et al. (2019).

121

122 *2.2.2 Elemental composition*

123 Hourly PM_{2.5} samples were collected by a streaker sampler (D'Alessandro et al., 2003; Calzolari et
124 al., 2015). Briefly, the streaker sampler collects with 1-h resolution aerosol particles in the coarse
125 (PM_{2.5-10}) and fine (PM_{2.5}) fraction on an impaction stage and a filter, respectively. For the aim of
126 this campaign, only the fine fraction was analysed by Particle Induced X-ray Emission (PIXE)
127 technique to obtain the elemental composition. More details about the technique and the set-up can
128 be found e.g. in Lucarelli et al. (2014) and Calzolari et al. (2015). Minimum detection limits (MDLs)
129 of the technique were in the range 1-10 ng m⁻³ (depending on the element) and average
130 experimental uncertainties for different species ranged from about 10 % to about 40 % (the latter
131 refers to those elements measured with concentrations near MDL).

132

133 *2.2.3 Non-refractory chemical components*

134 Major non-refractory at 600°C components in PM₁ were measured by an Aerodyne aerosol
135 chemical speciation monitor (ACSM, see e.g. Ng et al., 2011) with a temporal resolution of 30
136 minutes. Shortly, in the ACSM particles are focused inside the instrument by a system of
137 aerodynamic lenses, then thermally vaporised, and finally ionized by electron impact. Starting from
138 the acquired mass spectrum, organic matter (OA), sulphate (SO₄²⁻), ammonium (NH₄⁺), nitrate
139 (NO₃⁻), and chloride (Cl⁻) concentrations can be assessed. In a previous work (Costabile et al.;
140 2017a), from ACSM data three factors for OA were singled out: HOA (hydrocarbon-like organic
141 aerosol), BBOA (biomass burning-like organic aerosol), and OOA (oxygenated organic aerosol).
142 MDLs were estimated following Ng et al. (2011) as 0.105 µg m⁻³, 0.201 µg m⁻³, 0.017 µg m⁻³, 0.008
143 µg m⁻³, and 0.008 µg m⁻³ for OA, NH₄⁺, SO₄²⁻, NO₃⁻, and Cl⁻, respectively. Sensitivity tests using
144 different ranges of trial uncertainties as input to the model were performed; in the end, average
145 uncertainties for ACSM measurements were set to 19 % for OA, 36 % for NH₄⁺, 28 % for SO₄²⁻,
146 and 15 % for NO₃⁻, in accordance with the reproducibility relative uncertainties observed in ACSM
147 intercomparison exercises (Crenn et al., 2015; Belis et al., 2019b).

148

149 *2.2.4 Carbonaceous components*

150 Elemental carbon (EC) and organic carbon (OC) concentrations with two hour resolution were
151 obtained by a Sunset Field Thermal-Optical Analyser (Sunset Laboratory Inc.). Briefly, this
152 instrument collects particles on a quartz fibre filter; at the end of each sampling period (105 minutes
153 of sampling and 15 minutes of analysis) the collected sample is analysed with the NIOSH-like
154 temperature protocol (Sunset Laboratory Inc., 2005). The inlet was equipped with a cyclone with a
155 cut point of 2.5 µm and a denuder for organics. MDL was 0.240 µg m⁻³ for OC and EC
156 concentrations. Average uncertainties used as input to the model were 15 % and 10 % for EC and
157 OC concentrations, respectively.

158

159 2.2.5 Optical absorption coefficients

160 Optical properties were retrieved by online instrumentation with a time resolution of 1 minute.

161 The aerosol absorption coefficient $b_{ap}(\lambda)$ at 7 wavelengths (370, 470, 520, 590, 660, 880, and 950

162 nm) was retrieved in PM_{10} by a dual-spot Aethalometer (AE33, Magee Scientific) (Drinovec et al.,

163 2015) using the instrument specific mass absorption cross-sections (MACs) (Magee Scientific

164 AE33 User Manual, 2016) and the measured equivalent black carbon (eBC) concentration. $b_{ap}(\lambda)$

165 values are calculated by the AE33 internal software considering attenuation measurements

166 corrected for loading (k parameter) and multiple scattering (C factor) effects. It is noteworthy that

167 recent literature studies (e.g. Goetz et al., 2018) evidenced that the fixed C factor equal to 1.57

168 typically used in AE33 can lead to a significant overestimation of the $b_{ap}(\lambda)$. Therefore, in this work

169 a C factor of 2.66 was used at all wavelengths, as previously estimated by Valentini et al. (2020) for

170 the CARE campaign.

171 MDLs were estimated in the range $0.36 - 0.92 \text{ Mm}^{-1}$ depending on the wavelength and average

172 experimental uncertainty on $b_{ap}(\lambda)$ was 15 % (U.S. EPA, 2011).

173

174 2.3 Model description

175 Receptor models rely on the principle of mass conservation between the emission source and the

176 receptor site. Among them, the positive matrix factorization (PMF) is based on uncertainty-

177 weighted pollutant measurements to find the best linear combination of factors influencing

178 atmospheric concentrations (Paatero and Tapper, 1994; Hopke 2016, Belis et al., 2019a).

179 The basic bilinear equation to be solved is the following:

$$x_{ij} = \sum_{k=1}^P g_{ik} f_{kj} + e_{ij} \quad (1)$$

180 where the input data matrix X (matrix elements x_{ij}) is decomposed in the product of two factor

181 matrices F (matrix elements f_{kj}) and G (matrix elements g_{ik}), related to factors chemical profiles and

182 factors temporal contribution, respectively; factors can be then interpreted as the main sources
 183 impacting the investigated area. The matrix E (matrix elements e_{ij}) is composed of the residuals, i.e.
 184 the difference between measured and modelled values. Indices i, j , and k indicate the sample, the
 185 species, and the factor, respectively; P is the total number of factors.

186 The solution of the problem is obtained minimising the object function Q , that is defined as:

$$Q = \sum_i \sum_j \left(\frac{x_{ij} - \sum_{k=1}^P g_{ik} f_{kj}}{\sigma_{ij}} \right)^2 = \sum_i \sum_j \left(\frac{e_{ij}}{\sigma_{ij}} \right)^2 \quad (2)$$

187 where the elements σ_{ij} of the matrix σ are the uncertainties related to x_{ij} , and they are given as input
 188 data together with x_{ij} . The minimisation is performed under the constraint that elements of G and F
 189 are non-negative. Therefore, from the application of this modelling approach the chemical profiles
 190 and temporal patterns contributions of the identified sources as well as the average source
 191 apportionment are retrieved at the receptor site.

192 The Multilinear Engine program ME-2 (Paatero, 1999) was developed to solve PMF and –
 193 specifically - more general multilinear problems; ME-2 flexibility allows to solve problems even
 194 more complicated than the bilinear one presented in Eq. (1). In the case of the multi-time resolution
 195 model applied in this work, modifications to Eq. (1) are needed to exploit data with different time
 196 resolutions in the same source apportionment analysis. This advanced receptor modelling approach
 197 – pioneered by Zhou et al. (2004) - can be developed through the Multilinear Engine ME-2 script in
 198 order to use experimental data with different time resolutions in the same source apportionment
 199 study (Ogulei et al., 2005; Liao et al., 2013; Kuo et al., 2014; Liao et al., 2015; Crespi et al., 2016;
 200 Sofowote et al., 2018; Srivastava et al., 2019; Forello et al., 2019).

201 In the multi-time approach, Equation (1) is modified as reported below:

$$x_{sj} = \frac{1}{t_{s2} - t_{s1} + 1} \sum_{k=1}^P f_{kj} \sum_{i=t_{s1}}^{t_{s2}} g_{ik} \eta_{jm} + e_{sj} \quad (3)$$

202 where the indices s, j , and k represent the sample, the species, and the factor, respectively; P is the
 203 number of factors; t_{s1} and t_{s2} are the start and end times for the s^{th} sample expressed in time units

204 (i.e. the shortest sampling interval); i represents the time unit of the s^{th} sample. x_{sj} is an element of
 205 the input matrix X , f_{kj} is an element of the matrix F (i.e. chemical profiles), g_{ik} is an element of the
 206 matrix G (i.e. time contributions) and e_{sj} is an element of the residual matrix E (i.e. differences
 207 between measured and modelled values). η_{jm} is an adjustment factor for replicated species measured
 208 with different analytical methods (represented by subscript m) and with different time resolutions
 209 (all η_{jm} set to one in our case, see Sect. 2.4).

210 The following regularisation equation is also introduced in the multi-time model to smooth the time
 211 series contributions:

$$g_{(i+1)k} - g_{ik} = 0 + \varepsilon_i \quad (4)$$

212 where ε_i are the residuals of this equation. Equations (3) and (4) are solved using the ME-2 program
 213 (Paatero, 1999), minimising the object function Q defined as the squared sum of the uncertainty-
 214 scaled residuals (see Sect. S2 in the Supplement for more details).

215 In this work, a physical constraint based on a mass balance equation was implemented and solved
 216 together with Eq. (3) and Eq. (4) in the multi-time model; in each factor, the sum of concentrations
 217 of the species must be equal or smaller than the total variable mass concentration. The equation for
 218 each factor was introduced in the general form (Paatero and Hopke, 2009):

$$0 = \sum_{j=1}^N c_j f_{kj} + r_v \quad (5)$$

219 where N is the number of species, c_j are known numerical coefficients, and r_v represents the residual
 220 of the auxiliary equation. In this equation the numerical coefficient for mass is $c_{mass} = +1$, while for
 221 species other than mass $c_j = -1$. Since contributions from not measured oxides and water can be
 222 present, the equation was implemented in order to allow negative values of the residual r_v (using
 223 error model code = -17, see Sect. S2 in the Supplement for details).

224 The multi-time resolution model implemented by Crespi et al. (2016) was used as a basis as it
 225 allows the estimation of uncertainties by bootstrap analysis (see Sect. 3.1).

226

227 2.4 Input data

228 In this work, one hour was chosen as the basic time unit in the model to study high time resolution
229 changes in source emissions. As already mentioned in Sec. 2.3, adjustment factors η_{jm} in Eq. (3)
230 were set to one, since no replicated species were present in this dataset after input data selection
231 (selection criteria are explained hereafter).

232 In order to reduce their relevance in the modelling process, mass concentrations were included in
233 the model with uncertainties set at 4 times their values following Kim et al. (2003). All other
234 variables were classified according to their signal-to-noise ratio (S/N) as suggested by Paatero,
235 (2015). All strong variables ($S/N \geq 1.2$) and only some weak variables (i.e. Ti, V, Rb, and Pb) were
236 used as input to the model. In the literature, Ti, V, Rb, and Pb are often indicated as tracers of
237 specific sources (Saharan dust advection for Ti, residual oil combustion for V, biomass burning for
238 Rb, and industry for Pb); for this reason, they were taken into account although strongly
239 underweighted multiplying their uncertainties by a factor 3. Ranges of uncertainties and MDLs for
240 measured variables are reported in Sect. 2.2; in the input dataset, uncertainties and data below
241 minimum detection limits were pre-treated according to Polissar et al. (1998). Missing values were
242 substituted by linear interpolation, with uncertainties set as three times the interpolated
243 concentration value. Among strong variables, Si showed a slightly higher percentage (26 %) of
244 missing data due to blank filter contamination. Linear interpolation was not possible in this case,
245 since missing data were consecutive over time; therefore, in order to avoid artificial high values in
246 modelled time contributions as already reported in literature works (Zhou et al., 2004; Forello et al.,
247 2019), missing values were substituted by the median value calculated over the whole campaign,
248 with uncertainties set at four times the median value.

249 To avoid double counting for sulphur/sulphate, organic aerosol/organic carbon, and
250 chlorine/chloride the selection of input data was performed as explained in the following.

251 Atmospheric concentrations of SO_4^{2-} (measured online by ACSM) and S (measured offline by PIXE
252 analysis on streaker samples) display very similar temporal patterns. The linear regression shows a

253 slope of 2.54 ± 0.02 ($R^2 = 0.89$) (Fig. 1S in the Supplement). The difference of about 15 % from the
254 sulphate-to-sulphur stoichiometric value (equal to 3) is within average uncertainties (12 % for S and
255 28 % for SO_4^{2-}), and can be mainly ascribed to different sampling and analytical techniques (for
256 more details see Sect. 1S in the Supplement). In order to avoid double counting, SO_4^{2-} measured by
257 ACSM was chosen as input variable, because sulphate is very often in the form of ammonium
258 sulphate and NH_4^+ was measured by ACSM as well.

259 Atmospheric concentrations of organic aerosol OA (measured online by ACSM) and organic
260 carbon OC (measured online by Sunset Field Thermal-Optical Analyser) show very similar
261 temporal patterns, too (Fig. 3S in the Supplement). The two-hour median value of OA-to-OC ratio
262 is 1.3 (1.1 and 1.5 are the limits of the interquartile range) that is lower than 1.6 used in previous
263 literature studies performed in Rome (Perrino et al., 2009; Tofful and Perrino, 2015; Perrino et al.,
264 2016); also in this case it is likely due to different sampling and analytical techniques. Finally, OA
265 was selected as input variable since it carries a larger fraction of the total mass.

266 As for Cl (measured by PIXE analysis) and Cl^- (given by ACSM) concentrations, the former was
267 used as input variable to the model as it showed much more reliable temporal pattern and
268 concentration.

269 $b_{\text{ap}}(\lambda)$ values measured at 7 wavelengths in PM_{10} were inserted in the model together with chemical
270 variables assessed in $\text{PM}_{2.5}$ (and PM_1 for ACSM data). The main issue in considering different size
271 fractions for chemical and optical variables was the presence of a desert dust transport episode
272 (Valentini et al., 2020) lasting less than two days (24th - 25th February) during the CARE campaign.
273 In this work, samples impacted by desert dust were included in the input dataset in order to estimate
274 optical absorption properties of the mineral dust source (see Sect. 3.2). It is interesting to note that
275 in the simulation chamber study by Caponi et al. (2017), desert dust samples in the PM_{10} and $\text{PM}_{2.5}$
276 fractions showed very small differences in elemental composition and the absorption Ångström
277 exponent (α) of dust in that work did not seem to be related to differences in particle size.

278 Forello et al. (2019) have shown the usefulness of performing a source apportionment study on a
279 dataset joining chemical and optical variables; indeed, source-dependent α values and mass
280 absorption cross-sections (MACs) at different wavelengths can be retrieved by the model, without
281 any a-priori assumption (see Sect. 3.2). As for the MAC of mineral dust, in Caponi et al. (2017) it
282 was defined considering the total mass concentration of dust and, opposite to the α value, the MAC
283 seemed to be dependent on particle size. For this reason, the MAC values at different wavelengths
284 retrieved in this work for mineral dust have to be considered as an upper limit.

285 Finally, 30 variables with 1-h resolution ($\text{PM}_{2.5}$ mass, Na, Mg, Al, Si, Cl, K, Ca, Ti, V, Cr, Mn, Fe,
286 Ni, Cu, Zn, Br, Rb, Pb, OA, NH_4^+ , SO_4^{2-} , NO_3^- , b_{ap} at 370 nm, 470 nm, 520 nm, 590 nm, 660 nm,
287 880 nm, and 950 nm) and 2-h EC concentrations were inserted as input data in the multi-time
288 model. The input matrix X consisted of 916 samples distributed over 619 time units. The analysis
289 was performed in the robust mode (Brown et al., 2015). The error model $\text{em} = -14$ was used for the
290 main equation with $C_1 = \text{input error}$, $C_2 = 0.0$, and $C_3 = 0.1$ (Paatero, 2012) for both chemical and
291 optical absorption data (see Sect. S2 in the Supplement for more details).

292 It is important to remark that, in contrast to what generally believed, the analysis of matrices with
293 different dimensional units (in this work, Mm^{-1} for optical absorption variables, and ng m^{-3} for
294 chemical variables) is not a priori adversely affected by these differences, as underlined by Paatero
295 (2018) and shown by results reported in Forello et al. (2019).

296

297 **3. Results and discussion**

298 *3.1 Source apportionment coupling different datasets*

299 Following the approach proposed by Forello et al. (2019), the model was run coupling chemical
300 variables and light absorption coefficients at 7 wavelengths retrieved by AE33 Aethalometer.

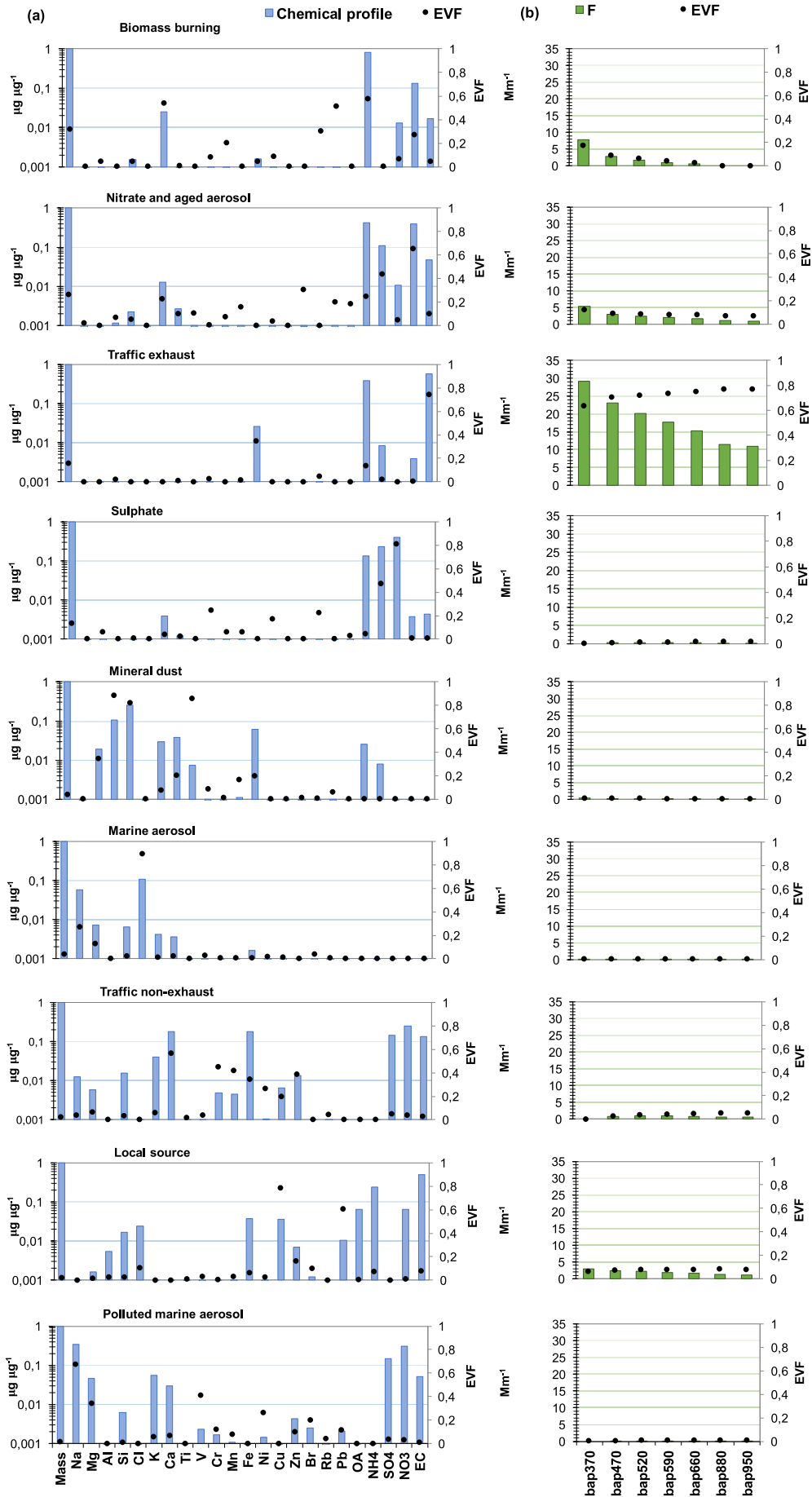
301 Solutions from 5 to 10 factors were explored. In this analysis 30 convergent runs were obtained and
302 a nine-factor base case solution corresponding to the minimum Q value was selected. A lower or
303 higher number of factors gave mixing or artificial separation of sources, respectively, and a not

304 satisfactory reconstruction of some variables during aerosol transport episodes (e.g. marine aerosol
305 advection). In the selected base-case solution, all variables were well reconstructed by the model
306 ($R^2 > 0.70$) with the exception of V ($R^2 = 0.52$) and Ni ($R^2 = 0.51$), that were however characterised
307 by concentration values near MDLs. Uncertainty-scaled residuals (as defined in Paatero and
308 Tapper, 1994, and Norris et al., 2014) were randomly distributed in the ± 3 range and their
309 distribution was mostly symmetrical. The explained variation for matrix F (EVF) (see Lee et al.,
310 1999, and Paatero, 2010 for the definition) was firstly exploited for factor-to-source assignment;
311 indeed, high EVF values are typically indicators for chemical species which are source tracers. The
312 unexplained variation for matrix F was lower than 0.15 for all variables.

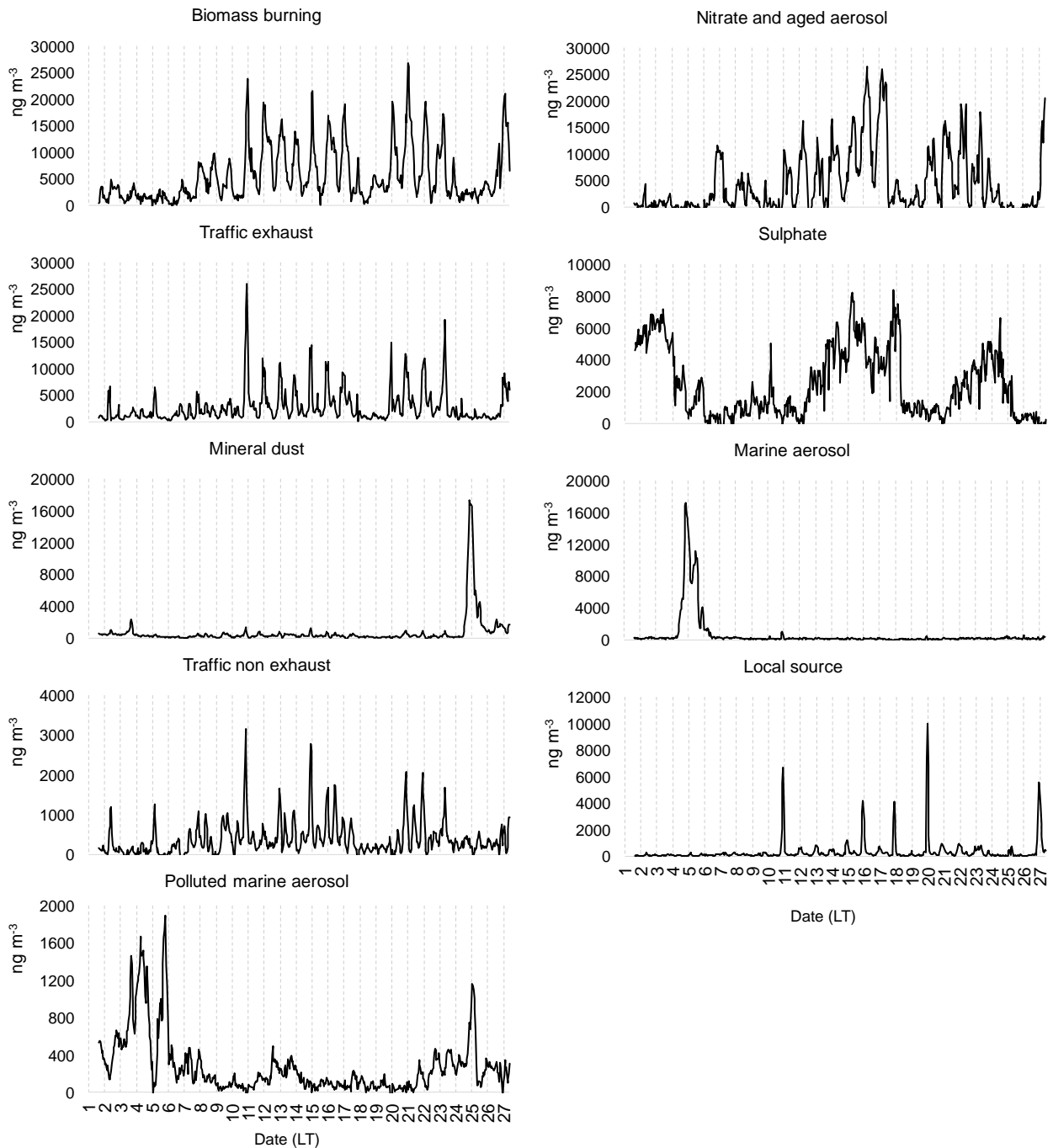
313 In Fig. 4S in the Supplement, EVF and chemical profiles for the base-case solution are reported.
314 According to EVF, chemical profile, and temporal trend, the nine factors were tentatively assigned
315 to biomass burning, nitrate and aged aerosol, traffic exhaust, sulphate, mineral dust, marine aerosol,
316 traffic non-exhaust, local source, and polluted marine aerosol (see also afterwards for details on the
317 factor-to-source assignment motivation). In Table 1S in the Supplement, average source
318 contributions to atmospheric $PM_{2.5}$ mass are reported both in absolute and percentage values.

319 Even if the base-case solution is largely satisfactory, constrained solutions were explored and
320 finally constraints were applied to the factor interpreted as marine aerosol. Indeed, the marine
321 aerosol factor in the base-case solution was characterised by values of the typical diagnostic ratios
322 Mg/Na and Cl/Na very similar to literature ones for bulk sea salt emissions (Seinfeld and Pandis,
323 2006), suggesting advection of fresh marine aerosol. However, contaminations appeared in the
324 chemical profile due to EC, together with NH_4^+ and NO_3^- , which are often found in chemical
325 profiles of aged marine emissions (Seinfeld and Pandis, 2006). From source temporal patterns, it
326 was noted that the polluted marine aerosol episode was interrupted for a few hours by the advection
327 of fresh marine aerosol; the former was characterised also by ship emissions so that some mixing
328 between the two chemical profiles can be present. Therefore, in the constrained solution EC, NO_3^- ,
329 and NH_4^+ were pulled down maximally in the chemical profile of marine aerosol (fresh); as a

330 consequence, also b_{ap} at all wavelengths decreased in agreement with the lack of light absorbing
331 components in the profile. It is noteworthy that NO_3^- contribution appeared in the polluted marine
332 aerosol chemical profile, as expected when compounds present in marine air masses react with
333 polluted air masses during the transport, leading also to chloride deficit (Seinfeld and Pandis, 2006).
334 Constraints led to an effective increase in Q of about 25 units with a 0.6 % increase, which can be
335 considered acceptable (Paatero and Hopke, 2009). The constrained solution improved the chemical
336 profiles of factors impacted by sea salt, with negligible differences in all other relevant features (i.e.
337 EVF, residuals, source apportionment) respect to the base-case one. Thus, the constrained solution
338 was considered the most reliable [one](#) from a physical point of view; results are presented in Fig. 1
339 and Fig. 2 and discussed in the following. The average apportionment during the CARE experiment
340 is reported in Table 1.



342 Fig. 1 (a) Chemical profiles and (b) b_{ap} apportionment of the nine-factor constrained solution. The
 343 blue bars represent the chemical profile (output of the matrix F for chemical variables normalised
 344 on mass), the green bars the output of the matrix F for optical variables, and the black dots the
 345 EVF.
 346



347
 348 Fig. 2 Hourly temporal patterns of the nine-factor constrained solution for February 2017. Vertical
 349 lines show midnight in each day.

Factors - Sources	$\mu\text{g m}^{-3}$	%
F1 - Biomass burning	5.5 (4.5 – 5.8)	32 (26 – 34)
F2 - Nitrate and aged aerosol	4.4 (3.7 – 5.2)	25 (22 – 30)
F3 - Traffic exhaust	2.8 (2.6 – 3.2)	16 (15 – 18)
F4 - Sulphate	2.5 (2.1 – 2.8)	14 (12 – 16)
F5 - Mineral dust	0.66 (0.57 – 0.71)	3.8 (3.3 – 4.1)
F6 - Marine aerosol	0.63 (0.50 – 0.74)	3.6 (2.9 – 4.2)
F7 - Traffic non-exhaust	0.38 (0.26 – 0.51)	2.2 (1.5 – 2.9)
F8 – Local source	0.33 (0.25 – 0.63)	1.9 (1.4 – 3.7)
F9 - Polluted marine aerosol	0.28 (0.20 – 0.81)	1.6 (1.1 – 4.6)

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Table 1 Absolute and relative average source apportionment in the nine-factor constrained solution; in parentheses, the 10th and 90th percentiles from the bootstrap analysis are reported.

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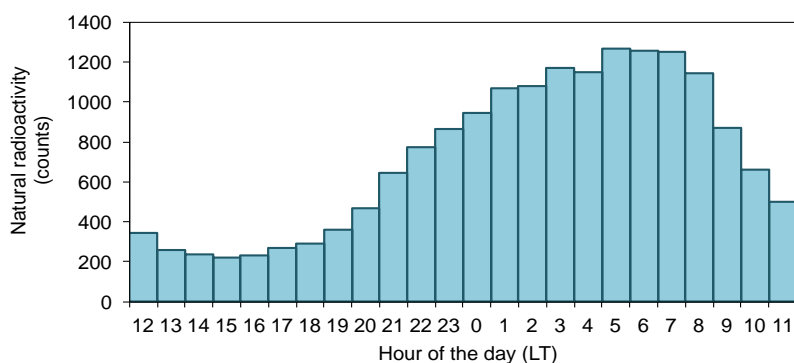
Factor 1 was identified as biomass burning because it was characterised by high EVF for OA (0.57), Rb (0.51), and K (0.54) (Amato et al., 2016; Reid et al., 2005). In the chemical profile, OA concentration contributed for 81 % of the total mass apportioned to the source; the second highest contribution was 13 % given by NO_3^- , followed by K (2.5 %), EC (1.7 %), and SO_4^{2-} (1.3 %). Rb was less relevant in terms of mass contribution (about 0.01 %). The biomass burning source had a dominant contribution during the night, with highest values in the time interval 23:00 - 02:00 LT (temporal pattern in Fig. 2). Perrino et al. (2019) already highlighted a similar temporal behaviour of levoglucosan concentrations (tracer of biomass burning emissions) in wintertime in the city centre of Rome; it was likely related to biomass burning products originated in the peri-urban area and then transported towards the city centre. The biomass burning primary contribution to $\text{PM}_{2.5}$ estimated by Perrino et al. (2019) was in the range 7.2 % – 23.3 % during 2013 – 2016 winter months. In this work, the biomass burning source explained 32 % of the $\text{PM}_{2.5}$ mass, a bit higher

367 than the previous estimate as also aged organic aerosol contribution was accounted for as explained
368 in Sect. 3.3. Influence of aerosol ageing in a chemical profile of biomass burning from PMF was
369 already reported in the literature (Piazzalunga et al., 2011).

370 Factor 2 was related to a source called nitrate and aged aerosol. In fact, NO_3^- and NH_4^+ showed
371 EVF of 0.65 and 0.44, respectively, and non negligible EVF values were also found for K, Zn, Rb,
372 and OA. This observation suggested that, as already found in factor 1, the chemical profile of factor
373 2 showed some mixed contributions from biomass burning and nitrate. However, nitrate formation
374 at urban sites is expected mainly from NO_x traffic emissions thus justifying the share of EC in the
375 chemical profile (4.7 % of the apportioned mass, higher than in the biomass burning one) and the
376 optical absorption contribution (see Sect. 3.2). The average mass contribution of this factor was 25
377 %.

378 Factor 3 was characterised by very high EVF (0.74) for EC and the only other significant chemical
379 component in terms of EVF was Fe (0.35). The mass contribution of this source was ascribed to two
380 major contributors, i.e. EC and OA accounting together for about 96 % of the apportioned mass.

381 The factor was thus identified as traffic (exhaust emissions) and impacted, on average, for 16 % of
382 the $\text{PM}_{2.5}$ mass. Peaks in concentration values appeared in the evening approximately at 22:00 LT
383 (Fig. 2). Similar traffic emission concentration patterns were previously observed in Rome,
384 independently of the season, and they were associated to boundary layer dynamics (Struckmeier et
385 al., 2016). The observed modulation was also confirmed by the temporal pattern of natural
386 radioactivity due to Radon progeny detected in the atmosphere during the CARE campaign (Fig. 3;
387 details in Costabile et al., 2017a); as well known, measurements of natural radionuclides can be
388 used to trace the temporal evolution of atmospheric dispersion in the boundary layer and estimates
389 for the mixing layer height (see e.g. Salzano et al., 2016; Vecchi et al., 2019; and references
390 therein). The traffic (exhaust) source is the main contributor to aerosol light absorption in the
391 atmosphere, confirming the factor-to-source assignment (see Sect. 3.2).



392

393 *Fig. 3 Mean diurnal cycle of natural radioactivity during the CARE campaign.*

394

395 Factor 4 was assigned to sulphate, since SO_4^{2-} and NH_4^+ showed high EVF. V and Ni were
 396 characterised by EVF slightly higher than the other elements, suggesting some mixing with ship
 397 emissions. In terms of mass, the most significant contribution in the chemical profile was given by
 398 OA (14 %) after SO_4^{2-} and NH_4^+ (63 % when added together). During the campaign, the average
 399 mass apportionment of this source was 14 %.

400 Factor 5 was associated to the mineral dust source because of high EVF for Al (0.88), Ti (0.86), Si
 401 (0.82), and Mg (0.34). These variables are all crustal elements and tracers for mineral dust; it is
 402 noteworthy that the diagnostic ratios between these elements apportioned in the chemical profile are
 403 consistent with literature values (Amato et al., 2016). During the CARE campaign, a desert dust
 404 transport episode lasting less than two days (24th - 25th February) was clearly identified exploiting
 405 optical properties (Valentini et al., 2020). Even if the impact of desert dust was dominant in this
 406 factor - with concentration values as high as 25 times the average over the whole campaign (see
 407 Fig. 2) - the source retrieved by the model probably included minor contributions also from local
 408 soil resuspension. The mass contribution of this source over the whole campaign was 3.8 %, but
 409 during the mineral dust advection it accounted for a relevant fraction (49 % on average) of the
 410 $\text{PM}_{2.5}$ mass concentration.

411 Factor 6 was identified as a marine aerosol source being characterised by EVF = 0.89 for Cl, with
 412 the second highest EVF being 0.27 for Na. Typical diagnostic ratios for this source, i.e. Mg/Na and

413 Cl/Na, were respectively 0.13 and 1.9, very similar to what expected for bulk sea salt aerosol (0.12
414 and 1.8, respectively) (Seinfeld and Pandis, 2006). It is noteworthy that local atmospheric
415 circulation for the area under investigation allows the inland penetration of weak sea breezes, even
416 if Rome is about 30 km from the nearest sea coast. This episodic source contributed on average for
417 about 3.6 % of the total PM_{2.5} mass, up to 47 % on average during the advection (Fig. 2).

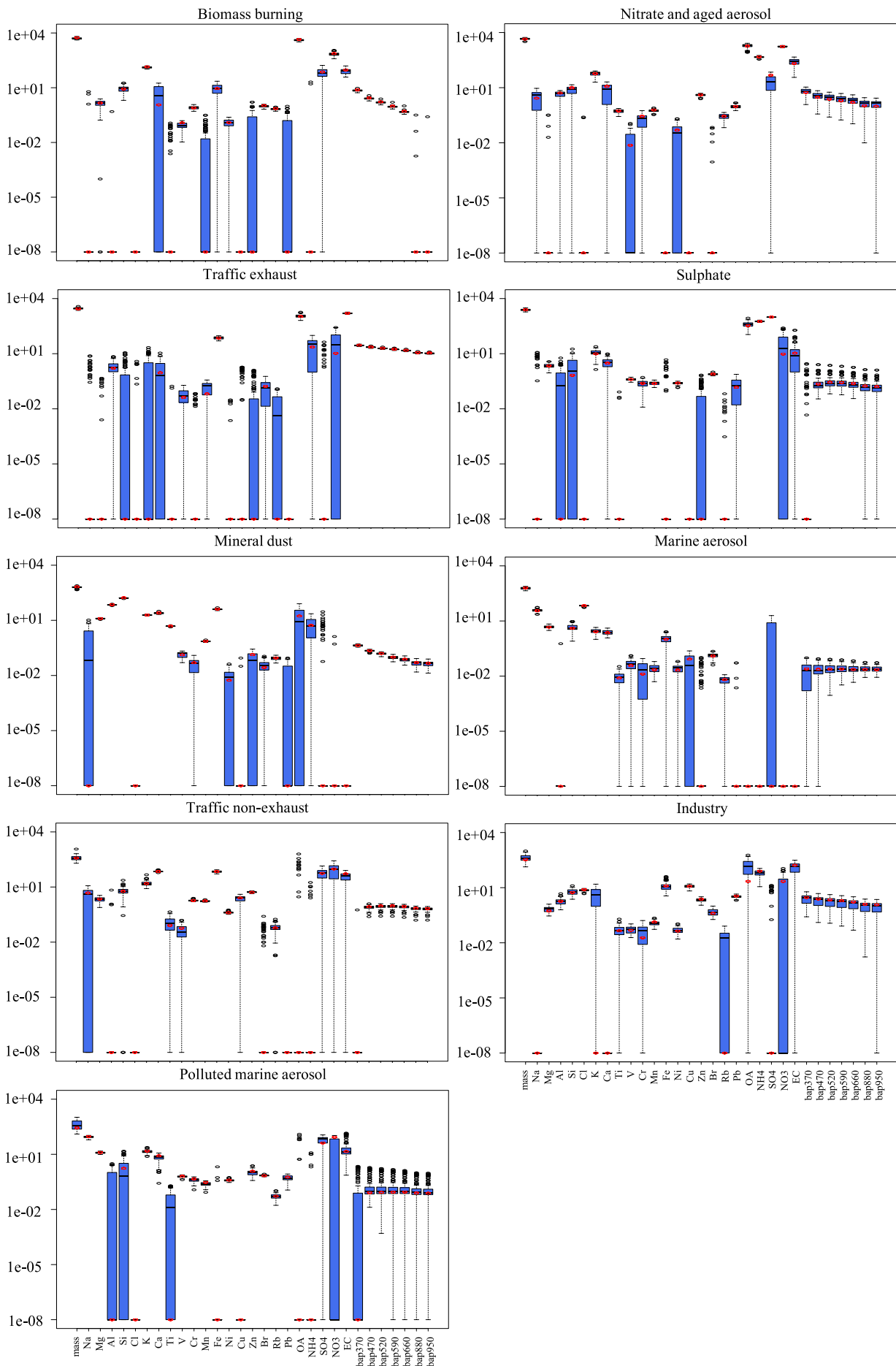
418 Factor 7 was assigned to traffic non-exhaust emissions (including road dust resuspension), since
419 high EVF were associated to main tracers for this source: Ca (0.57), Zn (0.38), Fe (0.34), Mn
420 (0.42), and Cr (0.45) (Thorpe and Harrison, 2008; Jeong et al., 2019). EVF for Cu was a bit lower
421 (0.20), because this element was found with higher concentrations (11.8 ng m⁻³ compared to 2.5 ng
422 m⁻³) in the chemical profile of a factor that was associated to local emissions (see afterwards).

423 Connection with traffic emissions was also confirmed by the presence of EC in the chemical profile
424 (14 %), likely due to road dust resuspension. The average contribution of traffic non-exhaust
425 emissions to PM_{2.5} over the CARE campaign was quite low (2.2 %), as already found for same
426 fraction by e.g. Amato et al. (2016).

427 Factor 8 showed a strong episodic character (see Fig. 2) and presented a high EVF for Cu (0.78)
428 and Pb (0.61). The high EC contribution in the chemical profile was likely associated to combustion
429 emissions and the optical absorption profile of this factor (see Sect. 3.2) suggested an influence of
430 fossil fuel combustion ($\alpha \approx 1$). This is the first time that a similar factor has been detected in the
431 urban area of Rome and – as far as we know - it was not reported in previous literature works;
432 therefore, this factor was tentatively assigned to local emissions but further investigation is needed
433 in the future to identify the specific source. The local feature of the source is evidenced in Fig. 5S in
434 the Supplement - realised through the Openair R package (Carslaw and Ropkins, 2012; Carslaw
435 2019) - which shows variation in source contributions by wind speed and wind direction. The
436 episodic and late evening contribution of this source (Fig. 2) is also likely influenced by boundary
437 layer dynamics (Fig. 3). The average mass contribution of this source was very low (1.9 %).

438 Factor 9 was associated with polluted marine aerosol. Indeed, main tracers of aged sea salt aerosol
439 are Na and Mg which showed EVF values of 0.67 and 0.34, respectively; moreover, EVF for V
440 (0.41) and Ni (0.26) were also of interest as they are elemental markers for heavy oil combustion
441 here likely related to ship emissions, as already highlighted by Valentini et al. (2020) for the CARE
442 campaign. Mg-to-Na ratio in the chemical profile was 0.14 (i.e. in fair agreement with 0.12 reported
443 in the literature) and the chemical profile did not contain Cl; opposite, the chemical profile was
444 clearly enriched in SO_4^{2-} and NO_3^- , highlighting the ageing of sea salt aerosol (Seinfeld and Pandis,
445 2006). Moreover, the presence of EC in the profile suggested the influence of ship emissions and
446 the contamination due to air mass transport from the coast. The average mass contribution of this
447 source was 1.6 % at the receptor site.

448 A bootstrap analysis with 100 convergent runs was performed to evaluate the uncertainties
449 associated with source profiles (Crespi et al., 2016); results are shown in Fig. 4. Main tracers of
450 each source were characterised by small interquartile ranges (blue bars, with values expressed in ng
451 m^{-3} or Mm^{-1} on a logarithmic scale). Mapping of factors was always 99 %, supporting the goodness
452 of the solution presented in this work.



454 *Fig. 4 Box plot of the bootstrap analysis on the nine-factor constrained solution. The red dots*
 455 *represent the output values of the solution, the black lines the medians from the bootstrap analysis,*
 456 *the blue bars the 25th and 75th percentiles, the dotted lines the interval equal to 1.5 times the*
 457 *interquartile range, and the black dots the outliers from this interval.*

458

459 3.2 Model results exploiting optical variables

460 As mentioned in the previous section, the source apportionment of the light absorption coefficients
 461 at different wavelengths (see Fig. 1b) strengthens the identification of sources giving additional
 462 information about their contribution to light absorption in the atmosphere. In addition, the multi-
 463 variable modelling approach introduced by Forello et al. (2019) allows the retrieval of relevant
 464 source-dependent optical parameters – such as the absorption Ångström exponent and the mass
 465 absorption cross section - without any a-priori assumption.

466 In Table 2 the b_{ap} apportionment at different wavelengths is shown; traffic exhaust and local source
 467 emissions are added together to consider total fossil fuel emissions.

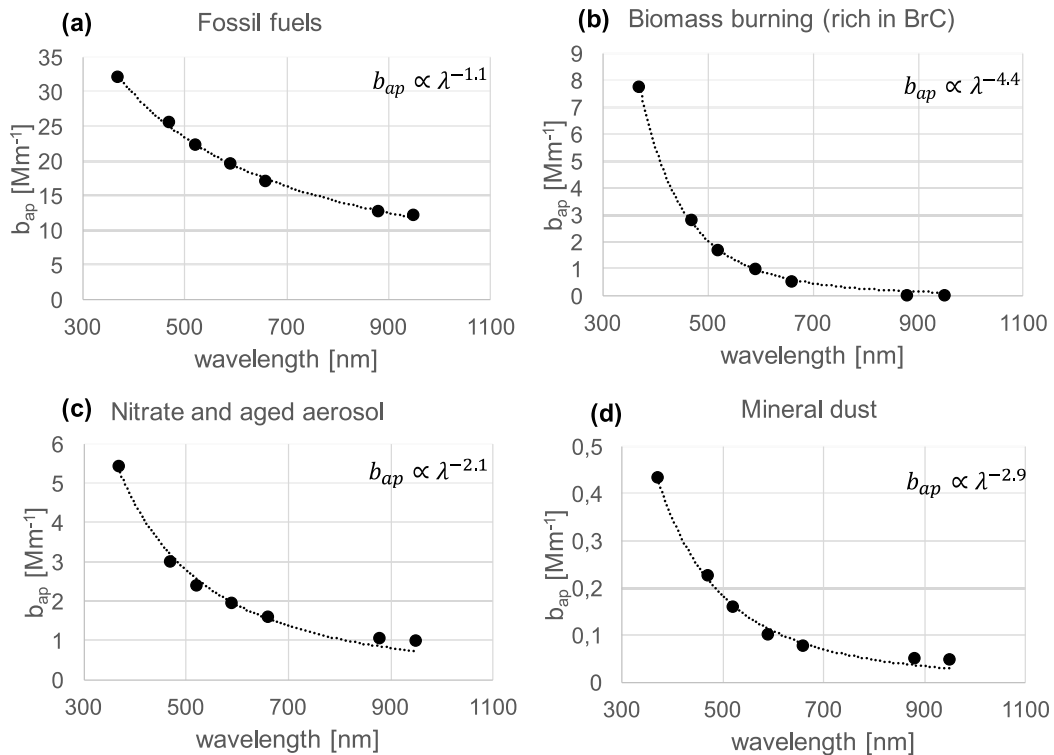
468

	370nm	470nm	520nm	590nm	660nm	880nm	950nm
Biomass	17 %	8.5 %	6.0 %	4.1 %	2.5 %	0 %	0 %
burning	(14-18)	(6.8-9.0)	(4.9-6.4)	(3.4-4.4)	(2.0-2.7)	(0-0)	(0-0)
Nitrate	12 %	9.2 %	8.5 %	8.1 %	7.8 %	7.1 %	6.9 %
and aged	(8.9-22)	(5.9-19)	(5.2-18)	(4.6-18)	(4.3-17)	(3.6-17)	(3.4-17)
aerosol							
Mineral	0.9 %	0.7 %	0.6 %	0.4 %	0.4 %	0.3 %	0.3 %
dust	(0.8-1.1)	(0.6-0.8)	(0.5-0.6)	(0.3-0.5)	(0.2-0.4)	(0.2-0.4)	(0.2-0.4)
Fossil	70 %	78 %	80 %	82 %	83 %	86 %	86 %
fuel	(62-73)	(69-81)	(71-83)	(72-84)	(73-86)	(75-89)	(76-89)

469 Table 2 Average contribution to total reconstructed b_{ap} ; in parentheses, the 10th and 90th percentiles
 470 are reported.

471

472 As expected, the relative contribution to the total reconstructed b_{ap} ascribed to the factors related to
 473 biomass burning and mineral dust decreases with increasing λ , in contrast to the contribution from
 474 fossil fuel combustion. The most significant contribution to b_{ap} at all wavelengths is given by the
 475 traffic exhaust emission source (significant also in terms of EVF, ranging from 0.63 to 0.77 and
 476 increasing with increasing wavelength), followed by the factor assigned to the local source. These
 477 two main contributors to optical absorption in the atmosphere are related to fossil fuel combustion
 478 (traffic exhaust + local source emissions) as highlighted by the value of the absorption Ångström
 479 exponent (α) that is 1.1 (1.0 - 1.1 as 10th - 90th percentile from the bootstrap analysis); in fact, α
 480 values near 1 are typically associated to light absorption contribution dominated by fresh black
 481 carbon (BC) emissions. In Fig. 5a, the wavelength dependence of b_{ap} for fossil fuel emissions is
 482 reported; the line corresponds to the data fitting considering $b_{ap} \propto \lambda^{-\alpha}$.



483

484 *Fig. 5 b_{ap} dependence on λ for (a) fossil fuels, (b) biomass burning, (c) nitrate and aged aerosol,*
485 *and (d) mineral dust.*

486

487 Even if the other sources do not contribute as much as fossil fuel emissions to the absorption in the
488 atmosphere, useful information can be retrieved considering source-dependent optical parameters.

489 The wavelength dependence of b_{ap} apportioned to the biomass burning source is reported in Fig. 5b
490 where the α value from the fitting is 4.4 (4.4 - 4.5 as 10th - 90th percentile); it is higher than typical
491 literature α values for biomass burning (e.g. Sandradewi et al., 2008; and references therein) but the
492 significant role played by brown carbon (BrC, i.e. light absorbing organic carbon) in this source can
493 account for it (Laskin et al., 2015). In the literature, BrC was already found in particles enriched in
494 nitrate (that is the second highest contributor in the source chemical profile, after OA) and poor in
495 BC, with a BC-to-OA ratio below 0.05 ± 0.03 (Costabile et al., 2017b); considering EC as a proxy
496 for BC, the ratio in the biomass burning chemical profile was 0.02.

497 The wavelength dependence of b_{ap} for the nitrate and aged aerosol source is reported in Fig. 5c; α
498 value is 2.1 (1.6 - 2.6 as 10th - 90th percentile from bootstrap analysis), consistent with a mixed
499 contribution from both BC and BrC.

500 Even if the mineral dust source is characterised by very low values of b_{ap} it has a clear wavelength
501 dependence (Fig. 5d), in contrast to the other remaining sources giving negligible contributions to
502 light absorption. For this source, α is 2.9 (2.6 - 3.5 as 10th - 90th percentile), i.e. comprehended in
503 the typical range for desert dust reported in the literature (e.g. Caponi et al., 2017; and references
504 therein). This result is noteworthy because values for the absorption Ångström exponent of mineral
505 dust are still relatively scarce in the literature.

506 Absolute b_{ap} values apportioned in the mineral dust source are much lower (ranging from 0.9 % to
507 0.3 % of the total reconstructed b_{ap} - depending on the wavelength - see Table 2) than the ones from
508 fossil fuels combustion and biomass burning; this result can be expected since the transport episode
509 of mineral dust is very short (lasting less than two days over the whole campaign). The picture is

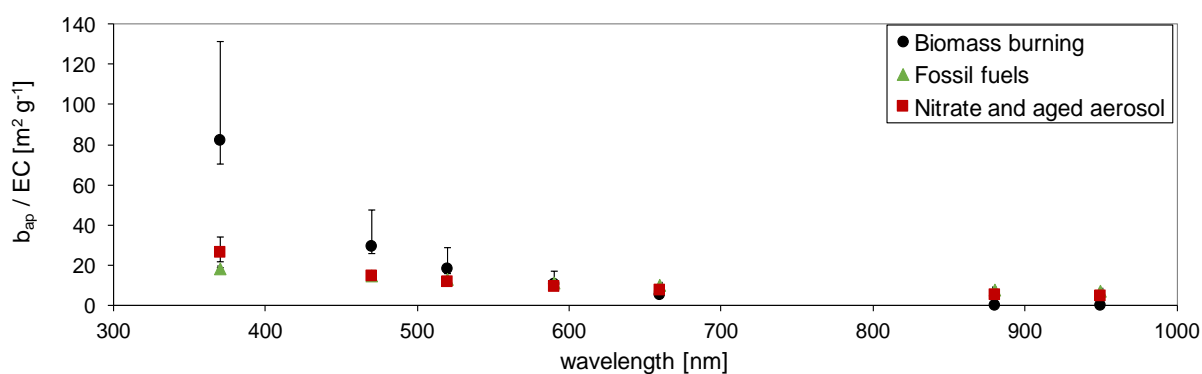
510 totally different when considering the time interval covering the transport event (from 24th February
 511 12:00 until 25th February 15:00 LT, estimated considering the temporal pattern in Fig. 2); indeed,
 512 even if the dominant contribution is still given by fossil fuels combustion (from 59 % to 75 % of the
 513 total reconstructed b_{ap} , increasing with increasing wavelength), the mineral dust impact on light
 514 absorption ranges from 25 % at $\lambda = 370$ nm to 10 % at $\lambda = 950$ nm.

515 Another relevant result from this modelling approach is the estimate of the ratio between $b_{ap}(\lambda)$ and
 516 EC - here considered as a proxy for BC concentrations - for each source. It is noteworthy that when
 517 BC is the only absorbing component, $b_{ap}(\lambda)$ -to-EC ratio provides the mass absorption cross-section
 518 of BC (MAC_{BC}) at different wavelengths; this assumption can be considered valid for fossil fuel
 519 emissions (for which $\alpha = 1.1$).

520 Calculations of $b_{ap}(\lambda)/EC$ for biomass burning, fossil fuel, and nitrate and aged aerosol sources are
 521 reported in Fig. 6 and Table 2S in the Supplement.

522 In the case of the fossil fuel emissions source during the CARE campaign, $MAC_{BC}(\lambda)$ resulted to
 523 be: 18.3 (17.6 – 18.6 as 10th – 90th percentile from the bootstrap analysis) $m^2 g^{-1}$ at $\lambda = 370$ nm; 14.5
 524 (13.9 – 14.7) $m^2 g^{-1}$ at $\lambda = 470$ nm; 12.7 (12.2 – 12.9) $m^2 g^{-1}$ at $\lambda = 520$ nm; 11.1 (10.7 – 11.3) $m^2 g^{-1}$
 525 at $\lambda = 590$ nm; 9.7 (9.3 – 9.9) $m^2 g^{-1}$ at $\lambda = 660$ nm; 7.2 (6.9 – 7.4) $m^2 g^{-1}$ at $\lambda = 880$ nm; 6.9 (6.6 –
 526 7.0) $m^2 g^{-1}$ at $\lambda = 950$ nm (Table 2S in the Supplement). The average MAC value for BC – not
 527 related to the specific sources – was estimated by Costabile et al. (2017a) during the same campaign
 528 as 8.7 $m^2 g^{-1}$ at $\lambda = 637$ nm.

529



530

531 *Fig. 6 b_{ap} -to-EC ratio dependence on λ for biomass burning, fossil fuels, and nitrate and aged*
532 *aerosol. Error bars represent the 10th and 90th percentiles from the bootstrap analysis.*

533

534 It is noteworthy the large difference at shorter wavelengths among the various sources, which is due
535 to the contribution of BrC. The difference is clearly more significant for biomass burning, where
536 BrC resulted to be dominant ($\alpha = 4.4$), while significant differences in the nitrate and aged aerosol
537 are present only at $\lambda = 370$ nm (α for this source was 2.1) compared to fossil fuels.

538

539 *3.3 Comparison between ME-2 modelling and ACSM results on organics*

540 In order to obtain more insights on the OA apportionment, results from the modelling approach
541 presented in this work coupling chemical and optical variables (ME-2_{all}, in the following) were
542 compared with an independent source apportionment study previously performed on the organic
543 fraction OA measured by the ACSM (ME-2_{org}, in the following) (see paragraph 2.2.3). Using the
544 latter approach, three factors were recognised: HOA (hydrocarbon-like organic aerosol), BBOA
545 (biomass burning-like organic aerosol), and OOA (oxygenated organic aerosol); HOA and BBOA
546 (i.e. primary OA components) accounted for about 12 % of the OA mass each, while OOA was the
547 main component accounting for the remaining apportioned mass fraction.

548 Results from the application of ME-2_{all} showed that the main contributors to organic aerosol
549 concentrations in the atmosphere (see also Fig.1a) were biomass burning (accounting nearly for 58
550 % of the total OA concentrations reconstructed by the model), nitrate and aged aerosol (almost 24
551 %), and traffic exhaust emissions (almost 14 %).

552 As an original contribution of this work, in Figure 7 a comparison between temporal patterns
553 related to OA apportioned by ME-2_{all} (hereafter referred to as OA_{biomass burning}, OA_{nitrate and aged aerosol},
554 OA_{traffic exhaust}) vs. BBOA, OOA, and HOA obtained by ME-2_{org} is reported.

555 The first noteworthy result is that HOA and $OA_{\text{traffic exhaust}}$ retrieved by the two different approaches
556 are similar in temporal patterns ($R^2 = 0.85$) but – more importantly – fairly comparable in terms of
557 absolute values (within 10 % difference on average) (Fig. 7a)

558 Also $OA_{\text{nitrate and aged aerosol}}$ shows similar features to OOA ($R^2 = 0.74$) in terms of temporal
559 behaviour thus confirming that secondary aerosol and ageing processes impact on the source
560 identified by ME-2_{all} as nitrate and aged aerosol. Correlation between the fraction of semi-volatile
561 OOA (SV-OOA) and NO_3^- was already observed in Rome (Struckmeier et al., 2016), in agreement
562 with other literature studies (DeCarlo et al., 2010). $OA_{\text{nitrate and aged aerosol}}$ absolute values are much
563 lower than OOA from ME-2_{org} (Fig. 7b), suggesting that part of the OOA is apportioned to other
564 sources by ME-2_{all}.

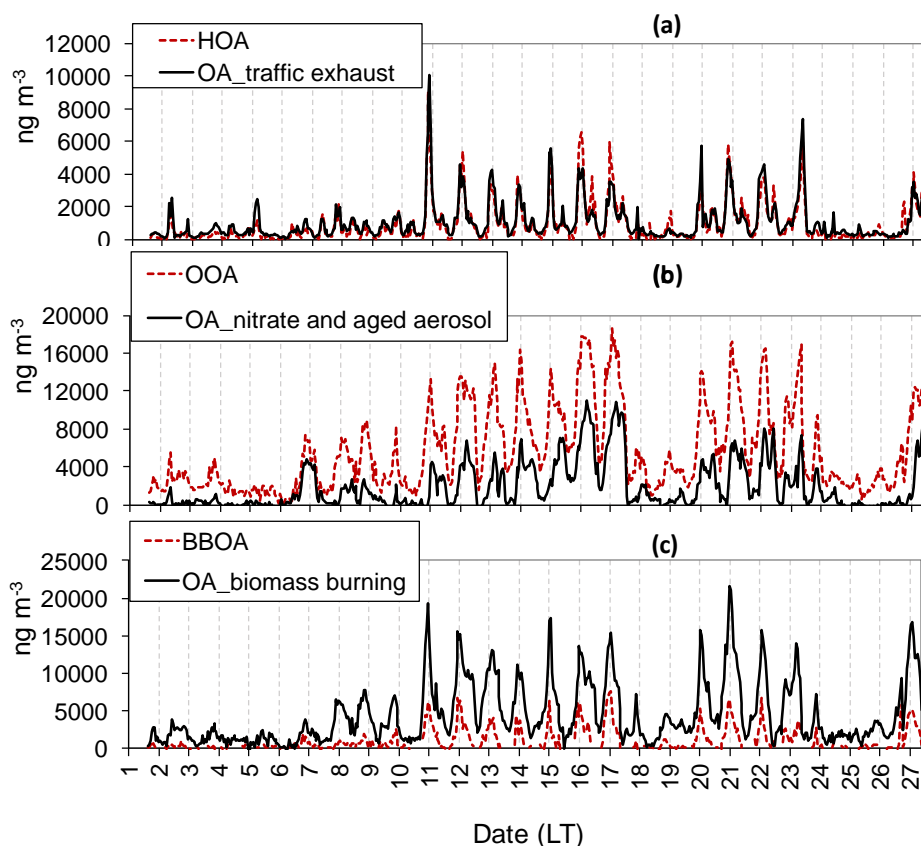
565 The biomass burning source retrieved by ME-2_{all} is characterised by a more complex mixture of
566 organics showing a significant correlation with both BBOA ($R^2 = 0.74$) and OOA ($R^2 = 0.75$) from
567 ME-2_{org}. However, one relevant difference is related to BBOA absolute concentration values, which
568 do not account for all the OA apportioned by ME-2_{all} to the biomass burning source. In addition, the
569 decrease of BBOA concentration values steeply reaches zero (typically during the time interval
570 from 11 to 17 LT) while the $OA_{\text{biomass burning}}$ has higher concentration values (Fig. 7c), especially
571 during the period characterised by atmospheric stability (from about 10/02 until 24/02, excluding
572 18/02 and 19/02).

573 The discrepancies in organic aerosol absolute values mentioned for the latter two cases are very
574 interesting and deserve a further discussion as they were never reported in previous works. Indeed,
575 this observation can be explained considering that a consistent part of the OOA – generically
576 ascribed to aged aerosol in literature works (see e.g. DeCarlo et al., 2010) – is likely linked to the
577 biomass burning source as shown by ME-2_{all} results and better described in the following. As can
578 be seen in Fig. 8, the temporal pattern of the difference between $OA_{\text{biomass burning}}$ and BBOA is
579 substantially overlapped with the difference between OOA from ME-2_{org} and $OA_{\text{nitrate and aged aerosol}}$
580 from ME-2_{all} (in the following, $OOA - OA_{\text{nitrate and aged aerosol}}$). Consistently, adding the contribution

581 from OOA- OA_{nitrate} and aged aerosol to BBOA apportioned by $ME-2_{\text{org}}$, the correlation with the biomass
 582 burning source from $ME-2_{\text{all}}$ significantly increases ($R^2 = 0.92$ vs. 0.74) and also absolute
 583 concentration values are very similar, within 4 % on average. Therefore, OOA- OA_{nitrate} and aged aerosol
 584 can be considered a rough minimum estimation of the biomass burning contribution to OOA and on
 585 average it accounts for 60% of OOA concentrations, corresponding to 43 % of total OA measured
 586 by ACSM.

587 This is the second noteworthy result of this work, as it represents an estimate of the secondary
 588 contribution to OA due to biomass burning; therefore, it could be added to the 12 % estimated as
 589 BBOA (typically associated only to primary aerosol content), evidencing the eminent role of
 590 biomass burning ($> 50\%$) - with its primary and secondary contributions - in explaining the total
 591 OA measured during the CARE campaign.

592



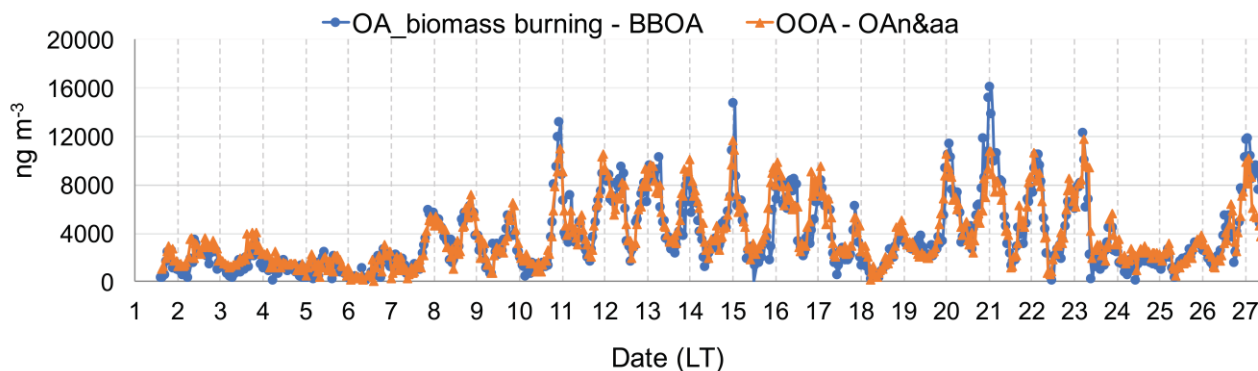
593

594 *Fig. 7 Hourly temporal patterns of (a) HOA from $ME-2_{\text{org}}$ and OA apportioned to traffic exhaust by*
 595 *$ME-2_{\text{all}}$, (b) OOA from $ME-2_{\text{org}}$ and OA apportioned to nitrate and aged aerosol by $ME-2_{\text{all}}$, (c)*

596 *BBOA from ME-2_{org} and OA apportioned to biomass burning by ME-2_{all} for February 2017.*

597 *Vertical lines show midnight for each day.*

598



599

600 *Fig. 8 Hourly temporal patterns of the difference between OA apportioned by ME-2_{all} to the*

601 *biomass burning source and BBOA from ME-2_{org} (OA_biomass burning – BBOA) and the*

602 *difference between OOA from ME-2_{org} and OA in the nitrate and aged aerosol source from ME-2_{all}*

603 *(here denoted as OOA-OA_{n&aa}) for February 2017. Vertical lines show midnight for each day.*

604

605 In contrast to the other two sources, the chemical profile of traffic exhaust from ME-2_{all} seems to be

606 constituted mainly by primary emissions since OA_{traffic exhaust} from ME-2_{all} corresponds to HOA

607 from ME-2_{org}; thus, OOA contributions related to secondary organic components can be considered

608 negligible in this source. Secondary organic compounds due to traffic emissions are likely mixed in

609 the chemical profile of the nitrate and aged aerosol source from ME-2_{all}, so that minimum

610 estimation of their contribution is not possible in this case.

611

612 **4. Conclusions**

613 In this work, the multi-time ME-2 was applied to a multi-variable dataset comprising high-time

614 resolution chemical and optical variables collected at an urban site impacted by episodic sources.

615 The peculiar aerosol characteristics – which were heavily influenced by both anthropogenic and

616 natural sources – together with the availability of information about organic aerosol apportionment

617 retrieved by ACSM, made it possible to further test the robustness of the approach recently
618 introduced by Forello et al. (2019) and to show new potentialities.

619 A noteworthy result of this work is the possibility to gain knowledge on the OA source
620 apportionment and to relate primary and secondary OA contributions to their emission sources; at
621 the state of the art, this is still an important area of investigation in receptor modelling. In particular,
622 biomass burning was estimated to contribute to OA for about 55 % and the biomass burning
623 secondary contribution (typically accounted for in OOA by literature works dealing with ACSM
624 data) was found to be dominant respect to the primary one (12 %), i.e. the one included in the
625 BBOA component given by ACSM.

626 The added value of the insertion of the optical variables in the modelling procedure presented in
627 this work is the assessment of optical absorption contribution from mineral dust. Its contribution
628 was relevant (impacting on $b_{ap}(\lambda)$ apportionment from 25 % to 10 %, decreasing with increasing
629 wavelength) when a not negligible mineral dust transport episode was registered at the
630 measurement site. In addition, source-dependent optical absorption parameters (e.g. the absorption
631 Ångström exponent, α) were retrieved for fossil fuel and biomass burning emission sources as well
632 as for mineral dust as output of the receptor model. The latter result can be of great interest e.g. for
633 the Aethalometer model users as a-priori assumptions on the absorption Ångström exponent are still
634 causing the large part of the uncertainties associated to the optical apportionment models results
635 (Zotter et al., 2017).

636 In perspective, our modelling approach paves the way to more powerful receptor models which
637 have the potential of providing much more insights on aerosol properties and sources.

638

639 **Data availability.** For any request, please contact Roberta Vecchi (roberta.vecchi@unimi.it).

640

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647

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Supplementary Material

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: