

Simple Wave Breaking Depth Index Formula for Regular Waves

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ABSTRACT

A simple formula to determine the wave breaking depth index for regular waves is proposed. In the literature, there are several formulas for determining the breaker depth index, which over the years have gradually evolved by improving the advanced measurement tools. The proposed formula has been obtained by means of dimensional analysis and incomplete self-similarity (Barenblatt 1978), and it has been calibrated and verified using a large breaker depth index database yield fairing good predictions for a wide range of wave conditions and beach slopes. The application of some existing formulas for determining the breaker depth index has been examined using previous published laboratory data comparing with the new formula.

37 **INTRODUCTION**

38
39 The process of wave breaking on a beach is both one of the most dramatic visually and one of
40 the most important physically for the wave motion and for the development of the nearshore
41 currents (Svendsen, 2006).

42 The wave breaking phenomenon has been studied for several years, and many research
43 contributions have been published. The following literature review contains a rich database that
44 has been used in the current study.

45 Goda (1970), using Goda (1964) and Goda et al. (1966) data and also all data from Iversen
46 (1951), Kishi and Iohara (1958), Mitsuyasu (1962), Toyoshima et al. (1968), Bowen et al. (1968)
47 and Galvin (1969) (see Table 1), presented a series of graphical curves of breaker depth index.
48 Goda (1974) based on Goda (1970) findings, presented an empirical formula (cited also in the
49 CIRIA, CUR, CETMEF, 2007, *The Rock Manual*) to determine the breaker depth index γ as it
50 follows:

51
$$\gamma = \frac{H_b}{h_b} = \left(\frac{A}{h_b/L_0} \right) \left\{ 1 - \exp \left[-1.5 \pi \frac{h_b}{L_0} \left(1 + 15s^{4/3} \right) \right] \right\} \quad (1)$$

52 where H_b = wave height, h_b = water depth at the incipient breaking, $A = 0.17$ (Goda, 1974), L_0
53 = deep water wavelength, and $s = \tan \alpha$ (Fig. 1). As it appears, the term h_b/L_0 has been used both
54 inside and outside of the exponential function.

55 Rattanapitikon and Shibayama (2000) have recommended a modification of the slope effect
56 term of $(1 + 15s^{4/3})$ into $(1.033 + 4.71s - 10.46s)$. Goda (2010) based on this recommendation
57 and re-examination of experiments on breaker depth index and using a part of the same dataset
58 (shown in Table 1) obtained a new form of the formula that it is expressed as:

$$\frac{H_b}{h_b} = \left(\frac{A}{h_b/L_0} \right) \left\{ 1 - \exp \left[-1.5 \pi \frac{h_b}{L_0} \left(1 + 11s^{4/3} \right) \right] \right\} \quad (2)$$

60 Kamphuis (1991) classified the breaker index formulas in four different types. By assigning
 61 the best-fitting value between formulas and his laboratory data, he obtained the correlation
 62 coefficients for the following types of breaker index formulas:

- 63 a) $H_b/h_b = f_1(0) = \text{constant}$ $R^2 = 0.69$
- 64 b) $H_b/h_b = f_2(h_b/L_0 \text{ or } h_b/L_b)$ $R^2 = 0.67$
- 65 c) $H_b/h_b = f_3(s)$ $R^2 = 0.84$
- 66 d) $H_b/h_b = f_4(s, h_b/L_0 \text{ or } h_b/L_b)$ $R^2 = 0.88$

67 Based on obtained correlations coefficients, he suggested to include both the parameters of
 68 beach slope and relative water depth in the breaker index formula.

69 Camenen and Larson (2007) using a large dataset including experimental data from 22
 70 published sources containing a wide range of wave conditions and beach slopes, presented a new
 71 formula to predict the breaker depth index and breaker type comparing with six existing breaker
 72 depth index formulas. They showed that the modified Goda (1970) formula, proposed by
 73 Rattanapitikon and Shibayama (2000), improves the results of the original formula for slopes up
 74 to 0.1 but gives overestimated results for smaller slopes.

75 Liu et al. (2011) compared the results of Goda (2010) and Ostendorf and Madsen (1979)
 76 formulas with the measured data for a total number of 1193 cases reported in literature. They
 77 showed that Ostendorf and Madsen (1979) formula is fairly good even for cases of very steep
 78 slopes, but for milder slopes, it is not accurate as good as Goda (2010) formula.

79 Recently, Saprykina et al. (2017), using laboratory and field experiments data, investigated
80 the influence of properties of nonlinear wave transformations and type of wave breaking on the
81 breaking index; they showed that, if the relative part of the wave energy in the frequency range
82 of the second nonlinear harmonic is more than 35%, the value of the breaking index can be taken
83 as a constant equal to 0.6.

84 The main objective of the present study is to find a simple formula to determine the breaker
85 wave depth index by means of dimensional analysis and incomplete self-similarity (Barenblatt
86 1978) **considering the both variables beach slope and relative water depth as parameters.**

87

88 **METHODOLOGY**

89

90 **Dimensional analysis**

91 Generally, a power-law relationship between certain variables y and x appears in
92 mathematical modelling of various phenomena in engineering of the form of $y = a x_1^b x_2^c \dots x_n^z$
93 where a , b , c , and z are constants. A very common view is that these power-law relations are
94 nothing more than the simplest approximations to the available experimental data, having no
95 special advantages over other approximations but as a matter of fact, these types of relationships
96 always reveal the “self-similarity” of the phenomenon which means reproducing itself on
97 different time and space scales. “Self-similarity” allows to reduce a problem in mathematical
98 physics of a phenomenon. Self-similar solutions always limit problems where the governing
99 variables are equal to either zero or infinity. Significant importance is the analysis of incomplete
100 self-similarity in fluid dynamics, where solving a complete mathematical formulation of the
101 problem is very difficult and sometimes impossible, therefore the comparison of similarity laws

102 with experimental data is of decisive importance in estimating the character of the self-similarity
103 (Barenblatt 1978).

104 A dimensional analysis has been conducted using the Π -Buckingham theorem (Barenblatt
105 1987), in which it is assumed that the main parameters to determine the breaker depth index ($\gamma =$
106 H_b/h_b) are:

$$107 \quad f(H_b, h_b, h, \rho_s, \rho, g, L_0, C_b, T, \sin \alpha) = 0 \quad (2)$$

108 where f = functional symbol; h = water depth; ρ_s = bed material density; ρ = water density; g =
109 gravitational acceleration; C_b = wave celerity at the incipient breaking; T = wave period; and $\sin \alpha$
110 = beach slope ($0^\circ \leq \alpha \leq 90^\circ$) (Fig. 1).

111 According to the Π -Buckingham theorem (Barenblatt 1987), Eq. (2) can be expressed in a
112 non-dimensional form as it follows:

$$113 \quad \Pi_1 = f(\Pi_2, \Pi_3, \Pi_4, \Pi_5, \Pi_6, \Pi_7) \quad (3)$$

114 Eq. (3) in the same order of the non-dimensional parameters can be written as:

$$115 \quad \frac{H_b}{h_b} = f\left(\frac{T^2 g}{h}, \frac{h_b}{h}, \frac{T}{h} C_b, \frac{\rho_s}{\rho}, \frac{L_0}{h}, \sin \alpha\right) \quad (4)$$

116 where f = functional symbol; **since all data used from existing database from literature are related**
117 **to the experiments with a fixed bed, the relative density $G_s = \frac{\rho_s}{\rho}$ is not considered as parameter**
118 **to find the new formula.**

119 Tomasicchio and Kurdistani (2019) considering $C = \frac{g \cdot T}{2\pi} \tanh\left(2\pi \frac{h}{L}\right)$ for intermediate wave
120 condition and using incomplete self-similarity (Barenblatt 1987) proposed the non-dimensional
121 wave parameter ω :

122
$$\omega = \frac{1}{2\pi} \tanh\left(2\pi \frac{h}{L}\right) \quad (5)$$

123 Assuming $C_b = \frac{g \cdot T}{2\pi} \tanh\left(2\pi \frac{h_b}{L_0}\right)$ as the wave celerity at the breaking point and combining Π_2
 124 and Π_4 , the wave parameter ω_b for the incipient breaking as the character of the self-similarity
 125 will be obtained:

126
$$\left(\frac{\Pi_4}{\Pi_2}\right) = \frac{\frac{T C_b}{h}}{\frac{T^2 g}{h}} = \frac{C_b}{g T} = \frac{1}{2\pi} \tanh\left(2\pi \frac{h_b}{L_0}\right) \quad (6)$$

127
$$\omega_b = \frac{1}{2\pi} \tanh\left(2\pi \frac{h_b}{L_0}\right) \quad (7)$$

128 Another non-dimensional group showing influence of the water depth at the breaking point
 129 and the wave length, can be obtained as follows:

130
$$\left(\frac{\Pi_3}{\Pi_6}\right) = \frac{\frac{h_b}{h}}{\frac{L_0}{h}} = \frac{h_b}{L_0} \quad (8)$$

131 As it is shown in Eq. (7), h_b/L_0 is already a part of ω_b and Eq. (4) can be rewritten as it follows:

132
$$\frac{H_b}{h_b} = f''(\omega_b, \sin \alpha) \quad (9)$$

133 where f'' = functional symbol;

134 **The results of the multivariate regression of adopted data from Goda (1970) in accordance with**
 135 **Goda (1974), lead to an exponential function of ω_b . Therefore, Eq. (9) can be expressed as:**

136
$$\gamma = \frac{H_b}{h_b} = a (1 + \sin \alpha)^i e^{k\omega_b} \quad (10)$$

137 where a , i and k are constants; This means that the wave similarity character ω_b as a function
138 of h_b/L_0 is the main parameter and γ has a numerical trend with ω_b that increasing ω_b toward
139 infinity, decreases γ toward zero and the beach slope changes the trend steepness. **Table 2**
140 **represents some existing formulas for the wave breaking depth index. This table shows that the**
141 **term $\tanh(2\pi h_b/L_b)$ of ω_b has been used in several existing wave breaking index formulas like**
142 **Miche (1944), Battjes and Janssen (1978), Ostendorf and Madsen (1979), Battjes and Stive**
143 **(1985), and Kamphuis (1991) while ω_b independent of these formulas and by means of**
144 **dimensional analysis and incomplete self-similarity has been found and can be considered as the**
145 **self-similar character for wave breaking depth index.**

146

147 **THE PROPOSED EMPIRICAL EQUATION**

148 **Calibration**

149 To calibrate and obtain the mathematical form of Eq. (10), twelve sets of data have been
150 adopted from literature (Goda, 2010) containing different beach slopes and varying breaking
151 wave conditions that are listed in Table 1. Using multivariate regression for the adopted data
152 range ($0.001 \leq \omega_b \leq 1$), Eq. (10) could be reworked as it follows: ($R^2 = 0.79$)

$$153 \quad \frac{H_b}{h_b} = 0.75 (1 + \sin \alpha)^5 e^{-3.4\omega_b} \quad (11)$$

154 All adopted values of breaker depth index γ have been compared with the Goda (2010) equation
155 and Eq. (11) in Fig. 2. Comparison shows a fairly good agreement between the proposed equation
156 and Goda (2010) equation.

157 The laboratory data of the breaker depth index γ as a function of ω_b have been compared with Eq.
 158 (11) in Fig. 3(a-e). These figures indicate that for $s = 1/9, 1/10, 1/12$ (Fig. 3a), $s = 1/15, 1/17, 1/20$
 159 (Fig. 3b), $s = 1/30$ (Fig. 3c), $s = 1/50$ (Fig. 3d), and $s = 1/100, 1/200$ (Fig. 3e), Eq. (11) has a fairly
 160 good agreement with all observed data within the 20% of deviation and increasing ω_b decreases
 161 γ .

162

163 **Verification**

164 Eq. (11) has been verified using a new dataset including laboratory and field experiments data
 165 on the wave breaker depth index recently published by Saprykina et al. (2017). Fig. 4(a-f)
 166 compares the results from Eq. (11) and other existing formulas with measured data by Saprykina
 167 et al. (2017). For different used formulas, Table 3 presents root mean square error E_{rms} (%)
 168 defined as:

$$169 \quad E_{rms} = 100 \sqrt{\frac{\sum(\gamma_{b(formula)} - \gamma_{b(exp.)})^2}{\sum \gamma_{b(exp.)}^2}} \quad (12)$$

170 Fig. 5 shows that Saprykina et al. (2017) covers a wide range beach slopes from $s = 1/23$ to s
 171 $= 1/100$, and all data confirm agreement with Eq. (11) within the 30% of deviation. As a
 172 consequence, Eq. (11) appears to be independent of the scale effect influence.

173

174 **CONCLUSIONS**

175 By means of dimensional analysis and incomplete self-similarity a simple equation to
 176 determine the wave breaking index has been obtained presenting a new non-dimensional
 177 wave similarity character ω_b . The wave similarity character ω_b as a function of h_b/L_0 is the

178 main parameter and γ has a numerical trend with ω_b ; the beach slope changes the trend
179 steepness. The proposed equation appears to be independent of the scale effect influence.
180 It has been calibrated and verified using different datasets with different model scales. For
181 the range of adopted data ($0.001 \leq \omega_b \leq 1$), the results from the proposed formula show a
182 fair good agreement with the Larson and Kraus (1989) formula and Goda's formula
183 (2010).

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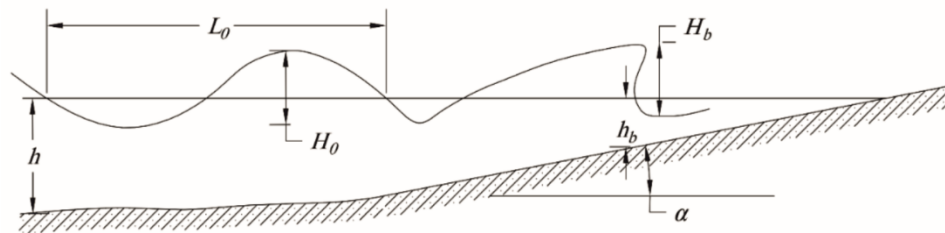
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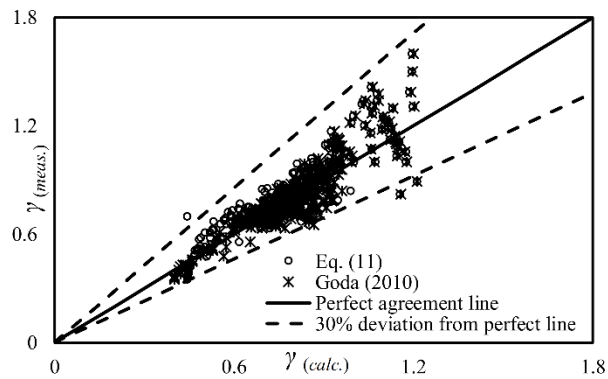
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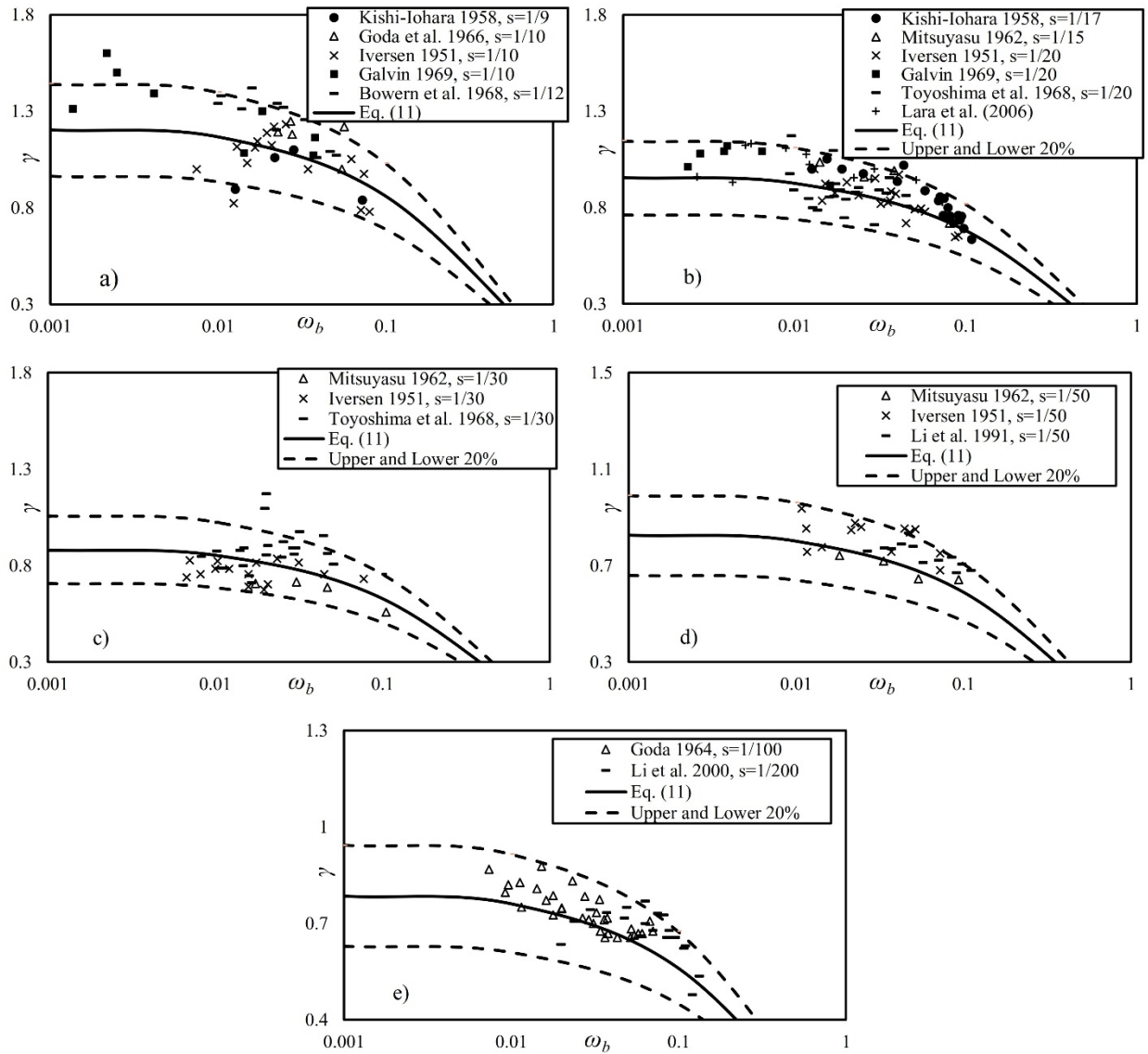
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243 **Fig. 1.** Breaking wave parameters.

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246 **Fig. 2.** Comparison of the proposed Eq. (11) with Goda (1970) equation.

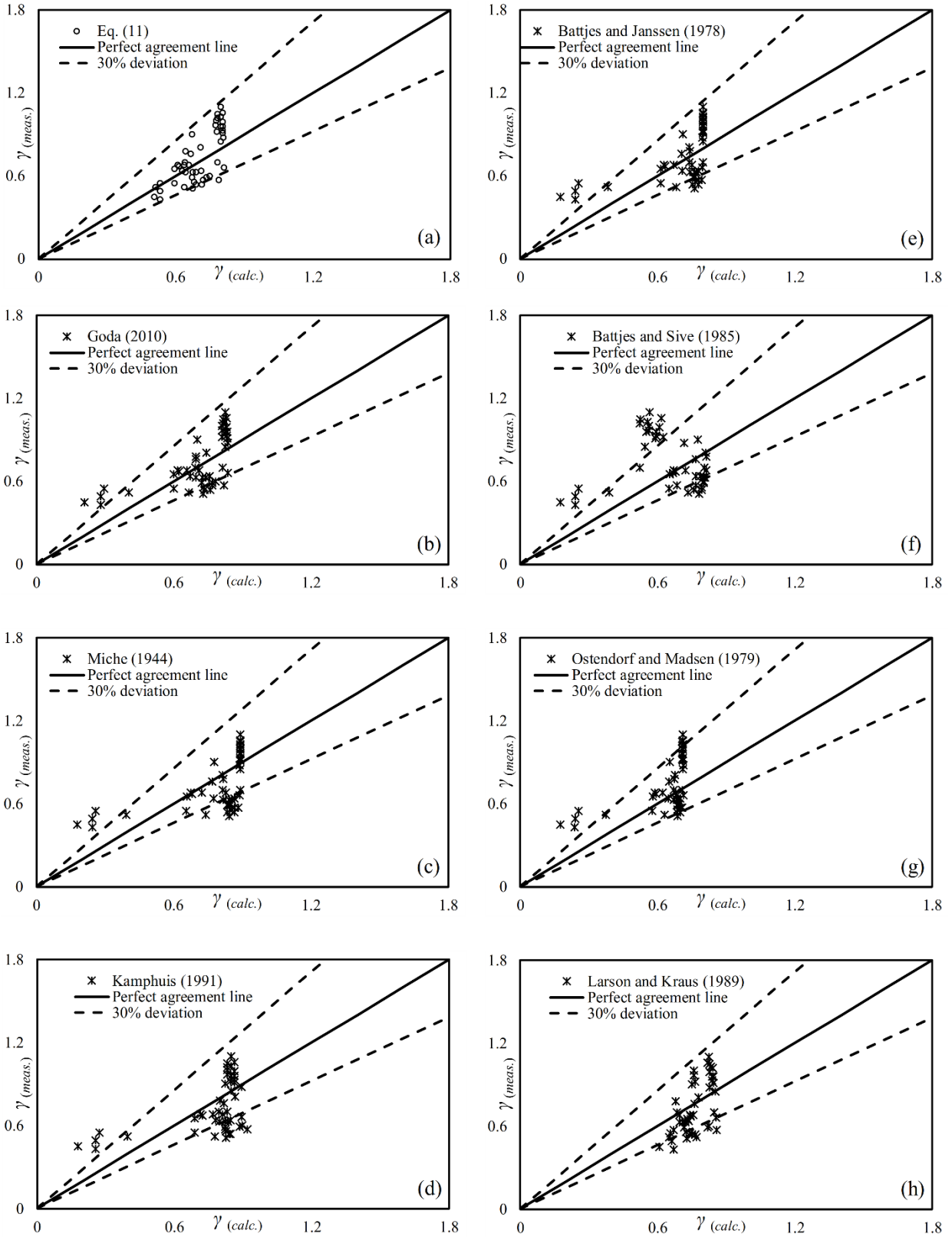
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249 **Fig. 3.** Comparison of γ as a function of ω_b for different beach slope with Eq. (11).

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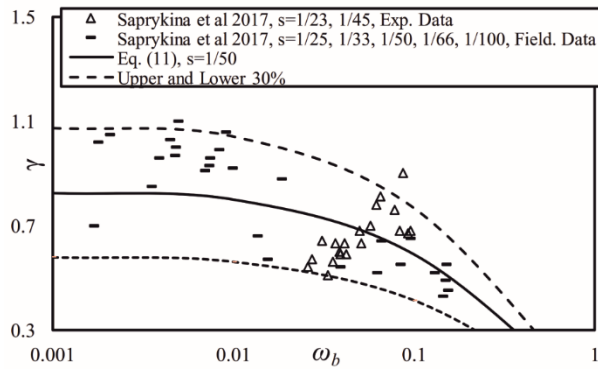


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Fig. 4. Comparison of Eq. (11) and other existing formulas using Saprykina et al. (2017) data.

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255 **Fig. 5.** Verification of Eq. (11), using Saprykina et al. (2017) data.

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259 **Table 1.** Summary of breaker depth index dataset (Goda, 2010)

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Author	Beach slope	Range of Wave period T (s)	Range of Breaking wave height H_b (m)	Range of Breaking depth h_b (m)	No. data
Iversen (1951)	1/10	0.80 – 2.50	0.049 – 0.122	0.043 – 0.137	15
	1/20	0.74 – 2.24	0.043 – 0.128	0.049 – 0.162	19
	1/30	1.49 – 2.65	0.053 – 0.127	0.070 – 0.155	15
	1/50	0.90 – 2.65	0.055 – 0.121	0.065 – 0.156	13
Kishi and Iohara (1958)	1/9	0.9 – 2.0	0.070 – 0.106	0.079 – 0.100	4
	1/17	0.65 – 2.0	0.050 – 0.125	0.065 – 0.135	21
Mitsuyasu (1962)	1/15	1.02 – 2.57	0.104 – 0.150	0.124 – 0.145	4
	1/30	1.02 – 2.57	0.096 – 0.111	0.153 – 0.206	4
	1/50	1.02 – 2.57	0.098 – 0.141	0.177 – 0.190	4
Goda (1964)	1/100	2.30 – 7.30	0.417 – 0.931	0.603 – 0.1250	32
Goda et al. (1966)	1/10	1.36 – 2.24	0.140 – 0.215	0.110 – 0.180	6
Toyoshima et al. (1968)	1/20	1.84 – 3.04	0.062 – 0.408	0.073 – 0.610	22
	1/30	1.94 – 3.75	0.119 – 0.500	0.131 – 0.616	44
Bowen et al. (1968)	1/12	0.82 – 2.27	0.044 – 0.130	0.042 – 0.097	11
Galvin (1969)	1/10	1.00 – 6.00	0.038 – 0.150	0.039 – 0.120	8
	1/20	2.00 – 6.00	0.093 – 0.176	0.100 – 0.182	6
Li et al. (1991)	1/30	–	–	–	10
	1/50	–	–	–	11
Li et a. (2000a)	1/200	–	–	–	19
Lara et al. (2006)	1/20	1.20 – 4.00	0.067 – 0.185	0.068 – 0.195	12

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Table 2. List of examined existing breaker depth index formulas.

Researchers	Formula
Miche (1944)	$\frac{H_b}{h_b} = 0.142 \left(\frac{L_b}{h_b} \right) \tanh \left(\frac{2\pi h_b}{L_b} \right)$
Battjes and Janssen (1978)	$\frac{H_b}{h_b} = 0.14 \left(\frac{L_b}{h_b} \right) \tanh \left(\left(\frac{0.8}{0.88} \right) \frac{2\pi h_b}{L_b} \right)$
Ostendorf and Madsen (1979)	$\frac{H_b}{h_b} = 0.14 \left(\frac{L_b}{h_b} \right) \tanh \left((0.8 + 5s) \frac{2\pi h_b}{L_b} \right) \quad m \leq 0.1$
Battjes and Stive (1985)	$\frac{H_b}{h_b} = 0.14 \left(\frac{L_b}{h_b} \right) \tanh \left[\left[0.5 + 0.4 \tanh \left(33 \left(\frac{H_0}{L_0} \right) \right) \right] \frac{2\pi h_b}{0.88 L_b} \right]$
Larson and Kraus (1989)	$\frac{H_b}{h_b} = 1.14 \left(\frac{s}{\sqrt{H_0/L_0}} \right)^{0.21}$
Kamphuis (1991)	$\frac{H_b}{h_b} = 0.127 \left(\frac{L_b}{h_b} \right) \exp(4s) \tanh \left(\frac{2\pi h_b}{L_b} \right)$
Goda (2010)	$\frac{H_b}{h_b} = \left(\frac{A}{h_b/L_0} \right) \left\{ 1 - \exp \left[-1.5 \pi \frac{h_b}{L_0} \left(1 + 11s^{4/3} \right) \right] \right\}$

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269 **Table 3.** Root mean square error (E_{rms}) for different used formulas using Saprykina et al. (2017) data.

Formula	E_{rms} %
Proposed Eq. (11)	18.7
Miche (1944)	24.2
Battjes and Janssen (1978)	22.6
Ostendorf and Madsen (1979)	25.1
Battjes and Stive (1985)	35.4
Larson and Kraus (1989)	21.2
Kamphuis (1991)	25.0
Goda (2010)	20.4

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